

1 **Tempestite facies variability and storm-depositional processes across a wide**
2 **ramp: Towards a polygenetic model for hummocky cross-stratification**

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16 *Running title:*

17 Towards a polygenetic model for HCS

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25 **ABSTRACT**

26 The hydrodynamic mechanisms responsible for the genesis and facies variability of shallow-marine
27 sandstone storm deposits (tempestites) have been intensely debated, with particular focus on
28 hummocky cross-stratification (HCS). Despite being ubiquitously utilized as diagnostic elements of
29 high-energy storm events, the full formative process spectrum of tempestites and HCS is still to be
30 determined. In this study, detailed sedimentological investigations of >950 discrete tempestites
31 within the Lower Cretaceous Rurikfjellet Formation on Spitsbergen, Svalbard, shed new light on
32 the formation and environmental significance of HCS, and provide a reference for evaluation of
33 tempestite facies models. Three generic types of tempestites are recognized, representing deposition
34 from (i) relatively steady and (ii) highly unsteady storm-wave-generated oscillatory flows or
35 oscillatory-dominated combined-flows, and (iii) various storm-wave-modified hyperpycnal flows
36 (including waxing–waning flows) generated directly from plunging rivers. A low-gradient ramp
37 physiography enhanced both distally progressive deceleration of the hyperpycnal flows and the
38 spatial extent and relative magnitude of wave-added turbulence. Sandstone beds display a wide
39 range of simple and complex configurations of HCS. Features include ripple cross-lamination and
40 “compound” stratification, soft-sediment deformation structures, local shifts to quasi-planar
41 lamination, double draping, metre-scale channelised bed architectures, gravel-rich intervals,
42 inverse-to-normal grading, and vertical alternation of sedimentary structures. A polygenetic model
43 is presented to account for the various configurations of HCS that may commonly be produced
44 during storms by wave oscillations, hyperpycnal flows, and downwelling flows. Inherent storm-
45 wave unsteadiness probably facilitates the generation of a wide range of HCS configurations due to
46 (i) changes in near-bed oscillatory shear stresses related to passing wave groups or tidal water-level
47 variations; (ii) multidirectional combined-flows related to polymodal and time-varying orientations
48 of wave oscillations; and (iii) syndepositional liquefaction related to cyclic wave stress. Previous

49 proximal–distal tempestite facies models may only be applicable to relatively high-gradient shelves,
50 and new models are necessary for low-gradient settings.

51

52 **Keywords** hummocky cross-stratification, swaley cross-stratification, tempestites, hyperpycnites,
53 turbidites, fluid mud

54

55 **INTRODUCTION**

56 During storms, amplified hydrodynamic conditions may cause anomalously large quantities of
57 sandy sediment to be transported along and across the shore and shelf, resulting in the deposition of
58 typically discrete event beds, called tempestites (Snedden *et al.*, 1988; Snedden & Nummedal,
59 1991; Myrow, 1992a; Héquette & Hill, 1993). For the past three to four decades, the hydrodynamic
60 mechanisms responsible for the genesis and facies variability of sandy tempestites in inner shelf to
61 lower shoreface environments have been subject of intense debate, with particular focus on the
62 formative processes and environmental significance of hummocky cross-stratification (HCS; e.g.
63 Campbell, 1966; Bourgeois, 1980; Dott & Bourgeois, 1982; Swift *et al.*, 1983; Allen, 1985; Duke,
64 1985; Nøttvedt & Kreisa, 1987; Leckie & Krystinik, 1989; Southard *et al.*, 1990; Cheel, 1991;
65 Duke *et al.*, 1991; Myrow & Southard, 1996; Dumas & Arnott, 2006; Quin, 2011; Morsilli &
66 Pomar, 2012). This sedimentary structure is common in coarse-grained siltstone to fine-grained
67 sandstone and is predominantly characterised by isotropically oriented laminae that conformably
68 thin and thicken over low-angle ($<15^\circ$) truncations with convex-up buildups (hummocks) and
69 concave-up depressions (swales), respectively (e.g. Dott & Bourgeois, 1982). The laminae and
70 truncations tend to merge and become conformable when traced laterally. Since its formal
71 introduction by Harms *et al.* (1975), HCS has constituted a key sedimentary structure in shallow-
72 marine facies models, as it has been used as a diagnostic element of storm deposition in distal shelf

73 to shoreface environments. In addition, HCS is generally inferred to be genetically linked with its
74 swale-dominated counterpart, swaley cross-stratification (SCS), which is assumed to reflect more
75 proximal deposition where hummocks may be preferentially eroded (Leckie & Walker, 1982;
76 Dumas & Arnott, 2006). Nevertheless, the full spectrum of formative processes of tempestites in
77 general, and HCS in particular, is still to be understood.

78 The term *tempestite* traditionally refers to all deposits generated from storm-induced
79 processes, including oscillatory (wave-generated) and unidirectional (predominantly geostrophic
80 and density-induced) currents (e.g. Myrow & Southard, 1996). Within the lower part of the
81 nepheloid bottom boundary layer, combined unidirectional and oscillatory currents exert shear
82 stresses that largely exceed the threshold limit for sand transport (Grant & Madsen, 1979; Héquette
83 & Hill, 1995; Myrow & Southard, 1996). Consequently, these currents may produce a wide range
84 of sedimentary structures, including HCS, and vertical facies arrangements in different types of
85 tempestites (Nøttvedt & Kreisa, 1987; Arnott & Southard, 1990; Cheel, 1991; Duke *et al.*, 1991;
86 Myrow, 1992a), such as wave-modified turbidites (Myrow *et al.*, 2002; Lamb *et al.*, 2008). For
87 thorough reviews of tempestite stratification variability and storm-flow dynamics, the reader is
88 referred to Duke (1990) and Myrow & Southard (1991, 1996).

89 Based on interpretations from many ancient wave-dominated facies tracts, and
90 insights derived from flume experimental studies (Arnott & Southard, 1990; Southard *et al.*, 1990;
91 Dumas *et al.*, 2005; Dumas & Arnott, 2006), HCS and SCS are generally envisaged to result from
92 complex oscillatory flows and/or storm-wave-generated oscillations that are superimposed on
93 shore-normal downwelling ‘relaxation currents’ (i.e. downwelling storm flows). The downwelling
94 flows are generated in response to coastal setup, and include Coriolis-deflected, shore-oblique
95 geostrophic flows (e.g. Héquette & Hill, 1993). Thus, HCS and SCS are largely considered to
96 represent the combined migration and aggradation of symmetrical to near-symmetrical three-

97 dimensional (3D) dunes formed by high-velocity, long-period oscillatory flows or oscillatory-
98 dominated combined-flows between the storm-wave base (SWB) and breaking wave zone (cf.
99 Dashtgard *et al.*, 2012) above the fair-weather wave base (FWWB).

100 The hydrodynamics of tempestites and HCS are difficult to interpret because (i) field
101 observations are generally limited in vertical and lateral extent with few accounts on basin-wide (up
102 to several hundred kilometres) proximal–distal tempestite facies relationships (e.g. Brenchley *et al.*,
103 1986); (ii) the restricted size and flow modes of experimental flume tanks inhibit realistic
104 reproduction of storm-depositional processes and bed configurations (e.g. Dumas *et al.*, 2005); (iii)
105 the formation of HCS in modern-day environments cannot be directly observed (Southard *et al.*,
106 1990); (iv) there are virtually no examples of modern analogues to ancient thick-bedded
107 tempestites, including successions dominated by HCS (Myrow & Southard, 1996); (v) marine
108 sediment box cores of standard spade width are too small for conclusive identification of HCS
109 (Swift *et al.*, 1983); (vi) intra-facies variability of HCS forms a relatively overlooked part of
110 tempestites (Quin, 2011); and (vii) HCS probably represents more than one type of depositional
111 mechanism (e.g. Myrow, 1992a).

112 In prodeltaic settings, storm-wave-generated oscillatory flows may combine with
113 hyperpycnal flows associated with increased river discharge during floods (Garrison *et al.*, 2013;
114 Wilson & Schieber, 2014; Collins *et al.*, 2017) to produce tempestites exhibiting HCS (Myrow *et*
115 *al.*, 2002; Pattison, 2005; Pattison & Hoffman, 2008; Lamb *et al.*, 2008) and fluid-mud deposits
116 (Plint, 2014). Thus, HCS has been incorporated into several hyperpycnite facies models (Mutti *et*
117 *al.*, 2003; Myrow *et al.*, 2008; Zavala *et al.*, 2011). Along with a number of recent accounts of HCS
118 indicative of tidal modulation of near-bed storm-wave intensity (Yang *et al.*, 2005; Basilici *et al.*,
119 2012a; Vakarelov *et al.*, 2012), and HCS exhibiting storm-wave-generated soft-sediment

120 deformation structures (SSDS; Molina *et al.*, 1998; Alfaro *et al.*, 2002; Chen & Lee, 2013), these
121 findings call for a refinement of storm-depositional facies models.

122 The Lower Cretaceous (Valanginian – lower Barremian) Rurikfjellet Formation on
123 Spitsbergen, Svalbard, represents a storm-dominated, siliciclastic ramp succession of prodeltaic
124 offshore to lower shoreface facies belts (Dypvik *et al.*, 1991b; Grundvåg *et al.*, 2017). The
125 succession is stratigraphically well constrained (e.g. Dypvik *et al.*, 1991a; Mørk *et al.*, 1999),
126 exposed at numerous localities across Spitsbergen, and cored in several onshore wells (Fig. 1A–C).
127 The Rurikfjellet Formation includes a spectacular variety of tempestites and configurations of HCS,
128 and serves as a rare example for analysis of near-basin-scale facies and depositional process
129 relationships of storm deposits. Inferences drawn from this analysis provide a reference for
130 evaluation of similar successions and general tempestite and HCS facies models.

131

132 **Objectives of study**

133 Based on detailed sedimentological investigations of >950 discrete tempestites in the Rurikfjellet
134 Formation, the objectives of this study are to (i) document the wide range of observed HCS
135 configurations; (ii) examine the hydrodynamic controls of hyperpycnal sediment transport in a low-
136 gradient setting; (iii) elucidate the role of oscillatory-flow unsteadiness, depositional instabilities
137 and unidirectional-flow pulsation in the generation of some HCS; and (iv) demonstrate how the
138 tempestites form a polygenetic continuum between relatively steady and highly unsteady oscillatory
139 flows and oscillatory-dominated combined-flows and wave-modified hyperpycnal flows, allowing
140 for a new conceptual facies model for HCS.

141

142 *[Fig. 1 around here; portrait, two-column width]*

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144 REGIONAL SETTING AND STRATIGRAPHY

145 Early Cretaceous basin configuration

146 The Lower Cretaceous succession in Svalbard is >1000 m thick and divided into the shale-
147 dominated Rurikfjellet (open-marine shelf), sandstone-dominated Helvetiafjellet (fluvio-deltaic to
148 paralic) and heterolithic Carolinefjellet (open-marine shelf) Formations (Fig. 1D; Mørk *et al.*,
149 1999). The succession formed during long-term (c. 30 myr) deltaic shoreline progradation and
150 subsequent back-stepping in response to a full relative sea-level cycle that was controlled by
151 regional thermo-tectonic uplift and following quiescence or sag-type subsidence (Gjelberg & Steel,
152 1995; Midtkandal *et al.*, 2007; Midtkandal & Nystuen, 2009). The succession is primarily exposed
153 along the margins of the NNW–SSE-oriented Central Tertiary Basin on Spitsbergen (Fig. 1B).

154 During the Late Jurassic to Early Cretaceous, Svalbard was situated at approximately
155 63–66°N as part of the large circum-Arctic Boreal Basin at the northern margin of Pangaea (Torsvik
156 *et al.*, 2002). The basin comprised an epicontinental platform which was characterised by warm–
157 temperate, humid greenhouse conditions (Steel & Worsley, 1984; Gröcke *et al.*, 2003). In the Early
158 Cretaceous, thermo-tectonic uplift in the north, related to the formation of the High Arctic Large
159 Igneous Province (HALIP), caused gentle southwards tilting of the shelf and south-eastwards
160 shoreline migration (Steel & Worsley, 1984; Gjelberg & Steel, 1995; Maher, 2001; Maher *et al.*,
161 2004). In the Valanginian – early Barremian, deposition of the Rurikfjellet Formation took place
162 with continued regression and open-marine, relatively oxic shelf conditions in the Svalbard area. In
163 the early Barremian, a tectonically controlled relative sea-level fall took place, resulting in
164 pervasive shelf erosion and progradation of the fluvio-deltaic Helvetiafjellet Formation shoreline far
165 towards the S–SE (Gjelberg & Steel, 1995; Midtkandal & Nystuen, 2009; Grundvåg *et al.*, 2017).
166 Consequently, the Rurikfjellet Formation is separated from the Helvetiafjellet Formation by a

167 regionally extensive subaerial unconformity (Fig. 1D; Gjelberg & Steel, 1995; Midtkandal *et al.*,
168 2008).

169

170 **Stratigraphy of the Rurikfjellet Formation**

171 The Rurikfjellet Formation is generally c. 200–230 m thick and subdivided into the shale-dominated
172 Wimanfjellet Member and the siltstone- and sandstone-rich Kikutodden Member (Fig. 1D;
173 Midtkandal *et al.*, 2008). The Wimanfjellet Member is generally >170 m thick and consists of
174 relatively homogeneous and sparsely bioturbated offshore shale (Dypvik *et al.*, 1991a), which
175 records transitional shoaling into the Kikutodden Member. The Kikutodden Member is generally
176 <85 m thick and comprises two clastic wedges (Fig. 1B), including (i) a southern relatively coarse-
177 sand-grade clastic wedge of offshore transition to shoreface parasequences (Grundvåg & Olaussen,
178 2017), and (ii) a northern comparatively fine-sand-grade clastic wedge (Nemec *et al.*, 1988;
179 Grundvåg *et al.*, 2017, 2019), which is dealt with in this study. The northern wedge is generally
180 characterised by laminated, burrowed or structureless shale, which is intercalated with sandstone
181 exhibiting well-developed lamination or HCS (Dypvik *et al.*, 1991b). The shale and sandstone
182 generally stack into metre- to tens-of-metres-thick, coarsening-upwards successions representing
183 prodelta to distal delta front parasequences (Dypvik, 1985; Dypvik *et al.*, 1991b), which
184 hydrodynamically correspond to the offshore transition to lower shoreface zone (cf. Dashtgard *et*
185 *al.*, 2012). In the northern wedge, the thickness of the member, sandstone content, and number of
186 coarsening-upwards successions decrease towards the ESE (Dypvik *et al.*, 1991b), corresponding to
187 a WNW–ESE-oriented proximal–distal depositional dip.

188

189 *[Table 1 around here; if possible full page landscape including caption heading]*

190 *[Fig. 2 around here; portrait, two-column width]*

191

192 **DATA SET AND METHODS**

193 Fieldwork was carried out over six field seasons between 2013 and 2017 in the north-central,
194 central and south-eastern areas of Spitsbergen, covering a distance of >130 km and a total study
195 area of c. 4171 km². These areas comprise the most proximal, intermediate and most distal localities
196 of the Kikutodden Member outcrop belt (Table 1), with respect to the inferred north-western source
197 area (Gjelberg & Steel, 1995; Midtkandal & Nystuen, 2009; Grundvåg *et al.*, 2017, 2019), and
198 roughly parallel the ESE-oriented depositional dip (Fig. 1B).

199 A total of 36 sedimentological logs (Table 1; Fig. 1B, C) were retrieved by
200 conventional methods from 33 outcrop localities and 3 onshore cores (drilled with a spacing of c. 50
201 m). The logs comprise 2416 m of measured sections through the Rurikfjellet and lowermost
202 Helvetiafjellet Formations, of which c. 1424 m include time-equivalent sections of the Kikutodden
203 Member (Table 1).

204 Facies discrimination was carefully executed for each bed (Fig. 2). Each facies
205 represents coherent sedimentary structures that can be interpreted to record specific depositional
206 processes (Table 2), and one bed frequently constitutes two or more facies (Fig. 2). A stack of
207 amalgamated tempestites exhibiting the same facies, and characterised by either juxtaposed
208 sandstone beds or complex intercalation of individual sandstone and shale beds, was generally
209 counted as one bed (Table 1). The degree of bioturbation was recorded using a four-fold subdivision
210 for weathered field exposures (Fig. 2A) and the seven-fold Bioturbation Index (BI) of Taylor &
211 Goldring (1993) for clean field exposures and cores (Fig. 2B). Inferences of palaeoshoreline
212 orientation and sediment transport directions were drawn from a total of 134 palaeocurrent
213 measurements (Fig. 3) collected from sandstone beds ($N = 120$) and sandstone lenses in shale ($N =$
214 14).

215

216 *[Fig. 3 around here; portrait, one-column width]*217 *[Fig. 4 around here; portrait, one-column width]*

218

219 **FACIES AND TERMINOLOGY**

220 A total of 12 facies (F1–12; Table 2) stack into beds classified as *very thin* (<0.03 m), *thin* (0.03–
221 0.1 m), *medium* (0.1–0.30 m), *thick* (0.30–1 m) and *very thick* (>1 m). Conformable planar
222 lamination in sandstone beds (F7) representing an intermediate configuration between HCS and
223 distinctly planar lamination is referred to as *quasi-planar lamination* (QPL; Table 2) *sensu* Arnott
224 (1993).

225 The HCS represents a continuum of configurations with two end-member facies. First,
226 *simple HCS* (F8; Table 2) is characterised by successive hummocky laminae separated by internal
227 truncations (i.e. 3rd-order lamina sets and 2nd-order truncation surfaces *sensu stricto* Dott &
228 Bourgeois, 1982) within a single sandstone bed (Fig. 4A). Simple HCS is predominantly
229 geometrically *isotropic* but also includes (i) *anisotropic* stratification, expressed by low-angle
230 sigmoidal foresets with near-parallel truncations; or (ii) an intermediate configuration between HCS
231 and SCS referred to as *combined HCS–SCS*, characterised by locally dominant (but not
232 superimposed; cf. Leckie & Walker, 1982), low-angle, concave-up scours and swale-conformable
233 lamination. Second, *complex HCS* (F9; Table 2) is characterised by vertical or lateral variations in
234 stratification within a single sandstone bed (Fig. 4B), predominantly expressed by ripple cross-
235 lamination, textural variance or local (several metres) shifts to QPL (cf. Quin, 2011). Complex HCS
236 is commonly associated with pervasive SSDS.

237 Recurring arrangements of facies allow identification of three types of event beds
238 (tempestites), interpreted to represent fundamentally different depositional processes. The
239 proximal–distal variability of tempestite facies and depositional processes are outlined below.

240

241 [*Table 2 around here; landscape, full page*]

242 [*Fig. 5 around here; portrait, two-column width*]

243

244 **TEMPESTITE TYPES**

245 **Type 1 tempestites: Relatively steady flow deposits**

246 Event beds of this type are dominant (comprising c. 89%; Table 1) and consist of very fine- to fine-
247 grained sandstone predominantly characterised by planar lamination (F7), simple HCS (F8) and
248 wave ripple cross-lamination (F10) (Table 2; Fig. 5).

249

250 *Proximal*

251 In the proximal localities, event beds of this type are generally medium- to very thick-bedded
252 (≤ 1.05 m) and may extent laterally for >75 m. Medium-bedded sandstone beds are predominantly
253 tabular and display planar lamination to QPL (F7; Figs 5A, 6A), or simple HCS (F8) with local
254 internal anisotropic micro-HCS (*sensu lato* Dott & Bourgeois, 1982) less than 7 cm thick and/or
255 basal gravel and shell lags (Table 2). The sandstone beds are ubiquitously interbedded with sand-
256 streaked shale (F2) and bioturbated sandy shale (F3) (Table 2). Thick sandstone beds generally
257 display sharp bases and simple HCS. The HCS is locally anisotropic and records migration towards
258 the ESE (Figs 3, 5B, 6B). More typically, the HCS is isotropic with pronounced pinch-and-swell
259 architecture and wavelengths of several metres (Figs 5C, 6C), or displays combined HCS–SCS
260 (Fig. 5D). Such beds may taper laterally into (i) gutter casts infilled by simple HCS sandstone; or

261 (ii) thin sandstone sheets and lenses (Fig. 6C; cf. Midtgaard, 1996) with wave ripple cross-
262 lamination (F10). Thick sandstone beds are commonly amalgamated by either (i) complete
263 juxtaposition and erosional welding of successive beds (Fig. 6D; cf. Dott & Bourgeois, 1982); or
264 (ii) laterally restricted welding of the beds, which adjacently split into two or three separate beds.

265 Wave, combined-flow (F11) and climbing current (F12) ripples (Table 2) are locally
266 preserved at the top of the sandstone beds (Figs 5C, 6E). The climbing current ripples have a mean
267 migration direction towards the SE (Fig. 3). The wave ripples are predominantly round-crested and
268 3D, and subordinately sharp-crested and two-dimensional (2D) to near-trochoidal (Fig. 6E).

269

270 [*Fig. 6 around here; portrait, two-column width*]

271

272 *Intermediate*

273 In the intermediate localities, event beds of this type are predominantly thin- to medium-bedded
274 (although thick to very thick beds also frequently occur) and laterally restricted (<50 m). The
275 sandstone beds are ubiquitously interbedded with homogeneous shale (F1), sand-streaked shale (F2)
276 and bioturbated sandy shale (F3), and generally exhibit sharp-based simple HCS (F8; Fig. 5C) and
277 wave ripple cross-lamination (F10) (Table 2). The lower division of the beds may contain NW–SE-
278 oriented parting lineation or gutter casts (Figs 3, 7A), wave ripple cross-lamination or minor gravel
279 lags (Fig. 5C). The gutter casts rarely exceed c. 10 cm in width and are infilled by massive or
280 planar-laminated sandstone. Amalgamated beds locally exhibit significant pinch-and-swell
281 geometries with reliefs up to c. 0.8 m, manifested by complex intercalation of sandstone and shale
282 (Fig. 7B; cf. Dott & Bourgeois, 1982).

283 Simple HCS in thin to thick sandstone beds is of shorter wavelength than in the
284 proximal localities and may be purely aggradational (Figs 5C, 7C). Simple HCS beds may also be

285 characterised by combined HCS–SCS (Figs 5D, 7D), which locally displays anisotropy (cf. Datta *et*
286 *al.*, 1999; Dumas & Arnott, 2006), or contain a lower anisotropic division overlain by an isotropic
287 division. In cases, thin to thick sandstone beds exhibit a different suite of sedimentary structures:
288 Planar lamination or QPL (F7); planar lamination or simple HCS overlain by round-crested 2D or
289 3D wave ripples (Figs 5E; 7E) or combined-flow ripples (F11); bed tops obliterated by bioturbation
290 with a BI of 3–5, forming fining-upwards bed-sets with shale (F1–3; Fig. 5F); and 3D wave ripple
291 cross-lamination forming a continuum with micro-HCS (*sensu lato* Dott & Bourgeois, 1982; Figs
292 5G, 7F, G). Less common features include sandstone beds capped by (i) current or climbing current
293 ripples (F12) displaying foreset dip azimuths towards the ESE (Fig. 3); or (ii) homogeneous
294 mudstone (F4; Fig. 7C).

295 The 2nd-order truncations of simple HCS locally enclose various unidirectional ripple
296 cross-lamination displaying relatively scattered foreset dip azimuths (varying with 210°) with a
297 mean orientation towards the SE (Fig. 3).

298

299 [*Fig. 7 around here; portrait, two-column width*]

300

301 *Distal*

302 In the distal localities, event beds of this type generally comprise very thin- to thin-bedded siltstone
303 to very fine-grained sandstone (Fig. 8A). Such beds form a continuum between pinch-and-swell
304 lenses displaying wave ripple cross-lamination (F10; Fig. 5H) and discrete beds displaying simple
305 HCS (F8) (Table 2; Fig. 8B). The pinch-and-swell lenses are generally poorly exposed and
306 complexly intercalated with homogeneous shale (F1) and sand-streaked shale (F2) (Table 2; Fig.
307 8B). Thus, the lenses are not accounted for in the number of investigated event beds (Table 1). The

308 discrete HCS sandstone beds are laterally restricted (<5 m), commonly sharp-based and locally
309 capped by wave or combined-flow ripples (F11).

310

311 *[Fig. 8 around here; portrait, two-third page width]*

312

313 *Interpretation*

314 The predominance of sandstone beds exhibiting planar lamination (F7), HCS (F8) and wave ripple
315 cross-lamination (F10) indicates that event beds of this type conform to tempestites deposited from
316 storm-wave-generated oscillatory flows or oscillatory-dominated combined-flows (Southard *et al.*,
317 1990). The regular configurations of the simple HCS suggest that the depositional flows were
318 generally relatively steady and predominantly characterised by high flow intensities. The ubiquitous
319 interbedding of sandstone and shale beds indicates that deposition predominantly occurred between
320 the mean FWWB and SWB, whereas the amalgamated sandstone beds in the proximal localities
321 probably reflect deposition immediately above the FWWB (Dashtgard *et al.*, 2012).

322 In the proximal localities (Table 1; Fig. 1B), planar-laminated sandstone beds record
323 traction deposition from oscillatory sheet flows (DeCelles & Cavazza, 1992). In the intermediate
324 and distal localities (Table 1; Fig. 1B), the common occurrence of wave ripple cross-lamination
325 records comparatively weaker oscillatory-flow conditions, and the predominance of thin to medium
326 beds indicates lower sediment supply. The distal tempestites were probably deposited immediately
327 above the effective SWB predominantly by wave-generated purely oscillatory flows.

328 The sharp bases and NW–SE-oriented gutter casts of the tempestites indicate that
329 deposition was generally preceded by erosion of the seabed by strong, offshore-directed
330 unidirectional currents (Myrow, 1992b; Myrow & Southard, 1996; Dumas *et al.*, 2005). Parting
331 lineation was probably formed from high shear stress plane-bed conditions during initial deposition

332 (Komar & Miller, 1975; Leckie & Krystinik, 1989). Beds exhibiting anisotropic HCS, QPL, and
333 combined-flow (F11) and climbing current (F12) ripples, indicate that the unidirectional currents
334 occasionally affected bulk deposition and were generally characterised by high aggradation rates
335 (Arnott & Southard, 1990). With increasing unidirectional-current speeds and decreasing
336 aggradation rates, hummocky bedforms were locally truncated, resulting in combined HCS–SCS
337 (Dumas & Arnott, 2006).

338 The vertical facies arrangements of planar lamination or simple HCS overlain by
339 ripples are well-documented features of waning- to late-stage storm flow (e.g. Dott & Bourgeois,
340 1982; Nøttvedt & Kreisa, 1987; Arnott, 1993; Midtgaard, 1996; Myrow *et al.*, 2002; Lamb *et al.*,
341 2008). The wave ripples in the bed tops are interpreted to represent a continuum from proximal 2D
342 vortex ripples to intermediate and distal 3D rolling-grain ripples (Table 2; Bagnold, 1946). Locally
343 overlying homogeneous mudstone (F4) probably represents deposition of fluid mud during final-
344 stage storm wane, generated from wave resuspension of previously deposited mud (Ichaso &
345 Dalrymple, 2009), or from rapid settling of flocculated mud from hypopycnal plumes (Parsons *et*
346 *al.*, 2001) or hyperpycnal flows (Bhattacharya & MacEachern, 2009).

347

348 [*Fig. 9 around here; portrait, two-column width*]

349

350 **Type 2 tempestites: Highly unsteady flow deposits**

351 Event beds of this type are rare (comprising c. 4%; Table 1) and consist of very fine- to fine-grained
352 sandstone predominantly characterised by complex HCS (F9) (Table 2; Fig. 9).

353

354 *Proximal*

355 In the proximal localities, event beds of this type are restricted to the Bohemanflya section (Table 1;
356 Fig. 1B), where they are interbedded with sand-streaked shale (F2) or bioturbated sandy shale (F3)
357 (Table 2). The sandstone beds are predominantly thick-bedded (≤ 0.88 m), sharp-based, and locally
358 erosionally welded with underlying sandstone beds (Fig. 10A). In cases, the beds are characterised
359 by complex amalgamation of sandstone and shale (Fig. 10B). Laterally extensive (>75 m) and
360 isotropic complex HCS (F9) with bed-persistent SSDS dominates (Figs 9A, 10A). The SSDS are
361 characterised by incipient to overturned convolutions of lamina or lamina sets, which generally
362 increase in magnitude of distortion down-crest of the individual hummocky bedforms (Fig. 10A,
363 B). The convolutions predominantly display relatively irregular, centimetre-scale anticlines and
364 synclines. The 1st- and 2nd-order boundaries (*sensu stricto* Dott & Bourgeois, 1982) of the HCS are
365 generally unaffected by the SSDS. The complex HCS is also commonly characterised by wave,
366 combined-flow, or climbing current ripple cross-lamination (Fig. 10A; cf. Arnott, 1992),
367 constituting significant parts of the HCS as ripple trains parallel with the bulk hummocky
368 lamination, thus corresponding to “compound”-type stratification (Figs 2A, 9A, 10C). The climbing
369 current ripple cross-lamination displays opposing migration directions with some towards the NW.
370 In other cases, the hummocky lamina sets grade from sandstone into carbonaceous material (Fig.
371 10B). The sandstone beds are locally capped by wave ripples (F10) or homogeneous mudstone (F4).

372

373 *[Fig. 10 around here; portrait, two-column width]*

374

375 *Intermediate*

376 In the intermediate localities, event beds of this type are predominantly medium-bedded and
377 subordinately thin- or thick- to very thick-bedded, and dominated by isotropic complex HCS (F9).
378 These beds are generally more laterally restricted (<50 m) than their proximal counterparts, and

379 commonly display sharp to erosional or irregular bases that may include (i) SSW–NNE-oriented
380 parting lamination; or (ii) in average WSW–ENE-oriented gutter casts infilled by planar-laminated
381 sandstone (Figs 3, 9B). The sandstone beds are characterised by common interbedding with
382 homogeneous shale (F1), sand-streaked shale (F2) and bioturbated sandy shale (F3) (Table 2).

383 The complex HCS mainly displays two configurations. The first is characterised by
384 lateral translations into QPL (Fig. 9B). This type of complex HCS is locally interbedded with
385 simple HCS (F8) (Fig. 11A). The second comprises wave, combined-flow and/or current ripple
386 cross-lamination; anisotropic micro-HCS; and small-scale SSDS (Figs 2B, 9C, 11B–D). Ripple
387 cross-lamination sets are usually parallel with the bulk hummocky lamination and are sporadically
388 distributed both vertically and laterally within the HCS (Figs 9C, 11B, C). Foreset dip azimuths of
389 unidirectional ripple cross-lamination and anisotropic micro-HCS locally display opposing
390 directions within the same bed (Fig. 11B) but are generally scattered (varying with 250°; Fig. 3).
391 The SSDS are generally centimetre-scale and display various 2D and 3D folds with scattered fold
392 axes (Fig. 3), minor chaotic distortions, and less commonly fully overturned lamination (Fig. 11D).
393 A third and rare variation of the complex HCS contains carbonaceous material either as (i) fully
394 carbonaceous lamina sets (Fig. 11B); or (ii) double-draping expressed by apparently cyclic
395 thickness alternation of sandstone lamina with each lamina draped by carbonaceous detritus (Figs
396 9D, 11E).

397 Sandstone beds are locally capped by wave (F10), combined-flow (F11) or climbing
398 current (F12) ripples (Fig. 9B, C), with the latter two characterised by scattered foreset dip azimuths
399 (Fig. 3). The bed tops may be bioturbated (BI 3–5), or more rarely overlain by homogeneous
400 mudstone (F4; Fig. 11C).

401 Event beds of this type are absent in the distal localities.

402

403 *[Fig. 11 around here; portrait, two-column width]*

404

405 *Interpretation*

406 The predominance of sandstone beds exhibiting HCS (F9) indicates that event beds of this type
407 conform to tempestites deposited from storm-wave-generated oscillatory flows or oscillatory-
408 dominated combined-flows (Southard *et al.*, 1990). The various configurations of the complex
409 HCS, including transitional stratification and ripple cross-lamination, indicate that the depositional
410 flows were characterised by frequent shifts in flow intensity. It is interpreted that deposition of the
411 tempestites was controlled by highly unsteady waves characterised by significant variations in
412 oscillatory-flow dynamics. The abundant SSDS suggest that liquefaction commonly took place,
413 probably due to high instantaneous sedimentation rates with associated weakening of lamina shear
414 resistance and occasional small-scale slumping (cf. Dalrymple, 1979; Mills, 1983; Owen, 1996).
415 The common interbedding of sandstone and shale beds indicates that deposition predominantly
416 occurred between the mean FWWB and SWB, whereas the amalgamated sandstone beds in the
417 proximal localities probably reflect deposition immediately above the FWWB (Dashtgard *et al.*,
418 2012).

419 In the proximal localities (Table 1; Fig. 1B), the tempestite thicknesses probably
420 indicate higher sediment supply, and the abundance of climbing current ripple cross-lamination
421 reflects rapid deposition from suspension. Complex HCS exhibiting wave ripple cross-lamination
422 probably indicates episodic to periodic shifts to lower oscillatory-flow velocities and a shift in
423 bedform stability fields (Midtgaard, 1996). Similarly, the presence of (i) combined-flow, current
424 and climbing current ripple cross-lamination, and (ii) lateral translations to QPL, reflect episodic
425 pulsation of relatively weak and strong unidirectional flows, respectively. Depositional flows were
426 characterised by high aggradation rates (Arnott & Southard, 1990) and occasionally time-varying

427 orientations, both during the course of and between the individual flows, as indicated by the various
428 migration directions of the unidirectional ripples (Fig. 3).

429 Carbonaceous laminae (Figs 10B, 11B) and double draping (Fig. 11E) in complex
430 HCS are interpreted to reflect suspension fallout of organic debris during a single storm event,
431 respectively by (i) prolonged damping or absence of near-bed wave agitation, and (ii) relatively
432 cyclic fluctuations of oscillatory energy (cf. Leithold & Bourgeois, 1984; Varkarelov *et al.*, 2012).

433

434 *[Fig. 12 around here; portrait, two-column width]*

435

436 **Type 3 tempestites: Wave-modified hyperpynites**

437 Event beds of this type are moderately common (comprising c. 7%; Table 1) and consist of
438 homogeneous mudstone (F4) and very fine- to coarse-grained and gravelly sandstone
439 predominantly characterised by (i) graded (F5) and massive (F6) textures; (ii) planar lamination
440 (F7); (iii) simple (F8) and complex (F9) HCS; and (iv) combined-flow (F11) and climbing current
441 (F12) ripple cross-lamination (Table 2; Fig. 12).

442

443 *Proximal*

444 In the proximal localities, event beds of this type are restricted to the Bohemanflya section (Table 1;
445 Fig. 1B), where they are interbedded with sand-streaked shale (F2) and bioturbated sandy shale (F3)
446 (Table 2). The event beds are characterised by medium- to thick-bedded (≤ 0.80 m), sharp- to
447 erosional-based sandstone dominated by three configurations of complex HCS (F9).

448 The first contains compensational cut-and-fill architecture, low-angle ($< 10^\circ$) lateral
449 accretion, and scattered gravel lenses within laterally tapered channel fills (Figs 12A, 13A).

450 Welding of successive sandstone beds is manifested by primary erosional bounding surfaces that

451 translate laterally into secondary conformable bed contacts (Fig. 13A; Dott & Bourgeois, 1982).
452 The channels are <0.8 m thick and <15 m wide; SW–NE-oriented; and display concave-up
453 erosional bases that truncate underlying shale and sandstone beds (Fig. 13A).

454 The second configuration of complex HCS (Fig. 13B) forms a continuum with QPL
455 (F7; Fig. 13C). The beds are characterised by gravelly to conglomeratic sandstone with markedly
456 erosional bases displaying normal, inverse or inverse-to-normal grading. The beds range in grain
457 size between very fine to coarse sandstone (cf. Datta *et al.*, 1999), which generally also contain
458 either (i) gravel dispersed as individual grains or subtle lenses within the very fine- to coarse-
459 grained lamination of the complex HCS or QPL (Figs 12B, 13A, B); (ii) basal conglomerates which
460 locally are trough cross-stratified (Figs 12B, 13B, C); or (iii) small-scale trough cross-stratification
461 within the bulk lamination of the complex HCS or QPL. The lenses and conglomerates consist of
462 granule- to pebble-sized quartz clasts, coal clasts, and ubiquitous plant debris. The beds are usually
463 capped by sharp-crested wave (F10; Fig. 13B) or combined-flow (F11; Fig. 13C) ripples.

464 The third configuration of complex HCS is rare and displays laterally restricted (<2
465 m), vertical alternation of hummocky lamination and E–SE-directed combined-flow and climbing
466 combined-flow ripple cross-lamination (Figs 12C, 13D).

467

468 [*Fig. 13 around here; portrait, two-column width*]

469

470 *Intermediate*

471 In the intermediate localities, event beds of this type display four facies arrangements, of which two
472 are characterised by thin- to thick-bedded sandstone and two are characterised by very thin- to thin-
473 bedded couplets of sandstone and mudstone. The event beds are characterised by common

474 interbedding with homogeneous shale (F1), sand-streaked shale (F2) and bioturbated sandy shale
475 (F3) (Table 2).

476 The first sandstone facies arrangement displays vertical alternation of sedimentary
477 structures within the same bed, which occurs in either (i) complex HCS (F9) where the alternation
478 of sedimentary structures is laterally restricted (<1 m; Figs 12C, 14A); or (ii) relatively tabular
479 sandstone beds as laterally persistent facies repetitions (Figs 12C, 14B). Facies of the latter include
480 massive bedding (F6), planar lamination (F7) and simple HCS (F8), and any of these facies
481 alternates upwards with combined-flow (F11) or climbing current (F12) ripple cross-lamination
482 (Figs 12C, 14B). The second sandstone facies arrangement is simpler, predominantly displaying a
483 lower massive division overlain by planar lamination, simple HCS or combined-flow or climbing
484 current ripples (Figs 12D, 14C, 15A). The combined-flow ripples are round-crested and 2D,
485 symmetrical to asymmetrical, and display low-angle convex-up to sigmoidal foresets. Ripple foreset
486 dip azimuths of the two sandstone facies arrangements are oriented roughly towards the E to ESE
487 (Fig. 3).

488 The first very thin- to thin-bedded facies arrangement is dominated by planar-
489 laminated, wave (F10) or combined-flow ripple cross-laminated sandstone intercalated with
490 homogeneous mudstone (F4) (Figs 2B, 12E, 14D, E, 15B). Boundaries between the sandstone and
491 mudstone are either gradational, sharp to erosional (Fig. 12E), or rarely interlaminated (Fig. 14D).
492 The mudstone is dark grey to black, typically characterised by lateral thickness variations, and
493 locally displays faint biogenic mantle-and-swirl structures (Navichnia; Lobza & Schieber, 1999) of
494 low bioturbation intensities (BI 0–2) (Fig. 14D, E). The second very thin- to thin-bedded facies
495 arrangement (F5) comprises sharp- or erosional-based beds of siltstone to very fine-grained
496 sandstone grading into silty or carbonaceous mudstone (Figs 12F, 14E, 15).

497 Event beds of this type are absent in the distal localities.

498

499 *[Fig. 14 around here; portrait, two-column width]*500 *[Fig. 15 around here; portrait, one-column width]*

501

502 *Interpretation*

503 The common association of HCS, combined-flow (F11) and climbing current (F12) ripples suggests
504 that deposition took place from a combination of wave-generated oscillatory flows and
505 unidirectional currents. The vertical arrangement of facies and frequent occurrence of grading
506 indicate that the unidirectional currents were turbidity currents. Sandstone beds exhibiting inverse-
507 to-normal grading and vertical alternation of sedimentary structures (including complex HCS; F9);
508 terrigenous coal clasts and plant debris; and E- to SE-oriented ripple foreset dip azimuths, suggest
509 that the turbidity currents were formed from riverine hyperpycnal outflows which were episodically
510 waxing and waning (Mulder *et al.*, 2003), and offshore-directed. The homogeneous nature of the
511 very thin- to thin-bedded mudstone (F4) and associated *Navichnia* indicate soupground conditions
512 (Lobza & Schieber, 1999), consistent with fluid-mud deposition (Bhattacharya & MacEachern,
513 2009; Wilson & Schieber, 2014). Thus, event beds of this type conform to storm-wave-modified
514 shelf hyperpycnites and turbidites (i.e. tempestites), which were distally waning (Mutti *et al.*, 1996;
515 Myrow *et al.*, 2002; Lamb *et al.*, 2008). The common interbedding of sandstone and shale beds
516 indicates that deposition predominantly occurred between the mean FWWB and SWB (Dashtgard *et*
517 *al.*, 2012).

518 The channelised complex HCS in the Bohemanflya section (Figs 1B, 13A) shares
519 numerous architectural similarities with the subaqueous hyperpycnal channel elements documented
520 by Ponce *et al.* (2008) and Eide *et al.* (2015). Lateral accretion and compensational stacking of
521 sandstone bodies imply that the channel architecture was controlled by sustained hyperpycnal flows

522 (e.g. Mutti *et al.*, 2003), which were perhaps helicoidal in nature or influenced by (i) offshore-
523 directed rip currents or downwelling flows (Amos *et al.*, 2003); or (ii) the position and lateral
524 migration of the sediment-feeding point source. Coarse-grained to gravelly sandstone beds indicate
525 higher riverine outflow efficiency (Mutti *et al.*, 2003), and inverse-to-normal grading reflects
526 waxing and waning of turbulent hyperpycnal flows enhanced by strong, steady storm waves
527 (Leckie, 1988).

528 Sandstone beds exhibiting vertical alternation of sedimentary structures (including
529 complex HCS; Figs 12C, 13D, 14A, B) reflect deposition from waxing and waning combined-
530 flows. The round-crested combined-flow ripples, some with asymmetry and convex-up to sigmoidal
531 foresets, indicate that the flows were episodically current-dominated (Yokokawa, 1995; Mulder *et*
532 *al.*, 2003). Thus, such beds probably reflect episodes of lower oscillatory-flow intensity, and
533 represent a range of Bouma-like divisions, including T_{bacb} , T_{bcb} , T_{bcbc} , T_{bcacb} , T_{cbc} and T_{cbcd} (Fig.
534 12C; Bouma, 1962). Sandstone beds exhibiting a lower massive division (F6) overlain by planar
535 lamination (F7), simple HCS (F8) or ripples (Figs 12D, 14C) are interpreted to represent wave-
536 modified turbidites, including T_{ab} , T_{abc} , T_{ac} , T_{bc} and T_{bcd} subdivisions (Bouma, 1962), which were
537 deposited from wave-enhanced, surge-type turbidity currents (Lamb *et al.*, 2008). The proximal
538 hyperpycnites are relatively thick compared to the intermediate wave-modified turbidites, but their
539 arrangements of sedimentary structures are closely related. Consequently, the intermediate surge-
540 type turbidity currents were probably generated directly from more proximal hyperpycnal flows.
541 The lack of a basal waxing unit may reflect that the temporal flow acceleration was relatively large
542 compared to the magnitude of spatial flow deceleration (Lamb *et al.*, 2008), or that the sediment
543 concentration in the flooding river plume was insufficient to generate a plunging flow during the
544 rising limb of the flood (Mulder *et al.*, 2003; Geyer *et al.*, 2004).

545 The very thin- to thin-bedded sandstone–mudstone couplets and fine-grained, graded
546 beds (F5; Figs 12E, F, 14D, E, 15) are interpreted to represent deposition from wave-enhanced,
547 low-density turbidity currents (cf. Macquaker *et al.*, 2010; Li *et al.*, 2015), generated directly from
548 plunging rivers or from collapse of flocculated hypopycnal plume muds (Parsons *et al.*, 2001; Plint,
549 2014). Collectively, the beds correspond to T_{bcde} , T_{bd} , T_{bde} , T_{cd} and T_{cde} divisions of Bouma (1962),
550 T_{e1-e3} divisions of Piper (1978), and T_{0-8} divisions of Stow & Shanmugam (1980).

551

552 **TEMPESTITE FACIES MODEL**

553 The facies characteristics of the Kikutodden Member suggest that deposition took place from near
554 SWB (by the distal localities) to immediately above FWWB (by the proximal localities) on a storm-
555 and wave-dominated prodelta, within a high-fetch, open-marine setting (Fig. 16). Palaeocurrent
556 measurements collectively indicate that the sediments were shed from a SSW–NNE-oriented
557 shoreline towards the ESE (Figs 1B, 3, 16). Tempestite deposition was relatively patchy across a
558 mud-dominated seabed, which was deposited primarily during fair-weather conditions (Table 2).
559 The regional persistence of the prodeltaic facies belts indicates that the basin was characterised by a
560 low-gradient ramp physiography (Midtkandal & Nystuen, 2009). Dumas & Arnott (2006) estimated
561 that HCS forms in water depths of 13–50 m. Thus, it seems reasonable that the distal sandstone
562 beds represent a SWB depth of at least tens of metres (Fig. 16).

563 In most of the investigated sections, the tempestites and fair-weather deposits stack
564 into coarsening-upwards successions (Fig. 2), reflecting shoaling and increased proximity to the
565 shoreline (Dypvik *et al.*, 1991b). Nevertheless, bulk proximal–distal facies relationships clearly
566 indicate distally decreasing (i) sediment supply and aggradation rate; (ii) average bed thickness and
567 grain size; and (iii) wave-generated oscillatory (U_o) and cross-shore unidirectional (both
568 downwelling and hyperpycnal flows, U_u) current velocities (Fig. 16). The general lack of erosional

569 surfaces in the distal tempestites is consistent with a distal increase in preservation potential closer
570 to the SWB (Fig. 16; e.g. Dumas & Arnott, 2006). Proximal–intermediate facies and abundance
571 trends of Type 2 and 3 tempestites (Table 1) are interpreted to reflect partial sediment bypass across
572 the proximal areas (cf. Myrow, 1992a) and distally decreasing hyperpycnal-flow pulsation and
573 wave unsteadiness. Recall that these tempestite types are not recognised in the distal sections (Fig.
574 16). However, considering the thickness (~106 m) of the shale-dominated and age-equivalent
575 (Śliwińska *et al.*, in press) Wimanfjellet Member in the distal locality of Baronfjella (Table 1; Fig.
576 1B, D), hyperpycnal fluid-mud deposits may constitute parts of the succession (Table 2; Fig. 16).

577 Type 1 and 2 tempestites exhibiting approximately offshore-directed (ESE) parting
578 lineation, gutter casts, anisotropic HCS and various unidirectional ripples are interpreted to record
579 sediment delivery governed by downwelling flows related to coastal setup (Myrow, 1992b;
580 Héquette & Hill, 1993, 1995), or possibly rip currents, that were superimposed by nearshore waves.
581 Contemporaneous reworking by relatively steady or highly unsteady wave trains controlled the
582 generation of their respective tempestite types (Fig. 16). Type 3 tempestites were probably fed from
583 distributary channels, as suggested by the channelised HCS in the Bohemanflya section formed
584 directly downstream of the sediment-feeding point source (Pattison & Hoffman, 2008; Basilici *et*
585 *al.*, 2012b; Eide *et al.*, 2015), and distributed by a combination of bottom-hugging hyperpycnal
586 flows and surface hypopycnal plumes (Fig. 16; Parsons *et al.*, 2001; Bhattacharya & MacEachern,
587 2009) during coupled storm-floods (Wheatcroft, 2000; Collins *et al.*, 2017). The lack of shore-
588 parallel sole marks argues against dominant along-shore or geostrophic processes.

589

590 [*Fig. 16 around here; landscape, full page*]

591

592 **DISCUSSION**

593 Storm-hyperpycnal sediment transport and distribution in a low-gradient setting

594 Existing tempestite facies models portray a dominance of oscillatory-generated bedforms in
595 proximal areas and an increased proportion of unidirectional-current-generated bedforms in distal
596 areas due to increased water depth and a corresponding decrease in the near-bed wave orbital
597 velocity (e.g. Dott & Bourgeois, 1982; Myrow *et al.*, 2002; Lamb *et al.*, 2008; Pattison & Hoffman,
598 2008). However, the distal tempestites of the Rurikfjellet Formation are oscillatory-dominated (Fig.
599 16), indicating that they do not necessarily conform to the general tempestite facies models, or that
600 the outcrop belt does not extend to areas represented by the most distal parts of these models.

601 Numerous accounts of hyperpycnal turbidites (e.g. Myrow *et al.*, 2002; Pattison, 2005;
602 Lamb *et al.*, 2008; Basilici *et al.*, 2012b) and fluid-mud deposits (Bhattacharya & MacEachern,
603 2009; Plint, 2014; Wilson & Schieber, 2014, 2017; Harazim & McIlroy, 2015) in storm-dominated
604 successions have been interpreted to represent wave-enhanced density flows. In these cases, near-
605 bed agitation of waves may support suspension of turbidity currents for large distances across the
606 shelf (e.g. Varban & Plint, 2008) by providing additional turbulence to the flows (e.g. Macquaker *et*
607 *al.*, 2010). It is speculated that the low gradient of the Rurikfjellet Formation ramp controlled the
608 temporal and spatial evolution of the hyperpycnal flows by enhancing the extent of wave agitation
609 across the seafloor, but at the same time resulted in progressive deceleration of the flows. In the
610 intermediate–distal areas, the relative magnitude of wave-added turbulence was sufficient to cause
611 significant diffusion of the density-driven sediment dispersion into the overlying water column (e.g.
612 Noh & Fernando, 1992; Myrow & Southard, 1996). Hyperpycnal sediment transport was likely
613 further retarded by progressive flow depletion due to sediment deposition, entrainment of ambient
614 seawater, and/or lateral spreading of the flows (e.g. McLeod *et al.*, 1999). The predominance of
615 oscillatory-generated (and lack of current-generated) tempestites in the distal sections of the
616 Rurikfjellet Formation outcrop belt implies that (i) proximal–distal facies relationships of general

617 tempestite facies models may only be applicable to moderate- to high-gradient shelves (cf.
618 Bourgeois, 1980; Swift *et al.*, 1987; Myrow, 1992a); and (ii) new tempestite facies models have to
619 be developed for low-gradient depositional settings.

620

621 **Nature of wave unsteadiness in generating some complex hummocky cross-stratification**

622 The complex HCS of Type 2 and 3 tempestites forms unusual and distinctive bedding styles (Table
623 2; Figs 9–11, 12A–C, 13A, B, D, 14A). The superimposition of unidirectional flows on strong,
624 relatively steady wave oscillations is evidenced by complex HCS exhibiting (i) lateral translations
625 from hummocky lamination to QPL within Type 2 tempestites (Fig. 9B), interpreted to indicate
626 downwelling flows (Arnott, 1993); and (ii) channelisation (Fig. 12A) and gravelly inverse-to-
627 normal grading (Fig. 12B) within Type 3 tempestites, interpreted to indicate pulsating hyperpycnal
628 flows. However, other examples of complex HCS were generated by waves characterised by
629 significant unsteadiness. Enigmatic features with important bearings on the nature of wave
630 unsteadiness are (i) ripple cross-lamination; (ii) scattered orientations of palaeocurrent indicators;
631 (iii) tidal sedimentary structures; and (iv) SSDS.

632

633 *Ripple cross-lamination*

634 The common presence of ripple cross-lamination within complex HCS in Type 2 (Figs 9A, C, 10A,
635 C, 11B, C) and Type 3 tempestites (Figs 12C, 13D, 14A) suggests that the intensity of oscillatory
636 flow was frequently reduced. Similar shifts in oscillatory flow regime were tentatively inferred by
637 Midtgaard (1996) to represent periodic passing of smaller wave groups. In addition, gravity-driven
638 cross-shelf sediment transport enhanced by wave suspension may be inherently pulsating or
639 reversing (Wright *et al.*, 2002; Lamb *et al.*, 2008), which is manifested by (i) high bed shear stresses
640 and turbulent diffusion generated by groups of large waves, and (ii) short-lived, rapid offshore or

641 reversing movement of sediment during lulls between these groups. It is interpreted that during such
642 lulls, weaker oscillatory flows permitted local generation of wave ripples across hummocky
643 bedforms (Fig. 17A). In Type 3 tempestites, the vertical alternation of hummocky lamination and
644 unidirectional ripple cross-lamination reflects the superimposition of hyperpycnal flows (Fig. 17A).

645

646 *Scattered orientations of palaeocurrent indicators*

647 The ripples within complex HCS of Type 2 tempestites display scattered orientations with local
648 onshore modes (Figs 3, 10A, 11B). Upslope-migrating, small-scale HCS in bathyal turbidites have
649 previously been interpreted to represent antidunes generated by Kelvin-Helmholtz instabilities
650 related to standing waves within thick, stratified turbidity currents (Prave & Duke, 1990; Mulder *et*
651 *al.*, 2009). This interpretation seems unlikely for the orientations of the ripples within complex
652 HCS, because sediment dispersion caused by the oscillatory-dominated flows probably inhibited
653 density-induced flow stratification. Furthermore, formation of upstream eddy currents is unlikely
654 because the height and crestal brink angle of the hummocks are too small to induce sufficient
655 separation of a lee-side flow (Schatz & Herrmann, 2006; Herbert *et al.*, 2015).

656 During storms, surface gravity waves approaching shore are commonly refracted,
657 resulting in waves propagating in several directions (Cheel, 1991). The scattered orientations of sole
658 marks and ripples within the complex HCS are interpreted to reflect such multidirectional wave
659 spectra where downwelling flows acted in combination with near-bottom quasi-steady to unsteady
660 reversing oscillatory flows of higher and lower energy, respectively (Fig. 17B; Gray & Benton,
661 1982; Duke, 1990). Although such waves surely also operate during deposition of some simple
662 HCS, as indicated by 3D wave ripples in Type 1 tempestites, they are apparently particularly
663 important in the formation of complex HCS (Fig. 3). In addition, hummocky topography at the
664 seabed may have resulted in transient breaking of internal waves (Morsilli & Pomar, 2012), and/or

665 flow deflections and ponding causing spatial strength variations (Kneller & McCaffrey, 1999;
666 Tinterri, 2011).

667

668 *Tidal sedimentary structures*

669 The complex HCS displaying carbonaceous lamina sets (Figs 10B, 11B) reflects significant
670 diminishment of near-bed wave agitation, and carbonaceous double drapes (Figs 9D, 11E) in a few
671 Type 2 tempestites reflects apparently cyclic deposition. Thus, passing wave groups seem unlikely
672 as the formative process of these sedimentary features. Recently, HCS has been reported from
673 modern and ancient open-coast tidal flats, and several studies have interpreted certain ripples, lenses
674 and carbonaceous mudstone draping in ancient HCS tempestites to record tidal water-level
675 variations (Leithold & Bourgeois, 1984; Rasmussen & Dybkjær, 2005; Yang *et al.*, 2005; Basilici *et*
676 *al.*, 2012a; Vakarelov *et al.*, 2012). Consequently, the carbonaceous lamina sets may reflect (i)
677 suspension fallout of river-supplied organic debris during combined neap tide and final waning-
678 storm stage (Vakarelov *et al.*, 2012), and/or (ii) elevation of the effective SWB (Fig. 17C) and
679 associated damping of wave agitation during tidal flood (Leithold & Bourgeois, 1984). The double-
680 draped HCS might represent daily tidal water-level variations of semi-diurnal inequality during a
681 single storm event (cf. Rasmussen & Dybkjær, 2005). Thus, these beds provide rare examples of
682 probable tidal superimposition in the formation of HCS. As emphasised by Vakarelov *et al.* (2012),
683 tidal modulation of wave-dominated shorelines is generally greatest across wide, low-gradient
684 shelves (such as the Rurikfjellet Formation), but such settings are also prone to complex
685 hydrodynamic processes, inhibiting development of conventional tidal sedimentary structures.

686

687 *Soft-sediment deformation structures*

688 Soft-sediment deformation structures are well-documented in tempestites and commonly include
689 load casts, ball-and-pillows, pipes and convoluted lamination. In shallow-marine sandstone beds
690 (including tempestites), SSDS have been interpreted to represent liquidization (primarily
691 liquefaction and fluidization) triggered by, for example, earthquakes or tsunamis (Owen, 1987),
692 impulsive stress of breaking waves in nearshore environments (Dalrymple, 1979), rapid
693 introduction and loading of sand onto a muddy seafloor (Eyles & Clark, 1986), or cyclic stress of
694 storm waves (Molina *et al.*, 1998; Alfaro *et al.*, 2002; Chen & Lee, 2013).

695 The SSDS associated with the complex HCS in Type 2 tempestites are predominantly
696 characterized by small-scale and down-crest distortions as well as incipient to overturned
697 convolutions of lamina or lamina sets (Figs 9A, C, 10A, B, 11B, D). The low surface slopes of the
698 hummocky bedforms (<15°) are considerably less than slipface inclinations of subaqueous dunes
699 (Hunter & Kocurek, 1986), indicating that liquefaction must have governed the loss of sediment
700 shear strength.

701 The majority of SSDS within the Kikutodden Member are only present in the
702 tempestites (except for two slumps observed in the Bohemanflya section; Fig. 2A), and they are
703 generally restricted to the complex HCS of Type 2 tempestites, even in cases of amalgamation with
704 simple HCS of Type 1 tempestites (Figs 2A, 10A; cf. Molina *et al.*, 1998; Alfaro *et al.*, 2002).
705 Thus, earthquakes are rejected as the triggering mechanism of liquefaction, since these would
706 probably have affected other beds as well. Tsunamis are also excluded, because deposits related to
707 such events generally display other sedimentary characteristics (e.g. Schnyder *et al.*, 2005).
708 Considering that the depositional setting of the Rurikfjellet Formation was generally below FWWB,
709 liquefaction induced by breaking waves is unlikely. The lack of load casts, ball-and-pillows, pipes
710 and deformed 1st- and 2nd-order boundaries in the complex HCS (Figs 9A, C, 10A) also precludes
711 post-depositional slumping or rapid loading of the deposited sand into underlying mud.

712 Consequently, the most plausible triggering mechanism of liquefaction is interpreted
713 to have been cyclic stress induced by storm waves (Fig. 17D; Molina *et al.*, 1998; Alfaro *et al.*,
714 2002; Chen & Lee, 2013). During deposition, the consecutive pressure difference between wave
715 crests and troughs may have increased the interstitial pressure of the hummocky sand on the seabed,
716 leading to liquefaction and associated reduction of shear strength (Fig. 17D). The liquefaction
717 probably resulted in sufficient density stratification between laminae to induce short-lived
718 Rayleigh-Taylor instability and associated gravitational adjustment manifested by near-sinusoidal
719 lamina convolutions and small-scale folds (Fig. 17D; Anketell *et al.*, 1970; Allen, 1977). The
720 general lack of deformed 1st- and 2nd-order boundaries suggests the liquefaction primarily occurred
721 during aggradational bedform growth, and that truncation of surficial sand resulted in momentary
722 disruption of the soft-sediment deformation driving force system (*sensu* Owen, 1987), i.e. Rayleigh-
723 Taylor instability.

724

725 [*Fig. 17 around here; landscape, full page*]

726

727 **A polygenetic model for hummocky cross-stratification**

728 Possible vertical arrangements of sedimentary structures in tempestites have been comprehensively
729 evaluated and interpreted primarily as a function of the ratio between wave-generated oscillatory
730 (U_o) and cross-shore unidirectional (U_u) current velocities (e.g. Dott & Bourgeois, 1982; Nøttvedt &
731 Kreisa, 1987; Leckie & Krystinik, 1989; Arnott & Southard, 1990; Cheel, 1991; Duke *et al.*, 1991;
732 Myrow & Southard, 1991, 1996; Midtgaard, 1996). However, internal characteristics of HCS and
733 SCS have received relatively little attention (Dott & Bourgeois, 1982; Arnott, 1992).

734

735 A polygenetic origin of HCS has previously been hypothesized by several authors

736 2012), partly due to its enigmatic occurrence in fluvial (Cotter & Graham, 1991) and deep-sea
737 (Prave & Duke, 1990) strata. Complex HCS is restricted to Type 2 and 3 tempestites, which
738 constitute less than c. 11% of the tempestites encountered in this study (Table 1). Nevertheless, the
739 sedimentary configurations and formative processes of the complex HCS are not entirely consistent
740 with previous generic classifications of HCS, which demonstrates that HCS is polygenetic in origin.

741 The configurations of HCS within the Rurikfjellet Formation were controlled by the
742 relative influence of storm-wave oscillations, hyperpycnal flows (including sustained waxing–
743 waning flows), and downwelling flows related to coastal setup (Fig. 16). The spectrum of these
744 processes may be displayed by a ternary diagram similar to that presented by Myrow & Southard
745 (1996), which included wave oscillations, density-induced flows (instead of hyperpycnal flows),
746 and geostrophic flows (instead of downwelling flows). However, the generation of complex HCS
747 may also depend on the prevailing degree of oscillatory-flow unsteadiness related to passing and
748 multidirectional wave groups, tidal water-level variations, and cyclic wave stress. Consequently, the
749 full range of possible process combinations is displayed by two connected ternary diagrams (Fig.
750 18). Since wave oscillations are required for the generation of HCS, a total of six formative flow
751 fields may be predicted from the diagram (Fig. 18). A hypothetical end-member configuration of
752 HCS is constructed for each of these flow fields (Fig. 18) based on the range of HCS displayed in
753 the Rurikfjellet Formation. Although the model does not take into account the full range of shallow-
754 marine hydrodynamic processes (e.g. shore-oblique geostrophic flows), it provides a predictive,
755 process-based classification of some HCS configurations in tempestites. The potentially vast variety
756 of sedimentary configurations produced either by combined oscillatory, downwelling and
757 hyperpycnal flows, or amalgamation from multiple storm events, are omitted from the model (cf.
758 Dott & Bourgeois, 1982; Myrow & Southard, 1996). For thorough reviews of tempestite sole
759 marks, the reader is referred to Beukes (1996) and Myrow & Southard (1996).

760

761 *1. Simple hummocky cross-stratification generated by relatively steady wave oscillations*

762 The HCS in Type 1 tempestites is predominantly characterised by successive 3rd-order lamina sets
763 and 2nd-order boundaries (Figs 5C, 6C, 7C), which reflect near-continuous aggradation and
764 intermittent truncation of hummocky bedforms beneath relatively steady storm waves (Table 2;
765 Dott & Bourgeois, 1982). Consequently, deposition that is dominated by relatively steady storm-
766 wave oscillations would produce simple, dominantly isotropic HCS (Myrow & Southard, 1996).
767 Given that most deposition occurs during the waning of a storm, tempestites commonly display a
768 lower division of massive bedding or planar lamination to QPL, a middle HCS division, and an
769 upper division of 2D or 3D wave ripples (Figs 5C, 18; e.g. Duke *et al.*, 1991; Midtgaard, 1996).
770 Relatively weak storm-wave oscillations and reduced sediment supply would probably result in
771 thin-bedded micro-HCS (Figs 5G, 7F, G, 8, 18).

772

773 *2. Simple hummocky cross-stratification generated by relatively steady wave oscillations and*
774 *downwelling flows*

775 The superimposition of only relatively weak unidirectional currents on wave oscillations causes
776 preferential deposition on one side of a hummock and resulting migration of hummocky bedforms
777 (Arnott & Southard, 1990; Dumas & Arnott, 2006). Consequently, this type of oscillatory-
778 dominated combined-flow is expected to produce anisotropic simple HCS (Myrow & Southard,
779 1996) displaying shore-oblique (if geostrophically veered) to shore-normal migration directions
780 similar to a few Type 1 tempestites (Table 2; Figs 5B, 6B, 18). With increasing U_o and U_u ,
781 preferential truncation of surficial hummocks leads to dominant generation of SCS (Figs 5D, 7D,
782 18), which is generally assumed to occur in proximal shelf settings (Leckie & Walker, 1982; Dumas
783 & Arnott, 2006).

784

785 *3. Complex hummocky cross-stratification generated by highly unsteady wave oscillations*

786 The configuration of complex HCS in Type 2 tempestites reflects deposition beneath highly
787 unsteady storm-wave oscillations associated with (i) fluctuating oscillatory-flow intensity related to
788 high-frequency passing of wave groups (Fig. 17A); and (ii) liquefaction due to cyclic stress applied
789 by the storm waves (Fig. 17D). Thus, complex HCS produced solely by such waves would display
790 isotropic “compound” stratification with abundant 2D or 3D wave ripples (Table 2; Figs 9A, 10C,
791 11C, 18). Syndepositional liquefaction is expected to be common and form SSDS, notably
792 convoluted and contorted hummocky lamination (Figs 9A, 10A, B, 11D, 18). Multidirectional wave
793 spectra with time-varying orientations (Fig. 17B) would probably result in polymodal orientations
794 of wave ripple crests (Fig. 18), linear sole marks and parting lineation (Gray & Benton, 1982).

795

796 *4. Complex hummocky cross-stratification generated by highly unsteady wave oscillations and*
797 *downwelling flows*

798 The combination of highly unsteady storm-wave oscillations with unidirectional downwelling flows
799 (cf. Wright *et al.*, 2002) is responsible for a range of the complex HCS configurations within Type 2
800 tempestites. An end-member configuration of HCS produced from this process combination is
801 expected to display dominantly isotropic dips and sedimentary structures indicative of combined-
802 flow deposition during passing of smaller wave groups (Fig. 17A, B). Sedimentary structures would
803 include localised QPL (Figs 9B, 11A, 18; Arnott & Southard, 1990), anisotropic HCS or SCS, and
804 combined-flow, current or climbing current ripple cross-lamination (Table 2; Figs 9C, 10A, 11B,
805 18). Subordinate SSDS would probably also occur (Figs 9C, 18). In case that the wave oscillations
806 are multidirectional with time-varying orientations (Fig. 17B), sole marks, gutter casts, parting

807 lineation, and ripple foreset dip azimuths would be characterised by polymodal orientations (Figs 3,
808 11B, 18).

809

810 *5. Complex hummocky cross-stratification generated by relatively steady wave oscillations and*
811 *hyperpycnal flows*

812 Changing the configuration from simple to complex HCS beneath relatively steady storm-wave
813 oscillations would probably require the superimposition of strong hyperpycnal flows. Depending on
814 available coarse material, this process combination would be able to produce relatively coarse-
815 grained, gravel-rich or channelised HCS such as displayed in some Type 3 tempestites (Table 2;
816 Figs 12A, B, 13A, B, 18). The complex HCS would display a range of isotropic and anisotropic
817 configurations. Inverse-to-normal grading (Figs 12B, 13B, 18) is expected if deposition scales with
818 low-frequency (several hours to days) waxing and waning of the hyperpycnal flow during the rising
819 and falling limbs of a simple single-peaked flood. This type of HCS is expected to be relatively
820 common in hyperpycnal-influenced, storm-dominated prodelta successions. The coarse-grained and
821 gravel-rich HCS in the Rurikfjellet Formation (Figs 12A, B, 13A, B) are distinguished from ‘wave-
822 formed, coarse-grained ripples’ (Leckie, 1988) and ‘coarse-grained storm beds’ (Cheel & Leckie,
823 1992), which predominantly exhibit tangential cross-stratification but are interpreted to have formed
824 under the same conditions as fine-grained HCS (Cummings *et al.*, 2009). Datta *et al.* (1999)
825 documented SCS in medium- to coarse-grained sandstone, but the lamina inclination of these
826 structures exceeds that of fine-grained equivalents (i.e. 15°), probably as a result of significant
827 suspension suppression. Bedform phase stability fields are generally produced for single grain sizes
828 (e.g. Arnott & Southard, 1990; Southard *et al.*, 1990; Dumas *et al.*, 2005; Cummings *et al.*, 2009).
829 Thus, the HCS documented in this study to contain coarse material demonstrates that future studies
830 on the stability field of HCS would benefit from considerations of (i) the upper critical level of

831 relatively coarse-sand grain sizes; and (ii) mixed grain-size populations, in which e.g. the formation
832 of fine-grained HCS is possible up to a critical percentage of coarse grains.

833

834 *6. Complex hummocky cross-stratification generated by highly unsteady wave oscillations and*
835 *hyperpycnal flows*

836 If the intensity of storm-wave oscillations is sufficiently reduced during lulls between large wave
837 groups, the combination of highly unsteady storm-wave oscillations and hyperpycnal flows may
838 result in a range of combined-flow deposition. Tinterri (2011) discussed various scenarios of
839 combined-flow pulsation in the generation of HCS in hyperpycnal-dominated facies tracts, and
840 Lamb *et al.* (2008) provided a conceptual model for deposition from wave-modified turbidity
841 currents (including pulsating flows). Lamb & Mohrig (2009) found that hyperpycnal-flow velocities
842 do not necessarily scale with the fluvial hydrograph, potentially resulting in multiple waxing and
843 waning divisions within a bed produced during a single-peaked discharge event. Consequently,
844 complex HCS produced during a single storm with either a single or multiple fluvial discharge
845 events would probably display vertically alternating sedimentary structures, similar to the complex
846 HCS of some Type 3 tempestites (Figs 12C, 13D, 14A, 18). The vertical alternation of the
847 sedimentary structures would be laterally restricted, dominantly isotropic, and consist of hummocky
848 lamination or QPL alternating with combined-flow, current or climbing current ripple cross-
849 lamination with offshore-directed foreset dip azimuths (Table 2; Figs 12C, 13D, 14A, 18). In
850 addition, significant damping of wave oscillations caused by tidal elevation of the water level may
851 allow periodic suspension fallout of fluvial-derived, fine-grained material, such as mud and plant
852 debris, resulting in carbonaceous lamina sets (Figs 10B, 11B, 17C). The HCS in the Rurikfjellet
853 Formation implies that (i) detailed inspection of HCS may prove useful for the recognition of wave-
854 modified hyperpycnites generated during coupled storm-floods (*sensu* Collins *et al.*, 2017); (ii)

855 HCS sandstone beds of hyperpycnal origin may be relatively common (Mutti *et al.*, 1996, 2003);
856 and (iii) tempestites indicative of coupled storm-hyperpycnal deposition are probably overlooked in
857 the stratigraphic record of prodeltaic settings (cf. Pattison, 2005; Pattison & Hoffman, 2008; Lamb
858 *et al.*, 2008; Li *et al.*, 2015).

859

860 *[Fig. 18 around here; portrait, full page]*

861

862 CONCLUSIONS

863 A spectacular variety of tempestites is displayed in outcrop and core sections of the Lower
864 Cretaceous Rurikfjellet Formation on Spitsbergen, Svalbard, permitting detailed sedimentological
865 investigations of near-basin-scale facies variability, causative depositional processes, and
866 proposition of a new conceptual polygenetic model for the generation and various configurations of
867 hummocky cross-stratification (HCS).

868

869 1 The term *simple HCS* is introduced for HCS characterised by little or no variation in
870 stratification. The term *complex HCS* is introduced for HCS characterised by vertical or lateral
871 variations in stratification, predominantly expressed by ripple cross-lamination, textural variance or
872 local shifts to quasi-planar lamination. Complex HCS is commonly associated with soft-sediment
873 deformation structures.

874 2 Three types of tempestites are recognised. The tempestites form a genetically related
875 continuum of facies arrangements and record deposition from (i) relatively steady to waning storm-
876 wave-generated oscillatory flows or oscillatory-dominated combined-flows with high aggradation
877 rates (Type 1 tempestites); (ii) storm-wave-generated oscillatory flows with high aggradation rates,
878 characterised by episodic to periodic fluctuations in oscillatory-flow intensity, syndepositional

879 liquefaction, and occasional superimposition of unidirectional flows (Type 2 tempestites); and (iii)
880 various storm-wave-enhanced hyperpycnal flows, including sustained waxing–waning hyperpycnal
881 flows, surge-type turbidity currents, and fluid-mud flows (Type 3 tempestites).

882 **3** Tempestite deposition was relatively patchy and occurred from near storm-wave
883 base to immediately above fair-weather wave base across a storm- and wave-dominated prodeltaic
884 ramp. Sediment delivery was governed by nearshore wave reworking, downwelling flows related to
885 coastal setup, and direct feeding from distributary channels during coupled storm-floods. Facies
886 relationships indicate (i) partial sediment bypass across the proximal areas of the ramp; (ii)
887 increased preservation potential of tempestites in relatively distal areas, and (iii) distally decreasing
888 sediment supply and aggradation rate, bed thickness and grain size, wave-generated oscillatory and
889 cross-shore unidirectional (both downwelling and hyperpycnal flows) current velocities, and
890 hyperpycnal-flow pulsation and wave unsteadiness.

891 **4** A low-gradient ramp physiography significantly inhibited hyperpycnal sediment
892 transport to distal areas by progressively decelerating turbidity currents, while enhancing the spatial
893 extent and relative magnitude of wave-added turbulence. General tempestite facies models are
894 probably only applicable to moderate- to high-gradient shelves.

895 **5** The configuration of complex HCS is inherently related to the commonly unsteady
896 and multidirectional nature of storm-wave oscillations. Reduction of near-bed oscillatory shear
897 stresses may be governed by tidal elevation of the water level or passing of lower energy wave
898 groups, permitting formation of finer-grained drapes and ripples, respectively. The ripples and
899 resulting cross-lamination may record superimposition of downwelling or hyperpycnal flows.
900 Cyclic stress applied by storm waves may result in syndepositional liquefaction and associated soft-
901 sediment deformation. The generation of complex HCS beneath relatively steady waves requires the
902 superimposition of strong hyperpycnal flows.

903 6 A new polygenetic model for HCS provides a process-based classification of
904 potentially important configurations produced by relatively steady and highly unsteady wave
905 oscillations, hyperpycnal flows (including waxing–waning flows), and downwelling flows during
906 storms. The variability of HCS configurations documented in this study has previously been
907 overlooked but allows for precise hydrodynamic and environmental interpretations of tempestites.
908 Future studies on the generation of HCS may benefit from considerations of coarse-grained sand
909 fractions and mixed grain-size populations.

910

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922

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1259

1260 **FIGURE CAPTIONS**

1261 **Fig. 1.** Section distribution and lithostratigraphy of the Lower Cretaceous succession on
1262 Spitsbergen. (A) Map of Svalbard. (B) Simplified geological map of central to southern
1263 Spitsbergen, indicating the distribution of Lower Cretaceous strata and clastic wedges, and main
1264 structural elements (based on Dallmann, 1999 and Grundvåg *et al.*, 2017); localities used in this
1265 study and their proximal–distal zonation; and mean sediment transport direction based on
1266 representative rose diagrams of this study (see Fig. 3). Ag, Agardhaksla; Ba, Baronfjella; BFZ,
1267 Billefjorden Fault Zone; Bo, Bohemanflya; CTB, Central Tertiary Basin; LFZ, Lomfjorden Fault
1268 Zone; Ra, Ramfjellet; Sy, Sylfjellet. (C) Terrain model (modified from the Norwegian Polar
1269 Institute, <https://geodata.npolar.no>) of the area in the vicinity of Longyearbyen (the largest
1270 settlement in Svalbard), indicating the position of intermediate localities, onshore wells DH-4, DH-
1271 5 and DH-6, and log traces. White, semi-transparent areas are glaciers. (D) Lithostratigraphic chart
1272 of the Middle Jurassic (MJ) – Lower Cretaceous succession oriented parallel to the depositional dip
1273 (WNW–ESE) of the study area, as indicated in (B), and extended towards the S. The Rurikfjellet
1274 Formation is deliberately highlighted with darker colours. The chart is compiled from Parker
1275 (1967), Nagy (1970), Steel & Worsley (1984), Dypvik *et al.* (1991a), Gjelberg & Steel (1995),
1276 Koevoets *et al.* (2018), Śliwińska *et al.* (in press) and data from this study, and modified from
1277 Grundvåg *et al.* (2019). Mb, Formally defined member; mb, Informally defined member; R–T
1278 trends, Regressive–transgressive trends.

1279

1280 **Fig. 2.** Representative logs of the tempestite-bearing upper part of the Rurikfjellet Formation from
1281 the proximal outcrop locality Bohemanflya (A) and the intermediate core DH-4 (B), including
1282 bioturbation curves. A representative log from the distal localities is shown in Fig. 8. The
1283 Rurikfjellet Formation consists of prodelta to distal delta front facies belts, which hydrodynamically
1284 correspond to the offshore and offshore transition to lower shoreface zones. Detailed inset logs of
1285 sandstone-rich intervals in the Kikutodden Member with corresponding facies distribution plots
1286 elucidate intra-bed changes in depositional processes. Owing to weathering, the bioturbation
1287 intensity (Bi) of the Bohemanflya succession includes the levels of absent (a), sparse (s), moderate
1288 (m) and intense (i), whereas the clean surface of core DH-4 allowed utilisation of a seven-fold
1289 Bioturbation Index (BI). Boxed numbers indicate figures with photos of the corresponding beds.
1290 The accompanying legend is also applicable to Figs 4–6, 8–13, 15 and 17. HCS, Hummocky cross-
1291 stratification; QPL, Quasi-planar lamination; SCS, Swaley cross-stratification; SSDS, Soft-sediment
1292 deformation structures.

1293

1294 **Fig. 3.** Rose diagrams of all palaeocurrent measurements ($N = 128$) from sandstone lenses in shale
1295 ($N = 14$) and sandstone tempestites ($N = 114$) in 5° increments. Encircled numbers indicate the
1296 number of measurements. The strike of wave and combined-flow ripple crests collectively indicates
1297 a SSW–NNE-oriented palaeoshoreline. The bidirectional and unidirectional current proxies
1298 collectively indicate a mean sediment transport towards the ESE. Measurements of ripple cross-
1299 lamination in HCS, i.e. combined-flow, current and climbing current ripple cross-lamination, and
1300 anisotropic micro-HCS (*sensu lato* Dott & Bourgeois, 1982), can be used to refine depositional
1301 process interpretations for each tempestite type. Notice the scattered measurements and apparent
1302 lack of preferential sediment transport direction of Type 2 tempestites compared to the other
1303 tempestite types. The three rose diagrams of the unidirectional current proxies divided into

1304 tempestite types, and the two cumulative rose diagrams, serve as additional graphic data
1305 representations, but should not be accounted for in the total number ($N = 114$) of tempestite
1306 palaeocurrent measurements.

1307

1308 **Fig. 4.** Conceptual line drawings of simple (F8) and complex (F9) HCS defined in this study. (A)
1309 Simple HCS is characterised by little or no variation in stratification, displaying successive 3rd-order
1310 hummocky lamina sets separated by internal 2nd-order truncation surfaces (*sensu stricto* Dott &
1311 Bourgeois, 1982). (B) Complex HCS is characterised by vertical or lateral variations in
1312 stratification within lamina sets, predominantly displaying various ripple cross-lamination or local
1313 shifts to quasi-planar lamination (QPL), and is commonly associated with soft-sediment
1314 deformation structures (SSDS).

1315

1316 **Fig. 5.** Representative line drawings of Type 1 tempestites divided into their predominance in the
1317 proximal (A–C), intermediate (C–G) and distal (H) localities. The line drawings are accompanied
1318 by references to representative figures; description of general characteristics, variations, and
1319 abundance and thickness trends; and a general process interpretation. In the line drawings, encircled
1320 numbers represent facies numbers (Table 2), scale bars represent estimated general averages, and
1321 biogenic sedimentary structures are generally omitted. HCS, Hummocky cross-stratification; SCS,
1322 Swaley cross-stratification; SSDS, Soft-sediment deformation structures.

1323

1324 **Fig. 6.** Representative sedimentological log and photos (from Bohemanflya) of proximal Type 1
1325 tempestites. The stratigraphic positions of the photographed beds are given in Fig. 2A. (A) The
1326 Sylfjellet section contains abundant distinctly planar-laminated (PL) and quasi-planar-laminated
1327 (QPL) sandstone beds (F7), and an example of combined HCS–SCS (F8). (B) Thick-bedded

1328 anisotropic simple HCS (F8). Knife (20.5 cm) for scale. (C) Medium- to thick-bedded sandstone
1329 exhibiting pronounced pinch-and-swell architecture of isotropic simple HCS (F8) and lateral
1330 tapering. Rifle (1.1 m) for scale. (D) Thick-bedded sandstone of erosionally welded simple HCS
1331 (F8). Knife (20.5 cm) resting on 1st-order truncation. (E) Oblique bedding-plane view of successive
1332 2D, near-trochoidal wave ripples (F10). Pocket knife (8.5 cm) for scale.

1333

1334 **Fig. 7.** Representative photos of intermediate Type 1 tempestites. (A) Rare example of large gutter
1335 cast at the base of medium- to thick-bedded simple HCS (F8), Forkastningsfjellet. (B) Thick-
1336 bedded amalgamation of simple HCS sandstone (F8) and relict shale lenses, Konusen. (C) Rare
1337 example of vertical bedform aggradation without internal 2nd-order truncations in medium-bedded,
1338 isotropic simple HCS (F8), Revneset. Notice the subtle, low-angle downlap of laminae and the
1339 overlying homogeneous mudstone (interpreted to represent a fluid-mud deposit; F4) with a wave-
1340 scoured erosional base and lateral thickness variations. (D) Sharp-based, medium- to thick-bedded
1341 sandstone exhibiting combined HCS–SCS (F8), Revneset. Knife (20.5 cm) for scale. (E)
1342 Exceptional example of a vertical waning-storm facies arrangement, Revneset, which includes an
1343 erosional 1st-order base (lower dashed line), a lower simple HCS division (F8), and a conformably
1344 overlying upper division of climbing 3D wave ripple cross-lamination (CLWR; F10). Shale (F3)
1345 related to final-stage storm wane or subsequent fair-weather conditions is erosionally truncated by
1346 the base of an overlying tempestite (upper dashed line). (F) Thin-bedded wave ripple cross-
1347 lamination (F10) to micro-HCS, exhibiting a combination of bundled, bidirectional and pinch-and-
1348 swell lamination, Hanaskogdalen. Pocket knife (8.5 cm) for scale. (G) Thin- to medium-bedded
1349 micro-HCS (F8; *sensu lato* Dott & Bourgeois, 1982), Konusen, exhibiting small-scale sinusoidal
1350 lamina growth and low-angle 2nd-order truncations, with *Nereites missouriensis* (*N*) and *Skolithos*
1351 (*S*).

1352

1353 **Fig. 8.** Representative sedimentological log and photo of distal Type 1 tempestites at Baronfjella.

1354 (A) The stratigraphy of the distal sections is shale-dominated and sandstone beds are relatively
1355 sparse and consist entirely of Type 1 tempestites. (B) The uppermost part of the succession is
1356 characterised by a complex intercalation of fair-weather shale deposits (F1, 2) and very thin- to
1357 thin-bedded, pinch-and-swell siltstone and sandstone lenses (F10; white arrows), which thicken and
1358 coarsen into simple HCS sandstone beds (F8). Upper part of rifle (1.1 m in full length) for scale.

1359

1360 **Fig. 9.** Representative line drawings of Type 2 tempestites divided into their predominance in the
1361 proximal (A) and intermediate (B–D) localities. The line drawings are accompanied by references
1362 to representative figures; description of general characteristics, variations, and abundance and
1363 thickness trends; and a general process interpretation. In the line drawings, encircled numbers
1364 represent facies numbers (Table 2), scale bars represent estimated general averages, and biogenic
1365 sedimentary structures are omitted. HCS, Hummocky cross-stratification; SSDS, Soft-sediment
1366 deformation structures.

1367

1368 **Fig. 10.** Representative photos and line drawing of proximal Type 2 tempestites at Bohemanflya.

1369 The stratigraphic positions of the beds are given in Fig. 2A. (A) Intricate configuration of thick-
1370 bedded complex HCS (F9) characterised by pronounced soft-sediment deformation structures
1371 (SSDS) and an internal climbing current ripple train (accompanying photo and line drawing) above
1372 a depositional swale. The bed is erosionally welded with underlying simple HCS (F8) of a Type 1
1373 tempestite. Knife (20.5 cm) for scale. (B) Complex HCS (F9) displaying thick-bedded
1374 amalgamation. The HCS is characterised by SSDS, a rare example of a carbonaceous lamina set,
1375 and relict lenses of truncated shale. (C) When traced laterally, the bed in (B) locally displays

1376 “compound”-type HCS (F9) in which significant parts of the stratification are constituted by wave
1377 (WR) and climbing current (CLCR) ripple cross-lamination parallel with the bulk hummocky
1378 lamination (HL). Pocket knife (8.5 cm) for scale.

1379

1380 **Fig. 11.** Representative line drawing and photos of intermediate Type 2 tempestites. (A) Line
1381 drawing of architectural transition between thick-bedded simple (F8) and complex (F9) HCS,
1382 Revneset. The complex HCS displays a lateral shift to quasi-planar lamination. (B) Very thick-
1383 bedded complex HCS (F9) displaying soft-sediment deformation structures (SSDS), including
1384 down-crest lamina distortion, a carbonaceous lamina set, and alternation between hummocky
1385 lamination (HL), combined-flow (CFR) and wave (WR) ripple cross-lamination, indicative of
1386 oscillatory- and combined-flow fluctuations, Wimanfjellet. The combined-flow ripple cross-
1387 lamination displays opposing foreset dip directions (white arrows). (C) Complex HCS (F9)
1388 displaying alternation between hummocky lamination (HL) and wave ripple cross-lamination (WR),
1389 indicative of oscillatory-flow pulsation, core DH-4 (stratigraphic position in Fig. 2B). The bed is
1390 overlain by homogeneous mudstone (HM), with mantle-and-swirl burrows (Navichnia; Na),
1391 interpreted to represent a wave-generated fluid-mud deposit (F4). (D) Convolute lamination in
1392 complex HCS (F9), core DH-4 (stratigraphic position in Fig. 2B). (E) Example of double draping
1393 (white arrows) in complex HCS (F9), core DH-6.

1394

1395 **Fig. 12.** Representative line drawings of Type 3 tempestites divided into their predominance in the
1396 proximal (A–C) and intermediate (C–F) localities. The line drawings are accompanied by
1397 references to representative figures; description of general characteristics, variations, and abundance
1398 and thickness trends; and a general process interpretation. In the line drawings, encircled numbers
1399 represent facies numbers (Table 2), scale bars represent estimated general averages, and biogenic

1400 sedimentary structures are omitted. Notice the wax–wane configuration of complex HCS (F9) in (B)
 1401 and (C). HCS, Hummocky cross-stratification.

1402

1403 **Fig. 13.** Representative photos and line drawings of proximal Type 3 tempestites at Bohemanflya.

1404 The stratigraphic positions of the beds are given in Fig. 2A. (A) Overview photo and accompanying

1405 delineation of a thick-bedded, hyperpycnal sandstone channel element, characterised by

1406 compensational cut-and-fill architecture and lateral accretion of complex HCS (F9) with scattered

1407 gravel lenses. (B) Thick-bedded wax–wane configuration of complex HCS (F9) displaying basal

1408 conglomeratic trough cross-stratification (TC), coarse-grained and gravel-rich HCS (cHCS), and

1409 fine-grained HCS (fHCS), which is capped by sharp-crested wave ripples, close to the base of the

1410 Helvetiafjellet Formation. Encircled pocket knife (8.5 cm) for scale. (C) Wax–wane configuration

1411 of a sharp-based, medium-bedded, gravel-rich quasi-planar-laminated sandstone bed (F7) with

1412 abundant coal clasts, organic debris and a sharp-crested combined-flow ripple at the bed top. Pocket

1413 knife (8.5 cm) for scale. (D) Overview photo and detailed inset line drawing of a medium-bedded

1414 complex HCS sandstone bed (F9) displaying a laterally restricted T_{bcbb} wax–wane configuration of

1415 alternating hummocky lamination (HL), combined-flow (CFR) and climbing combined-flow

1416 (CLCFR) ripple cross-lamination. Pocket knife (8.5 cm), resting at bed top, for scale.

1417

1418 **Fig. 14.** Representative photos of intermediate Type 3 tempestites. (A) Overview and detailed inset

1419 photos of medium-bedded complex HCS (F9) exhibiting a laterally restricted T_{bcbc} wax–wane

1420 configuration of alternating hummocky lamination (HL) and climbing current ripple cross-

1421 lamination (CLCR), Janssonhaugen. (B) Medium-bedded sandstone displaying a Bouma-like T_{bacb}

1422 wax–wane facies arrangement consisting of planar lamination (PL; F7), massive sandstone (M; F6),

1423 anisotropic micro-HCS (mHCS; F8) and bioturbated, relict HCS (rHCS; F8), Konusen. Pencil for

1424 scale. (C) Thick-bedded sandstone displaying a Bouma-like T_{abc} facies arrangement consisting of
1425 massive sandstone (M; F6), planar lamination (PL; F7) and combined-flow ripples (CFR; F11),
1426 Mälardalen. Pocket knife (8.5 cm), resting at bed top, for scale. (D) Rare core (DH-5) example of
1427 simple HCS (F8) grading into and interlaminated with homogeneous mudstone (HM; F4)
1428 interpreted to represent a fluid-mud deposit. This resembles the T_{0-8} turbidite sequence of Stow &
1429 Shanmugam (1980), including convoluted (T_1), irregular (T_2), regular (T_3) and indistinct (T_4)
1430 lamination grading into muddier deposits (T_{5-8}), which suggests coupling of storm-related
1431 oscillatory and hyperpycnal flows during deposition. Notice the mantle-and-swirl burrows
1432 (Navichnia; Na) in the homogeneous mudstone. (E) Core (DH-4; stratigraphic position in Fig. 2B)
1433 example of various very thin- to thin-bedded turbidites conforming to the divisions of Bouma
1434 (1962), Piper (1978) and Stow & Shanmugam (1980), demarcated to the right of the photo. The
1435 succession includes simple HCS (F8) and homogeneous mudstone (HM; F4) interpreted to
1436 represent a wave-generated fluid-mud deposit; fine-grained, graded turbidites (FGT; F5), with basal
1437 wave ripple cross-lamination (WR) in the lower bed; and a very thin-bedded hyperpycnite (TBH),
1438 which comprises combined-flow ripple cross-laminated sandstone (CFR; F11) and homogeneous
1439 mudstone (HM; F4), with Navichnia (Na) and post-depositional *Chondrites* (C), interpreted to
1440 represent a fluid-mud deposit.

1441

1442 **Fig. 15.** Representative sedimentological logs of intermediate sections in Hanaskogdalen (A) and
1443 Mälardalen (B) with abundant Type 3 tempestites. Stratification and interpreted waxing and waning
1444 of hyperpycnal turbidity currents are indicated for each bed. The beds include fine-grained, graded
1445 T_{e1-3} turbidites of Piper (1978); Bouma-like facies arrangements generated by wave-modified
1446 surge-type turbidity currents; and wax-wane hyperpycnites, thin-bedded hyperpycnites and fluid-

1447 mud deposits. Notice the abundance of wave-generated fluid-mud deposits (blue arrows) within the
1448 successions.

1449

1450 **Fig. 16.** Facies model of the Rurikfjellet Formation tempestites indicating the proximal–distal
1451 distribution of tempestite types, depositional environments, sediment supply, bed thickness and
1452 grain size, tempestite preservation potential, and storm-depositional processes inferred for the
1453 investigated outcrop belt of c. 130 km length. Deposition took place from near storm-wave base to
1454 immediately above fair-weather wave base across a prodeltaic, low-gradient ramp. Sand and
1455 proximal gravel were fed from a combination of nearshore wave reworking, downwelling flows
1456 related to coastal setup (resulting in shore-normal gutter casts and parting lineation), and
1457 hyperpycnal discharge from distributary channels. Deposition was predominantly controlled by
1458 relatively steady storm-wave trains, resulting in wide-spread sand sheets of Type 1 tempestites
1459 (predominant seabed colour in the model). Due to the low gradient of the ramp, wave-enhanced
1460 hyperpycnal turbidity currents were generally not able to transport sediment further than the
1461 intermediate areas, except for some mud-dominated flows. Fluid muds were frequently deposited
1462 from various hyperpycnal flows, hypopycnal plumes, or resuspension by storm waves. Drafting is
1463 partly inspired by Reynolds (1992) and Plint (2014).

1464

1465 **Fig. 17.** Conceptual model for the sequential generation (from bottom to top) of various complex
1466 HCS within Type 2 and 3 tempestites. The stratification is probably commonly controlled by highly
1467 unsteady waves, related to (A) passing wave groups of lower flow regime resulting in wave (WR),
1468 combined-flow (CFR) or climbing current (CLCR) ripple cross-lamination; (B) multidirectional
1469 wave groups and combined-flows with time-varying orientations resulting in combined-flow (CFR)
1470 and climbing current (CLCR) ripple cross-lamination with opposing foreset dip directions; (C) tidal

1471 water-level variations where elevation of the water level and effective storm-wave base (SWB)
1472 results in suspension fallout of organic debris and, consequently, deposition of carbonaceous
1473 laminae; and (D) cyclic wave stress where the consecutive pressure difference between wave crests
1474 and troughs (Δp) results in liquefaction and associated gravitational adjustment and soft-sediment
1475 deformation (SSD) of the sand with corresponding generation of soft-sediment deformation
1476 structures (SSDS).

1477

1478 **Fig. 18.** Polygenetic model for various possible simple and complex configurations of hummocky
1479 cross-stratification (HCS) controlled by the relative influence of relatively steady and highly
1480 unsteady storm-wave oscillations, sustained hyperpycnal flows (including waxing–waning flows),
1481 and downwelling flows (which may be geostrophically veered due to Coriolis deflection) related to
1482 coastal setup. The spectrum of these processes represents a modified and extended version of the
1483 ternary diagram presented by Myrow & Southard (1996), which allows prediction of six formative
1484 flow fields and corresponding configurations of HCS and swaley cross-stratification (SCS) in
1485 tempestites corresponding to the relatively steady (Type 1 tempestites) and highly unsteady (Type 2
1486 tempestites) flow deposits and wave-modified hyperpycnites (Type 3 tempestites) documented in
1487 this study. Conceptual flow curves illustrate the generation of the vertical configurations as a
1488 function of the velocity (U) of the oscillatory (U_o) and unidirectional (U_u) flows through time (t).
1489 Configuration (1) illustrates a representative arrangement of facies within an entire tempestite
1490 sandstone bed from its base to top. In comparison, configurations (2)–(6) illustrate 3D cross-
1491 sections through HCS only (i.e. not necessarily from tempestite bed base to top), in particular to
1492 emphasise the sporadic distribution of internal ripples. Notice the importance of various internal
1493 ripples and soft-sediment deformation structures (SSDS) in configurations (3), (4) and (6), and the
1494 local quasi-planar lamination (QPL) in configurations (4) and (6).