

1 Elevationally biased avian predation as a contributor to the spatial  
2 distribution of geometrid moth outbreaks in sub-arctic mountain birch  
3 forest

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24

25 *Abstract*

- 26 1. Population dynamics and interactions that vary over a species' range are of  
27 particular importance in the context of latitudinal clines in biological diversity.  
28 Winter moth (*Operophtera brumata*) and autumnal moth (*Epirrita autumnata*) are  
29 two species of eruptive geometrids that vary widely in outbreak tendency over  
30 their range, which generally increases from south to north and with elevation.
- 31 2. The predation pressure on geometrid larvae and pupae over an elevational  
32 gradient was tested. The effects of background larval density and bird occupancy  
33 of monitoring nest boxes on predation rates were also tested. Predation on larvae  
34 was tested through exclusion treatments at 20 replicate stations over four  
35 elevations at one site, while pupae were set out to measure predation at two  
36 elevations at three sites.
- 37 3. Larval densities were reduced by bird predation at three lower elevations, but not  
38 at the highest elevation, and predation rates were 1.9x higher at the lowest  
39 elevation than at the highest elevation. The rate of predation on larvae was not  
40 related to background larval density or nest box occupancy, though there were  
41 more eggs and chicks at the lowest elevation. There were no consistent differences  
42 in predation on pupae by elevation.
- 43 4. These results suggest that elevational variation in avian predation pressure on  
44 larvae may help drive elevational differences in outbreak tendency, and that birds  
45 may play a more important role in geometrid population dynamics than the focus  
46 on invertebrate and soil predators of previous work would suggest.

47

48 *Introduction*

49           The comparison of differences in trophic interactions across latitude and elevation is a  
50 valuable area for investigation in population and community ecology, as a means to uncover  
51 how varying degrees of complexity in ecological communities affect trophic dynamics (e.g.,  
52 Crête & Manseau 1996, Hanski *et al.* 2001, Hodkinson 2005, Pennings & Sillman 2005, Post  
53 2005). Biodiversity generally decreases along latitudinal clines of climate and productivity  
54 from the equator and towards the poles (Fischer, 1960; Schemske *et al.*, 2009). Similar  
55 declines in diversity can be observed along elevational gradients, which also represent clines  
56 of climate and productivity (Rahbek, 1995). These patterns are expected to cause changes in  
57 the structure of consumer guilds which may in turn cause cascading impacts on the population  
58 dynamics of lower trophic levels (i.e., the ecosystem exploitation hypothesis: Oksanen *et al.*,  
59 1981). In line with this prediction, some of the most well-known spatial gradients in  
60 population dynamics occur along latitudinal and elevational gradients. For many widely  
61 distributed species, populations at high latitudes – where the climate is harsh and productivity  
62 is low – show unstable dynamics, with a propensity towards cycles and outbreaks. In contrast,  
63 more southern populations – which inhabit a more productive and climatically benign  
64 environment – show comparatively stable dynamics. Examples of this includes voles in  
65 Fennoscandia, snowshoe hare in North America, several species of grouse in Europe and North  
66 America and geometrid moths in Fennoscandia (Klemola *et al.*, 2002; Ims *et al.*, 2008). In  
67 some cases, similar patterns repeat themselves along elevational gradients. For example,  
68 outbreaks of several forest insect species are most prone to occur at high elevations  
69 (Baltensweiler & Fischlin, 1988; Ruohomäki *et al.*, 1997; Hengxiao *et al.*, 1999; Kamata, 2002;  
70 Hagen *et al.*, 2007).

71           One of the best supported theories for latitudinal gradients in population dynamics  
72 postulates that they are linked to clines in the community structure of natural enemies  
73 (Oksanen *et al.*, 1981; Hanski *et al.*, 1991; Klemola *et al.*, 2002). According to the theory, low-  
74 productivity systems at high latitudes have a preponderance of specialized enemies, which  
75 show delayed numerical responses to changes in prey abundance, and thereby induce  
76 fluctuations in prey population dynamics. Meanwhile, more productive southern areas are  
77 postulated to have a higher diversity and abundance of generalist enemies, which are  
78 expected to have stabilizing effects on prey dynamics, owing to rapid (i.e. non-delayed)  
79 functional responses to prey abundance. If this logic is applied to elevational gradients, the  
80 importance of generalist enemies, and their stabilizing effect on population dynamics, should  
81 be expected to decline towards higher and less productive elevations, thereby explaining the  
82 tendency for prey outbreaks at higher elevations (Schott *et al.*, 2013).

83           The winter moth (*Operophtera brumata*) and the autumnal moth (*Epirrita autumnata*)  
84 are two species of herbivorous geometrid moths that are widely distributed in Europe, and  
85 have been observed to outbreak with greater frequency and intensity in far northern Europe  
86 than further south (Tenow, 1972; Ruohomäki *et al.*, 2000). In the north, moth outbreaks  
87 periodically cause defoliation and mortality of large areas of mountain birch (*Betula pubescens*  
88 *ssp. czerepanovii*) forest. Spatial gradients in moth dynamics also occur locally on steep  
89 elevational gradients, where moth populations close to the treeline often display very high  
90 densities and cause severe forest damage, while populations at lower elevations remain at  
91 much lower levels. Explanations for these elevational patterns in moth dynamics have  
92 remained elusive. Previous work has examined phenological mismatch between moth larvae  
93 and their birch host plants (Mjaaseth *et al.*, 2005), predation rates, abundance and community  
94 composition of generalist pupal predators (Hansen *et al.*, 2009; Schott *et al.*, 2013) and the

95 impact of specialist larval parasitoids (Vindstad *et al.*, 2011; Schott *et al.*, 2012). However,  
96 none of these proposed drivers have been able to explain the observed elevational differences  
97 in moth dynamics.

98         In the present study, we focus on a group of generalist predators that have received  
99 little attention in the study of moth population dynamics, namely insectivorous birds. The  
100 impact of birds on the population dynamics of forest insects has often been overlooked in  
101 favor of invertebrate predators and parasitoids, particularly in recent work, presumably  
102 because birds are assumed to be unable to respond numerically to caterpillar density.  
103 However, many studies have found that avian predation can have a significant impact on  
104 forest insect densities or leaf damage (e.g., Buckner & Turnock 1965, Holmes *et al.* 1979,  
105 Crawford & Jennings 1989, Marquis & Whelan 1994, Tanhuanpää *et al.* 2001, Mäntylä *et al.*  
106 2008, Singer *et al.* 2012, Bereczki *et al.* 2014), suggesting that bird predation should receive  
107 more attention in studies of insect dynamics. Following the theoretical framework outlined  
108 above, we hypothesized that elevational trends in moth outbreak dynamics may be explained  
109 by a lower abundance and impact of generalist avian predators at high elevations. To test this  
110 hypothesis, we applied a bird exclusion treatment to estimate avian predation rates on moth  
111 larva along an elevational gradient that has a history of moth outbreaks at the treeline. In  
112 addition, we estimated the presence of avian predators along the gradient with the help of  
113 nest boxes.

114         While birds have received little attention in studies of moth dynamics, generalist pupal  
115 predators, especially invertebrates, have long been considered to be important drivers of the  
116 population dynamics of the winter moth (Varley & Gradwell, 1968; East, 1974; Raymond *et al.*  
117 *et al.*, 2002) and the autumnal moth (Tanhuanpää *et al.*, 1999, 2001). As outlined above,  
118 previous work in coastal northern Norway failed to find elevational trends in pupal predation

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119 rates (Hansen *et al.*, 2009). However, the work by Hansen *et al.* reported unexplained removal  
120 of about 80 % of the experimental pupae, raising some concerns about the accuracy of the  
121 method used for recovering pupae in this study. Hence, in the present study, we re-examine  
122 the hypothesis that elevational trends in moth dynamics are caused by lower predation rates  
123 by generalist pupal predators at high elevations, using a more reliable method to recover the  
124 experimental pupae. Moreover, we replicate the study in three separate elevational  
125 gradients, including the gradient originally used by Hansen *et al.* (2009).

## 126 *Materials and Methods*

### 127 Study system

128 The study was conducted at three sites [Skogsfjord (69°55'N, 19°18'E), Storelva  
129 (69°38'N, 18°57'E) and Reinøya (70°00'N, 19°49'E)] in the coastal region of Troms County,  
130 northern Norway, during the summer of 2016 (Fig. 1). The region is characterized by an  
131 oceanic, sub-arctic climate, meaning that summers are cool with significant precipitation  
132 (average temperature in July: 12 to 13 °C), and winters are relatively mild (average  
133 temperature in January: -2 to -5 °C). The forest in the region is strongly dominated by  
134 mountain birch, with some scattered occurrences of rowan (*Sorbus aucuparia*), aspen  
135 (*Populus tremula*) and planted stands of spruce (*Picea abies*). The landscape is dominated by  
136 fjords and steep mountains, and forests of mountain birch typically occur as narrow belts  
137 between the sea and the alpine tree line, at about 250-300 meters above sea level.

138 Three species of spring-feeding geometrids (winter moth, autumnal moth and scarce  
139 umber moth (*Agriopsis aurantiaria*)) are the most important insect folivores at the study sites  
140 (Schott *et al.*, 2013). These three moths are all univoltine, polyphagous species that feed  
141 primarily on mountain birch in northern Fennoscandia during their larval stage. The larval

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142 stage lasts from around birch budburst, usually occurring in mid-May, to late June or early  
143 July. Larvae then drop off of host trees to pupate in soil or ground cover, and remain as pupae  
144 until September and October, when adults emerge. Females of scarce umber moth and winter  
145 moth are flightless, while autumnal moth females are capable of flight. Adults mate on trees,  
146 and eggs are subsequently laid on bark and twigs, where they overwinter until the following  
147 spring.

### 148 Larval predation experiment

149 To assess elevational variation in bird predation pressure on moth larvae, we  
150 established a manipulative field experiment in the Skogsfjord study area (Fig. 1). The  
151 experiment was established on a slope covered with mature mountain birch forest, and had  
152 five sampling stations on each of the altitudes 50, 100, 170 and 240 meters above sea level.  
153 Within elevation, stations were arranged in a horizontal transect, with a spacing of roughly  
154 400 meters between stations. The distance between transects at neighboring elevations was  
155 between 400 and 750 m.

156 Two exclusion treatments and a control treatment were applied haphazardly to  
157 branches on 10 trees at each station. On each tree, one branch was covered with a 45 cm x  
158 80 cm bag of 0.47 x 0.77 mm mesh (Howi insect netting type L; Howitec, Bolsward NL)  
159 designed to prevent dispersal and all predation, while another was covered by roughly 4 cm  
160 bird netting over looped wire attached to branches designed to prevent only avian predation.  
161 A third branch was marked and left unmanipulated as a control. With this design, a difference  
162 between the mesh bag and bird netting treatments could be interpreted as invertebrate  
163 predation or dispersal, and a difference between bird netting and controls as avian predation.  
164 Each section of branch contained roughly 35-45 leaf clusters, and was checked before placing



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165 treatments to make sure there was at least one naturally occurring geometrid larva present  
166 (almost entirely winter moth, but inclusive of some autumnal and scarce umber moth larvae).  
167 Larval phenology in elevational gradients in the study region is generally delayed by roughly  
168 one week at 240 m relative to 30 m (Mjaaseth *et al.*, 2005). Therefore, to match the  
169 phenological window within which we measured predation, manipulations at the 170 m and  
170 240 m stations were set up 5 days later than those at the 50 m and 100 m stations (16-17 June  
171 and 22 June respectively). Fourteen days after setup of the experiment (30 June-1 July and 6  
172 July), the branches were cut down and shaken into a large plastic box until all geometrid larvae  
173 had detached. Subsequently, all larvae in the box were sorted to species and counted. Larvae  
174 were mostly 2-3<sup>rd</sup> instar at the beginning of the experiment, and 4-5<sup>th</sup> at the end. Experience  
175 with error generated by undercounting in field counts of early instar larvae in previous work  
176 led us to choose not to conduct initial counts.

177 Background larval densities (i.e., not on experimental branches) were also measured  
178 at each sampling station using standard methods used for long-term monitoring at this and  
179 other sites in the region (Hagen *et al.*, 2003), on 21 June for 50 & 100 m, and 1 July for 170 &  
180 240 m. Density measurements were conducted by haphazard sampling of 10 equally sized  
181 mountain birch branches (length about 60–80 cm), cut 1–2 m above the ground from different  
182 trees in a radius of 30 m around the sample stations. The branches were shaken in a large  
183 plastic box until all larvae had detached and the number of larvae was counted. Density  
184 measurements have been conducted every year since 2008 at Skogsfjord, in order to monitor  
185 the long-term dynamics of moth populations.

186 To assess the presence of avian predators at the sampling stations, two wooden nest  
187 boxes (32 mm entrance hole) were installed at each station. The boxes were located 60-90 m  
188 apart, on opposite sides of the sampling station. The boxes are part of a long-term study of

189 bird population responses to larval densities, and have been examined annually at the time of  
190 larval density sampling since 2008. At each visit, the presence or absence of nesting birds was  
191 recorded and the species, the number of eggs and the number of chicks counted. Boxes were  
192 visited in 2016 at the same dates as larval density monitoring was conducted. Two species of  
193 cavity-nesting birds commonly use nest boxes in the study area; the great tit (*Parus major*)  
194 and the pied flycatcher (*Ficedula hypoleuca*). Both species prey heavily on insect larvae during  
195 the breeding season, but also utilize a variety of other insect prey items (Haftorn, 1971).

#### 196 Pupal predation experiment

197 Pupal predation rates were assessed by experimentally exposing winter moth pupae  
198 to predators in the field. To obtain pupae, winter moth larvae were collected in June from  
199 natural populations in the study region. The larvae were reared to maturity on birch foliage  
200 in large plastic containers (32l & 50l), with mesh ventilation and sand on the bottom for  
201 cocoon formation. In July, pupae were sifted from the sand, and glued to double layer 4x4 cm  
202 jute burlap squares using melted beeswax, which were then strung in groups of three on 1 m  
203 sections of twine (Smith, 1985; Cook *et al.*, 1994; Elkinton *et al.*, 2004). Twenty sets of three  
204 pupae were deployed at each of two elevations at three sites: Skogsfjord (50 m & 240 m),  
205 Reinøya (30 m & 240 m), and Storelva (50 m & 240 m), all of which are previously established  
206 sampling locations for long term monitoring of larvae (Fig. 1). Each set of three pupae was  
207 treated as a sampling unit, resulting a total sample size of N=120. Pupae were set on a 4x5  
208 grid, with each string spaced roughly 10 m apart. The squares of burlap were set just under  
209 the soil or groundcover surface, with pupae facing up, and marked with flagging attached to a  
210 wire to facilitate relocation. Pupae were deployed on July 27-29 and recovered after 21 days  
211 on August 17-19, when they were transported to the laboratory. Missing pupae were

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212 considered to be predated, though strings or sections of string that were disturbed (i.e., pulled  
213 out of the soil) previous to recovery were excluded from analyses (N= 4 strings, 6 pupae).  
214 After collection of pupae, pupae were dissected to assess parasitism status.

#### 215 Statistical analyses

216 The effect of our predator exclusion treatments during the larval stage was analyzed  
217 using a log link Poisson generalized mixed model. Larval count at the end of the experimental  
218 period was taken as the response variable, while elevation (treated as a factor variable),  
219 treatment and their interaction were taken as predictors. Sampling station was included as a  
220 random effect.

221 We also assessed how bird predation rates were influenced by elevation, bird density,  
222 and background larval density. For this we calculated an average effect size of bird netting for  
223 each station. This effect was taken as average larval count in bird netting minus average larval  
224 count on control branches. The effect size was subsequently taken as the response variable in  
225 a linear model with elevation as the predictor. To determine the relationship between bird  
226 density and predation rates, a linear model was fitted to the predation effect as the response  
227 variable and nest box occupancy (1 or 2 boxes occupied at each station) and total egg and  
228 nestling count for both nest boxes at each station as predictors. In addition, to assess whether  
229 predator saturation was occurring, the predation treatment effect was regressed against  
230 background larval density in a linear model, with density as a simple linear effect, a second  
231 order polynomial effect, and as an effect of log density (each as a separate model to avoid  
232 collinearity). In the event of predator saturation, the treatment effect would be expected to  
233 decline with increasing larval density. The netting treatment effect was tested for normality  
234 using normal quantile-quantile plots and a Shapiro normality test.

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235 Proportional survival of pupae (out of 3 on each string) was analyzed using a logit link  
236 proportional logistic GLM, with high and low elevation (30 and 50 m vs 240 m), site and their  
237 interaction as predictors.

238 Models were implemented in R (Version 3.3.1, R Core Team, 2016), using lme4 for  
239 mixed models (Bates *et al.*, 2014) and ggplot2 for graphics (Wickham, 2009). Wald Z-tests  
240 built into lme4 were used to generate p-values for mixed models, which were confirmed using  
241 95% profile likelihood confidence intervals. Original untransformed parameter estimates and  
242 profile confidence intervals are reported in the text, while inverse transformed least squares  
243 means and asymptotic confidence intervals generated by the lsmeans package were used in  
244 plotting to improve interpretability of results (Lenth, 2016).

## 245 *Results*

### 246 Spatiotemporal dynamics of birds and moths

247 The autumnal moth displayed a single population peak (2014) during the study  
248 period (Fig. 2a), while two peaks were observed in the winter moth (2008 and 2015) (Fig.  
249 2b). During all of these population peaks, moth densities were consistently higher at 170  
250 and 240 m than at the two lowest elevations in the gradient. This pattern was especially  
251 pronounced in 2008, when the winter moth reached extremely high densities and caused  
252 complete defoliation at 240 m, while densities remained low and defoliation was nearly  
253 undetectable at 50 and 100 m.

254 The proportion of nest boxes occupied by pied flycatchers (Fig. 2c) and great tits  
255 (Fig. 2d) fluctuated considerably throughout the study period. However, both species  
256 showed a relatively clear tendency to prefer nesting at 50 and 100 m in most years.

257 Larval predation experiment

258 The fine mesh and bird netting treatments had significantly higher larval counts than  
259 the control treatment ( $\beta$  fine mesh=0.89 [CI: 0.61, 1.21],  $z=5.7$ ,  $P<0.001$ ,  $\beta$  bird netting=1.14  
260 [CI: 0.85, 1.44],  $z=7.5$ ,  $P<0.001$ ), though were not significantly different from each other  
261 (overlapping 95% confidence intervals). This suggests a significant effect of bird exclusion on  
262 larval densities, but no added effect of also excluding invertebrate predators or preventing  
263 dispersal. There was a significant interaction between the experimental treatment and  
264 altitude owing to smaller effect of the fine mesh and bird netting treatments at 240 m of  
265 elevation than 50 m ( $\beta$  fine mesh=-0.47 [CI: -0.86, -0.07],  $z=-2.3$ ,  $P<0.001$ ,  $\beta$  bird netting=-  
266 0.69 [CI: -1.08, -0.32],  $z=-3.6$ ,  $P<0.001$ ). There was a significant effect of bird exclusion at all  
267 elevations except at 240 m (Fig. 3). The predation rate on larvae, measured as a percentage  
268 of the average larval count of controls relative to bird netting, was 68% at 50 m, 66% at 100  
269 m, 52% at 170 m, and 36% at 240 m.

270 There was no significant relationship between background larval density and  
271 treatment effect in the linear models ( $\beta$  density=-0.023 $\pm$ 0.016,  $df=18$ ,  $t=-1.5$ ,  $P=0.15$ ;  $\beta$   
272 density<sup>2</sup>=-0.0005 $\pm$ 0.0007,  $df=18$ ,  $t=-1.8$ ,  $P=0.51$ ,  $\beta$  log density=-0.69 $\pm$ 0.38,  $df=18$ ,  $t=0.6$ ,  
273  $P=0.09$ ), indicating that the elevational patterns in the predation rate were not caused by  
274 predator saturation effects. Elevation was a better predictor of treatment effect than  
275 background larval density (multiple  $R^2=0.40$  vs. 0.15). Neither nest box occupancy or egg and  
276 nestling count were predictive of treatment effect ( $\beta$  bird presence =-0.107 $\pm$ 0.468,  $df=18$ ,  $t=-$   
277 0.23,  $P=0.82$ ,  $\beta$  bird count= 0.001 $\pm$ 0.058,  $df=18$ ,  $t=-0.023$ ,  $P=0.98$ ). Egg and nestling counts  
278 were significantly higher at 50 m than higher elevations (negative effects with  $P<0.001$  for all  
279 elevations compared to the reference elevation of 50 m [100m:  $\beta$  =-0.76,  $z=-4.6$ , 170m:  $\beta$  =-  
280 0.53,  $z=-3.5$ , 240m:  $\beta$  =-0.57,  $z=-3.8$ ], Fig 4a), but nest box occupancy showed no elevational

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281 trend ( $P > 0.05$  and negligible effects of all elevations compared to the reference elevations of  
282 50 m, Fig 4b). Egg and nestling counts were 43% higher at the lowest elevations than the  
283 highest elevations.

### 284 Pupal predation experiment

285 In general, pupal survival was high at most sites and elevations (overall survival:  
286 75.3%), except at the 240 m plot at Reinøya (survival: 37.5%). In the model for pupal survival,  
287 this resulted in a significantly lower predation rate at Reinøya than the other sites ( $\beta = -2.38$ ,  
288  $df = 112$ ,  $z = -5.08$ ,  $P < 0.001$ ) and a significant interaction between the site of Reinøya and the  
289 240 m elevation ( $\beta_{240\text{ m}} = 3.39$  [CI: -1.80, -0.15],  $df = 112$ ,  $z = 4.57$ ,  $P < 0.001$ ) but no other  
290 significant effects (fig. 5). Parasitism rates of pupae were quite low (18.8%), with 17% overall  
291 at high elevation and 20% at low elevation. The only identifiable parasitoids were larval-pupal  
292 parasitoids, *Agrypon flaveolatum*. As a larval-pupal parasitoid *A. flaveolatum* attacks larvae  
293 before pupation, and thus attack rates could not have been affected by the pupal predation  
294 experiment.

### 295 *Discussion*

296 The tendency for moth outbreaks to be most intense at high elevations has been a  
297 long-standing enigma in the study of moth population dynamics in Fennoscandia. The present  
298 study sheds some new light on this matter, by demonstrating that elevational trends in the  
299 impact of avian predators may contribute to these elevational outbreak patterns. Bird netting  
300 had a strong effect on larval survival at the lower elevations, while there was only a marginal  
301 effect of the netting treatment at the treeline. Thus, the estimated avian predation rate was  
302 almost twice as high at the lowest elevation compared to the highest. In accordance with this,  
303 the long-term occupancy rates of cavity-nesting passerines were consistently lower at high

304 elevations. These findings suggest that birds may have a substantial suppressive effect on  
305 moth densities at low elevations, while moth populations at higher elevations experience a  
306 release from this suppression. This is in accordance with previous work by Tanhuanpää et al.  
307 (2001), who documented high avian predation rates in an *E. autumnata* population in  
308 southern Finland, and suggested that birds (along with invertebrate predators) contribute to  
309 the suppression of outbreaks in southern populations. It conforms with predictions that  
310 generalist predators should be more important at lower elevations and latitudes (e.g., Klemola  
311 et al., 2002) though there is no evidence that specialist natural enemies play a correspondingly  
312 lesser role at lower elevations in coastal northern Norway (Vindstad et al., 2011; Schott et al.,  
313 2012). Our results also align with a large body of research showing that predation by birds  
314 can suppress the densities of herbivorous insects in natural and agricultural systems (Holmes,  
315 1990; Kirk et al., 1996). Although it is unlikely that predation by birds alone is sufficient to  
316 prevent outbreaks (although some birds do respond numerically to geometrids; see  
317 Lindström, 1987; Enemar et al., 2004; Hogstad, 2005), it seems plausible that avian predation  
318 in combination with other factors could dampen the peaks of geometrids at lower elevations.

319         It is important to emphasize that the pied flycatchers and great tits inhabiting our  
320 nestboxes represent only a small subset of the bird community in the study system. At least  
321 20 other passerine species occur in Scandinavian mountain birch forest (Vindstad et al., 2015).  
322 Some of these, like the brambling (*Fringilla montifringilla*), the willow warbler (*Phylloscopus*  
323 *trochilus*) and the common redpoll (*Carduelis flammea*), are very common and prey heavily  
324 on moth larvae (Hogstad, 2005). Thus, incomplete representation of the bird community may  
325 explain why there was no relationship between measured bird densities in nestboxes and the  
326 bird exclusion treatment effects.

327 Past work on the effect of predators on moth population dynamics have tended to  
328 emphasize the regulating effects of generalist pupal predators, especially for the winter moth  
329 (Varley & Gradwell, 1968; East, 1974; Tanhuanpää *et al.*, 1999, 2001; Raymond *et al.*, 2002).  
330 However, substantial evidence now suggests that pupal predation cannot account for the  
331 distinct elevational structuring that is often observed in moth dynamics in Fennoscandia. Both  
332 the present study and former work by Hansen *et al.* (2009) failed to find elevational trends in  
333 pupal predation rates that could account for the elevational patterns in moth dynamics.  
334 Schott *et al.* (2013) obtained a corresponding negative result in their study of elevational  
335 patterns in the community structure of invertebrate generalist predators. Hence, it seems  
336 safe to conclude that release from pupal predation alone probably does not explain the  
337 tendency for moth populations to outbreak at high elevations (Klemola *et al.*, 2014). This  
338 conclusion is somewhat at odds with that of Tanhuanpää *et al.* (1999), who documented lower  
339 impacts of pupal predation in northern (outbreaking) than southern (non-outbreaking)  
340 populations of *E. autumnata*, and suggested that release from pupal predation contributes to  
341 outbreak formation in the north. Thus, currently available evidence suggests that the  
342 mechanisms underlying the development of moth outbreaks at high latitudes and elevations  
343 are not fully known, and possibly quite different.

344 The relatively low pupal predation rates shown in the present study suggest that there  
345 may indeed have been problems with the methods used for recovering pupae by Hansen *et al.*  
346 *et al.* (2009), who reported predation rates of ca. 90%, in contrast to overall predation rates of  
347 just under 25% in the present study. However, our present results align with those of Hansen  
348 *et al.* (2009) in the sense that no consistent elevational pattern in predation could be detected  
349 across the gradients included in the study. Though it could be argued that the methods used  
350 in the present study might have deterred predators due to excessive manipulation of pupae



351 and thus generated low predation rates, the relatively high predation rate of 62.5% at 240 m  
352 on Reinøya suggests otherwise. This method has also been successfully used in multiple other  
353 predation studies on pupae (Smith, 1985; Cook *et al.*, 1994; Elkinton *et al.*, 2004).

354         Studies of predation rates in outbreaking moth populations can be difficult to interpret  
355 because predator saturation may occur when moth densities are high. Hence, it may be  
356 impossible to determine whether low predation rates in a high-density moth population are a  
357 cause or a consequence of the high densities. This problem has been encountered in previous  
358 work that compared parasitism rates between elevations with contrasting moth densities  
359 (Vindstad *et al.*, 2011). In the present study, we circumvented this problem by conducting our  
360 experiments in a non-outbreak situation, when predator saturation was not likely to occur at  
361 any elevation. The fact that the estimated avian predation rates (i.e., station-level effect sizes  
362 between controls and coarse mesh treatments in the predator exclusion experiment) were  
363 not statistically related to background larval density confirms that predator saturation is  
364 unlikely to have affected our results. It therefore seems reasonable to attribute the lower  
365 predation rates at high elevations to lower densities of birds and/or lower bird foraging  
366 activity.

367         Our results in the present study highlight a number of valuable directions for further  
368 research. First, our results emphasize the importance of avian predation and generally  
369 predation on the larval stage over the pupal stage for elevational differences in geometrid  
370 dynamics. This suggests that the traditional focus on pupal predation in studies of moth  
371 dynamics should be reconsidered, and that greater attention to avian predation is warranted.  
372 Second, the interpretation of our results would be greatly aided by a complete census of the  
373 insectivorous bird community at different elevations. Automated sound stations are  
374 increasingly used for such purposes (e.g., Holmes *et al.* 2014, Stevenson *et al.* 2015) and could

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375 be useful also in our system. Finally, our results are based on a single year of data, and more  
376 long-term studies of avian predation along altitudinal gradients are clearly necessary to fully  
377 substantiate our conclusions.

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385 the experiments, A. A. Pepi conducted the analyses and drafted the first manuscript version,  
386 A. A. Pepi and O.P.L. Vindstad wrote the final manuscript, and J.U. Jepsen and M. Ek provided  
387 additional comments on the manuscript.

## 388 *Conflicts of Interest*

389 The authors declare no conflicts of interest.

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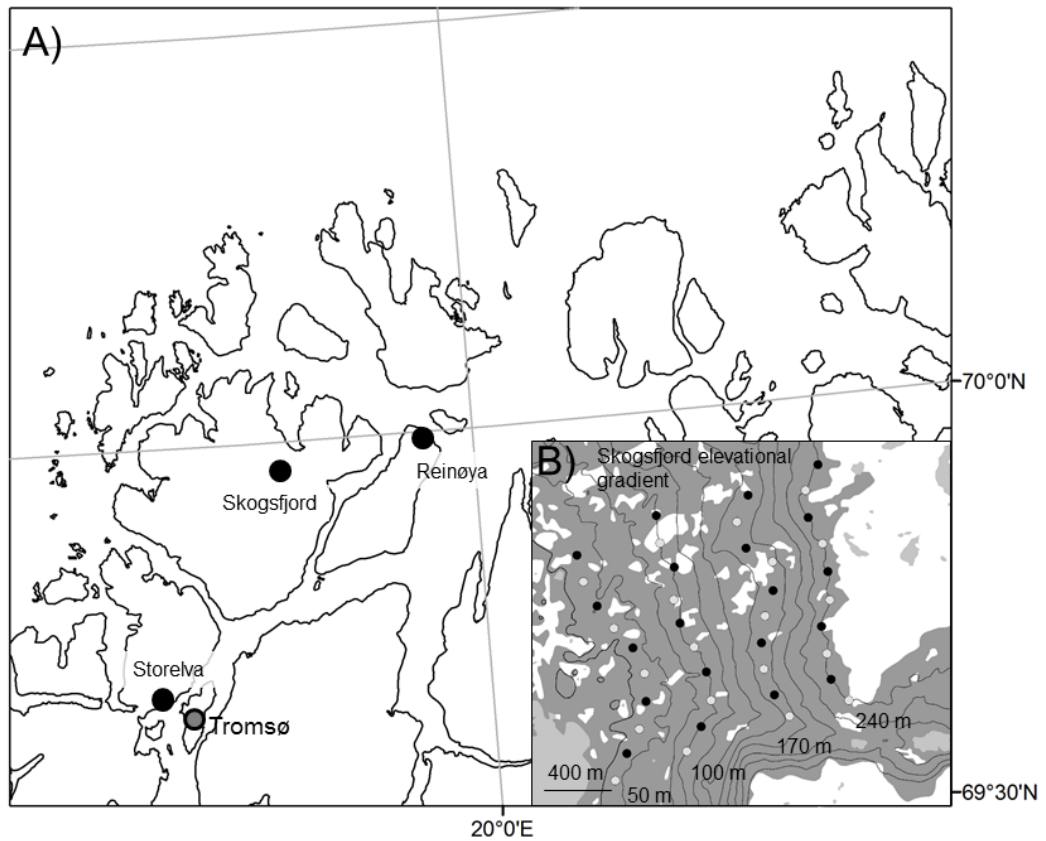
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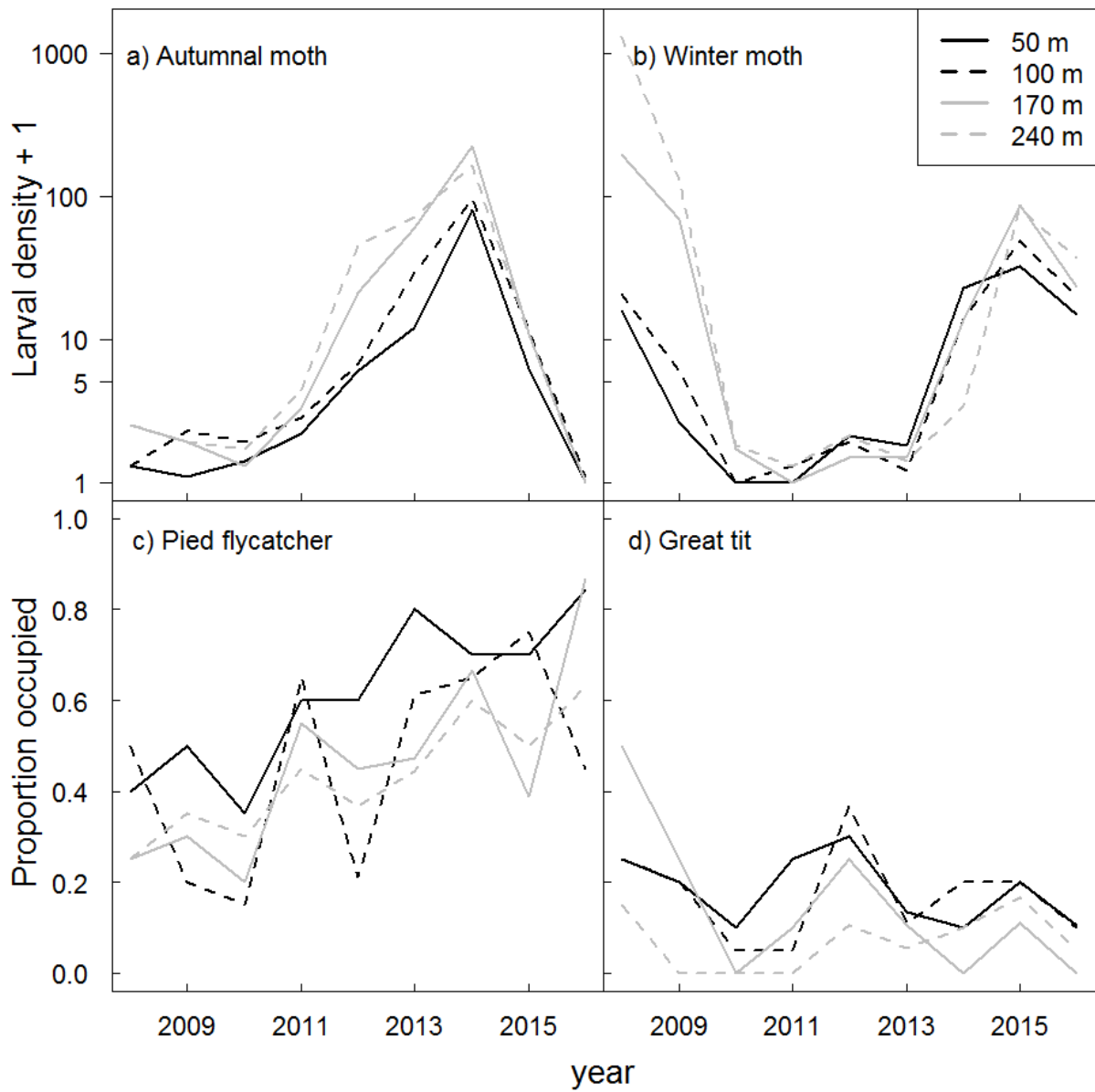
528 Figure 1. (a) Map of the three elevational gradients, Skogsfjord, Reinøya and Storelva in Troms  
529 County, northern Norway. (b) A detailed map of the Skogsfjord elevational gradient with the  
530 20 samplings locations used for the larval predation experiment as unfilled circles. The long  
531 term monitoring of larval and bird populations takes place at all 40 sampling locations (filled  
532 and unfilled circles). Background shading on (b) shows the distribution of birch forest.





533

534 Figure 2. Population density indices of autumnal moth (a) and winter moth (b), and nest box  
535 occupancy of pied flycatchers (c) and great tits (d) at four different elevations at Skogsfjord  
536 for the years 2008 – 2016. Larval density index refers to the number of larvae per 10 birch  
537 branches (mean across the 10 sampling stations within each altitude). Nest box occupancy  
538 refers to the proportion of nestboxes occupied out of a total of 20 boxes per elevation.

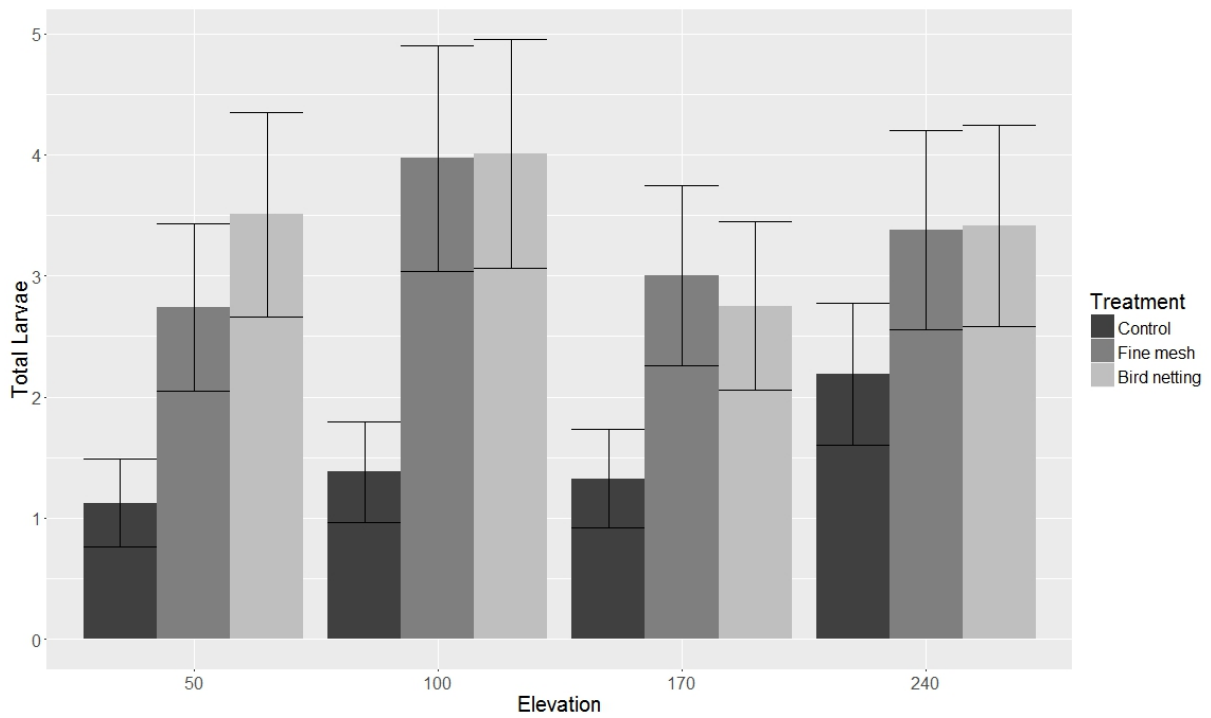


539

540 Figure 3. Model-derived mean estimates of larval count by exclusion treatment and elevation,

541 with asymptotic 95% confidence intervals.

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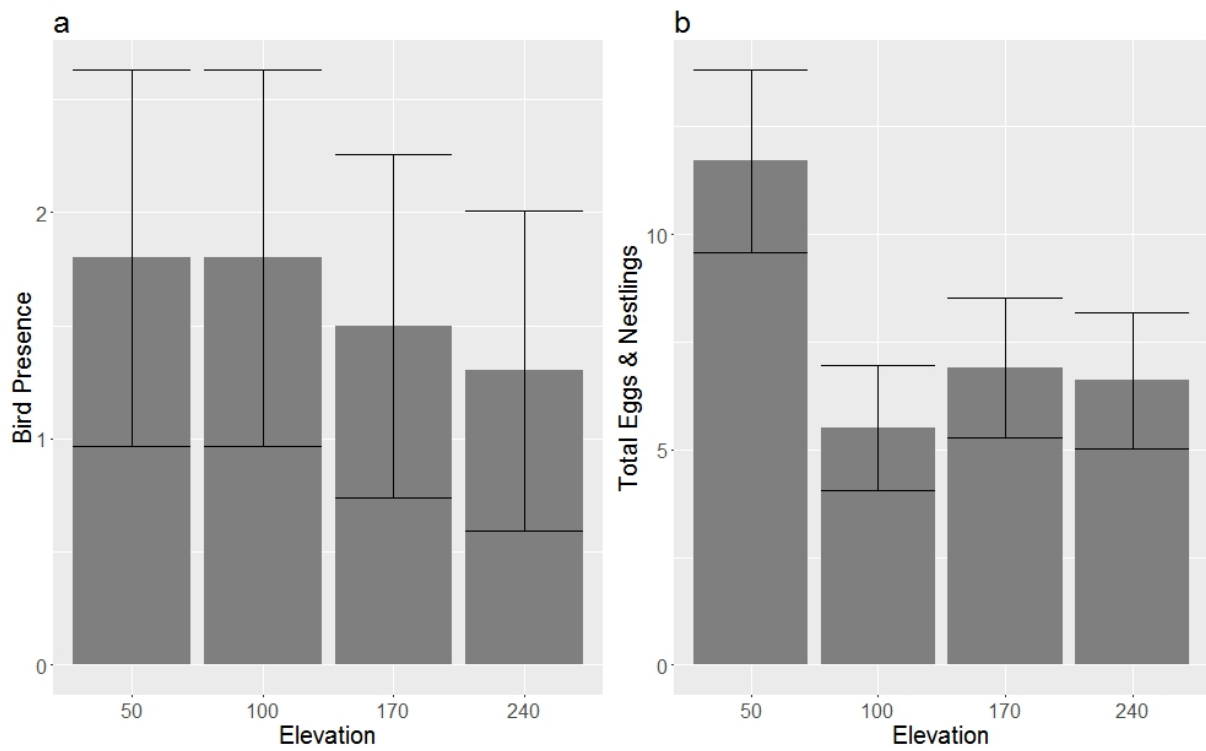


542

543 Figure 4. (a) Estimated bird occupancy out of two nestboxes at stations by elevation error and

544 (b) estimated total eggs and nestlings per station by elevation, from model-derived mean

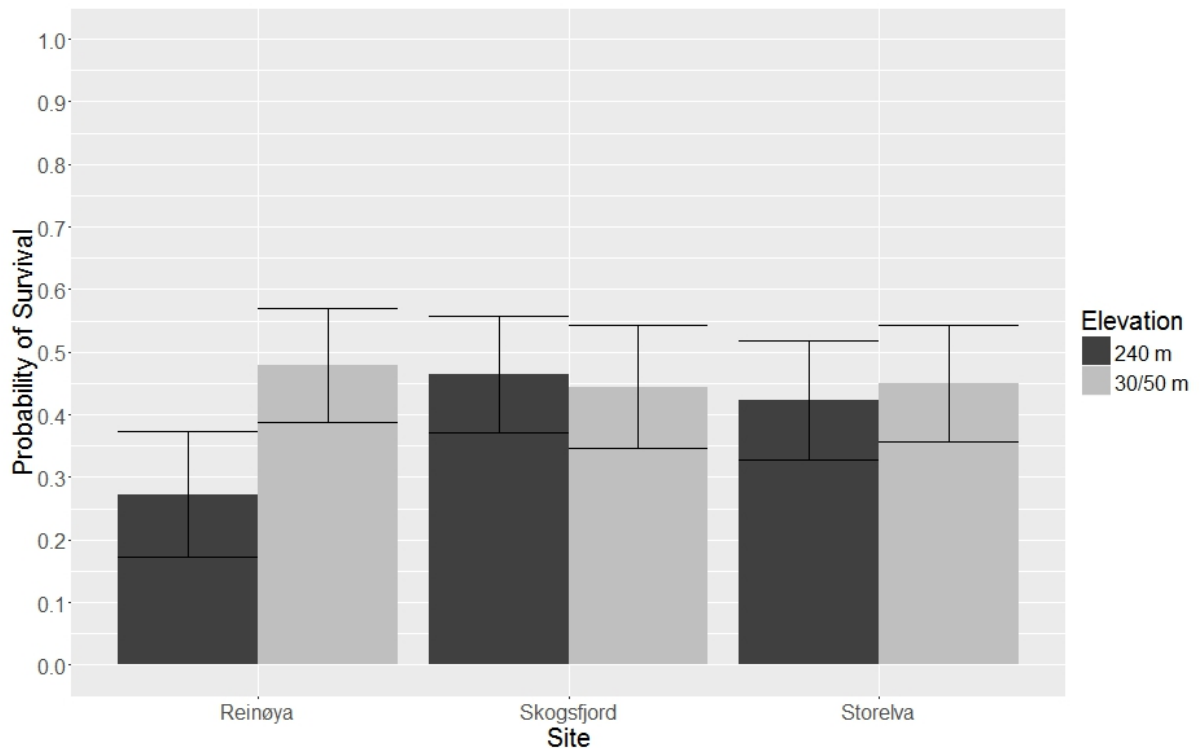
545 estimates, both with asymptotic 95% confidence intervals.



546

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547 Figure 5. Model-derived mean estimates of pupal survival by elevation and site, with  
548 asymptotic 95% confidence intervals.



549