Climatic changes cause synchronous population dynamics and
adaptive strategies among coastal hunter-gatherers in Holocene
northern Europe
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## 26 Abstract

Synchronized demographic and behavioral patterns among distinct populations is a well-known, natural phenomenon. Intriguingly, similar patterns of synchrony occur among prehistoric human populations. However, the drivers of synchronous human ecodynamics are not well understood. Addressing this issue, we review the role of environmental variability in causing human demographic and adaptive responses. As a case study, we explore human ecodynamics of coastal hunter-gatherers in Holocene northern Europe, comparing population, economic and environmental dynamics in two separate areas (northern Norway and western Finland). Population trends are reconstructed using temporal frequency distributions of radiocarbon dated and shoreline dated archaeological sites. These are correlated to regional environmental proxies and proxies for maritime resource use. The results demonstrate remarkably synchronous patterns across population trajectories, marine resource exploitation, settlement pattern and technological responses. Crucially, the population dynamics strongly correspond to significant environmental changes. We evaluate competing hypotheses and suggest that the synchrony stems from similar responses to shared environmental variability. We take this to be a prehistoric human example of the "Moran effect", positing similar responses of geographically distinct populations to shared environmental drivers. The results imply that intensified economies and social interaction networks have limited impact on long-term hunter-gatherer population trajectories beyond what is already proscribed by environmental drivers. Keywords: Synchronicity; Moran effect; human ecodynamics; hunter-gatherers; paleodemography; maritime adaptation; Fennoscandia. 

# 55 1. Introduction

56 Synchronized demographic and behavioral patterns among distinct and geographically separate 57 populations is a well-known natural phenomenon that has been demonstrated across animal and 58 plant populations. The study of spatial synchrony has thus become a key topic in population ecology. 59 "Spatial synchrony" refers to coincident changes in the abundance or adaptive response of 60 geographically disjunct populations (Liebhold et al., 2004). Three primary mechanisms have been 61 offered to explain such synchrony: (a) dispersal or migration among populations, (b) trophic 62 interactions with populations of other species that are themselves spatially synchronous or mobile, 63 and (c) spatially correlated environmental influences (Liebhold et al., 2004). This last phenomenon is 64 known as the "Moran effect", remarking upon the tendency of spatially separated populations to 65 fluctuate in synchrony when exposed to similar environmental conditions (Moran 1953). The Moran 66 effect is often thought to be the result of synchronous weather or climate influences acting on 67 spatially disjunct populations (Moran, 1953; Koenig, 2002; Rosenstock et al., 2011; Kahilainen et al., 68 2018).

69 For prehistoric humans, Shennan et al. (2013) were the first to identify synchrony in <sup>14</sup>C date-based 70 human population proxies across mid-Holocene Europe. This synchrony was attributed to migration 71 and population growth, induced by the introduction of agriculture 8000–6000 cal yr BP. Recently, 72 Freeman et al., (2018) argued that synchronous patterns in <sup>14</sup>C-time series observed across the globe 73 during the Holocene were the result of intensified networks of trade and migration within 74 continents, while convergent cultural evolution towards more energy-consuming political economies 75 with higher carrying capacities account for global synchrony. However, as Freeman et al. (2018) 76 admit, they omit climate change as the driving force behind the observed synchrony, despite it being 77 the explanation most commonly used in ecology. This is critical, as climate can influence human 78 growth rates either directly (extreme events) or indirectly by affecting environmental productivity 79 and, consequently, food availability. We suggest that evaluating the role of climate change in driving 80 synchronous human demographic and adaptive responses requires analyses sensitive to regionally 81 specific ecological conditions.

Here, we compare Holocene hunter-gatherer ecodynamics in two northern European regions:
western Finland and northern Norway. We investigate the role of climate in controlling coastal
hunter-gatherer population trends and changes in adaptive strategies between the two regions. We
show that population size and adaptive strategies change synchronously between western Finland
and northern Norway. These changes coincide with climate changes and consequent changes in food
availability. Thus, our results highlight the role of environmental factors in creating spatial synchrony
in long-term human population dynamics across space.

89

90 2. Regional setting

91 The data catchment areas of our study are the coasts of northern Troms and western Finnmark

92 Counties, constituting the north-westernmost margin of Norway (69°-71° latitude), and the

93 Ostrobothnian coast in western-central Finland (63°-65° latitude) (Fig 1).

94 -insert figure 1

95 The study areas occupy northern coastal ecotones while simultaneously being different systems in

96 terms of ecology and geography. These areas are positioned along different aquatic systems:

97 Northern Norway is on the oceanic interface of the North Atlantic and the Barents Sea, in which

98 upwelling, salinity and significant tidal actions produce a highly productive coast. Western Finland is

adjacent to the more enclosed Gulf of Bothnia in the Baltic Sea, marked by relatively low salinity and

100 minimal tides. Also, the topography of these areas differ: Western Finland is a flat continuous

101 coastline, while northern Norway is a rugged, mountainous coastline scattered with islands and

102 deep-cutting fjords.

103 By the time of the mid-Holocene, the two areas had quite different ecological systems. The Finnish

area has a significantly more productive terrestrial ecosystem compared to that of northern Norway,

105 primarily due to latitudinal differences. Major changes occurred in the terrestrial environment during

106 the mid-Holocene, as the previously species-rich mixed forest of the Finnish terrestrial system

107 became increasingly dominated by spruce (*Picea abies*). This turned the forest ecosystem into a

108 modern boreal taiga dominated by spruce and pine (Seppä et al., 2009a).

109 A recent compilation of a large set of pollen cores from across northern Norway indicates a

110 patchwork of vegetation cover, structured both by the inland/coast-axis as well as a west/east-axis,

111 in which the outer coastal area of northwestern Norway was characterized by birch forest cover

112 exceeding current conditions (Sjögren and Damm, 2019). This likely impacted the biogeography of

113 key terrestrial mammals with a shift from post-glacial large herds of migratory ecotype reindeer to

smaller herds of sedentary ecotype reindeer (Hood, 2019: 23).

Another important factor in area selection is the fact that Fennoscandia hosts archaeological records of continuous of hunter-gatherer populations throughout the Holocene. These records demonstrate shared adaptive characteristics between the areas with reliance on marine subsistence technologies at an early stage. What is more, there are some indications of participation in extensive interaction spheres, as evidenced by shared material culture traits such as slate technology, ceramics, rock art, imported amber and early metal products (Damm, 2006; Nordqvist et al., 2012; Ramstad et al.,

2015). However, very little evidence exists to determine the magnitude of interaction between the
areas. On the contrary, there is more separating the areas in terms of culture-history than what is
shared.

124 The areas have some similarities in post-glacial colonization history, but also exhibit important 125 differences. Following the deglaciation of the final Pleistocene, coastal areas of the 126 Fennoscandian/Baltic shield became increasingly accessible for colonization by marine flora and 127 faunas. This process is thought to have triggered a significant incentive for humans to colonize the 128 post-glacial coastal landscape of northernmost Europe. This entailed a radical economic shift: From 129 terrestrially-oriented foraging societies of the Late Glacial Ahrensburgian and Butovo/Veretye groups 130 on the Eurasian plain, moving north/west and developing the maritime adaptations quintessential to 131 the Scandinavian Mesolithic (Schmitt et al., 2006; Bang-Andersen, 2012, 2013; Schmitt, 2015; Schmitt 132 and Svedhage, 2015; Dolukhanov et al., 2017;). The colonization of Norway at the termination of the 133 Younger Dryas (11.700 cal yr BP) occurred along a coastal route requiring seafaring vessels and the 134 know-how of a marine-oriented economy (Bjerck, 2017). The case is somewhat different in Finland, 135 colonized from a terrestrial route. The Finnish case is most in line with the model suggesting 136 maritime adaptations originated in Upper Paleolithic river resource utilization, which was later adapted to larger water bodies that then allowed people to move into the marine niche on the 137 138 oceanic coasts (Vasil'evskii et al., 1998; cf. Cziesla 2007; Terberger et al., 2013; Cziesla, 2018). At the 139 Pleistocene/Holocene-transition, most of present-day Finland was submerged due to glacio-isostatic 140 loading, yet the ensuing isostatic uplift rapidly transformed the area from a postglacial coast into a 141 patchwork of rivers, lakes and wetlands. The archaeological record also testifies to aquatic 142 economies from the very onset. Complex technologies used for aquatic resource exploitation are 143 evident already from the early Holocene, including the spectacularly well-preserved Antrean fish net 144 dated to 10.500 cal yr BP. During the mid-Holocene, massive stationary fishing structures, such as 145 weirs and lath screen traps recovered from multiple estuaries offer extensive evidence of marine-146 oriented facilities requiring substantial investment (Koivisto, 2012; Koivisto and Nurminen, 2015; 147 Butler et al., 2019; Groß et al., 2018; Koivisto et al., 2018). The different routes to maritime 148 adaptations underline the comparative relevance of the cases and provide pertinent insight into the 149 evolution of fully-fledged maritime adaptations. 150 Data-quality is also a vital factor in area selection. Both areas have been intensively investigated

archaeologically, including large-scale excavations and surveys. Together with excellent

152 palaeoenvironmental records, the two regions offer robust testing grounds for evaluating changing

153 human ecodynamics.

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### 155 3. Materials and methods

**156** 3.1. Human population size proxies

We reconstruct human population trends in the two areas using temporal frequency distributions of archaeological materials. We consider the timespan from the early Holocene colonization at ~12.000 cal yr BP to about 2000 cal yr BP, at which point farming achieved a more permanent foothold and changes in settlement patterns and economy ensued in northern Fennoscandia. Prior to this, farming made minimal impact on both areas, particularly so in northern Norway.

162 For western Finland, we use the temporal distribution of 754 shoreline-dated sites as the basis of the 163 population reconstruction (Tallavaara and Pesonen, in press). A gradual and well-established 164 shoreline displacement due to post-glacial isostatic uplift provides high-resolution dating on the basis 165 of elevation above sea level. As with radiocarbon dates, we assume that variation in the number of 166 sites reflects relative changes in past population size. The sites have primarily been identified 167 through LiDAR mapping and the current sample exclusively consists of sites positively confirmed as 168 archaeological remains by field surveying. Included site types range from open-air sites, pithouse 169 sites of variable sizes, to row-house sites and megastructures. Despite a potentially lower 170 chronological resolution, we argue that this approach is justifiable as it substantially boosts sample 171 size in area containing few radiocarbon-dated sites. Further, the approach helps overcome 172 investigation biases, as all identifiable site types are included regardless of the presence of 173 radiocarbon dates. Thus, this site-based proxy sidesteps many of the sampling biases inherent in 174 radiocarbon-based population proxies. The approach also takes advantage of the favorable isostatic 175 properties of the area. Western Finland is positioned near the weight-center of the Fennoscandian 176 ice-cap, resulting in isostatic uplift of more than 200 meters over the past 12,000 years. Given a 177 mostly flat topography, the isostatic rebound of the area provides ideal conditions for high-resolution 178 shoreline dating. Virtually identical trends have been established between the regional site-based 179 reconstruction and the SPD, which is based on the radiocarbon dates covering the total area of 180 Finland. (Tallavaara and Pesonen, in press). This strengthens the reliability of the site-based proxy. 181 Although the population proxies for our two study areas are derived from different source data, we 182 have opted for this strategy as it produced samples of comparable size. 183 For northern Norway, the reconstruction of population dynamics is based on the summed probability

distribution (SPD) method of radiocarbon dated site occupation events (Shennan and Edinborough,

185 2007; Williams, 2012; cf. Bronk Ramsey, 2017). This method is premised on the proportional relation

186 between population size and datable components of the archaeological record (Rick, 1987; cf.

187 Haynes, 1969; Kirch, 1980). This so-called dates-as-data premise implies that smaller populations 188 leave behind a smaller sample of archaeologically visible traces, compared to a larger population. 189 Major efforts have been made to test this premise (Surovell and Brantingham, 2007; Surovell et al., 190 2009; Shennan, 2013; Timpson et al., 2014). Following the results in Edinborough et al., (2017), the 191 method has demonstrated its usefulness in reconstructing palaeodemographic fluctuations. For the 192 current study, archaeological radiocarbon dates were collected for the coast of northwestern 193 Norway, which contains the densest and most recently produced radiocarbon record in northern 194 Norway. The dataset (N=735) exclusively comprises radiocarbon dates from secure archaeological 195 contexts, made on terrestrial carbon (see Supplementary Information). These have further been 196 vetted for taphonomic, investigative and sampling biases (Jørgensen, in press). The dates were then 197 structured into 503 bins of 200 years in order to control for over-representation of more intensively 198 investigated sites. Further details on auditing measures of the current dataset are presented in 199

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#### 201 3.2. Palaeoenvironmental data

Jørgensen (in press).

202 Holocene environmental changes are represented by eight palaeoecological and palaeoclimatic 203 proxies. We selected available proxies that are related to the productivity of terrestrial and marine 204 environments and, consequently, to food availability for hunter-gatherers. Somewhat different 205 environmental proxy types represent the two areas. This is the result of regional differences in 206 depositional and geomorphic qualities, as well as unequal conditions for preservation of 207 palaeoenvironmental proxy data. The palaeoenvironmental proxies discussed in this paper are 208 summarized in (Table 1).

#### 209 -Insert table 1

210 Prehistoric human population dynamics in western Finland are compared to: 1) a measure of annual 211 mean temperature, which is a stack of four pollen-based temperature reconstructions across 212 southern and central Finland. 2) The strength and length of the growing season, which is based on 213 organic matter flux in a varved lake (Ojala and Alenius, 2005; Ojala et al., 2008). 3) Baltic Sea surface 214 temperature (SST) reconstruction, derived from TEX<sub>86</sub>-paleothermometer (Warden et al., 2017). 4) 215 Baltic Sea salinity levels (‰) based on the compilation of several proxies (Gustafsson and Westman, 216 2002). Salinity is important in tracking changes in oceanic vs. enclosed, brackish conditions of the 217 Baltic Sea. This has ecological implications, as salinity levels structure aquatic biogeography and 218 affects productivity of the Baltic Sea.

219 For northern Norway, SST of the North Atlantic Current collected at the offshore shelf break tracks 220 variation in mixing of warm Atlantic and cold Arctic waters at the mid-Norwegian margin (Calvo et al., 221 2002). Ocean mixing is a significant factor in structuring marine biogeography and for inferring large-222 scale oceanographic and environmental conditions. Two proxies of inner coastal aquatic conditions in 223 northern Norway are included as the fjord-biome is of great importance to the human populations in 224 the area. Bottom water temperatures (BWT) of a major fjord-system (Malangen fjord) in the study 225 area tracks changes in the coastal current (Husum and Hald, 2004). In addition, we contribute a new 226 palaeoproductivity measure of the same local fjord environment. The fjord productivity proxy is 227 made up of previously unpublished data, courtesy of Jochen Knies at the Norwegian Geological 228 Survey. The percentage of carbonate is used as a direct marker of productivity in the fjord as it 229 relates to the abundance of calcium/chalk-dependent zooplankton, which in turn is the foundation of 230 the marine trophic pyramid. This assumption is justified as the relative proportion of terrigenous-free 231 (biogenic) carbonate has been shown to be a highly suitable indicator of changes in 232 palaeoproductivity in the area (Knies et al., 2003: 1–2; cf. Gardner et al., 1997). 233 We also include a humification index from the outermost western coast of Norway. The peat 234 humification index is a combined indicator of temperature and precipitation – evapotranspiration –

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237 3.3. Maritime resource exploitation data

238 In order to explore potential synchrony between adaptive strategies, population size and

that also reflects changes in terrestrial productivity (Vorren et al., 2012).

environment, we assembled multiple indicators of marine resource use.

240 To track changes in the subsistence/adaptive strategies in western Finland, we calculate two closely

241 related measures: The proportion of seal bones in archaeofaunal assemblages in coastal sites (seal

242 NISP/total NISP) and the index of seal bones relative to terrestrial mammals (seal NISP/(seal NISP +

terr. mammal NISP)) (Grayson, 1984). Although not a direct quantitative measure of seal

244 consumption, we assume that changes in the proportion of seal bones reflect changes in the

importance of seals in human diet. As a secondary premise we assume that such variation indirectly

246 reflects adaptive adjustments following either environmental or technological changes. The

247 archaeofaunal data consist of 37,810 burnt bone fragments from 72 archaeological assemblages

248 across the Finnish coast. These data were extracted from the archives of osteological reports

249 compiled by Pirkko Ukkonen and Kristiina Mannermaa at the Finnish Museum of Natural History, and

250 from osteological reports at the National Board of Antiquities. The faunal record was attributed to

251 broad chronological periods based on time constraints given by associated radiocarbon dates or

typological artefact attribution: Early Mesolithic (11,000–8500 cal yr BP), Late Mesolithic (8500–7200
cal yr BP), Early Sub-Neolithic (7200–6000 cal yr BP), Middle Sub-Neolithic (6000–5400 cal yr BP), and
Late Sub-Neolithic (5400–3500 cal yr BP).

255 Due to poor preservation of organic remains, there is no representative archaeofaunal sample to 256 draw on from the Norwegian area and we had to devise an alternative measure of marine resource 257 use. In order to map changes in marine resource use, we assembled a "Slate Index", premised on the 258 strong affinity between maritime adaptive strategies and the use of slate tools. The Slate Index tracks 259 the abundance of slate tools relative to other lithic industries, based on the averaged frequencies of 260 slate vs. harder lithic materials from a selection of reliably dated site assemblages. The dataset 261 consists of 37 securely dated lithic assemblages covering the entire local Stone Age chronology, with 262 more than 22,000 lithic objects. Importantly, most of the assemblages stem from multi-phase sites of 263 significant occupation history. This factor helps control for variation in site function. As the ecological 264 properties of a single coastal site are assumed to be more or less stable, any major variation in lithic 265 assemblage composition through time is assumed to reflect changes in subsistence strategies.

266 Based on the near-universal reliance on slate tools amongst circumpolar maritime hunter-gatherers 267 (Fitzhugh, 1974), we assume that slate tools provide a reliable indication of maritime resource 268 exploitation. There have been multiple attempts at explaining the strong prevalence and assumed 269 superiority of slate tools for maritime economic purposes (Gjessing, 1953; Dumond, 1968; Ritchie, 270 1969; Fitzhugh, 1974; Clark, 1980, 1982; Morin, 2004; Graesch, 2007; Dinwiddie, 2014). As a basic 271 premise, we follow several arguments and empirical demonstrations (Clark, 1979; Wilhelmsson, 272 1996; Nuñez, 1998; Morin, 2004) that slate technologies can reduce handling costs and facilitating 273 mass-harvesting of marine resources (sensu Madsen and Schmitt, 1998), and thus alter the energy 274 budget and ranking of marine/terrestrial resources. As a result, slate technology could significantly 275 boost food security and survivorship, and hence population numbers, among maritime hunter-276 gatherers. In northern Norway, slate tools have an almost exclusively coastal distribution, supporting 277 our assumption that slate tools were used primarily as a maritime technology and thus a relevant 278 proxy for marine resource exploitation. Despite lower sampling density of inland sites potentially 279 contributing to this picture, reviewing existing data suggest two patterns: 1) there is literally no 280 evidence for slate tool production in the interior, indicating import (cf. Hood, 1992, p. 521). 2) In the 281 rare cases of locally procured material, inland slate tools appear to be of a much more silicified raw 282 material and subject to a different reduction sequence, occasionally even made by recycling 283 greenstone tools (cf. Rigajokka site (Helskog, 1974, pp. 4–5)).

284

**285** 4. Results

- 286 Figure 2 shows the reconstructed population dynamics/trajectories for northern Norway and
- 287 western Finland and reveals a clearly synchronous pattern between the two regions. A major feature
- in both reconstructions is the prominent boom-and-bust cycle between 6500/6000 and 5000 cal yr
- 289 BP. However, in northern Norway the highest population levels apparently occur c. 300 years earlier
- than in western Finland. In addition to this major population boom-and-bust, the population proxies
- 291 further indicate synchronous declines at 8200 and 7000 cal yr BP.

### 292 -insert Fig.2

- 293 Figure 3 further shows a correspondence between long-term human population dynamics and
- 294 environmental variability in both areas. In the Finnish dataset, proxies covering both marine and
- 295 terrestrial productivity show increasing trends culminating around 6000 cal yr BP, concurrent with
- the prominent population peak (Fig 3. b—e). This is particularly evident in the marked
- 297 correspondence between the reconstructed population trend, growing season intensity (Fig 3.c) and
- the Baltic Sea SST (Fig 3.d). The subsequent population decline coincides with declining Late
- 299 Holocene productivity (see also Tallavaara and Seppä, 2012). Furthermore, population dips observed
- 300 in both areas at around 8200 and 7000 cal yr BP coincide with shorter-duration downturns in
- temperature and growing season intensity (Fig 3. b-d).
- 302 The pattern is similar in the Norwegian study area, where marine proxies (Fig3. i-k) show peaking
- 303 sea surface temperatures and marine productivity around 6000 cal yr BP. The North Atlantic Current
- 304 conveyed higher quantities of warm Atlantic water during the mid-Holocene and the coastal water
- temperature and marine productivity peaked in the major fjord system within the study area (Fig 3.i).
- 306 This indicates a mild climate with increased Atlantic water in the fjord system that drove the
- 307 production of carbonate (either produced in-situ or transported with the Atlantic water).
- 308 In accordance with the Finnish data, temperatures and productivity declined after 6000 cal yr BP. The
- 309 evapotranspiration reconstruction (Fig 3.h) shows a slightly different pattern as the highest values
- occur between 7500 and 6500 cal yr BP. Nevertheless, very stable conditions are recorded around
- 311 the 6000 cal yr BP population peak, while a general climate shift towards highly variable conditions
- 312 occurred with the transition to the late Holocene.

# 313 -insert Fig.3

- In northern Norway, population declines at 8200 and 7000 cal yr BP coincide with the Storegga
- tsunami, caused by the massive submarine landslide in the Norwegian Sea (Romundset and
- Bondevik, 2011), and the Tapes transgression (Sørensen et al., 1987; Romundset et al., 2011),

317 respectively. Thus, taphonomic loss of archaeological material may be responsible for the declines in

the Norwegian population proxy (see also Jørgensen, in press, 5). However, this is most likely an

insufficient cause as the population declines at 8200 and 7000 cal yr BP perfectly mirror the Finnish

320 settlement data, where no such taphonomic loss is observed. This suggests that these specific

321 declines in northern Norway most likely are actual demographic events.

322 Considering the precise synchrony of these events between western Finland and northern Norway, it 323 is of interest that the main population event appears to occur slightly earlier in northern Norway, 324 with a more gradual build-up and more abrupt collapse, compared to the Finnish population cycle. 325 The slight variation in dating of these events may be the result of the methods used to reconstruct 326 population dynamics. This has been indicated previously, as a similar age-shift in the highest 327 population levels between different population proxies has been observed in the Finnish data 328 (Tallavaara and Pesonen, in press). Another possibility is that the timing of the main population 329 cycles correspond to different timings of the most favorable environmental conditions in the 330 separate areas. This is supported by the identification of a latitudinal gradient in the timing and 331 duration of the peak Holocene Thermal Maximum (HTM), occurring earlier in the higher latitudes of 332 Fennoscandia (Eldevik et al., 2014, p. 228). Future efforts should aim at discriminating between 333 methodological and climatic effects in explaining this lag, as well as further issues of data resolution.

334 In addition to the correspondence between population and environmental proxies, proxies indicating 335 marine resource use also correlate with population and environmental proxies in both areas. Marine 336 resource use increases along with increasing population size and environmental productivity until 337 around 6000 cal yr BP, and decline as population size and productivity proxies decrease. The Finnish 338 archaeofaunal record (Fig 3.f) shows that during the boom phase of the mid-Holocene population 339 event, seal bones make up more than 70% of the coastal archaeofaunal assemblages. The trend of 340 seal exploitation intensity corresponds both to the growth and decline phases of the population 341 trajectory.

342 In Norway, the use of slate intensified from 7000 cal yr BP and became the dominant lithic industry 343 by the time of the population peak (Fig 3.i). By this time, slate concentrations often reached up to 344 about 80% of coastal assemblages. We assume that this reflects a change in adaptive strategies 345 towards more intensified use of marine resources in the region. A shift away from slate in favor of a 346 more expedient technology based on local quartz occurred simultaneously to the population decline. 347 Slate is still important for some time after the 5500 cal yr BP population decline, but the slate 348 component is reduced from 70-80% to about 30%. In addition to the slate index, several other 349 characteristics of the Norwegian archaeological record support the idea of increased marine resource

350 use during the population boom. From 7000 cal yr BP, larger coastal sites consisting of multiple pit-351 houses emerge. Despite there being some indications of pit house construction occurring prior to this 352 period, this represented a marked change in settlement longevity (Damm et al., in press; Gjerde and 353 Skandfer, 2018), indicating increased locational investment in coastal sites and a shift in coastal 354 settlement pattern and organization. Furthermore, recent investigations of differences in coast and 355 inland human presence clearly demonstrate an almost complete lack of inland occupation 356 concurrent with the major population peak at the coast (Jørgensen and Riede, 2019; Hood et al., In 357 press). This corroborates the previous impression that major population packing occurred on the 358 coast and that activity in the interior was minimal at this time (Hood, 2012). Given the significant 359 difference in magnitude between inland and coastal settlements, packing does not seem a sufficient 360 explanation. We suggest actual population growth followed coastal packing, although this is in need 361 of further enquiry.

362 Highlighting this, the archaeological and rock art records suggest technological and organizational 363 intensification of marine resources through the introduction of more efficient hunting/processing 364 tools and (most likely) corporative hunting strategies (cf. Gjerde, 2018). Dietary investigations of the 365 only mid-Holocene human individual currently known from northern Norway (Måløy Island) demonstrate a spectacularly high intake of marine protein (Günther et al., 2018: S1, 12). 366 367 Discriminating the isotopic signature of marine mammal protein from that of migratory cod is 368 difficult due to comparable trophic levels (Schulting et al., 2016), but migratory cod is by far the most 369 dominant species in the region's faunal record during the time of the population boom (cf. Olsen, 370 1967; Utne, 1973; Engelstad, 1983; Renouf, 1989). Tentatively, this may indicate adaptive 371 adjustments towards lower ranked fish resources. Systematic diachronic sampling of biochemical 372 dietary proxies may help resolve these issues in the future.

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## **374** 5. Discussion

375 Our main finding is the clear spatial synchronicity in demographic trends and adaptive strategies 376 between two geographically separate human populations. Our results also strongly suggest that this 377 synchronicity is related to the variability in terrestrial and marine productivity, which themselves are 378 correlated between the two areas. While the details of these human ecodynamics and the pathways 379 towards increased populations and maritime adaptations differ between the two focus regions, the 380 outcomes are comparable. This suggests that the long-term demographic trajectories in both areas 381 were ultimately regulated by climate and its downstream effect on both terrestrial and marine 382 productivity and hence food availability for hunter-gatherers. The high productivity of the mid-

383 Holocene would have increased the environmental carrying capacity, and in concert with highly 384 stable climatic conditions, offered unprecedented potential for human population growth. This 385 seemingly mechanistic climate forcing of human populations is further supported by the synchronous 386 decline in population numbers and environmental productivity after 5500 cal yr BP, as well as by 387 short-term declines at 8200 and 7000 cal yr BP. Thus, our results apparently demonstrate Moran 388 effects in action among human populations. The implication being that climate has the potential to 389 synchronize long-term human population trajectories among foraging economies. Future research 390 would have to investigate to what extent this relation also holds for food producing populations.

391 Although our results suggest that climate is the most likely explanation for the spatial synchrony 392 between the northern Norwegian and western Finnish hunter-gatherer populations, other 393 mechanisms may still be at play. The trend correspondence between population size, climate and 394 adaptive strategies highlights the more generalized "chicken or the egg" problem of what should be 395 ascribed causal primacy among demographic, technological and environmental factors in bringing 396 about synchronous adaptive strategies: Did marine resource exploitation vary independently of 397 population size, or did the maritime specialization result from changes in population size, thus being 398 density-dependent? The latter option fits the concept of marine resources becoming attractive only 399 when population packing restricts terrestrial hunting capabilities, creating an imbalance between 400 human population growth and its (assumed) preference for a terrestrial resource base (Binford, 401 2001, p. 188,210; Kelly, 2013). This is thought to follow from the high handling and initial investment 402 costs in aquatic resource exploitation In order to turn a profit, such as the development of boats, 403 specialized fishing equipment and marine hunting gear, as well as bulk processing and storage 404 (Osborn, 1977; cf. Yesner et al., 1980; Steffian et al., 2006; Fitzhugh, 2016).

405 In our case, however, this seems problematic. First, human population growth and marine resource 406 exploitation appear to increase alongside a coupled marine-terrestrial productivity increase. One 407 might point to the significantly fewer trophic levels in high-latitude, terrestrial ecosystems as a 408 possible limitation to terrestrially based human population growth (cf. Steele, 1985; Carr et al., 2003; 409 Steele et al., 2019). The abundance of ungulates is strictly regulated by density-dependent 410 mechanisms in boreal forests (Bergerud et al., 2012, p. 102), and is arguably less resilient in the face 411 of human overexploitation than marine resources (cf. Minc and Smith, 1989; Gunderson, 2000). It is 412 therefore not clear whether continued terrestrial growth results in a linear increase in resource 413 abundance relevant to human economic exploitation. This is an unresolved issue to consider for 414 future research, yet current data do not support scarce terrestrial resources as the driving factor of 415 the regime shift in marine exploitation. Further lacking support, is the possibility of a significantly 416 earlier terrestrial productivity peak driving the shift towards intensified marine economies (also

417 when considering a wider range of terrestrial proxies from northern Norway (Balascio and Bradley, 418 2012; Wittmeier et al., 2015; Sjögren and Damm, 2019). Second, the intensity of marine resource use 419 appears to decline along with declining terrestrial (and marine) productivity. Third, if marine 420 resources are secondary to terrestrial resources, it would make it difficult to explain how aquatic 421 resources could support the population growth observed in our data, or how some of the highest 422 population densities in the ethnographic record are found among maritime-adapted hunter-423 gatherers. For now, we cannot resolve the causal relationship between technological change and 424 population growth. The fact that increase and decrease of marine resource use follow the trends in 425 environmental productivity nevertheless suggests that adaptive changes in our study areas were 426 ultimately subordinate to climate changes.

427 An alternative to endemic population growth, in ecology, dispersal between populations is another 428 common factor causing spatial synchrony and may pertain to our case as well, e.g. through source-429 sink dynamics (cf. Kawecki, 2004). Agriculture was broadly adopted across northern parts of 430 continental Europe, southern Scandinavia, and the British Isles c. 6000 cal yr BP. This created an 431 unparalleled population boom roughly synchronous to the pattern observed in the population 432 proxies from western Finland and northern Norway. This suggest that the mid-Holocene population 433 peak in our study area relate to agricultural expansion, either directly through incoming farmers 434 contributing to the population growth, or indirectly by displacing hunter-gatherers into northern 435 'foraging refugia' as suggested for central Europe (Silva and Vander Linden, 2017). The direct 436 influence of farmers is problematic, however, as solid evidence for agriculture in our study areas is 437 significantly younger than the 6000 cal yr BP population event (Sjögren, 2009: 707; Sjögren and 438 Arntzen, 2013; Lahtinen et al., 2017; cf. Mökkönen, 2009). Indirect influences of agriculture are 439 equally problematic. Firstly, the hunter-gatherer population in northern Norway was already growing 440 some 500 years before agriculture was introduced to southern Scandinavia. The same pattern of pre-441 agricultural population growth is evident when reviewing the population reconstruction of Holocene 442 Finland in its entirety (Tallavaara et al. 2010; Tallavaara and Seppä 2012). Secondly, displacement of 443 hunter-gatherers from south to north would neither explain the remarkable population decline after 444 6000 cal yr BP or short-term declines at 8200 and 7000 cal yr BP.

In the case of observed synchronicity among human populations, an additional synchronizing factor

of social interactions through trade and networks has been proposed (Freeman et al., 2018). The

447 dissemination of improved subsistence technologies could tentatively drive synchronous

448 demographic and adaptive strategies between our study areas. If so, cultural diffusion might

facilitate the observed shift in marine exploitation regime while also contributing to population

growth. This is particularly pertinent for two technological industries in the area: slate tools and earlypottery.

452 The Slate Index (Fig 3.i) demonstrates strong correspondence with population dynamics in Norway. 453 Assuming that slate tools are superior in marine resource processing, one might expect a comparable 454 importance of slate industries among the coastal population of the Finnish area. No such quantitative 455 dataset or overview currently exist from Finland. However, there are some similarities in slate 456 technology that may suggest social networking in action between Finland and Norway (cf. Äyräpää, 457 1950; Huurre, 1983). Such is demonstrated by the long (100-150 mm) and slender (10-15 mm) 458 Pyheensilta/Nyelv lance points, occurring in both areas. A review of a large set of lance points, 459 including a depot containing points at various stages of completion (Hesjedal et al., 1996, p. 70), 460 demonstrates remarkable standardization in production technique and morphometric qualities. The 461 standardized breadth and hafting characteristics of Pyheensilta points, as well as the frequent 462 resharpening of broken distal ends, reflect optimal characteristics for effective marine hunting. 463 Maritime technologies are strongly associated with multi-component and replaceable components, 464 given the complexity of hunting on water and the need for quick replacement/repair of hunting gear 465 - a "maintainable" characteristic within and otherwise mostly "reliable" technology, sensu (Bleed, 466 1986). We therefore suggest that the Pyheensilta/Nyelv lances provide a telling example of shared 467 marine subsistence technology.

468 The other significant change with potential ramifications for the synchronous mid-Holocene 469 population and marine boom-and-bust cycles is the introduction of ceramic technology. Ceramics 470 dispersed throughout northern and eastern Fennoscandia around 7200 cal yr BP in the form of Early 471 Comb Ware - concurrent with the uptake of slate technology in northern Norway. The demographic 472 impact of ceramic technologies is, tentatively, the enhancement of the nutritional uptake of various 473 foodstuffs through cooking, which may reduce child mortality (Jordan and Zvelebil, 2010, p. 54). 474 Interestingly, the beginning of pottery production in our study areas roughly coincide with the 475 beginning of the mid-Holocene population growth and increase in marine resource use proxies, when 476 Finnish sites (<6000 cal yr BP) are characterized by large quantities of pottery (Nuñez, 1990; Pesonen 477 and Leskinen, 2009). Although it has been suggested that the uptake of pottery was related to the 478 intensification of marine resources, lipid analyses of food crusts on pottery walls suggest a wide 479 range of resources were processed in the vessels (Cramp et al., 2014; Pääkkönen et al., 2016; 480 Papakosta and Pesonen, 2019).

481 Crucially, major discrepancies in the uptake and maintenance of ceramic technologies in the area go
482 against subsistence technologies as a causal factor in the observed synchrony. In Finland, pottery

483 continues to be in use throughout prehistory, despite the reduced importance of marine resources 484 and the population decline after 5000 cal yr BP. In northern Norway however, pottery did not 485 disperse beyond the very easternmost region and was likely a short-lived effervescence based on the 486 short duration and small number of ceramics recovered, with a complete lack of later Comb Ceramic 487 phases (cf. Skandfer, 2003; Hood and Helama, 2010). There are potential functional reasons for this 488 discrepancy, beyond the greater geographical proximity of the Finnish area to dispersive centers of 489 ceramic technology in Eurasia. The eco-setting of the western Finland was likely more conductive to 490 year-round habitation, combined with the evidently strong emphasis on estuarine/riverine fisheries, 491 that could benefit from ceramics for bulk-processing and storage. In Norway, there is to date no 492 evidence to support surplus production of riverine/estuarine resources throughout the Stone Age (cf. 493 Engelstad, 1984; Renouf, 1986: 10). However, mass processing and storage through passive 494 technologies such as preservation through air-drying of stockfish has deep roots in Norway 495 (Perdikaris, 1999; Star et al., 2017). The climatic conditions required for such preservative techniques 496 are very specific to northern Norwegian coast, and are not met in the Finnish area. Although 497 archaeologically elusive, we see no reason why the basic innovation of leaving fish to dry by itself 498 would not have been practiced already during the mid-Holocene. If so, the appeal of pottery may 499 have been offset to the Norwegian population.

500 We cannot exclude the effects of migration, social interactions or cultural diffusion. It is conceivable 501 that the adoption of new and potentially improved subsistence technologies occurring 502 simultaneously across northern Europe contributed to the growth phase of the 6500/6000 cal yr BP 503 population cycle. The explanatory power of subsistence technology, however, is undermined by the 504 fact that the population decline occurred independently of changes in subsistence technologies in 505 our study areas, and because that both population growth and decline phases coincide with 506 environmental changes. We therefore believe that the observed synchronicity in the long-term 507 population dynamics is better explained by climate-induced variability in environmental productivity 508 acting over large areas, albeit at much larger temporal scales than typically observed in ecological 509 research. This result is at odds with the conclusion of Freeman et al. (2018), who found that 510 environmental variability made no discernable impact on population synchrony. Instead, they 511 suggest that societies dependent on organic sources of energy appear no more synchronous with 512 solar energy fluctuations than fossil-fuel-based economies. However, their conclusions are hampered 513 by the use of sunspot data as a measure of environmental variability. Although solar energy is the 514 primary driver of Earth's climate, the influence of solar activity cycles on climatic variability appears 515 to be limited at best (George and Telford, 2017; Schurer et al., 2014; Telford et al., 2015; Turner et 516 al., 2016).

517 Instead, net primary productivity (NPP) is the crucial driver of energy availability for immediate 518 return, organic economies, most typical of hunter-gatherers (Tallavaara et al., 2018), as opposed to 519 economies reliant on stored energy reserves (Kander et al., 2013). NPP is controlled by temperature 520 and precipitation, which can be correlated across distances of up to 5000 km, but not globally 521 (Koenig, 2002). Therefore, there is no justification for using any single record of climate or energy 522 availability, such as Greenland ice cores or sunspot data, when analyzing synchrony among 523 prehistoric populations. In addition, taphonomic loss of archaeological material must be taken into 524 account as the exponential-like shape prevalent across the mean trends of human proxy records may 525 well be influenced by taphonomic processes (Surovell and Brantingham, 2007; Surovell et al., 2009). 526 Consequently, Freeman et al., (2018) do not properly address environmental variability or energy 527 availability as a potential driver of synchrony. However, they demonstrate that spatial synchrony 528 decreases with distance between proxy records. Importantly, the adjacent U.S. states, Arizona and 529 New Mexico could make for a convincing case in which synchrony is best explained by social 530 interaction and cultural diffusion. However, geographical affinity also implies being subjected to 531 similar environmental parameters. Without further investigation of archaeological and 532 environmental records at the regional scale, spatial proximity is not in itself a sufficient condition to 533 come to conclusion about the causes of synchronicity. We therefore reiterate Koenig's (2002) 534 argument, stating that "patterns of spatial autocorrelation in environmental factors should be 535 carefully considered before concluding that synchrony in any particular system is driven by some 536 factor beyond environmental correlation".

537 Despite some indications that both foraging and early farming communities were equally susceptible 538 to climate change (Bevan et al., 2017; Warden et al., 2017), hunter-gatherer populations are 539 generally assumed to be more directly controlled by NPP (Tallavaara et al., 2018). Still, hunter-540 gatherers relying on marine resources may take a hybrid form through delayed return systems as 541 bulk processing and storage of energy for lean season consumption is a common characteristic of 542 many northern, maritime groups (cf. Fitzhugh, 2016). Such delayed return economies help overcome 543 the limitations imposed by the direct consumption characterizing organic economies. Either way, the 544 archaeological record suggests that the maritime adaptations under study could only mitigate low-545 amplitude annual variations and at best delay specific returns on an inter-annual scale. This is not 546 sufficient to significantly boost carrying-capacities or mitigate increased variation in resource 547 abundance like modern economies, that are basically extreme delayed return systems relying on 548 nuclear or fossil fuels (and therefore unsuitable as a comparative case). The limited and short-term 549 mitigation capabilities of pre-industrial economic systems in significantly delaying returns would

explain the inability of the populations to avoid decline along with reduced environmentalproductivity <5000 cal yr BP.</li>

It seems that convergent cultural evolution towards more energy-consuming economies becomes important *after* the adoption of intensified agriculture relying on active niche-construction and yielding reliable surpluses. Consequently, we suggest that intensified economies and social interaction networks have limited impact on long-term hunter-gatherer population trajectories beyond what is already proscribed by external, environmental drivers.

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# 558 6. Conclusion

559 This paper reviewed environmental productivity in relation to subsistence strategies in aquatic 560 settings to unpack the drivers of synchrony between separate human populations. We presented a 561 case study of two northern European sub-regions and demonstrated significantly synchronous trends 562 across demographic, adaptive and environmental parameters. Based on an evaluation of different 563 hypotheses, we suggested that the synchronous human ecodynamic trends across Holocene coastal 564 Fennoscandia was result from shared variability in environmental productivity. Considering that the 565 population trajectories of the two separate areas display remarkable synchronicity, and that these 566 follow attendant climate variability in a lock-step manner, the results lend support to the notion that 567 changes in environmental productivity more or less directly results in hunter-gatherer population 568 size changes. The peaking productivity during the mid-Holocene would have drastically increased the 569 environmental carrying capacity and so provided unprecedented human demographic growth 570 potential. In addition, the long-term stability of the environment during the mid-Holocene may also 571 have been a contributory factor to the observed human ecodynamics; dampening the amplitude of 572 fluctuations that may otherwise be difficult to mitigate with short-duration delayed-return risk 573 reduction measures (cf. Riede et al., 2018).

574 Our results further demonstrate that major economic changes correspond to demographic and 575 environmental dynamics as evidenced by a suite of marine resource exploitation proxies. It is striking

576 that both populations develop similar adaptive strategies, heavily relying on marine resources.

577 Unpacking the causal mechanisms behind this regime shift towards intensive marine exploitation is

578 beyond our ability at this point. The explanatory power of subsistence technology, however, is

undermined by the fact that the population decline occurred independently of changes in

subsistence technologies in our study areas, as well as that both population growth and decline

581 phases coincide with environmental changes.

582 Future research should aim at establishing to what extent the mid-Holocene productivity increase 583 was coupled between marine and terrestrial environments or not, and what are the human 584 implications of a potential imbalance in marine vs. terrestrial ecosystem responses to large-scale 585 climate change. If the productivity increase was actually stronger in the marine environment, it may 586 provide a working hypothesis as to why we observe economic, technological and social-587 organizational shifts in mid-Holocene Northeastern Fennoscandia. However, the palaeoproductivity 588 proxies presented here suggest a coupled response between marine and terrestrial ecosystems. 589 Another venue for further exploration is potential threshold effects operational in maritime 590 adaptations, making marine resource exploitation more profitable, given all its costs (high handling 591 and initial investments), whenever marine productivity increases above some critical level. The 592 pathways responsible for steering ocean-atmospheric interactions are highly complex (Wunsch, 593 2005; Yu and Weller, 2007) and may imply more complex climatic drivers of marine productivity 594 compared to terrestrial productivity (Bromley et al., 1967; Behrenfeld et al., 2006; Meehl et al., 2011; 595 Holt et al., 2016; Schmitt, 2018). It is necessary to identify and model various ecosystem components 596 and thermal thresholds to test this properly. Yet, thresholds imply sharp changes in resource use 597 between different system states, while our data indicate rather gradual changes in marine resource use in both areas. 598

Although a previous study found only minimal evidence for environmental variability as a cause of synchronicity (Freeman et al., 2018), the Fennoscandian archaeological record clearly demonstrates the important role of spatially correlated environmental influences, i.e., Moran effect, in creating spatial synchrony among hunter-gatherer populations. The implication is, contrary to Freeman et al., (2018), that intensified economies and social interaction networks have limited impact on long-term hunter-gatherer population trajectories beyond what is already proscribed by external, environmental drivers.

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616 Supplementary information

- 617 The Norwegian radiocarbon record used for modelling palaeodemographic trends in this paper is
- 618 available at the open-access, data repository:
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   Table 1: Climate records employed for palaeoenvironmental review.
   1013 List of figures: 1014 1015 Figure 1. Map of the two study regions within northern Europe.
- 1016 Figure 2. Comparative figure of reconstructed population trends of the two areas. Blue bars mark synchronous, negative
- 1017 fluctuations. Red bar marks synchronous, positive fluctuation. Dotted, vertical line illustrate the lag in timing of the most
- 1018 significant population cycle between the areas.
- 1019 Figure 3. Combined figure of reconstructed population trends, climate proxy comparisons and marine resource exploitation
- 1020 indicators: a) Finnish area population reconstruction, b) Finnish area annual mean temperature (AMT), c) Finnish area
- 1021 growing season intensity, d) Baltic Sea temperature, e) Baltic Sea salinity, f) index and proportion of seal bones in Finnish
- 1022 faunal assemblages, g) Norwegian area population reconstruction, h) Norwegian evapotranspiration, i) North Atlantic
- 1023 coastal current temperature, j) Norwegian fjord bottom water temperature (BWT), k) Norwegian fjord productivity
- 1024 (carbonate %), I) proportion of slate tools in coastal, northern Norwegian lithic assemblages.