Normalization of disrupted clock gene expression in males with tetraplegia.  
A crossover randomized placebo-controlled trial of melatonin supplementation

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Running title: Clock genes in males with tetraplegia

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Abstract

Study design Crossover double blind, randomized placebo-controlled trial.

Objective Circadian oscillators are located both in the brain and in peripheral organs. Melatonin, the main brain-derived hormone governing circadian variations, is highly associated with daylight patterns. However, in subjects with tetraplegia the melatonin levels are blunted. Here we studied peripheral oscillators in peripheral blood mononuclear cells (PBMCs) in males with tetraplegia by examining how exogenous melatonin may influence the expression of clock gene mRNAs.

Setting Sunnaas Rehabilitation Hospital, Nesoddtangen, Norway.

Methods Six males with tetraplegia received 2 mg of melatonin or placebo 4 days before the study period. We also included six able-bodied men sleeping or kept awake during the night. Plasma samples were collected four times during a 24-h period. The mRNA expression levels of the clock genes PER1, PER2, BMAL1 and REV-ERBα were quantified in PBMCs using quantitative RT-PCR.

Results The mRNA expression levels of PER-1 and -2 and REV-ERBα were increased at 04:00 h compared to the able-bodied controls (p < 0.05). Melatonin supplementation changed mRNA peak-time towards the time of supplementation.

Conclusions Several peripheral clock genes displayed distorted expression levels in tetraplegia. Supplementation with melatonin changed the mRNA expression levels of these genes towards those observed among able-bodied.

Sponsorship: Financial support was provided from the Throne Holst Foundation, Sunnaas Rehabilitation hospital and the University of Ferrara (FAR2016).
Introduction

In all species many biochemical, physiological, and behavioural processes oscillate with a 24-h period. These rhythms are driven by endogenous circadian clocks, which function through interacting with positive and negative transcriptional/translational feedback loops. The main murine genes of the negative-feedback loop are the *Per* and *Cry* genes, whereas *Clock* and *Bmal1*, coding for two basic helix-loop-helix transcriptional activators, are important genes of the positive loop [1]. These positive and negative feedback loops are interconnected by a second loop where the transcription of *Rev-Erba* and *Rora*, two nuclear orphan receptor genes, is regulated by *Clock:Bmal1* heterodimers. *Rev-Erba* and *Rora* compete for the same element on the *Bmal1* promoter, but have opposing actions. This circadian timing system is governed by a master circadian pacemaker located in the suprachiasmatic nucleus of the anterior hypothalamus as well as peripheral oscillators located in most organs and tissues [2]. Also in humans the expression of *PER1*, *PER2*, and *BMAL1* mRNAs show circadian rhythmicity in peripheral tissues (e.g. the skin and the oral mucosa), and in the peripheral blood mononuclear cells [PBMCs] [3-6].

Following a complete cervical spinal cord injury (SCI) in humans, nervous input through somatic and autonomic afferent fibres from the body below the SCI level is disrupted and the efferent sympathetic innervation of the pineal gland via the superior cervical ganglion is lacking control from higher autonomic centres. It has been hypothesized that these disrupted nervous connections abolish rhythmic melatonin production. In line with this we and others have reported blunted circadian rhythm and low blood levels of melatonin in persons with cervical SCI [7-9] and indeed, in healthy adults, melatonin levels range from approximately 10 pg/mL at the end of the light period up to 200 pg/mL near the midpoint of the dark period, whereas in tetraplegic subjects the corresponding values are closer to 2 and 15 pg/mL.
Melatonin has been used as a marker of the central circadian pacemaker in humans [10-11], however, it is unclear how the peripheral oscillators are influenced by the absence of melatonin rhythmicity in humans with complete cervical SCI and blunted melatonin levels. To study the effect of melatonin on the circadian variations of markers of haemostasis (many of which show 24-h rhythms [7 and references therein]), we performed a cross-over double-blind, randomized placebo-controlled trial of melatonin supplementation in tetraplegia [7]. We could not attribute any major role of melatonin in regulating the circadian variation of a wide range of hemostatic factors [7]. However, in a previous investigation we did find melatonin to reduce peak thrombin generation [12]. Although melatonin supplementation did not change the levels of many other hemostatic factors, it could modify circadian variations of peripheral clock genes. We therefore planned for and used specially prepared blood samples (PAX gene RNA Blood collection tubes (PreAnalytiX) obtained in our randomized trial to examine the effect of melatonin supplementation on the expression of four cardinal circadian clock genes (*Per1, Per2, Bmal1* and *Rev-Erbα*), in PBMCs sampled 4 times throughout a 24-h cycle in six tetraplegic subjects. Blood was specifically collected four times during a 24-h period, namely at 07:00, 22:00, 04:00 and 07:00 h to capture possible changes in clock gene expression levels around the time of melatonin supplementation. We also included six able-bodied subjects sleeping or kept awake during the night as controls.
Methods

Subjects and design of study

The study was approved by the Regional Committee for Medical Health Research Ethics in Norway and is registered with Clinicaltrials.gov identifier: NCT 01741389 and with the Norwegian Medicines Agency EUDRACT no. 2010-021212-24. Details of the study design and randomization have been described previously [7]. Briefly, we designed a cross-over double-blind, placebo-controlled trial of six tetraplegic men in addition to a control group of six able-bodied men, i.e. four study-groups: tetraplegic men given placebo, the same tetraplegic men given melatonin, and able-bodied men sleeping or kept awake during the night. During the time of the trial sunset and sunrise occurred around 07:00 and 19:00 h, respectively. The trial was performed in the south of Norway. The tetraplegic men were invited through the hospital’s own in-patient coordinator. The able-bodied participants were all hospital staff and were invited through intranet or by direct request. The tetraplegic men were randomized to first receive 2 mg of melatonin (Circadin; Neurim Pharmaceuticals, Zug, Switzerland) or placebo (Kragerø Tablettproduksjon AS, Kragerø, Norway) daily at 22:00 h for 4 days before they were subjected to a 24-h period of blood sampling (see figure 1a). The dose of 2 mg of melatonin is recommended for the treatment of insomnia, and in a pilot study we found that this dose markedly increased the blood concentration of melatonin (data not published). Blood was collected four times during a 24-h period, namely at 07:00, 22:00, 04:00 and 07:00 h. The “wash-out” period lasted 4 days in the tetraplegic group before the cross-over, which is assumed to be sufficient since the half-life of melatonin is about 35-50 min, thus ensuring minimal, if any, carry-over effect. The able-bodied men were subjected to a similar two 24-h periods of blood sampling, with two weeks in-between sampling. They slept or were kept awake during the night with group-common low-intensity activities as playing computer games, table tennis or watching movies (see figure 1b). All the participants
received standardized meals at regular time-points. No other restrictions except zero alcohol intake and maximum two cups of coffee were required from the participants.

**Blood sampling**

Venous blood samples were collected in 5 ml Vacutainer vacuum tubes containing 0.5 ml buffered sodium citrate (0.129 M) (Becton-Dickinson, Plymouth, UK) and 2.5 ml PAX gene RNA Blood collection tubes (PreAnalytiX, Hombrechtikon, Switzerland). Citrated blood was kept at room temperature and immediately centrifuged at 2000 g for 15 min. Platelet-poor plasma aliquots and PAX gene RNA tubes were stored at -70 °C until assayed. All analyses were performed examiner-blind, and the samples were run in-batch using a balanced set-up with equal number of cases and controls in each run.

**Assays**

Melatonin concentrations were assayed with an ELISA-kit (Buhlmann Lab. AG, Basel, Switzerland) as described earlier [7]. For clock gene expression analysis, DNase-treated total RNA was isolated from PBMCs and used for cDNA synthesis (iScript™ cDNA synthesis kit, Biorad, Milan, Italy). cDNA was PCR-amplified in a CFX Connect Real-Time PCR Detection System [Biorad, Milan, Italy] using SsoFast EvaGreen Supermix (Biorad). The following primers were used:

*Per1* F: GTGCGGAGGACACTCCTG, R: TTGGCTGAGGGAGTGAGGT;

*Per2* F: TCGTTTGAACGTGGGTGAC, R: GTATCCATTCATGCTGGGCT;

*Bmal1* F: AGCCACGGTGTTGCTGGCTA, R: AACCAATGAAGGCCCAGGATTCCAC;

*Rev-Erba* F: CGCAACCTCTAGTGGAGTCAAGGTCC, R:

ACGCCACCTGTGGTGGTGGGA;
We used NormFinder (Aarhus University Hospital, Denmark) to evaluate and screen the following three housekeeping genes: GAPDH, CDK4, and 18S rRNA. Based on the rankings, we have chosen to normalize to the geometric mean of CDK4 and 18S, and the expression of genes of interest using the $2^{-\Delta\Delta Ct}$ method (arbitrary units (AU)) [13]. We furthermore, scaled the AU values to the mean overall expression of each respective gene for every patient and time point. This allowed us to plot expression of several genes on the same graph in order to visualize the daily cycle of genes relative to their own expression level.

Statistics

The statistical analyses were performed with SPSS version 25.0 (Chicago, IL, USA) and the MedCalc Software (Mariakierke, Belgium). Values are given as mean absolute values with standard error of the mean (SEM) or as median (range) as appropriate. Differences in the plasma concentrations of the various parameters between the study groups were evaluated with two-ways ANOVA and Dunnett’s post hoc test, profile differences were evaluated with mixed models (time (continuous) versus group (categorical)). We considered $p$-values less than 0.05 to indicate statistical significance.
Statements of ethics

We certify that all applicable institutional and governmental regulations concerning the ethical use of human volunteers were followed during the course of this research.

Results

Characteristics of the study participants

The mean (range) age of the males with tetraplegia was 46 (27-60) years. Their injury level ranged from the cervical vertebra 5 to 8, all diagnosed with a complete injury according to the American Spinal Cord Injury Association International Standards For Neurological Classification of SCI [14], and the mean (range) time since injury was 18 (3-43) years. Their mean (range) body mass index (BMI) value was 25.4 (23.8-26.6) kg/m². The corresponding values among the controls were not significantly different from the tetraplegic men; age 43 (34-54) years and BMI 26.6 (20.1-35.3) kg/m². All participants completed the study protocol except for one male with tetraplegia who withdrew from one of two 24-h blood samplings.

Plasma melatonin profiles in the two study groups

Fig. 2 shows the 24-h plasma melatonin levels in the four study groups. The plasma melatonin levels among the able-bodied increased in the evening (22:00 h), irrespective of whether they slept or not. A similar pattern was observed upon melatonin supplementation to the tetraplegic group, where the night-time melatonin plasma levels were elevated about 50-fold. As expected, the plasma melatonin levels remained low and unaltered in the tetraplegic group given placebo.

Disrupted PBMC clock-gene rhythmicity in tetraplegia
To visualize the rhythmicity of genes and present the expression of core clock genes on a single graph for each group, mean scaling was performed and presented in figure 3. Only the sleeping able-bodied group had a visual diurnal rhythmicity, i.e. the two 07:00 h measurement-points being similar for each of the four clock gene expression levels. In contrast, when these able-bodied were awake they had slightly downward flattened profiles for the four clock gene expression levels. The maximum mRNA expression level for the sleeping able-bodied apparently occurred at 07:00 h for *Per1*, *Per2* and *Bmal1*, whereas the mRNA for *Rev-Erbα* had a maximum at 22:00 h. In the tetraplegic group the maximum mRNA expression level of all four clock-genes apparently occurred at 22:00 h in the melatonin-supplemented and at 04:00 h in the placebo-supplemented group. We examined the overall rhythm using mixed model (time versus group) analysis in the four study groups and found that the tetraplegia group receiving placebo had a different profile compared to the able-bodied group (awake) for BMAL1 and PER-1 expression (*p* = 0.01 and *p* = 0.002, respectively). Males with tetraplegia receiving placebo had a different mRNA expression profile for all clock gene investigated than the same males receiving melatonin (*Rev-Erbα: p* = 0.001, *Bmal1: p* = 0.03 *Per1: p* = 0.02, *Per2: p* = 0.004). There were no other significant differences in any of the other mRNA levels or profiles of the four clock genes among other study-group comparisons.

We next examined the mRNA expression levels of the clock genes separately among the four study groups (Fig. 4). We observed increased *Per1*, *Per2* and and *Rev-Erbα* mRNA expression levels at 04:00 h in the tetraplegic group receiving placebo compared to sleeping able-bodied (*p* = 0.04, *p* = 0.03 and *p* = 0.02, respectively). However, the variation (SEM) of mRNA expression levels in both tetraplegic groups (placebo or melatonin) was large. The
mRNA expression levels of BMAL1 remained unchanged \((p > 0.05)\) among the four study groups.

**Discussion**

To our knowledge, this is the first study of mRNA expression levels of the clock genes *Per1, Per2, Bmal1* and *Rev-Erba* in males with tetraplegia, a condition leading to disrupted efferent input to the pineal gland from the superior cervical ganglion and thus blunted plasma melatonin levels. Our results suggest disrupted peripheral clock regulation in males with cervical SCI. In line with this we found that the tetraplegic groups receiving placebo had increased *Per1, Per2* and *Rev-Erba* expression levels at 04:00 h compared to awake able-bodied controls. Furthermore, the melatonin supplementation changed the expression profile in the tetraplegic group by changing the maximum value from 04:00 h to 22:00 h, i.e. towards the time point of supplementation of melatonin. Thus the males with SCI receiving melatonin behaved more like the able-bodied males staying awake overall, with lower expression of all clock genes measured at 07:00 h in contrast to the able-bodied sleep group. This may be a result of clearance of melatonin in the SCI group related to the 50 times higher plasma levels of melatonin.

It is well known that in addition to the disrupted efferent input to the suprachiasmatic nucleus, tetraplegic subjects have a low-grade chronic inflammation [15]. Inflammation has been shown to disrupt the expression of clock genes [16]. Our results showing reduced clock-gene mRNA levels in some of the tetraplegic subjects are in accordance with other studies of PCBMs during ongoing inflammation and disease processes [17]. For example, patients with chronic lymphatic leukemia have significantly down-regulated expression of both melatonin plasma levels and mRNA of clock genes in peripheral blood (*Bmal1, Per1* and *Per2*) [18]. Sleep deprivation also leads to decreased clock gene expression levels [19, 20], which we also found among some of our able-bodied study subjects.
On the other hand increased clock-gene mRNA expression levels in pathological conditions have been reported, e.g. a study found increased mRNA Bmal1 expression levels in prostate cancer cells [21]. Furthermore, these authors also reported that melatonin supplementation reversed and normalized the expression levels [21], i.e. similar to our findings showing a shift in the peak expression closer to melatonin supplementation. An increase in clock gene mRNA expression could be explained by melatonin receptor hypersensitivity in tetraplegia. For example, hypersensitive receptors in various organs after a SCI are recognised as parts of the mechanism behind vascular autonomic dysreflexia and changes of bladder function [22-23].

Our findings with large inter-individual variation in the mRNA expression levels may mirror the heterogeneity of the SCI among the study participants and the complex feedback system of peripheral oscillators in humans [24]. Regulators other than melatonin, e.g. food and social activities, may also affect peripheral clock genes differently in subjects with SCI compared with able-bodied. Disruption of rhythms has been shown to lead to a variety of conditions including sleeping-disorders, depression and cancer [25], conditions found to be more frequent in SCI [25-29]. A dysregulated peripheral clock in tetraplegia may be an contributing factor of the increased risk of such disorders and indeed there is evidence of that the use of Circadin (melatonin) over prolonged period of time has a positive effect on sleep related disturbances in elderly people with low melatonin levels (30).

Despite the low number of participants, our study was robustly designed and the study subjects were carefully monitored under standardized conditions during the 24-h study period. The washout time for plasma or brain drug levels is not necessarily the same as for the downstream effects on receptor pathways and gene translation and/or transcription. Our study design was not designed to observe these downstream effects. In-hospital-induced stress can modify clock gene expressions [31], but this effect should have been minimized by the double
blinded and randomized cross-over design. Moreover, the tetraplegic group was rather uniform as only males were included and they all had a complete and stable, long-standing injury (> 3 years). Importantly, the tetraplegic and able-bodied subjects were matched regarding gender, age and BMI.

Conclusions

To our knowledge this is the first study to describe disrupted 24-h clock gene expressions PBMCs in males with tetraplegia. Our main result was that tetraplegic males receiving placebo have increased Per1, Per2 and Rev-Erbα mRNA expression levels in the early morning compared with able-bodied. Specifically, melatonin supplementation for four days changed mRNA expression profile in PBMCs in tetraplegia by shifting the peak expression towards the time point of melatonin supplementation. More studies in larger SCI patient cohorts are needed to map the regulatory function of melatonin on peripheral clock genes in various organs.
Acknowledgements
We are grateful to all the subjects who participated in the study. We are also grateful for the help provided by Hilde Einerkjær, Marianne M. Voll and Gro E. Paulsboe at the Laboratory at Sunnaas Rehabilitation Hospital. Financial support was provided from the Throne Holst Foundation. CB and EF were also supported by the University of Ferrara (FAR2016).

Conflict of interest statement
The authors declare no conflict of interest.

Authors’ contributions
EK was responsible for designing the study, collecting data and interpreting them and writing the paper.
EF was responsible for analyzing data and interpreting them and writing the paper.
MS was responsible for interpreting data and writing the paper.
AD was responsible for designing the study, interpreting data and writing the paper.
PMS was responsible for designing the study, interpreting data and writing the paper.
MCM was responsible for analyzing data and writing the paper.
GS was responsible for interpreting data and writing the paper.
BØ was responsible for analyzing data and interpreting them and writing the paper.
CB was responsible for analyzing data and interpreting them and writing the paper.
POI was responsible for designing the study, interpreting data and writing the paper.

Text summary supplementary data file
mRNA expression levels of Per1, Per2, Rev-Erba, Bmal1, CDk4 and s18 measured at 07:00 (time = 1), 22:00 (time = 2), 04:00 (time =3) and 07:00 (time = 4) h. Melatonin (group = 3) or
placebo (group = 4) where given to the tetraplegia group, the able-bodied slept (group = 1) or were awake (group = 2).


**Figure legends**

*Fig. 1 a.* Experimental protocol, males with tetraplegia. Blood samples, collected 4 times over a continuous 24 h period beginning on experimental day 4 and 8, were assayed for their melatonin concentration and for the expression of clock genes in PBMCs. Crossover from placebo or melatonin were scheduled to experimental day 5. At the start of the study, participants lived on their habitual sleep/wake schedule. Wake episodes were spent in normal indoor light intensities, and sleep episodes took place in darkness.

*Fig. 1 b.* Experimental protocol, able-bodied males. Blood samples, collected 4 times over a continuous 24 h period beginning on experimental day 1 and 16 (with a 14 days crossover time) were assayed for their melatonin concentration and for the expression of clock genes in PBMCs. At the start of the study, participants lived on their habitual sleep/wake schedule. Wake episodes were spent in normal indoor light intensities, and sleep episodes took place in darkness.

*Fig. 2* Plasma melatonin concentrations (pg/ml) in the four study groups during the 24-h observation period. Values are means (SEM). Melatonin or placebo where given orally to the tetraplegia group every night at 22:00 h for four continuous days before blood sampling. Melatonin was measured at 07:00, 22:00, 04:00 and 07:00 h during the 24-h observation period. The able-bodied males slept (from 23:00 to 07:00 h) or were kept awake during the 24-h observation period. SCI-spinal cord injured.

*Fig. 3.* Overall mRNA expression levels of the clock genes in PBMCs during the 24-h observation period. Values are means (±SEM). The expression of a gene in each sample was scaled to a mean expression of the same gene in all samples (AU / AU_{mean}) and presented as
scaled AU units. a: Spinal cord injured (melatonin); b: Spinal cord injured (placebo); c: Able-bodied males (awake) and d: Able-bodied males (sleeping). The mRNA expression levels were measured at 07:00, 22:00, 04:00 and 07:00 h during the 24-h observation period. Melatonin or placebo were given orally to the tetraplegia group every night at 22:00 h for four continuous days before blood sampling. The able-bodied males slept (from 23:00 to 07:00 h) or were kept awake during the 24-h observation period.

Fig. 4. Comparison of genes among groups, mRNA expression levels of the clock genes in PBMCs during the 24-h observation period. Values are means (±SEM). Gene expression was calculated using the $2^{-\Delta\Delta Ct}$ methods and presented as arbitrary units (AU). a: Per1; b: Per2; c: Rev-Erbα, and d: Bmal1. The mRNA expression levels were measured at 07:00, 22:00, 04:00 and 07:00 h during the 24-h observation period. Melatonin or placebo were given orally to the tetraplegia group every night at 22:00 h for four continuous days before blood sampling. The able-bodied males slept (from 23:00 to 07:00 h) or were kept awake during the 24-h observation period.
Figure 1 a. Males with tetraplegia

- Placebo or Circadin 2mg
- Blood sample
- Darkness/sleep

Clock Time

Experimental day

CROSSOVER

In hospital setting
Figure 1 b. Able-bodied males

![Graph showing experimental days and clock times with blood sample and darkness/sleep markers.]

- Blood sample
- Darkness/sleep

Experimental day

Clock Time

00:00 04:00 07:00 16:00 22:00 00:00
Figure 3. Overall rhythm in the groups.

(a) Clock expression (normalized to mean) as a function of time of day (h) from 7 to 22.

(b) Clock expression (normalized to mean) as a function of time of day (h) from 7 to 22.

(c) Clock expression (normalized to mean) as a function of time of day (h) from 7 to 22.

(d) Clock expression (normalized to mean) as a function of time of day (h) from 7 to 22.

Legend:
- BMAL1
- PER 1
- PER 2
- REV-ERBα
Figure 4
Comparison of genes among the groups.

(a) PER1 expression (AU) vs. Time of day (h)
(b) PER2 expression (AU) vs. Time of day (h)
(c) REV-ERBα expression (AU) vs. Time of day (h)
(d) BMAL1 expression (AU) vs. Time of day (h)

- **Able-bodied (sleeping)**
- **Able-bodied (awake)**
- **Spinal Cord-Injured (placebo)**
- **Spinal Cord-Injured (melatonin)**