

1 Effects of water level regulation in alpine hydropower reservoirs – an  
2 ecosystem perspective with a special emphasis on fish

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41 **Abstract**

42 Sustainable development of hydropower demands a holistic view of potential impacts of water  
43 level regulation (WLR) on reservoir ecosystems. Most environmental studies of hydropower  
44 have focused on rivers, whereas environmental effects of hydropower operations on reservoirs  
45 are less well understood. Here, we synthesize knowledge on how WLR from hydropower  
46 affects alpine lake ecosystems and highlight the fundamental factors that shape the  
47 environmental impacts of WLR. Our analysis of these impacts ranges from abiotic conditions  
48 to lower trophic levels and ultimately to fish. We conclude that the environmental effects are  
49 complex and case-specific and thus considering the operational regime of WLR (i.e., amplitude,  
50 timing, frequency, and rate of change) as well as the reservoir's morphometry, geology and  
51 biotic community are prerequisites for any reliable predictions. Finally, we indicate promising  
52 avenues for future research and argue that recording and sharing of data, views and demands  
53 among different stakeholders, including operators, researchers and the public, is necessary for  
54 the sustainable development of hydropower in alpine lakes.

55

56 **Keywords:** benthic production, food web, hydro-electricity, littoral zone, renewable  
57 energy, sustainability

## 58 **Water level regulation as a stressor caused by hydropower**

59 Hydropower is amongst the largest and fastest growing sources of renewable energy worldwide  
60 and its environmental effects on aquatic ecosystems can be substantial. In the year 2014,  
61 hydropower plants with a net installed capacity of 1171 GW provided 16% (3906 TWh) of the  
62 world's electricity generation (IEA, 2016), and there is a global technical potential to more than  
63 triple that capacity (Kumar et al., 2011). Such development implies that a growing proportion  
64 of lakes will be influenced by hydropower operations in the years to come. Further, the  
65 operational regime of existing hydropower plants, and hence water level regulation in existing  
66 reservoirs, may be altered to meet future needs for more flexible energy generation and storage  
67 (Kumar et al., 2011; Solvang et al. 2014). The use of storage and pumped-storage reservoirs to  
68 balance volatile production by other renewable energies is also likely to increase in importance  
69 (Hirsch et al., 2016).

70

71 Many of the lakes influenced by the increase in hydropower production are essential to humans,  
72 since lake ecosystems provide 77% of the freshwater supply and other key ecosystem services  
73 (García Molinos et al., 2015). In relation to their size, lakes contribute disproportionately to  
74 global biodiversity and have a much higher number of endemic species threatened by extinction  
75 than terrestrial ecosystems (Collen et al., 2014). For a sustainable development, it is essential  
76 to be able to predict and minimize the potential environmental effects of both future alterations  
77 in the operational regime of existing reservoirs and the transformation of natural lakes into new  
78 reservoirs.

79

80 The most obvious and profound effect hydropower has on lake ecosystems is a change from  
81 natural water level fluctuations to regulated water levels. These water level regulations  
82 (henceforth termed WLR) often exceed and differ from natural fluctuations in terms of their

83 combined amplitude, rate of change, and frequency (Hirsch et al., 2014) (Fig. 1). WLR are a  
84 stressor (*sensu* Adams, 2002) whose effects on lake ecosystems are still not well understood.  
85 Like other stressors, WLR can have both positive and negative impacts (e.g., Adams 1990,  
86 2002) whose eventuality needs to be properly accounted for in the assessment of environmental  
87 impacts. Regulation patterns vary greatly between reservoirs (e.g. Fig.1). In some cases, the  
88 regulation amplitude may not exceed natural water level fluctuations, but still alter the timing,  
89 rate of change and frequency of water level fluctuations. Natural water level fluctuations can  
90 also regulate the structure and function of lake ecosystems (Evtimova & Donohue, 2016) and  
91 thus natural variation should always be considered when monitoring, evaluating and predicting  
92 WLR impacts.

93

94 In this review, we seek to synthesize the current knowledge on the ecosystem effects of WLR  
95 in alpine storage and pumped-storage hydropower reservoirs. We specifically focus on  
96 hydropower reservoirs in alpine regions and thus exclude run-of-the-river systems as well as  
97 reservoirs built for other purposes, such as storing drinking and irrigation water. For  
98 consistency, all regulated lakes are termed reservoirs, independent of how the lake is dammed  
99 or regulated for hydropower production. Alpine regions, including the montane and subalpine  
100 regions, are characterized by a topography that allows for storage and release of water and thus  
101 they are prime candidates for the development of hydropower (Hirsch et al., 2014). We  
102 particularly focus on the effects of WLR on fish, because fish populations are suitable sentinels  
103 for ecosystem change and they are well-studied species in food-web and lake ecology. As long-  
104 lived top predators, fish integrate the effects of environmental stressors both in time and space  
105 and they are socio-economically relevant because they deliver important cultural and  
106 provisioning ecosystem services to humans (Holmlund & Hammer, 1999; Adams, 2002).

107

108 Previous reviews by Baxter (1977), Cott et al. (2008), and Zohary & Ostrovsky (2011) have  
109 greatly advanced our knowledge of WLR impacts on reservoir ecosystems. However, we still  
110 lack a holistic ecosystem perspective of the effects of WLR, ranging from abiotic factors to the  
111 higher food-web levels. The immediate responses of reservoir ecosystems to WLR are  
112 alterations in abiotic (physical and chemical) characteristics, which ultimately shape the  
113 abundance and structure of the biotic community. Changes in the biotic community may in turn  
114 have significant feedbacks on the abiotic environment. However, each reservoir has its unique  
115 abiotic and biotic characteristics and finding any universal responses of reservoir ecosystems  
116 to WLR is a challenging task. For instance, based on unpublished data from 67 Norwegian  
117 reservoirs (Fig. 2), fish yield shows no clear response to WLR amplitude (i.e., difference  
118 between the highest and lowest water level), although the reservoirs are situated in a  
119 geographically restricted area and host only allopatric brown trout (*Salmo trutta* L). The lack  
120 of a relationship illustrates the complexity of, and potential interactions between, natural and  
121 anthropogenic processes that may mask or shape WLR impacts even in species-poor alpine  
122 reservoirs. Hence, for improved monitoring and mitigation of hydropower impacts, it is  
123 necessary to disentangle the ecologically and hydrologically most relevant measures of WLR  
124 that connect the hydropower operations to key abiotic and biotic impacts. Examples of WLR  
125 measures include the amplitude, timing, frequency and rate of change of water level fluctuations  
126 (Bakken et al., 2016) and the relative proportion of affected littoral habitat (Hirsch et al., 2016).  
127 Reliable predictions and evaluations of WLR impacts should be case-specific and acknowledge  
128 the natural variation and complexity of reservoir ecosystems. Still, a synthesis of the potential  
129 impacts, mechanisms and confounding factors related to WLR, as well as large-scale studies  
130 separating WLR impacts from natural variation, would be invaluable for the development of  
131 environmentally friendly hydropower operations in alpine lakes.

132

133 Rather than attempting an exhaustive literature survey on selected issues of WLR, the aim of  
134 this review is to provide an integrative view of WLR impacts on alpine reservoir ecosystems  
135 and particularly on fish. We provide a structured review of which factors should be considered  
136 when aiming to understand the environmental effects of WLR in alpine reservoirs, and indicate  
137 which factors are well understood and which are understudied. We start by considering WLR  
138 as an anthropogenic stressor on ecosystems from an abiotic perspective. Thereafter, we describe  
139 how WLR can affect the ecosystem from the bottom of the food chain up to higher trophic  
140 levels. Focusing on fish, we seek to explore which complex mechanisms lie behind the observed  
141 environmental effects of WLR. We close by identifying promising avenues for future research  
142 on how to tackle the complexity of WLR effects, arguing that such research should form the  
143 basis for sustainable development of hydropower.

144

## 145 **The abiotic framework of water level regulation**

146 WLR effects on whole ecosystems often arise from fundamental changes in the physical and  
147 chemical characteristics of the reservoirs, such as in bottom structure, temperature and water  
148 quality (e.g. Baxter, 1977; Zohary & Ostrovsky, 2011). These abiotic changes can affect fish  
149 directly e.g. via desiccation and freezing of eggs (Gaboury & Patalas, 1984), or indirectly e.g.  
150 via altered abundance and composition of potential food resources (Cott et al., 2008). In this  
151 section, we briefly summarize the main effects of WLR on the abiotic characteristics of alpine  
152 reservoirs, focusing on the most important factors that may ultimately affect fish and the whole  
153 reservoir ecosystem.

154

### 155 *Erosion and reservoir succession*

156 The most visual WLR impacts occur in the littoral zone – normally delineated as the shallow  
157 area with enough solar radiation at the bottom for photosynthesis (Wetzel, 2001; Cantonati &

158 Lowe, 2014) – where desiccation, freezing and erosion commonly lead to physical and  
159 biological deterioration of the riparian and shallow bottom areas (Fig. 3A). Within the  
160 regulation zone, erosion by wave action and ice scouring removes fine particles and renders the  
161 substratum unstable, whereas the deeper bottom areas are subjected to increased sedimentation  
162 rate due to flushed fine particles. The coarse bottom substrate, like gravel, is often covered by  
163 fine particles, like sand and silt, which decreases the bottom surface area and interstices  
164 available as habitats for littoral organisms (e.g. Hellsten, 1998; Zohary & Ostrovsky, 2011).  
165 One fundamental factor to consider when evaluating, monitoring and mitigating environmental  
166 effects of WLR is the reservoir succession. WLR and potential flooding of originally dry land  
167 areas typically increases physical erosion of the riparian zone, as well as internal and external  
168 loading of dissolved nutrients, carbon and pollutants. Hence, the reservoir water quality  
169 decreases (Fig. 3C–D; Baxter, 1977; Hellsten, 1998; Cott et al., 2008, Dieter et al. 2015) and in  
170 some cases so does quality of fish for human consumption (French et al., 1998). The potential  
171 increase in availability of autochthonous and allochthonous resources may lead to increased  
172 biological production at the early succession of the reservoir. This phase is typically followed  
173 by trophic depression when organic matter and nutrients are exhausted or rendered unavailable  
174 by silting (Baxter, 1977; Rydin et al., 2008; Milbrink et al., 2011).

175

#### 176 *Water temperature and ice conditions*

177 In addition to physical habitat alterations in the littoral zone, WLR typically influences water  
178 temperature and ice conditions (Fig. 3B). Ice cover may become unstable, break or not form at  
179 all if the amplitude or frequency of WLR are high. Further, water temperature and ice cover are  
180 strongly connected and if WLR reduces the ice cover, this can lead to changes in the thermal  
181 regime of the reservoir such as earlier warming and mixing in spring (Gebre et al., 2014). WLR-  
182 induced changes in temperature profiles, ice-cover stability and water quality are particularly



183 evident in pumped-storage reservoirs, where water is transferred between a lower and an upper  
184 reservoir, which may have drastically different water qualities and temperatures (Potter et al.,  
185 1982; Bonalumi et al., 2011, 2012). For instance, a study of a North American reservoir found  
186 that pumped-storage operations facilitated heat exchange between water layers (i.e., vertical  
187 temperature differences decreased from 13°C to 7°C), expanded the epilimnion depth and  
188 delayed the thermal stratification (Potter et al., 1982). The depth of the turbine tunnel(s) likely  
189 influences how the reservoir's temperature profile, ice-cover stability and water qualities are  
190 affected by WLR (Bonalumi et al., 2012). More specifically, if the outflow turbine tunnel is  
191 located in the deep hypolimnion, the relative loss of heat from the system during a drawdown  
192 is low in summer, but high in winter. Conversely, if the turbine tunnel is located in the  
193 epilimnion, relatively cold surface water is discharged in winter and relatively warm water in  
194 summer. There is limited empirical evidence (but see Bonalumi et al., 2012), but it is likely that  
195 pumped-storage operations have minor impacts on temperature profiles if hypolimnetic water  
196 with relatively constant temperature is transferred between the lower and upper reservoirs.

197

#### 198 *Oxygen concentration and water clarity*

199 The effect of WLR on temperature and ice cover may indirectly change other abiotic conditions  
200 such as the oxygen concentrations in different water layers and light attenuation (Cott et al.,  
201 2008). Most alpine reservoirs are oligotrophic and have a well-oxygenated water column all  
202 year round. In contrast, more eutrophic reservoirs may suffer from winter anoxia due to the  
203 discharge of oxygenated surface water through the turbines during winter drawdown (Cott et  
204 al., 2008). The light attenuation within the water column can also be severely affected by WLR  
205 because of increased resuspension of fine particles (e.g. clay, silt or humus, Fig. 3D). The  
206 resulting decrease in water clarity can cause light limitation of primary production and reduce  
207 secondary production in the reservoir (cf. Borgstrøm et al., 1992; James & Graynoth, 2002;

208 Karlsson et al., 2009; Finstad et al., 2014). However, recent research suggests that, in some  
209 cases, availability of well-oxygenated habitat rather than light and food resources may become  
210 the principal factor controlling secondary production in lakes (Craig et al., 2015).

211

212 *Effects depend on the reservoir's operational regime and morphometry*

213 As evident from the above, the effects of WLR in reservoirs are not easily generalizable in  
214 terms of which type of WLR triggers which type of abiotic response. However, two  
215 fundamental and tightly linked, yet poorly studied, predictors are evident: the operational  
216 regime (the extent and temporal pattern of WLR, as exemplified in Fig. 1), and the reservoir's  
217 morphometry and geology. The difference between the highest and lowest water level  
218 determines how deep and large bottom areas are exposed to WLR impacts, including  
219 desiccation, freezing and erosion via ice scouring, waves and wind (Hellsten, 1998).  
220 Correspondingly, the temporal pattern (timing, frequency and rate of change) of WLR  
221 influences physical, chemical and biological impacts (Marttunen et al., 2006; Cott et al., 2008;  
222 Zohary & Ostrovsky, 2011). For instance, water level drawdowns expose bottom areas to  
223 desiccation and wind erosion during open-water periods and to freezing and ice scouring during  
224 ice-cover periods. Raising water levels may increase input of allochthonous nutrients and  
225 organic matter, including invertebrate prey for fish, during open-water periods, and decrease  
226 ice-cover stability during cold seasons (e.g. Baxter, 1977). Organisms and life-stages varying  
227 in size, mobility and sensitivity show different responses to WLR (see "*Effects on lower trophic*  
228 *levels*"). Small, sessile or highly specialized taxa and life-stages are generally more vulnerable  
229 than large, mobile or more generalist taxa and conspecifics. Hence, the operational regime  
230 largely shapes the degree and nature of WLR impacts on different levels of biological  
231 organization.

232

233 WLR may have drastically different impacts on reservoirs that differ in morphometry (i.e., area,  
234 depth and shoreline complexity) or geology. Lake morphometry determines several  
235 fundamental limnological factors, such as habitat availability and productivity (Wetzel, 2001;  
236 Vadeboncoeur et al., 2008; McMeans et al., 2016). Lakes with complex (dendritic) shorelines  
237 and gentle slopes generally have larger littoral zones and experience more complex mixing  
238 processes compared to lakes with simple shorelines and steep shores. Although steep and  
239 circular lakes have larger proportions of pelagic and profundal habitats, WLR can still have  
240 severe environmental impacts, particularly if the entire littoral habitat is disturbed (Marttunen  
241 et al., 2006). Lakes formed on, or surrounded by, loose substrates such as peatland or clay soils  
242 are likely more sensitive to WLR-induced changes in water quality than those based on solid  
243 bedrock. For instance, several alpine reservoirs in Norway have very turbid water due to high  
244 resuspension of silt from the sediment to the water column, which is still evident decades after  
245 the onset of hydropower operations (Fig. 3D; Eloranta et al., 2016a). Such potential changes in  
246 light penetration and nutrient availability ultimately affect biological productivity, ranging from  
247 primary producers up to top predators, both in the littoral and pelagic food-web compartments  
248 (Wetzel, 2001; Vadeboncoeur et al., 2008; Karlsson et al., 2009). Hence, the reservoir's  
249 operational regime, morphometry and geology are all essential factors that determine how WLR  
250 affects reservoir ecosystems. Next, we discuss in more detail how the WLR-induced changes  
251 in abiotic conditions influence different trophic levels in the littoral and pelagic food-web  
252 compartments.

253

## 254 **Effects on lower trophic levels**

### 255 *Littoral zone*

256 The lake littoral zone is typically the most diverse and productive area (Vadeboncoeur et al.,  
257 2002; Cantonati & Lowe, 2014), particularly in oligotrophic, clear-water lakes (Karlsson &

258 Byström, 2005; Ask et al., 2009; Hampton et al., 2011). Hence, WLR-induced disturbance to  
259 the littoral zone commonly decreases biological productivity and diversity in the whole  
260 reservoir ecosystem (Fig. 4). Freezing, desiccation and direct physical stress associated with  
261 WLR often decrease the abundance and diversity of littoral sessile macrophytes and benthic  
262 algae (e.g. Hellsten & Riihimäki, 1996; Mjelde et al., 2013; Evtimova & Donohue, 2014, Hirsch  
263 et al., 2016). These changes at the bottom of the food web are often reflected in higher trophic  
264 levels (i.e., benthic invertebrates and fish) via reduced food and habitat resources (e.g. Grimås  
265 1964, 1965; Aroviita & Hämäläinen, 2008; Milbrink et al., 2011). Recent empirical studies  
266 provide further evidence that WLR can reduce littoral primary production (Hirsch et al., 2016)  
267 and induce a pelagic niche shift by generalist fish (Eloranta et al., 2016a).

268

269 The species richness of benthic invertebrates is commonly reduced due to WLR, because  
270 sensitive taxa are lost and only more tolerant taxa remain (Smith et al., 1987; Aroviita &  
271 Hämäläinen, 2008; White et al., 2011). Sensitive taxa typically cannot escape or endure  
272 unfavourable conditions, or they suffer from mismatched life-history events as natural water  
273 levels turn into WLR. These taxa often include important fish food resources, such as large  
274 crustaceans, molluscs and insect larvae (Grimås, 1964, 1965; Aass, 1969; McEwen & Butler,  
275 2010). While the species richness of benthic invertebrates decreases due to WLR, the densities  
276 of tolerant taxa might increase (Furey et al., 2006; Thompson & Ryder, 2008). The tolerant taxa  
277 predominantly found in alpine reservoirs include chironomids and oligochaetes, as well as other  
278 taxa with physiological or life-cycle adaptations for desiccation and freezing (i.e., diapause  
279 stages, cocoons, and ephippia) (Grimås, 1964, 1965; Palomäki & Koskenniemi, 1993;  
280 Valdovinos et al., 2007). Overall, the general pattern is a decreased biomass and hence  
281 availability of large-sized benthic invertebrate prey for fish.

282

283 *Pelagic and profundal zone*

284 While the effects of WLR on littoral communities are frequently studied, there is limited  
285 empirical evidence of how WLR influences pelagic planktonic and profundal benthic organisms  
286 in alpine reservoirs. These organisms and habitats are likely less affected since they are usually  
287 not exposed to the direct physical disturbance associated with WLR (Spitale et al., 2016; Fig.  
288 4), except increased sedimentation and turbidity due to flushing of fine particles from the  
289 regulation zone (Fig. 3D). However, reduced littoral habitat and food resources can increase  
290 predatory interactions in the pelagic food-web compartment and thereby alter the structure and  
291 stability of entire lake food webs (Tunney et al., 2014; McMeans et al., 2016). WLR-induced  
292 changes in water quality (e.g. turbidity, nutrients and oxygen concentration) and temperature  
293 can alter the abundance and composition of phytoplankton and zooplankton communities  
294 (Baxter, 1977; Zohary & Ostrovsky, 2011). WLR can also reduce habitat availability if the  
295 profundal zone suffers from WLR-induced anoxia (Cott et al., 2008). Zooplankton responses  
296 to WLR in alpine reservoirs may be driven by bottom-up processes, but this remains unstudied  
297 because the few published studies focus on reservoirs in other climatic zones (e.g. Gal et al.,  
298 2013; Simoes et al., 2015). However, one study in a subarctic Newfoundland reservoir found  
299 that zooplankton biomass, which increased approximately 19-fold during 11 years after  
300 impoundment, was not correlated with increased nutrient or resource availability (i.e., bottom-  
301 up processes) but instead with increased retention time and hence decreased washout of  
302 zooplankton (Campbell et al., 1998). Based on stable hydrogen isotope data from ten reservoirs  
303 in central Virginia, zooplankton may rely strongly on allochthonous (terrestrial) resources, but  
304 zooplankton allochthony may not be related to the reservoir age despite successional reduction  
305 of the terrestrial particulate organic matter pool (Emery et al., 2015). In essence, as discussed  
306 in the following section and exemplified by recent research (Eloranta et al., 2016a; Hirsch et  
307 al., 2016), the potential shift from littoral towards more pelagic primary and secondary

308 production can ultimately control the abundance, growth, niche use and competitive  
309 interactions among fish populations in alpine reservoirs (Fig. 4).

310

### 311 **Effects on fish**

312 Compared to abiotic factors and lower trophic levels, the ecology of fish and trophic  
313 interactions among and within fish species are well studied in alpine lakes and reservoirs. Here,  
314 we summarize three main processes that affect fish when natural water level fluctuations change  
315 into WLR: (1) The most obvious and direct effects are changes in spawning success and  
316 population recruitment that result from the degradation or loss of suitable spawning and nursing  
317 grounds, ultimately increasing egg and fry mortality. (2) Further, WLR indirectly affects fish  
318 production and overall fish biomass through changes in the reservoir's overall productivity. In  
319 general, fish biomass may increase following increased availability of allochthonous and  
320 autochthonous organic matter and nutrients due to WLR, but decrease as the reservoir's  
321 succession enters the stage of trophic depression. (3) Finally, the relative changes in the  
322 reservoir's littoral and pelagic food-web compartments can have cascading and feedback food-  
323 web effects. As resources change, competitive and predatory relationships among and between  
324 fish species and their resources are re-arranged. All such trophic interactions occur under a  
325 specific set of aforementioned abiotic conditions (e.g. water clarity and ice cover) which are  
326 dependent on WLR and can influence competitive and predator-prey relationships.

327

#### 328 *Fish spawning and population recruitment*

329 Many alpine fish species are dependent on suitable littoral spawning or nursery grounds. Thus,  
330 a temporal match between water levels and the timing of spawning or development of early life  
331 stages may be crucial for the reproductive success of fish in reservoirs. How exactly fish are  
332 affected depends on the species' spawning season and habitats (Gertzen et al., 2012; Linlökken

333 & Sandlund, 2016). Physical deterioration of littoral spawning grounds due to flushing, erosion,  
334 drying and freezing of the littoral zone is detrimental for both littoral spring- and autumn-  
335 spawning fishes (Kahl et al., 2008). For example, the eggs and juveniles of autumn-spawning  
336 salmonids like brown trout, Arctic charr (*Salvelinus alpinus* L.) and kokanee (*Oncorhynchus*  
337 *nerka* Walbaum) have been found to be exposed to drying or freezing due to water level  
338 drawdown in late spring (e.g. Aass, 1986; Modde et al., 1997; Brabrand et al., 2002).  
339 Recruitment in a population of the shallow-water spawning European whitefish (*Coregonus*  
340 *lavaretus* L.) was negatively affected by the combination of early ice-off and low water levels  
341 in late April (Linløkken & Sandlund, 2016). At the same time, the reduction in the whitefish  
342 population appeared to have resulted in increased recruitment of the competitor vendace (*C.*  
343 *albula* L.). Similar observations have been noted in other European reservoirs, where extensive  
344 water level drawdown in late winter or early spring can also disturb the juvenile survival of  
345 autumn-spawning coregonids (Sutela et al., 2002; Winfield et al., 2004).

346

347 Studies on fish that depend on suitable littoral areas for nest building in spring suggest that  
348 WLR may result in non-optimal nest placement or nest abandonment, which ultimately impairs  
349 recruitment (Clark et al., 2008). In contrast, WLR and flooding of vegetated riparian areas may  
350 provide profitable spawning and nursery habitats for littoral spring-spawning fishes (Miranda  
351 et al., 1984; Miranda & Lowery, 2007). Indeed, higher than normal water levels during the  
352 spawning period have been associated with dominant year-classes of spring-spawning pike  
353 (*Esox lucius* L.) and roach (*Rutilus rutilus* L.) populations in lowland reservoirs (Kahl et al.,  
354 2008), but similar recruitment studies for alpine reservoirs are largely lacking (except recent  
355 work by Linløkken & Sandlund, 2016). In some cases, prolonged water level drawdowns that  
356 coincide with spawning and growing periods can have positive effects on resident fish  
357 populations: decreased population size due to recruitment failure can result in increased growth

358 rates in the surviving recruits due to reduced intra-specific competition (Heman et al., 1969;  
359 Eloranta et al., 2016a). In alpine reservoirs, some species may also adapt their spawning  
360 behavior to compensate for the loss of spawning habitat by utilizing inlet streams, or by shifting  
361 spawning grounds below the regulation zone. For example, in a reservoir in southwestern  
362 Norway, a strong reduction in brown trout recruitment was predicted prior to the start of  
363 hydropower operations in 1969, because in-lake spawning occurred on littoral grounds within  
364 the regulation zone (Rosseland, 1964). However, brown trout maintained high recruitment  
365 success by spawning below the drawdown limit, where eggs did not suffer from desiccation  
366 (Brabrand et al., 2002). Correspondingly, the older Ringedal reservoir in western Norway  
367 (regulated since 1908) is dominated by a dense population of brown trout although there are no  
368 inlet rivers available for spawning (Borgstrøm et al., 1992). In summary, WLR may have direct  
369 negative effects on fish that rely on the littoral zone as a spawning ground (Sutela & Vehanen,  
370 2008), but whether such effects are reflected in the growth of cohorts, and ultimately population  
371 biomass, depends on the species and local reservoir conditions.

372

### 373 *Fish biomass and overall productivity*

374 When a lake is turned into a reservoir, the WLR-induced release of nutrients from sediments or  
375 newly flooded land may promote primary and secondary production (Rydin et al., 2008).  
376 Overall fish biomass may initially increase as autochthonous production increases and there is  
377 a higher availability of drifting littoral and terrestrial prey for larger consumers (Baxter, 1977;  
378 Milbrink et al., 2011). As the reservoir ages, nutrient input from the inundated land and the  
379 littoral zone commonly declines, and large-bodied and energetically profitable  
380 macroinvertebrate prey items may disappear. Smaller macroinvertebrates that are less  
381 energetically profitable for fish frequently start to dominate (McEwen & Butler, 2010) (Fig. 4).  
382 An overall reduction in nutrient load in the reservoir can result in a reduction in the pelagic



383 resource base (Rydin et al., 2008; Milbrink et al., 2011). In combination with the more evident  
384 reduction in the littoral resource base, as well as potential recruitment failure, this often leads  
385 to an overall reduction in fish biomass as the reservoir's autochthonous production stabilizes  
386 below pre-damming levels (Aass, 1990; Aass et al., 2004; Milbrink et al., 2011). A recent study  
387 from 283 Norwegian lakes indicates that brown trout abundance is generally lower in regulated  
388 lakes as compared to unregulated lakes, even when natural variation in lake abiotic and biotic  
389 characteristics, as well as fish stocking activity, are taken into account (Eloranta et al., 2016b).  
390 However, as indicated by e.g. Enge & Kroglund (2011), fish yield in alpine reservoirs may not  
391 always respond negatively to WLR. This is likely because other natural (e.g. lake morphometry,  
392 climate and fish community composition) and anthropogenic (e.g. stocking and fishing) factors  
393 may partly compensate or mask the WLR impacts. Moreover, the results from alpine reservoirs  
394 contrast with observations from tropical reservoirs where fish yields are often positively  
395 affected by WLR (Kolding & van Zwieten, 2011).

396

#### 397 *Habitat use and interactions between fish*

398 Because WLR leads to changes in availability of littoral and pelagic resources, they can further  
399 alter the competitive and predatory interactions between and among fish species (Fig. 4). A  
400 recent study from northern Norway demonstrated that WLR-induced recruitment failure and  
401 decline of littoral resources led to reduced population size and increased use of pelagic and  
402 profundal food and habitat resources by small Arctic charr (Eloranta et al., 2016a). Larger fish  
403 capable of adopting a predatory diet may simply switch to consuming fish as prey if littoral  
404 resources become less available (e.g. Eloranta et al., 2015). Species that are more specialized  
405 to either littoral or pelagic resources are likely more affected than less specialized species if  
406 resources overall become sparse or inaccessible and competition for resources increases. The  
407 complex interplay of competitive interactions is well illustrated by Arctic charr and brown trout

408 (Lindström, 1973). Arctic charr and brown trout are the most common fish species inhabiting  
409 reservoirs located in European alpine areas. Brown trout is a more littoral specialized feeder  
410 and thus expected to be more vulnerable to WLR than Arctic charr, which can more effectively  
411 utilize pelagic and profundal food and habitat resources (Nilsson, 1961; Lindström, 1973;  
412 Eloranta et al., 2013). Studies from European alpine reservoirs show that both fish species can  
413 subsidize reduced littoral food resources by foraging on terrestrial prey during the summer  
414 season (Saksgård & Hesthagen, 2004; Eloranta et al., 2016a). However, Arctic charr include  
415 more pelagic prey in the diet, which releases it from competition for littoral resources (Nilsson,  
416 1961; Gregersen et al., 2006; Eloranta et al., 2013). Competitive and predator-prey interactions  
417 can be further complicated by the establishment of introduced prey species. For example, after  
418 the opossum shrimp (*Mysis relicta* Lovén) was accidentally introduced through hydropower  
419 operation in a large Norwegian reservoir, Arctic charr shifted to feed predominantly on the new  
420 pelagic prey, whereas the diet of brown trout remained unchanged (Gregersen et al., 2006).

421  
422 WLR can affect fish through more complex factors than mismatching water levels during  
423 spawning season and alterations in the littoral and pelagic food bases. One important abiotic  
424 condition that strongly influences trophic relationships, and eventually fish populations, is  
425 water clarity. Most fish are visual hunters and turbidity can greatly affect feeding efficiency  
426 and hence trophic relationships (Bartels et al., 2012). WLR-induced changes in ice cover also  
427 alter the visual conditions in the water and may affect feeding behavior in fish and other  
428 organisms. For example, field and laboratory studies suggest that Arctic charr is generally a  
429 superior competitor over brown trout in colder and darker environments (Helland et al., 2011).  
430 Changes in turbidity following WLR can also affect predator-prey relationships among fish.  
431 For example, in alpine reservoirs in New Zealand, small benthic koaro (*Galaxias brevipinnis*  
432 Günther) were five times more abundant in places where WLR induced high turbidity, because

433 turbid water provided protection from visually hunting salmonids (Rowe et al., 2003). This  
434 example demonstrates that WLR not only affect fish through alterations in resource availability,  
435 but also indirectly through alterations in the abiotic conditions under which resources are  
436 utilized.

437

## 438 **Conclusions**

439 Our review demonstrates that the environmental effects of WLR are complex and that abiotic  
440 and biotic factors can cause changes within the reservoir ecosystem that are hard to predict.  
441 Still, we can synthesize which factors determine the environmental effects of WLR  
442 (summarized in Table 1). We argue that these factors and their uncertainties must be addressed  
443 when scientist and practitioners are tailoring research programs and/or management plans for  
444 specific reservoirs. Some of the factors we summarize (e.g., reservoir morphometry and  
445 operational regime) were rarely included in previous studies and should be addressed more  
446 thoroughly in future research. Furthermore, large-scale modelling studies across several lake  
447 and reservoir types and consistent recording, sharing and analyzing of time-series data would  
448 provide fundamental insights into general WLR impacts. A more general understanding of  
449 WLR impacts would ultimately improve predictions of the environmental effects in reservoirs  
450 at the local level, something that is needed for the sustainable development of hydropower  
451 operations.

452

### 453 *Consider temporal and spatial variation*

454 As outlined above, the biological productivity and ecological status of a reservoir depends on  
455 how the reservoir is created (e.g., regulation of a previously natural lake *versus* a new reservoir  
456 filling previously dry land areas) and for how long the water level has been regulated for  
457 hydropower production. Most available research is based on single “snapshot” observations and

458 thus the reservoir's succession is rarely acknowledged (but see Rydin et al., 2008; Milbrink et  
459 al., 2011). Time-series analyses, including monitoring, paleolimnological and before-after-  
460 control-impact studies, as well as year-round studies conducted in multiple reservoirs would  
461 significantly improve our understanding of how WLR impacts are shaped by the reservoir's  
462 succession as well as the seasonal fluctuations in abiotic and biotic conditions (Table 1).  
463 Moreover, experimental and reservoir-specific studies of WLR are needed to establish causality  
464 between different patterns of WLR and environmental effects, both abiotic and biotic. For  
465 example, fish recruitment and year-class-strength may vary naturally between years due to  
466 match or mismatch between spawning time and optimal environmental conditions. In  
467 reservoirs, recruitment variation results from interactions between natural inter-annual  
468 variations in climate and the operational regime of hydropower production, and the two  
469 processes must be disentangled to establish causality between WLR and changes in fish yields.  
470 Finally, as explained above and indicated in Table 1, reservoir morphometry and geology may  
471 largely determine, but also have complex interactions with, biotic factors, such as the loss of  
472 littoral primary production or fish spawning areas. Space-for-time studies may help to tackle  
473 this complexity, particularly if the WLR impacts are modelled across climatic, morphometric,  
474 and biotic community gradients from multiple reservoirs. Research considering both temporal  
475 and spatial variation is essential for identifying the most sustainable hydropower operations that  
476 maximize energy production with limited environmental impacts.

477

#### 478 *Integrate littoral and pelagic processes*

479 To understand and minimize ecosystem-level impacts of WLR, both littoral and pelagic habitats  
480 and food-web compartments should be considered. Although the littoral habitat and biota may  
481 seem most vulnerable to WLR, it must be kept in mind that the apparently distinct habitats and  
482 food-web compartments interact strongly and ultimately determine the structure and stability

483 of lake food webs (Vadeboncoeur et al., 2002; Tunney et al., 2014; McMeans et al., 2016).  
484 Modern stable isotope methods, such as compound-specific isotope analyses, isotopic labelling  
485 and analysis of multiple isotopes (e.g. C, N, H, S and O), can help to understand the resource  
486 use of different taxa and how WLR influence the structure (e.g. food-chain length) and function  
487 (e.g. littoral *versus* pelagic energy flow to top consumers) of reservoir food webs (Layman et  
488 al., 2012; Middelburg, 2014; Eloranta et al., 2016a).

489

490 *Acknowledge the complexity of fish life cycles*

491 In our review, we assume that fish can serve as integrators of ecosystem changes, but effects  
492 seen in fish strongly depend on which life-stage of any given fish species is affected. Therefore,  
493 acknowledging that effects are life-stage dependent will help to improve our understanding of  
494 WLR effects in general. For example, the most directly established effect of WLR on fish may  
495 be the loss or provision of suitable spawning grounds. However, how changes in population  
496 recruitment triggered by WLR can affect the older life-stages via reduced intra- and inter-  
497 specific competition remains understudied. Future studies covering different fish life-stages are  
498 essential to determine the overall population-, community- and ecosystem-level effects of  
499 changing resource and habitat availability due to WLR.

500

501 *Include the operational regime of the power plant*

502 WLR depends on, and thus is as variable as, the operational regime of the hydropower plant.  
503 The operational regime for the hydropower plant typically changes in response to electricity  
504 prices, but could also be governed by science-based rules designed to required environmental  
505 standards (Smith et al., 2016; Kelly et al., 2016). Science-based regulation holds great potential  
506 to introduce a reasoned management approach to WLR aimed at mitigating environmental  
507 effects. However, understanding the causality between WLR patterns and environmental effects

508 first requires an analysis of how the operational decisions to store or discharge water translate  
509 into WLR (Hirsch et al., 2014). Future scenarios of global energy systems predict that the share  
510 of renewable intermittent energies will increase and will change the WLR patterns (Solvang et  
511 al., 2014; Hirsch et al., 2016). The profitable development of hydropower will need to account  
512 for key environmental concerns to secure important ecosystem functions and services (Jager &  
513 Smith, 2008; Hirsch et al., 2014). In practice, this will require a better knowledge of the  
514 connections between operational regime of WLR and the ecosystem-level impacts. Thus,  
515 knowledge of WLR impacts needs to build on a better understanding of both the operational  
516 regime as well as the environmental effects it causes. More specific predictions of causes and  
517 effects therefore require a system-specific assessment of both factors in concert. Here, the  
518 concept of environmental design of hydropower (Hellsten et al., 1996; Forseth & Harby, 2014)  
519 as well as early involvement of relevant stakeholders, including the hydropower companies,  
520 scientists, public and environmental agencies (Kumar et al., 2011; Nieminen et al., 2016), will  
521 be fundamental for the economically, environmentally and socially sustainable development of  
522 hydropower operations.

523  
524

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792 **Figure captions**

793 **Fig. 1** Daily water levels in heavily regulated Lake Sirkelvatnet and slightly regulated Lake  
794 Ångardsvatnet, Norway. The data illustrates different regulation patterns in reservoirs, with a  
795 more drastic but gradual winter drawdown in Sirkelvatnet as compared to generally minor, but  
796 relatively rapid, water level fluctuations in Ångardsvatnet. Note that the values for  
797 Ångardsvatnet are presented on a secondary y-axis with a smaller range of water levels. The  
798 water level data were extracted from the Hydra II database maintained by the Norwegian Water  
799 Resources and Energy Directorate.

800  
801 **Fig. 2** Brown trout yield (in grams per 100 m<sup>2</sup> of multi-mesh gillnet per night) from  
802 standardized survey fishing (see Eloranta et al., 2016b for more details) conducted in 67  
803 Norwegian reservoirs that differ in regulation amplitude (i.e., maximum difference between the  
804 highest and the lowest water level). The reservoirs are considered highly comparable as they  
805 are located within a geographically limited area and they host brown trout as the only fish  
806 species. The results from linear ( $F_{1,65} = 0.177$ ,  $P = 0.675$ ) and non-linear ( $F_{2,64} = 0.457$ ,  $P =$   
807  $0.636$ ) models, the latter including linear and quadratic terms of regulation amplitude, indicate  
808 non-significant relationships.

809  
810 **Fig. 3** (A) Water level regulation for hydropower production can lead to severely impaired  
811 littoral zone as in the Schluchsee reservoir in the German Schwarzwald Highlands. (B) During  
812 winter, water level fluctuations can break up ice formation, as illustrated here from the  
813 Eldrevatn reservoir in Sogn og Fjordane, Norway. (C) The water levels in small lakes frequently  
814 exceed the natural levels when the lake is dammed and transformed into a hydropower  
815 reservoir. Here, the effect is illustrated with aerial photos taken before (1961) and after (2014)  
816 the construction of the Nesjøen dam (River Nea, Sør-Trøndelag, Norway). Flooding the valley  
817 below Lake Essandsjøen up to the upper water level of the lake created a continuous reservoir

818 with surface water levels between 723 and 731 m.a.s.l. (D) An aerial photograph illustrating  
819 how WLR influences lake shoreline and water turbidity in the Langvatn reservoir in Nordland,  
820 Norway (maximum regulation amplitude 42 m). The small lakes north and east from the  
821 Langvatn reservoir are not subjected to unnatural shoreline erosion and resuspension of silt and  
822 thus have undisturbed littoral zones and clear water. Source of aerial photographs (A, D):  
823 [www.kart.finn.no](http://www.kart.finn.no). Picture credits: Philipp Hirsch: (B); Nils Roar Sælthun: (C).

824

825 **Fig. 4** Schematic illustration of how WLR influences lower trophic levels and fish in reservoirs.  
826 The littoral food-web compartment is affected by a loss of primary producers and a subsequent  
827 change in community composition and density of primary consumers. Sessile taxa become  
828 replaced by taxa that can move faster or have physiological adaptations or resting stages that  
829 survive desiccation and freezing. The effects of WLR on the pelagic food-web compartment  
830 are less straightforward because pelagic organisms are less impacted by rising or falling water  
831 levels as they can simply ‘move’ with the water level. However, zooplankton communities can  
832 be indirectly affected by WLR. For example, nutrient dynamics, water retention time and other  
833 abiotic conditions such as water clarity can cause changes in predator-prey dynamics in the  
834 pelagic food-web compartment. Many fish species use the littoral zone as feeding, spawning or  
835 nursery grounds, but WLR can make the habitat unavailable when water levels fall or become  
836 unsuitable as a result of macrophyte loss or increased substrate siltation. Due to the reduced  
837 littoral resources, competitive interactions among fish change. Species and individuals that are  
838 better in exploiting pelagic or profundal resources gain a competitive edge over littoral  
839 specialists. Picture credit: Sigrid Skoglund. Drawings of benthic invertebrates and zooplankton:  
840 Pekka Antti-Poika.

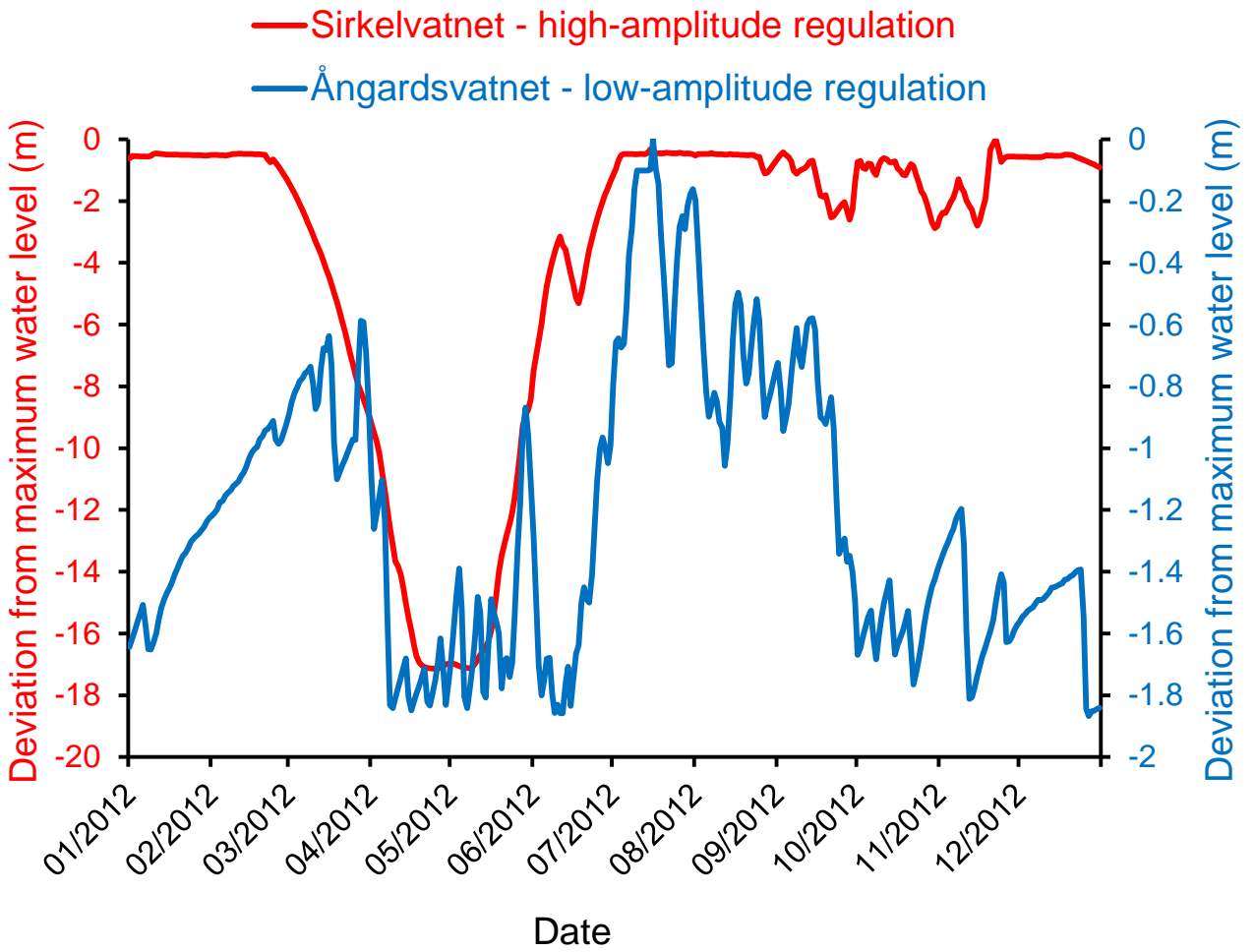
841 **TABLES**

842 **Table 1.** Summary of identified WLR effects, the mechanisms through which the effects take  
 843 place, and confounding factors that can mask, alter and/or interact with the WLR effects. In all  
 844 cases the operational regime or how the water level is regulated for hydropower production  
 845 (e.g., traditional *versus* pump-storage operation, the amplitude, timing, frequency and rate of  
 846 change of WLR) will strongly affect the abiotic and biotic conditions.

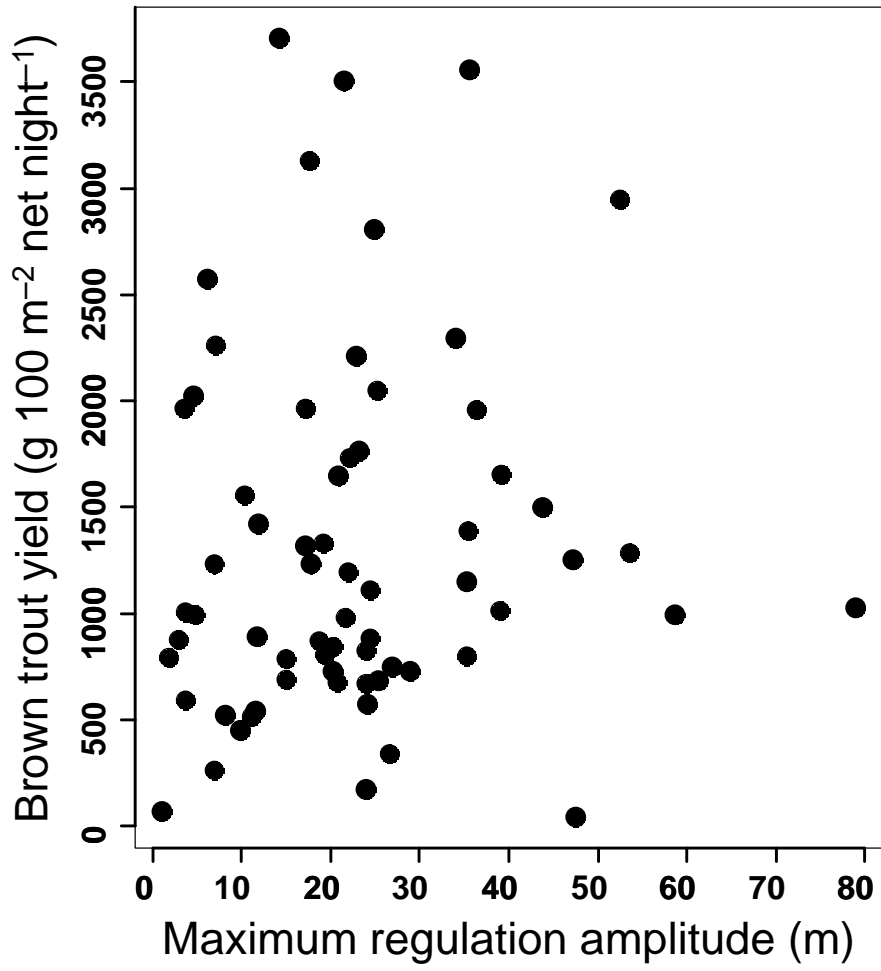
	<b>WLR effects</b>	<b>Mechanisms</b>	<b>Confounding factors</b>
<b>Abiotic conditions</b>	Altered temperature and oxygen conditions	Increased mixing, loss of oxygenated water	Reservoir morphometry, location of turbine tunnels
	Shorter ice-cover period	Weakened ice cover	Reservoir morphometry, location of turbine tunnels
	Altered water quality	Resuspension and leaching of inorganic and organic matter	Reservoir morphometry, geology and succession, location of turbine tunnels
<b>Lower trophic levels</b>	Decreased littoral production and diversity	Freezing, desiccation and physical alteration of shallow bottom areas	Reservoir succession, morphometry and geology
	Altered pelagic production and diversity	Changes in abiotic conditions and fish predation pressure	Reservoir succession, morphometry and geology, fish community composition
<b>Fish</b>	Successional change of fish abundance	Changes in lake productivity and food availability	Reservoir succession, fish community composition
	Altered intra- and inter-specific interactions	Changes in relative availability of littoral and pelagic resources	Reservoir morphometry, geology and succession, fish community composition

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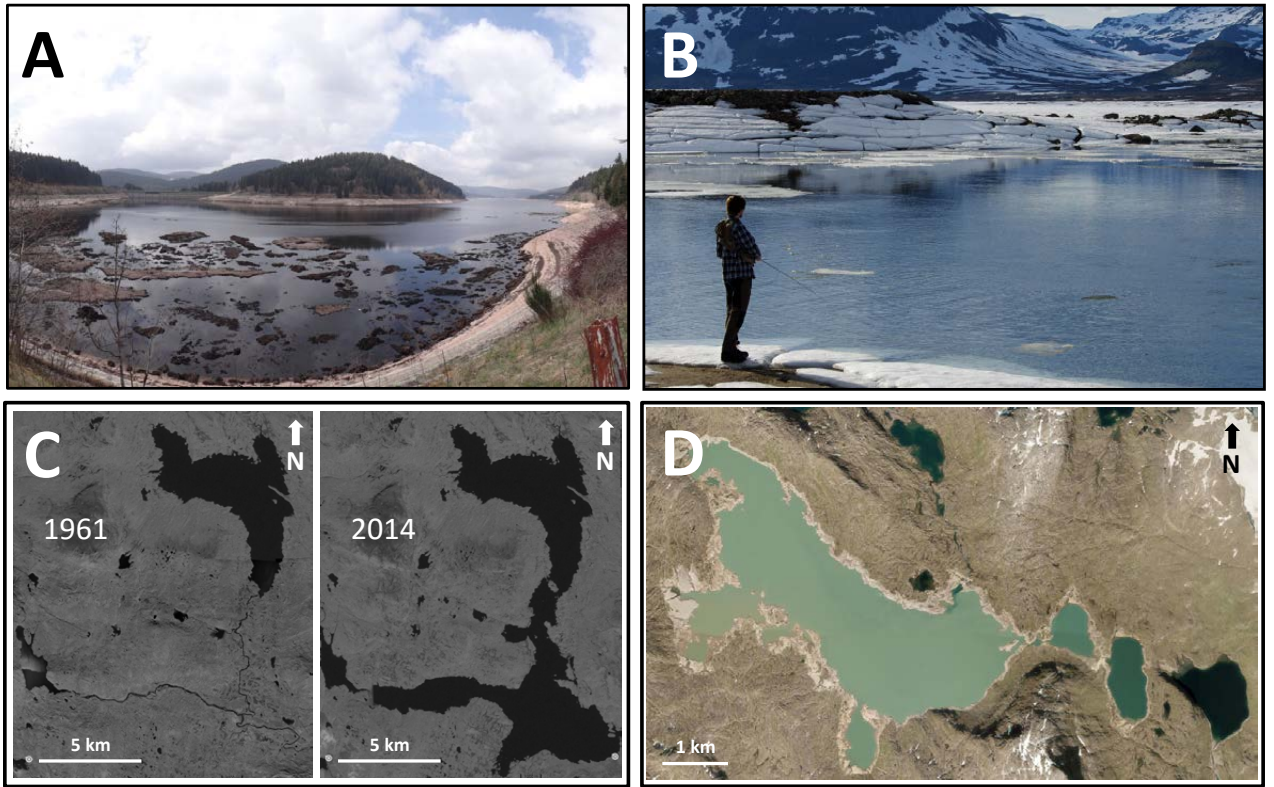
849 Fig. 1



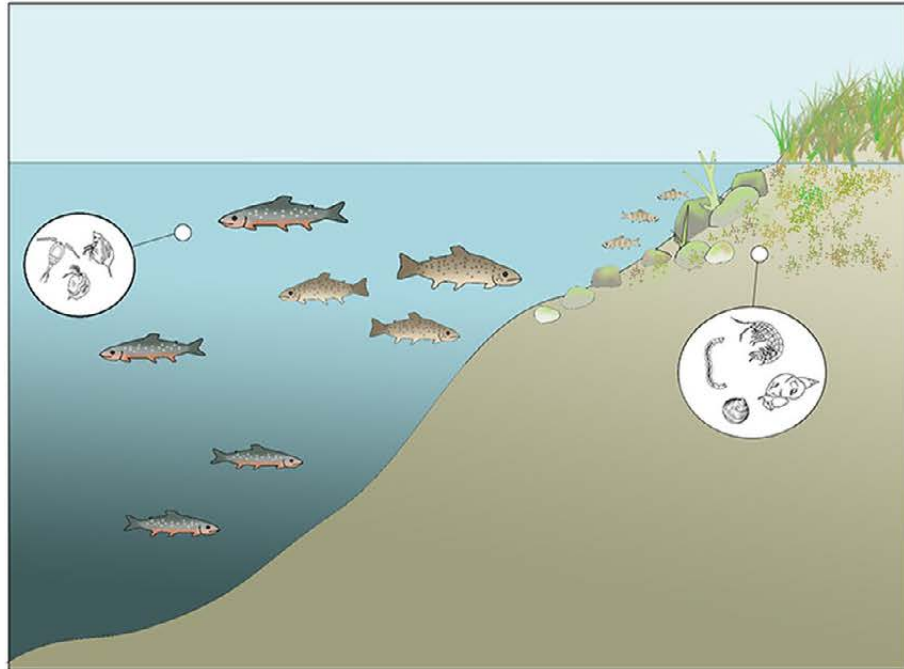
851 Fig. 2



852



## Unregulated



## Regulated

