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1 **Distinct host-mycobacterial pathogen interactions between resistant adult and**
2 **tolerant tadpole life stages of *Xenopus laevis***

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14

15 **Running title:** Distinct *Xenopus* tadpole and adult response to mycobacteria

16

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23 **Abstract**

24

25 *Mycobacterium marinum* (*Mm*) is a promiscuous pathogen infecting many
26 vertebrates including humans, whose persistent infections are problematic for aquaculture
27 and public health. Among unsettled aspects of host-pathogen interactions, the respective
28 roles of conventional and innate-like (i)T cells in host defenses against *Mm* remain
29 unclear. Here, we developed an infection model system in the amphibian *Xenopus laevis*
30 to study host responses to *Mm* at two distinct life stages, tadpole and adult. Adult frogs
31 possess efficient conventional T cell-mediated immunity, whereas tadpoles
32 predominantly rely on innate-like (i)T cells. We hypothesized that tadpoles are more
33 susceptible and elicit weaker immune responses to *Mm* than adults. However, our results
34 show that although anti-*Mm* immune responses between tadpoles and adults are different,
35 tadpoles are as resistant to *Mm* inoculation as adult frogs. *Mm* inoculation triggered a
36 robust pro-inflammatory CD8⁺ T cell response in adults, whereas tadpoles elicited only a
37 non-inflammatory CD8 negative- and iT cell-mediated response. Furthermore, adult anti-
38 *Mm* responses induced active granuloma formation with abundant T cell infiltration and
39 associated with significantly reduced *Mm* loads. This is reminiscent of local CD8⁺ T cell
40 response in lung granulomas of human tuberculosis patients. In contrast, tadpoles rarely
41 exhibited granulomas and tolerated persistent *Mm* accumulation. Gene expression
42 profiling confirmed poor tadpole CD8⁺ T cell response contrasting with the marked
43 increase in transcript levels of the anti-*Mm* iT cell receptor rearrangement (*iVa45-Ja1.14*)
44 and of CD4. These data provide novel insights into the critical roles of iT cells in
45 vertebrate anti-mycobacterial immune response and tolerance to pathogens.

46

47 **Key points**

- 48 • *Xenopus tadpoles and adults have adapted distinct immune responses to*
49 *Mycobacteria*
- 50 • *A disease tolerance involving non-inflammatory iT cell response in tadpoles*
- 51 • *A disease resistance with granuloma, inflammation and CD8 T cell response in*
52 *adults*

53

54

55 **Introduction**

56 *Mycobacteria marinum (Mm)* resides in marine and freshwater and is capable of
57 infecting a broad range of aquatic species including fish, reptiles, amphibians (e.g.,
58 *Xenopus laevis* [1]), and mammals including humans (reviewed in [2]). *Mm* is difficult to
59 eradicate in aquaculture, especially when introduced to a recirculating water system. This
60 pathogen, which causes fish mycobacteriosis outbreaks, can severely impacts aquaculture
61 as reviewed in [3]. In human, *Mm* causes opportunistic infection in the skin, and poses
62 public health risks [3]. As such, a better understanding of host immune responses to *Mm*
63 remains crucial to improve diagnostics, treatment options, and vaccine strategies.

64 Importantly, *Mm* often serves as a useful Biosafety Level 2 (BSL2) alternative
65 pathogen for *Mycobacterium tuberculosis (Mtb)*, the causative agent for important human
66 tuberculosis (TB; [4]). Like *Mtb*, *Mm* has been shown to survive within host
67 macrophages, and is able to induce caseating granulomas in a zebrafish model [5].
68 Furthermore, studies have shown that *Mtb* and *Mm* share selected virulence determinants
69 such as ESX-1 secretion system for phagosomal arrest in the host macrophages [1]. *Mm*

70 grows optimally at 30 – 33°C, which is lower than the optimal temperature of *Mtb*
71 (~37°C) and replicates every 4 hours, which is markedly shorter than replication time of
72 *Mtb* (~20 hr) and more convenient for *in vitro* studies. Therefore, investigation with *Mm*
73 will further benefit our understanding of host anti-mycobacterial immune responses
74 providing a valuable and practical model that may ultimately lead to the development of
75 new immunotherapeutic-based strategies for TB.

76 *X. laevis* is an attractive comparative immunology animal model due to its fully
77 sequenced genome, and the availability of large genetic and genomic resources, as well
78 as the remarkable similarity of its immune system with that of humans [6, 7]. Unlike
79 mammals, however, *X. laevis* undergoes metamorphosis and has distinct T cell
80 populations prior to and after this developmental transition. *X. laevis* tadpoles lack an
81 optimal protein level of classical MHC class I molecule, yet express multiple *Xenopus*
82 MHC class I-like genes (*XNCs*). In mammals, MHC I-like molecules have been shown to
83 restrict innate-like T (iT) cells that exhibit features of both innate and adaptive immune
84 cell effectors. Similarly, several iT cell subsets have been identified in *X. laevis* [8].
85 These iT cell subsets are predominant in tadpoles representing as much as 80% of
86 CD8^{low/negative} splenic T cells, whereas they represent only a minority compared to
87 conventional T cells in adults *X. laevis*.

88 Generally, tadpoles are considered to have a less efficient immune response and,
89 thus, to be more susceptible to natural pathogens (reviewed in [9]). However, a more
90 detailed investigation *in X. laevis* suggests that distinct specialization of immune response
91 mediated by iT cells allows the immune competence of tadpoles against distinct
92 pathogens [10, 11]. Importantly, one of the iT cell populations exhibiting the invariant

93 TCR α rearrangement *iV α 45-J α 1.14*, has been shown to be critical for tadpole resistance
94 to *Mm* infection [11].

95 In mammalian models, two iT cell subsets have been studied in the context of
96 anti-mycobacterial immune response: mucosal associated innate T cells (MAIT) and
97 invariant natural killer T cells (iNKT). A few clinical studies, along with *in vitro* studies
98 suggest protective functions of both types of iT cell at least at early stage of
99 mycobacterial infections (reviewed in [12, 13]). However, investigation of the specific
100 regulatory and/or effector functions, activation, and recruitment of iNKT and MAIT cells
101 have been challenged by the lack of suitable animal models (reviewed in [14]). As such
102 *X. laevis*, exhibiting a distinct T cell balance between different life stages, would provide
103 a useful model system to gather new insights into iT cell-mediated immune functions
104 during mycobacterial infection.

105 Here, we report evidence of a distinct immune response and histopathology
106 between *X. laevis* adults and tadpoles towards *Mm*. In support of our hypothesis that the
107 respective response of iT and conventional T cells is distinct between tadpoles and adult
108 frogs, we found markedly different conventional anti-*Mm* T cell response, histopathology
109 and *Mm* growth between these two life stages.

110

111

112 **Materials and Methods**

113 **Animals.** Two-year-old adult frogs and three-week-old pre-metamorphic outbred
114 tadpoles (stages 52) were obtained from our *X. laevis* research resource for immunology
115 at the University of Rochester, Rochester, New York, USA,

116 <http://www.urmc.rochester.edu/smd/mbi/xenopus/index.htm>. All animals during
117 experiments were carefully handled under the University of Rochester Committee on
118 Animal Resources regulations (approval number 100577/2003-151).

119

120 ***Mm* inoculation.** The *Mm* strain PM2960 was derived from a clinical isolate (stock
121 number PM2690) generously provided by Dr. Hardy, University of Rochester, Rochester,
122 NY. Fluorescent *Mm* strain (PM3495) was generated by transformation of a plasmid
123 (pMV261.Kan.DsRed), kindly provided by W. R. Jacobs, Albert Einstein College of
124 Medicine, Bronx, NY, into the parental PM2960 strain of *Mm*. *Mm* was cultured in
125 Middlebrook 7H9 broth until saturation, and tittered stocks prepared and frozen at -80°C
126 as previously described [11]. A working concentration for intraperitoneal injection into
127 the animals and for *in vitro* infection of leukocytes was prepared by diluting the growth
128 media in the amphibian phosphate buffer solution (APBS) with 0.05% of Tween 80.
129 Tissues were harvested from euthanized animals at indicated days post-inoculation or
130 from postmortem animals for further analyses.

131

132 **Survival analysis of *Mm* inoculated adults and tadpoles** To examine the host
133 resistance of *X. laevis* adults and tadpoles to *Mm* infection, 4-month-old adult frogs and 3
134 week-old pre-metamorphic tadpoles (stage 52) [15] were intraperitoneally inoculated
135 with three different doses of *Mm*: low dose (5×10^5 CFU), medium dose (1×10^6 CFU), and
136 high dose (2×10^6 CFU). The survival of the animals was monitored daily for one month
137 and we investigated the effects of host life-stage (tadpole or adult) and dose on
138 survivorship.

139

140 **Histopathology, immunohistochemistry (IHC) and *in situ* hybridization (isH).** The
141 liver of post-mortem adult frogs (euthanized at 14 and 50 dpi, n=1 each) and whole
142 tadpoles (euthanized at 30 dpi, n=2) inoculated with *Mm* were fixed in 10% formalin and
143 processed for routine histologic examination. Based on previous observation, the liver
144 serves as the main organ infected by *Mm* [11]. Cross sections of the adult livers and full
145 length longitudinal sections of the tadpoles were stained with Hematoxylin & Eosin [16],
146 as well as special stains for bacteria and acid-fast organisms: Gram [17], Ziehl Neelsen
147 [16] and Fite Faraco [18]. Microgranulomas were counted in three random low power
148 fields (10x) from 4 adults (50 dpi) and 4 tadpoles (30 dpi). The average number of
149 microgranulomas per individual was used to represent the number of microgranulomas
150 per 0.5 mm² of liver tissue. Immunohistochemical (IHC) staining with anti-CD3 receptor
151 antibody (Leica Cat # PA0553; [19]) was performed using an automated platform to
152 identify conventional T cells (Bond-Max IHC/ISH platform, Bond polymer refine DAB
153 kit, Bond polymer refine red kit; Leica Biosystems, Newcastle Upon Tyne, United
154 Kingdom). A negative control (staining without the primary anti-CD3 staining) was run
155 along with the test slides. A tissue was considered positive if strong and distinct staining
156 with anti-CD3 antibody was present in the membrane of individual cells and background
157 staining was either absent or clearly distinct from true specific staining. An *in situ*
158 hybridization (isH) probe for *Mycobacterium* sp (ACDBio RNAscope Probe-B-MBovis-
159 23SrRNA, Cat No. 446011, Target region 3-3 – 1286, GenBank NR_076088.1, Brosch
160 2007) was applied following the manufacturer's specifications to identify the presence of
161 *Mm*.

162

163 **RNA, and genomic DNA isolation from tissues, RT-PCR, and PCR.** Total RNA and
164 genomic DNA were extracted from the animal's tissues by using TRIzol reagent,
165 following the manufacturer's protocol (Invitrogen). Total 2 µg of RNA were used to
166 synthesize complementary DNA (cDNA) by a reverse transcriptase, M-MLV (Invitrogen)
167 with a mixture of oligo(dT) primer (Invitrogen). For reverse transcription (RT)-PCR, 125
168 ng of cDNA were used to determine the expression levels of genes of interest by $\Delta\Delta CT$
169 value with ABI 7300 Real-Time PCR System and PerfeCTa SYBR Green FastMix ROX.
170 The expression levels are normalized to that of an endogenous housekeeping gene,
171 *gapdh*, then further normalized against the lowest observed expression. All the primers
172 are validated prior to use. Further, the absolute quantification method was done using the
173 quantitative RT-PCR (qRT-PCR) analysis. Using a *Mm* 16srRNA PCR fragment was
174 cloned into the pGEM-Easy Vector (Promega), further transformed into DH10 β
175 competent bacteria for amplification. The plasmid stock was serially diluted in the range
176 between 10^{10} to 10^1 plasmid copies of 16srRNA *Mm* in order to generate a standard curve
177 by absolute qRT-PCR. To determine *Mm* loads, the total 250 ng of genomic DNA was
178 used as a template, then the absolute copy number of *Mm* 16srRNA genome was
179 extrapolated from the standard curve. All primer sequences are listed in Table 1.

180

181 **Statistical Analysis.** For studying the kinetics of *Mm* loads, a non-parametric Kruskal-
182 Wallis test followed by Dunn test for multiple comparisons was performed. For RNA
183 expression analyses, a mixed linear regression model analysis was performed to compare
184 the kinetics of adult and tadpoles followed by the Kruskal-Wallis test to compare

185 individual time points within adults or tadpoles group. For the survival analysis, a Log-
186 Rank test and the Cox Proportional Hazard Model analysis was performed using R (R
187 version 3.5.2, R studio version 1.1.463). GraphPad Prism 6 software (San Diego, CA,
188 USA) was used for all statistical computation except for Cox Proportional Hazard Model
189 analysis.

190

191 **Results**

192 **Comparison of survival rates following *Mm* inoculation between *X. laevis* adults and** 193 **tadpoles**

194 To examine the respective host resistance of tadpoles and adult frogs to *Mm*, we
195 monitored survival following different doses of *Mm* inoculation. Although tadpole death
196 appeared to start earlier than adults for low and medium *Mm* dose (Fig 1), the mortality
197 rate was not statistically different using a Log-Rank test. To substantiate our analysis, we
198 tested our data using a final statistical model and set different *Mm* doses and two life-
199 stage (adults and tadpoles) as covariates. The analysis indicates survivorship was not
200 significantly impacted by life-stage ($p = 0.1357$), instead survivorship appeared to be *Mm*
201 dose-dependent. The interaction between life-stage and dose was non-significant in our
202 initial model. Further, survivorship decreased with increasing *Mm* dose: medium and
203 high dose both had significantly lower survivorship than low dose ($p = 0.0404$; $p <$
204 0.0001 , respectively) and high dose had significantly lower survivorship than medium
205 dose ($p < 0.0001$). The median survival time for each dose is indicated in Table 2.
206 Collectively, our survival analysis suggests that tadpoles are not significantly more
207 susceptible against *Mm* compare to adult frogs.

208 We also quantified the *Mm* genome copy number of post-mortem animals by
209 qPCR (Figure 1B, C). Relatively modest *Mm* loads were detected in tissues of post-
210 mortem adult frogs (10 to 100 fold higher in respective tissues of post-mortem animals
211 compared to live animals euthanized at 21 dpi). These *Mm* loads were not significantly
212 different across organs or at different time of death (Figure 1B). Similarly, there was no
213 correlation ($p=0.9738$ by Spearman r analysis) between the total *Mm* loads in tadpoles
214 and time of death. The rapid decay of tadpoles dying from *Mm* inoculation did not allow
215 to determine the bacterial load for particular organ without a risk of contamination.

216

217 **Comparison of T cell-mediated immune responses in *X. laevis* adults and tadpoles**

218 To address our hypothesis that adults and tadpoles have distinct T cell responses
219 during *Mm* infection, we performed flow cytometry using *Xenopus* specific anti-CD8
220 mAb and anti-CD5 mAb to define the response kinetics of two main T cell populations as
221 previously established using adult splenocytes [20]: a CD8⁺ T cell population defined as
222 cells co-expressing the CD8 and CD5 pan-T cell marker (CD8⁺/CD5⁺) and CD5⁺ T cell
223 population not significantly expressing CD8 (CD8^{neg}/CD5⁺) that includes iT cells and
224 presumably CD4 T cells and that we will refer here as CD8^{neg} T/iT cell population
225 (Figure 2A-B). In the absence of lymph nodes, the spleen constitutes both a primary and
226 secondary lymphoid organ in *Xenopus* [21]. Therefore, we monitored the kinetics of the
227 two T cell subsets in spleen (Figure 3). We also examined the liver, which is a major site
228 of mycobacteria infection in *Xenopus* (Figure 4).

229 In adult frogs but not in tadpoles, there was an increase of the total number of
230 splenocytes upon *Mm* inoculation that reached a statistical significance at 21 dpi,

231 suggestive of immune cell expansion (Table 3). Notably, the frequency and the number
232 of CD8 T cells were significantly increased in the adult spleen at 12 dpi (**p=0.001
233 compared to 6 dpi group, and **p=0.0154 compared to the uninfected group,
234 respectively), whereas no significant change was observed in tadpoles (Figure 3A-B). In
235 contrast, both the frequency and the number of the CD8^{neg} T/iT-like cell were
236 significantly reduced in tadpole's spleen at 6 dpi, which suggests an egress of this T cell
237 population (Figure 3C-D). Unlike tadpoles, the CD8^{neg} T/iT cell population remained
238 unchanged following *Mm* inoculation in the adult spleen. (Figure 3C-D).

239 Similarly, the total number of leukocytes recovered from the liver of *Mm*-inoculated
240 adults but not tadpoles significantly increased at 6 dpi, suggestive of immune cell influx
241 and/or expansion (Table 2). The frequency and number of CD8 T cells peaked at 12 dpi
242 only in adult livers, whereas there were no marked changes in tadpoles' liver (Figure 4A-
243 B). However, the frequency of CD8^{neg} T/iT cells significantly increased at 12 dpi in
244 tadpole livers suggesting recruitment of these cell types in the liver (Figure 4C-D). In
245 adult liver, there was a significant drop in the frequency of CD8^{neg} T/iT-like cell at 6 dpi
246 compared to uninfected controls that did not affect their cell number. Taken together, the
247 data suggest that conventional CD8⁺ T cell response is elicited mainly in adults, whereas
248 tadpole anti-*Mm* T cell response appears to rely more on the recruitment of CD8^{neg} T
249 cells that include iT cells.

250

251 **Histopathology of *Mm* inoculated liver of *X. laevis* adults and tadpoles**

252 Differences were noted in the histopathologic appearance of the livers between
253 adults and tadpoles (Figure 5A-H). In the liver of post-mortem adult frogs, distinct

254 clusters of epithelioid macrophages, indicative of microgranulomas, were scattered
255 randomly throughout the hepatic parenchyma (Figure 5A and D, black arrows). Detailed
256 examination of these microgranulomas in multiple section of different animals revealed
257 that they were composed almost exclusively of histiocytic cells suggestive of epithelioid
258 macrophages as shown in representative sections of Figure 5A and D. Often, the
259 microgranulomas were surrounded by a rim of mononuclear cells with little cytoplasm
260 and darker more condensed nuclear chromatin, consistent with lymphocytes. The largest
261 lesions included basophilic debris, indicative of a poorly demarcated necrotic zone at the
262 core of the microgranuloma (Figures 5A).

263 In tadpoles, only a very small number of tiny epithelioid macrophage clusters
264 were present, usually including distinct melanomacrophages or similar cells with nuclei
265 obscured by melanin (representative shown in Figure 5G). Unlike adults, the
266 microgranulomas present in tadpoles had no evidence of a necrotic zone. Based on the
267 number of microgranulomas per unit of liver tissue, adult frogs exhibited a significantly
268 higher number of granulomas compared to tadpoles (non-parametric 2-way ANOVA,
269 $p < 0.001$) (Figure 5C).

270 To visualize T cells in relation to granuloma structures, we used a cross-reactive
271 human anti-CD3 Ab [19]. Following *Mm* inoculation, we detected an accumulation of
272 CD3-positive (CD3⁺) T cells in both adults' and tadpoles' liver (Figure 5 B, E and H).
273 More specifically, CD3⁺ T cells were conspicuously present throughout the parenchyma,
274 either individually or forming small clusters. In the liver of *Mm* inoculated adults, the
275 CD3⁺ T cells were more numerous in the periphery of the microgranulomas (Figure 5B
276 and E, brown staining). In contrast, overall fewer CD3⁺ T cells were scattered almost

277 uniformly throughout the hepatic parenchyma with little association with
278 microgranulomas in tadpole's liver (Figure 5H). Though very rare, a few CD3⁺ T cells
279 were present within the core of microgranulomas in tadpoles' liver (Figure 5E).

280 Special stains for bacteria and acid-fast organisms (Ziehl Neelsen and Fite Faraco,
281 respectively) failed to detect the presence of any microorganisms in tissues from both
282 adults and tadpoles [22]. However, an isH probe for *Mycobacterium* sp, produced
283 amorphous staining in the cytoplasm of random cells in the liver of adults, and tadpoles
284 (Figure 5F and I, respectively). Staining was slightly more structured, occasionally
285 forming round vacuoles, in cells within hepatic microgranulomas compared to those
286 scattered in the parenchyma. Subjectively, positive isH staining seemed higher within
287 microgranulomas than in the rest of the tissue. Histological analyses indicated that *Mm*
288 were sequestered in microgranulomas, which were larger and rimmed by abundant CD3⁺
289 T cells in adult frogs but smaller and less CD3⁺ rich in tadpoles.

290

291 **Comparison of *Mm* dissemination in *X. laevis* adults and tadpoles**

292 We have previously shown that in tadpoles intraperitoneally inoculated, *Mm*
293 accumulates in the liver concomitant to a decrease of pathogen loads among PLs [23]. In
294 addition, tadpoles that died at different days post *Mm* inoculation had no marked increase
295 of *Mm* loads, suggestive of persistent *Mm* over time (Figure 1C). To determine whether
296 *Mm* has a distinct dissemination pattern in adult frogs, we quantified *Mm* loads in
297 different organs. Following *i.p* injection of 1x10⁶ CFU of *Mm* in adult frogs, we assessed
298 *Mm* loads in PLs that are the first cells to encounter *Mm*; the liver, the main site of *Mm*
299 dissemination; and the spleen that is the major lymphoid organ of *X. laevis*.

300 Similar to tadpoles, we detected persistent *Mm* loads among adult's PLs up until
301 18 dpi, followed by a significant reduction at 21 dpi (Figure 6A). However, unlike our
302 previously published observation of increased of *Mm* loads in tadpoles' liver, we found a
303 significant decrease of *Mm* loads in adult's liver at 21 dpi (Figure 6B). In addition, *Mm*
304 loads significantly dropped at 18 dpi in the adult's spleen (Figure 6C). Taken together,
305 these data indicate that *Mm* disseminates systemically in adult frogs as in tadpoles.
306 Unlike tadpoles, however, *Mm* loads significantly decrease in adult's PLs, liver, and
307 spleen over the course of *Mm* infection.

308 Although the absolute quantification assay using qRT-PCR is a highly sensitive
309 method to detect even low *Mm* genome copy number, the assay does not distinguish live
310 infectious pathogens from dead or inactive *Mm*. As a complementary approach, live *Mm*
311 from liver tissues were recovered on bacterial culture plates. The CFUs of *Mm* were
312 measured from infected livers at 21 dpi, which was the time *Mm* load decrease was
313 detected in adult frogs by qRT-PCR. Due to the size differences of the liver organs
314 between adults and tadpoles, we normalized the total CFUs to mg of total tissue lysates.
315 Notably, we detected significantly higher CFUs of *Mm* in tadpoles' liver than that of
316 adults at 21 dpi per 1 mg of total protein (Figure 6E). These data suggest that adult
317 immune responses actively reduce *Mm* loads in the adults' liver, whereas live *Mm*
318 continues to accumulate in the tadpoles' liver.

319

320 **Changes in expression profiles of relevant immune genes in the liver**

321 Based on the differences in the histology and the kinetics of *Mm* loads between
322 adult frogs and tadpoles, we hypothesized that conventional CD8 T cells and innate

323 immune cells were recruited and actively induced inflammation to control *Mm* in adult
324 but not tadpoles. To address this, we determined the relative expression kinetics of
325 genes encoding T cell co-receptors (CD3 ϵ , CD4, and CD8 β) as a proxy of recruitments in
326 the liver. We used *gapdh* as a mean to normalize the expressions of immune gene
327 transcripts and compared the gene expression profiles between adults and tadpoles. Of
328 note, the Ct value of GAPDH was not markedly different at each time points and
329 between adults and tadpoles (Figure 7E).

330 No increase in expression levels of CD3 ϵ , CD4, or CD8 β gene was observed in
331 tadpoles following *Mm* inoculation (Figure 7A-C). In contrast, CD8 β gene expression was
332 drastically increased (more than 3 logs on average) at 6 dpi in adult liver, consistent with
333 infiltration of conventional CD8 T cells in response to *Mm* inoculation (Figure 7C). To
334 assess the response of iT cells following *Mm* inoculation, we determined the transcript
335 levels of the invariant (i) V α 45-J α 1.14 rearrangement that is expressed by critical anti-
336 *Mm* iT cell effectors [11]. In tadpoles, iV α 45-J α 1.14 transcripts were undetectable in the
337 uninfected liver, but became rapidly abundant at 6 dpi and remained detectable at 12 dpi
338 (Figure 7D). A similar increase in iV α 45-J α 1.14 transcript level occurred in the adult
339 liver during *Mm* infection. These data support our hypothesis of a dominant anti-*Mm* iT
340 cell in tadpoles contrasting with a combined iT and conventional CD8 T cell response in
341 adult frogs.

342 To assess the involvement of innate immune cell effectors in adult and tadpole
343 liver during *Mm* infection, we monitored the expression of CSF-1 receptor (CSF-1R) as a
344 marker for macrophages; GM-CSF receptor (GM-CSFR) as a marker for neutrophils; and
345 CCR2 as a marker for inflammatory monocytes in the liver (Figure 8A-C). In addition,

346 we determined the expression of pro-inflammatory and anti-inflammatory cytokine genes
347 (Figure 8D-H). Notably, CSF-1R gene expression peaked at 6 dpi in adult frogs and
348 returned the basal level at 12 dpi (Figure 8A). The transcript levels of GM-CSFR and
349 CCR2 did not change at the two time points tested (Figure 8B-C). Interestingly, the
350 increase in CSF-1R expression was correlated with that of TNF α , IL-1 β , and iNOS,
351 which are pro-inflammatory cytokines (Figure 8D-F). We did not find a significant
352 increase of anti-inflammatory cytokine expressions such as TGF β , or IL-10 at 6 and 12
353 dpi (Figure 8G-H). In tadpoles, we observed a reduced expression of CSF-1R at 12 dpi
354 compared to uninfected tadpoles. (Figure 8A). Although the kinetics of TNF α , IL-1 β , and
355 iNOS did not drastically change in tadpoles, we found that the expression of TGF β
356 significantly dropped at 12 dpi in parallel to CSF-1R (Figure 8G). Taken together, these
357 data suggest that the acute influx or activation of macrophages (indicated by CSF-1R)
358 and conventional CD8 T cells (indicated by CD8 β cells) may contribute to early
359 inflammation in adult frogs, whereas mainly iT cells (indicated by V α 45-J α 1.14
360 rearrangement) are recruited and persistent in tadpoles without marked inflammation.

361

362

363 **Discussion**

364 The wide range of host species infected by *Mm* poses a concern not only for the
365 aquatic ecosystem and fish industry, but also for its potential risk to public health.
366 Aquatic species notoriously infected by *Mm* includes *X. laevis*, which we utilized in this
367 study as a model to understand host anti-*Mm* immune response. Although the immune
368 system of tadpoles is generally considered more immature and/or less efficient in

369 controlling pathogens than that of adult frogs, our study rather suggests a distinct
370 adaptation and specialization of immune responses for each these two life stages in *X.*
371 *laevis*. Notably, unlike adult frogs that exhibit potent conventional CD8 T cell effectors,
372 tadpoles do not express an optimal level of MHC I protein until the onset of
373 metamorphosis and predominantly rely on immunity driven by MHC class I-like and iT
374 cell subsets expressing [8, 23]. Here, we report evidence that suggests a distinctive host-
375 pathogen interaction between adults and tadpoles during *Mm* infection.

376 First, in apparent contradiction of the general view of weaker tadpole immunity,
377 we found a comparable survivorship between adults and tadpoles challenged with
378 different doses of *Mm*. Considering the smaller size of the tadpoles compared to the
379 adults, the inoculated tadpoles were remarkably efficient in tolerating high amount of
380 *Mm*. This implies that tadpole and adult immune defenses, albeit distinctive, achieve
381 similar survival against mycobacterial infections. To get further insights into the distinct
382 adaptation of host responses against *Mm* by these two life stages, we first examined the T
383 cell response by flow cytometry. Although specific antibodies to detect iT and CD4 T
384 cells are currently missing in *Xenopus*, we were able to take advantage of CD5, a pan T
385 cell marker in *X. laevis* in combination anti-CD8 mAb to monitor conventional CD8 T
386 cells (CD8⁺/CD5⁺) versus a population of CD8^{neg} T/CD5⁺ T cells, which have been
387 shown to contain T cell expressing CD4 ([24]). CD8^{neg} T/CD5⁺ T cells also include iT
388 cells (e.g., iVa45 T cells) since they express very low level to no CD8 at all [8, 23].
389 Based on this approach we found that adults frogs exhibit a strong conventional CD8⁺ T
390 cell response against *Mm*, which is not the case in tadpoles where the increase of CD8^{neg}
391 T cells and iT cells in the liver concomitant with their drop in the spleen suggests their

392 rapid recruitment upon *Mm* inoculation. It is noteworthy that splenic IgM⁺ B cells are not
393 CD5⁺ in *X. laevis*, except following strong stimulation with PMA [25]. Further
394 investigation by gene expression profiling confirms that *Mm* inoculation induces a
395 significant increase of CD8 β transcript levels indicative of CD8 T cell response together
396 with iV α 45-J α 1.14 mRNAs in the adult liver. In contrast, only increased of iV α 45-J α 1.14
397 but not CD8 β transcript levels were detected in tadpoles in response to *Mm* inoculation
398 (Fig 7). It is also interesting to note that CD4 expression significantly decreased, while
399 iV α 45-J α 1.14 transcript levels remained persistent in *Mm* inoculated tadpole's liver
400 (Figure 7B, and 7D). This suggests that iV α 45 T cells rather than CD4⁺ T cells are major
401 effector cells during *Mm* infection. This is corroborated by previously published reverse
402 genetic loss-of-function by transgenesis evidence showing that iV α 45 cells have a critical
403 host protective function in tadpoles [11]. The mechanisms of activation and functions of
404 these iT cells remain to be elucidated.

405 A striking difference between adult frogs and tadpoles revealed by this study was
406 the granuloma formation resulting from *Mm* inoculation, which consistently occurred in
407 adults but rarely in tadpoles. These granulomas, which consisted of epithelioid
408 macrophages surrounded by adaptive T cells, were common in adult liver and to a lesser
409 extent in other organs such as lung and spleen. Notably, IHC staining revealed an
410 accumulation of CD3⁺ T cells at the rim of these granulomas, which is suggestive of a
411 vigorous T cell response against an acute *Mm* infection. Histologic examination of the
412 livers of adults and tadpoles occurred at slightly different stages post-inoculation and a
413 definitive conclusion would require further confirmation. However, sampling times
414 included early and late infection for adults and mid-term infection in tadpoles. Thus, we

415 believe our findings support an age-dependent difference in immune response. It is
416 uncertain why the special stains that normally pick out mycobacterial organisms failed to
417 do so in the livers of adults or tadpoles. Confirmation of infection was achieved, however,
418 though PCR and culture results, and with the positive staining of several cells with an isH
419 probe for *Mycobacterium* sp.

420 The formation of granulomas is generally associated with inflammation in
421 mammals, especially in cases of mycobacterial infections [26]. Likewise, in adult *X.*
422 *laevis*, the expression of several inflammatory genes (TNF α , IL-1 β , and iNOS) was
423 significantly increased following *Mm* inoculation in the liver. Collectively, the immune
424 histological analyses further strengthen the critical function of T cells in sequestering and
425 controlling *Mm* infection in adult frogs. Importantly, the collective evidence of CD8 T
426 cell-mediated response, inflammation-mediated granuloma formation, and the clearance
427 of *Mm* in adult frogs are highly similar to the critical role of CD8 T cells in granuloma
428 structures from *Mtb* infected human [27]. Therefore, our findings suggest that *Mm*
429 infection in adult *X. laevis* may complement host mycobacterial infection in mammalian
430 models.

431 In sharp contrast, microgranulomas were rarely observed in tadpoles (Figure 3C).
432 In addition, *Mm* inoculation did not induce significant expression of inflammatory genes.
433 Indeed, transcript levels of IL-1 β remained low following *Mm* inoculation, whereas
434 TNF α or iNOS expression was not induced by *Mm*. Importantly, the high CFU counts of
435 live *Mm* recovered from the infected liver in this study substantiates the previous report
436 of *Mm* accumulation in tadpoles [23]. Therefore, it is possible that the dominant iT cell
437 response in tadpole allow the larval host to tolerate high and persistent *Mm* load and

438 consequently to maintain the survivorship at a similar level as that of adult frogs.
439 Alternatively, it also possible that the larval CD8^{neg} T cell population includes regulatory
440 T cells that contribute to maintain tolerance to *Mm* infection. Although, Tregs function
441 has not been characterized in amphibians, gene orthologs encoding cytokines and
442 transcription factors critical for differentiation of Tregs (e.g., FOXP3; CTLA4) are
443 present in the genome of *X. tropicalis* and *X. laevis* (Robert, unpublished observations).

444 For an evolutionary standpoint is tempting to speculate that the predominant anti-
445 *Mm* iT cell response in tadpole avoid the activation of inflammation, whereas a
446 conventional T cell response in adult is associated with inflammation. As such the
447 adaptive host response against *Mm* in two different life stages occupying distinct
448 environmental niches is fundamentally different: the adult conventional T cell-based
449 system is adapted to eradicate *Mm* pathogens by killing infected cells and generating an
450 inflammatory response, whereas the tadpole iT cell-based system upon detection of the
451 infection is designed to minimize inflammation and tolerate pathogen burden. Consistent
452 with these contrasted immune responses, live *Mm* recovered from tadpole liver did not
453 markedly decrease during infection, whereas it decreased in adults. The high *Mm* loads
454 found in the adults' post-mortem tissues by qPCR, further suggest an insufficient
455 clearance of *Mm* resulting in the death of the adult frogs.

456 Active tolerance to pathogen has been reported in various cases including
457 mycobacteria infection [28-30] Although not as well defined as immune mechanisms
458 behind host resistance, specific tolerance mechanisms has recently raised attention owing
459 to their relevance for better understanding host-pathogen interactions and potentials for
460 developing more effective treatments for infectious diseases. Our data in tadpoles are

461 consistent with an adapted tolerance to *Mm* infection that may involve iT cells as specific
462 effectors controlling this tolerance. It will be interesting to examine in more detail how iT
463 cells can establish and control host tolerance to *Mm* in tadpoles by determining the
464 specificity (e.g., ligands presented by MHC-like molecules and recognized by iT cells)
465 and the mechanisms involved (cytokine produced, cell types targeted).

466

467

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469 We would like to thank Tina Martin for the expert animal husbandry.

470

471

472

473 **References**

474

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556 Figure legends

557

558 **Figure 1. Survival curves of *Mm* inoculated adults and tadpoles of *X. laevis*.** (A) 4
559 month-old adults (n=5-10/group) and 3 week-old tadpoles (developmental stage of 52,
560 n=10-19/group) were inoculated with different amount of *Mm* intraperitoneally (5×10^5
561 CFU for low dose, 1×10^6 CFU for medium dose, and 2×10^6 CFU for high dose). The
562 survival rate was dependent on the doses of *Mm* based on the Cox Proportional Hazard
563 model analysis ($p < 0.05$). Comparisons of survival rates between adult frogs and
564 tadpoles for each dose as well as median survival times are listed in table 2. (B) *Mm* loads
565 in different organs from post-mortem adults. (C) *Mm* loads of whole individual post-
566 mortem tadpoles. Viral loads were determined by real-time PCR using *Mm* specific
567 16srRNA gene.

568

569 **Figure 2. Flow cytometric analysis of T cell in spleen and liver of adults and tadpoles.**
570 4 month-old young adults and three-week-old tadpoles were intraperitoneally inoculated
571 with 1×10^6 CFU, or 3×10^5 CFU of *Mm*, respectively (n=5-6 per each time points). Then,
572 the total lymphocytes at different dpi were stained with *Xenopus* specific CD5 mAb, and
573 CD8 mAb to analyze two subsets of T cell populations (A-B). After gating on live cells,
574 $CD8^+CD5^+$ cells and $CD8^{neg}CD5^+$ cells were defined (black boxes).

575

576 **Figure 3. Comparison of the frequency and the number of CD8 and $CD8^{neg}$ T/iT**
577 **cells in the spleen of adults and tadpoles following *Mm* inoculation.** Using the flow
578 cytometric strategy shown in the Figure 2, the kinetics of CD8 T cell (A) frequency, and

579 (B) number were determined in adults (white) and tadpoles (black) at different days post
580 inoculation (n=6-7/group from 2 independent experiments). Further, the kinetics of
581 CD8^{neg} T/iT cell (C) frequency, and (D) number were determined. C: uninfected control.
582 Asterisks indicated statistical significance by the Kruskal-Wallis test, non-parametric.

583

584 **Figure 4. Comparison of the frequency and the number of CD8 and CD8^{neg} T/iT**
585 **cells in the liver of adults and tadpoles during *Mm* infection.** Using the flow
586 cytometric strategy shown in the Figure 2, the kinetics of CD8 T cell (A) frequency, and
587 (B) number were determined in adults (white) and tadpoles (black) at different days post
588 inoculation (n=6-7/group from 2 independent experiments). Further, the kinetics of
589 CD8^{neg} T/iT cell (C) frequency, and (D) number were determined. C: uninfected control.
590 Asterisks indicated statistical significance by the Kruskal-Wallis test, non-parametric.

591

592

593 **Figure 5. Histopathology of the liver of *Mm* inoculated adults and tadpoles and**
594 **comparison between microgranuloma numbers.** 4 month-old young adults and three-
595 week-old tadpoles were intraperitoneally inoculated with 1x10⁶ CFU, or 3x10⁵ CFU of
596 *Mm*, respectively (n=5-6 per each time points). Representative of liver sections that were
597 stained with hematoxylin and eosin (A, D and G), an anti-CD3 mAb (B, E and H, brown
598 staining), or an *in situ* hybridization (isH, red staining) probe for *Mycobacterium* sp (F
599 and I). Adults inoculated with 10⁶ CFU of *Mm* and euthanized 14 and 50 days post-
600 infection (dpi): Larger granulomas (A and D, black arrows) surrounded by CD3+ cells in
601 moderate (B) to high numbers (E) and positive isH for *Mycobacterium* sp in the

602 cytoplasm of cells within the granuloma at 50 dpi (F). Tadpole inoculated with 3×10^5
603 CFU of *Mm* and euthanized 30 dpi: Small microgranuloma including cells with
604 intracytoplasmic melanin granules (G, black and white arrows, respectively), with rare
605 CD3+ cells (H) and positive isH for *Mycobacterium* sp in the cytoplasm of cells within
606 the microgranuloma (I, arrow) Bars = 50 μ m. The average numbers of microgranulomas
607 in adults at 50 dpi (n=4) were significantly higher than those in tadpoles at 30 dpi (n=4)
608 (C, non-parametric 2-way ANOVA, $P < 0.001$).

609

610

611 **Figure 6. Determination of *Mm* loads and dissemination using an absolute**
612 **quantification method and a recovery of live *Mm* in culture from adult and tadpoles**
613 **of *X. laevis*.** Adult frogs were intraperitoneally inoculated with 1×10^6 CFU of *Mm* then,
614 *Mm* loads were determined by using a real-time PCR with *Mm* specific 16srRNA gene
615 (A-D). (A) peritoneal leukocytes (PLs), (B) liver, and (C) spleen tissues were taken at the
616 indicated days post infection. The dashed line indicates the level of detection by real-time
617 PCR. In order to measure only the live and replicating *Mm*, we cultured kanamycin
618 resistant *Mm* from the inoculated adults and tadpoles at 21 dpi using a Middlebrook 7H10
619 media supplemented with 50 μ g/mL kanamycin. (D) Quantitative measurement of CFUs
620 was then normalized to total mg of homogenates.

621

622 **Figure 7. Relative expression of T cell-related immune genes in the liver of *Mm***
623 **inoculated adults and tadpoles.** Four month-old young adults (white bar) and three-
624 week-old tadpoles (grey bar) were intraperitoneally inoculated with 1×10^6 CFU, or 3×10^5

625 CFU of *Mm*, respectively (n=5-6 per each time points). Relative gene expression in liver
626 for (A) CD3 ϵ , (B) CD8 β , (C) CD4, and (D) iV α 45-J α 1.14 was normalized to the
627 housekeeping gene *gapdh*. (E) Ct values for *gapdh* of each time point of between adults
628 and tadpoles. C: uninfected control, u.d: undetected value, asterisks indicate a significant
629 difference by the Kruskal-Wallis test.

630

631 **Figure 8. Relative expression of immune receptor genes and pro- and anti-**
632 **inflammatory cytokine genes in the liver of *Mm* inoculated adults and tadpoles.** Four
633 month-old young adults (white bar) and three-week-old tadpoles (grey bar) were
634 intraperitoneally inoculated with 1×10^6 CFU, or 3×10^5 CFU of *Mm*, respectively (n=5-6
635 per each time points). The relative gene expression in the liver was determined for the
636 immune gene receptors: (A) CSF-1R (macrophage recruitment marker), (B) GMCSF-R
637 (neutrophil recruitment marker), (C) CCR2 (inflammatory monocyte marker); for the
638 pro-inflammatory cytokine genes: (D) TNF α , (E) IL-1 β , (F) iNOS; and for the anti-
639 inflammatory cytokine genes: (G) TGF β and (H) IL-10. All the data were normalized to
640 the housekeeping gene *gapdh*. C: uninfected control, u.d: undetected value, asterisks
641 indicate significant difference by the Kruskal-Wallis test.

642

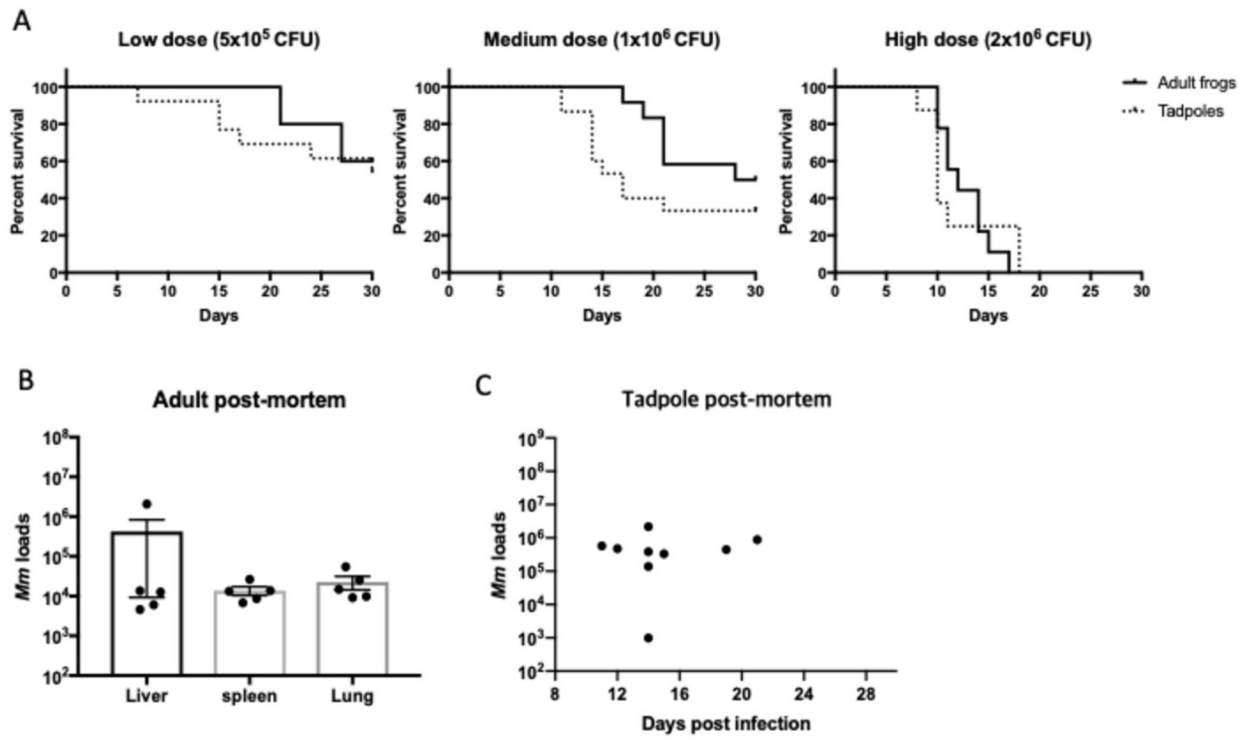
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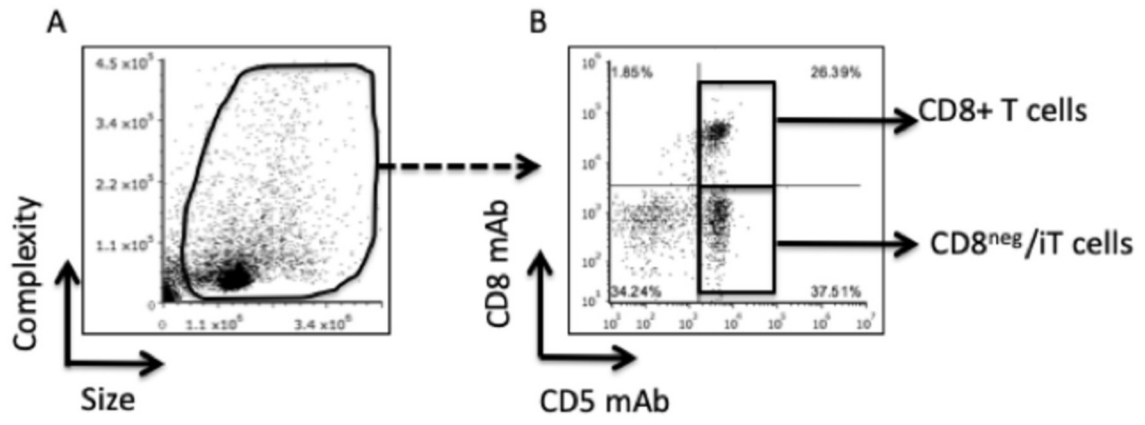


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Figure 2



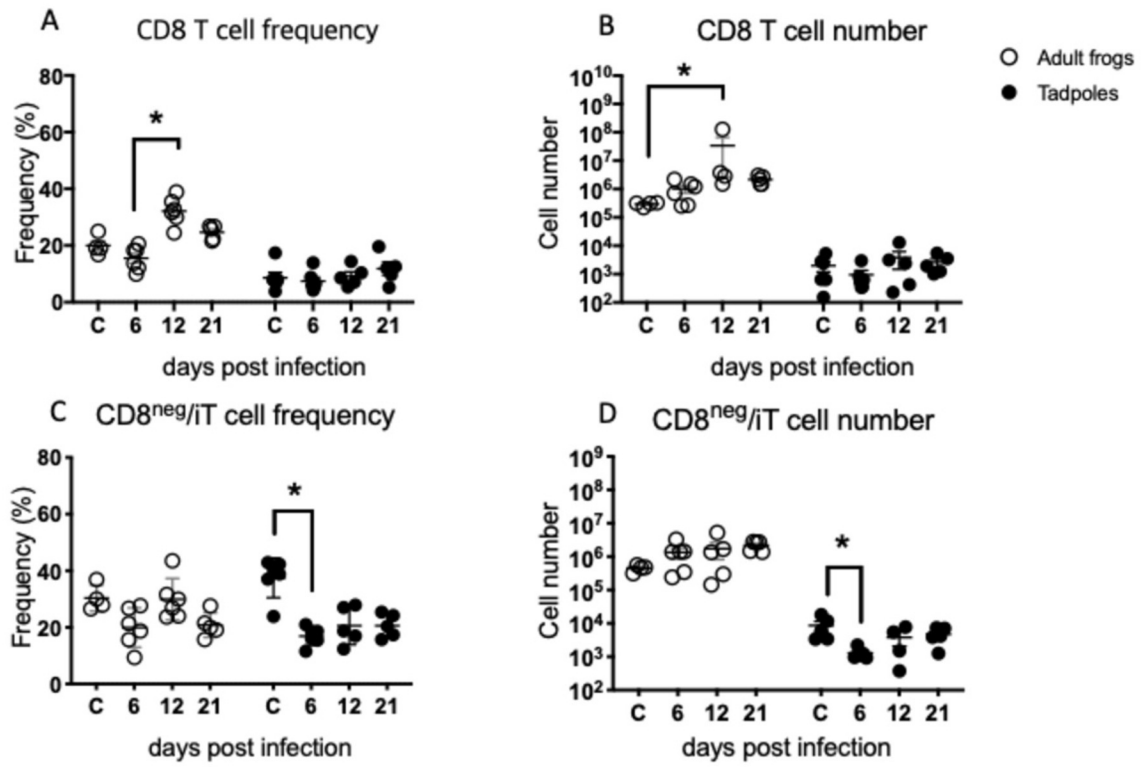
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Figure 3

Spleen



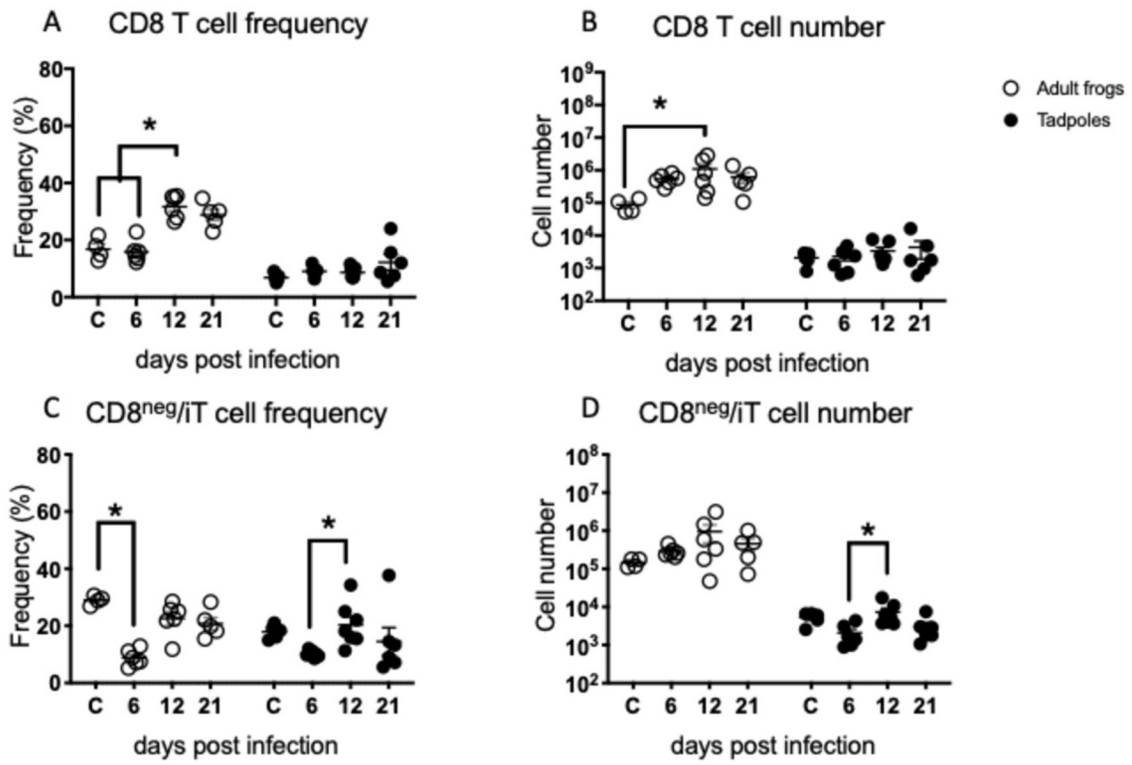
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Figure 4

Liver



File type: Figure

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File name: figure_5.tif



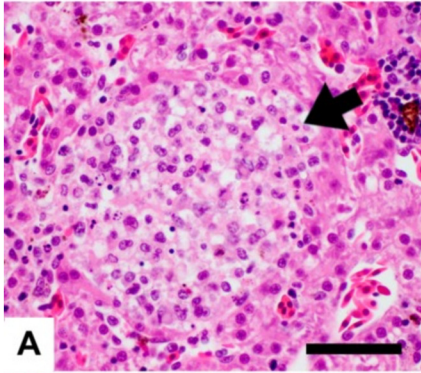
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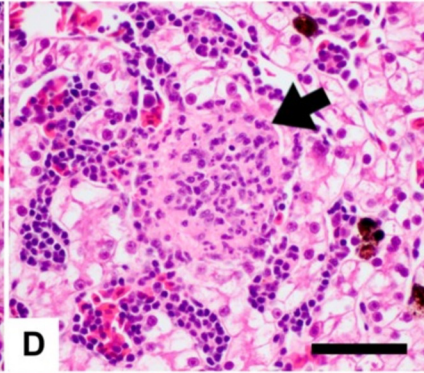
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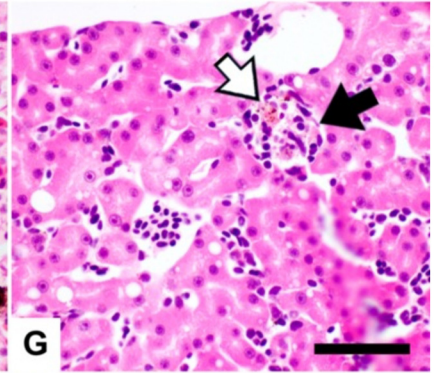
Tadpole, 30 dpi



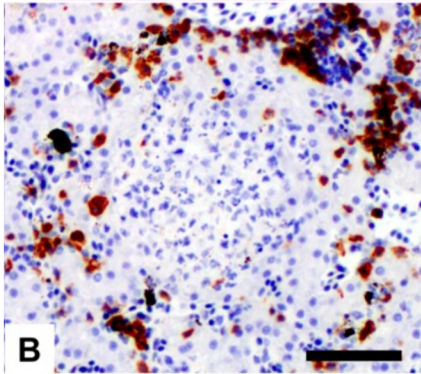
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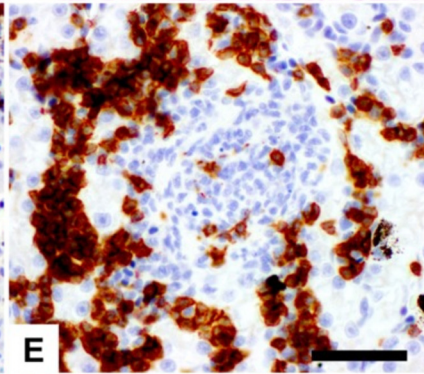
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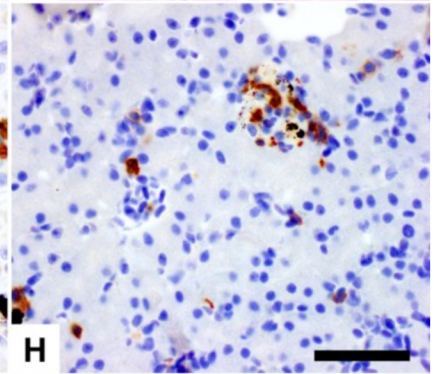
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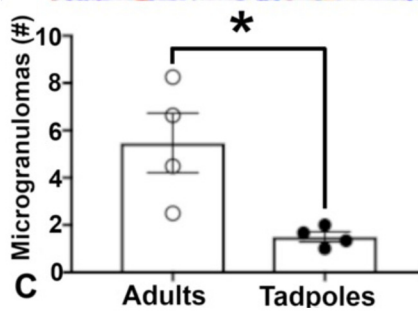
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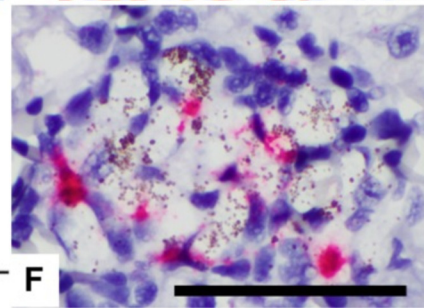
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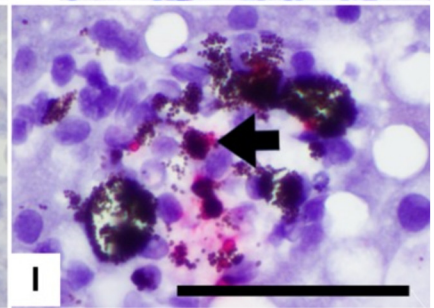
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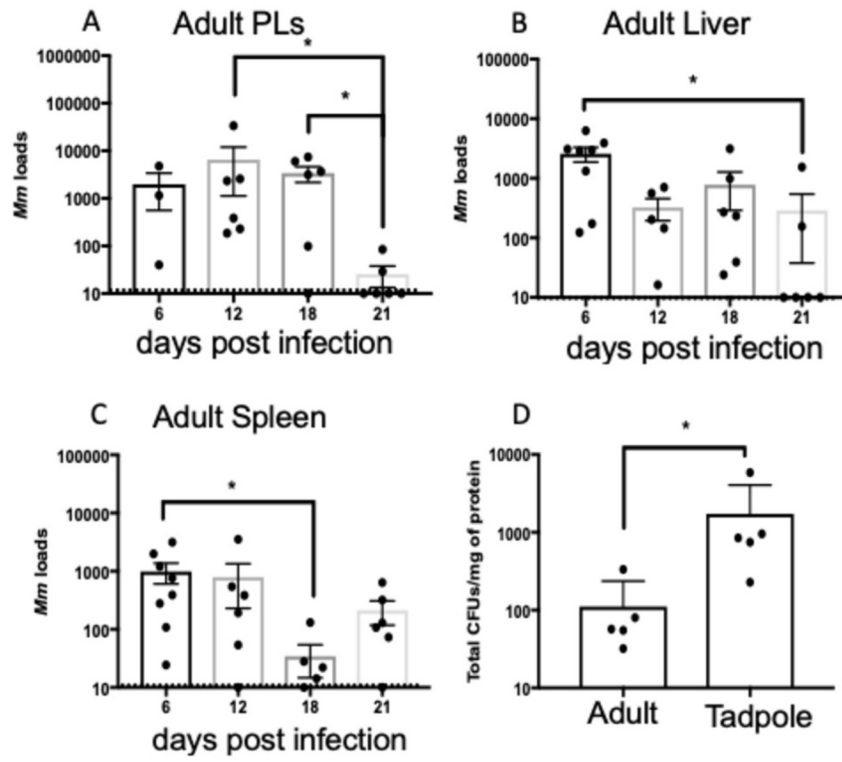
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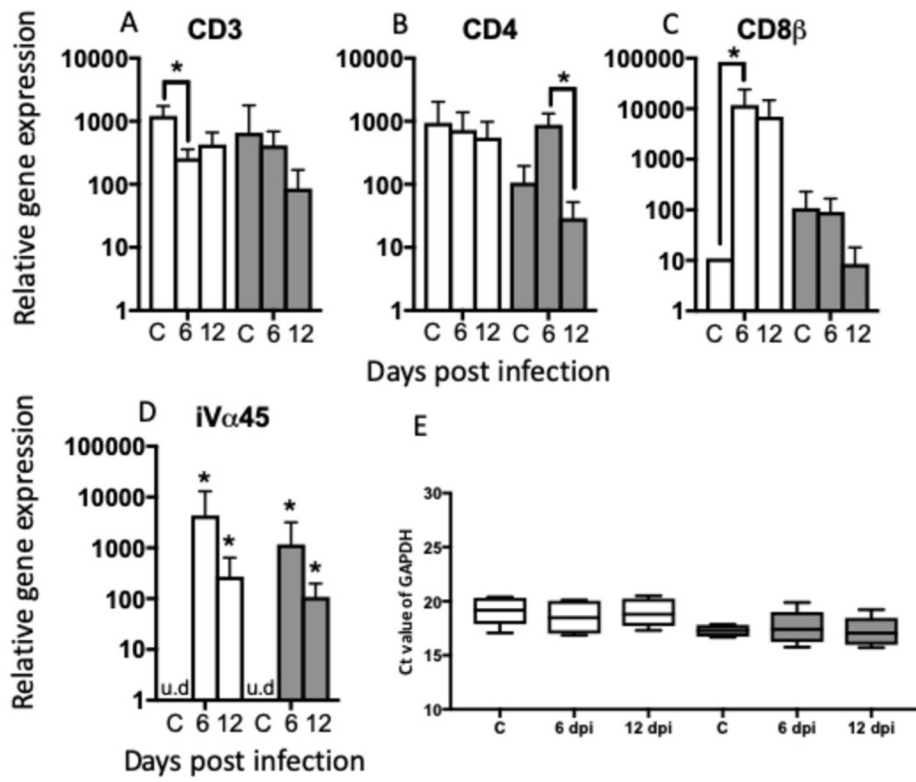


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Figure 7

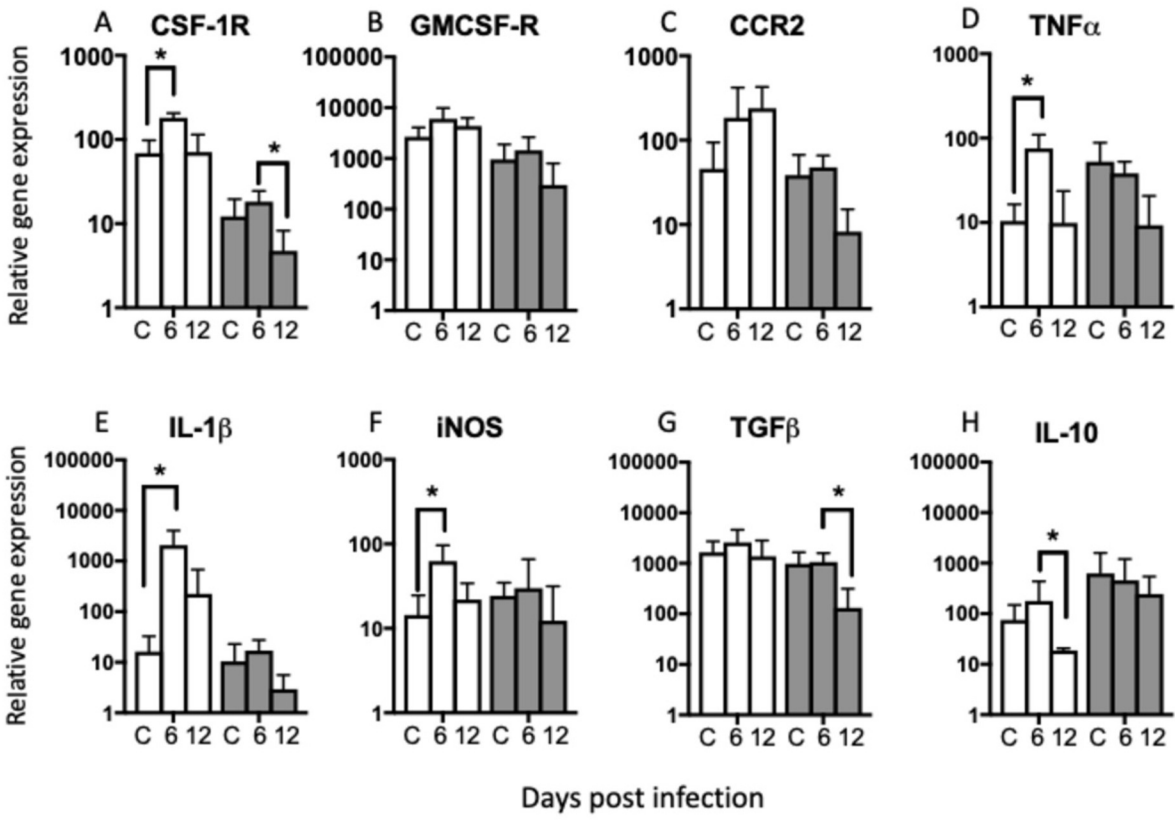


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Figure 8



File type: Table

Label: 1

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Table 1: List of qPCR primer sequences

PRIMER	SEQUENCE (5'- 3')
CCR2	F:ATTGGGCAGAACTGTGGTAG R:GGGCGAGTAATCTGAGTCATAA
CD3	F: TTGGGCTCAGTGTGGAATG R: GGTCCCGGTATCCATCTCTAT
CD4	F: CATAGTGGTTTCCCTCTGGTTTAG R: CGCAGAGCGTCCATTCATTA
CD8 β	F: GGAACACGTTTACCCTGAAGA R: GGGAGGTTCCATTCCCAAAT
CSF-R1	F: TGTATTCTTTGGACTTGCCGTATCTGG R: TTGTTTAGCTTCAAATTCTGGGTAATA
GAPDH	F: GACATCAAGGCCGCCATTAAGACT R: AGATGGAGGAGTGAGTGTCACCAT
GMCSF-R	F: ACGTGCCAGCTAAACCTCACAGAT R: TGACACAGCCTGGGCGAGAAATAA
IL-1 β	F: CATTCCCATGGAGGGCTACA R: TGA CTGCCACTGAGCAGCAT
IL-10	F: TGCTGGATCTTAAGCACACCCTGA R: TGTACAGGCCTTGTTACGCATCT
iNOS	F: AACCGTAAGCCAAAGAAGGA R: TGGTTCTGGCAGCCACAGT
<i>Mm</i> 16S rRNA	F: AGAGTTTGATCCTGGCTCAG R: CACTCGAGTATCTCCGAAGA
TGF β	F: CCCACAGGCCAAAGATATAGAC R: CATCAGGTAGGGTTTCGTGTT
TNF- α	F: TGTCAGGCAGGAAAGAAGCA R: CAGCAGAGCAAAGAGGATGGT R: CCGACACACTGAGCGGAAA
V α 45-J α 1.14	F: TCCGTTAACGAGAAGGATTCCCAG R: CTCCCAGCCACTACCAGAATAAG

F: Forward; R: Reverse

File type: Table

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Table 2. Median survival days for adult frogs and tadpoles infected with different doses of *Mm* intraperitoneally (dpi).

Life Stage	Doses for intraperitoneal infection			
	3x10 ⁵ CFU	5x10 ⁵ CFU	1x10 ⁶ CFU	2x10 ⁶ CFU
Adult frogs	N/A	33	29	12
Tadpoles	30	30	17	10
P value for Log-rank test	N/A	0.7164	0.1176	0.9449

N/A means no data

File type: Table

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File name: table_3.doc

Table 3. Total number of lymphocytes ($\times 10^3$) \pm standard error.

Organ	Stages	Control	6 dpi	12 dpi	21 dpi
Spleen	Adult	1500 \pm 158	6000 \pm 1450	4860 \pm 2104	9580 \pm 1632 *p=0.0226
	Tadpole	24 \pm 11	12 \pm 4	34 \pm 16	22 \pm 4
Liver	Adult	505 \pm 63	3363 \pm 309 *p=0.0316	3650 \pm 1656	2100 \pm 710
	Tadpole	30 \pm 4	25 \pm 6	44 \pm 15	32 \pm 15

* Compared to control using non-parametric Kruskal-Wallis test followed by Dunn test.