

Complementary biomarker-based methods for characterising Arctic sea ice conditions: A case study comparison between multivariate analysis and the PIP<sub>25</sub> index

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## 1 **Abstract**

2 The discovery of IP<sub>25</sub> as a qualitative biomarker proxy for Arctic sea ice and  
3 subsequent introduction of the so-called PIP<sub>25</sub> index for semi-quantitative  
4 descriptions of sea ice conditions has significantly advanced our understanding of  
5 long-term paleo Arctic sea ice conditions over the past decade. We investigated the  
6 potential for classification tree<sup>1</sup> (CT) models to provide a further approach to paleo  
7 Arctic sea ice reconstruction through analysis of a suite of highly branched  
8 isoprenoid (HBI) biomarkers in ca. 200 surface sediments from the Barents Sea.  
9 Four CT models constructed using different HBI assemblages revealed IP<sub>25</sub> and an  
10 HBI triene as the most appropriate classifiers of sea ice conditions, achieving a  
11 >90% cross-validated classification rate. Additionally, lower model performance for  
12 locations in the Marginal Ice Zone (MIZ) highlighted difficulties in characterisation of  
13 this climatically-sensitive region. CT model classification and semi-quantitative PIP<sub>25</sub>-  
14 derived estimates of spring sea ice concentration (SpSIC) for four downcore records  
15 from the region were consistent, although agreement between proxy and  
16 satellite/observational records was weaker for a core from the west Svalbard margin,  
17 likely due to the highly variable sea conditions. The automatic selection of  
18 appropriate biomarkers for description of sea ice conditions, quantitative model  
19 assessment, and insensitivity to the c-factor used in the calculation of the PIP<sub>25</sub> index  
20 are key attributes of the CT approach, and we provide an initial comparative  
21 assessment between these potentially complementary methods. The CT model  
22 should be capable of generating longer-term temporal shifts in sea ice conditions for  
23 the climatically sensitive Barents Sea.

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<sup>1</sup> Non-standard abbreviations:  
CT – Classification tree

## 24 **1. Introduction**

25 Arctic sea ice is an important regulator of the ocean-atmosphere heat, gas  
26 and moisture fluxes (Smedsrud et al., 2013) and serves as an expansive habitat for  
27 a diverse ecosystem (Derocher et al., 2011; Vancoppenolle et al., 2013). Further,  
28 sea ice reflects up to 85% of incoming solar shortwave radiation (Perovich and  
29 Polashenski, 2012). The complex system of physical and thermodynamic  
30 interactions with the ocean and the atmosphere control the physical properties of sea  
31 ice, making it a sensitive indicator of global climate (Perovich and Richter-Menge,  
32 2009; Meier et al., 2014, and references therein). During formation, sea ice expels  
33 brine, resulting in oceanic convection that facilitates formation of North Atlantic Deep  
34 Water (Bitz et al., 2006). In contrast, ice melt induces freshening and stratification of  
35 the upper water column, which limits convection and facilitates the development of  
36 primary productivity blooms, which occur along the receding sea ice edge, frequently  
37 referred to as the Marginal Ice Zone (MIZ; Wassmann et al., 1999).

38 The introduction of satellite-mounted passive microwave sensors has allowed  
39 regular monitoring of Arctic sea ice since the late 1970's (e.g. Fetterer et al., 2016).  
40 The recent decline in Arctic sea ice extent (Stroeve et al., 2012) is unprecedented  
41 within the instrumental record (Divine and Dick, 2006; Walsh et al., 2017) and is  
42 thought to be influenced by anthropogenic warming (Hansen et al., 2010; Kinnard et  
43 al., 2011) and amplified by positive feedback mechanisms (Perovich and  
44 Polashenski, 2012). To better understand and predict modern sea ice trends,  
45 however, it is important to reconstruct longer-term sea ice variability throughout  
46 geological time using proxy measurements (de Vernal et al., 2013).

47           Recently, a C<sub>25</sub> Highly Branched Isoprenoid (HBI) alkene, labelled IP<sub>25</sub> (Ice  
48 Proxy with 25 carbon atoms; Belt et al., 2007), has been shown to be a suitable  
49 biomarker proxy of Arctic seasonal sea ice (Belt and Müller, 2013). The selectivity of  
50 IP<sub>25</sub> towards seasonal sea ice cover is supported by its <sup>13</sup>C isotopic signature (Belt et  
51 al., 2008) and production by certain sympagic diatoms (e.g. *Haslea* and *Pleurosigma*  
52 spp.; Brown et al., 2014b) during the spring primary productivity bloom (Brown et al.,  
53 2011, 2014b; Belt et al., 2013). Further, investigations of IP<sub>25</sub> in pan-Arctic surface  
54 sediments have revealed a consistent presence, primarily at seasonally ice-covered  
55 locations (Méheust et al., 2013; Stoyanova et al., 2013; Weckström et al., 2013; Xiao  
56 et al., 2013, 2015a; Belt et al., 2015; Ribeiro et al., 2017). Within paleo records, IP<sub>25</sub>  
57 has been identified in downcore records from all Arctic regions spanning a range of  
58 timeframes extending back to the late Miocene (e.g. Massé et al., 2008; Müller et al.,  
59 2009, 2012; Vare et al., 2009, 2010; Cabedo-Sanz et al., 2013; Knies et al., 2014,  
60 2017; Müller and Stein, 2014; Cabedo-Sanz and Belt, 2016; Hoff et al., 2016; Polyak  
61 et al., 2016; Stein et al., 2016, 2017; Berben et al., 2017; Hörner et al., 2017).

62           A limitation of sea ice reconstructions based on sedimentary IP<sub>25</sub> alone is the  
63 difficulty in distinguishing between perennial sea ice cover and ice-free conditions, as  
64 it is usually absent in both scenarios (Belt and Müller, 2013). However, it has been  
65 reported in sediments from regions of near-permanent sea ice cover (Xiao et al.,  
66 2015a). To address this possible ambiguity, Müller et al. (2009) first proposed  
67 concurrent analysis of certain phytoplankton biomarkers (e.g. brassicasterol) that are  
68 characteristic of open water (pelagic) conditions (Volkman, 1986, 2006).  
69 Subsequently, the combining of phytoplankton biomarker and IP<sub>25</sub> concentrations to  
70 calculate a Phytoplankton–IP<sub>25</sub> index (PIP<sub>25</sub>) was used to obtain semi-quantitative  
71 descriptions of sea ice conditions (Müller et al., 2011). Sterol-based PIP<sub>25</sub> indices

72 have since been utilised in several studies of both surface and downcore  
73 sedimentary records (e.g. Fahl and Stein, 2012; Müller et al., 2012; Cabedo-Sanz et  
74 al., 2013; Navarro-Rodriguez et al., 2013; Stoyanova et al., 2013; Weckström et al.,  
75 2013; Xiao et al., 2013, 2015a, 2015b; Berben et al., 2014, 2017; Müller and Stein,  
76 2014; Belt et al., 2015; Hoff et al., 2016; Polyak et al., 2016; Hörner et al., 2017;  
77 Pieńkowski et al., 2017). The adoption of a uniform scale (0–1) with the  $PIP_{25}$  index  
78 allows for more consistent comparisons of inferred sea ice conditions from different  
79 datasets, especially considering the variability of sedimentary  $IP_{25}$  concentration for  
80 regions of similar sea ice cover (Stoyanova et al., 2013; Xiao et al., 2015a). However,  
81 several challenges are associated with sterol-based  $PIP_{25}$  indices. First, sterols are  
82 not particularly source-specific, being produced by a variety of marine and  
83 terrigenous sources (Volkman, 1986, 2006; Yunker et al., 2005; Rampen et al.,  
84 2010), including sympagic algae (Belt et al., 2013), which likely adds bias to  $PIP_{25}$   
85 values in some settings. Second, a consequence of such ubiquity is a considerable  
86 discrepancy between the typical concentration ranges of sterols and  $IP_{25}$ ,  
87 necessitating the use of a concentration balance factor, or c-factor, which can be  
88 adversely affected by, amongst other things, downcore concentration distributions  
89 and potential differential degradation of biomarkers in paleo-records (Belt and Müller,  
90 2013).

91 To try and alleviate these limitations, Belt et al. (2015) compared the spatial  
92 distribution of  $IP_{25}$  in Barents Sea surface sediments to that of a tri-unsaturated HBI  
93 (III; Fig. 1) thought to be only biosynthesised by certain open-water diatoms  
94 belonging to the *Pleurosigma* and *Rhizosolenia* genera (Belt et al., 2000; Rowland et  
95 al., 2001) – including some species present in mixed phytoplankton communities  
96 from western Svalbard (Belt et al., 2017) – and thus likely to provide a more selective

97 representation of the pelagic environment than many other biomarkers. Since the  
98 contribution of *Pleurosigma* spp. and *Rhizosolenia* spp. to many pelagic diatom  
99 assemblages and the proportion of IP<sub>25</sub>-producing sympagic diatoms in sea ice are  
100 generally similar (ca. 1–5%; von Quillfeldt, 2000; Ratkova and Wassmann, 2005;  
101 Brown et al., 2014b), it was also hypothesized that sedimentary concentration  
102 ranges of III and IP<sub>25</sub> would be comparable. Consistent with this background, an  
103 inverse relationship between IP<sub>25</sub> and III was found for regions of contrasting sea ice  
104 cover, while P<sub>III</sub>IP<sub>25</sub> indices (i.e. PIP<sub>25</sub> based on IP<sub>25</sub> and III) exhibited a vastly  
105 reduced influence of the c-factor on downcore profiles compared to those of P<sub>B</sub>IP<sub>25</sub>  
106 (i.e. PIP<sub>25</sub> based on IP<sub>25</sub> and brassicasterol), due to similar sedimentary  
107 concentrations of IP<sub>25</sub> and III, as predicted (Belt et al., 2015). Using the same  
108 dataset, Smik et al. (2016) demonstrated a positive linear correlation between P<sub>III</sub>IP<sub>25</sub>  
109 and spring sea ice concentration (SpSIC), thus providing a regional calibration,  
110 which has since been used to obtain semi-quantitative SpSIC estimates in downcore  
111 records (Cabedo-Sanz and Belt, 2016; Berben et al., 2017). However, several  
112 challenges inherent to the PIP<sub>25</sub> index persist. Objective selection of optimal  
113 biomarkers that best describe spring sea ice conditions remains problematic, while  
114 the broad PIP<sub>25</sub> thresholds previously used to classify regions of variable sea ice  
115 conditions, ranging from open water (PIP<sub>25</sub> <0.1) to extensive sea ice cover (PIP<sub>25</sub>  
116 >0.75) have not been based on a reproducible classification procedure, but instead  
117 determined using approximate data ranges obtained via linear regression of PIP<sub>25</sub>  
118 and SpSIC (Müller et al., 2011; Smik et al., 2016). The application of a robust  
119 statistical procedure for multivariate HBI analysis could conceivably address these  
120 challenges and validate (or otherwise) the PIP<sub>25</sub> approach for reconstructing paleo  
121 sea ice conditions.

122 Computational data mining algorithms incorporate a variety of parametric and  
123 non-parametric methods for multivariate analysis to characterise and visualise data  
124 structure (for reviews, see Rokach and Maimon, 2005; Sammut and Webb, 2017).  
125 Parametric algorithms, including cluster and factor analyses (e.g. Reimann et al.,  
126 2002; Templ et al., 2008), make distributional assumptions, such as data normality.  
127 However, geochemical data are seldom normally distributed due to strong spatial  
128 dependence, presence of statistical outliers, and missing data (Reimann and  
129 Filzmoser, 2000). In contrast, non-parametric methods, such as classification trees  
130 (CTs), make no significant distributional assumptions and often allow for intuitive  
131 visual interpretation of implicit trends (Aitchison, 1986; Vayssières et al., 2000;  
132 Vermeesch, 2006), an attribute not generally shared by parametric methods (Bunge,  
133 1963). In essence, CTs are an example of a non-parametric technique used to  
134 determine the outcome of a categorical target (dependent) variable based on  
135 decisions made on a multivariate set of descriptive (independent) variables (e.g.  
136 Breiman et al., 1984; Quinlan, 1986,1993). A detailed review of decision tree  
137 methods is available from various authors (Rokach and Maimon, 2005; Hastie et al.,  
138 2009; Sammut and Webb, 2017), and an overview of the CT approach and  
139 associated terminology is included as part of Electronic Annex 1.

140 The principal aim of the current investigation, therefore, was to ascertain  
141 whether a CT model based on the variable distribution of certain biomarkers in  
142 marine sediments from across the Barents Sea could be used to accurately classify  
143 the overlying sea ice conditions and thus provide a novel and potentially more  
144 reliable approach to paleo sea ice reconstruction. To address this aim, CT models  
145 were constructed using relative abundances of six HBI biomarkers (Fig. 1) in ca. 200  
146 surface sediments spanning the Barents Sea and neighbouring regions (Fig. 2a). An

147 optimized CT model was then used to reconstruct sea ice conditions in four well-  
148 dated short sediment cores retrieved from sites of contrasting sea ice conditions  
149 within the study region, and for which observational sea ice records covering recent  
150 centuries were also available (Divine and Dick, 2006; Vare et al., 2010; Walsh et al.,  
151 2017). Finally, the CT model results were compared to SpSIC estimates obtained  
152 from regionally calibrated  $P_{III}IP_{25}$  indices.

## 153 **2. Regional setting**

154 The Barents Sea is a marginal area of the Arctic Ocean and is both the  
155 largest and deepest among the Arctic continental shelf regions. Detailed overviews  
156 of Barents Sea oceanography can be found in Loeng (1991) and Loeng et al. (1997).  
157 Briefly, Barents Sea hydrography is characterised by three distinct water masses  
158 (Fig. 2b): northward inflow of warm and saline Atlantic Water (AW), fresher and  
159 colder Arctic Water (ArW) flowing southwest, and brackish coastal water  
160 topographically steered along the Norwegian coast by the Norwegian Coastal  
161 Current (NCC) (Sakshaug et al., 2009).

162 Ice formation in the Barents Sea begins in October, reaching maximum  
163 extent in March–April. The direct inflow of AW (Loeng et al., 1997; Besczynska-  
164 Möller et al., 2012; Smedsrud et al., 2013) profoundly affects seasonal sea ice  
165 variability (Sorteberg and Kvingedal, 2006), keeping the region almost entirely ice-  
166 free at the September minimum, while the western Spitsbergen margin remains  
167 largely ice-free throughout the year (Walczowski and Piechura, 2011). The boundary  
168 where AW and ArW meet, known as the Polar Front (PF), defines the maximum  
169 winter ice extent and that of the highly productive MIZ (e.g. Wassmann et al., 1999).  
170 The position of the PF in winter is relatively constant in the western and central



171 Barents Sea (Loeng and Drinkwater, 2007) such that the MIZ experiences relatively  
172 low inter-annual variability. Sea ice in the eastern Barents Sea ice experiences  
173 increased seasonal and inter-annual variability due to the mixing of ArW and the  
174 North Cape Current (NCaC) inflow of AW. Sea ice in the Barents Sea, overall, has  
175 decreased by >50% since the beginning of satellite monitoring in 1979 (Fetterer et  
176 al., 2016), and a negative trend since 1850 has also been reported (Divine and Dick,  
177 2006). This retreat and the seasonal amplitude of sea ice extent are likely  
178 accelerated by a combination of increasing inflow and temperature of the NAC  
179 (Årthun et al., 2012) and various positive feedback mechanisms (e.g. Smedsrud et  
180 al., 2013).

### 181 **3. Materials and methods**

#### 182 *3.1 Surface sediment material*

183 198 surface sediment sub-samples were taken from a range of multicores, box  
184 cores and gravity cores reflecting regions of variable sea ice cover (Fig. 2a). Most of  
185 the sediment material has been described elsewhere (Knies and Martinez, 2009;  
186 Navarro-Rodriguez et al., 2013; Belt et al., 2015; Smik et al., 2016). 55 samples  
187 described previously (Navarro-Rodriguez et al., 2013) and 96 further sediments from  
188 the MAREANO program (<http://www.mareano.no>; Thorsnes, 2009) were re-extracted  
189 using fresh material sub-sampled at the Geological Survey of Norway. These were  
190 supplemented by 47 surface sediments from other sources (Belt et al., 2015),  
191 including material collected during the Centre for Arctic Gas Hydrate, Environment,  
192 and Climate (CAGE; UiT–Arctic University of Norway) cruises 15-2 and 16-5 aboard  
193 the RV *Helmer Hanssen* in 2015 and 2016, respectively (n=10). Upon arrival, all  
194 samples were freeze-dried (0.001 mbar; -80°C; ca. 24h) and stored in plastic bags at  
195 -20°C to avoid HBI degradation. A depth interval of 0–1 cm was sampled for the

196 majority of the sediments (n=188), while variable depths ranging from 0–3 cm were  
197 only used for 10 samples. Detailed grain size distributions were not available for  
198 every sample, although published data from the MAREANO programme (Knies et  
199 al., 2006) for 73 sediments indicate that most samples from the central and northern  
200 Barents Sea included a variable (40–85%) mud fraction (summed silt and clay  
201 particles  $\leq 63\mu\text{m}$ ), while sediment coarsening was observed towards coastal areas  
202 along the northern and north-western Norwegian coast, where silt and clay fractions  
203 were as low as 5%. Sampling locations and biomarker data are available from  
204 PANGAEA ([www.pangaea.de](http://www.pangaea.de))

### 205 *3.2 Downcore sediment material*

206 Downcore data were obtained from four short sediment cores (Fig. 2a)  
207 described elsewhere (Vare et al., 2010; Cabedo-Sanz and Belt, 2016). Cores  
208 BASICC 1 (73.13°N, 25.63°W; 425 m water depth), BASICC 8 (77.98°N, 26.83°W;  
209 136 m water depth), and BASICC 43 (72.54°N, 45.74°W; 285 m water depth),  
210 henceforth referred to as cores 1, 8, and 43, were recovered aboard the RV *Ivan*  
211 *Petrov* in August 2003 as part of the ‘Barents Sea Ice Edge in a Changing Climate’  
212 (BASICC) project (Cochrane et al., 2009). Previously reported grain-size distributions  
213 indicated high mud content for cores 1 and 8 (ca. 89% and 77% summed silt and  
214 clay fraction, respectively), while core 43 exhibited a higher proportion of sand (ca.  
215 47%; Cochrane et al., 2009). The age models for all three cores have been  
216 described elsewhere (Vare et al., 2010) and span the last ca. 250–300 years. Core  
217 MSM5/5-712-1 (78.92°N, 6.77°W; 1490.5 m water depth), hereafter referred to as  
218 core 712, was collected in 2007 on board the RV *Maria S. Merian* during the  
219 MSM5/5 cruise, and was described previously (Spielhagen et al., 2011; Cabedo-

220 Sanz and Belt, 2016). The uppermost 7.5 cm of core 712 analysed herein consist of  
221 fine-grained mud, with a consistently low content (ca.  $5\pm 1\%$ ) of sediment coarser  
222 than  $0.63\ \mu\text{m}$  (Werner et al., 2011). The age model spans the last ca. 2000 years  
223 (Spielhagen et al., 2011). The cores were chosen to represent open water (core 1),  
224 as well as intermediate (cores 43, 712) and extensive (core 8) seasonal sea ice  
225 conditions, at least during recent centuries (Divine and Dick, 2006; Walsh et al.,  
226 2017). Sedimentation rates for cores 1, 8 and 43 ranged from  $1.1\text{--}1.3\ \text{mm}\ \text{y}^{-1}$ , and  
227 were considerably lower ( $0.18\ \text{mm}\ \text{y}^{-1}$ ) for core 712, resulting in respective temporal  
228 resolutions of ca. 8–9 years and 56 years per 1.0 cm horizon. Downcore biomarker  
229 data are available from PANGAEA ([www.pangaea.de](http://www.pangaea.de)).

### 230 *3.3 Analysis of HBI biomarkers*

231 The extraction of HBI lipids (I–VI; Fig. 1) was carried out according to methods  
232 described previously (Belt et al., 2012; Cabedo-Sanz and Belt, 2015). Internal  
233 standard (9-octylheptadec-8-ene;  $0.1\ \mu\text{g}$ ) was added to freeze-dried sediments (ca.  
234  $1.5\text{--}2.5\ \text{g}$ ), which were then extracted ( $\times 3$ ) by ultrasonication using  
235 dichloromethane/methanol (2:1 v/v, 2 mL) to obtain Total Organic Extracts (TOEs).  
236 Solvent was evaporated from the TOEs ( $\text{N}_2$  stream,  $25^\circ\text{C}$ ) and elemental sulphur  
237 was removed as described by Cabedo-Sanz and Belt (2015). The non-polar fraction  
238 containing HBI lipids was collected using open column silica chromatography (ca. 1  
239 g silica; 6–7 mL hexane; Belt et al., 2012). Hexane was partially evaporated from the  
240 HBI-containing fractions ( $\text{N}_2$  stream,  $25^\circ\text{C}$ ), leaving ca.  $200\text{--}300\ \mu\text{L}$ . Further  
241 purification of the extracts was carried out using Ag-ion column chromatography  
242 (Supelco Discovery® Ag-Ion;  $0.12\ \text{g}$ ), separating the extracts into saturated  
243 hydrocarbons (1 mL hexane) and HBIs (2 mL acetone). Analysis of HBI-containing

244 fractions was carried out using gas chromatography–mass spectrometry (GC–MS) in  
245 total ion current (TIC) and single ion monitoring (SIM,  $m/z$  346 (HBIs III–V), 348 (II  
246 and VI) and 350 (I)) modes using an Agilent 7890 series gas chromatograph (HP<sub>5MS</sub>  
247 fused silica column; 30 m × 0.25 mm i.d., 0.25 µm film thickness) coupled to an  
248 Agilent 5975 mass spectrometric detector (Belt et al., 2012). HBIs were identified by  
249 comparison of retention indices (RI<sub>HP5-MS</sub>) and mass spectra to those of authentic  
250 standards. Quantification of HBIs (ng g<sup>-1</sup> dry sed.) was carried out by comparing  
251 mass spectral intensities of molecular ions to that of the internal standard, and  
252 normalising for differences in mass spectral fragmentation efficiency and sediment  
253 mass. Chromatographic data from sediment material described by Belt et al. (2015)  
254 were re-examined to quantify HBIs not measured previously.

### 255 *3.4 Statistical procedure*

#### 256 *3.4.1 Data preparation*

257 SpSIC data (April–June, 1988–2007) were obtained from Nimbus-7 SMMR  
258 and DMSP SSM/I-SSMIS passive microwave datasets (Cavalieri et al., 1996). The  
259 same dataset was used previously for biomarker-based pan-Arctic and regional sea  
260 ice calibrations via the PIP<sub>25</sub> index (Xiao et al., 2015a; Smik et al., 2016). Sediment  
261 sampling dates and regional accumulation rates supported the selection of an  
262 appropriate time interval covered by the satellite data. The majority of surface  
263 sediment material was collected from 2003–2006 (Navarro-Rodriguez et al., 2013;  
264 Belt et al., 2015), while Barents Sea sedimentation rates in ice-covered regions are  
265 typically 0.7±0.4 mm y<sup>-1</sup> (e.g. Zaborska et al., 2008), but can reach 1.1±0.4 mm y<sup>-1</sup>  
266 (Maiti et al., 2010). A 20-year time interval was therefore chosen for satellite-derived  
267 SpSIC to represent accumulation of 1.0 cm of sediment at 0.5 mm y<sup>-1</sup>, the median of

268 the 0.2–0.8 mm y<sup>-1</sup> range reported for the seasonal sea ice zone around Svalbard  
269 (Zaborska et al., 2008).  $P_{III}IP_{25}$  indices were calculated using Eq. 1, with HBI III  
270 (defined as III in Eq. 1) as the pelagic biomarker counterpart to  $IP_{25}$ , and a regional  
271 c-factor ( $c=0.63$ ) determined from a previous calibration (Smik et al., 2016). Square  
272 brackets denote absolute HBI concentrations (ng g<sup>-1</sup> dry sed.) in all equations.  
273 Estimates of SpSIC (%) and associated standard errors were calculated using Eq. 2  
274 and the root-mean-square error (RMSE) of the regional calibration, respectively  
275 (Cabedo-Sanz and Belt, 2016; Smik et al., 2016).

$$P_{III}IP_{25} = \frac{[IP_{25}]}{([IP_{25}] + [III] \times 0.63)} \#(1)$$

$$SpSIC (\%) = \frac{(P_{III}IP_{25} - 0.0692)}{0.0107} \#(2)$$

276 Prior to classification tree induction, the optimal number of classes  
277 representing different sea ice conditions was determined via complete linkage  
278 Agglomerative Hierarchical Clustering (AHC; Sørensen, 1948) of satellite-derived  
279 SpSIC estimates and coordinates of surface sediments (Fig. A.1, Electronic Annex  
280 1). Squared Euclidean distance was used as a mathematical distance measure.  
281 Thus, three classes representing marginal (0–10%), intermediate (10–50%) and  
282 extensive (50–100%) SpSIC were identified (Fig. 3a). HBI concentrations were  
283 converted into relative abundances (0–100%) via separate normalisation to four HBI  
284 assemblages (Eq. 3).

$$HBI (\%) = \frac{[HBI]}{[HBI \text{ Assemblage}]} \times 100 \#(3)$$

285 The four HBI assemblages used for calculation of relative abundances are  
286 shown in Eq. 4–7. Biomarkers I–IV were included in all four assemblages (A to D)  
287 due to the likely contrasting influences of sea ice conditions on their production.

288 Thus, HBIs I (IP<sub>25</sub>) and II have known sympagic diatom sources (Brown et al., 2014b;  
289 Belt et al., 2016), while III and IV are often co-produced in ubiquitous pelagic diatoms  
290 (Belt et al., 2000; Rowland et al., 2001). HBI IV has also been reported in sea ice  
291 (Belt et al., 2007; Brown, 2011; Ringrose, 2012). For Assemblage B, HBI V was also  
292 included as it has been identified in Arctic sea ice (Belt et al., 2007). An additional  
293 pelagic influence was investigated using VI (Assemblage C), an HBI reported in the  
294 diatom *Berkeleya rutilans*, a species abundant within (at least) brackish coastal  
295 waters (Brown et al., 2014a). The combined effect of V and VI on sea ice conditions  
296 was tested in Assemblage D.

$$HBI \text{ Assemblage } A = \sum ([I], [II], [III], [IV]) \#(4)$$

$$HBI \text{ Assemblage } B = \sum ([I], [II], [III], [IV], [V]) \#(5)$$

$$HBI \text{ Assemblage } C = \sum ([I], [II], [III], [IV], [VI]) \#(6)$$

$$HBI \text{ Assemblage } D = \sum ([I], [II], [III], [IV], [V], [VI]) \#(7)$$

### 297 3.4.2 Classification tree induction from sedimentary HBI composition

298 CT models were used to develop a predictive model for discrimination of discrete  
299 classes of sea ice cover (the target variable), using relative abundances of HBIs  
300 (descriptive variables). CT models were built from the surface sediment dataset  
301 following the method of Breiman et al. (1984). Specifically, the ‘rpart’ (Therneau et  
302 al., 2015), ‘caret’ (Kuhn et al., 2016), ‘rpartScore’ (Galimberti et al., 2012), ‘rpart.plot’  
303 (Milborrow, 2017), ‘MLmetrics’ (Yan, 2016), ‘readr’ (Wickham et al., 2017), and  
304 ‘DMwR’ (Torgo, 2010) libraries were utilised as part of the R Statistical Package (R  
305 Core Team, 2017) for induction and performance evaluation of four CT models using

306 HBI assemblages A–D as descriptive variables (Eq. 3–7), and classes of sea ice  
307 cover assigned to each sample using satellite SpSIC data (Fig. 3a) as the target  
308 variable. First, fully-grown trees were induced using no stopping criteria and  
309 information gain (Quinlan, 1986) as the splitting criterion. Subsequently, cost-  
310 complexity pruning and the 1-SE rule were applied to each CT model to counter  
311 overfitting, reduce tree complexity and improve interpretability. To avoid positive bias  
312 in model performance due to class imbalance, precision and sensitivity metrics were  
313 calculated for each class of sea ice conditions (Electronic Annex 1). Precision  
314 represented the percentage of accurate predictions, while sensitivity indicated the  
315 proportion of correct classifications in the training set. The F-1 score was calculated  
316 as the weighted average of precision and sensitivity. Finally, Cohen's Kappa statistic  
317 was used to confirm that model accuracy was significantly better than that obtained  
318 by random chance, with values  $>0.80$  indicating "excellent" classification  
319 performance (Landis and Koch, 1977). The HBI assemblage that best classified sea  
320 ice conditions was chosen based on the expected performance of each pruned tree  
321 on unseen data (i.e. new samples not used in model construction) using repeated  
322 10-fold cross validation ( $n=5$ ; Breiman et al., 1984), the variables selected for  
323 splitting rules, as well as model complexity and interpretability. The annotated R  
324 script used for tree induction and class prediction is available in Electronic Annex 2.

325

## 326 **4. Results**

### 327 *4.1 Classification tree models*

328 CT models created from HBI assemblages A–D are henceforth referred to as  
329 models A–D, respectively. Models A–D yielded a high classification rate for the  
330 training data, with 186–188 samples classified correctly (ca. 94–95%; Table 1; Fig.

331 3b). Similarly, comparably high accuracy was observed following repeated (n=5) 10-  
332 fold cross validation ( $92 \pm 5$ –6%; Table 1). All models exhibited identical tree  
333 structure and low complexity (2 splits and 3 leaf nodes; Fig. A.2) following cost-  
334 complexity pruning via the 1-SE rule. In all cases, only IP<sub>25</sub> and IV were used as  
335 primary splitting variables (Fig. 4 and A.2), and good separation of the three sea ice  
336 classes was achieved (Fig. 5). Biomarkers II and III were chosen by the models as  
337 surrogate split variables to substitute for IP<sub>25</sub> and IV, respectively, for cases where  
338 either may not have been measured; however, there were no such cases in the  
339 current dataset. HBIs V and VI contributed little descriptive and predictive power to  
340 the model and exhibited low relative importance (Fig. 6). Upon examining  
341 performance for individual classes of sea ice conditions, the lowest sensitivity (73–  
342 79%) and precision (65–69%) were observed for samples with intermediate SpSIC.  
343 The loss of sensitivity corresponded to 4–7 samples being misclassified into both  
344 marginal (n=3–5) and extensive (n=2) sea ice classes. Similarly, precision suffered  
345 due to the misclassification of 7–10 samples from the marginal to the intermediate  
346 sea ice class. In contrast, locations with marginal and extensive SpSIC were  
347 correctly classified with higher confidence, exhibiting sensitivity values of 94–95%  
348 (marginal SpSIC) and 91–96% (extensive SpSIC), as well as corresponding  
349 precision values of 97–98% and 84–85%. Class-averaged performance of the  
350 models was also comparable, with sensitivity and precision ranges of 87–89% and  
351 85–87%, respectively. The highest overall sensitivity of 89% was observed for model  
352 D, while model A was the most precise (87%). Overall, all trees showed comparable  
353 (high) performance and interpretability, with identical splitting variables (Table 1 and  
354 Fig. A.2).



#### 355 4.2 CT and $P_{III}IP_{25}$ -based sea ice estimates for downcore records

356 Due to the highly comparable cross-validated model performance (Table 1),  
357 identical tree structure and split variables (Fig. 3a and A.2), and low relative  
358 importance of biomarkers V and VI (Fig. 6), model A was chosen to predict discrete  
359 sea ice conditions for cores 1, 8, 43 and 712 (Fig. 7). Within the time period  
360 represented by the core sub-samples (*ca.* 1750 AD–present) and a 95% accuracy  
361 confidence interval of 91–94%, all horizons from cores 43 and 712 were classified  
362 into the intermediate sea ice class (10–50% SpSIC), while cores 1 and 8 were  
363 characterised as having experienced marginal (<10%) and extensive (50–100%) sea  
364 ice cover, respectively.  $P_{III}IP_{25}$ -based SpSIC estimates also showed that extensive  
365 sea ice cover (84–85%) was inferred throughout core 8, while ice-free conditions  
366 prevailed at the core 1 site (Fig. 7). In contrast, cores 43 and 712 were characterised  
367 by intermediate and more variable SpSIC (13–30% and 29–41%, respectively).  
368 Further, a gradual decline in SpSIC was apparent for core 43 after *ca.* 1900 AD and  
369 core 712 after *ca.* 1850 AD (Vare et al., 2010; Cabedo-Sanz and Belt, 2016).

370

### 371 5. Discussion

#### 372 5.1 Rationalising CT model outcomes

373 The identification of  $IP_{25}$  as a primary splitting variable in all CT models to  
374 differentiate ice-covered and ice-free settings (Fig. 5) is consistent with its sympagic  
375 source (Belt et al., 2007; Brown et al., 2014b). Additionally, locations characterised  
376 by intermediate (extensive) sea ice cover were effectively classified using high (low)  
377 contribution from the pelagic HBI biomarker IV (Fig. 5). Based on 10-fold cross  
378 validation performance (Table 1), decision rules derived from  $IP_{25}$  and IV accounted  
379 for most of the predictive power of models A–D, with no other HBI percentage

380 contributions used as primary split variables. Nonetheless, comparable importance  
381 of variables IP<sub>25</sub>, II, III and IV was observed for all models (Fig. 6). The high  
382 importance of II and III was attributed to their use as surrogate split variables  
383 (Breiman et al., 1984) in case either IP<sub>25</sub> or IV could not be measured, and is  
384 consistent with their sympagic and pelagic sources, respectively. Conversely,  
385 relatively negligible descriptive power was contributed by HBIs V and VI (Fig. 6). This  
386 is perhaps to be expected since the coastal pelagic diatom source of VI entails  
387 elevated abundances in brackish coastal areas, such as fjords (Brown et al., 2014a),  
388 while V has previously been in in sea ice (Belt et al., 2007) and in ice-free temperate  
389 regions (He et al., 2016), and is thus not especially environment-specific.

390 More specific classification outcomes predicted by the CT models can be  
391 rationalised through consideration of sea ice dynamics and their impacts on primary  
392 productivity during the spring and summer blooms. For example, locations that  
393 experience extensive SpSIC in our dataset are characterised by a bloom of  
394 sympagic algae within the sea ice itself, triggered primarily by the rapid increase of  
395 solar radiation and favourable light incidence angle in March–April (Strass et al.,  
396 1996; Signorini et al., 2009; Leu et al., 2011). In the Barents Sea, such blooms are  
397 likely supported by upwelling of nutrient-rich AW (Ivanov et al., 2012) and are  
398 dominated by diatoms (Wassmann et al., 1999), likely explaining the higher relative  
399 abundances of IP<sub>25</sub> (Fig. 5), which accumulates mostly in March–April, at least in the  
400 Canadian Arctic (Brown et al., 2011). Conversely, the productivity of pelagic  
401 phytoplankton remains low during this time, and instead follows the highly stratified  
402 waters within 20–50 km of the receding ice edge during the ice melt season in May–  
403 July, starting approximately two months after the ice algal bloom (Signorini et al.,  
404 2009; Leu et al., 2011; Janout et al., 2016). However, although pelagic

405 phytoplankton productivity is also possible beneath dense sea ice cover and can be  
406 initiated by light penetration through leads and polynyas in the Barents Sea (Willmes  
407 and Heinemann, 2016), the highly-productive ice edge conditions do not reach north  
408 and east of Svalbard until *ca.* July–August (Fetterer et al., 2016). This shortens the  
409 pelagic bloom duration in these areas, prior to the October ice advance, and  
410 probably explains the low relative abundance of IV (Fig. 5). Similarly, high model  
411 performance for the marginal sea ice class attests to the source specificity of IP<sub>25</sub>,  
412 which was absent at nearly all ice-free locations, and in relatively low abundance at  
413 locations with <10% SpSIC. Such source selectivity permitted the separation of most  
414 samples belonging to the marginal class with a single CT decision rule (Fig. 5). The  
415 high range of HBI IV relative abundance in this area (Fig. 5) reflects the regional  
416 productivity variability (e.g. Olsen et al., 2003; Signorini et al., 2009), including the  
417 well-known enhancement proximal to the stratified waters of the MIZ (Wassmann et  
418 al., 1999).

419         The majority of samples belonging to the intermediate SpSIC class were also  
420 correctly classified. In such settings, HBI composition, with lower relative contribution  
421 of IP<sub>25</sub> compared to the extensive sea ice cover sites, is consistent with a short  
422 duration of the under-ice algal bloom before the onset of ice melt in May, whereupon  
423 the meltwater discharge triggers strong stratification of the upper water column and  
424 the initiation of an intense pelagic phytoplankton bloom (Janout et al., 2016) leading  
425 to increased IV (and III; Belt et al., 2015). Lower performance was observed for the  
426 MIZ west of Svalbard, however, an area at the boundary between marginal and  
427 intermediate SpSIC (Fig. 3b, 3c and Table 1). This is potentially attributable to the  
428 highly variable sea ice conditions that characterise the region. While the continental  
429 slope remains ice-free throughout the year due to the direct inflow of warm AW with

430 the WSC, sea ice is present on the shelf during winter due to the topographically-  
431 steered inflow of colder ArW with the ESC, resulting in a density gradient preventing  
432 significant AW intrusion to the shelf (Fig. 2b; Walczowski and Piechura, 2011).  
433 Similar conditions characterise Whalers Bay north of Svalbard, which is often ice-  
434 free, even in February (Ivanov et al., 2012). Such influence of contrasting water  
435 masses and sea ice regimes favours production of both sympagic and pelagic  
436 biomarkers (e.g. Søreide et al., 2013; Belt et al., 2015; Smik et al., 2016; Smik and  
437 Belt, 2017). Accordingly, our dataset shows high relative abundances of both IP<sub>25</sub>  
438 and IV in western Svalbard locations (Fig. 5). Elevated abundance of IP<sub>25</sub> may also  
439 result from allochthonous input from the Svalbard shelf (e.g. via ice rafting) to the  
440 relatively ice-free margin, as seen with some terrigenous organic matter (Knies et al.,  
441 2007; Knies and Martinez, 2009). Southward transport of drift ice from the Nansen  
442 Basin into the Barents Sea represent a further potential allochthonous source of  
443 sympagic material (Kwok et al., 2005).

444         Some misclassification, although less prominent, was also observed in the  
445 eastern part of the study region (Fig. 3c), potentially due to an increase in seasonal  
446 and annual sea ice variability in this area compared to the MIZ of the central Barents  
447 Sea. Thus, the oceanic fronts in the eastern Barents Sea are defined by separate  
448 salinity and temperature gradients due to considerable influence of AW inflow with  
449 the NCaC, resulting in higher sea ice variability (Oziel et al., 2016) with  
450 consequential influence on the balance between sympagic and pelagic production. In  
451 fact, the more frequent misclassification of samples located along the highly dynamic  
452 sea ice edge, more generally, is likely a result of spatial shifts in sympagic and  
453 pelagic productivity regimes, and underlines the difficulty in identifying and  
454 characterising the MIZ using geochemical biomarkers alone.

455 On the other hand, the use of different coring techniques, as well as variable  
456 sediment accumulation rates and diverse depositional settings observed in the  
457 Barents Sea (e.g. Boitsov et al., 2009; Knies and Martinez, 2009; Maiti et al., 2010)  
458 potentially represent additional sources of misclassification error in CT model output.  
459 For example, several surface sediments in the current dataset were collected via  
460 gravity coring, which is a potential cause of uppermost sediment distortion (Leonard,  
461 1990). Additionally, integrated proxy signals from surface sediments correspond to  
462 variable timescales, which are potentially different from the 20 years covered by our  
463 database of satellite-derived SpSIC, at least in some locations. While sediment  
464 accumulation rates in the seasonal sea ice zone around Svalbard are typically  
465  $0.7\pm 0.4 \text{ mm y}^{-1}$  (Zaborska et al., 2008), they may reach up to  $1.1\pm 0.4 \text{ mm y}^{-1}$  closer  
466 to the sea ice edge (Maiti et al., 2010), and are higher in fjords and areas of  
467 sediment erosion south of Spitsbergen (Boitsov et al., 2009). Thus, a sediment depth  
468 of 1.0 cm may represent ca. 5–30 years of deposition. Further, a low number of  
469 sediments in the current dataset ( $n=10$ ) were sampled at variable depths (ranging  
470 from 1–3 cm). Thus, some surface sediment data described herein may not be  
471 equally representative of the 20-year satellite SpSIC record. In practice, achieving  
472 complete temporal comparability of surface sediment signals is problematic without  
473 detailed accumulation rates for all locations. Nevertheless, the distribution of certain  
474 individual HBIs (IP<sub>25</sub> and III) in Barents Sea sediments has been shown previously to  
475 be broadly consistent with modern sea ice conditions (Navarro-Rodriguez et al.,  
476 2013; Belt et al., 2015; Smik et al., 2016).

#### 477 *5.2 Downcore class predictions and comparison to the PIP<sub>25</sub>-based SpSIC estimates*

478 Our downcore records represent regions of contrasting modern sea ice  
479 conditions. Site 8 has consistently experienced extensive SpSIC (ca. 80%) for the

480 last 300 years (at least), in stark contrast to site 1, which has been ice-free during  
481 this period (Divine and Dick, 2006; Vare et al., 2010). Site 43 is located in the south-  
482 eastern Barents Sea at the modern winter sea ice margin, while site 712, despite  
483 being located farther north, is influenced by direct northward inflow of warm Atlantic  
484 Water from the WSC and therefore also experiences low SpSIC. The downcore  
485 semi-quantitative SpSIC estimates derived from  $P_{III}IP_{25}$  indices (Smik et al., 2016)  
486 reflected this variability of modern sea ice conditions, with high values for core 8,  
487 similarly low values for cores 43 and 712, and ice-free conditions inferred for core 1  
488 (Fig. 7). Further, the decline in  $P_{III}IP_{25}$ -derived SpSIC estimates seen for cores 43  
489 and 712 from *ca.* 1900 yr AD and 1850 yr AD, respectively (Vare et al., 2010;  
490 Cabedo-Sanz and Belt, 2016) is also consistent with observational sea ice records  
491 for the region (Divine and Dick, 2006; Walsh et al., 2017).

492 The downcore  $P_{III}IP_{25}$ -derived SpSIC estimates (Fig. 7) were also consistent  
493 with the marginal, intermediate and extensive sea ice classes obtained using CT  
494 model A (Fig. 3b–3c) and the other CT models (Fig. A4). However, due to the  
495 broader scale of sea ice classifications, CT model A did not capture the gradual  
496 decline of sea ice cover observed in the  $P_{III}IP_{25}$ -derived SpSIC record of cores 43  
497 and 712 (Fig. 7). Despite this, the sea ice classes inferred for downcore records are  
498 entirely consistent with both the overlying sea ice conditions and the classification of  
499 surface sediments (Fig. 3b–3c), where model A correctly classified the majority of  
500 samples representing extensive sea ice conditions near east and north Svalbard, the  
501 highly-variable intermediate sea ice cover of the MIZ in the central Barents Sea, and  
502 the open water and marginal ice conditions south of *ca.* 75°N. However, both  
503  $P_{III}IP_{25}$ - and CT-based methods somewhat overestimated the sea ice cover near site  
504 712 (western Svalbard). Specifically, semi-quantitative SpSIC estimates for site 712

505 were higher relative to site 43, which experiences similarly low modern sea ice  
506 concentration, while model A misclassified the majority of surface sediments in close  
507 proximity to site 712 from marginal to the intermediate sea ice class (Fig. 3b–3c),  
508 probably due to the highly variable sea ice dynamics that characterise the west  
509 Svalbard margin, as outlined earlier. As such, on the basis of the data presented  
510 here, the  $PIP_{25}$ - and CT-based methods may be more suitable for regions (or  
511 downcore temporal windows) where sea ice conditions are more consistent in terms  
512 of seasonal or annual advance/retreat cycles, including areas of relatively stable  
513 winter maximum sea ice extent and PF position in the central Barents Sea (Loeng  
514 and Drinkwater, 2007).

### 515 *5.3 General comparison between CT models and $PIP_{25}$ methods*

516 The suitability of CT models as a complementary approach to  $PIP_{25}$ -based  
517 methods for paleo-reconstruction of sea ice conditions is discussed briefly here and  
518 summarised in terms of an initial assessment of perceived advantages and potential  
519 limitations of both methods (Table 2). The principal advantage of the  $PIP_{25}$  approach  
520 is the ability, in some cases, to provide more precise SpSIC information and hence  
521 identify relatively subtle trends in temporal data as shown here for cores 43 and 712  
522 (Fig. 7). However, as a univariate measure,  $PIP_{25}$  is dependent on the  $c$ -factor (Eq.  
523 2), whose magnitude is sensitive to both the individual pelagic biomarker and its  
524 concentration range, which itself varies between regions and temporal windows  
525 within downcore records (e.g. Müller et al., 2011; Belt and Müller, 2013; Belt et al.,  
526 2015; Cabedo-Sanz and Belt, 2016). While the latter limitation has been  
527 circumvented to some extent in the Barents Sea by using a fixed value  $c$ -factor  
528 (Smik et al., 2016), objective choice of an appropriate pelagic biomarker in other  
529 Arctic regions potentially remains a challenge. Additionally, the value of the  $c$ -factor

530 for the Barents Sea (Smik et al., 2016) is unlikely to extend to other Arctic regions,  
531 given the large circum-Arctic variability of biomarker concentration ranges in regions  
532 of similar sea ice concentration (e.g. Stoyanova et al., 2013; Xiao et al., 2015a).  
533 Further regional calibrations, potentially based on IP<sub>25</sub> and HBI III, are needed before  
534 this aspect can be fully resolved.

535 In contrast, classification trees, while only able to provide discrete categorical  
536 output, automatically select descriptive variables most relevant to the classification  
537 (IP<sub>25</sub> and IV in the current study; Fig. 4 and A.2), and do not use redundant variables  
538 (i.e. V and VI; Fig. 6). Further, CT models are not dependent on the *c*-factor due to  
539 their multivariate nature, and provide performance metrics that may be used to  
540 assign a confidence level to classification. In contrast, categorisation of sea ice  
541 conditions using PIP<sub>25</sub> indices remain largely qualitative and subject to interpretive  
542 bias. Consequently, classification trees can potentially provide outcomes that are  
543 more compatible when making comparisons between downcore records located  
544 within a geographical region of the model training dataset, and offer intuitive  
545 visualisation of trends (Fig. 4a and 5) even when used with datasets containing  
546 statistical outliers or redundant variables (Breiman et al., 1984). In addition, classes  
547 of sea ice conditions may be assigned to new samples, such as those from  
548 downcore records described herein (Fig. 7), with a certain degree of mathematical  
549 certainty derived from model evaluation (Table 1).

550 CT models are not without limitations, however, some of which may be  
551 amplified by the data structure used in the current study. The conversion of absolute  
552 HBI concentrations to relative abundances (Eq. 3 to 7) was used to confine the data  
553 to a uniform scale and make classification of temporal data possible, since the data  
554 ranges of absolute HBI concentrations in downcore records may not be represented



555 in modern settings and are likely to exhibit a strong regional dependence (Belt and  
556 Müller, 2013; Stoyanova et al., 2013; Xiao et al., 2015a). However, CT models based  
557 on compositional data can be less stable, since relatively small changes within the  
558 training data can significantly impact tree structure (e.g. Aluja-Banet and Nafria,  
559 2003). As such, like with  $PIP_{25}$ , separate models should probably be constructed on  
560 a regional basis. Since the same limitations apply with missing data, it is  
561 recommended, therefore, that sea ice class predictions are only carried out for  
562 samples where all biomarker data have been recorded. The potentially lower stability  
563 of CT models when using compositional data (Aitchison, 1986; Aluja-Banet and  
564 Nafria, 2003) also highlights the importance of excluding variables that are  
565 redundant to the classification task, despite the capacity of classification trees for  
566 automatic variable selection (Breiman et al., 1984). In the current context, this was  
567 achieved by using different combinations of biomarkers with known sympagic or  
568 pelagic diatom sources (i.e. HBIs I–VI; Eq. 4–7) as classifiers of ice cover,  
569 subsequent exclusion of redundant variables (V and VI; Fig. 6), and selecting the  
570 simplest combination of HBIs (CT model A; Fig. 4) without compromising  
571 classification performance (Table 1). For the same reason, other biomarkers of lower  
572 source specificity, including sterols (e.g. Belt et al., 2015; Cabedo-Sanz and Belt,  
573 2016), were excluded from the outset.

## 574 **6. Conclusions**

575 CT models based on the HBI biomarker content in surface sediments from the  
576 Barents Sea and neighbouring regions provide a useful proxy method for  
577 characterising Arctic sea ice conditions. Outcomes from four CT models constructed  
578 using different HBI assemblages revealed that the sea ice diatom biomarker  $IP_{25}$  and  
579 a pelagic HBI triene counterpart (IV) were the most appropriate variables used for

580 classification of sea ice conditions. Further sympagic (II) and pelagic (III) biomarkers  
581 were identified as surrogate variables should  $IP_{25}$  or IV data be unavailable in future  
582 samples. A cross-validated mean classification rate of >90% was obtained from all  
583 models.  $P_{III}IP_{25}$ -based estimates of SpSIC in four downcore records provided  
584 reasonable spatial and temporal agreement with known sea ice trends obtained from  
585 satellite and observational records, and with CT model outcomes. However,  
586 compared to the main Barents Sea sites, the agreement between the proxy and  
587 observational records was poorer for a core from the west Svalbard margin, and the  
588 qualitative predictions of broad-scale sea ice variability obtained from the CT model  
589 did not capture subtle trends of known sea ice decline over the last ca. 150 years  
590 that could be identified via the  $P_{III}IP_{25}$  approach. Despite some potential limitations of  
591 the CT approach, the automatic selection of appropriate HBI biomarkers for  
592 description of sea ice conditions, the quantitative model assessment via performance  
593 metrics, and the insensitivity to the  $c$ -factor ( $PIP_{25}$ ) and statistical outliers, make it a  
594 potentially useful tool for providing discrete categorical assessment of paleo sea ice  
595 conditions archived in marine sediment cores.

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601 clarity of this manuscript.

## 602 **Figure Legends**

603 Figure 1. Structures of C<sub>25</sub> Highly-Branched Isoprenoid (HBI) biomarkers utilised in  
604 the current study.

605 Figure 2. Maps of the Barents Sea showing the study region and sample locations.  
606 (a) The locations of surface sediments (black circles) and downcore records (black  
607 squares) evaluated in the current study. Cores are identified by white numbering; (b)  
608 A simplified representation of the surface currents carrying major water masses  
609 (NAC: North Atlantic Current; WSC: West Spitsbergen Current; NCaC: North Cape  
610 Current; ESC: East Spitsbergen Current; PC: Persey Current; NCC: Norwegian  
611 Coastal Current). The average position of spring sea ice extent (April–June, 1988–  
612 2007; Cavalieri et al., 1996) corresponding to a 15% SpSIC threshold is shown by  
613 solid black lines, while the sea ice edge corresponding to a 0% SpSIC threshold is  
614 shown by the dashed black line for map (a) only. Maps were produced using the  
615 Ocean Data View software package, version 4.7.10 (Schlitzer, 2017).

616 Figure 3. Maps showing the distribution of categorical sea ice concentration (SpSIC)  
617 classes in surface sediments: (a) Assigned using threshold SpSIC values from  
618 satellite data; (b) Classified using CT model A on the training dataset; (c) Classified  
619 by CT model A following 10-fold cross validation. Samples with marginal,  
620 intermediate, and extensive overlying SpSIC are shown by red, yellow, and green  
621 dots, respectively. For (b) and (c), white dots represent misclassified samples from  
622 CT model A. The average position of sea ice extent (15% SpSIC threshold) and sea  
623 ice edge (0% SpSIC threshold) for April–June (1988–2007; Cavalieri et al., 1996) are  
624 shown by solid and dashed black lines, respectively.

625 Figure 4. Pruned tree structure for CT model A showing two splitting rules,  
626 corresponding relative HBI abundance thresholds, and final SpSIC classes assigned  
627 to terminal (leaf) nodes. Sensitivity values for each class are also shown. Left and  
628 right branches represent cases where a splitting condition is true and false,  
629 respectively.

630 Figure 5. Scatter plot showing the distribution of surface sediments within the data  
631 space of CT model A. Classes of marginal, intermediate, and extensive sea ice  
632 conditions determined using satellite SpSIC data (Fig. 3a) are shown by red circles,  
633 yellow squares, and green triangles, respectively. The coloured regions represent  
634 areas within the data space classified by CT model A as marginal (red), intermediate  
635 (yellow), and extensive (green) sea ice conditions. The regions are separated by  
636 model-determined decision boundaries (annotated black lines), which show the  
637 chosen HBI biomarkers and corresponding relative abundance thresholds used for  
638 splitting rules. Misclassified samples are represented by diamond symbols and  
639 correspond mostly to sites from west Svalbard.

640 Figure 6. Relative variable importance for SpSIC classification. Only results for  
641 model D are shown, since models A–C did not use all six HBI biomarkers. Variable  
642 importance values are based on the summed reduction of the loss function  
643 calculated from the model splitting rules, and take surrogate variables into account  
644 (Breiman et al., 1984).

645 Figure 7. Comparison of  $P_{III}IP_{25}$ - and CT model-derived sea ice conditions from four  
646 dated short cores (cores 1, 8, 43 and 712) from the study region representing  
647 contrasting modern-day sea ice cover (Fig. 2). The magnitude of each data point  
648 (left-hand axis) corresponds to the  $P_{III}IP_{25}$ -derived SpSIC and associated standard

649 error estimates based on the regional calibration of Smik et al. (2016). The colours of  
650 each data point indicate the CT model A predictions of marginal (red), intermediate  
651 (yellow) and green (extensive) sea ice conditions (Fig. 3). Note the consistent  
652 agreement between  $P_{III}IP_{25}$ -derived SpSIC (left-hand axis) and categorical CT  
653 model-based sea ice classifications (right-hand axis). A period of SpSIC decline after  
654 1850 is shown by the annotated arrow.

655

656

657 **Tables**

658 Table 1. Summary of performance metrics for classification tree (CT) models A–D.

659 Abbreviations represent classes of sea ice conditions based on satellite SpSIC (Fig.

660 3a): MAR = marginal; INT = intermediate; EXT = extensive.

Model	Training Accuracy (%)	10-fold CV accuracy (%; n = 5)	Per-class sensitivity (%)			Mean sensitivity (%)	Per-class precision (%)			Mean precision (%)	F1 score	Kappa
			MAR	INT	EXT		MAR	INT	EXT			
A	94	92 ± 6	95	72	96	87 ± 11	97	69	85	87 ± 12	0.9 ± 0.1	0.8 ± 0.1
B	95	92 ± 5	94	73	94	87 ± 12	97	67	84	85 ± 12	0.9 ± 0.1	0.8 ± 0.1
C	94	92 ± 6	94	75	91	87 ± 12	97	65	84	87 ± 11	0.9 ± 0.1	0.8 ± 0.2
D	95	92 ± 6	94	79	94	89 ± 12	98	67	84	86 ± 12	0.9 ± 0.1	0.8 ± 0.1

661

662

663 Table 2. Summary of advantages and limitations of PIP<sub>25</sub>- and CT-based methods  
 664 for estimating spring sea ice conditions.

Method	Advantages	Limitations	Selected references
PIP <sub>25</sub>	<p>Intuitive scale (0–1), transferable between study sites;</p> <p>Provides semi-quantitative sea ice concentration estimates, including SpSIC (%) in some cases;</p> <p>Potentially able to capture subtle changes in sea ice conditions;</p> <p>Requires quantification of two variables only</p>	<p>Calculation and interpretation can be problematic when IP<sub>25</sub>=0 or both biomarkers absent;</p> <p>Univariate measure affected by regional and downcore variability of the <i>c</i>-factor;</p> <p>Objective selection of an appropriate pelagic biomarker can be challenging</p>	<p>Belt and Müller, 2013</p> <p>Belt et al., 2015</p> <p>Müller et al., 2011</p> <p>Smik et al., 2016</p>
Classification Trees	<p>Multivariate method that is not affected by <i>c</i>-factor variability;</p> <p>Automatic selection of the most appropriate variables for classification;</p> <p>Model performance on future samples can be quantitatively estimated</p>	<p>Provides discrete qualitative SpSIC class predictions only;</p> <p>Requires quantification of multiple variables;</p> <p>Model structure can be affected by small changes in the training data;</p> <p>Relatively large datasets required for model training</p>	<p>Breiman et al., 1984</p> <p>Quinlan, 1986, 1993</p>

665

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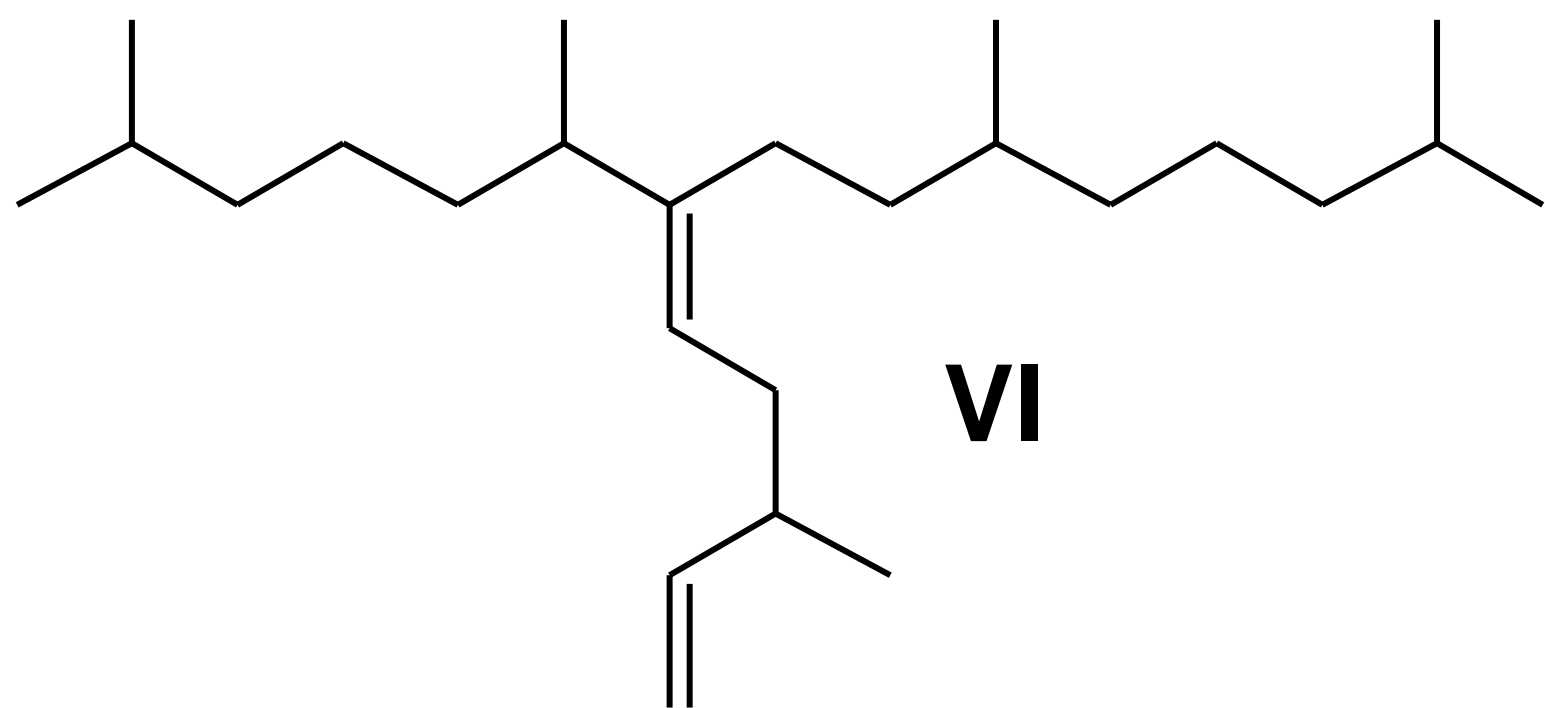
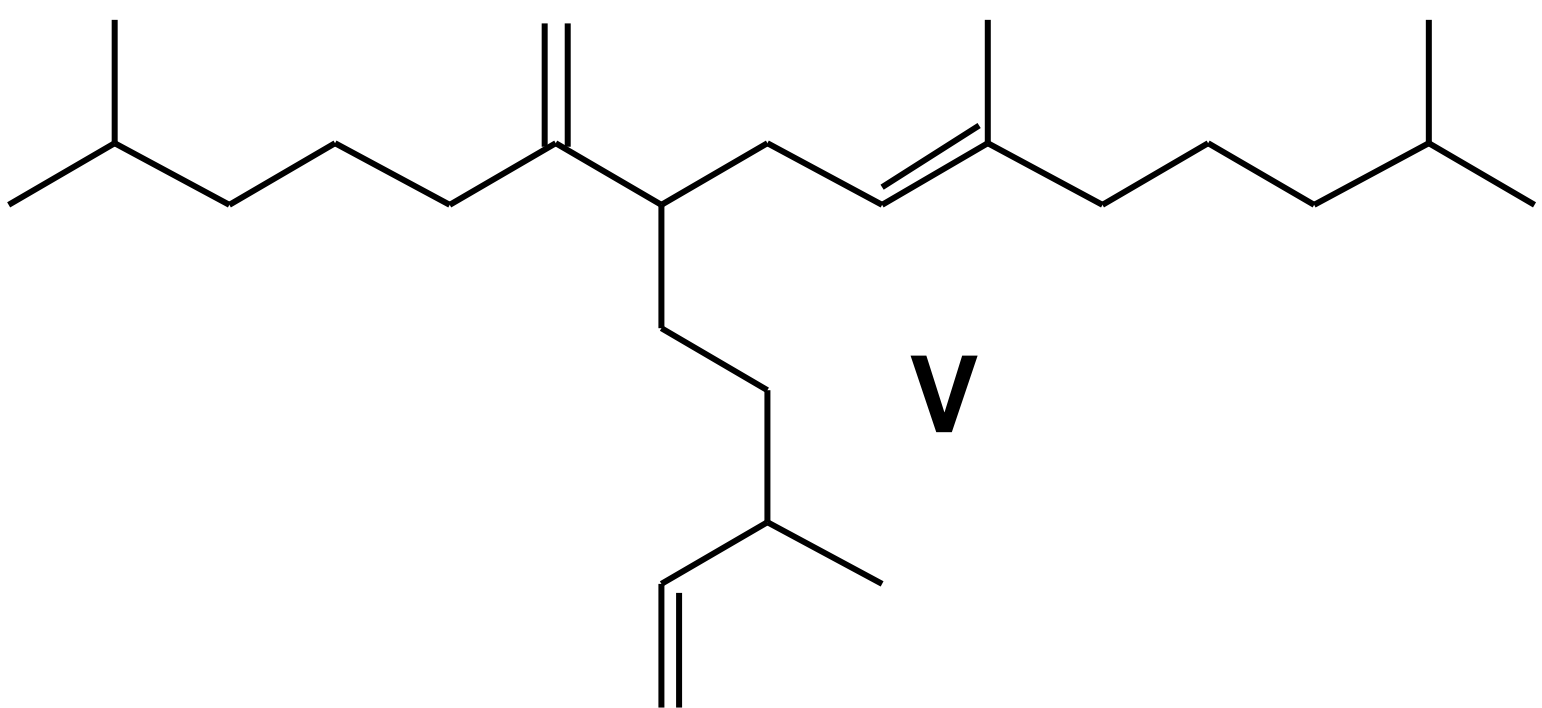
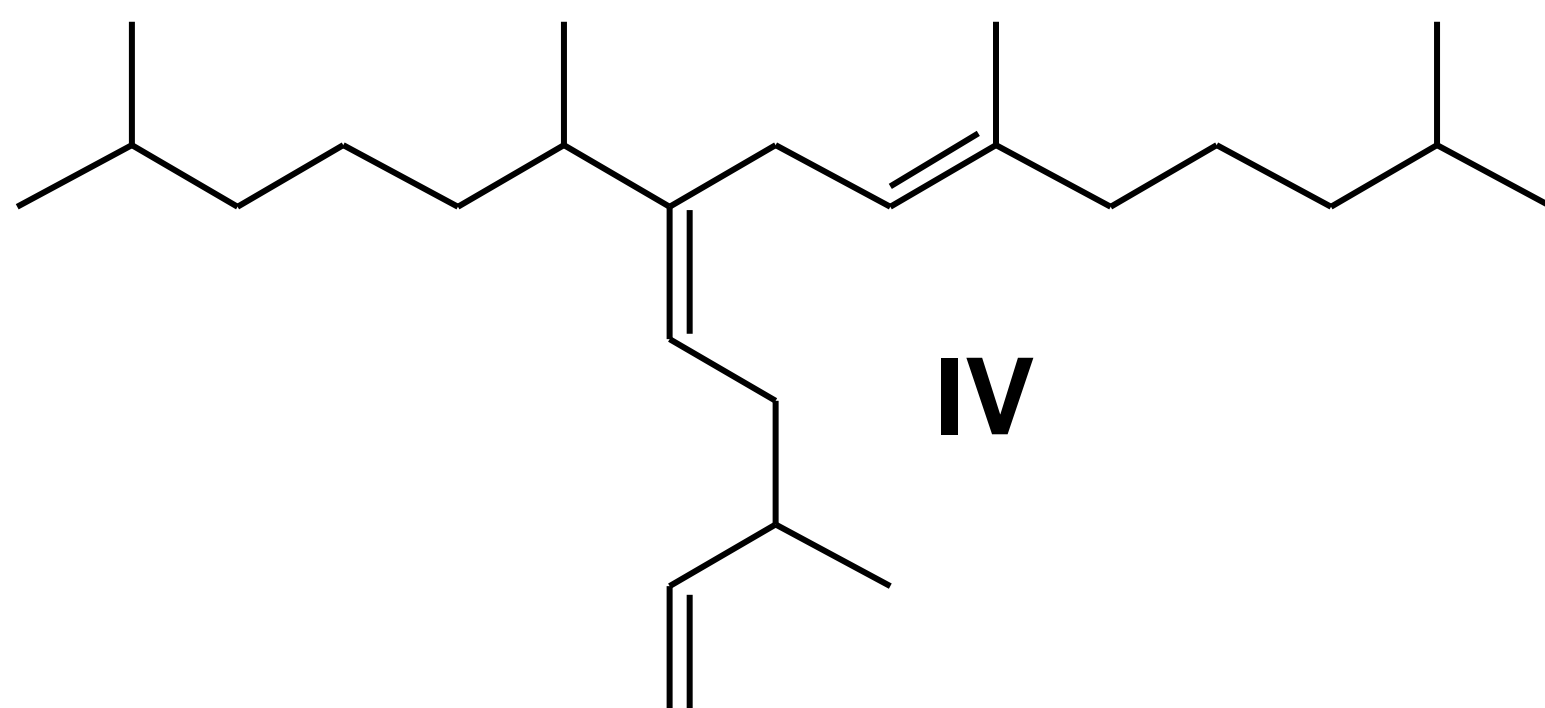
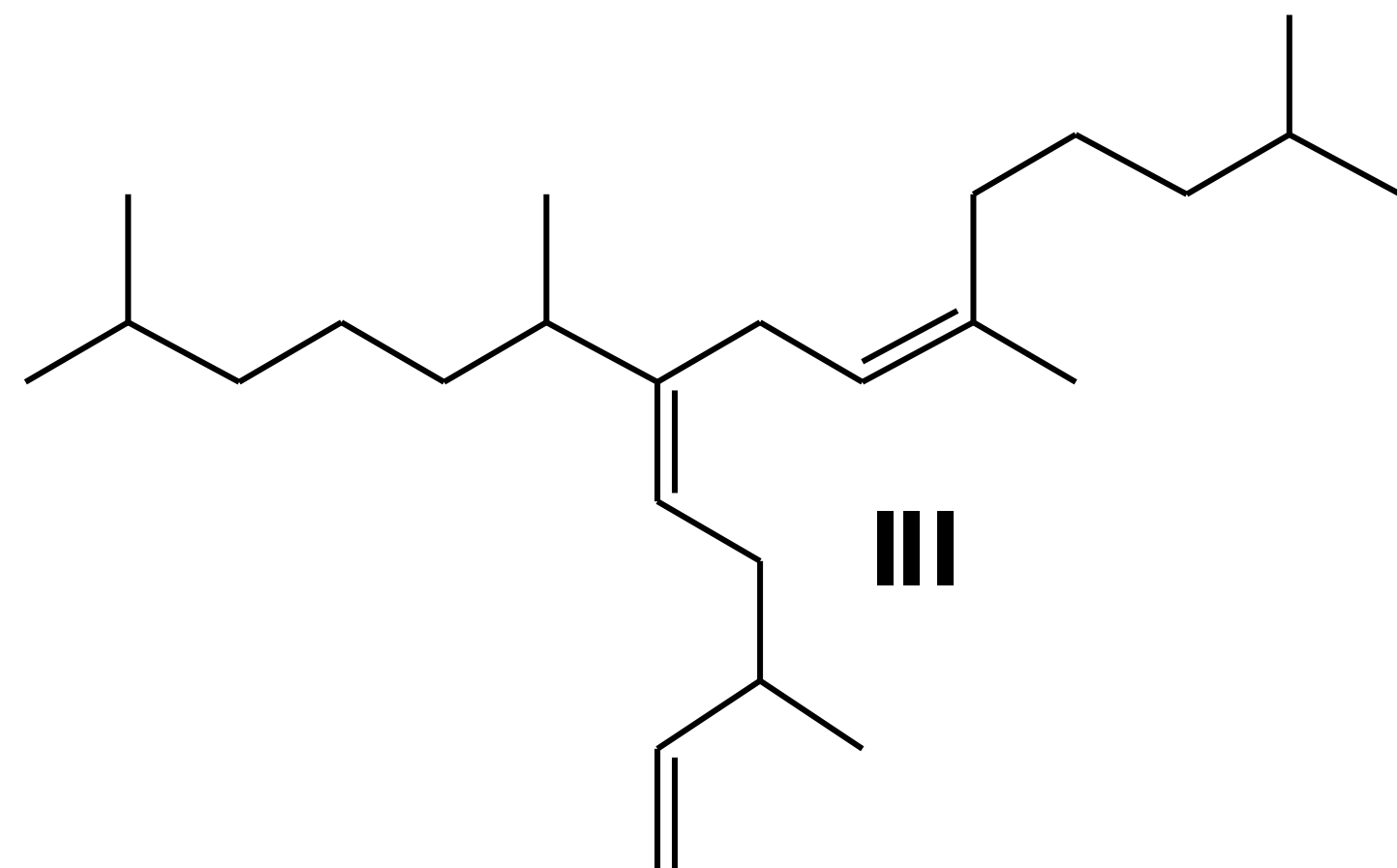
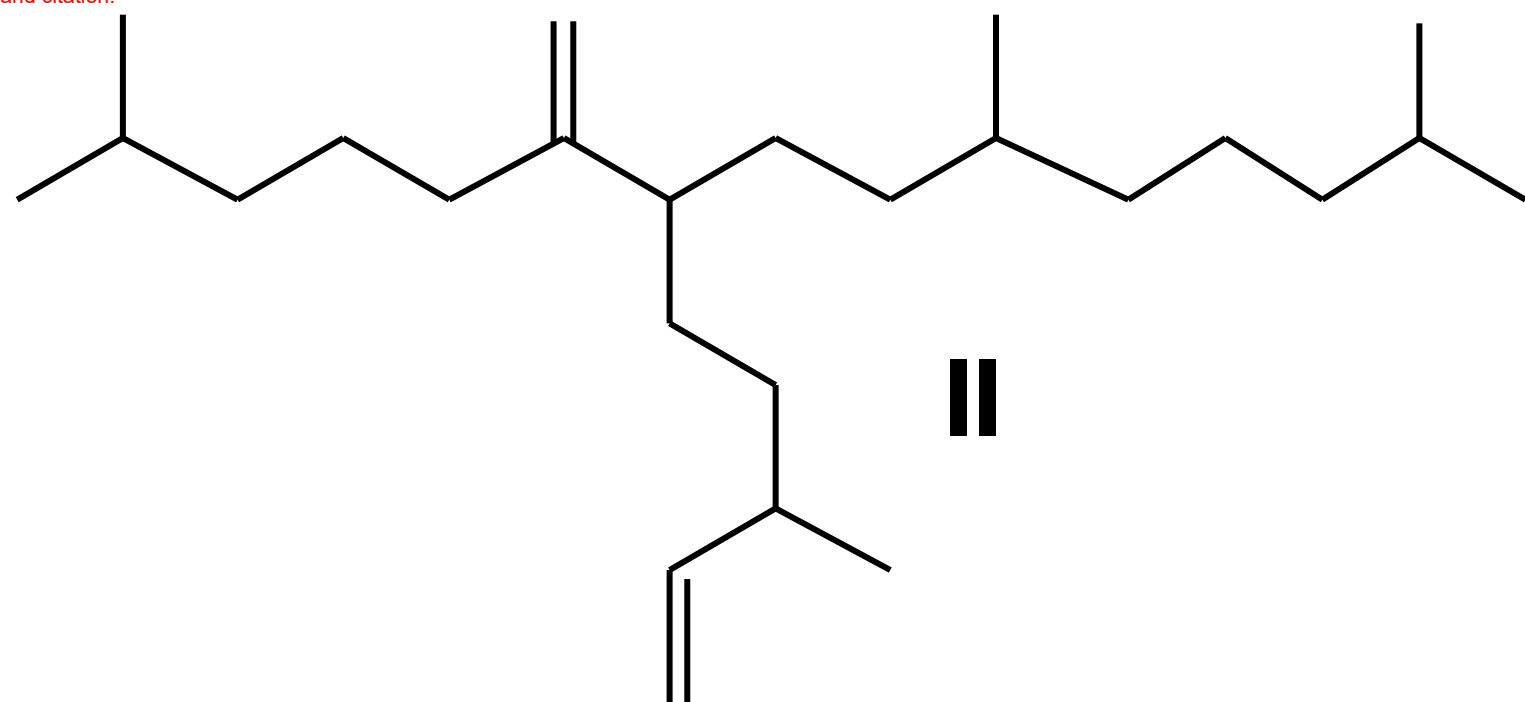
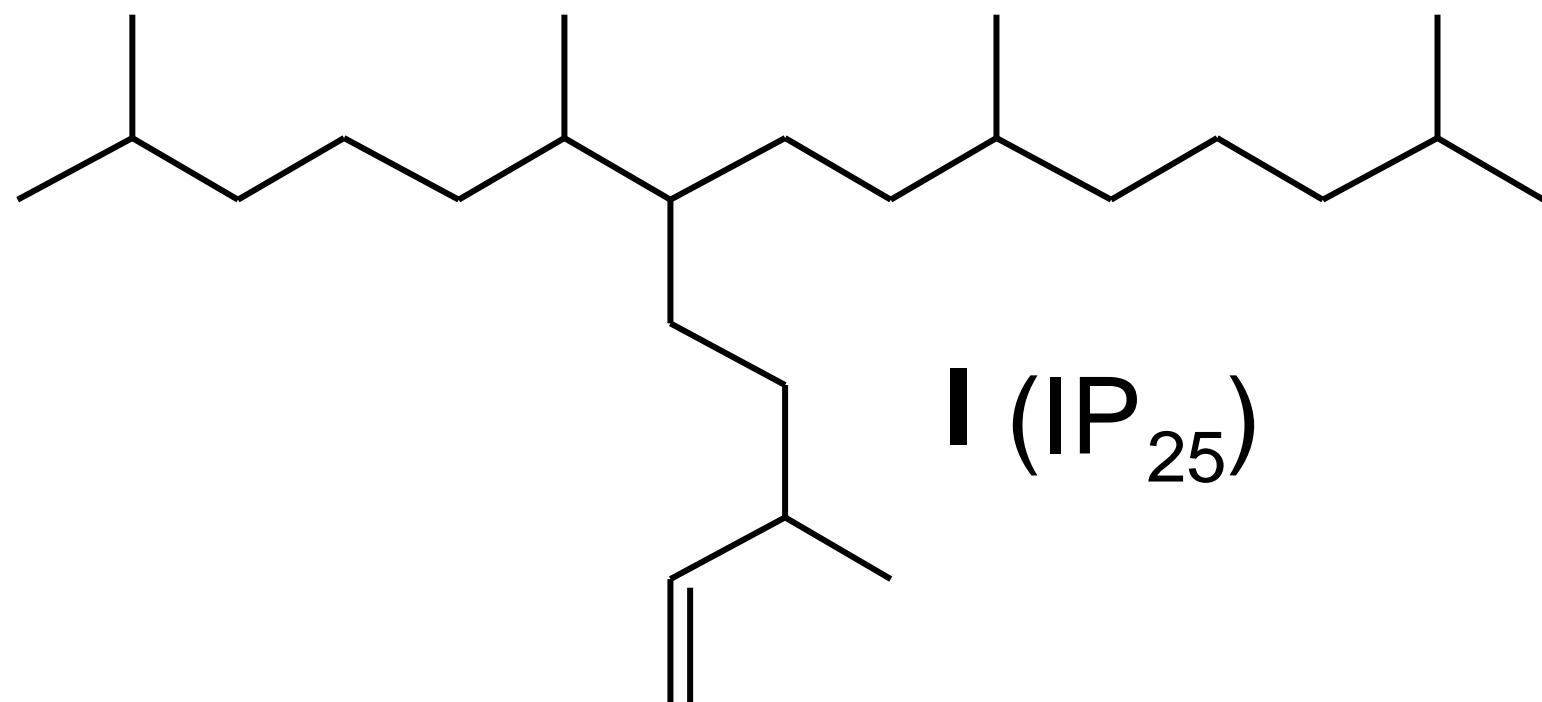
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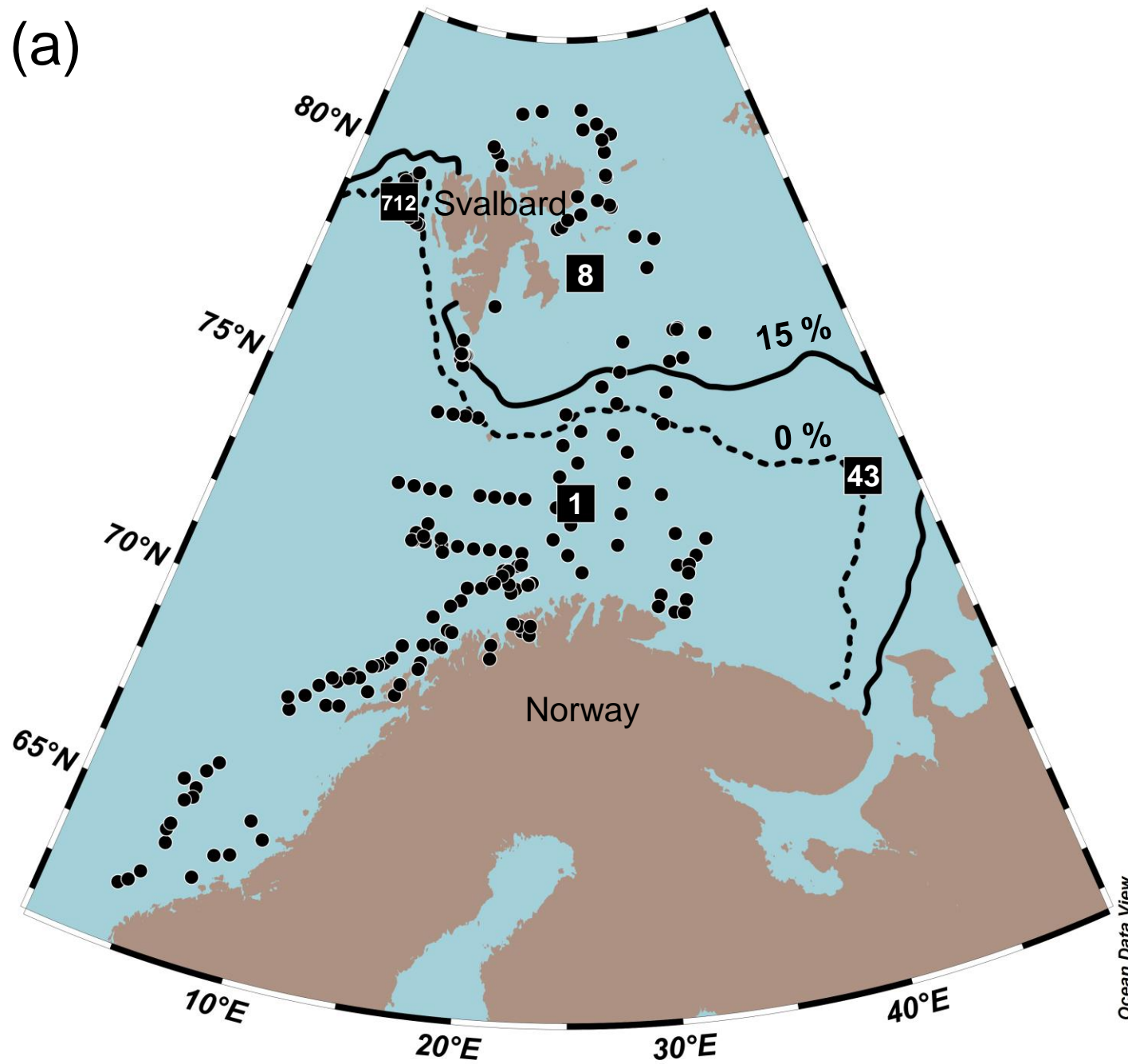
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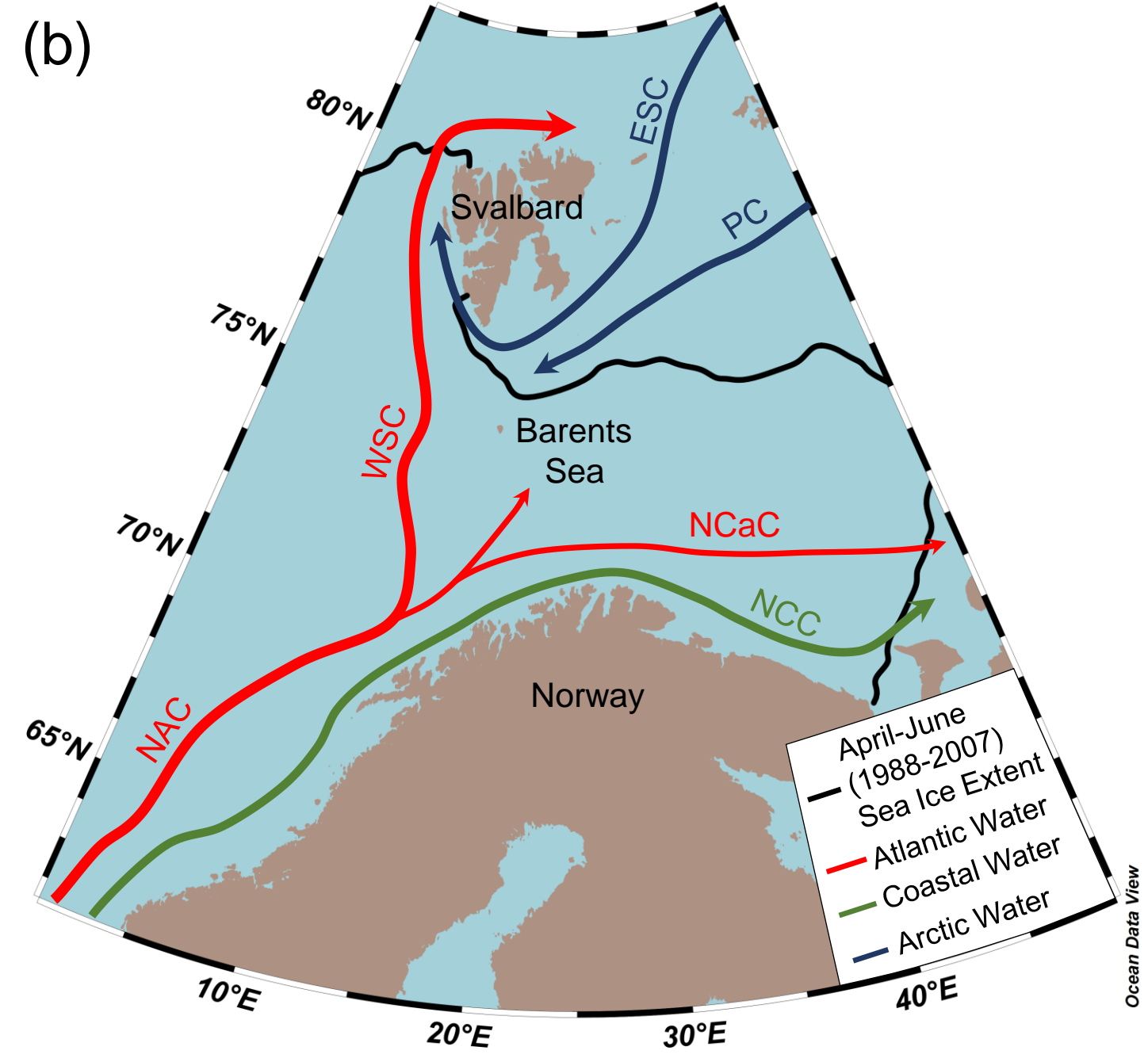
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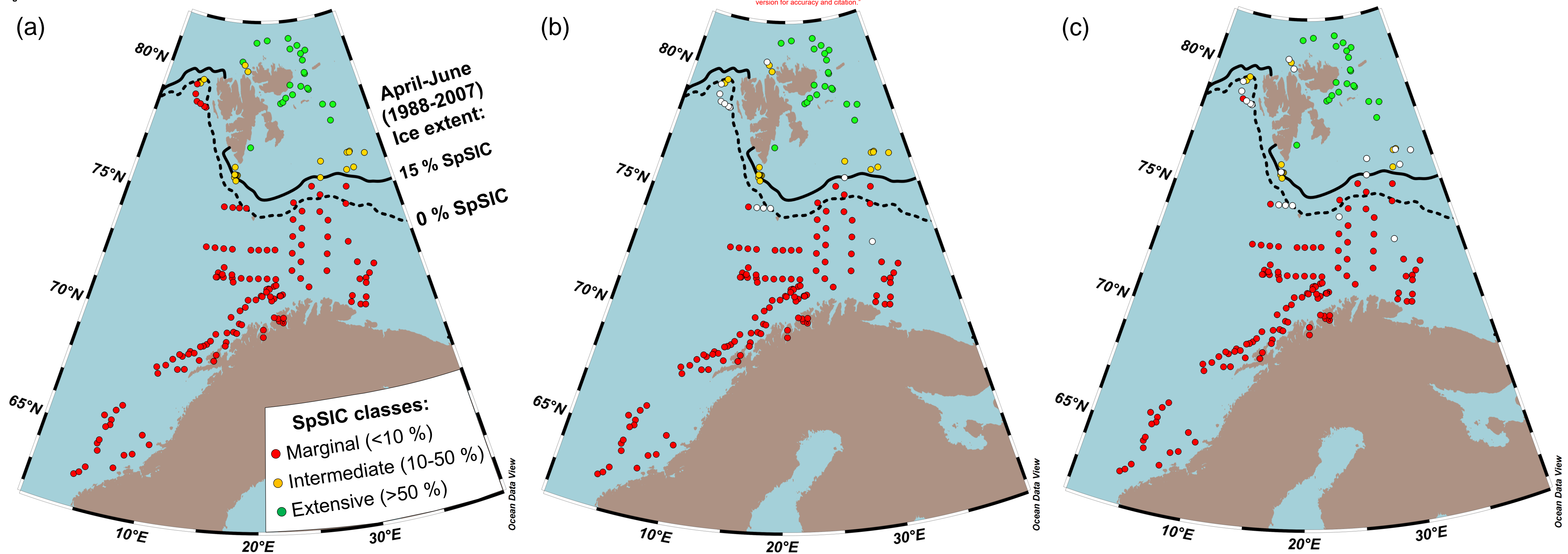
(a)



(b)

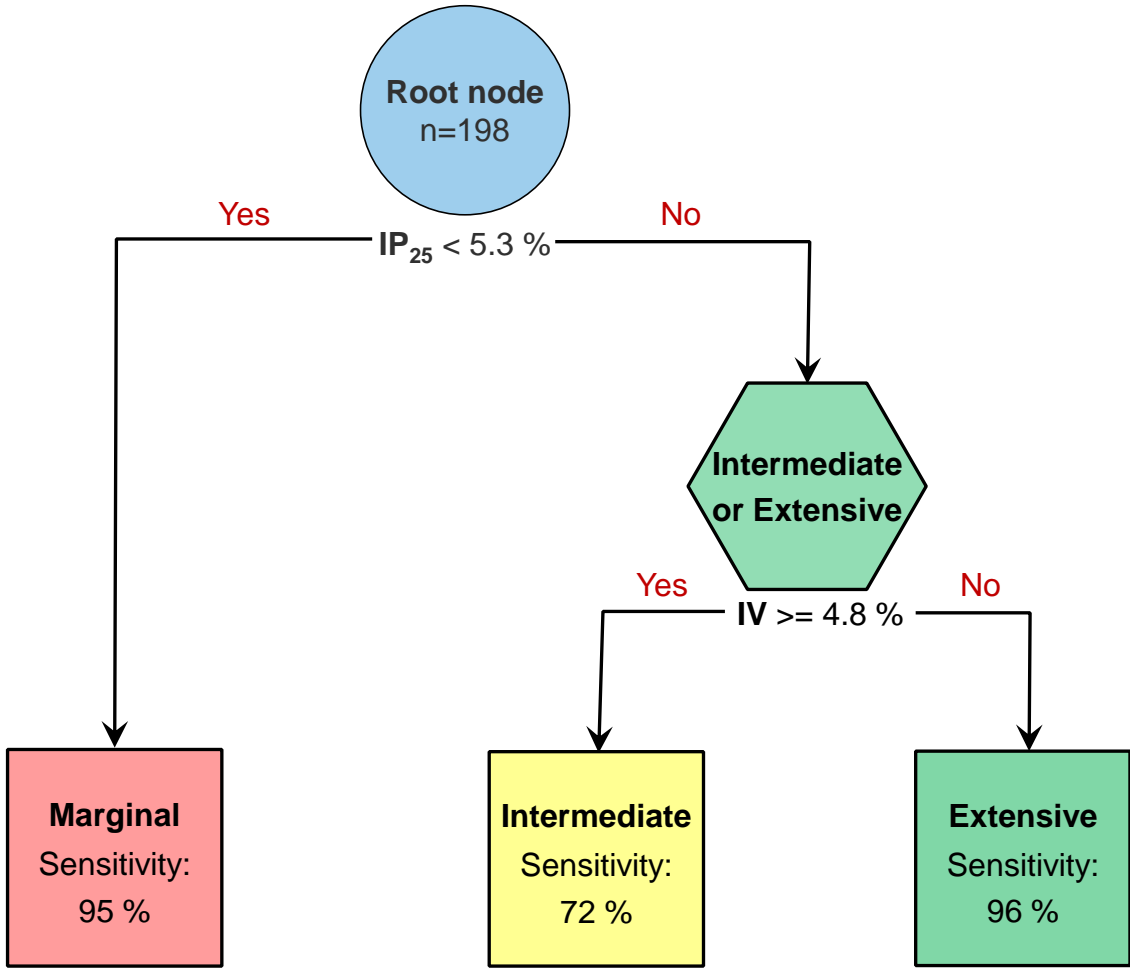


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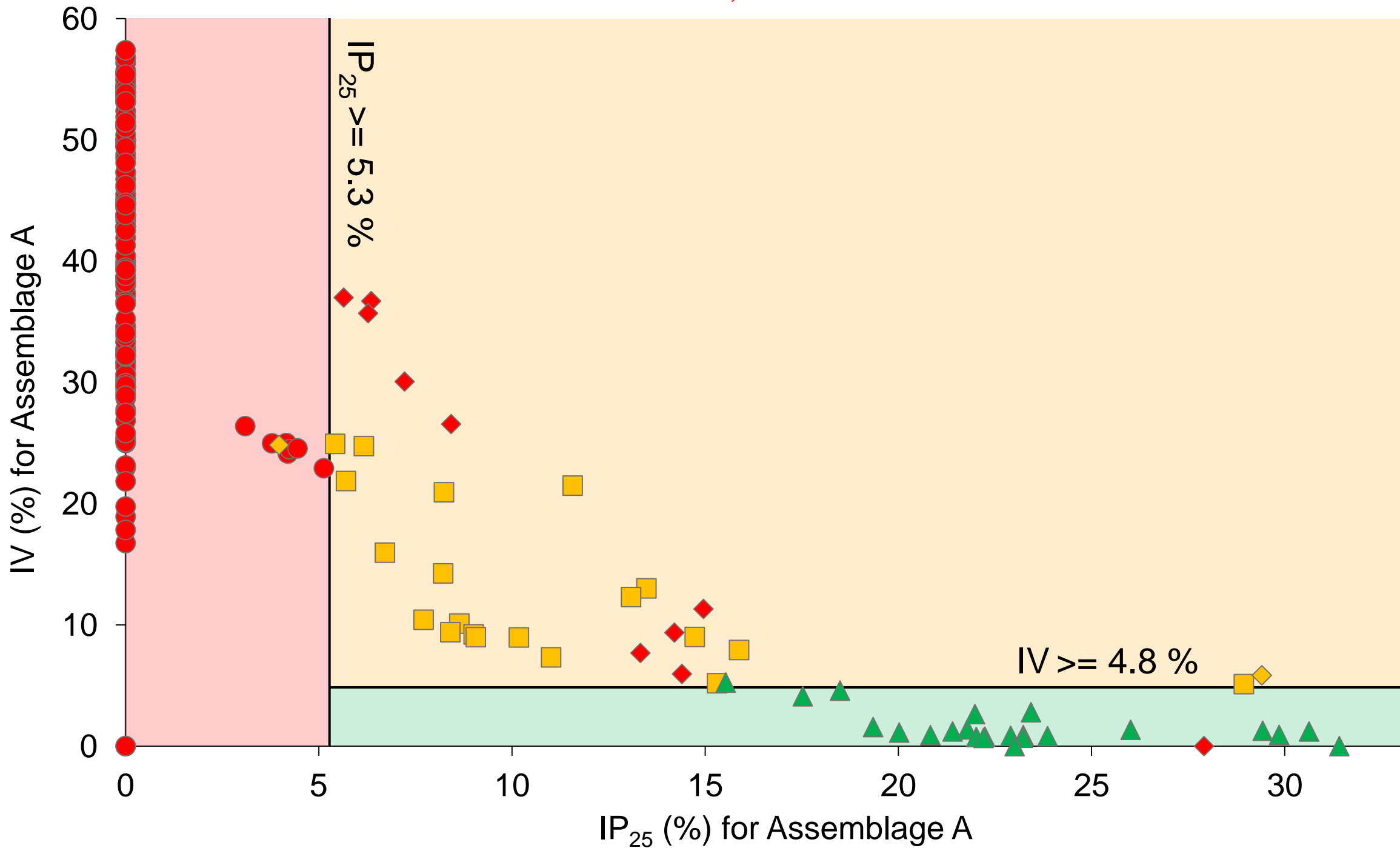
**Figure**

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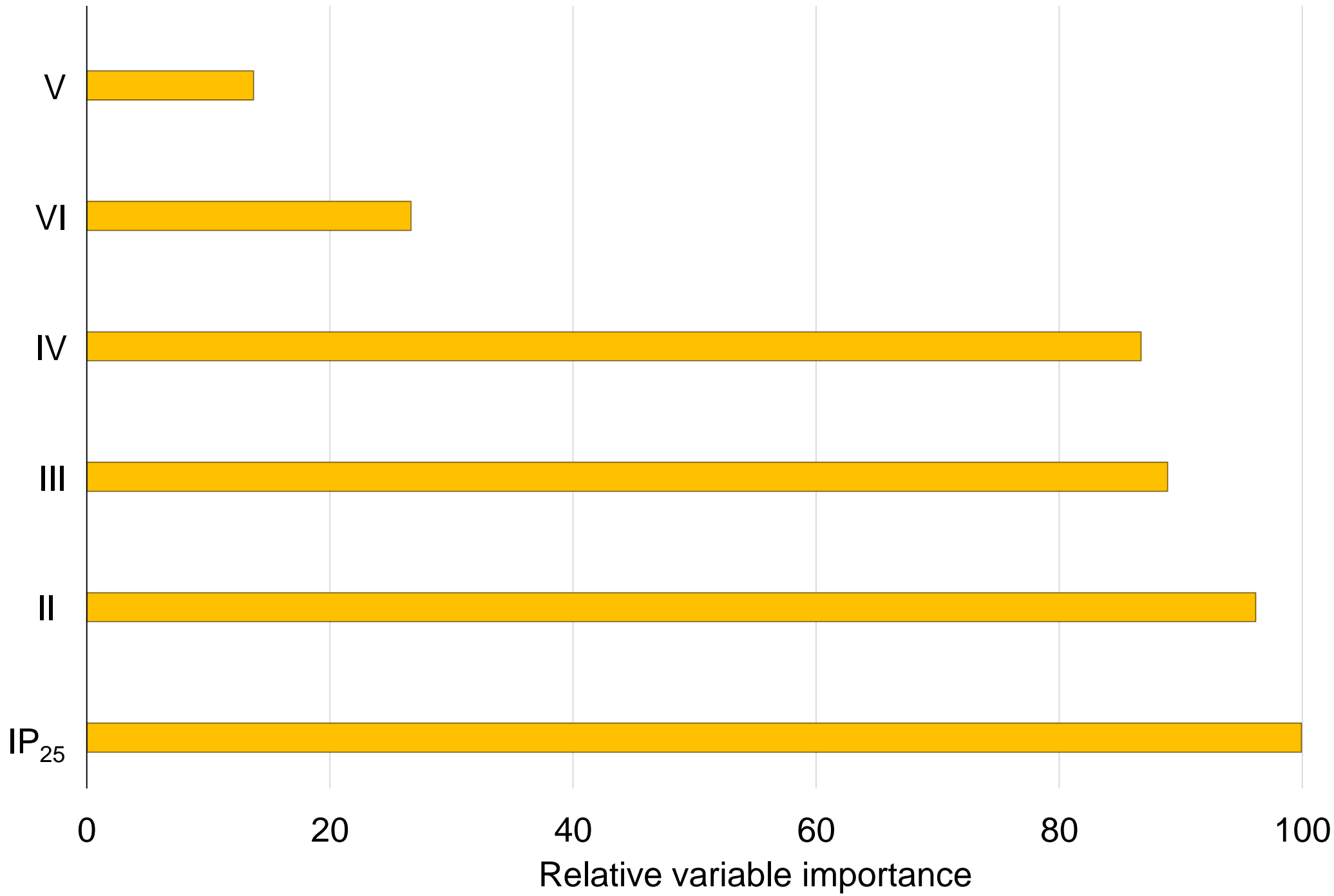
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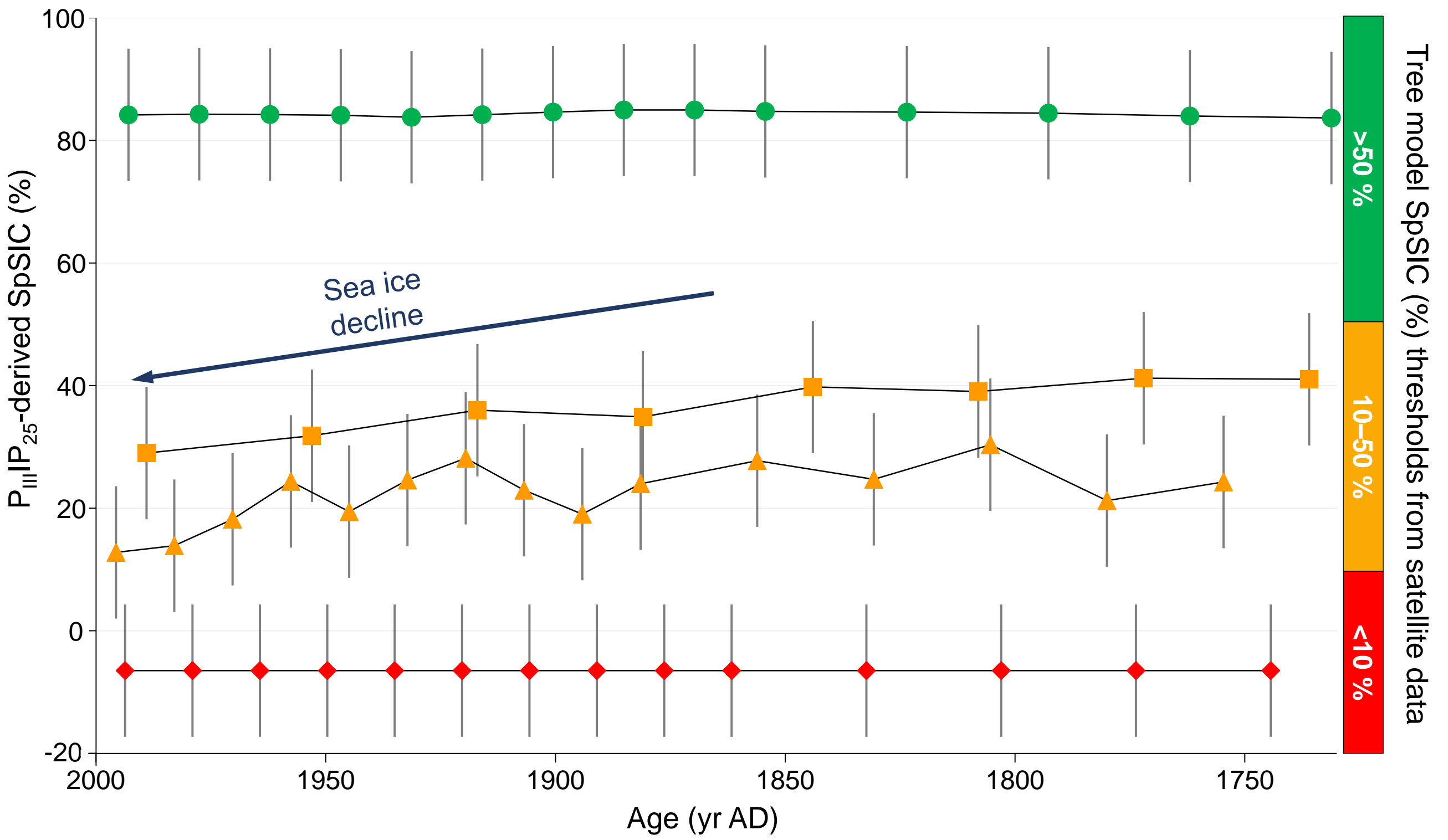




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Tree model SpSIC (%) thresholds from satellite data

**Electronic Annex**

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