## 1 Size selection of cod (Gadus morhua) and haddock (Melanogrammus aeglefinus) in

2 the Northeast Atlantic bottom trawl fishery with a newly developed double steel

3 grid system

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## 11 Abstract

12 In recent years, Norwegian fishermen have reported problems with fish accumulation in front 13 of the mandatory sorting grids (Sort-X, Sort-V, and Flexigrid). These problems are associated with high fish entry rates and low water flow through the grid sections. In this study, we replaced the lifting 14 15 panel in the original design of a sorting grid section (Sort-V) by another steel grid ("lower grid") in order to improve water flow and increase sorting area. Two different inclination angles of this new 16 17 additional "lower grid" were tested. The results demonstrated that both the lower grid and the main grid contributed to the release of cod and haddock. However, the release efficiency of the lower grid 18 19 was low compared to that of the main grid. A larger proportion of fish contacted at least one of the 20 grids with the lower grid set at 40° compared to at 35°. The new double grid was found to release 21 significantly more haddock between 38 and 50 cm long than the mandatory Flexigrid. For cod, the 22 sorting system was at least as good as the Flexigrid at releasing undersized fish. Thus, the new double 23 grid system represents a potential alternative to the Flexigrid. Although the Sort-V single grid releases 24 significantly more undersized cod and haddock than the new double grid system, it also releases a 25 significantly higher proportion of the targeted commercial sizes.

26 Keywords: Sorting grid; Selectivity; Trawl; Cod; Haddock; Water flow

## 27 **1. Introduction**

28 Rigid sorting grids in combination with diamond mesh codends have been mandatory in the Barents 29 Sea demersal cod (Gadus morhua) and haddock (Melanogramus aeglefinus) fishery since 1997. In 2011, the minimum mesh size of the diamond mesh codend was changed from 135 to 130 mm and this 30 31 remains the minimum mesh size for the fleet today. Fishermen are allowed to use three different grid 32 systems in the fishery, all of them with a minimum bar spacing of 55 mm: the Sort-X, which is a three-33 section system that is composed of two steel grids and a canvas section (Larsen and Isaksen, 1993); 34 the Flexigrid, which is a double flexible grid section composed of two grids made of plastic (i.e., bars 35 made from fibre-glass) and rubber (Sistiaga et al., 2016; <u>www.fiskeridir.no</u>); and the Sort-V, which is a single steel grid section (Jørgensen et al. 2006; Herrmann et al. 2013a). The Sort-X system is 36 37 considered outdated by fishermen and only the Sort-V system and the Flexigrid are actively used in 38 the fishery today (Fig. 1).

39 FIG. 1

The current stock size of Northeast Arctic cod is estimated to be around 3,200,000 tons 40 41 (www.imr.no), which is at the top of the levels registered in recent decades. A direct consequence of 42 this stock size is that the trawlers fishing in the Barents Sea often encounter densities of fish that make 43 ordinary fishing operations challenging. Specifically, the grid systems applied in the Barents Sea today 44 experience capacity problems that render more acute when the densities of fish entering the section are 45 high (i.e., >10 tons/hour). The causing mechanism is that fish often seem to stop just in front of the 46 grid and keep a somewhat stationary position up to several minutes before being size sorted in the 47 section and pass it in the direction of the codend. This phenomena leads to fish accumulation at the 48 entrance of the grid section, which combined with high entrance rates can result in that the grid section 49 gets blocked (or clogged) by fish, loses its sorting ability and finally breaks in some cases (Grimaldo 50 et al., 2015; Sistiaga et al., 2016). Therefore, a key to eliminate or at least significantly reduce this risk 51 for grid clogging is to ensure that the fish does not stop and accumulate in front of the grid section 52 before being size sorted by it. Reduction in water flow both in front of and inside grid sections is 53 assumed to be one of the key factors that encourages and makes it possible for fish to halt and keep a 54 stationary position in front of the grid section. Therefore, in an attempt to solve this issue, the 55 Norwegian authorities, research institutes, and fishermen are testing alternative gear and grid designs 56 that increase the water flow through the grid sections and facilitate the continuous flow of fish into the grid section and towards the codend. One of the measures proposed by the Norwegian authorities was 57

58 the removal of the lifting panel from the grid section, which is believed to substantially reduce water 59 flow through the section. Grimaldo et al. (2015) evaluated the importance of the lifting panel in a Sort-V section to see if its removal affected the selective performance of the section. The results showed 60 61 that the lifting panel has a significant effect on the sorting ability of the Sort-V grid section and 62 therefore it should not be removed. Therefore, the present study examines an alternative design where 63 the lifting panel was not eliminated but substituted by an additional grid that would potentially increase 64 water flow through the section, provide an additional sorting process and at the same time lift the fish 65 towards the main grid. The study aims at first instance at answering the following research questions:

- Do fish stop in front of the grids in the new section, and if not, how fast do they pass through the section?
- To what extent is the water flow maintained through the new section?

69 In addition to carrying fish through the section and towards the codend effectively, a potential alternative grid section should perform at least as good as the existing grid sections at releasing 70 71 undersized fish and retaining commercial size fish. However, for a sorting grid to be effective regarding 72 size selection, fish need to have enough time in the grid zone to orientate itself correctly towards the 73 grid for an exposure to a size selection process. Therefore, as increasing the water flow may have 74 negative effect on the size selection, it is essential to examine the size selectivity performance of the 75 new grid section with respect to the main target species in the fishery. Thus, the next research questions 76 to be answered would be:

- Do fish have enough time in the grid section to orientate itself correctly towards the two grids
   for an effective size selection process?
- To what extent do cod and haddock escape through the new additional grid and through the
   main grid in the double grid design?
- Does this new grid design provide size selection for cod and haddock comparable to the grid
   designs used in the fishery today?

## 83 2. Materials and Methods

84 2.1 Vessel, area, time, and fishing gear

85 The experimental fishing was carried out on board the research vessel (R/V) "Helmer Hanssen"

86 (63.8 m LOA and 4080 HP) from 27<sup>th</sup> February to 7<sup>th</sup> March, 2015. The fishing grounds chosen for the

tests were located off the coast of Finnmark and Troms (Northern Norway) at  $71^{\circ}30'$  N  $-27^{\circ}30'$  E and

 $70^{\circ}30^{\circ}$  N –  $17^{\circ}20^{\circ}$  E. At this time of the year the area is suitable for size selectivity studies under rather high fish entry rates.

90 We used an Alfredo No. 3 Euronet trawl built entirely of 155 mm polyethylene (PE) netting. This 91 trawl design is commonly used in commercial Norwegian fisheries. The trawl had a headline of 36.5 92 m, a fishing line of 19.2 m, and 454 meshes in circumference and was constructed entirely in 155 mm 93 nominal mesh size (nms). The trawl was rigged with a set of Injector Scorpion bottom trawl doors (7.5 94  $m^2$  and 2800 kg each), 60 m sweeps, and 111.2 m ground gear. The ground gear had a conventional 95 19.2 m long rock-hopper in the center that was built with Ø 53 cm rubber discs attached to the fishing 96 line of the trawl and five Ø 53 cm steel bobbins distributed on a 46 m  $\times$  19 mm chain along each side 97 of the trawl. The headline was equipped with  $170 \times \emptyset$  20 cm plastic floats. The trawl gear was 98 monitored using Scanmar (Scanmar AS, Åsgårdstrand, Norway) acoustic sensors placed at the trawl 99 doors, headline, and codend. With the given rig details, we achieved ca. 130 m door spread, ca. 14.5 m 100 fishing line spread, and a ca. 5 m headline height at towing speeds of 3.5–4.0 knots, and a depth that 101 ranged between 250 and 320 m.

102 We built a 4-panel netting section with two steel grids inserted into it. This grid section was made 103 of 138 mm nms Euroline Premium PE netting (single Ø 8.0 mm twine), was 26 meshes long (the 104 section was 18.5 meshes shorter than the mandatory Sort-V steel grid section), and had 104 meshes in 105 circumference. All four selvedges in the grid section were strengthened with Ø 36 mm Danline PE 106 rope. The original Sort-V system is equipped with a 60 mm PE lifting panel and its main function is to 107 guide fish closer to the grid face (Fig 1). The lifting panel was replaced by a one-half standard steel 108 grid (Sort-V type) with 55 mm bar spacing, hereafter called grid<sub>1</sub> (outer dimensions: length 835 mm  $\times$ 109 width 1234 mm). Grid<sub>1</sub> was initially fixed to maintain an inclination angle of approximately 35°, but 110 later this angle was increased to approximately 40°. The aft section of grid<sub>1</sub> was made from square 111 mesh 80 mm nms Euroline Premium PE netting (single Ø 3.0 mm twine). The main grid in the section, 112 hereafter called grid<sub>2</sub>, was a standard steel grid (Sort-V type) with 55 mm bar spacing (outer 113 dimensions: length 1650 mm × width 1234 mm). The square mesh guiding panel behind grid<sub>2</sub> was also 114 made of 80 mm Euroline Premium PE netting (single Ø 3.0 mm twine). The length of the guiding panel 115 was approximately one-half that used in the standard mandatory Sort-V sorting grid section (Fig. 2).

We built a transition diamond mesh section to connect the 2-panel trawl belly to the 4-panel grid
section. This transition section was made from 138 mm nms Euroline Premium PE netting (single Ø
8.0 mm twine) and was 35.5 meshes long (Fig. 3).

120 We used two small-mesh grid covers (GCs) to collect separately the fish escaping through grid1 121 and grid<sub>2</sub>, respectively. Grid<sub>2</sub> was covered with a GC made of 52 mm (full mesh size) Euroline 122 Premium PE netting (single Ø 2.4 mm twine) and had a total length of ca. 25 m (Larsen and Isaksen, 123 1993). The entire GC was reinforced with double 155 mm Euroline Premium PE netting (single Ø 4.0 124 mm twine), and  $7 \times \emptyset$  20 cm plastic floats were added along the mid-seam to ensure its expansion. 125 Grid<sub>1</sub> was covered with a GC made of 42 mm polyamide (PA) netting of Ø 1.0 mm in the front part 126 and 52 mm PE netting (single Ø 2.2 mm twine) in the aft part. This cover had a total length of 127 approximately 15 m. Despite the use of PA with relative thin twines we added ca. 15 kg of chains along 128 the mid-seam of this cover to ensure (upside-down) inflation. GCs were installed following the standard 129 procedures described by Larsen and Isaksen (1993) and Wileman et al. (1996) (Fig. 3).

The 4-panel diamond mesh codend used during the experiments was made from Euroline Premium PE netting (Polar Gold) with 138 mm nms meshes and Ø 8 mm single twine. The codend was 120 meshes long and had 80 meshes of circumference. All four selvedges were strengthened with Ø 36 mm Danline PE ropes. In total, seven round-straps (Ø 24 mm PE) were attached around the codend at intervals of 1.2 m. The codend was blinded by a 14 m long inner net constructed of 52 mm nms Euroline Premium PE netting (single Ø 2.2 mm twine) (Fig. 3).

136 FIG. 3

137 All cod and haddock from the codend and the GCs were measured to the nearest cm. Underwater 138 video observations were made to monitor the correct configuration of the grids and to obtain 139 information about fish behavior inside the grid section. For the underwater recordings we used a GoPro 140 Hero 4 black edition HD camera system. To provide appropriate illumination for this camera, two 141 Metalsub FL 1255 halogen lamps (white light, 1500 lumen and 3200 K) were connected to a Metalsub 142 FX 1209 dual battery pack (http://www.metalsub.nl/). The camera unit with lights was fixed 2 m in 143 front of the grid (facing backwards). Because artificial light can affect fish behavior, these hauls were 144 excluded from the selectivity analyses.

145To measure water flow inside the grid section, two Scanmar flow meters were placed in the middle146of a rectangular steel frame (1120 mm × 1000 mm) in the center and three-quarters of the way down

from the top, respectively. We used four separate hauls for these flow measurements and they were made both in front of the grid section and behind the grid section and with and without the GCs. To monitor the actual inclination angle of grid<sub>2</sub>, we used a Scanmar grid sensor fixed in the middle of this grid and the tows were inspected with Go-Pro cameras.

#### 151 2.2 Modeling size selection in the double grid system

Sistiaga et al. (2010) successfully described size selection of cod and haddock by a 55-mm Sort-V sorting grid using a model that accounted for the fact that not all fish necessarily made contact with the grid in a way that provided them with a size dependent probability to escape through it. Herrmann et al. (2013b) showed later that this model could also describe the size selection of redfish, one of the main bycatch species in the fishery, for a 55-mm Sort-V sorting grid. This model is known in the literature as *CLogit* (Herrmann et al., 2013b):

158

159 
$$CLogit(l, C, L50, SR) = 1 - C \times (1 - Logit(l, C, L50, SR)) = 1 - \frac{C}{1 + exp\left(\frac{ln(9)}{SR} \times (l - L50)\right)}$$
 (1)

160 Only the fish contacting the grid have a size dependent probability of escaping through it. In the 161 *CLogit* model, the parameter *C* quantifies the length independent probability that a fish entering the 162 grid zone will also make contact with it in a way that provides it with a length dependent probability 163 of escaping through the grid. Thus, C has a value between 0.0 and 1.0, where 1.0 would mean that 164 every fish entering the grid zone would make contact with the grid. In contrast, a value of 0.3 would 165 mean that only 30% of the fish entering the grid zone would make contact with it. For a fish making 166 contact with the grid, the *CLogit* model assumes a traditional *Logit* size selection model (Wileman et 167 al., 1996) defined by the parameters L50 and SR (L50 is the length at which a fish has a 50% chance 168 of being retained by the gear, whereas SR is the selection range defined as the difference in fish length 169 between 75% and 25% chance of being retained, i.e. L75-L25). Sistiaga et al. (2016) extended this 170 model to describe the size selection of cod and haddock in a double grid system, the Flexigrid. Larsen 171 et al. (2016) applied the same double grid size selection model to estimate the size selection of redfish 172 for the double grid system used in present study. Thus, we applied the following model (2) to describe 173 the size selection of cod and haddock in the double grid system:

$$e_{1}(l) = 1.0 - CLogit(l, C_{1}, L50_{1}, SR_{1})$$

$$174 \quad e_{2}(l) = (1.0 - CLogit(l, C_{2}, L50_{2}, SR_{2})) \times (1.0 - e_{1}(l)) \quad (2)$$

$$r_{comb}(l) = 1.0 - e_{1}(l) - e_{2}(l)$$

175 For a fish of length *l* that enters the double grid section,  $e_1(l)$  models the length dependent probability 176 for it to escape through grid<sub>1</sub> (the lower grid) and  $e_2(l)$  models the probability for it to escape through 177 grid<sub>2</sub> (the upper grid). If the fish does not escape through one of the two grids it is retained in the codend, for which the probability is described by  $r_{comb}(l)$ .  $C_l$  quantifies the fraction of fish entering the 178 179 gear that makes contact with the first grid and is subject to a size dependent probability of escapement 180 through it. For those fish, L501 and SR1 are the contact selectivity parameters assuming a Logit size 181 selection model. For the fish that reach the zone of the second grid, meaning that they have not 182 previously escaped through the first grid,  $C_2$  quantifies the fraction of fish that makes contact with it 183 and consequently is subject to a size dependent probability of escapement through this grid. For those 184 fish,  $L50_2$  and  $SR_2$  are the contact selectivity parameters assuming a *Logit* size selection model. Thus, 185 according to equation (2) the size selectivity in the double grid system is fully described by the six parameters C<sub>1</sub>, L50<sub>1</sub>, SR<sub>1</sub>, C<sub>2</sub>, L50<sub>2</sub>, and SR<sub>2</sub>. The selection properties of the individual grids, grid<sub>1</sub> 186 187 (lower grid) and grid<sub>2</sub> (upper grid), are described by the parameters ( $C_1$ ,  $L50_1$ ,  $SR_1$ ) and ( $C_2$ ,  $L50_2$ ,  $SR_2$ ), 188 respectively, following the *CLogit* size selection model (1). The probability that a fish entering the grid 189 section will make contact with at least one of the two grids, C<sub>comb</sub>, can be expressed by:

- 190  $C_{comb} = C_1 + C_2 C_1 \times C_2$  (3)
- 191 The overall selectivity parameters for the whole grid section (first and second grid combined:  $L50_{comb}$ 192 and  $SR_{comb}$ ) were estimated based on (2) using the numerical method described in Sistiaga et al. (2010).
- 193 2.3 Estimation of selection parameters for the double grid model

The values of the parameters for the overall selection model (2) (i.e.,  $C_1$ ,  $L50_1$ ,  $SR_1$ ,  $C_2$ ,  $L50_2$ , and  $SR_2$ ) were obtained using a maximum likelihood estimation method. The method was applied pooled over hauls *j* (1 to *m*), separately for cod and haddock, and separately for the two grid riggings investigated) by minimizing:

198 
$$-\sum_{l}\sum_{j=1}^{m} \{n_{GC1,l,j} \times ln(e_1(l)) + n_{GC2,l,j} \times ln(e_2(l)) + n_{C,l,j} \times ln(r_{comb}(l))\}$$
(4)

199 where  $n_{GC1,l,j}$ ,  $n_{GC2,l,j}$ , and  $n_{C,l,j}$  denote the number of fish lengths collected in haul j with length l in the 200 cover for the first grid, the cover for the second grid, and the blinded codend, respectively (Fig. 3). 201 When estimating the size selection parameters  $C_1$ ,  $L50_1$ ,  $SR_1$ ,  $C_2$ ,  $L50_2$ , and  $SR_2$ , the values of the 202 parameters are not constrained, meaning that they are not bound in value to each other. However, 203 because the bar spacing in the two grids is identical, it could be expected that the size selection for 204 those fish making contact with grid<sub>1</sub> would be similar to the size selection of the fish making contact 205 with grid<sub>2</sub>. Thus, the main difference in the performance of the two grids is expected to be due to 206 potential differences in grid contact probability between the two grids ( $L50_1 \approx L50_2$  and  $SR_1 \approx SR_2$ , 207 while  $C_1$  and  $C_2$  can have different values).

We first used a constrained version of model (2), in which  $L50_1 = L50_2$  and  $SR_1 = SR_2$ , to describe the size selection in the double grid system. We used the unconstrained version of the model only if this constrained version of the model failed to describe the experimental data sufficiently well. The diagnosis of goodness of fit of the models used was based on the p-value, model deviance versus degrees of freedom, and finally inspection of the model curves' ability to reflect the trends in the data.

The maximum likelihood estimation using Equation (4) with (2) requires aggregation of the 213 214 experimental data over hauls. This results in stronger data to estimate the average size selectivity at the 215 expense of not considering explicit variation in selectivity between hauls (Fryer, 1991). To account 216 correctly for the effect of between-haul variation in the uncertainty of the size selectivity parameters 217 estimated, we estimated the Efron percentile confidence intervals using a double bootstrap method with 218 1000 bootstrap iterations (Efron, 1982; Chernick, 2007). The method was applied both for the 219 estimated parameters in equation (2) and the curves for  $e_1(l)$ ,  $e_2(l)$ , and  $r_{comb}(l)$ . We used the software 220 tool SELNET (Herrmann et al., 2012) to carry out all selectivity data analyses.

Based on the *CLogit* model and inserting the values of the selection parameters for the first grid ( $C_1$ ,  $L50_1$ ,  $SR_1$ ) and the second grid ( $C_2$ ,  $L50_2$ ,  $SR_2$ ), we obtained the size selection curves for the two grids for stand-alone deployments. By incorporating this estimation into the bootstrapping procedure described above, we also obtained 95% confidence limits for the grid's stand-alone size selection curves. As we are also interested in the difference in contact probability between the two grids, we incorporated an explicit estimation of  $\Delta C = C_2 - C_1$  into the bootstrap procedure.

To infer whether the two selection curves were significantly different, we checked the 95% confidence limits of the curves for length classes without overlap. For the estimated selectivity parameters we used a similar approach and inspected whether or not the confidence limits of theestimated values being compared overlapped.

## **3. Results**

## 232 *3.1 Observations of gear and fish*

When using the covered codend method in a selectivity study, there is always some uncertainty related to the use of the covers and their potential influence on the performance of the gear. Therefore, we investigated whether the GCs affected the water flow through the grid section. The results showed that the GCs indeed reduced the water flow inside the grid section by approximately 25% (from 3.5 to 2.7 knots). With the GCs removed, flow measurements were made in front of the grid section and aft of the grid section. Measurements taken at 1/2 and 1/4 of the grid section's height were 13% and 57% lower behind the grids than in front of the grids.

240 Grid<sub>2</sub> in the new double steel grid section was rigged in exactly the same manner as in a standard 241 4-panel Sort-V section (Grimaldo et al., 2014). Underwater video recordings and measurements of 242 water flow indicated a stronger water flow through the 4-panel grid section than a conventional 2-panel 243 Sort-V section (Fig. 4). This stronger water flow can help reduce blockages (clogging) and allow fish 244 to better flow towards the codend after passing the area for potential escape through the grids. All video 245 inspections inside the grid section showed that fish encountered the grids at a higher speed than 246 previously observed in the rest of the mandatory grid systems. None cod or haddock was observed 247 stopping in front of the grid section for more than a few seconds. Moreover, one could observe cod and 248 haddock passing through the section without having the chance to correctly orient themselves towards 249 the bars of the grids and escape. Thus, although the strong water flow had a positive effect on making 250 the fish pass through the grid section and reduced the risk of clogging, it also affected grid contact 251 negatively and consequently impacted the overall performance of the grid system. The video sequences 252 showed how cod (Fig. 5a) and haddock (Fig. 6a) could pass through the section without contacting 253 either of the grids (i.e., sliding over/under them).

254 FIGS. 4, 5 & 6

In the video sequences (snapshots) selected from the underwater recordings, we observed three different possible outcomes for cod and haddock: the fish flows through the section towards the codend 257 without contacting any of the grids (Fig. 5a and 6a); the fish contacts and escapes through grid<sub>1</sub> (Fig. 258 5b and 6b); and the fish escapes through grid<sub>2</sub> (Fig. 5c and 6c). Both species had problems contacting 259 the grids, especially grid<sub>1</sub>, as they often passed through the full section relatively quickly. The pictures 260 in Figure 6c illustrate how a haddock slid along grid<sub>1</sub> and was unable to achieve contact, but when it 261 reached the escape zone of grid<sub>2</sub> it successfully contacted the grid and escaped through it. Haddock 262 showed much more active escape behavior in the new grid section than cod and were therefore more 263 successful at achieving contact. In addition, the sizes of cod captured in the trials were larger than those 264 of haddock, which can be explained by fewer cod observed escaping through the grids in the 265 underwater recordings.

#### 266 *3.2 Selectivity analyses*

267 Size selectivity data was collected for cod and haddock in 19 hauls. Eight hauls were carried out 268 with grid<sub>1</sub> at a low angle (35°) and 11 hauls were conducted with grid<sub>1</sub> at a higher angle (40°). For 269 haddock all hauls were included in the selectivity analysis. For cod one of the hauls was omitted from 270 the analysis with grid<sub>1</sub> at a higher angle because this haul contained very few cod. In total, 3272 cod 271 were length measured, in the hauls included in the selectivity analyses carried out on this species. In 272 total, 7055 haddock were length measured. Table 1 summarizes the results of the analysis based on the 273 constrained model presented in sections 2.2–2.3, and Figures 7 and 8 show plots of the escapement 274 through grid<sub>1</sub>, through grid<sub>2</sub>, and the combined size selection.

275 TABLE 1

The results in Table 1 show that the constrained model described in (1) can describe the experimental data for the size selection of cod and haddock in the double grid system sufficiently well, as all *p*-values are > 0.05. For both inclination angles in which grid<sub>1</sub> was fixed, it is likely that the deviation between the model fitted and the experimental rates is a coincidence. The plots in Figures 7 and 8 further support this, as the curves modelled in all cases seem to reflect the trends in the experimental points without any systematic patterns in the deviations. Based on these results, we are confident in applying model (2) to describe the size selection of cod and haddock in the double grid

<sup>276</sup> FIG. 7

<sup>277</sup> FIG. 8

system used in this study. Several observations can be made based on the estimated selectionparameters in Table 1:

- i) Of the fish entering the grid section, a higher fraction made contact with grid<sub>2</sub> (the main grid) compared to grid<sub>1</sub>. The mean estimated values for  $C_2$  were much higher than those estimated for  $C_1$ , and the differences between these two parameters were significant for both grid set-ups we tested.
- ii) Between 57 and 66% of the cod and haddock entering the grid section made contact with
  at least one of the two grids.
- 293 iii) For three out of the four cases (all except cod with grid<sub>1</sub> at low angle), *C<sub>combined</sub>* was
  294 estimated to be significantly below 100%.
- iv) For the combined size selection of both grids, using a higher angle for grid<sub>1</sub> led to an increase in size of fish sorted out, as the estimated  $L50_{comb}$  was higher for the high grid angle set up than for the low grid angle set up. However, this effect was not statistically significant because the confidence bands of  $L50_{comb}$  for the two cases overlapped.
- Based on the *CLogit* model and the estimated parameter values (Table 1), Figure 9 plots the estimated stand-alone size selection curves of the lower (grid<sub>1</sub>) and the upper grid (grid<sub>2</sub>), respectively. For haddock, the release efficiency was higher for the second grid compared to the first grid, as the retention probability for a large size span was significantly higher for the first grid. The same tendency occurred for cod, although the difference was only significant for the design with the 40° angle for grid<sub>1</sub>.
- 305 FIG. 9

Figure 10 provides a direct comparison between the low and high grid angle set up of grid<sub>1</sub> for the combined size selection. For both cod and haddock, L50 was higher when the grid angle for grid<sub>1</sub> was high. However, overlapping confidence intervals show that the difference is not significant.

309 FIG. 10

The new double grid and the Flexigrid has some similarities as both systems comprises two separate grids. The combined size selection for cod and haddock in the new double grid system compared to that previously estimated for a 55-mm Flexigrid (Sistiaga et al., 2016) is shown in Figure 11. The 313 comparison was made for the high angle of grid<sub>1</sub> because this setup resulted in the most desired 314 selectivity pattern for the fishery due to less capture of fish below minimum landing size (MLS). For 315 cod, the comparison was made with two different results for the Flexigrid. The comparisons indicate 316 that the use of the new double grid system would result in greater size selection on cod than that 317 obtained using the Flexigrid. However, the difference was significant only for few length classes in 318 one of the comparisons (Fig. 11). The new double grid was found to release significantly more haddock 319 between 38 and 50 cm long compared to the Flexigrid (the lower graph in Fig. 11). The vertical lines 320 represent the MLS for cod (44 cm) and haddock (40 cm).

321 FIG. 11

322 The combined size selection for cod and haddock in the new double grid system was also compared 323 to size selection results previously estimated for a 55-mm Sort-V grid (Sistiaga et al., 2010). Data for 324 cod were also compared to Sort-V results presented in Grimaldo et al. (2015). For both species, the 325 size selection results obtained with the new double grid system were not as good as those obtained with 326 the Sort-V steel grid system (Fig. 12). Specifically, the double grid system appeared to be significantly 327 less efficient at releasing undersized cod and haddock, likely because fewer cod and haddock made contact with the grids during their passage through the section of the new double grid system. The 328 329 premise is supported by the vertical difference in the horizontal part far left on the grid sections size 330 selectivity curves (Fig. 12). This difference is particularly profound for haddock. Another important 331 point to consider when interpreting the results is that the new double grid system is significantly more 332 efficient at retaining cod and haddock above the minimum size than the Sort-V.

333 FIG. 12

## 334 **4. Discussion**

We tested a new grid section equipped with two steel grids to address current selectivity problems in the Northeast Arctic cod and haddock fishery. The grid section tested was a 4-panel construction with the same design as the Sort-V section tested by Grimaldo et al. (2015), except the lifting panel was replaced with a second steel grid in this new design. The aim of this design was to increase the fish sorting area by adding a new grid (grid<sub>1</sub>) while simultaneously improving water flow in the section. The results showed that the new design did improve water flow inside the grid section, which in the 341 past has been shown to contribute to reduced risk of blockage in the section (Sistiaga et al., 2016). The 342 effect of this was also clear from the underwater recordings showing no cod or haddock halting in front 343 of the grid section for more than a few seconds. Therefore, we assume that the new design will have 344 lower risk for grid clogging than the designs currently used in this fishery.

345 A relatively high proportion of fish (34–37%) was estimated to pass through the new grid section 346 without contacting any of the grids, thus these fish were not subject to a size selection process. This 347 effect with the new double steel grid section was apparently related to the replacement of the lifting 348 panel with a steel grid (grid<sub>1</sub>). First, because of its size and weight, grid<sub>1</sub> pressed the section's lower 349 panel down. This created a bigger opening under grid<sub>2</sub> (main grid) than that observed when using a lifting panel made of PE netting. Second, the greater porosity of grid<sub>1</sub> with respect to a PE lifting panel 350 351 significantly improved the water flow in the lowest part of the grid section. This strong water flow was 352 negatively correlated with the swimming ability of fish and consequently lowered the chances for the 353 individual fish to orient themselves to attempt escape through the grids. Underwater video recordings 354 consistently showed that many fish entering the grid area passed through the section without contacting 355 any of the grids. These observations are well supported by the contact values estimated for grid<sub>1</sub> and 356 grid<sub>2</sub> and the estimated combined contact values for the system (*C<sub>combined</sub>*), which were estimated to be 357 no higher than 63.47% for cod and 66.39% for haddock. Further, the upper confidence limit of three 358 out of the four combined contact estimates were significantly lower than 100 (all cases except cod with 359 low angle of grid<sub>1</sub>), which indicates that fish pass through the section without contacting any of the 360 grids.

361 When considering the performance of the lower grid (grid<sub>1</sub>) and the upper grid (grid<sub>2</sub>) 362 independently, the estimates for  $C_1$  were always lower than those for  $C_2$ . These differences, which were 363 significant for haddock, show that the performance of grid<sub>2</sub> is more important for the overall 364 performance of the grid system than the performance of grid<sub>1</sub>. This is reasonable because the selective 365 surface of grid<sub>2</sub> is twice as large as that of grid<sub>1</sub>. The estimates obtained for  $C_1$  and  $C_2$  also reveal that 366 cod was better at contacting the lower grid (grid<sub>1</sub>) than haddock and that haddock was better at 367 contacting the upper grid (grid<sub>2</sub>) than cod. This result is in accordance with the well documented 368 behavioral difference between cod and haddock: most cod pass through the trawl gear close to the 369 lower panel of the trawl, whereas haddock tend to swim closer to the upper panel of the trawl (e.g., 370 Engås et al., 1998; Ferro et al., 2007). These behavioral patterns were also confirmed during our video 371 observations. During the trials, we tested two different angles for grid<sub>1</sub> in an attempt to improve grid

372 contact (Fig. 1a). The results showed very little improvement in the overall retention of small fish when
373 the grid angle was increased from 35 and 40°.

The size selectivity of the new double steel grid system was compared to previous results obtained for the only mandatory grid system in the fishery that is composed of two grids (i.e., the Flexigrid). The new double grid was found to release significantly more haddock 38–50 cm long than the Flexigrid. For cod, the new double grid system was found to be at least as efficient as the Flexigrid at releasing undersized fish. Thus, the performance of the new double grid system represents a potential future alternative to the Flexigrid.

380 Comparison of the selectivity results obtained with the new double grid system with the selectivity 381 results obtained previously for the Sort-V grid system showed that the Sort-V system grid releases significantly more undersized cod and haddock than the new double grid system. However, the Sort-V 382 383 also releases a significantly higher proportion of fish above the minimum landing size (MLS). The 384 effectiveness of a grid can be measured as both its ability to release undersized fish and its ability to 385 retain fish above the MLS. No grid is able to deliver a knife edge selection curve with an L50 right on 386 the MLS and a SR of 0 cm. Therefore, the aim is to achieve a grid design that provides a good balance 387 between retaining as few fish below the MLS as possible and as many fish above the MLS as possible. 388 When comparing the new grid section to the compulsory Sort-V and Flexigrid systems, it appears that 389 its performance falls between the two legal grids used by fishermen.

The practical functioning of the new double steel grid section, its operation did not add any additional challenge compared to operation of a traditional Sort-V section. The dimensions of the new grid section were the same as that of the Sort-V section, and the additional weight due to the insertion of grid<sub>1</sub> in the section was barely noticeable in the operation process on board our research trawler.

Larsen et al. (2016) recently reported the size selective performance of the new double grid section for an important bycatch species (*Sebastes* spp.). They also found that the Sort-V grid was more effective at releasing undersized fish than the new double steel grid system, but that the new system was more efficient at retaining redfish of commercial sizes. These results are therefore somehow in line with those reported here for cod and haddock. No results for size selection of redfish are available for the Flexigrid.

400 Considering that the release efficiency for undersized fish is at least as good as one of the two 401 systems currently used, and better than the Sort-V to retain the targeted sizes, we consider the new 402 double grid design to be an acceptable alternative regarding its size selectivity to the existing systems. 403 Regarding the lower efficiency for releasing undersized fish compared to the Sort-V, one should also 404 consider that these grids are used in combination with a codend of minimum 130 mm mesh size which 405 subsequently will be able release a large proportion of the undersized fish retained after passing the 406 grid section.

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Fig. 1: Sorting grids that are mandatory in the Norwegian Sea (North of  $62^{\circ}N$ ) and the Barents Sea trawl fisheries: (a) Sort-X, (b) Sort-V, and (c) Flexigrid. The figure illustrates cod and haddock are in the aft of the trawl often observed swimming in the towing direction.

Fig. 2: a) Sketch of the double grid section used during the experiments. The two different angles tested for grid1 are illustrated. b) Dimensions of the two grids inserted in the section, grid1 (left) and grid2 (right). c) Picture showing a side view of the section. d) Picture taken from inside the section that illustrates the installation of grid1 and grid2.

Fig. 3: Sketch of the set-up used to collect selectivity data.

Fig. 4: a) Picture of the original 2-panel Sort-V section taken in a flume tank (Hirtshals, Denmark), where white arrows mark the position of the lifting panel. The white circle illustrates the lack of space between grid2 and the lower panel in the section. b) Picture of the double grid section tested in this study taken in the flume tank. The white circle illustrates that the grid does not press the section's lower panel and reduce the entrance to the codend in the same way as the original Sort-V grid design does (a). c) Picture of the double grid section tested in this study as observed during the sea trials. The white ellipse shows that there is an opening between grid2 and the lower panel (grid1) in the section.

Fig. 5: Underwater sequences that illustrate a) cod not contacting either of the two grids, b) cod contacting and escaping through grid1, and c) cod contacting and escaping through grid2.

Fig. 6: Underwater sequences that illustrate a) haddock not contacting either of the two grids, b) haddock contacting and escaping through grid1, and c) haddock contacting and escaping through grid2.

Fig. 7: Selectivity results for cod. Panels a, b, and c show respectively the escapement from grid1, escapement from grid2, and the retention of the grid section when grid1 was configured at a low angle (35°). Panels d, e, and f show respectively the escapement from grid1, escapement from grid2, and the retention of the grid section when grid1 was configured at a high angle (40°). Circle-marks represent the experimental rates, and the thick black curve represents the modelled rate. The stippled curves represent 95% confidence limits for the modelled rate. The grey curve represents the size distribution of cod in the respective compartments GC1, GC2, and CC (Fig. 2).

Fig. 8: Selectivity results for haddock. Panels a, b, and c show respectively the escapement through grid1, escapement through grid2, and the retention of the grid section when grid1 was configured at a low angle (35°). Panels d, e, and f show respectively the escapement from grid1, escapement from grid2, and the retention of the grid section when grid1 was configured at a high angle (40°). Circle-marks represent the experimental rates, and the thick black curve represents the modelled rate. The stippled curves represent 95% confidence limits for the modelled rate. The grey curve represents the size distribution of cod in the respective compartments GC1, GC2, and CC (Fig. 2).

Fig. 9: Size selection for grid<sub>1</sub> and grid<sub>2</sub> conditioned that the fish enters the grid zone. Grid<sub>1</sub>: grey curve. Grid<sub>2</sub>: black curve. Combined for both grids: white circle marks. Stippled curves represent 95% confidence limits.

Fig. 10: Retention for both grids combined. For grid1 with low angle  $(35^\circ)$ : black. For grid1 with high angle  $(40^\circ)$ : grey.

Fig. 11: Comparison of the double grid retention probability (black) with the retention probability for the Flexigrid system (grey). From top, Flexigrid results from trials at Hopen (Hopen Island) for cod, Bjørnøya (Bear Island) for cod, and Bjørnøya for haddock. Stippled curves represent 95% confidence limits and vertical lines are minimum landing sizes for cod (44 cm) and haddock (40 cm).

Fig. 12: Comparison of the double grid retention probability (black) with the retention probability for the Sort-V grid system: grey curve (from Sistiaga et al., 2010), white circles (from Grimaldo et al., 2015). Stippled curves represent 95% confidence limits and vertical lines are minimum landing sizes for cod (44 cm) and haddock (40 cm).

































FIG. 11



not defined.				
	Cod		Haddock	
	Low angle (35°)	High angle (40°)	Low angle (35°)	High angle (40°)
number hauls	8	10	8	11
n escaped first grid	27	99	121	121
n escaped second grid	50	154	479	780
n retained	1282	1660	2454	3100
L50 <sub>combined</sub> (cm)	43.12 (* - 47.59)	45.58 (39.51-49.86)	41.07 (*-43.67)	43.39 (41.67-44.57)
SR <sub>combined</sub> (cm)	*(*-15.65)	*(*-21.09)	*(*-11.59)	*(*-13.58)
$L50_1 = L50_2$ (cm)	47.95 (41.51-50.53)	49.25 (39.88-52.19)	46.40 (42.91-48.47)	46.29 (44.71-47.89)
$SR_1 = SR_2$ (cm)	6.78 (2.91-10.88)	7.40 (4.14-12.62)	6.51 (4.90-8.33)	6.21 (5.01-7.31)
C1 (%)	21.02 (8.91-65.79)	26.24(18.65-52.86)	11.95 (3.67-32.97)	9.11 (6.29-11.84)
C <sub>2</sub> (%)	47.75 (35.55-100)	50.48 (37.18-97.89)	51.64 (43.55-68.70)	63.02 (50.76-78.65)
ΔC (%)	26.73 (-10.65-46.98)	22.09 (6.84-37.34)	39.69 (20.04-54.11)	53.92 (41.08-68.75)
Ccombined (%)	58.73 (47.09-100)	63.47 (52.05-99.12)	57.42 (47.20-75.92)	66.39 (54.56-80.62)
p-value	1.0000	1.0000	0.9930	0.8500
deviance	50.70	58.15	55.53	72.51
DOF	184	172	84	86

Table 1: Selectivity results and fit statistics for the constrained model. Values in () are 95% confidence interval. \*: not defined.