1 Healthy lifestyle and the risk of pancreatic cancer in the EPIC study

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80	Abbreviations
81	BMI: Body Mass Index
82	CI: Confidence Interval
83	EPIC: European Prospective Investigation into Cancer and Nutrition
84	HR: Hazard Ratio
85	PC: Pancreatic Cancer
86	PAF: Population Attributable Fraction
87	WCRF/AICR: World Cancer Research Fund/American Institute for Cancer Research
88	WHR: Waist-to-Hip ratio

89 Abstract (Words=248)

Background. Pancreatic cancer (PC) is a highly fatal cancer with currently limited
opportunities for early detection and effective treatment. Modifiable factors may offer
pathways for primary prevention. In this study, the association between the healthy lifestyle
index (HLI) and PC risk was examined.

94 Methods. Within the European Prospective Investigation into Cancer and Nutrition (EPIC) 95 cohort, 1,113 incident PC (57% women) were diagnosed from 400,577 cancer-free participants 96 followed-up for 15 years (median). HLI scores combined smoking, alcohol intake, dietary 97 exposure, physical activity and, in turn, overall and central adiposity using BMI (HLI_{BMI}) and waist-to-hip ratio (WHR, HLI_{WHR}), respectively. High values of HLI indicate adherence to 98 healthy behaviors. Cox proportional hazard models with age as primary time variable were 99 100 used to estimate PC hazard ratios (HR) and 95% confidence intervals (CI). Sensitivity analyses 101 were performed by excluding, in turn, each factor from the HLI score. Population attributable 102 fractions (PAF) were estimated assuming participants' shift to healthier lifestyles.

103 **Results.** The HRs for a one-standard deviation increment of HLI_{BMI} and HLI_{WHR} were 0.84 104 (95% CI: 0.79, 0.89; p_{trend} =4.3e-09) and 0.77 (0.72, 0.82; p_{trend} =1.7e-15), respectively. 105 Exclusions of smoking from HLI_{WHR} resulted in HRs of 0.88 (0.82, 0.94; p_{trend} =4.9e-04). The 106 overall PAF estimate was 19% (95% CI: 11%, 26%), and 14% (6%, 21%) when smoking was 107 removed from the score.

108 Conclusion. Adherence to a healthy lifestyle was inversely associated with PC risk, beyond
109 the beneficial role of smoking avoidance. Public health measures targeting compliance with
110 healthy lifestyles may have an impact on PC incidence.

111 **Introduction** (Words=4,134)

In the last decades, the rise in pancreatic cancer (PC) incidence has become a major public health concern with mortality rates expected to double by 2030 in American and European populations [1–3]. Commonly diagnosed at late stages, PC is a highly fatal cancer with similar incidence and mortality rates [4]. In the current absence of available screening tools [5], the

116 identification of modifiable risk factors might be important for PC prevention.

117 The World Cancer Research Fund/American Institute for Cancer Research (WCRF/AICR) 118 international expert panel estimated that at least one-third of all cancers could have been 119 prevented through lifestyle management including diet, obesity and physical activity habits [6]. 120 PC incidence rates are nearly four times higher in high-income countries such as the United 121 States and Western European countries than in middle- and low-income countries [4], 122 suggesting that PC occurrence may be associated with lifestyle factors specifically prevalent 123 in the Western world. Individual examination of lifestyle risk factors of PC have led to the 124 identification of smoking, as well as body fatness, adult attained height, type-2 diabetes, and 125 heavy alcohol drinking as positive risk factors, while diet and physical activity have been 126 inconsistently associated with PC risk [7,8]. There is limited evidence regarding the joint association of different lifestyle factors on PC incidence, especially among European 127 128 populations [9,10].

Previous epidemiological studies have identified clusters of modifiable exposures, assessable through *a priori* scores reflecting compliance with primary prevention guidelines [11], which were evaluated in relation to cardiovascular diseases [12,13], cancer incidence [14,15], and overall and cause-specific mortality [16,17]. A multi-component score termed the Healthy Lifestyle Index (HLI), combining information on smoking, alcohol intake, dietary habits, body mass index (BMI), and physical activity has been previously related to colorectal [18], breast [19], gastric [20], and overall cancers [21] within the European Prospective Investigation into 136 Cancer and Nutrition (EPIC) study. Within the American Association of Retired Persons137 (AARP) study a strong inverse association was observed between the HLI and PC risk[9].

In this work, the association between the HLI and PC risk was examined within the EPIC study. Two versions of the score were used, i.e. (i) with BMI to reflect overall adiposity and (ii) with waist-to-hip ratio to reflect central adiposity. The marginal role of single factors in the HLI score was investigated, particularly smoking. Population attributable fractions were also estimated.

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144 Material and Methods

Study population. EPIC is a multicenter prospective study designed to investigate the etiology 145 of cancer in relation to diet and other lifestyle factors [22]. From 1992 to 2000, 521,324 146 147 participants aged from 35 to 70 years were recruited across 10 European countries, mostly from 148 the general population, of which 70% were women. Exceptions were the French cohort (school 149 and university employees), the Spanish and Italian centers (blood donors), Utrecht and 150 Florence centers (breast cancer screening participants), and Oxford (vegetarians and 'health 151 conscious' participants). In France, Utrecht and Naples women only were recruited. Study participants provided informed consent before completing questionnaires at baseline. 152 153 Participants from Norway were excluded from this study, as information on physical activity 154 was not compatible with the other centers [23].

155 Cancer cases were identified during follow-up based on population cancer registries in 156 Denmark, Italy, Netherlands, Spain, Sweden, and the United Kingdom, and on a combination 157 of methods, including health insurance records, contacts with cancer and pathology registries, 158 and active follow-up of EPIC participants and their next of kin in France, Germany, and Greece.

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Mortality data were collected from, either the cancer or mortality registries at the regional ornational level.

161 The most recent vital status and cancer diagnosis update were used. Vital status was known for 98.4% of all EPIC subjects, while 1.6% of participants emigrated, withdrew or were lost to 162 163 follow-up. The current follow-up period ended as follows: December 2009 in Varese and 164 Murcia, December 2010 in Florence, Ragusa, Turin, Asturias, Bilthoven and Utrecht, December 2011 in Granada, Navarra, San Sebastian and Cambridge, December 2012 in 165 166 Oxford, Umeå, and Denmark, and December 2013 in Malmö. The end of follow-up was 167 considered as the last known contact with participants in France (June 2008), Heidelberg and 168 Potsdam (December 2009), and Naples (December 2010) and Greece (December 2012). Cases of PC were primary incident tumor of the pancreas, coded according to the International 169 Classification of Diseases (10th edition), which included all invasive pancreatic cancers 170 171 (C25.0–C25.3, C25.7–C25.9). Endocrine and neuroendocrine tumors of the pancreas (C25.4) 172 were censored at date of diagnosis (n=54). Microscopically confirmed PC represented 83% of 173 the cases (n=928) based on histology of the primary tumor or metastases, cytology or autopsy 174 reports.

175 <u>Exposure assessment.</u> Habitual diet, including alcohol intake, over the year preceding
176 recruitment was assessed at baseline by validated center-specific dietary questionnaires
177 [22,24]. Data on anthropometry (self-reported in France and the UK Oxford center) [25,26]
178 physical activity, smoking habits, and prevalent chronic conditions were collected at
179 recruitment through lifestyle questionnaires [22].

A diet score was built from the combination of six dietary factors reflecting diet quality [21], i.e. cereal fibers, red and processed meat, the ratio of polyunsaturated to saturated fatty acids, margarine (to express industrially produced trans-fats) [27,28], glycemic load, and fruits and vegetables. For each dietary factor, residuals were computed in models with total energy intake 184 [29], and grouped into country-specific deciles. Individual scores were summed up and185 categorized into quintiles.

The HLI was generated from the combination of five lifestyle factors, namely: diet score, physical activity, smoking status, alcohol consumption and anthropometry. For each factor, scores ranging from 0 to 4 were assigned to increasingly healthier categories, as described in **Figure 1**. The HLI was obtained as the sum of scores of each lifestyle factor *[19]*. As previous evidence on PC etiology identified waist-to-hip ratio, an indicator of central adiposity, as a PC risk factor *[30,31]*, a HLI based on WHR (HLI_{WHR}) was implemented replacing BMI with sexspecific WHR quintiles.

193 Statistical analysis. From a study population of 521,324 participants, subjects without lifestyle 194 or dietary information (n= 6,902), with ratio of estimated energy intake over energy 195 requirement in the top or bottom 1% (n=10,241),/32] with self-reported prevalent cancer 196 (n=24,221), with missing follow-up information (n=3,800), with missing smoking status 197 (n=15,684) or physical activity (n=65,054) were excluded. For analyses with HLI_{WHR}, subjects 198 with missing WHR were also excluded (n=45,105). Country-specific age standardized PC 199 incidence rates (ASR, per 100,000 person-years, PY) were computed using 5-year categories 200 in the range 50 to 70 years and the standard European population.

The association between the HLI and PC incidence was evaluated using multivariable Cox proportional hazard models, with age as the primary time variable, and Breslow's method to handle ties [33]. The time at study entry was age at recruitment, while the exit time was age at cancer diagnosis, death, loss, or end of follow-up, whichever came first. All models were stratified by study center [32], sex and age at recruitment in 1-year categories.

The HLI_{BMI} and HLI_{WHR} were, in turn, modeled as continuous variables to compute HR estimates for a one-standard deviation (1-SD), corresponding to about three-point increase in the score. Analyses were also carried out in categories (0-4, 5-9, 10-14, 15-20), using the group

209 5-9 as reference. Models were systematically adjusted for potential risk factors of PC and covariates influencing HLI and PC risk [21,34–36], namely education level (no degree/primary 210 211 school, secondary/technical or professional school, university degree or more, unknown (4%)), 212 self-reported baseline diabetes status (no, yes, unknown (8%)), energy intake from non-alcohol 213 sources (continuous), and height (continuous). Additional adjustment for BMI (continuous) 214 was used in models for HLI_{WHR}. HRs were unchanged after women-specific inclusion of 215 menopausal status, ever use of replacement hormonal replacement therapy and number of full-216 term pregnancies, thus adjustment for these variables was not pursued. Overall tests for statistical significance of HRs were determined by comparing Wald-test statistics to a χ^2 217 218 distribution with degree of freedom (dof) equal to the number of categories minus one for 219 evaluation in categories (p_{Wald}) and dof equal to one as continuous (p_{trend}). The proportionality 220 of hazards (PH) assumption was evaluated through the Schoenfeld's residuals [37].

Sensitivity analyses were carried out by excluding, in turn, each factor from the HLI scores to
identify factors mostly driving the HLI association with PC risk. The excluded component was
used as a confounder in the model.

Assuming a causal relationship between HLI_{WHR} and PC risk, population attributable fractions (PAF) were estimated as the reduction in PC incidence that would occur if study participants shifted to the adjacent healthier category of HLI_{WHR} , as [38]

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$$PAF = \frac{\sum_{i=1}^{k} RR_i c_i - \sum_{i=1}^{k} RR_i c_i^*}{\sum_{i=1}^{k} RR_i c_i}$$

with i=1,...,4 indexing the HLI_{WHR} categories, HR_i and c_i expressing the hazards ratio and the observed proportion of participants in category i, respectively, and c_i^{*} the counterfactual proportion of participants, as detailed in **Supplementary Table 1**. PAF was also computed assuming a counterfactual scenario whereby men adopted women's lifestyle habits. Given the low PC prevalence and under the proportional hazards assumption, HRs were correct approximations of risk ratios (RR_i). Confidence intervals were obtained using bootstrap
sampling [39].

235 The relationship between the HLI and PC risk was estimated by, in turn, sex, European regions 236 (North: Denmark, Sweden; Central: The United Kingdom, The Netherlands, Germany; South: 237 France, Greece, Italy, and Spain), and smoking status (never, former, current). Interactions 238 were evaluated by comparing the difference in log-likelihood of models with and without interaction terms between HLI_{WHR} and, either sex, European region or smoking, to a χ^2 239 240 distribution, with dof equal to the total number of interaction terms minus one. Although the 241 PH assumption was satisfied, possible selections could operate among study participants within 242 15 year of follow-up, and HR estimates can change with age. The pattern of HR for a 1-SD 243 increase of HLI_{WHR} by age was examined using a flexible parametric survival model on the 244 cumulative hazard scale. Restricted cubic splines with 5 internal knots were used to model the 245 baseline hazard using attained age as the time scale and a time-varying coefficient on HLI_{WHR} 246 [40].

To address potential reverse causality, analyses were carried out excluding the first 2 and 5 years of follow-up. In analyses excluding smoking from the HLI, HR estimates after adjustment by smoking status (never, former, current), smoking intensity (number of cigarette/day, continuous) and duration of smoking (years, continuous) were examined. Two-sided p-values were used with a 5% nominal statistical significance. Analyses were performed using Stata 14 [41].

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254 Results

From a total of 400,577 participants (70% women) followed-up for 15 years (median) and a
total of 5,544,627 person-years, 1,113 incident PC cases were diagnosed. Exclusion of subjects

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without information on their WHR led to 1,075 PC cases from a total of 355,472 participants
as reported in Table 1. The overall PC ASR was equal to 6.0 per 100,000 person-years, with
relatively large and low ASR estimates observed in Germany (9.4 per 100,000 PY) and France
(2.1 per 100,000 person-years), respectively. The individual components of the HLI, together
with other confounding variables, are described in Table 2. The HLI was inversely related to
education, while the prevalence of diabetes at recruitment was stable across HLI categories.
The hypothesis of PH assumption was not rejected with p-value equal to 0.24.

A 1-SD higher HLI was inversely associated with PC risk, with HR equal to 0.84 (95%CI: 0.79, 0.89, p_{trend} =4.3e-09) for HLI_{BMI} and 0.77 (0.72, 0.82, p_{trend} =1.7e-15) for HLI_{WHR}, as shown in **Table 3**. These patterns were confirmed for PC HR estimates for analyses in categories, consistently for HLI_{BMI} and HLI_{WHR}.

- 268 Results of sensitivity analyses are displayed in Figure 2. After exclusion of smoking status,
- the HR for a 1-SD increase of HLI_{BMI} was 0.94 (95%CI: 0.88, 1.01; ptrend=0.11), and after
- exclusions of, in turn, alcohol and BMI, HRs were 0.85 (0.80, 0.91; p_{trend}=6.3e-07) and 0.79
- 271 (0.74, 0.85; ptrend=7.6e-12), respectively. After exclusion of, in turn, smoking, alcohol, waist-
- to-hip ratio from the HLI_{WHR} score, HRs were equal to 0.88 (0.82, 0.94; p_{trend}=4.9e-04), 0.79
- 273 (0.74, 0.84; p_{trend}=7.0e-13) and 0.79 (0.74, 0.85; p_{trend}=3.2e-11), respectively.

PAF estimates for a shift of participants to the adjacent healthier category of HLI_{WHR} was equal
to 19% (95%CI: 11%, 26%) (Table 4). Excluding, in turn, smoking, alcohol and WHR from
the HLI_{WHR} showed PAF estimates of 14% (6%, 21%), 19% (10%, 25%), and 16% (9%, 22%),
respectively. PAF were 8% (-3%, 18%) for non-smokers at baseline (never and former) and
20% (7%, 35%) for current smokers. PAF estimates were 29% (16%, 37%) in men, and 13%
(2%, 24%) in women. Counterfactual scenario whereby men adopted women's lifestyle habits
showed a PAF of 13% (9%, 26%).

The association between the HLI_{WHR} and PC risk were similar by sex, European region, and smoking status with p_{heterogeneity} equal to 0.35, 0.15 and 0.62, respectively (**Figure 3**). Although the PH assumption was satisfied, PC HR estimates for HLI_{WHR} showed weaker associations at older ages (**Figure 4**). Exclusion of the first 2 and 5 years of follow-up did not materially alter HRs. After exclusion of smoking from the HLI and adjustment by smoking status, intensity and duration, HRs were unchanged (not shown).

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288 Discussion

In this large European prospective study, healthy lifestyle habits expressed as a HLI score were strongly inversely related to the risk of PC. Adherence to healthy behaviors corresponding to a three-point increase in the score was associated with a 16% (95%CI: 11%, 21%) lower PC risk for a score that included BMI, and 23% (18%, 28%) lower PC risk for a score based on WHR. These results support the adoption of healthy lifestyles in PC prevention.

294 Scores reflecting dietary and lifestyle habits have become increasingly popular in cancer 295 epidemiology research [21,42,43]. In EPIC, scores expressing adherence to either the Mediterranean diet or the WCRF/AICR recommendations have mainly focused on diet, 296 297 physical activity and anthropometry, and had previously shown null associations with PC risk 298 in both men and women [44,45]. Within the NIH-AARP study, a score based on the American 299 Cancer Society recommendations including physical activity, diet, BMI, alcohol, but not 300 smoking, was associated with a 20% (95%CI: 3%, 35%) lower PC risk in men, comparing the top vs. bottom category, while no association was observed in women [46]. Within the same 301 cohort, an inverse association was observed between HLI and PC, when smoking was added 302 303 to the score [9].

In the current study, a comprehensive evaluation of the association between HLI and PC risk
was undertaken using sensitivity analyses. As smoking is an established strong risk factor of

PC [47], it has been suggested that the association between lifestyle habits and PC might be
primarily driven by smoking [45]. In our analysis, HLI was inversely associated with PC risk
even after excluding smoking from the score, with a 12% risk reduction associated with a threepoint (1-SD) increase in the HLI_{WHR} (95%CI: 6%, 18%; ptrend=4.9e-04). Additionally, in never
and former smokers, the PC HR for a three-point increase in the HLI was equal to 0.87 (0.79,
0.95; ptrend=2.0e-03, data not shown), suggesting the advantage of adopting healthy habits for
PC prevention, beyond the benefit of smoking avoidance.

313 Body fatness is also an established risk factor for PC [8,48]. A recent pooled analysis concluded 314 that central adiposity during adulthood assessed through waist circumference, or waist-to-hip 315 ratio may also predict PC risk independently from BMI [49]. In our study, HLI based on WHR 316 showed a marginally stronger relationship with PC risk than HLI based on BMI. The 317 subcutaneous truncal adipose tissue has been positively associated with the development of 318 insulin resistance and diabetes [31,50,51], two recognized risk factors for PC [52], and may 319 explain the role of central adiposity, rather than overall adiposity, in PC etiology. Moreover, 320 smoking and alcohol consumption have been previously associated with increasing visceral fat 321 deposition [53,54], which may suggest common pathways between smoking, alcohol 322 consumption and central adiposity in pancreas carcinogenesis.

323 In our study, the association between HLI and PC was marginally stronger at younger ages 324 compared to older ages. This pattern could be due to a depletion overtime of participants susceptible to PC [55], a phenomenon resulting in an over representation of non-susceptible 325 326 participants with adverse lifestyle profiles at older ages, thus leading to weaker relationships. 327 Alternatively, HR patterns could be ascribed to study participants' changes towards healthier lifestyle habits related to ageing, or ultimately due to a true causal association indicating that 328 329 PC benefits could be more substantial if favorable lifestyle habits were adopted at younger ages 330 [56].

331 This study is to date the first evaluation of the association between a combination of healthy 332 lifestyle factors and PC incidence in European populations, thus corroborating previous 333 evidence from a US study [9]. The strengths of the present study rely on its prospective multi-334 country design reflecting heterogeneous lifestyle habits. Its large sample size and long follow-335 up time allowed ascertainment of over a thousand incident PC cases, increasing the statistical 336 power in comparison with the previous EPIC evaluation [44]. Furthermore, associations were unchanged after exclusion of the first years of follow-up. However, this study also has 337 338 limitations. First, measurement errors likely affected dietary and lifestyle assessments, possibly 339 introducing bias in estimated associations. Furthermore, as EPIC participants represent a 340 healthy proportion of the general population, risk estimates in our study were likely attenuated. 341 In addition, the evidence for a role of life course socio-economic status on cancer-related risk 342 factors was suggested [57], and the use of education in our study as a proxy for socio-economic 343 status might have introduced residual confounding. Last, our study did not consider potential 344 changes in dietary and lifestyle exposures after recruitment, which could be relevant to estimate 345 the association between lifestyle factors and PC risk, as well as to explain HR patterns over 346 age.

347 Assuming that HLI was causally related to PC risk, and that combinations of different lifestyle 348 factors leading to the same value of the HLI had the same effect on PC risk, PAF estimates indicated that 14% (95%CI: 6%, 21%) of PC could have been avoided by controlling central 349 350 adiposity, alcohol consumption, diet and physical activity, and up to 19% (11%, 26%) if 351 smoking control was also implemented, indicating the benefit of adopting healthy lifestyle 352 beyond smoking control. In the AARP study, the PAF was 27% assuming that participants 353 adopted the healthiest lifestyle pattern [9], while in a recent Australian PC study considering 354 only smoking and BMI, the PAF was 30% [58].

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356 Conclusion

In conclusion, our findings provide evidence that adherence to a combination of healthy lifestyle habits was strongly inversely associated with PC risk in European adults. Inverse associations were observed even after dismissing, in turn, smoking, alcohol drinking, and adiposity. Adherence to healthy lifestyle habits, especially from younger ages, could be an effective primary prevention strategy to control the incidence of PC, a fatal cancer with no screening tools currently available for early detection.

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Conflict of interest

None to declare.

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Data sharing statement

Information to submit an application to have access to EPIC data and/or biospecimens can be found at http://epic.iarc.fr/access/index.ph.

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Figures Captions

Fig 1 Scoring system implemented to combine the 5 lifestyle factors into the Heathy Lifestyle Index based on the waist-to-hip ratio (HLI_{WHR})

¹ For the HLI_{BMI}, sex-specific waist-to-hip ratio quintiles was replaced by categories of BMI at baseline using cut-offs as (4) 22–23.9 kg.m⁻², (3) 24–25.9kg.m⁻², (2) <22 kg.m⁻², (1) 26–29.9kg.m⁻², and (0) >30 kg.m⁻².

Fig 2 Hazard ratio estimates for the associations between a 1-SD increment of HLI^1 and PC risk after recalculation of the HLI_{BMI} and the HLI_{WHR} excluding, in turn, each lifestyle factor

¹ One Standard deviation corresponded to about 3 units of either HLI_{BMI} or HLI_{WHR}; ² Models evaluating associations between the HLI_{BMI} and PC risk were adjusted for education level, diabetes status, non-alcohol energy intakes, height, and the index components currently excluded from the calculation of the HLI, and stratified by study center, age and sex; ³ Models evaluating associations between the HLI_{WHR} and PC risk were adjusted for education level, diabetes status, non-alcohol energy intakes, height, BMI and the index components currently excluded from the calculation of the HLI, and stratified by study center, age and sex. Fig 3 Heterogeneity in the relationship between HLI_{WHR} and PC by sex, European region, and smoking status, expressed for a 1-SD increase of HLI_{WHR}^{1}

¹One Standard deviation corresponded to about 3 units of either HLI_{BMI} or HLI_{WHR};

² Northern Europe included Denmark and Sweden, Central Europe included United Kingdom, The Netherlands and Germany, and Southern Europe included France, Greece, Italy and Spain;
³ Models were computed using the HLI_{WHR} excluding smoking;

⁴ Models included interaction terms between HLI_{WHR} and, in turn, sex, European region, and smoking status at recruitment. Differences in HRs were assessed comparing the log-likelihood of models with and without interaction terms to a χ^2 distribution with degrees of freedom equal to the number of categories minus one.

Fig 4 Hazard ratio function (and 95%CI)¹ for the association between HLI_{WHR} and PC risk over years of age, for 1-SD increase of HLI_{WHR}

¹ Obtained from a flexible parametric survival model using restricted cubic splines with 5 internal knots and a time-varying coefficient on HLI_{WHR}. Model was adjusted for educational level, BMI, height, non-alcohol energy intake, diabetes status, sex, country, age at recruitment.