

1 **Explaining the association between anxiety disorders and alcohol use disorder: A twin study**

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3 Running title: Anxiety disorders and alcohol use disorder

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Abstract

Background

It is unknown whether social anxiety disorder (SAD) has a unique association with alcohol use disorder (AUD) over and beyond that of other anxiety disorders, how the associations develop over time, and whether the associations are likely to be causal.

Methods

Diagnoses of AUD, SAD, generalized anxiety disorder, panic disorder, agoraphobia, and specific phobias were assessed twice using the Composite International Diagnostic Interview among 2,801 adult Norwegian twins. The data were analysed using logistic regression analyses and multivariate biometric structural equation modelling.

Results

SAD had the strongest association with AUD, and SAD predicted AUD over and above the effect of other anxiety disorders. In addition, SAD was prospectively associated with AUD, whereas other anxiety disorders were not. AUD was associated with a slightly elevated risk of later anxiety disorders other than SAD. Biometric modelling favoured a model where SAD influenced AUD compared to models where the relationship was reversed or due to correlated risk factors. Positive associations between AUD and other anxiety disorders were fully explained by shared genetic risk factors.

Conclusions

Unlike other anxiety disorders, SAD plausibly has a direct effect on AUD. Interventions aimed at prevention or treatment of SAD may have an additional beneficial effect of preventing AUD, whereas interventions aimed at other anxiety disorders are unlikely to have similar sequential effect on AUD.

Keywords: Anxiety disorders; Social anxiety disorder; Social phobia; Alcohol Use Disorder;

Specific phobia; Agoraphobia; Twin Studies

Introduction

Alcohol use disorders (AUD) frequently occur together with social anxiety disorder (SAD) (Buckner & Schmidt, 2009; Marmorstein, 2012; Schneier et al., 2010). Up to half of individuals with SAD have a history of AUD (Grant et al., 2005), and approximately one in four with AUD have SAD (Terra et al., 2006). This combination is particularly debilitating (Schneier et al., 2010), but it is not clear how the two disorders are associated. It is possible that SAD influences AUD. In the short run, alcohol induces euphoria and reduces anxiety (Gilman, Ramchandani, Davis, Bjork, & Hommer, 2008). Since alcohol is often present at social gatherings, drinking can be used as a strategy to cope with social anxiety (Carrigan & Randall, 2003; Prescott, Cross, Kuhn, Horn, & Kendler, 2004). This 'self-medication' can put individuals with SAD at risk of AUD. Indeed, SAD and symptoms of social anxiety prospectively predicts AUD and substance use disorders (Buckner & Schmidt, 2009; Buckner et al., 2008; Buckner & Turner, 2009; Dahne, Banducci, Kurdziel, & MacPherson, 2014; Wolitzky-Taylor, Bobova, Zinbarg, Mineka, & Craske, 2012). The observations that SAD has a young age of onset (Boschloo et al., 2011; Lecrubier et al., 2000; Marmorstein, 2012) and occurs before AUD in 80% of comorbid cases (Buckner et al., 2008; Schneier et al., 2010) also indicate that SAD could influence AUD.

The above-mentioned studies provide less evidence for a direct effect from AUD to SAD (Buckner & Turner, 2009; Dahne et al., 2014; Wolitzky-Taylor et al., 2012). Nevertheless, from a psychopharmacological perspective it is likely that alcohol use produces anxiety, at least during withdrawal, and a few studies indicate that AUD causes anxiety (Becker, 2012; Fergusson, Boden, & Horwood, 2011). This could be true also for SAD. On the other hand, a Mendelian randomization study found alcohol use not to cause symptoms of anxiety (Chao, Li, & McGue, 2017).

Despite the temporal relationship, the association may reflect shared risk factors that affect both disorders rather than a causal relationship (confounding) (Kushner, Abrams, & Borchardt, 2000; Neale & Kendler, 1995). For instance personality, socioeconomic status, or a general tendency to

79 psychopathology could influence SAD at a young age and later AUD. Shared genetic risk factors for
80 AUD and anxiety disorders have been found in twin (Lahey, Krueger, Rathouz, Waldman, & Zald,
81 2017; Nelson et al., 2000; Tambs, Harris, & Magnus, 1997) and molecular genetic studies (Cerdeira,
82 Sagdeo, Johnson, & Galea, 2010; Hodgson et al., 2016).

83

84 Finally, SAD is part of the internalizing spectrum and unlikely to be entirely distinct from other
85 anxiety disorders (Lahey et al., 2017). AUD is also associated with other anxiety disorders (Grant et
86 al., 2004). It could therefore be that the association between SAD and AUD reflects a broader
87 association between AUD and anxiety disorders, rather than SAD specifically. One study has
88 supported this hypothesis (Kushner et al., 2012). On the other hand, alcohol is likely to be particularly
89 'useful' to individuals with SAD (Bulley, Miloyan, Brilot, Gullo, & Suddendorf, 2016), and in studies
90 that analysed all anxiety disorders together, only SAD predicted AUD in adjusted analyses (Buckner &
91 Schmidt, 2009; Buckner et al., 2008; Buckner & Turner, 2009).

92

93 Thus, we have four possible explanations of the association: i) direct effects from SAD to AUD, ii)
94 direct effects from AUD to SAD, iii) shared genetic and/or environmental risk factors, and iv) a
95 general relationship between anxiety disorders and AUD. A lack of studies with diagnostic
96 assessment of anxiety disorders and AUD has left this question unanswered. The explanatory models
97 have different practical implications: In treatment and prevention, higher gains will be achieved by
98 intervening on the disorder that influences the risk of developing the other disorder. In the present
99 study, we utilize a population-based twin study with repeated diagnostic assessment of AUD, SAD
100 and other anxiety disorders in order distinguish between the possible explanations of the association
101 between SAD and AUD. We aim to i) test if SAD has a unique association with AUD over and beyond
102 that of other anxiety disorders, ii) describe the longitudinal relationship between these disorders,
103 and iii) determine whether the associations between anxiety disorders and AUD are in line with
104 direct effects between the disorders.

105

Methods

106 **Participants**

107 The data for the current study originated from the Norwegian Institute of Public Health Twin Panel
108 (Nilsen et al., 2013). Twins were identified through the national Medical Birth Registry, established
109 January 1, 1967. Between 1999 and 2004 (time 1; T1), psychiatric disorders were assessed at
110 interview in 2,801 twins born between 1967 and 1979 (44.4% response rate). Between 2010 and
111 2011 (time 2; T2), a second wave of interviews were conducted among 2,284 of the respondents at
112 T1 (82.8% of the eligible; 43 had died or withdrawn consent or had unknown address). The mean age
113 was 28.1 years (SD=3.9; range 19-35) at T1, and 37.8 years (SD=3.8; range 31-44) at T2. Combining
114 the two waves, there were 5,085 observations with a mean age of 32.5 years (SD=3.8; range=19-44).
115 At T1, there were 220 monozygotic (MZ) male pairs, 118 dizygotic (DZ) male pairs, 449 MZ female
116 pairs, 263 DZ female pairs, 341 DZ opposite sex pairs, and 19 single twins. At T2, there were 154 MZ
117 male pairs, 76 DZ male pairs, 358 MZ female pairs, 180 DZ female pairs, 219 DZ opposite sex pairs,
118 and 310 single twins. The study was approved by The Regional Committees for Medical and Health
119 Research Ethics and all participants provided written informed consent.

120

121 **Measures**

122 At T1 and T2, DSM-IV diagnoses of AUD, SAD, generalized anxiety disorder (GAD), panic disorder,
123 agoraphobia, and specific phobias were assessed using the Composite International Diagnostic
124 Interview (CIDI) (Wittchen & Pfister, 1997) in Norwegian translation. The interviewers were mainly
125 senior clinical psychology graduate students, experienced psychiatric nurses, and experienced clinical
126 psychologists. Most interviews at T1 were conducted face-to-face, whereas 231 (8.3%) were done by
127 telephone. All interviews at T2 were conducted by telephone. Different interviewers assessed each
128 twin in a pair. Ages of onset of the disorders were reported. As a compromise between recency and
129 statistical power, we used disorders that had occurred during the last five years. In addition, lifetime
130 diagnoses were available. The CIDI interview assigns subthreshold diagnoses in cases where

131 individuals are one criteria short of a DSM-diagnosis. In order to increase statistical power, we
132 included the subthreshold disorders as an intermediate category between ‘no disorder’ and ‘full
133 disorder’ for all the anxiety disorders with the exception of specific phobias. AUD was analysed as a
134 dichotomous variable because inclusion of subthreshold AUD led to deviation from multivariate
135 normality in the association with SAD ($\chi^2 = 32.72$, $df = 3$, $p < 0.001$).

136

137 **Statistical analyses**

138 We first examined the associations between each anxiety disorder and AUD in logistic regression
139 analyses adjusted for age, sex, and time, and then entered all anxiety disorders in the model
140 simultaneously. We compared this to a model where AUD was regressed on the total load of anxiety
141 disorders, rather than specific diagnoses, and tested whether any of the anxiety disorders predicted
142 AUD over and above the total load of anxiety disorder. This total load was computed as an item
143 response theory (IRT) factor score in a graded response model. We refer to this score as AnxIRT. In
144 order to maximize power, we collapsed T1 and T2 in these analyses, so that each interview
145 constituted an observation. The analyses were run as generalized estimating equations (GEE) to
146 adjust for statistical dependence between siblings and repeated measures. Model fit in GEE models
147 were compared using Quasi-likelihood Information Criterion (QIC), which is analogous to Akaike’s
148 Information Criterion (AIC) (Pan, 2001). Lower values of QIC indicate better fit. We then described
149 the longitudinal relationship between the SAD, AnxIRT, and AUD at the two time points with a
150 structural equation model (SEM) for ordinal data (liability-threshold model).

151

152 Monozygotic (MZ) twins share all their genes whereas dizygotic (DZ) twins share on average half of
153 the genes that vary in the population. This difference can be used to divide variation in traits and
154 associations between traits into additive genetic (A), shared environmental (C), and individual-
155 specific environmental (E) factors (Neale & Maes, 2004). We investigated SAD and AnxIRT in separate
156 models that both included AUD. We used a cross-lagged biometric model (left panel of Figure 1). This

157 is a combination of the Cholesky decomposition and the correlated factors model (Torvik et al.,
158 2017). Genetic and environmental influences on a disorder can have a directional effects on
159 observations later in time, whereas non-directional correlations are estimated between influences on
160 disorders observed at the same time. We tested whether there were significant effects of A and C
161 factors by fixing their path coefficients to zero.

162

163 Causal associations at the phenotypic level imply direct effects between observed variables as
164 opposed to associations between subsets of their latent risk factors. This implies a “common
165 pathway” between phenotypes rather than independent genetic and environmental pathways
166 (Turkheimer, Pettersson, & Horn, 2014). We can test this by modelling a direct path between the
167 phenotypes. We thereby assume that genetic as well as environmental effects are passed on from
168 one phenotype to the next. This resembles Mendelian randomization, but requires no explicit gene
169 or instrument variable (Briley, Livengood, Derringer, & Kandler, 2018; Rosenstrom et al., 2019). As
170 with discordant twin analyses, environmental associations strengthen causal hypothesis in realistic
171 scenarios. However, unlike with discordant twin analyses, we do not assume that genetic
172 associations represent confounding. With phenotypic causality, all the biometric influences on the
173 exposure should be correlated with the outcome. For example, if SAD is a product of both genetic
174 and environmental factors, and SAD phenotypically affects AUD, one would expect to find both
175 genetic and environmental correlations between SAD and AUD. We tested the presence of direct
176 influences by replacing separate genetic and environmental associations (e.g. a_{41} , c_{41} , e_{41}) with direct
177 paths (e.g. b_{41}), as shown in the right panel of Figure 1. This model is simpler and fits well when direct
178 effects explain the relationship between variables. It is important to note that a better fit of the more
179 complex model does not preclude all types of causal effects, only phenotypic causation. We tested
180 each of the longitudinal relationships separately.

181

182 There may be direct influences between the disorders that act on a short time-scale and that are
183 invisible in a follow-up years later. Therefore, we tested whether we could find indications of direct
184 influences between the disorders in cross-sectional data, using lifetime reports of the disorders at
185 wave 1 and wave 2. The two lifetime assessments were combined in a measurement model that
186 provides estimates of association free from measurement error. The direction of causation between
187 two phenotypes can be approached with cross-sectional twin data when their modes of inheritance
188 differ (Heath et al., 1993). Because effects within an individual do not affect the co-twin, different
189 cross-twin cross-trait covariances will be expected depending on the causal direction. We compared
190 a model with shared risk factors to models with unidirectional and reciprocal effects.

191

192 All SEM models were fitted using Full Information Maximum Likelihood (FIML) as estimation
193 procedure to raw data in OpenMx 2.7.12 (Neale et al., 2016) within R 3.4.1. The raw data method
194 utilizes all data, from both complete and incomplete pairs. We used the AIC (Akaike, 1987) as indices
195 of parsimony. Models with low AIC values are preferred.

196

197

Results

198 Descriptive results

199 The prevalences of AUD and anxiety disorders the last five years are presented in Table 1. AUD was
200 more common among men than among women, whereas all five anxiety disorders were more
201 common among women. AUD was considerably more common among individuals with SAD.
202 Combining T1 and T2, 15% of individuals with SAD had AUD, compared to only 6% among those with
203 no SAD. Among men and women with SAD, 26% and 13%, respectively, had AUD. The polychoric
204 correlation between SAD and AUD was 0.35 (95% CI 0.25, 0.46) among men and 0.34 (95% CI 0.25,
205 0.44) among women. The mean age of onset was reported to be 14.2 years (SD = 7.3) for SAD and
206 19.4 years (SD = 4.5) for AUD. Among individuals with both lifetime SAD and lifetime AUD, 33 out of
207 41 (81%) reported a lower age of onset for SAD than for AUD.

208

209 **Which anxiety disorders are related to alcohol use disorder?**

210 The results from logistic regression analyses are shown in Table 2. Separate analyses of each anxiety
211 disorder showed that each of them were associated with AUD, SAD most strongly (OR=4.68, 95% CI
212 2.87, 7.62). This pattern persisted when we entered all the anxiety disorders in the model together
213 (model A). In model B, we only entered AnxIRT along with the demographic variables. This model had
214 a higher QIC than model A, indicating that model A provides a better balance between complexity
215 and fit to the data. We further tested whether any of the anxiety disorders could explain AUD over
216 and above AnxIRT. When SAD was entered in the model along with AnxIRT (model C1), the QIC fell to
217 a lower level than both model A and B. Both SAD and the factor score were clearly associated with
218 AUD. No other disorders significantly predicted AUD when included along with the factor score: in
219 each case, the QIC was higher than both model A and B, indicating worse fit. In the following, we
220 therefore analyse SAD and a factor score of anxiety disorders other than SAD.

221

222 **Longitudinal associations**

223 Figure 2 shows the phenotypic longitudinal associations between SAD, other anxiety disorders, and
224 AUD. There were initial correlations between all the disorders at T1, and each disorder at T1
225 predicted the same disorder at T2. In addition, SAD at T1 was associated with AUD at T2. AUD at T1
226 had no association with SAD at T2 beyond the initial correlation. Other anxiety disorders at T1 did not
227 predict AUD at T2 beyond the initial association, but there was a small path from AUD at T1 to other
228 anxiety disorders at T2.

229

230 **Longitudinal biometric analyses**

231 Shared environmental effects could be removed from the biometric model of SAD and AUD (Δ -
232 $2LL=3.14$; $\Delta df=10$; $p=0.978$; $\Delta AIC=-16.86$). A model with no additive genetic effects did not have as
233 good fit (Δ - $2LL=14.76$; $\Delta df=10$; $p=0.141$; $\Delta AIC=-5.24$), and a model with neither additive genetic nor

234 shared environmental effects had poor fit ($\Delta-2LL=107.40$; $\Delta df=20$; $p<0.001$; $\Delta AIC=+67.40$). We
235 present the results of the biometric model fitting in the upper part of Table 3. We tested whether the
236 longitudinal association between SAD at T1 and AUD at T2 was best explained by separate genetic
237 and environmental associations (model 0; a_{41} and e_{41}), phenotypic influences (model 1; b_{41}), a genetic
238 association only (model 2; a_{41}), or no association beyond the initial correlation between the disorders
239 (model 3). Model 1 with a direct phenotypic path had the best model fit. We tested similar models
240 for the association between AUD at T1 and SAD at T2. As in the descriptive, longitudinal model, this
241 relationship was best explained by the initial correlation. The difference in AIC (-5.54) between the
242 initial and best fitting model is 'considerable', according to rules of thumb (Burnham & Anderson,
243 2004). The best fitting biometric model for SAD and AUD is shown in the left panel of Figure 3.

244

245 We then turn to the other anxiety disorders and AUD. Again, we detected no effects of shared
246 environment ($\Delta-2LL=2.77$; $\Delta df=10$; $p=0.986$; $\Delta AIC=-17.23$). A model with no additive genetic effects
247 was not as good ($\Delta-2LL=16.23$; $\Delta df=10$; $p=0.093$; $\Delta AIC=-3.77$), and the model with no familial
248 resemblance was poor ($\Delta-2LL=181.29$; $\Delta df=20$; $p<0.001$; $\Delta AIC=+141.29$). The results for the model
249 fitting are shown in the lower part of Table 3. The association between AnxIRT at T1 and AUD at T2
250 was best explained by a genetic association. The genetic and environmental associations between
251 AUD at T1 and AnxIRT at T2 did not converge to one direct phenotypic path, but were better
252 explained by separate genetic and environmental associations (model 0). Genetic factors for AnxIRT
253 at T1 seemed to be positively related to AUD at T2, whereas environmental influences at AnxIRT at
254 T1 seemed to reduce the liability to AUD at T2. The model with a purely genetic association (model 5)
255 was almost equally good. The best fitting model is shown in the right panel of Figure 3.

256

257 **Cross-sectional 'direction of causation' analyses**

258 The longitudinal models presented above are constrained by the time frame available in the data,
259 and cannot determine the direction of associations within time. Biometric models are sometimes

260 able to infer causal associations from cross-sectional data. In order to triangulate the modelling and
261 to increase the number of cases, we also used 'direction of causation' models with the two repeated
262 lifetime assessments of SAD and AUD as cross-sectional indicators of risk. In this model, the genetic
263 correlation between SAD and AUD was 0.65 (95% CI 0.58, 0.95) and the environmental correlation
264 was 0.45 (95% CI 0.16, 0.51). We compared this model with shared risk factors to models with direct
265 effects from SAD to AUD, from AUD to SAD, and in both directions. The results are shown in the
266 upper part of Table 4. The best fitting model included direct paths from SAD to AUD, whereas the
267 model with a path in the opposite direction had worse fit. The difference in fit was small, possibly
268 because SAD and AUD had similar modes of inheritance. The results are nevertheless in line with the
269 longitudinal model with effects from SAD to AUD. In addition, the path from AUD to SAD was
270 estimated at approximately zero in the reciprocal model. The model implies that SAD explains 30.0%
271 of the phenotypic variance in AUD (i.e., $0.55^2 \times 100\%$).

272

273 We also tested the 'direction of causation' models with the repeated lifetime assessments of other
274 anxiety disorders and AUD. In the model with shared risk factors, the genetic correlation between
275 other anxiety disorders and AUD was 0.66 (95% CI, 0.44, 0.88) and the environmental correlation
276 0.05 (95% CI -0.26, 0.30). Because both phenotypes are influenced by environmental factors, the lack
277 of environmental association makes causal model less plausible. The lower part of Table 4 shows the
278 fit of four models of this relationship. In line with the longitudinal analyses, the model with shared
279 risk factors (model 4) had the best fit.

280

281

Discussion

282 We used a population based twin sample with two diagnostic interviews to investigate explanations
283 of the associations between SAD, other anxiety disorders, and AUD. First, we demonstrated that SAD
284 was associated with AUD over and beyond the association between AUD and anxiety disorders in
285 general. Second, SAD prospectively predicted AUD, but other anxiety disorders did not. AUD did not

286 prospectively predict SAD, but possibly other anxiety disorders. Third, two different kinds of
287 biometric models indicated that the relationship between SAD and AUD was best explained by
288 influences from SAD to AUD. For the other anxiety disorders, the positive correlation with AUD could
289 be explained by shared genetic risk factors alone.

290

291 As in previous studies, all anxiety disorders were correlated with AUD (Fergusson et al., 2011). SAD
292 had the strongest association, and the unique aspects of SAD were independently associated with
293 AUD. This is contrary to a study (Kushner et al., 2012) finding that the overall internalizing symptom
294 load rather than particular disorders were of importance. Differences may be related to the age of
295 the sample – which was relatively young – or to the threshold used to define cases. More severe SAD
296 cases are likely to avoid social gatherings altogether, rather than to participate and be exposed to
297 alcohol (Stewart, Morris, Mellings, & Komar, 2009).

298

299 The biometric modelling favoured models with direct phenotypic paths from SAD to AUD over
300 models with shared genetic and environmental risk factors and models with direct paths from AUD
301 to SAD. This finding was robust to variations in modelling and timeframe. Like previous studies
302 (Buckner & Schmidt, 2009; Buckner et al., 2008; Buckner & Turner, 2009; Dahne et al., 2014;
303 Wolitzky-Taylor et al., 2012), we observed a temporal order where SAD was more likely to occur
304 before AUD than *vice versa*. Although one should always be cautious with causal inference, we have
305 observed specificity and temporality, which are classic signs of causality (Hill, 1965), and gathered
306 evidence against three competing explanations for the association between SAD and AUD: i)
307 confounding by common risk factors, ii) reverse causality, and iii) that the association is not specific
308 to SAD. Therefore, the present study adds support to the hypothesis that AUD can develop as a
309 consequence of SAD. A causal interpretation is in line with previous studies finding strong and
310 independent prospective associations from SAD to AUD (Buckner et al., 2008), and resonates well
311 with the commonsensical observation that alcohol is often served in the settings that individuals with

312 SAD are afraid of, and that many individuals drink to cope with anxiety. Reduction in social anxiety is
313 an important drinking motive (Terlecki & Buckner, 2015) that has been found to be associated with
314 AUD within twin pairs (Prescott et al., 2004). Thus, the totality of evidence suggests that SAD may be
315 a fruitful target for interventions aiming to prevent AUD.

316

317 The positive relationship between other anxiety disorders and AUD was not in line with direct
318 influences between the phenotypes, but rather seemed to be explained by genetic background
319 factors common to both AUD and anxiety. A genetic correlation can reflect a causal relationship
320 (Gage, Smith, Ware, Flint, & Munafo, 2016), but for environmentally influenced disorders one would
321 expect also to find an environmental correlation in the same direction. Previous twin studies have
322 failed to find an environmental association (Nelson et al., 2000; Tams et al., 1997), and the lack of
323 effects from AUD to anxiety is also in line with a previous Mendelian randomization study (Chao et
324 al., 2017). The model that included a small, negative environmental path from AUD to other anxiety
325 disorders had slightly better fit than the model with only the genetic path. Taken at face value,
326 environmental risk of AUD reduced the risk of later anxiety disorders. This contradicts previous
327 studies and may be due to statistical fluctuations. We would therefore not emphasise this small,
328 negative environmental correlation unless it is replicated. In any case, our results underline the
329 special role of SAD. Interestingly, drinking with the intention of managing depression was not found
330 to influence AUD in the above-mentioned study that found an effect of social anxiety (Prescott et al.,
331 2004).

332

333 Some interesting implications arise if SAD, but not other anxiety disorders influence AUD. First, it is
334 particularly important to prevent and treat SAD, because it has an additional effect of preventing
335 AUD. There may be an underutilized potential here, because only a minority of individuals with SAD
336 receive treatment for the condition (Schneier et al., 2010), even though SAD is a common disorder
337 and efficacious cognitive behavioural treatments exist (Hudson, 2017). Further, in clinical settings, it

338 is important to assess if a patient with SAD uses alcohol as a coping strategy, and to discuss the
339 dangers of self-medication with alcohol. Although AUD does not seem to be a strong influence on
340 new onset of SAD, AUD could worsen the course of SAD. This is particularly relevant when alcohol is
341 naturally present in the feared situations. As therapy for SAD involves exposure to feared situations,
342 it is important to make sure that alcohol is not used as a means of managing the exposure tasks.

343

344 The findings must be interpreted in the light of some limitations: First, we could not model
345 environmental confounders and direct paths simultaneously. Therefore, we could only detect the
346 most prominent of these effects. The difference in AIC was small between some of the models, but
347 our main findings were consistent across different models and variable definitions. In addition, we
348 could not model interactions between genetic, environmental, and direct effects. The biometric
349 models nevertheless add information over purely phenotypic models and add to the consistent
350 totality of evidence. Second, we could not distinguish between alcohol abuse and alcohol
351 dependence. However, the merging of the two diagnoses as AUD is in line with the DSM-5 (American
352 Psychiatric Association, 2013). Third, the interviews were retrospective and have measurement error.
353 This can lead to deflated environmental correlations in twin models. However, we adjusted for this
354 by using repeated lifetime measures in a measurement model, and obtained results that were in line
355 with the longitudinal modelling. Fourth, many individuals identified in the Medical Birth Registry
356 dropped out before they completed the second interview. Previous analyses on Norwegian twin data
357 have shown that participation was predicted by female sex, monozygosity and higher educational
358 status, but not statistically significantly by symptoms of psychiatric disorders or substance abuse
359 (Tambs et al., 2009). Non-response can reduce statistical power and bias prevalence estimates.
360 However, estimates of associations between variables are more robust (Nilsen et al., 2009). The use
361 of FIML ensures that all available data are being utilized, and can sometimes correct for bias even
362 when data are not missing completely at random (Enders & Bandalos, 2001). Finally, generalization
363 of the results may be limited to individuals of similar age and ethnic background as the participants.

364

365

Conclusion

366 Our results suggest that SAD is a likely causal influence on AUD. This does not apply to other anxiety

367 disorders. Interventions aimed at prevention and treatment of SAD are therefore likely to have an

368 additional beneficial effect of reducing the risk for AUD. Interventions aimed at other anxiety

369 disorders are unlikely to have similar additional effect on AUD.

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Tables

517 **Table 1.** Prevalences of alcohol use disorder and anxiety disorders (including subthreshold disorders)
 518 occurring the last five years by time of measurement and sex.

	Time 1				Time 2			
	Men		Women		Men		Women	
	n	%	n	%	n	%	n	%
Alcohol use disorder	133	13.1	83	4.7	68	8.5	38	2.6
Social anxiety disorder, subthreshold	108	10.6	234	13.2	52	6.5	135	9.1
Social anxiety disorder	18	1.8	76	4.3	13	1.6	75	5.1
Generalized anxiety disorder, subth.	72	7.1	205	11.6	43	5.4	130	8.8
Generalized anxiety disorder	8	0.8	40	2.3	6	0.7	46	3.1
Panic disorder, subthreshold	33	3.2	106	6.0	9	1.1	47	3.2
Panic disorder	16	1.6	61	3.4	6	0.7	36	2.4
Agoraphobia, subthreshold	19	1.9	94	5.3	8	1.0	53	3.6
Agoraphobia	17	1.7	94	5.3	9	1.1	50	3.4
Specific phobias	85	8.3	407	23.0	35	4.4	242	16.3

519 Note: The median p-value for difference between men and women is 0.0006. The highest p-value
 520 equals 0.05 (subthreshold social anxiety disorder at time 1).

521

522 **Table 2.** Results of logistic regression of alcohol use disorder (AUD) on anxiety disorders occurring
 523 last five years.

	Bivariate		Model A		Model B		Model C1	
	OR	95% CI	OR	95% CI	OR	95% CI	OR	95% CI
Social anxiety, sub.	2.72	(1.95, 3.79)	2.31	(1.63, 3.27)			2.26	(1.60, 3.19)
Social anxiety disorder	4.68	(2.87, 7.62)	2.90	(1.68, 5.03)			3.00	(1.77, 5.07)
Specific phobia	1.85	(1.33, 2.56)	1.45	(1.02, 2.05)				
Agoraphobia, sub.	1.85	(1.05, 3.26)	1.16	(0.63, 2.11)				
Agoraphobia	3.24	(2.01, 5.22)	1.16	(0.62, 2.16)				
Panic disorder, sub.	1.23	(0.69, 2.18)	0.73	(0.42, 1.26)				
Panic disorder	3.14	(1.80, 5.47)	1.34	(0.71, 2.55)				
GAD, sub.	2.52	(1.78, 3.57)	1.86	(1.28, 2.71)				
GAD	3.88	(1.93, 7.81)	2.00	(0.97, 4.10)				
Anxiety disorders IRT*	1.57	(1.41, 1.75)			1.57	(1.41, 1.75)	1.25	(1.12, 1.40)
Time	1.55	(1.05, 2.28)	1.70	(1.15, 2.50)	1.55	(1.06, 2.28)	1.61	(1.09, 2.37)
Age	0.90	(0.87, 0.93)	0.90	(0.87, 0.93)	0.90	(0.87, 0.93)	0.90	(0.87, 0.93)
Sex (female)	0.30	(0.23, 0.39)	0.24	(0.18, 0.31)	0.24	(0.18, 0.31)	0.24	(0.18, 0.31)
QIC		-		2157.4		2155.3		2153.2

524 Notes: Bivariate results are adjusted for time, age, and sex; sub. = subthreshold disorder (lacking 1
 525 symptom to satisfy criteria); GAD = Generalized anxiety disorder; IRT = Item response theory (latent
 526 trait model); * = variable is standardized (mean = 0, sd = 1). Data is analysed in long format,
 527 combining time 1 and time 2 data. Associations statistically significant at $\alpha=0.05$ shown in **bold**.

528

529 **Table 3.** Model fit indices from biometric structural equation modelling of social anxiety disorder
 530 (SAD), other lifetime anxiety disorders (AnxIRT), and alcohol use disorder (AUD) occurring the last
 531 five years.

Social anxiety disorder and alcohol use disorder				
Model	Δ -2LL	Δ df	p	Δ AIC
0: Genetic and environmental associations	-	-	-	-
1: T1 SAD → T2 AUD: Phenotypic influence	0.01	1	0.954	-1.99
2: T1 SAD → T2 AUD: Genetic association	2.25	1	0.133	0.26
3: T1 SAD → T2 AUD: Initial association only	7.85	2	0.020	3.86
4: T1 AUD → T2 SAD: Phenotypic influence	0.26	1	0.608	-1.73
5: T1 AUD → T2 SAD: Genetic association	0.38	1	0.538	-1.62
6: T1 AUD → T2 SAD: Initial association only	0.38	2	0.827	-3.62
7: Combination of model 1 and 6	0.46	3	0.927	-5.54
Other anxiety disorders and alcohol use disorder				
Model	Δ -2LL	Δ df	p	Δ AIC
0: Genetic and environmental associations	-	-	-	-
1: T1 AnxIRT → T2 AUD: Phenotypic influence	4.86	1	0.027	2.86
2: T1 AnxIRT → T2 AUD: Genetic association	1.15	1	0.284	-0.85
3: T1 AnxIRT → T2 AUD: Initial association only	8.41	2	0.015	4.41

4: T1 AUD → T2 AnxIRT: Phenotypic influence	5.90	1	0.015	3.90
5: T1 AUD → T2 AnxIRT: Genetic association	3.14	1	0.076	1.14
6: T1 AUD → T2 AnxIRT: Initial association only	6.15	2	0.046	2.15

532 Note: In Model 0, genetic and environmental associations across time are estimated independently
533 of each other. A model with a genetic association and a phenotypic path (instead of environmental
534 association) would be algebraically equivalent and have the same fit as Model 0. Bold text indicates
535 the best model within each block.

536

537 **Table 4.** Model fit indices from biometric structural equation modelling of lifetime social anxiety
 538 disorder (SAD) and lifetime alcohol use disorder (AUD) and other lifetime anxiety disorders (AnxIRT)
 539 and AUD, with estimates of direct effects.

Social anxiety disorder						
Model	Δ -2LL	Δ df	p	Δ AIC	SAD \rightarrow AUD	AUD \rightarrow SAD
A: Shared risk factors				-	-	-
B: SAD to AUD	0.01	1	0.930	-1.99	0.55	-
C: AUD to SAD	1.24	1	0.265	-0.75	-	0.55
D: Reciprocal causation	0.00	0	1.000	0.00	0.57	-0.03
Other anxiety disorders						
Model	Δ -2LL	Δ df	p	Δ AIC	AnxIRT \rightarrow AUD	AUD \rightarrow AnxIRT
A: Shared risk factors				-	-	-
B: AnxIRT to AUD	2.61	1	0.106	0.61	0.41	-
C: AUD to AnxIRT	6.33	1	0.012	4.33	-	0.41
D: Reciprocal causation	0.00	0	1.000	0.00	0.67	-0.38

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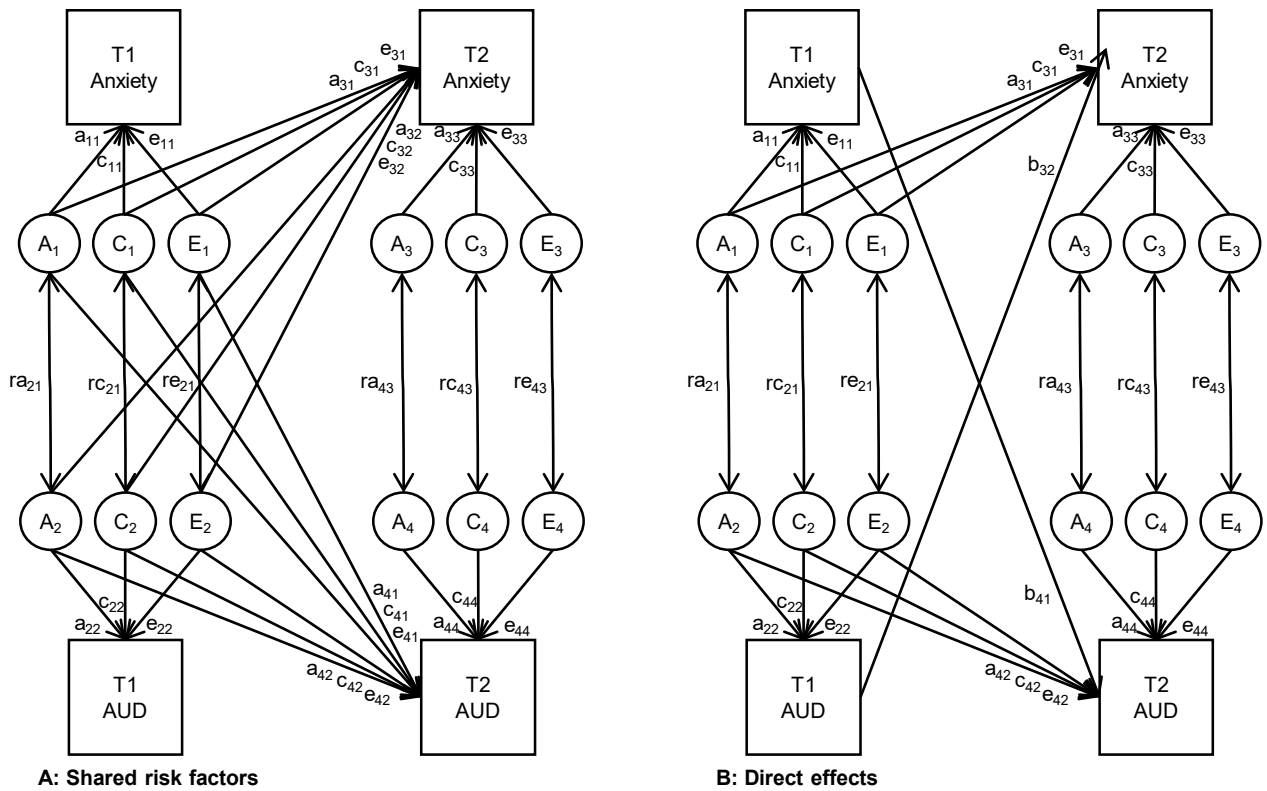
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Figure 1. The full model (A) for longitudinal associations between alcohol use disorder (AUD) and anxiety disorders. Genetic and environmental influences on a disorder can influence later observations, but not earlier. Influences on disorders measured at the same point in time are allowed to correlate. In the more parsimonious model B, longitudinal associations across the disorders are modelled as direct effects. If shared genetic risk factors account for the longitudinal relationship, model A would fit best. If longitudinal associations result from causal effect between the disorders, model B would fit best. If the baseline (T1) associations fully explain the future (T2) associations, b_{32} and b_{41} would be estimated at zero. We separately test the associations from anxiety to AUD (a_{41} , c_{41} , and e_{41} vs. b_{41}) and from AUD to anxiety (a_{32} , c_{32} , and e_{32} vs. b_{32}).

Figure 2. Longitudinal phenotypic associations among social anxiety disorder (SAD), other anxiety disorders (AnxIRT), and alcohol use disorder (AUD) occurring within 5-year intervals assessed 10 years apart, including 95% confidence intervals.

Figure 3. Best fitting biometric models for the longitudinal relationship between social anxiety disorder (SAD) and alcohol use disorder (AUD) (left-hand side) and for the relationship between other anxiety disorders and AUD (right). Paths below 0.20 are drawn with dashed lines.



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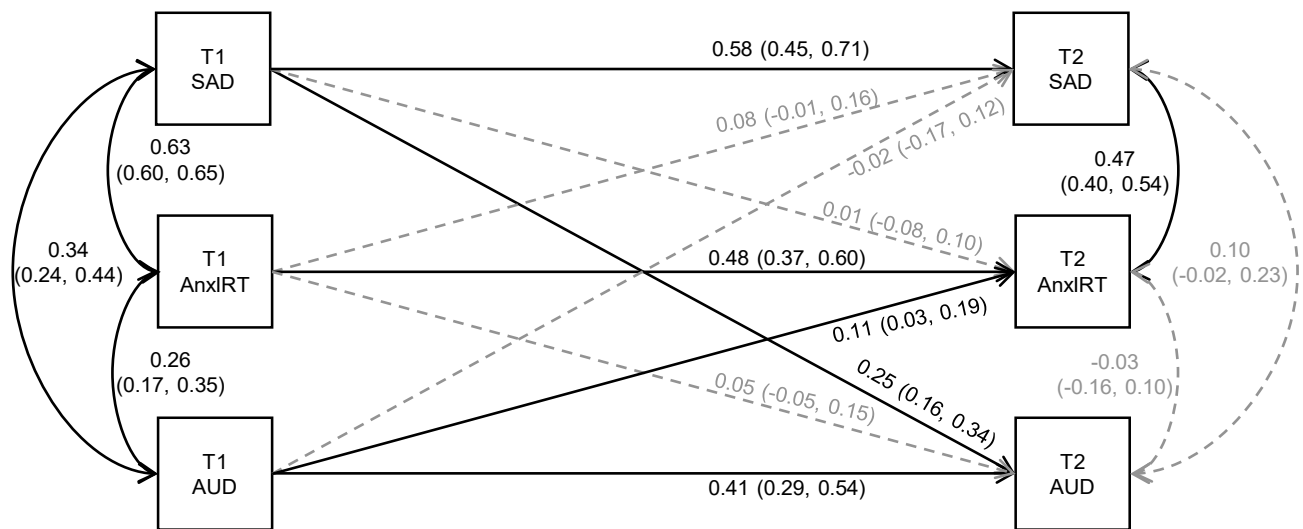
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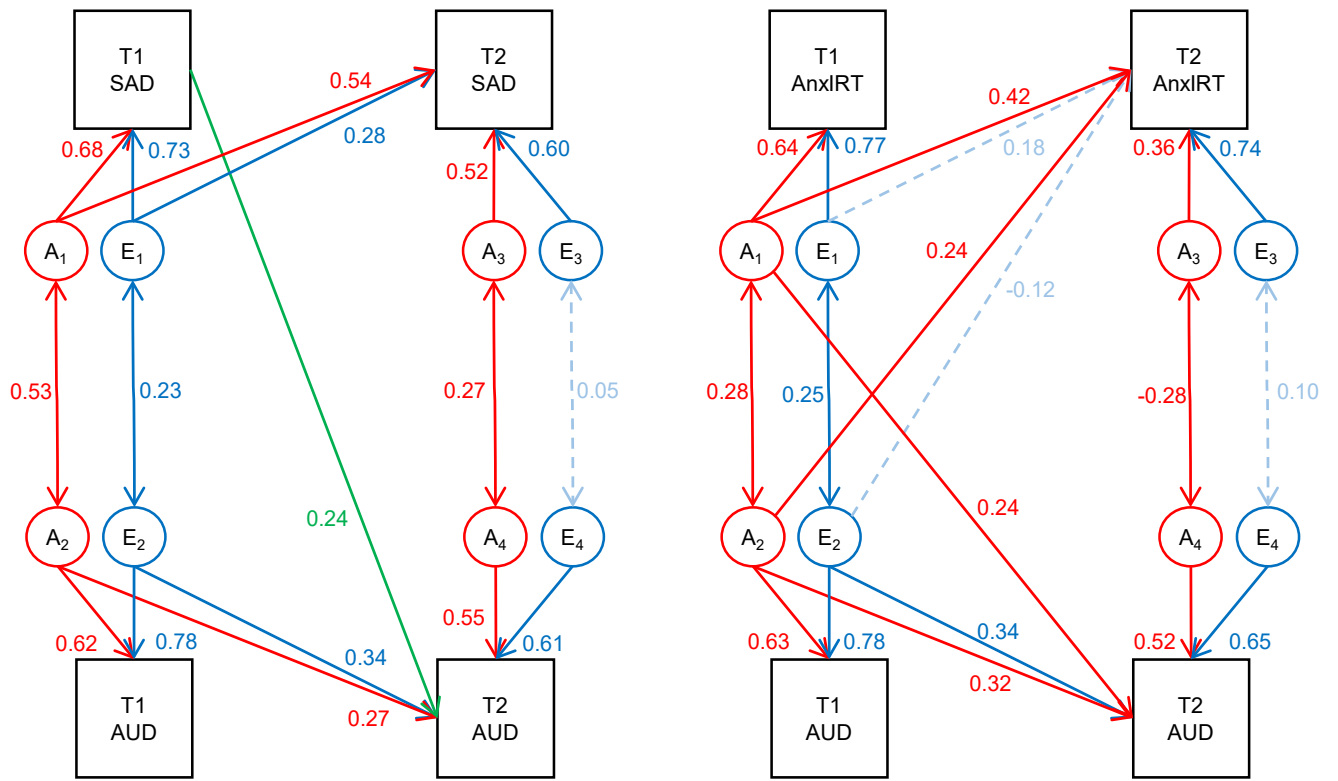
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574

575 **Figure 2.** Longitudinal phenotypic associations among social anxiety disorder (SAD), other anxiety
 576 disorders (AnxIRT), and alcohol use disorder (AUD) occurring within 5-year intervals assessed 10
 577 years apart, including 95% confidence intervals.

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580

581 **Figure 3.** Best fitting biometric models for the longitudinal relationship between social anxiety
 582 disorder (SAD) and alcohol use disorder (AUD) (left-hand side) and for the relationship between
 583 other anxiety disorders and AUD (right). Paths below 0.20 are drawn with dashed lines.

584