Abstract

**Purpose** – The purpose is to understand how journals’ perceived quality (impact, visibility, and content quality) influence and explain intention to publish in open access (OA) or non-open access (non-OA) journals, and how self-identity (work-self and career-self) influence perceived quality.

**Design/methodology/approach** – This study uses an integration of attitude and identity theory within a cross-sectional survey design. The sample consists of researchers in Norway, and the data was collected via email invitation and a digital surveying tool and analyzed using structural equation modeling (SEM) techniques.

**Findings** – This study determines that perceived impact increases the intention to publish non-OA, while decreasing the intention to publish OA. Content quality is only associated with non-OA journals. Perceived visibility increases the intention to publish OA, while the opposite effect is found for non-OA. Career-self salience has the strongest effect on impact, while content quality is most important when work-self is salient.

**Research limitations** – Limitations include: online surveying, self-reported data, general definitions of concepts.

**Practical implications** – This research contributes with understanding how perceived quality influences intention to publish in OA and non-OA journals, and how self-identity salience affects perceived quality. Findings have implications for policy development, implementation, and assessment.

**Originality/value** – This study is the first of its kind to utilize a theory-driven research framework in a national (Norwegian) sample of researchers.

**Keywords** – Open access, psychology, scholarly publishing, library science, perceived quality, identity

**Paper type** – Research paper

Lars Moksness
University Library and School of Business Economics
UiT—The Arctic University of Norway
N-9037 Norway
lars.moksness@uit.no

Svein Ottar Olsen
School of Business and Economics
UiT—The Arctic University of Norway
N-9037 Norway
svein.o.olsen@uit.no

Submitted 02.05.2018 to *Journal of the Association for Information Science and Technology*. Status 24.09.2018: under review.
Introduction
Funders and policy makers are increasingly recognizing the importance of improving access to research funded by public money (Björk 2017). However, a common denominator of guidelines of this type is the apparent lack of strategies systematically describing how and why researchers choose one publishing model over another. In Norway, recently implemented guidelines for open access (OA) (Regjeringen 2017a) outline steps and actions to be undertaken to increase the volume of OA publications. This includes requirements for publishing in open journals, depositing articles in institutional archives, and institutional funds to cover any article processing charges (APC) researchers may incur for gold (i.e., journals that are open but charge an APC), but not hybrid (i.e., subscription journals that offer OA for articles by charging an APC), OA. However, the Norwegian guidelines provide little information on how to measure, analyze and change researchers’ attitudes and behaviors in relation to the dissemination method. This is surprising given the central position researchers hold in this context. One might argue that without researchers there would be no scholarly literature; hence, understanding what drives researchers’ dissemination intentions should be of the highest priority to governments and policy makers alike.

Although the growth of OA has been promising (Laakso et al. 2011, Solomon et al. 2013), researchers are still reluctant to fully embrace the model. This is due in part to incentive systems favoring non-OA journals, such as the large number of high-tiered non-OA journals compared to the relatively low number of similarly ranked OA journals. Among other things, researchers wish to make their research available in the best quality journals due to requirements from their institutions, as a mark of quality of their work, but also to achieve status and earn the respect of peers (Warlick and Vaughan 2007, Xia 2010).

However, external influences such as perceptions of journal quality are not the only motivational factors driving academic achievement. Internal or individual values and attitudinal factors are suggested to influence scholarly publishing (Dulle and Minishi-Majanja 2011, Khalili and Singh 2012, Togia and Korobili 2014). Spanning decades, social psychology has both empirically and theoretically investigated individuals’ concepts of self-identity and self-categorization (Tajfel et al. 1971, Stets and Burke 2000, Turner 1985), and how these structures are shaped and interact in various social and organizational settings (Ashforth and Mael 1989, Hogg and Terry 2000). For example, it is suggested to be a positive relationship between work identity and work performance (van Knippenberg 2000), including
academic performance (Jain et al. 2009). Arguably, some of the most salient contributions emanating from these efforts are identity- and social identity theory (see, Stets and Burke 2000). In short, individuals possess several relatively enduring concepts of self, which vary in salience, valence and performance across situations. For instance, in relation to academic publishing, salient identification could derive from a desire to publish based on personal interests and pure task enjoyment (self-id), or it could be contingent on shared characteristics and values with peers (career or social id) (van Knippenberg 2000).

The main purpose of this study is to determine if and how journals’ perceived quality and self-identity influence or explain intention to publish OA or non-OA. This research will provide additional knowledge and recommendations to the growing body of research on scholarly OA publishing. Furthermore, research on the attitude-behavior relationship suggests that the desire to make an effort or perform a behavior can derive from various sources (Fishbein and Ajzen 2010, Sheeran 2002), including perceptions of quality (e.g., Das 2014) and self-identity (e.g., Han and Stoel 2017).

In this investigation OA and non-OA publishing are framed at a general level, and as pertain to articles freely available or behind a paywall, respectively. This approach leaves some definitional uncertainty in relation to how to conceptualize hybrid OA, which is the term for articles “freed up” in a journal that is subscription-based. To maintain a global and general definition of OA, hybrid OA will be considered as OA within the framework of this study. This study, further, will employ a latent factor structural modeling approach with three dimensions of perceived journal quality (impact, visibility, and content quality), and two dimensions of self-identity (work-self and career-self) among a Norwegian sample of researchers.

Theoretical framework
The conceptual model used herein is based on an attitudinal approach with intention as the ultimate dependent variable (Eagly and Chaiken 1993). Intention is viewed as “indications of a person’s readiness to perform a behavior” (Fishbein and Ajzen 2010, pp. 39). In this paper the view is taken that intentional behavior is a more suitable “behavioral indicator” than the frequency of past publishing behavior, since OA-publishing is new compared to non-OA publishing. In addition, intention to behave is frequently used as a dependent variable in a variety of behavioral settings (Fishbein and Ajzen 2010), including self-identity (Han and Stoel 2017), perceived quality (Das 2014) and OA publishing (Park 2007, Dulle and Minishi-Majanja 2011, Khalili and Singh 2012). Typically, several factors which comprise a person’s
attitudes, normative influences and behavioral control are thought to influence intention. Among such contributing factors, attitudes are consistently found to have the strongest effect on intention (Armitage and Conner 2001).

Studies have found that researchers’ publishing intentions are affected by perceptions of the overall quality of the journal in which they want to publish (e.g., Lowry et al. 2007). These factors pertain to attitudes about the journal and its content. According to Zeithaml (1988), perceived quality can be defined as subjective evaluations of the higher order abstractions of a product or a service, separate from objective quality, and resembling attitudes. As perceived quality in a business environment is found to increase profitability and affect market share and price (Aaker 2009), it is crucial to understand how this concept operates in relation to academic publishing. Perceived quality has previously been identified as an important antecedent of intentions in a digital environment (Grabner-Kräuter and Kaluscha 2003, Chi et al. 2009), including scholarly publishing (Moksness and Olsen in press, Catling et al. 2009). Previous studies have identified additional factors that act on publishing decisions, including journal impact factor (Knight and Steinbach 2008), access type (Khalili and Singh 2012, Dulle and Minishi-Majanja 2011), content quality (Bjork and Solomon 2012) and peer review (Tenopir et al. 2015).

Identity theory maintains that the processes which comprise self-categorizations and self-identification emanate from the reflective properties of group membership and assigned roles (Stets and Burke 2000, Conner and Armitage 1998). In the context of scholarly publishing, researchers’ identities are tightly linked to a role wherein membership is determined by, among other things, the production and publication of research literature. For instance, Henkel (2005) labels academics as “definers, producers, transmitters, and arbiters of advanced knowledge” (p. 159). The current study relies on the view offered by Conner and Armitage (1998) who define self-identity as “the salient part of an actor’s self which relates to a particular behavior. It reflects the extent to which an actor sees him- or herself as fulfilling criteria for any societal role” (p. 1444). Researchers’ identities, then, derive from belongingness to a group (researchers) and, at least partly, how one performs his or her role within this group (publishes articles). Researchers’ roles are suggested to be associated with their self-work identity, career development, job-attitude or other cross cutting identities in an organizational environment (Ashforth and Johnson 2001, van Knippenberg and van Schie 2000, van Knippenberg 2000).
This study contributes to the existing literature in library and information science (LIS) by using a self-identity perspective in studying researchers’ motivation to publish in scholarly journals. It particularly focuses on if and how two facets of their self-identity (work- and career-self) are related to researcher’s perceptions of journals’ perceived quality and scholarly publishing performance. The following sections will further explore the theoretical constructs conceptualized in Figure 1.

Figure 1. Conceptual model

Perceived quality and intention to publish
Researchers evaluate journals in which they want to publish based on a range of criteria, many of which pertain to perceptions about quality. Studies suggest that perceived journal quality is a broad term comprising several indicators, including prestige (as properties of the journal itself and the researchers who publish there), impact (both of journals and articles), visibility, reputation and quality of peer review (Warlick and Vaughan 2007, Knight and Steinbach 2008, Chang 2017, Xia 2010). Perceived quality has previously been identified as an important contributor to researchers’ intention to publish in scholarly journals (Moksness and Olsen in press). This study defines perceived quality as a global concept pertaining to researchers’ subjective evaluation of indicators which determine whether a journal is appropriate for submitting research articles to. Furthermore, this study utilizes three distinct factors in measuring perceived quality, each factor reflecting different properties of the journal and its content. The factors represent the journal impact and status, the visibility potential of articles published in the journal, and the quality of its content. The journal selection criteria that researchers employ correspond to the reliability and quality of a
journal’s product and its service, and thus resonate with the perceived quality indicators and dimensions charted by Aaker (2009).

Perhaps the most contested and revered proxy for quality is the journal impact factor, a metric which, incidentally, is closely linked to perceived journal quality and prestige (Hall and Page 2015). This study acknowledges that, although the journal impact factor is not necessarily a robust measure of journal quality (Lozano et al. 2012), and never was intended as such (Seglen 1997), it nonetheless prevails as a proxy for quality among researchers and administrators alike (Vanclay 2012). Essentially, a metric which has an objectively weak relationship with the quality of articles in any given digital journal (Flemming 2012, Lozano et al. 2012), can still function as a reliable indicator of the subjective perceptions of quality of scholarly journals. For instance, in an early study about journal impact factor and quality, Saha et al. (2003) found that the impact factor is strongly correlated to clinicians’ subjective rating of a journal’s quality, strengthening the assumption that it is a subjective measure of quality more than it is an objective measure of quality. Some even compare contemporary use of the impact factor to the pseudo-scientific practice of phrenology (Vanclay 2012). Nonetheless, it is expected that perceived journal impact, as measured by the impact factor, status and prestige (see Table 2), will contribute significantly to the intentions to publish OA or non-OA, albeit with opposing valences. Specifically, it is expected that impact will decrease the intention to publish OA while increasing or strengthening the intention to publish non-OA. Thus,

H1a: Journal impact significantly decreases the intention to publish in OA journals.  
H1b: Journal impact significantly increases the intention to publish in non-OA journals.

For many researchers an important aspect of their scientific contributions is that the articles are visible and will be utilized in some form by peers or other interested parties. Although many research papers are never cited (Larivière et al. 2009), this does not mean they are never read. As such, the potential of a published article to be visible by a third party should function as a determinant of whether researchers intend to submit articles to a journal. Arguably, some of the most prominent features of OA journals are concerned with the potential accessibility and visibility advantage of published content; however, these are not necessarily sufficient reasons for researchers to choose OA over non-OA (e.g., Chang 2017). Some early OA research even reported perceived negative visibility for OA content (Swan and Brown 2004); however, this notion has matured over the years to become more positive (Wang et al. 2015). It is therefore expected that perceived visibility, as measured by a
journal’s audience, turnover and communication, will significantly influence the intention to publish OA positively while decreasing the intention to publish non-OA. Thus,

H2a: Visibility significantly increases the intention to publish in OA journals.
H2b: Visibility significantly decreases the intention to publish in non-OA journals.

Apart from a journal’s impact and prestige, and whether published work will reach a wide audience, a related and important consideration concerns the content quality of a journal. Content quality refers to the ability of the journal to be reliable in its evaluative procedures and consistency in the quality of published articles. This has been a matter of contest and criticism toward OA (Warlick and Vaughan 2007, Xia 2010), particularly with respect to perceptions of inferior peer-review and a low threshold for article acceptance. The supposition is that low quality journals will attract and accept low quality articles, thereby degrading scientific output (McCabe and Snyder 2005). This holds true for what is termed predatory publishers. These are publishers that capitalize on the increasing pressure to publish and unethically exploit the “author pays” model by accepting and churning out articles with little or no peer-review or quality control (Shen and Björk 2015). Consequently, legitimate publishers suffer due to superficial similarities to the predatory publications. Within this context perceived quality is crucial, as it is not sufficient to achieve high actual quality; what matters is what consumers perceive (Aaker 2009). As such, this study expects that researchers’ perceived content quality, as measured by perceptions about article quality, consistency and reliability of peer-review, will likely reduce their intention to publish in OA journals. The opposite effect is expected in relation to non-OA journals. Thus,

H3a: Content quality significantly decreases the intention to publish in OA journals.
H3b: Content quality significantly increases the intention to publish in non-OA journals.

Self-identity and perceived quality relationships
It is a fundamental human motivation to understand who we are, and what we do as a form of self-association, evaluation and categorization (Reed et al. 2012). This general drive and motivation produces a wide range of self- and self-identity driven effects which have emerged in the academic literature over the last several decades (Schwartz 2001, Hornsey 2008). The self-concept can be viewed as the knowledge a person has about him- or herself (Baumeister 1999). An identity can be defined as any category label to which an individual self-associates, either by choice or endowment (Reed et al. 2012). The distinct selves or identities are tied to particular situations, contexts or roles (e.g., job, worker, consumer, researcher) and often
appraise their standing across multiple identities simultaneously (i.e., a scientist is likely to appraise his or her standing as a scholar, colleague, supervisor, academic worker, etc.). Although some slight definitions-based differences exist between concepts such as “self-identity”, “personal-identity”, “social-identity”, and “role-identity”, the underlying associative basis is largely the same (Reed et al. 2012).

The concept of self-identity is considered to subtly differ from social identity in that it is role-specific and not necessarily linked to a homogeneous attitudinal or behavioral profile associated with membership in a social group (Stets and Burke 2000). However, this does not preclude an individual from possessing several social identities within an organization (Ashforth and Mael 1989), not all of which are salient or cognitively activated at all times (van Knippenberg 2000).

A salient component of a researcher’s social identity relates to the production and dissemination of research, a notion which dates back decades (Lee 1969). This then, becomes a determinant of role success as a researcher. It should be noted that the concept of researcher self-identity is larger than the confines of the current investigation, consisting of various meanings and expectations defined both within the organization or institution, but also externally in society at large. For the purposes of the present study it is sufficient to limit the scope to indicators related to academic publishing. Specifically, this study takes a twofold approach to self-identity by investigating the effects of work-self and career-self as fundamental drivers in a publishing intention framework. The two constructs will be discussed in further detail below.

Salient identities are the principal determinants of behavior, and have been studied extensively in organizations (van Knippenberg 2000, Van Knippenberg and Sleebos 2006), and in relation to, for example, consumer behavior (Reed et al. 2012). The parallels between universities as organizations and researchers as consumers are readily apparent. For researchers, participating in the scientific endeavor is likely an important trigger of identity salience (Jain et al. 2009). As noted earlier, an important aspect of researcher self-identity is to conduct research and disseminate the results in the format of research articles. Work-self, as utilized in the present study, can thus be construed as a facet of self-identity which is salient in the context of engaging in publishing intentions and behaviors. It is therefore expected that work-self, as measured by a drive to contribute with the best possible work to advance the scientific corpus, will contribute significantly to the perceived quality constructs. This
expectation emanates from the nature of work-self being a construct defining an inherent drive or desire to successfully fulfill one’s role. Thus,

H4a: Work-self will significantly and positively affect journal impact.
H4b: Work-self will significantly and positively affect visibility.
H4c: Work-self will significantly and positively affect content quality.

Succeeding as a researcher goes beyond the mere desire to contribute to the advancement of science; the effort should be successful, i.e., not only comprise research production but also successful research dissemination, preferably in top-tier journals to earn status and consolidate one’s position (Lawrence 2003). Studies highlight the importance of career-self and advancement among journal selection criteria (Peekhaus and Proferes 2015). This part of self-identity translates to fulfilling the role of a researcher successfully, a notion which resonates throughout the literature on academic publishing (e.g., McGrail et al. 2006), perhaps infamously known by the colloquialism “publish or perish”. The premise of situation or context-specific identity salience is perhaps especially clear when considering career advancement within academia. Career-self can therefore be conceptualized in terms of indicators pertaining to successful long-term role fulfillment as a researcher. Given that success in academia often includes the number of publications in highly-ranked journals (e.g., Sutherland 2017), it is expected that researchers’ concept of career-self will have the largest positive effect on the journal impact variable, while also significantly and positively contributing to both visibility and content quality. Hence,

H5a: Career-self will significantly and positively affect journal impact.
H5b: Career-self will significantly and positively affect visibility.
H5c: Career-self will significantly and positively affect content quality.

**Research methodology**

**Participants and procedure**
The survey was sent by email invitation to 19,649 employees at the major universities and university colleges in Norway; this group includes both academic and administrative staff. The main reason for including both categories is that some institutional websites do not differentiate between academic and administrative staff. However, as of 2016 (Regjeringen 2017b), 14,255 employees at the major educational institutions were listed as scientific/academic; this number is likely to be approximately the same at the time of the survey. Email addresses were collected via the institutions’ web domains, and survey
distribution and data collection were handled using the online surveying tool Questback (www.questback.com). The sample consisted of authors who either had published or were going to publish scholarly articles. The total number of respondents was \( n = 1588 \), or 11% when adjusted for academic/scientific employees at the included institutions. Pre-analysis and scale reliabilities determined items for further inclusion. See Table 1 for demographics.

Table 1. Demographics of respondents (\( n = 1588 \))

<table>
<thead>
<tr>
<th>Items</th>
<th>Frequency</th>
<th>Items</th>
<th>Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td></td>
<td>Current position</td>
<td></td>
</tr>
<tr>
<td>20-29</td>
<td>119</td>
<td>PhD cand.</td>
<td>307</td>
</tr>
<tr>
<td>30-39</td>
<td>421</td>
<td>Post-doc</td>
<td>100</td>
</tr>
<tr>
<td>40-49</td>
<td>359</td>
<td>Associate prof.</td>
<td>311</td>
</tr>
<tr>
<td>50-59</td>
<td>324</td>
<td>Full prof.</td>
<td>475</td>
</tr>
<tr>
<td>60+</td>
<td>359</td>
<td>Prof. emeritus/emerita</td>
<td>101</td>
</tr>
<tr>
<td>Missing</td>
<td>6</td>
<td>Other</td>
<td>290</td>
</tr>
<tr>
<td>Total</td>
<td>1588</td>
<td>Missing</td>
<td>4</td>
</tr>
<tr>
<td>Gender</td>
<td></td>
<td>Total</td>
<td>1588</td>
</tr>
<tr>
<td>Male</td>
<td>995</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>566</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Missing</td>
<td>27</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>1588</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Measurements
Measurement of intention followed recommendations by Fishbein and Ajzen (2010), and three items were adapted to reflect the research context. All items were measured on 7-point scales in which 1 indicated the lowest level of agreement and 7 the highest. Items are listed in Table 2. Sample items are: “I will try to submit research articles to OA journals within the next 2 years”, and “I will try to submit research articles to non-OA journals within the next 2 years”.

The perceived quality scale and subscales were developed based on work by Moksness and Olsen (in press) and by the broader literature on academic publishing (e.g., Knight and Steinbach 2008). The measures were intended to reflect properties of journal impact (3 items), content quality (3 items), and visibility of published material (3 items). The following text preceded the perceived quality items: “When choosing a journal to which to submit an article, it is important that the journal…”, followed by indicating level of importance to each statement on a scale ranging from 1 to 7 (very unimportant – very important). Sample items are: “…has a high impact factor” (impact), “…has a wide audience” (visibility), and “offers very reliable peer-review” (content quality).
The general concept of self-identity was measured by two factors comprising work-self and career-self scales, with two and three items respectively, and were generated based on the broader literature on self-identification (Stets and Burke 2000, Jain et al. 2009), self-identity (Reed et al. 2012, Hornsey 2008, Van Knippenberg and Sleebos 2006, Baumeister 1999), and academic publishing behavior (Chang 2017, Xia 2010). The diversity of the self-identity construct is designed to reflect the decision to submit an article to a journal in terms of both internal and external drives to contribute to one’s self-identity as an accomplished worker and contributor to the advancement of science (work role-identity), and as career advancement (personal-identity) or praise from peers (social-identity). Respondents first read the following text: “A part of my decision to submit an article to a journal is that”, and subsequently indicated level of importance on 7-point scales where 1 indicated the statement was “very unimportant” and 7 “very important.” Sample items are: “… I am personally motivated by contributing with the highest quality research” and “… getting an article accepted brings praise from my peers”, reflecting intrinsic motivation and incentives, respectively. All items are listed in Table 2.

IBM SPSS and AMOS were used for data analyses.

Results
Reliability and validity of the measures
The summarized results in Table 2 indicate that the model overall shows good fit (CMIN/DF = 4.57, df = 149, CFI = 0.98, TLI = 0.97, RMSEA = .047). Kline (2011) suggests recommended thresholds for fit indices are: CMIN < 5; CFI > .90; TLI > .90 and RMSEA < .08. Table 2 shows reliability measures and unstandardized factor loadings for the basic model, while descriptive statistics and construct correlations are found in Table 3. Table 4 shows the results from hypothesis testing. The bold numbers in the diagonal of Table 3 represent the square root of the average variance extracted (AVE); these numbers should be larger than the value of the correlations to indicate discriminant validity (Fornell and Larcker 1981). Composite reliability (CR) and variance extracted should exceed 0.70 and 0.50, respectively, to indicate internal consistency. Apart from the Perceived visibility subscale (AVE = 0.48), all constructs range from 0.75 to 0.98 (CR), and 0.53 to 0.94 (AVE), indicating adequate internal consistency for the model constructs.
Table 2. Standardized confirmatory factor analysis coefficients and construct reliability for basic model

<table>
<thead>
<tr>
<th>Constructs and indicators</th>
<th>Factor loadings</th>
<th>Composite reliability</th>
<th>Variance extracted</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Intention to publish Open Access</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>I will try to submit research articles to OA journals within the next 2 years.</td>
<td>0.96</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I intend to submit research articles to OA journals within the next 2 years.</td>
<td>0.99</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I plan to submit research articles to OA journals within the next 2 years.</td>
<td>0.96</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Intention to publish non-Open Access</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>I will try to submit research articles to non-OA journals within the next 2 years.</td>
<td>0.94</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I intend to submit research articles to non-OA journals within the next 2 years.</td>
<td>0.99</td>
<td></td>
<td></td>
</tr>
<tr>
<td>I plan to submit research articles to non-OA journals within the next 2 years.</td>
<td>0.95</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Self-identity</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(A part of my decision to submit an article to a journal is that...)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Work-self</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>…I am personally motivated by contributing with the highest quality research.</td>
<td>0.90</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…It is important to me to contribute to the advancement of science.</td>
<td>0.78</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Career-self</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>…getting an article accepted in the journal brings praise from my peers.</td>
<td>0.61</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…publishing in the journal is good for my career development.</td>
<td>0.84</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…having an article accepted in the journal will generate more research funding.</td>
<td>0.75</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Perceived quality</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(When choosing a journal for submitting an article, it is important that the journal...)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Perceived journal impact</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>…has a high impact factor.</td>
<td>0.73</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…enjoys high status within its field of research.</td>
<td>0.83</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…is a prestigious journal to publish in.</td>
<td>0.82</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Perceived visibility</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>…has a wide audience.</td>
<td>0.67</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…offers fast turnover from submission to publication.</td>
<td>0.62</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…has a good reputation in terms of communication with authors.</td>
<td>0.78</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Perceived content quality</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>…normally publishes very good quality articles.</td>
<td>0.90</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…publishes articles of consistent quality.</td>
<td>0.91</td>
<td></td>
<td></td>
</tr>
<tr>
<td>…offers very reliable peer-review.</td>
<td>0.73</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Construct correlations and descriptive statistics are displayed in Table 3. Most constructs are significant at the .001 level. The relationships between intention to publish OA and career-self, and intention to publish OA and work-self are non-significant. However, both these relationships are significantly and positively correlated (r = 0.13) with intention to...
publish non-OA. Further, perceived content quality shows no significant relationship with intention to publish OA, and perceived visibility is not related to intention to publish non-OA.

Table 3. Correlations and descriptive statistics for constructs in the basic model

<table>
<thead>
<tr>
<th>N = 1588</th>
<th>Mean</th>
<th>SD</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Intention OA</td>
<td>4.87</td>
<td>1.96</td>
<td>0.97</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Intention non-OA</td>
<td>5.06</td>
<td>1.96</td>
<td>-0.27*</td>
<td>0.96</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Work-self</td>
<td>5.81</td>
<td>1.14</td>
<td>0.03</td>
<td>0.17*</td>
<td>0.84</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Career-self</td>
<td>4.80</td>
<td>1.38</td>
<td>0.00</td>
<td>0.15*</td>
<td>0.45*</td>
<td>0.74</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Impact</td>
<td>5.59</td>
<td>1.15</td>
<td>-0.13*</td>
<td>0.25*</td>
<td>0.49*</td>
<td>0.79</td>
<td>0.69</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Visibility</td>
<td>5.25</td>
<td>1.05</td>
<td>0.15*</td>
<td>-0.01</td>
<td>0.30*</td>
<td>0.23*</td>
<td>0.41*</td>
<td>0.69</td>
<td></td>
</tr>
<tr>
<td>7. Content quality</td>
<td>6.24</td>
<td>0.86</td>
<td>-0.03</td>
<td>0.19*</td>
<td>0.48*</td>
<td>0.33*</td>
<td>0.63*</td>
<td>0.41*</td>
<td>0.85</td>
</tr>
</tbody>
</table>

*p < .001; OA/non-OA int. = intention to publish Open Access/non-Open Access

Structural analysis and model testing
The conceptual model (Figure 1) was tested using structural equation modeling (SEM). The results indicate that the model fits the data well, as indicated by the fit indices (CMIN/DF = 4.61, DF = 153, p = .000, CFI = 0.98, TLI = 0.97, RMSEA = .048). Results from the analyses are presented in Table 4. H1a stipulated that journal impact would decrease the intention to publish in OA journals, which is supported by the data (β = -0.56, z = -5.79, p < .001).

Support is also found for H1b, which expected that journal impact would show a significant and positive effect on the intention to publish in non-OA journals (β = 0.25, z = 6.44, p < .001). H1a and 1b are consequently confirmed.

The suggested effects of H2a and H2b are also supported by the data. H2a stated that perceived visibility increases the intention to publish in OA journals data (β = 0.24, z = 6.82, p < .001), while H2b suggested that visibility decreases the intention to publish in non-OA journals (β = -0.15, z = -4.94, p < .001). H3a outlined an expected negative effect by perceived content quality on the intention to publish OA; however, this hypothesis is not supported by the data (β = 0.02, z =0.46, p < .65). Support is found for H3b, which suggested that the opposite effect would be observed on the intention to publish in non-OA journals (β = 0.10, z =2.82, p < .005). The results further demonstrated that work-self positively influences all the perceived quality constructs. H4abc stated that work-self increases impact (β = 0.41, z =8.37, p < .001), visibility (β = 0.25, z =3.85, p < .001) and content quality (β = 0.29, z =9.50, p < .001), respectively. Confirmation is also found for H5abc. A significant and positive effect is determined by career-self on visibility (β = 0.12, z =3.24, p < .001), as suggested by H5a. H5b outlined that career-self also positively influences perceived impact, which is also supported by the data (β = 0.46, z =14.00, p < .001). Finally, H5c suggested a positive effect
on content quality, and the results support this hypothesis as well ($\beta = 0.15, z = 4.69, p < .001$).
Consequently, all hypotheses except for H3a are confirmed.

Table 4. Testing direct effects

<table>
<thead>
<tr>
<th>Path (N = 1588)</th>
<th>Hypothesis</th>
<th>Standardized coefficients</th>
<th>p-value</th>
<th>z-value</th>
<th>Conclusion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Impact $\rightarrow$ Int. OA</td>
<td>H1a</td>
<td>-0.22</td>
<td>.001</td>
<td>-5.79</td>
<td>Supported</td>
</tr>
<tr>
<td>Impact $\rightarrow$ Int. non-OA</td>
<td>H1b</td>
<td>0.25</td>
<td>.001</td>
<td>6.44</td>
<td>Supported</td>
</tr>
<tr>
<td>Visibility $\rightarrow$ Int. OA</td>
<td>H2a</td>
<td>0.24</td>
<td>.001</td>
<td>6.82</td>
<td>Supported</td>
</tr>
<tr>
<td>Visibility $\rightarrow$ Int. non-OA</td>
<td>H2b</td>
<td>-0.15</td>
<td>.001</td>
<td>-4.94</td>
<td>Supported</td>
</tr>
<tr>
<td>Content quality $\rightarrow$ Int. OA</td>
<td>H3a</td>
<td>0.02</td>
<td>.65</td>
<td>0.46</td>
<td>ns</td>
</tr>
<tr>
<td>Content quality $\rightarrow$ Int. non-OA</td>
<td>H3b</td>
<td>0.10</td>
<td>.005</td>
<td>2.82</td>
<td>Supported</td>
</tr>
<tr>
<td>Work-self $\rightarrow$ Impact</td>
<td>H4a</td>
<td>0.29</td>
<td>.001</td>
<td>9.50</td>
<td>Supported</td>
</tr>
<tr>
<td>Work-self $\rightarrow$ Visibility</td>
<td>H4b</td>
<td>0.25</td>
<td>.001</td>
<td>3.85</td>
<td>Supported</td>
</tr>
<tr>
<td>Work-self $\rightarrow$ Content quality</td>
<td>H4c</td>
<td>0.41</td>
<td>.001</td>
<td>8.37</td>
<td>Supported</td>
</tr>
<tr>
<td>Career-self $\rightarrow$ Impact</td>
<td>H5a</td>
<td>0.46</td>
<td>.001</td>
<td>14.00</td>
<td>Supported</td>
</tr>
<tr>
<td>Career-self $\rightarrow$ Visibility</td>
<td>H5b</td>
<td>0.12</td>
<td>.001</td>
<td>3.24</td>
<td>Supported</td>
</tr>
<tr>
<td>Career-self $\rightarrow$ Content quality</td>
<td>H5c</td>
<td>0.15</td>
<td>.001</td>
<td>4.69</td>
<td>Supported</td>
</tr>
</tbody>
</table>

Note: Int OA/non-OA = intention to publish Open Access/non-OA; ns = not significant

Discussion and implications
The main purpose of this study is to investigate if and how perceived journal quality and self-identity influence or explain intention to publish in OA or non-OA journals. The conceptual framework in based on integration of attitude theory/reasoned action approach (Fishbein and Ajzen 2010), literature and research on identity theory (Stets and Burke 2000, Hogg and Terry 2000, Conner and Armitage 1998), and scholarly publishing (Warlick and Vaughan 2007, Xia 2010, Knight and Steinbach 2008). More precisely, the work focused on the interplay between two facets of self-identity (career-self and work-self), three facets of perceived journal quality (impact, visibility and content quality) and intention to publish OA and non-OA (performance) in a university context (Warlick and Vaughan 2007, Knight and Steinbach 2008). Overall, the results indicate satisfactory reliability and validity of the constructs and support eleven of ten hypotheses within a structural equation modeling framework. This study contributes to the literature by proving that facets of perceived journal quality and self-identity interacts differently with OA versus non-OA publishing intentions.

Explaining the effects of self-identity and perceived quality on intention
This study has several potential contributions to the literature on scholarly publishing. First, subdividing the perceived quality concept into three distinct factors enabled a comprehensive investigation of the relative direct effects (valence and strength) of quality on intention. As hypothesized, some interesting findings emanated from this strategy. In a relatively large
sample of researchers in Norway, the findings showed that perceived journal impact decreases the intention to publish in OA journals, while perceived content quality is non-significant. The only antecedent that strengthens researchers’ intention to publish in OA journals is visibility. In other words, researchers are starting to see that OA is indeed beneficial for reaching a larger audience; however, they appear to be skeptical of the quality and status/prestige of OA outlets and content. Whether researchers’ work-self or career-self is salient also matters for how the quality constructs are construed. The findings further show that when researchers evaluate quality from a career-self perspective, perceived journal impact receives the largest effect, while perceived content quality is most important when work-self is salient. The findings will be discussed in further detail below.

Previous studies report that perceived quality is a major contributing factor in researchers’ decision to choose a publication outlet (e.g., Xia 2010, Warlick and Vaughan 2007). This being the case, comparatively little effort has been made to systematically investigate the effects of perceived quality on intention. Publishing in non-OA journals is more often related to career advancement than publishing in OA journals (Peekhaus and Proferes 2015), and OA journals are by comparison rated lower than their non-OA counterparts (Togia and Korobili 2014). Although some studies indicate that perceptions are changing (Xia 2010), the present study finds corroborating evidence of the negative perceptions of OA that still permeate academia. If researchers in Norway do not equate OA with quality, it is a clear indication that the efforts being made to inspire increased OA publishing are not as successful as they could be. As noted earlier, it is insufficient that a publication outlet publishes high quality research if the researchers do not perceive this to be the case.

As evident from the findings in this study, researchers do equate impact with quality, which is negatively linked to the intention to publish OA. Studies on OA impact and quality indicate that whether an article is published OA or not is not a reliable indicator of its quality, nor is the journal in which it is published (Harnad and Brody 2004, Tang et al. 2017, Bjork and Solomon 2012). It is, however, reasonable to link quality to the work itself and to reliability of the editorial and review processes that assesses the merit of the work prior to publication. The notion that OA journals are not as rigorous in the peer review process compared to non-OA journals is widespread (Togia and Korobili 2014), and likely explains some of the negative effects on intention in this study. In lower quality journals, these processes are typically less reliable, and in the case of predatory publishers, they are often
altogether absent (Craft 2016). This study provides evidence that when researchers emphasize the importance of peer review and the consistent publication of quality articles as important antecedents to journal choice, they are more inclined to choose non-OA over OA.

OA’s attractiveness to researchers is the accessibility advantage it provides (Swan 2010). An article that can be accessed, downloaded, and distributed freely has the potential to reach a wider audience than one that must be purchased. The findings in the present study indicate that researchers recognize this advantage with OA journals and this, indeed, strengthens the intention to publish in them. Conversely, the same factor reduces the intention to publish in non-OA journals. Studies conducted over the years report that OA articles enjoy a citation and download advantage over their non-OA counterparts (Eysenbach 2006, Tang et al. 2017), while other studies find they are equal (Solomon et al. 2013). This study provides evidence that researchers in Norway are to some extent realizing this advantage. However, a cautionary note seems pertinent: recognizing the distribution and visibility advantage of OA is arguably one of the more cautious steps to take towards fully adopting OA as one’s preferred dissemination method.

Previous studies confirm that researchers’ concept of self-identification influences role-definition and performance, and that these roles are inextricably linked to research production and dissemination (Jain et al. 2009, Henkel 2005). Contextual or other situational cues may render the related identity salient (van Knippenberg 2000) and selecting an appropriate dissemination channel for an article—often the culmination of months of work—may readily be considered such an event. The results from the present study provide evidence that all aspects of perceived quality are deemed important when work-self, or self-identifying as a researcher, presumably, is salient. The most influential effect, however, is observed on perceived content quality. Simply put, publishing research articles in a journal perceived to contain content of high quality takes precedence for researchers in this study but is closely followed by a journal’s impact and visibility. A marked difference is found when researchers consider publication venue with career-self salient. In this instance, perceptions of content quality and visibility are apparently of lesser importance compared to that of impact. This finding is line with other accounts describing what is required to succeed in academia (Peekhaus and Proferes 2015, McGrail et al. 2006): follow the impact, status and prestige of a journal.
Limitations and implications
There are some limitations to the study that should be addressed. First, data were collected utilizing online surveys and self-reports, two methods with clear disadvantages when it comes to data analyses and subsequent generalizations of the results (Krosnick 2018). Researchers exist in an environment where online surveys proliferate; thus, the willingness to participate in surveys of this kind is comparatively low. This is evident from the low response rate. As well, although substantial effort goes into item and survey design, there will always be uncertainty in interpreting results emanating from self-reported data. To some extent, a larger sample and advanced statistical techniques, such as structural equation modeling, can alleviate some of these issues.

Second, this study operates with broad definitions of OA and non-OA and may thus lose some of the finer distinctions contained both within and outside these categories. This may include the effects of the intentional antecedents on green or hybrid OA, or if hybrid OA is more closely associated with non-OA than it is with gold OA. Third, generalizability is further affected by limiting self-identity to the general constructs of work and career identity. For instance, a fruitful avenue to explore in this context could have been the intersection of identity, social identity and personality research (e.g., Ashforth and Mael 1989), specifically, how various personality constellations and social categorizations influence publishing behavior or performance. Although the perceived quality constructs in this study capture important aspects of quality, the framework could benefit from employing a larger and more comprehensive set of indicators such as expanding on the perceived quality dimensions discussed by Aaker (2009).

The current study has some implications for how researchers, institutions, and policy makers can contribute to increased OA and OS usage. The foremost finding is that it is perceptions of content quality and impact that should be addressed to stimulate OA publications. Apparently, the visibility advantage of OA is accepted among this national sample of researchers; it just fails to extend to the perceived impact of OA journals and the quality of their content. Furthermore, discourse renders different aspects of researchers’ identity salient; this then proceeds to affect attitudes differently. It is a reminder that researchers are required to balance not just their identification as researchers, but also how that affects successfully pursuing a career. Although similar, the evidence from the present study shows they likely are not the same. It therefore matters to which part of researchers’
role or identity to appeal when deciding on new policies, and how to incentivize or sanction them. Ideally, what is beneficial for careers should also be beneficial for the self.

Researchers are often challenged to find a journal which satisfies their subjective requirements for quality while, at the same time, fulfilling the terms stipulated by their benefactor or local guidelines. Researchers in these instances often need to pay a non-OA journal to free up an article, thus enabling OA to it. Although satisfying the criteria for OA, these fees are often substantially higher than APCs for fully open OA publications (Pinfield et al. 2016), and not all publication funds operated by institutions support this type of OA. An interesting avenue for future research is to investigate whether fees charged to free up articles in non-OA journals are in fact having a negative impact on perceptions of traditional OA journals.

References


Larivièere, V., Gingras, Y. and Archambault, É. (2009), "The decline in the concentration of citations, 1900–2007", *Journal of the Association for Information Science and Technology*, Vol. 60 No. 4, pp. 858-62.


Swan, A. (2010), "The open access citation advantage: Studies and results to date", in, eprints.soton.ac.uk.


