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Abstract: Trawlers targeting Deep-water Shrimp (*Pandalus borealis*) in the North Atlantic use a Nordmøre sorting grid ahead of a small-meshed codend. Based on experimental fishing, the effect of adding a 9 mm spaced release grid behind the mandatory 19 mm spaced Nordmøre sorting grid, was determined. The performance in terms of size selection of the release grid and the two grids combined were assessed for target Deep-water Shrimp and for juvenile Redfish (*Sebastes* spp.) and American Plaice (*Hippoglossoides platessoides*), two of the most common bycatch species in the fishery. The aim of using the release grid was to improve the escape of undersized shrimp and the bycatch of juvenile fish from the gear. The results demonstrated that the release grid improved the escape of the smallest Deep-water Shrimp significantly. The fraction of small shrimp released through this grid was estimated to be 45 %. However, the results also revealed the need for further improvements in the design of the release grid to increase the reduction of small shrimp and juvenile fish bycatch. For Redfish and American Plaice the fractions of juveniles escaping through the release grid were estimated to be 16% and 32%, respectively. In addition, the release grid only led to the escape of the smallest juvenile individuals, in particular for Redfish.

# 1 **Bycatch reduction in the Norwegian Deep-** 2 **water Shrimp (*Pandalus borealis*) fishery** 3 **with a double grid selection system**

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## 11 **Abstract**

12 Trawlers targeting Deep-water Shrimp (*Pandalus borealis*) in the North Atlantic use a  
13 Nordmøre sorting grid ahead of a small-meshed codend. Based on experimental fishing, the  
14 effect of adding a 9 mm spaced release grid behind the mandatory 19 mm spaced Nordmøre  
15 sorting grid, was determined. The performance in terms of size selection of the release grid  
16 and the two grids combined were assessed for target Deep-water Shrimp and for juvenile  
17 Redfish (*Sebastes* spp.) and American Plaice (*Hippoglossoides platessoides*), two of the most  
18 common bycatch species in the fishery. The aim of using the release grid was to improve the  
19 escape of undersized shrimp and the bycatch of juvenile fish from the gear. The results  
20 demonstrated that the release grid improved the escape of the smallest Deep-water Shrimp  
21 significantly. The fraction of small shrimp released through this grid was estimated to be 45  
22 %. However, the results also revealed the need for further improvements in the design of the  
23 release grid to increase the reduction of small shrimp and juvenile fish bycatch. For Redfish  
24 and American Plaice the fractions of juveniles escaping through the release grid were

25 estimated to be 16% and 32%, respectively. In addition, the release grid only led to the escape  
26 of the smallest juvenile individuals, in particular for Redfish.

27 *Keywords:* Bycatch, Nordmøre grid, *Pandalus borealis*, Shrimp, Size selectivity

## 28 **1. Introduction**

29 Deep-water Shrimp (*Pandalus borealis*) is a commercially important species which has been  
30 fished in the North Atlantic since the 1970s. Peak landings of 105.000 – 128.000 metric tons  
31 were recorded from ICES areas I and II of the Northeast Atlantic during the mid-1980s  
32 (ICES, 2017). In Norway and many other countries in the area, shrimp fisheries are often  
33 associated with a serious bycatch problem (Howell and Langan, 1992; Isaksen et al., 1992;  
34 Grimaldo and Larsen, 2005). The bycatch issues are usually related to catching non-target fish  
35 species, however in some areas the excessive catch of small and undersized shrimp also  
36 represents a serious problem (He and Balzano, 2013; Larsen et al., 2018a). The bycatch  
37 problem in shrimp trawl fisheries is linked to the small mesh size used in the trawl (minimum  
38 diamond mesh size of 35 mm), which leaves little or no chance of escape for fish or shrimp  
39 once they have entered the fishing gear (Grimaldo and Larsen, 2005). The Nordmøre grid was  
40 introduced to the Norwegian and Russian shrimp fisheries in the early 1990s, eliminating the  
41 problem of bycatch of fish larger than 25-30 cm total length (Isaksen et al., 1992; Fonseca et  
42 al., 2005; Grimaldo, 2006). Today, the Nordmøre grid is used in several shrimp fisheries  
43 around the world including Iceland, USA, Canada and Australia (Gabriel et al., 2005; Eayrs,  
44 2007). The maximum bar spacing for the Nordmøre grid in Norway is currently 19 mm,  
45 which does not allow bigger fish to pass through it. These fish escape by swimming out or  
46 simply sliding along the grid, before being released through the escape opening in the upper  
47 panel of the grid section. Although most fish are released through the escape opening, small-

48 sized fish and juveniles of various species are still able to pass through the grid and risk being  
49 retained in the codend together with the targeted shrimp (Larsen et al., 2017).

50 More than 25 years after the introduction of the Nordmøre grid in the Norwegian shrimp  
51 fishery, there are still serious concerns regarding the bycatch of juvenile fish in all Norwegian  
52 shrimp fishery areas (Gullestad et al., 2015). In the Northeast Atlantic, the regulations in this  
53 fishery allow the retention of low numbers of juvenile fish from regulated species. Areas are  
54 closed if samples from 10 kg of shrimp catch exceed eight Cod (*Gadus morhua*), 20 Haddock  
55 (*Melanogrammus aeglefinus*), three Redfish (*Sebastes* spp.) or three Greenland Halibut  
56 (*Reinhardtius hippoglossoides*) (Norwegian Directorate of Fisheries, 2018a). Bycatch of  
57 Deep-water Shrimp below the minimum landing size (15 mm carapace length) cannot exceed  
58 10% by weight. The bycatch rules have frequently led to the temporary closures of several  
59 large shrimp fishing grounds in the Northeast Atlantic during the last 30 years (Gullestad et  
60 al., 2015; Norwegian Directorate of Fisheries, 2018b). Because these closures can last for  
61 weeks, they can have substantial economic impacts for the fishing fleet as they may lose  
62 access to the areas with high densities of shrimp, and distances between potential fishing  
63 grounds are increased.

64 In recent years, efforts to reduce juvenile fish bycatch in shrimp trawls in the Northeast  
65 Atlantic have increased. This applies to the deep-sea fleet with vessels >50 m length overall  
66 (e.g. Larsen et al., 2017; Larsen et al., 2018a; Larsen et al., 2018b), as well as inshore  
67 fisheries with smaller vessels. There are often small variations in the technical and gear  
68 related regulations for these vessel groups, but the selective gear is always comprised of a  
69 Nordmøre sorting grid and a size selective codend built from diamond mesh, square mesh, T-  
70 90 mesh or other mesh configurations. Both deep-sea and inshore fisheries face the same  
71 challenge in terms of fish bycatch and have a common aim of finding solutions to reduce the  
72 bycatch of juvenile fish without increasing the loss of marketable shrimp. Bycatch of

73 undersized shrimp and area closures are more common in the inshore and coastal shrimp trawl  
74 fisheries (Norwegian Directorate of Fisheries, 2018b), therefore solutions that could reduce  
75 the catch of the smallest sizes of shrimp in addition to reducing the bycatch of juvenile fish  
76 are sought.

77 Excluding juvenile fish and shrimp from the gear has many advantages. Apart from the  
78 obvious environmental advantages and increased compliance with regulations, removing any  
79 kind of unwanted catch reduces the amount of labor onboard. Sorting bycatch from the target  
80 shrimp is time consuming and can also have repercussions on the quality of the target species.  
81 To solve the aforementioned challenges, the effects of a 9 mm grid spaced release grid  
82 installed behind the 19 mm spaced Nordmøre grid was tested. The aim was to investigate the  
83 sorting performance of this release grid and to determine whether it could improve the gear  
84 selectivity in comparison with the Nordmøre grid alone. This study investigated the following  
85 research questions:

- 86 • Does the release grid installed behind the Nordmøre grid improve overall selectivity of  
87 juvenile fish and small shrimp compared to the Nordmøre grid alone?
- 88 • What is the contribution of each of the grids to the overall selectivity in the combined  
89 system using both grids?
- 90 • What fraction of small shrimp and juvenile fish bycatch that passes through the  
91 Nordmøre grid is then size selected by the release grid?

## 92 **2. Materials and Methods**

### 93 *2.1 Vessel, area, time and gear set-up*

94 Fishing trials were performed on board the research trawler (R/V) "Helmer Hanssen" (63.8 m  
95 length overall and 4,080 HP engine) from the 22<sup>nd</sup>–24<sup>th</sup> of February 2016. The fishing ground  
96 chosen for the experiments was located in the North of the Barents Sea (N 76°06'–E 35°12' to  
97 76°04'–E 35°40') at depths of 268–278 m. Fishing trials were carried out using a Campelen

1800# trawl built entirely from 80 mm (wings) to 40 mm (aft belly section) diamond meshes (Ø4 to Ø2 mm polyethylene twines). We used a set of Thyborön T2 otter boards (6.5 m<sup>2</sup> and 2,200 kg) with a 20 m long restrictor rope linked between the warps 80 m in front of the doors. The function of the restrictor rope was to keep the distance between the doors at 48–52 m independent on variations in towing speed and depth. A pair of Scanmar distance (door) sensors and a Scanmar height sensor were used to monitor the door spread and height of the trawl. The height of the trawl was between 4.5 m and 4.8 m at a towing speed of 3.0–3.2 knots (1.54–1.65 m<sup>s</sup>). The design used 40 m sweeps and a 19.2 m long fishing line with a rockhopper gear comprised by three sections with Ø46 cm rubber discs.

A four-panel standard sorting grid section, consisting of a guiding panel and a Nordmøre grid, was inserted between the trawl belly and the codend, with a fish escape opening in the upper panel just in front of the grid (Fig. 1).

#### 110 FIG 1

Fishing trials were carried out with a combined selection system comprised of the Nordmøre grid followed by a release grid installed in the lower panel of the section. The codend was blinded with small meshed (6 mm) netting. The 19 mm Nordmøre grid was made of stainless steel. It was 1.5m high, 0.75 m wide, built with Ø10 mm bars and an outer Ø20 mm steel bar frame. It was mounted so that it would maintain an angle of 45° while fishing. The mean ± SD bar spacing in the Nordmøre grid, measured with a caliper, was 18.8 ± 0.4 mm (based on 40 measurements). The fish escape opening on the top panel just in front of the Nordmøre grid was cut as a 70 bar long and 70 mesh wide triangle, equivalent to 1.6 m long by 0.75 m wide (Fig. 1).

The 9 mm release grid installed behind the Nordmøre grid was 0.6 m wide and 1.2 m long. It was mounted in the section with an operating angle of ca. 20° and covered ~40% of the section's height (Fig. 2). A small-meshed leader panel led the escaping shrimp and fish out

123 from the opening in the lower panel. The bar spacing in the release grid was measured to be  
124  $9.0 \pm 0.7$  mm (based on 40 measurements). The working principle of this release grid is the  
125 opposite to that of the Nordmøre grid, as fish and shrimp that pass through the Nordmøre grid  
126 and manage to contact and pass through the release grid, escape the gear.

127 FIG. 2

128 Fish and shrimp escaping from Nordmøre grid and the release grid, were collected using two  
129 separate covers (mesh size  $18.9 \pm 1.2$  mm) mounted over each of the grids (Fig. 2). To inflate  
130 the covers, seven Ø200 mm plastic floats on cover 1 and ca. 8 kg of chain weights on cover 2  
131 were used. The fish and shrimp that passed through the Nordmøre grid and did not pass  
132 through the release grid, ended up in the blinded codend.

133 All hauls were conducted in the same fishing area, during the same cruise. The catch in the  
134 compartments (blinded codend and grid covers), was sorted by species for each haul. The  
135 length of each fish was measured and sorted into 1 cm wide length groups for fish total length  
136 and 1 mm wide carapace length groups for shrimp. Thus, the catch data consisted of count  
137 numbers of individuals of the different species collected in each of the compartments (cover  
138 1, cover 2 and blinded codend).

## 139 *2.2 Size selection model*

140 The size selection system employed during the cruise consisted of two contiguous sorting  
141 grids:

142 i) The first grid was the (19 mm) Nordmøre grid. If the fish and shrimp did not pass  
143 through this grid, they were released through the escape opening in the upper panel  
144 and ended up being retained in cover 1. For a shrimp or fish to pass through the  
145 grid, two conditions need to be fulfilled: a) the fish or shrimp need to contact the  
146 grid, and b) they morphologically need to be able to pass between the bars of the

147 grid, which is dependent on their size and which orientation they come in contact  
148 with the grid.

149 ii) If fish or shrimp pass through the first grid, provided they stay in the lower part of  
150 the trawl section, they continue towards the release grid. As is the case for the  
151 Nordmøre grid, to pass through the release grid the fish or shrimp need to contact  
152 the grid and be able to morphologically pass between the bars. The process in the  
153 release grid differs from the Nordmøre grid, with individuals that pass through the  
154 release grid escaping the gear. Those that do not pass through the release grid are  
155 retained in the blinded codend.

156 Therefore, for a fish or a shrimp to be retained in the blinded codend ( $r_{codend}(l)$ ), it would first  
157 have to pass through the Nordmøre grid, and subsequently not be released by the release grid.

158 Therefore, the combined size selection can be modeled by:

$$159 \quad r_{codend}(l) = p_{NG}(l) \times (1.0 - p_{RG}(l)) \quad (1)$$

160 where  $l$  denotes the total length of the fish or the carapace length of the shrimp.  $p_{NG}(l)$   
161 denotes the probability of a fish or shrimp entering the zone of the Nordmøre grid and  
162 passing through it, while  $p_{RG}(l)$  denotes the probability of a fish or shrimp escaping through  
163 the release grid given that it first passed through the Nordmøre grid. For both grids, the  
164 possibility that some fish or shrimp may not contact the grid at all is accounted for by fish and  
165 shrimp size independent contact parameters  $C_{NG}$  and  $C_{RG}$ , which can have a value ranging  
166 from 0.0 to 1.0. A value close to 1.0 mean that most fish or shrimp make contact with the  
167 grid. For those fish and shrimp making contact with the grid, we assume a logit size selection  
168 model to describe the size dependent probability of pass through the grid, following (Larsen et  
169 al., 2016; Larsen et al., 2018a). Based on this, the following models were obtained for  $p_{NG}(l)$   
170 and  $p_{RG}(l)$ :



$$\begin{aligned}
171 \quad p_{NG}(l, C_{NG}, L50_{NG}, SR_{NG}) &= \frac{C_{NG}}{1.0 + \exp\left(\frac{\ln(9)}{SR_{NG}} \times (l - L50_{NG})\right)} \\
p_{RG}(l, C_{RG}, L50_{RG}, SR_{RG}) &= \frac{C_{RG}}{1.0 + \exp\left(\frac{\ln(9)}{SR_{RG}} \times (l - L50_{RG})\right)}
\end{aligned} \quad (2)$$

172 Model (2) considers that the probability of an individual passing through the grid, given that it  
173 contacts the grid, is length-dependent and decreases for larger individuals. The symbol  $l$   
174 denotes the length of the individual,  $L50_{NG}$  denotes the length at which there is a 50%  
175 probability of being prevented from passing through the Nordmøre grid. The selection range  
176 ( $SR_{NG}$ ) describes the difference in length between individuals with a 75% and 25% probability  
177 of being prevented from passing through the Nordmøre grid.  $L50_{RG}$  and  $SR_{RG}$  models for the  
178 release grid are the same as the  $L50_{RN}$  and  $SR_{RN}$  models for the Nordmøre grid.

179 Fish or shrimp that do not pass through the Nordmøre grid are collected in the cover of the  
180 Nordmøre grid (cover 1). This probability  $e_{NG}(l, C_{NG}, L50_{NG}, SR_{NG})$  is expressed by:

$$181 \quad e_{NG}(l, C_{NG}, L50_{NG}, SR_{NG}) = 1.0 - p_{NG}(l, C_{NG}, L50_{NG}, SR_{NG}) \quad (3)$$

182 For a fish or shrimp to be collected in the cover of the release grid (cover 2), they first needs  
183 to pass through the Nordmøre grid and subsequently escape through the release grid.  
184 Therefore, the probability for this  $e_{RG}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})$  can be  
185 expressed by:

$$\begin{aligned}
186 \quad e_{RG}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG}) &= \\
187 \quad p_{NG}(l, C_{NG}, L50_{NG}, SR_{NG}) \times p_{RG}(l, C_{RG}, L50_{RG}, SR_{RG}) &\quad (4)
\end{aligned}$$

188 As species entering the trawl differ in behavior and morphology, models (1)–(4) need to be  
189 applied separately for each different species. Therefore, the six parameters  $C_{NG}$ ,  $L50_{NG}$ ,  $SR_{NG}$ ,  
190  $C_{RG}$ ,  $L50_{RG}$  and  $SR_{RG}$  need to be estimated for each species to be able to describe the size  
191 selection in the double grid system.

### 192 2.3 Data analysis and parameter estimation

193 As one of the aims of this study was to determine how the Nordmøre grid combined with the  
 194 release grid performed on average over the hauls conducted, the analysis included data  
 195 summed over hauls  $j$ . The analyses were conducted separately for each species. Therefore,  
 196 expression (5) was minimized, which is equivalent to maximizing the likelihood for the  
 197 observed data in the form of the length-dependent number of individuals retained in the  
 198 codend ( $nC_l$ ) versus those collected in the Nordmøre grid cover ( $nNG_l$ ) and in the release grid  
 199 cover ( $nRG_l$ ):

$$\begin{aligned}
 & - \sum_{j=1}^m \sum_l \left\{ \frac{nC_{jl}}{qC_j} \times \ln(r_{codend}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})) + \frac{nNG_{jl}}{qNG_j} \times \right. \\
 & \ln(e_{NG}(l, C_{NG}, L50_{NG}, SR_{NG})) + \frac{nNG_{jl}}{qNG_j} \times \\
 & \left. \ln(e_{RG}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})) \right\} \quad (5)
 \end{aligned}$$

203 where  $qC_j$ ,  $qNG_j$  and  $qRG_j$  are the sampling factors for the fraction of individuals length  
 204 measured in the codend catch and the two grid cover catches, respectively. The sampling  
 205 factors comprise a value in the range 0.0 to 1.0 (1.0 if all individuals are length measured).

206 The outer summation in expression (5) is over the hauls conducted and the inner summation is  
 207 over length classes in the data. The probabilities

208  $r_{codend}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})$ ,  $e_{NG}(l, C_{NG}, L50_{NG}, SR_{NG})$  and  
 209  $e_{RG}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})$  are given by models (1)–(4).

210 Evaluating the ability of models (1)–(4) to describe the data sufficiently was based on  
 211 calculating the corresponding p-value. In case of poor fit statistics (p-value < 0.05), the  
 212 residuals were inspected to determine whether the poor result was due to structural problems  
 213 when modelling the experimental data [models (1) – (4)], or due to over-dispersion in the  
 214 data (Wileman et al., 1996).

215 The maximum likelihood estimation using expression (5) with models (1)–(4) requires the  
216 aggregation of the experimental data from all hauls. This results in stronger data for  
217 estimating average size selectivity, but comes at the expense of not considering explicit  
218 variation in selectivity between hauls (Fryer, 1991). To account for the effect of between-haul  
219 variation in the uncertainty of the size selectivity parameters estimated, Efron percentile  
220 confidence intervals were estimated using a double bootstrap method with 1000 bootstrap  
221 iterations (Chernick, 2007; Efron, 1982). The method was applied to both the estimated  
222 parameters in expression (5) and the curves for  $e_{NG}(l, C_{NG}, L50_{NG}, SR_{NG})$ ,  
223  $e_{RG}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})$ , and  
224  $r_{codend}(l, C_{NG}, L50_{NG}, SR_{NG}, C_{RG}, L50_{RG}, SR_{RG})$ . The software tool SELNET (Herrmann et  
225 al., 2012) was used to carry out all selectivity data analyses.

226 Using the values of the selection parameters for the Nordmøre grid ( $C_{NG}, L50_{NG}, SR_{NG}$ ) and  
227 the release grid ( $C_{RG}, L50_{RG}, SR_{RG}$ ) in model (2), size selection curves for the two grids for  
228 stand-alone deployments were obtained. Incorporating this estimation into the bootstrapping  
229 procedure described earlier, 95% confidence limits for the grid's stand-alone size selection  
230 curves were also produced.

### 231 **3. Results**

#### 232 *3.1 Collected data*

233 Data from all eight hauls were used in the analyses. Trawling time was kept as constant as  
234 possible at ~1 h (range 60–64 min). During the trials, two common and important bycatch fish  
235 species (Redfish and American Plaice) were caught in sufficient numbers to be included in the  
236 investigation. All individuals of these two species were length-measured and included in the  
237 analyses. A total of 2667 Redfish and 5430 American Plaice were measured (Table 1), and no  
238 sub-sampling was performed. Catches of Deep-water Shrimp had to be subsampled due to the

239 volume of shrimp in the catches (subsampling ratios varied between 0.77% and 100.00%),  
240 with 4617 individuals measured.

241 TABLE 1.

### 242 *3.2 Size selectivity for Deep-water Shrimp*

243 For Deep-water Shrimp, the models used to estimate the length dependent probability for  
244 shrimp being collected in the Nordmøre grid cover, the release grid cover and the blinded  
245 codend represented the trends in the experimental data well (Fig. 3).

246 FIG 3.

247 Therefore, despite the low p-value obtained for model fit (Table 2), models (1)–(4) could be  
248 used to describe the size selection of Deep-water Shrimp both for the combined system (both  
249 grids), and for the two grids individually. The low p-value was assumed to be due to over-  
250 dispersion in the data, probably caused by the use of subsampled data pooled over hauls. This  
251 phenomenon has been observed in previous studies (Brčić et al., 2015, Alzorriz et al., 2016,  
252 Notti et al., 2016). The Nordmøre grid passage probability was high. This was manifested in a  
253  $C_{NG}$  value of 98%, with a relatively high value for the lower confidence limit (Table 2). This  
254 was also reflected by the fact that the probability of being collected in the Nordmøre grid  
255 cover was very low for all sizes except for the biggest shrimp (Fig. 3). The results show that  
256 the release grid significantly increased the escape of small shrimp (< 20 mm carapace length)  
257 compared to the Nordmøre grid alone (Fig. 4).

258 TABLE 2

259 FIG 4

260 The release efficiency of the smallest shrimp is quantified by a  $C_{RG}$  value of 45%. This value  
261 demonstrates that there is potential to further increase the escape efficiency of the release  
262 grid. The bar spacing (9 mm) used for the release grid demonstrated the ability for shrimp up  
263 to ~20 mm carapace length to escape, which is quantified by  $L50_{RG}$  and  $SR_{RG}$  at 18.12 and  
264 1.96 mm, respectively (Table 2). This implies a release probability of 25%, 50%, and 75% for  
265 shrimp with 17, 18, and 19 mm carapace length, respectively, conditioned the individual  
266 contacted the release grid.

### 267 *3.3 Size selectivity for Redfish*

268 For Redfish, the models used to estimate the length dependent probability to be collected in  
269 the Nordmøre grid cover, the release grid cover and the blinded codend, respectively,  
270 represented the trends in the experimental data well (Fig. 3). This is further supported by a p-  
271 value of 0.5871, which implies that the deviation between the curves modelled and the  
272 experimental rates can well be due to coincidence (Table 2). Therefore, models (1)–(4) can be  
273 used to describe the size selection of Redfish for the combined selection system with both  
274 grids and for the two grids individually. For the Nordmøre grid, passage probability was high  
275 for the smallest Redfish. This is manifested in a high  $C_{NG}$  value of 87 % and the relatively  
276 high value for the lower confidence limit (Table 2). This is also reflected in the low  
277 probability of being collected in the Nordmøre grid cover for Redfish up to ~ 10 cm. This  
278 probability increased continuously for Redfish between 10 and 18 cm, reaching ~ 100% for  
279 individuals above 20 cm (Fig. 3). This is driven by the size selective properties of the  
280 Nordmøre grid with 19 mm bar spacing quantified by the parameters  $L50_{NG}$  and  $SR_{NG}$  at 13.54  
281 mm and 3.61 mm, respectively (Table 2). It seems that release grid probably only leads to a  
282 slight increase in the exclusion of very small Redfish (< 10 cm) compared to the Nordmøre  
283 grid alone (Fig. 4). The limited escape efficiency for the smallest Redfish with the release grid  
284 is quantified by  $C_{RG}$  and estimated to be only 16%. However, this percentage showed wide

285 confidence limits (Table 2). The size selectivity curve for the release grid (Fig. 4)  
286 demonstrates the need to improve the contact with it for Redfish, if this grid is supposed to  
287 contribute to the release of small Redfish during shrimp trawling. Further, the estimated  
288  $L50_{RG}$  at 7.68 cm demonstrates that the 9 mm bar spacing of the release grid only supports the  
289 escape of very small Redfish (Table 2).

### 290 *3.4 Size selectivity for American Plaice*

291 For American Plaice, the models used to estimate the length dependent probability of being  
292 collected in the Nordmøre grid cover, the release grid cover and the blinded codend,  
293 respectively, represented the trends in the experimental data well (Fig. 3). This is further  
294 supported by a p-value of 0.9988, which implies that the deviation between the curves  
295 modelled and the experimental rates are likely due to coincidence (Table 2). Therefore,  
296 models (1)–(4) can be used to describe the size selection of American Plaice for the combined  
297 selection system with both grids and for the two grids individually. The Nordmøre grid  
298 passage probability was very high for the smallest American Plaice. This is manifested in a  
299  $C_{NG}$  value of 100% with a very high value for the lower confidence limit (Table 2). This was  
300 also reflected by the low probability of American Plaice up to 8 cm in length being collected  
301 in the Nordmøre grid cover. The probability of being collected in the Nordmøre grid cover  
302 increased continuously for American Plaice between 8 and 30 cm, with individuals over 30  
303 cm having ~100% probability of collection (Fig. 3). This is driven by the size selective  
304 properties of the Nordmøre grid with the given 19 mm bar spacing being quantified by the  
305 parameters  $L50_{NG}$  and  $SR_{NG}$  at 18.22 and 8.45 mm, respectively (Table 2). The release grid  
306 leads to an increase in escape of very small American Plaice (< 10 cm) compared to the  
307 Nordmøre grid alone (Fig. 4). This escape efficiency for the smallest American Plaice through  
308 the release grid is quantified by  $C_{RG}$  and is estimated to be 32%. This value demonstrates the  
309 need to improve the contact with the release grid for American Plaice, if this grid is to

310 contribute to the escape of small American Plaice while fishing. Further, the estimated  $L50_{RG}$   
311 and  $SR_{RG}$  at 10.14 cm and 4.00 cm, respectively (Table 2), show that the release grid only  
312 supports the release of American Plaice up to about 15 cm. At this size, the release probability  
313 for American Plaice making contact with the grid will be  $< 7\%$ .

#### 314 **4. Discussion**

315 The introduction of the Nordmøre grid in shrimp fisheries has alleviated the challenge posed  
316 by bycatch (Gullestad et al., 2015; Isaksen et al., 1992; Richards and Hendrickson, 2006).  
317 However, several studies have reported that the Nordmøre grid alone does not always provide  
318 satisfactory release of fish juveniles (Clark et al., 2000; He and Balzano, 2007; Larsen et al.,  
319 2018b). Due to the design of the grid, the smallest fish and shrimp are still able to pass through  
320 the grid and be retained by the gear. For this reason, the Nordmøre grid is combined with a  
321 size selective codend. However, the combination of these two sorting devices is often not  
322 enough to provide satisfactory selectivity results and the authorities and scientific community  
323 continue to investigate potential solutions. Larsen et al. (2018a) evaluated the selectivity of a  
324 19 mm Nordmøre grid and a 35 mm diamond mesh codend in the Barents Sea shrimp fishery.  
325 The authors concluded that "fish within a limited size range and undersized shrimp retained in  
326 the 35mm codend will continue to be a problem for the northern shrimp fleet".  
327 There have been attempts to improve selectivity in shrimp trawls by modifying the design of  
328 the Nordmøre grid (e.g. Grimaldo and Larsen, 2005; He and Balzano, 2011), by inserting an  
329 release grid in front of the Nordmøre grid (He and Balzano, 2007; He and Balzano, 2012), and  
330 by combining both approaches (He and Balzano, 2013). Some authors have also carried out  
331 sea trials where a grid with small bar spacing was installed behind the Nordmøre grid (e.g.  
332 Brothers and Boulos, 1996), but to our knowledge these data have not been scientifically  
333 reported. Therefore, no scientific information is available regarding the effect of inserting a  
334 grid with small bar spacing behind the Nordmøre grid. The new design tested in this study has

335 the obvious advantage of a reduced risk of clogging the release grid by seaweed, clay, debris,  
336 etc. entering the trawl as most of it is sorted by the Nordmøre grid. Moreover, when the grids  
337 are installed in this sequence, the grid with small bar spacing is only exposed to the size  
338 distributions of shrimp and fish that are relevant for its sorting process (i.e. the smallest sizes).  
339 An additional potential challenge that is avoided by installing the release grid behind the  
340 Nordmøre grid is the release grid acting as a lifting panel reducing the contact of all fish and  
341 shrimp with the Nordmøre grid, which would be the case if installed ahead of the Nordmøre  
342 grid (He and Balzano, 2007). However, there can also be disadvantages when installing the  
343 grids in this sequence, such as reduced water flow after the Nordmøre grid (FTU, 1996).  
344 He and Balzano (2007) added a grid with 11 mm bar spacing in front of a 25 mm Nordmøre  
345 grid and reported a reduction in counts of small shrimp by 38–45 kg<sup>-1</sup>, with a mean catch rate  
346 reduction of 16%–39%. In the present study, the selectivity performance of a release grid with  
347 9 mm bar spacing behind the Nordmøre grid was investigated for two of the important and  
348 dominant bycatch species in addition to Deep-water shrimps in the Norwegian fishery. Our  
349 results proved an improvement in the overall selectivity with the new design (Fig. 4). The  
350 results showed that 45% of the shrimp that passed through the Nordmøre grid, contacted the  
351 release grid, which led to a significant increase in the escape of small shrimp (< 20 mm  
352 carapace length) compared to the Nordmøre grid alone.

353 The results of the current study confirm that adding an additional escape opportunity behind  
354 the Nordmøre grid can also be an effective way of reducing the amount of small shrimp below  
355 minimum landing size in the catch, thereby improving the overall selectivity of the gear. For  
356 American Plaice, and in particular Redfish, the fraction that passes through the Nordmøre grid  
357 and escape through the release grid was more limited and estimated to be 32% for American  
358 Plaice and 16% for Redfish. Although the contribution of the release grid was significant for



359 American Plaice up to ca. 12 cm, the results clearly show that there is a substantial potential  
360 for improvement for these two species, in particular Redfish.

361 Regarding the contribution of each of the grids to the overall selectivity of the gear, it is clear  
362 that both grids serve different purposes. While the Nordmøre grid is directed towards the  
363 release of fish bycatch and other unwanted marine fauna that may enter the trawl, the role of  
364 the release grid is directed towards size selectivity, specifically of the smallest shrimp and  
365 fish. The more bycatch that can escape through the release grid without losing any of the  
366 marketable shrimp, the better for the industry. The results show that the fraction of small  
367 shrimp escaping through the release grid (45%) can be improved, but to achieve this, a higher  
368 fraction of shrimp contact with the release grid is required. There are three ways of improving  
369 grid contact; i) either by adding a guiding funnel that directs shrimp and fish passing through  
370 the Nordmøre grid towards the release grid, ii) making the angle of the release grid steeper, or  
371 iii) increasing the length of the release grid to cover a larger area. However, there are issues  
372 with these potential solutions. Adding a guiding funnel can substantially reduce water flow in  
373 an area where water the flow is already low due to the presence of the Nordmøre grid  
374 (Grimaldo and Larsen, 2005), increasing the inclination of the release grid can lead to grid  
375 clogging, i.e. shrimp and small fish get stuck between bars. In addition, a longer release grid  
376 may create handling problems due to the increased weight and dimensions.

377 The bar spacing of the grid is another parameter that can be changed to influence the  
378 selectivity of the release grid (He and Balzano, 2012). In principle, changing this parameter  
379 will not improve the contact of the shrimp or juvenile fish with the grid, but it can change the  
380 selectivity pattern of it and consequently the gear in one direction or another, depending on  
381 whether the bar spacing of the release grid is increased or decreased. The bar spacing of 9 mm  
382 used in these trials seemed to work well for the minimum landing size of 15 mm carapace

383 length for Deep-water Shrimp in Norwegian waters. The retention probability for Deep-water  
384 Shrimp starts to increase from ~15 mm carapace length (Fig. 4).

385 The overall results obtained in this study show that the escape of fish through the release grid  
386 installed behind the Nordmøre grid was not satisfactory to adhere to the current strict bycatch  
387 rules. The contact of juvenile fish was low and major improvements are necessary to reach a  
388 reduction in fish bycatch that enables the fleet to work year-round at any fishing ground.

389 However, while the release of juvenile fish from shrimp trawls is a major issue that receives a  
390 lot of attention, the main purpose of the release grid tested in our experiments is to optimize  
391 the size selection of the shrimp. Therefore, changes to the design of this release grid will  
392 always be constrained by the need to maintain acceptable size selectivity for shrimp.

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**Tables:**

Table 1: Overview of the fish and shrimp length measured in the hauls carried out during the trials.  $nC$ : number in the codend.  $nNG$ : number in the Nordmøre grid cover.  $nRG$ : number in the release grid cover. For Deep-water Shrimp values in parentheses represent the fraction (%) of the total number of individuals caught being length measured ( $qNG$ ,  $qRG$  and  $qC$  times 100). For Redfish and American Plaice all individuals caught were length measured.

Haul ID	Deep-water Shrimp			Redfish			American Plaice		
	$nNG$	$nRG$	$nC$	$nNG$	$nRG$	$nC$	$nNG$	$nRG$	$nC$
1	123 (9.34)	259 (37.20)	153 (1.64)	242	1	67	424	14	182
2	133 (100.00)	320 (36.33)	162 (1.54)	174	1	91	417	19	301
3	100 (39.16)	321 (16.64)	194 (1.00)	149	16	164	378	33	363
4	0 (100.00)	313 (12.99)	135 (0.88)	381	3	169	402	41	372
5	144 (30.58)	363 (16.53)	158 (0.91)	216	3	63	302	14	190
6	112 (40.00)	247 (12.56)	147 (0.94)	204	2	53	347	21	273
7	175 (22.76)	307 (7.67)	155 (0.77)	189	13	130	304	20	275
8	138 (8.70)	332 (18.11)	126 (1.36)	309	0	27	426	22	290

Table 2: Parameter values and fit statistics for models (1)–(4) applied in the estimation by minimizing expression (5). Values in parentheses are 95% confidence intervals. \*: mm for Deep-water Shrimp. DOF denotes degrees of freedom.

Parameter	Deep-water Shrimp	Redfish	American Plaice
$C_{NG}$	0.98 (0.96–1.00)	0.87 (0.75–0.94)	1.00 (0.98–1.00)
$L50_{NG}$ (cm*)	33.79 (29.16–44.55)	13.54 (12.85–14.22)	18.22 (17.16–19.15)
$SR_{NG}$ (cm*)	6.83 (3.53–12.98)	3.61 (2.94–4.35)	8.45 (7.49–9.39)
$C_{RG}$	0.45 (0.33–0.64)	0.16 (0.03–1.00)	0.32 (0.23–0.60)
$L50_{RG}$ (cm*)	18.12 (17.29–18.62)	7.68 (0.10–12.02)	10.14 (6.40–11.84)
$SR_{RG}$ (cm*)	1.96 (1.70–2.45)	3.56 (0.10–10.85)	4.00 (2.53–6.24)
p-value	0.0164	0.5871	0.9988
Deviance	58.90	47.18	49.98
DOF	38	50	84

## Figure legends:

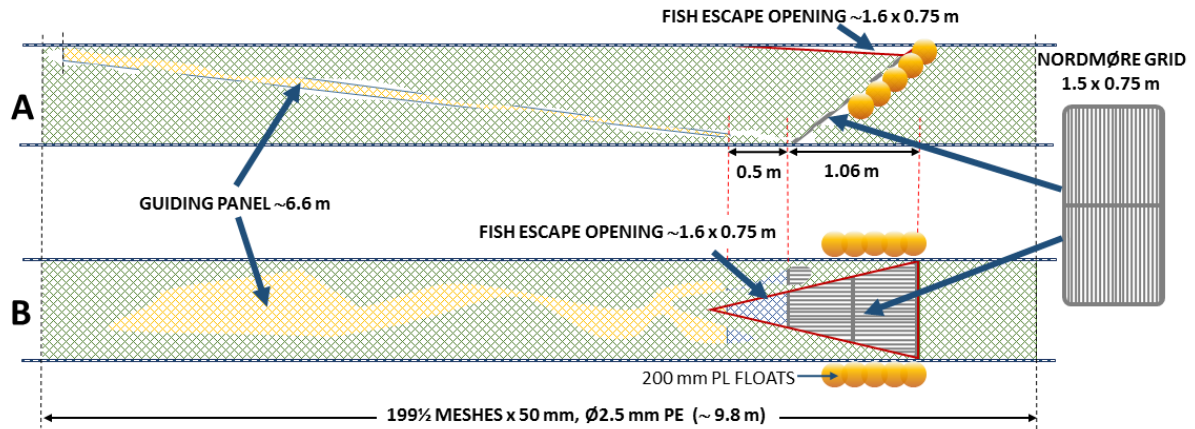


Fig. 1: The four-panel Nordmøre grid section seen from the side (A) and from above (B), with some of the construction details illustrated.

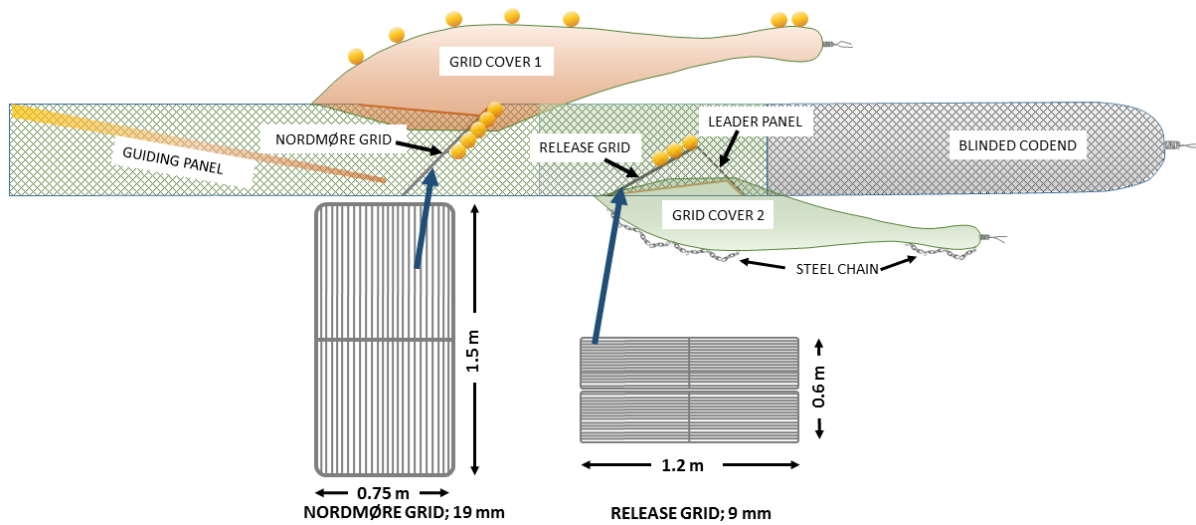


Fig. 2: Illustration of the experimental design with the Nordmøre grid and the release grid. The small circles represent Ø200 mm plastic floats.

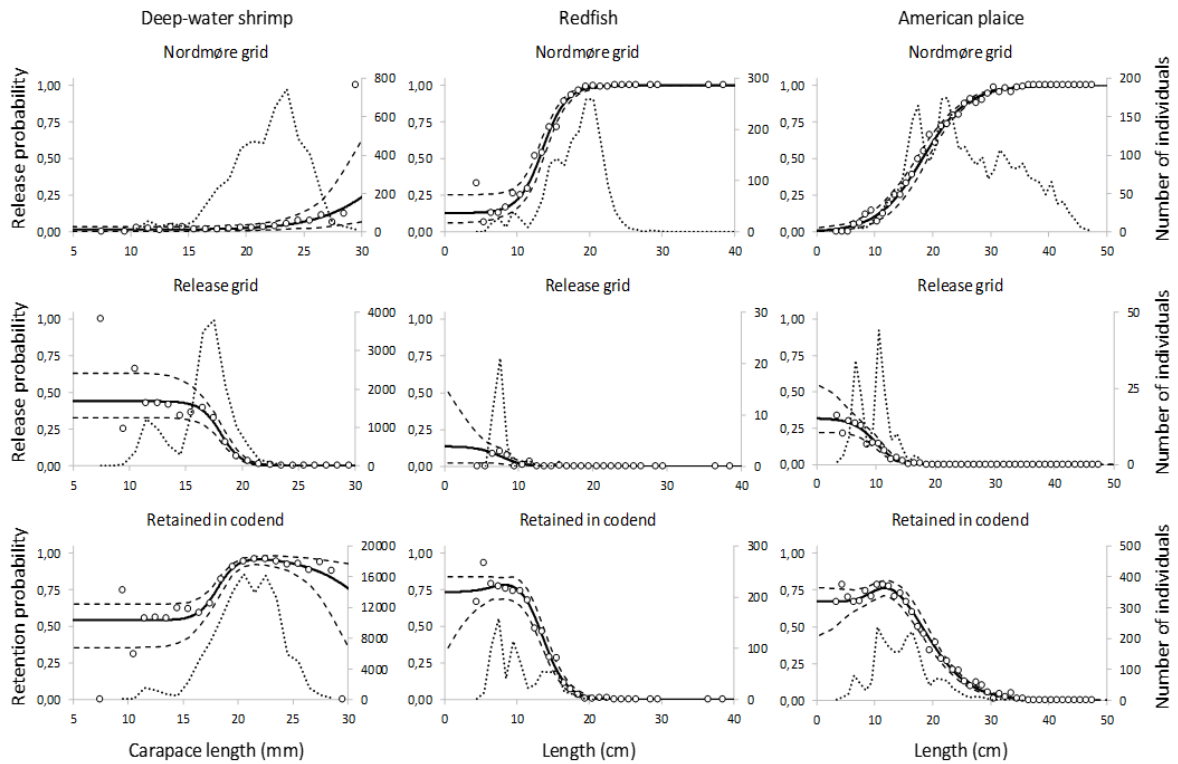


Fig. 3: Length dependent probabilities of Deep-water Shrimp (left column), Redfish (center column) and American Plaice (right column) collected in the Nordmøre grid cover (top row), the release grid cover (center row) and the blinded codend (bottom row), respectively. Circles represent the experimental rates, and the solid black curve represents the modelled rate. The dashed curves represent 95 % confidence limits for the modelled rate, while dotted curves represent the size distributions collected in the three compartments (Fig. 2).



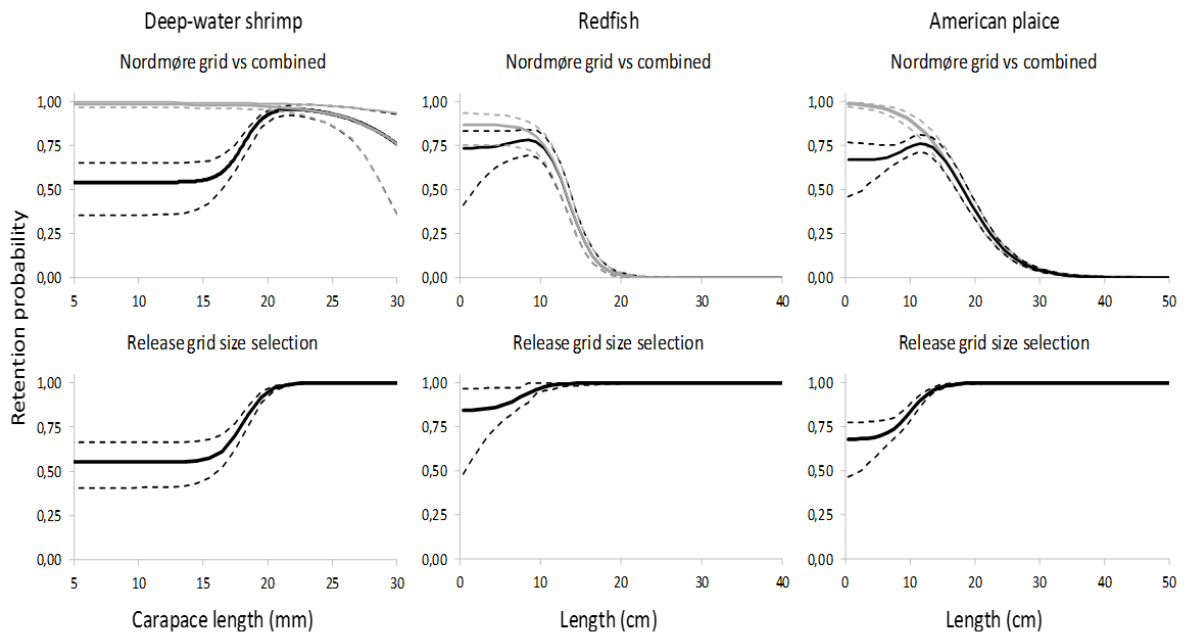


Fig. 4: Comparison (top row) of the double grid retention probability (black) for Deep-water Shrimp, Redfish and American Plaice with the retention probability for the Nordmøre grid alone (grey) and size selection (retention probability) for the release grid alone (bottom row). Dashed curves represent 95% confidence limits.