1	Paired methods to measure biofilm killing and removal: a case study with Penicillin G
2	treatment of Staphylococcus aureus biofilm
3	Running title: Biofilm kill/removal measurements
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18 Significance and impact of the study

19 Biofilms are tolerant to antimicrobial treatments and can lead to severe infections. Finding new antibiofilm strategies and understanding their mode-of-action is therefore of high importance. Historically, 20 21 antimicrobial testing has focused on measuring efficacy. While kill data are undeniably important, 22 measuring biofilm dispersal provides equally useful information. Starting with biofilm grown in the 23 same reactor, we paired assessment of biofilm removal using a new treatment- flow-cell and real-time 24 microscopy with kill data collected using the single tube method (ASTM method E2871). Pairing these 25 two methods revealed efficient biofilm removal properties of Penicillin G which were not detected 26 during efficacy testing.

27

28 Abstract

Biofilms are microbial aggregates that show high tolerance to antibiotic treatments in vitro and in vivo. 29 Killing and removal are both important in biofilm control, therefore methods that measure these two 30 mechanisms were evaluated in a parallel experimental design. Kill was measured using the single tube 31 method (ASTM method E2871) and removal was determined by video microscopy and image analysis 32 33 using a new treatment flow cell. The advantage of the parallel test design is that both methods used biofilm covered coupons harvested from a CDC biofilm reactor, a well-established and standardized 34 biofilm growth method. The control Staphylococcus aureus biofilms increased by 0.6 logs during a 3 h 35 36 contact time to medium lacking antibiotic. Efficacy testing showed biofilms exposed to 400 µmol 1⁻¹ penicillin G decreased by only 0.3 logs. Interestingly, time-lapse confocal scanning laser microscopy 37 revealed that penicillin G treatment dispersed the biofilm despite being an ineffective killing agent. In 38 addition, no biofilm removal was detected when assays were performed in 96-well plates. These 39 40 results illustrate that biofilm behavior and impact of treatments can vary substantially when assayed by

- 41 different methods. Measuring both killing and removal with well-characterized methods will be crucial
- 42 for the discovery of new anti-biofilm strategies.

43

- 45 *Keywords:*
- 46 Treatment-flow-cell
- 47 Single tube method
- 48 Biofilm
- 49 Penicillin
- 50 *Staphylococcus aureus*
- 51 Confocal laser scanning microscopy
- 52 Antibiotic efficacy testing

53 Introduction

54	Microbial biofilms exhibit increased tolerance to treatment with disinfectants and antibiotics,
55	and often, only combinations of chemical and physical measures can reduce viable cell numbers and/or
56	remove biofilm from surfaces . Killing viable cells is the focus of traditional antibiotic therapy,
57	although, removal of the matrix and/or prevention of initial attachment events are potentially important
58	mechanisms in the battle to control biofilm. Currently for a medical device colonized with biofilm,
59	replacement is often the only option to reestablish proper functionality resulting in high socioeconomic
60	burdens for patients and health care systems (Wilkins et al., 2014).
61	In vivo, biofilms grow in a diverse range of conditions and in vitro biofilms must therefore be
62	studied using laboratory systems that model various conditions. Static systems, such as well-plates,
63	grow biofilm under batch conditions (no replenishment of the nutrients) and minimal fluid shear. In a
64	dynamic system, the nutrients are continuously replenished, and the fluid shear may vary from laminar
65	to turbulent flow, depending upon the reactor system (Crusz et al., 2012). Flow cells are a useful tool
66	for facilitating detailed investigations of initial attachment events and biofilm removal, both important
67	aspects of biofilm control strategies. The preference for using dynamic assay systems is reflected by
68	US FDA regulatory guidelines for testing medical devices containing antimicrobials (Food and Drug
69	Administration, 2015).

ASTM method E2871-13, a biofilm efficacy test generally known as the single tube method, and ASTM method E2562-17 which describes how to grow a biofilm in the CDC biofilm reactor (ASTM International, 2013; ASTM International, 2017) were developed and statistically validated for measuring the efficacy of biocides against biofilm bacteria. The CDC biofilm reactor design allows for flexibility regarding biofilm growth conditions and sampling regimes due to the placement of three removable coupons in each of eight rods (Goeres *et al.*, 2005; Buckingham-Meyer *et al.*, 2007). By

design. the single-tube method only measures the efficacy of biocides and antibiotics against biofilm. 76 The single tube method is a static test system and does not provide information on biofilm removal. To 77 address this limitation of the single tube method, the treatment-flow-cell (FC310: Biosurface 78 79 Technologies, Bozeman, USA) was designed as a complementary new tool (Fig. 1). The treatmentflow-cell can be used to assess biofilm removal in real time that results from treatment of a mature 80 biofilm grown on coupons harvested from the CDC reactor. Using both, the single tube method and the 81 treatment flow cell in parallel allows a researcher to assess the kill and/or removal that results when a 82 biofilm is exposed to an antibiotic or biocide, thereby providing key insights into the mechanism of 83 action. 84

This paper highlights the advantages of combining kill and removal biofilm assays when finding new biofilm control strategies. Our treatment-flow-cell experiments, carried out in combination with the single tube method, allowed us to identify the effective biofilm removal properties of Penicillin G (Pen G) against a mature *Staphylococcus aureus* (*S. aureus*) biofilm. To our knowledge, this antibiofilm property of Pen G has not been reported yet.

90

91 **Results and Discussion**

92 Growth performance and Pen G susceptibility of planktonic S. aureus AH2547

Planktonic *S. aureus* AH2547 had a generation time of 25 min, which lies in the normal
bacterial proliferation range despite the metabolic burden of GFP expression (Domingue *et al.*, 1996).
A concentration of 0.15 µmol l⁻¹ Pen G inhibited growth of the strain (Fig. 2A). We detected a
difference in growth curve development for the treated versus control bacteria after 90 minutes of
incubation (Fig. 2A). Due to the mode-of-action of Pen G no rapid bactericidal effect was detected,

similar to previous experiments involving Pen G and the *S. aureus* strain ATCC 25923 (Ausbacher *et al.*, 2014).

100 Treatment-flow-cell biofilm experiments and image analysis

Coupons containing S. aureus AH2547 biofilm grown in the CDC reactor were collected for either 101 efficacy testing according to the single tube method or placement into the treatment-flow-cell to assess 102 103 removal. This allowed for the parallel measurement of biofilm killing and biofilm removal eliminating any experiment-to-experiment variability that is possible if the biofilm had been grown in different 104 reactors on different days. Our microscopy studies showed that treatment with full-strength TSB in the 105 treatment-flow-cell did not affect S. aureus biofilms. However, we observed a slight decrease of 106 fluorescence intensity over time when performing image analysis. This can be attributed to bleaching 107 from repeated laser light exposure (Fig. 2B, controls of experiments 1-3). Images of the untreated 108 control coupons showed no removal events (Fig. 2C and supplementary videos S1-2). We used 400 109 umol l⁻¹ of Pen G during our biofilm experiments based upon data from previous studies where equally 110 111 high concentrations had only a low to moderate impact on biofilm viability (Ausbacher *et al.*, 2014; Manner et al., 2015). Exposing the biofilm to Pen G first caused erosion of the biofilm and finally 112 resulted in complete removal of the biofilm after 40 min, 100 min or 120 min (Fig. 2 B-C and 113 114 supplementary video S2). Image analysis showed a 60% to 100% biofilm removal within a 90 minute time period. In contrast, growth curves of untreated controls and Pen G treated planktonic bacteria 115 followed each other for 90 min due to the antibiotic's dependence on proliferating bacteria (Fig. 2A). 116 Even though there is a discrepancy in Pen G concentration, it has been reported that increased 117 penicillin dosing does not necessarily impact the effect of β -lactam antibiotics (Van Herendael *et al.*, 118 2012). We conducted our experiments in full strength TSB and the flow of nutrients facilitated 119 hydrodynamic interactions. The increased mass transfer, higher shear forces and the additional 120 influence of Pen G, may therefore account for the substantial biofilm removal, which represents a 121

cohesive material failure (Brindle et al., 2011). Physicochemical interaction of Pen G with the biofilm 122 is plausible, considering that Pen G has surface active properties and is capable of forming micelles 123 (Thakkar *et al.*, 1971). Of note, Brindle *et al* have made similar observations when testing an urea 124 125 treatment, which in itself is not antimicrobial, against S. epidermidis biofilms (Brindle et al., 2011). In the study, urea removed biofilm within minutes when applied in conjunction with flow whereas a static 126 soak and subsequent fluid shear challenge did not result in biofilm removal. Besides urea, the anionic 127 surfactant SDS, chloride and chlorine-releasing agents have also been reported as having good removal 128 properties when *Pseudomonas aeruginosa* biofilms were treated (Chen et al., 2000). The dispersal of 129 the *S. aureus* biofilm might however be the result of a synergistic combination of bacteria/matrix 130 response to the presence of Pen G and demonstrates the benefits of testing in a system with 131 hydrodynamics. 132

133

134 *Single tube method*

It was advantageous that we could investigate the effect of Pen G on biofilms collected from the same reactor as those used in the treatment-flow cell due to the 24 available coupons in the CDC biofilm reactor. Pen G had a bacteriostatic effect on the biofilm bacteria with a difference of 0.3 log units between 1 and 3 hours of treatment (Fig. 3A). In contrast, bacteria in the untreated control biofilms proliferated under these conditions with a log increase of 0.6.

140 The viable plate count data collected during the single tube method experiments suggest that the 141 observed biofilm removal cannot be explained by a decrease in bacterial viability. Data from our OD₆₀₀ 142 measurements (Fig. 2A) illustrate that Pen G, whose efficacy depends on dividing bacteria, does not 143 have an instant effect on bacteria compared to what is generally known from rapidly acting biocides.

In order to check the treatment behavior of AH2547 biofilms in other assay systems we chose a 146 96-well plate format. The 96-well plate is a favorable tool for drug screening and is commonly used by 147 many laboratories for detecting potential anti-biofilm compounds. In this static assay system we tested 148 if Pen G treatment led to fluorescence loss due to biofilm removal and/or cell lysis. The well-plate 149 150 experiments did not result in substantial biofilm removal or loss in fluorescence after exchange of the 151 planktonic phase (Fig. 3B). In contrast to the treatment flow cell, increased mass transfer and shear 152 forces are absent in a 96 well-plate assay, similar to the single tube method. Lack of Pen G potency in 153 equally high concentrations against biofilms of various S. aureus strains in well-plate based assays has been reported by others groups (Amorena et al., 1999; Pettit et al., 2009; Ausbacher et al., 2014; 154 Manner *et al.*, 2015). This suggests that the sole presence of 400 µmol l⁻¹ of Pen G does not trigger 155 biofilm removal. S. aureus biofilms grown in a 96-well format in the presence of high concentrations of 156 Pen G can provoke protein expression for the increased energy supply for strengthening of the 157 158 proteoglycan (Savijoki et al., 2016). In addition to this defense strategy, Pen G is suspected to induce dormancy and thus support biofilm sustainability (Savijoki et al., 2016). Further studies are needed to 159 fully elucidate the molecular bases of the biofilm dispersing mechanism of Pen G on S. aureus 160 161 biofilms.

We demonstrated the usefulness of the treatment-flow-cell for visualizing biofilm removal in real-time. The ability to use coupons collected from the same CDC reactor for both the treatment flow cell and single tube method efficacy test allows for a more comprehensive evaluation of the mechanisms of action of potential antibiofilm treatments. Furthermore, our case study provides a good example of the importance of using multiple methods to reveal potent removal properties of Pen G, which has not been reported previously. The implementation of different test regimens can therefore be pivotal in identifying new biofilm control strategies.

169 Material and Methods

170 Bacterial strain

We used the green fluorescent protein (GFP) expressing *S. aureus* strain AH2547 which and
contains the GFP-expressing plasmid pCM29 (Pang *et al.*, 2010) kindly provided by Dr. Alex Horswill.

173

174 Planktonic growth analysis and Pen G susceptibility

Overnight cultures of S. aureus AH2547 were prepared in TSB, supplemented with 175 chloramphenicol (10 µg/mL) for plasmid retention. Growth analyses of AH2547 were conducted in a 176 50 mL broth volume, supplemented with chloramphenicol as described above. The OD₆₀₀ values of 177 aliquots were measured every 30 min and plated on TSA agar for CFU/mL determination. Generation 178 time was calculated from the log-phase of AH2547 proliferation. Growth curves of treated and 179 untreated bacteria were determined with a Biotek Synergy HT microplate reader (Biotek Instruments 180 Inc., Winooski, VT, USA) in a 96-well plate format as described by Ausbacher et al. (Ausbacher et al., 181 2014). 182

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184 Formation of 48 h biofilms in CDC reactor

185 Biofilms were formed on glass coupons (diameter 1.27 cm) according to a modification of

ASTM Method E2562-17 and Buckingham-Meyer et al. (Buckingham-Meyer et al., 2007; ASTM

187 International, 2017). In brief, a CDC reactor containing 500 ml full strength TSB and chloramphenicol

188 (10 μ g/mL) was inoculated with 1 mL of a 10⁹ CFU/mL overnight GFP *S. aureus* culture grown in full

strength TSB supplemented with 10 mg/mL chloramphenicol for plasmid retention. The biofilm grew

190	in batch conditions at 37°C, 125 rpm for 24 h. Continuous flow of one-tenth TSB was applied
191	subsequently for another 24 h at 37°C and 125 rpm before coupons were sampled from the reactor.

192

193 Treatment-flow-cell and confocal microscopy

Coupons were transferred to the treatment-flow-cell (model FC310; Biosurface Technologies, 194 Bozeman, Mt, USA) with the low shear side up (side that faced the reactor wall). A flow of full 195 strength TSB (2 ml/min, 37°C) was applied for three minutes to stabilize the system and for adjusting 196 instrument settings. Untreated controls were treated with TSB. The penicillin G treatment (400 µmol l⁻¹ 197 Pen G in TSB) was applied after the system was stable. The pH of TSB was not affected by the 198 presence of the antibiotic (Table 1). Images were acquired of the bright field and GFP channel using a 199 200 Leica SP5 confocal laser scanning microscope. The z-stack step size was set to 10 µmol l⁻¹. Movie generation was carried out with IMARIS® (Bitplane) and image analysis with MetaMorph® 201 (Molecular Devices). The FIJI software bundle was used for generating overlay images (Schindelin et 202 al., 2012). 203

204

205 Single tube method for treatment efficacy testing

ASTM Method E2871, generally known as the single tube method, was used to quantitatively measure the log reduction in viable biofilm cells exposed to a Pen G for 1 h, 2 h and 3 h (ASTM International, 2013). Briefly, coupons containing *S. aureus* biofilm were removed from the CDC reactor, rinsed and then transferred to 50 ml conical tubes with tweezers. Subsequently, 4 mL of TSB or 400 μ mol l⁻¹ Pen G prepared in TSB were carefully added to the tubes. The tubes were incubated at 37°C under static conditions. At each specific time point, 36 mL D/E broth was added and the biofilm was disaggregated by sonication and vortexing according to ASTM E2871. All tubes were kept on wet ice and each sample was diluted immediately to neutralize the Pen G. The diluted samples were drop
plated on TSA plates, incubated overnight at 37°C and enumerated.

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216 Biofilm formation and treatment in 96-well plates

We performed a similar static assay in 96-well plates to investigate if a comparable effect was found in another test system commonly used in biofilm research. Biofilms were formed and treated as described by Ausbacher *et al.* (Ausbacher *et al.*, 2014). After treatment, the biofilm GFP fluorescence was measured using a BioTek Synergy H1 (Biotek Instruments Inc., Winooski, VT, USA), multi-mode plate reader.

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223 Statistics

The Students t-test was performed using the quantitative data from the single-tube method and96-well plate assays using SigmaPlot 13.0.

226

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231

232 Conflict of Interest

233 No conflict of interest is declared.

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291 Supporting information

S. aureus biofilm containing coupons, sampled from a CDC biofilm reactor, were transferred to the treatment-flow-cell with the low shear side up. TSB (2 ml/min, 37°C) was applied for three minutes to stabilize the system and continued for our untreated controls. Once stabilized, the treated coupons were exposed to 400 μ mol l⁻¹ Pen G in flowing TSB. Images were acquired with a Leica SP5 confocal laser scanning microscope using transmission and GFP channels. The z-stack step size was set to 10 μ m and movie generation was carried out with IMARIS® (Bitplane, South Windsor, CT, USA).

- **Video S1**: Video microscopy of experiment 2 Pen G 400 μ mol l⁻¹ (GFP and brightfield channels)
- **Video S2**: Video microscopy of experiment 2 untreated control (GFP and brightfield channels)

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Fig. 1 Cross section and top view of the treatment flow cell illustrating coupon location, flow in- and outlet and mounting notches for attachment to the microscope stage. The treatment flow cell is sealed by a 25 mm cover slip, which is located under the silicon gasket, after the cap had been screwed on the bottom part of the cell. Barb ports accommodate 3 mm tubing for inlet and outlet (schematic adapted with permission from Biosurface Technologies Corp.).

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Fig. 2 Behavior of planktonic S. aureus, image analysis data and CLSM overlay images after real-time 309 image acquisition of treated S. aureus biofilms. (A) Pen G (0,15 umol l⁻¹) treated and untreated 310 planktonic *S. aureus* over an incubation period of 24 h. Pen G 400 umol l⁻¹ (•) and untreated control 311 (°). (B) Image analysis of untreated *S. aureus* biofilms and after treatment with 400 µmol l⁻¹ of Pen G 312 in three independent experiments. Experiment 1-Pen G (\bullet), experiment 1 – control (\circ), experiment 2-313 Pen G (\blacktriangle), experiment 2-control (Δ), experiment 3-Pen G (\blacksquare), experiment 3-control (\Box). For clarity, 314 data points of each experiment were connected. (C) GFP-brightfield overlay images of control biofilms 315 and Pen G treated biofilms at experiment start (0 min), start of erosion (40 min) and during dispersion 316 phase (85 - 110 min). Scale bars represent 200 µmol l⁻¹. Movies of Pen G treated and untreated 317 biofilms are available as supplemental information in the online version of this article (videos S1-S2). 318 319 Fig. 3 Quantification of the Pen G impact on S. aureus biofilms. (A) Quantification of viable cells of 320 mature biofilms from a CDC biofilm reactor by using the single-tube method. (321

322 (\blacksquare) 400 µmol l⁻¹ Pen G. Asterisk indicates significant difference, p < 0.05 (Student's t-test). (B)

- 323 Quantification of 18 h biofilms in 96-well plates utilizing GFP fluorescence of *S. aureus*. Treatment of
- biofilms for 1 3 h were followed by exchange of the planktonic phase, which did not lead to removal
- of biofilms in 96-well plates. Results display the mean \pm SD of three independent experiments.

326 Figure 1







