

1 **Role of estrogen alpha receptors in sociosexual behavior in female rats housed in a**  
2 **seminatural environment.**

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16 <sup>f</sup> Sergei Musatov was killed in a tragic accident on May 27, 2015. This paper is dedicated to  
17 his memory

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25 Short title: Estrogen receptors and sociosexual behavior in female rats

26 Keywords: Sexual behavior, social behavior, estrogen receptor, rats, seminatural environment

27 Abstract

28 This study investigated the role of estrogen receptors alpha ( $ER\alpha$ ) in the ventromedial  
29 nucleus of the hypothalamus (VMN), the preoptic area (POA), the medial amygdala (MePD)  
30 and the bed nucleus of stria terminalis (BNST) in sociosexual behavior in female rats. This  
31 was done in two sets of experiments, with the VMN and POA investigated in the first set, and  
32 the MePD and BNST in the second set. The VMN and POA received intense projections from  
33 the MePD and BNST.

34 We used a short hairpin ribonucleic acid (shRNA) encoded within an adeno-associated  
35 viral (AAV) vector directed against the  $ER\alpha$  gene to reduce the number of  $ER\alpha$  in the VMN  
36 or POA (First set of experiments), or in BNST or MePD (second set of experiments) in female  
37 rats. The rats were housed in groups of four ovariectomized females and three males in a  
38 seminatural environment for 8 days. In comparison to traditional test set-ups, the seminatural  
39 environment provides an arena in which the rats can express their full behavioral repertoire,  
40 which allowed us to investigate multiple aspects of social and sexual behavior in groups of  
41 rats. Behavioral observation was performed after estrogen and progesterone injections.

42 A reduction of  $ER\alpha$  expression in the VMN or POA diminished the display of  
43 paracopulatory behaviors and lordosis responses compared to controls, while the lordosis  
44 quotient remained unaffected. This suggests that  $ER\alpha$  in the VMN and POA play an important  
45 role in intrinsic sexual motivation. The reduction in  $ER\alpha$  did not affect the social behavior of  
46 the females, but the males sniffed and pursued the females with reduced  $ER\alpha$  less than the  
47 controls. This suggests that the  $ER\alpha$  in the VMN and POA is involved in the regulation of  
48 sexual attractiveness of females. The  $ER\alpha$  in the MePD and BNST, on the other hand, plays  
49 no role in sociosexual behavior.

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## 58 **Introduction**

59           Sexual behavior in female rats is highly dependent on ovarian hormones. Estrogens act  
60 via two different estrogen receptors, the estrogen receptor  $\alpha$  (ER $\alpha$ ) and the estrogen receptor  $\beta$   
61 (ER $\beta$ ). Studies in both rats and mice have shown that the ER $\alpha$  is important for the activation  
62 of sexual behaviors (1-5). The ER $\beta$ , on the other hand, is not necessary to induce receptivity  
63 (5). In addition to the role in sexual behaviors, estrogens also affect other types of behavior  
64 such as general arousal (6), fear and anxiety (2, 7), social recognition (7, 8), object memory  
65 (9), and aggression (7, 10).

66           One of the main sites of action of estrogen is the ventromedial nucleus of the  
67 hypothalamus (VMN). The role of the VMN in female sexual behavior has been studied  
68 extensively. Lesions of the VMN result in dramatic decreases in lordosis and paracopulatory  
69 behaviors (11), while electrical stimulation results in a facilitation of lordosis (12). The ER $\alpha$   
70 plays an important role in the VMN, since infusion of short hairpin ribonucleic acid (shRNA)  
71 encoded within an adeno-associated viral (AAV) vector directed against the ER $\alpha$  gene into  
72 the VMN reduced sexual receptivity and paracopulatory behaviors in rats and mice (1, 13). In  
73 addition, local infusions of antiestrogens in the VMN decrease lordosis in rats (14).

74           Another important area for estrogen effects is the preoptic area (POA). POA lesions  
75 have been shown to abolish paracopulatory behavior, while promoting the lordosis reflex  
76 (15). This indicates that the POA plays a dual role in sexual behavior in females. Single-cell  
77 recordings showed that different subsets of neurons in the POA are involved in the regulation  
78 of the different behaviors (16). Lesions of the POA also decrease the preference for intact  
79 male rats, suggesting that the POA plays a stimulatory role in sexual motivation (17). The role  
80 of the ER $\alpha$  in the POA, however, is rather confusing. A reduction of ER $\alpha$  caused by shRNA  
81 infusions into the POA resulted in increased levels of lordosis responses, while  
82 paracopulatory behaviors remained unaffected (2). This suggests that ER $\alpha$  could play a role in

83 the inhibitory function of the POA in lordosis, but not in the regulation of paracopulatory  
84 behaviors (2). Interestingly, AAV-ER $\alpha$ -POA females also showed reduced preference for an  
85 intact over a castrated male (2).

86 The VMN and POA receive intensive neural inputs from the medial posterodorsal  
87 amygdala (MePD) and the bed nucleus of the stria terminalis (BNST) (18-20), which in turn  
88 receives projections both from the main and accessory olfactory systems. It is thought that the  
89 olfactory stimulation will reach the VMN and POA via the amygdala (18, 19), mainly through  
90 the BNST. Olfactory stimuli are crucial for the activation of approach behaviors (21, 22), and  
91 without approach copulation will never occur.

92 Lesion studies have shown that the MePD reduces approach behavior of sexually  
93 receptive females towards male rats (23). The ER $\alpha$  in the MePD, however, do not seem to  
94 play a role in the regulation of this behavior in particular, since a reduction in ER $\alpha$  did not  
95 affect the approach towards male rats (1). Therefore, the BNST might play an essential role in  
96 the regulation of approach behavior. Similar to the VMN, POA, and MePD, the BNST  
97 contains a high number of ER $\alpha$  (24, 25), suggesting that if this brain area is involved in  
98 approach behavior, this might act via ER $\alpha$ .

99 A reduction in ER $\alpha$  in the MePD, in addition, did not affect approach behavior in  
100 female rats, but did reduce the total time spent in the incentive zones of both the intact and  
101 castrated males (1). Therefore, Spiteri et al. suggested that a reduction of ER $\alpha$  in the MePD  
102 might also affect social motivation, since both stimulus rats also have social incentive  
103 properties (1). The current knowledge of the role of ER $\alpha$  in social behaviors is rather limited.  
104 We only know that estrogens increase social recognition (26, 27), which might be regulated  
105 via the ER $\alpha$  in the MePD (7). As reviewed by Yamamuro (28), social behavior is normally  
106 investigated in a social interaction test in which the time spent in social interaction (sniffing or  
107 grooming each other) between pairs of unfamiliar rats in neutral arenas is evaluated.

108 Unfortunately, traditional test set-ups have limited amount of space and time for rats to  
109 interact with each other. In addition, the use of only pairs of rats limits the opportunity to  
110 explore social interaction and does not model the natural situation in which rats live in groups  
111 (29, 30).

112         The same constraints occur in sexual behavior testing. In nature, rats copulate in  
113 groups consisting of one or several estrus females and several males (29, 30). Interestingly,  
114 observational studies performed in seminatural environments have revealed that the mating  
115 patterns in groups of rats are quite different from the mating patterns observed in the  
116 traditional laboratory mating tests with pairs of rats or mice (31-37). It is therefore essential to  
117 adjust the study design for this type of research. In the current study, we investigated the role  
118 of the ER $\alpha$  in social and sexual behavior in rats. Therefore, we needed to develop a paradigm  
119 in which the rats were able to express their full repertoire of behaviors. The use of a  
120 seminatural environment circumvents this limitation and provides the opportunity to  
121 investigate the social and sexual behavior in groups of rats. The difference in test set-up also  
122 allows making observations in a situation in which the animals can freely escape from  
123 sociosexual situations instead of being forced to interact (as in the traditional smaller set-up).  
124 This study, therefore, uses the seminatural environment to investigate the role of ER $\alpha$  in the  
125 VMN, POA, MePD, and BNST in sociosexual behavior in rats. In the first set of experiments,  
126 the ER $\alpha$  in the VMN and POA were investigated, while the ER $\alpha$  in the more upstream regions  
127 (the MePD and BNST) were studied in the second set of experiments.

128

## 129 **Materials and methods**

### 130 *Subjects*

131         In total sixty-four female and forty-eight male Wistar rats (200-250 grams at the start  
132 of the experiments) were obtained from Charles River (Sulzfeld, Germany). Half of the rats

133 were used in POA and VMN studies and half in MePD and BNST studies. The rats were  
134 housed in same sex pairs in Macrodon IV<sup>®</sup> cages (60x38x20 cm) on a reversed 12 hours  
135 light/dark cycle (lights off between 11 am and 11 pm), in a room with controlled temperature  
136 (21±1 °C) and relative humidity (55±10%). Standard rodent food (RM1, Special Diets  
137 Services, Witham, Essex, UK) and tap water were available ad libitum. All experimentation  
138 was conducted in agreement with the European Union council directive 86/609/EEC and  
139 approved by the National Animal Research Authority in Norway.

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#### 141 *Stereotaxic surgery, infusion of the viral vector, and ovariectomy*

142 Surgery was performed under ketamine/xylazine anesthesia (100 mg/kg and 10 mg/kg,  
143 respectively). The females were fixed in a stereotaxic frame and two small holes were drilled  
144 in the skull to allow lowering of cannulas bilaterally (homemade of stainless steel with a  
145 diameter of 30 gauge, and a length depending on the target areas) to the appropriate positions.  
146 Coordinates according to the Paxinos and Watson atlas (38) were: POA: anteroposterior -0.4  
147 mm, mediolateral ±0.5 mm, dorsoventral -8 mm; VMN: anteroposterior -2.56 mm,  
148 mediolateral ±0.5 mm, dorsoventral -9.6 mm; BNST: anteroposterior -0.92 mm, mediolateral  
149 ±1.5 mm, dorsoventral -6.6 mm; MePD: anteroposterior -3.1 mm, mediolateral ±3.6 mm,  
150 dorsoventral -7.8 mm below the dura. These coordinates were verified in another pilot study  
151 that was performed before the start of the present study. In this pilot, several females (for each  
152 structure) were euthanized with an overdose of pentobarbital, and cannula were placed at the  
153 intended location. One µl of methylene blue was infused bilaterally through the cannula. The  
154 brain was then removed and immediately frozen sectioned for determinations of the infusion  
155 site.

156 In the experimental subjects, one microliter PBS containing 10<sup>9</sup> genomic particles was  
157 infused per cannula. In each set of experiments, twenty-two females received an AAV vector

158 encoding for short hairpin ribonucleic acid (shRNA) against the ER $\alpha$  gene (AAV-ER $\alpha$ ) ( 11  
159 females per target brain area) and another 10 were injected with an AAV vector expressing a  
160 shRNA directed against the luciferase gene (AAV-luc). In each set of experiments, 2 brain  
161 areas were targeted; the VMN and POA in the first, and the MePD and BNST in the second.  
162 Since previous studies have shown that AAV-luc is essentially inert with regard to the ER $\alpha$   
163 receptor, we divided the 10 rats and injected 5 with AAV-luc in one brain area and 5 in the  
164 other. In the statistical analysis, the AAV-luc rats were combined, giving a reasonably large  
165 control group.

166 shRNA is an artificial RNA molecule with a tight hairpin turn that can be used to  
167 silence target gene expression via RNA interference (RNAi), with a relatively low rate of  
168 degradation and turnover. Both vectors (AAV-luc and AAV- ER $\alpha$ ) contain, in addition, an  
169 independent enhanced green fluorescent protein (EGFP) expression cassette under the control  
170 of a hybrid CMV/chicken beta-actin promoter. The AAV-luc was used to control for any  
171 potential nonspecific adverse effects of surgery or toxicity of encoded products and the EGFP  
172 was used as a reporter to visualize transduced neurons. A detailed description of the vector,  
173 including the nucleotide sequences, can be found in (13). The infusion was performed over a  
174 period of 10 minutes after which the cannulas were left in place for another 5 minutes. After  
175 cannula withdrawal, the skin was sutured with surgical clips. Then, the subjects were  
176 immediately ovariectomized during the same anesthesia. The ovariectomy enables the  
177 possibility to regulate the females' sexual receptivity with hormone injections.

178 After the surgery, the subjects were left undisturbed for 3 weeks in order to allow the  
179 AAV-ER $\alpha$  to fully express and the number of ER $\alpha$  is reduced. The permanent reduction in ER  
180 is specific to the ER $\alpha$ , and does not affect the ER $\beta$  (13). Furthermore, diffusion of the viral  
181 vector is limited to a small area around the infusion site. It has been reported before that

182 infusion of the vector into the VMN does not affect an adjacent structure like the arcuate  
183 nucleus (1), for example.

184 All males used in this experiment were intact. They, therefore, were not submit to any  
185 surgery or hormonal treatment.

186

#### 187 *Hormone treatment*

188 The female rats were taken out of the seminatural environment just before they  
189 received a subcutaneous hormone injection. This happened on day 5 and 7 of the experiment.  
190 On day 5, the ovariectomized females received 18 µg/kg estradiol benzoate (EB), and on day  
191 7 1 mg progesterone (P). All injections took place at 9:30 am, after which the females were  
192 immediately placed back in the seminatural environment at the same locations as they were  
193 caught. The males stayed in the environment during this procedure, since the males received  
194 no hormonal treatment.

195 EB and P (Sigma, St. Louis, MO, USA) were dissolved in peanut oil  
196 (Apoteksproduksjon, Oslo, Norway), and injected in a volume of 1 ml/kg.

197

#### 198 *Apparatus*

199 In both experiments, the rats were tested in a seminatural environment (2.8 x 2.4 x  
200 0.75 m) consisting of two parts, a burrow system and an open area, connected with 4 small  
201 openings (8 x 8 cm). A firmly fitted, thick, black cloth was used to divide the experimental  
202 room in two, so that the burrow area could be sealed off from the open area. This made it  
203 possible to vary light intensity in the open area while maintaining complete darkness in the  
204 burrow. (More details and a drawing of this environment can be found in (35)) In the open  
205 area, a lamp 2.5 m above the center provided a light of 180 lx from 11pm to 11am. During the  
206 night (11am to 11 pm), the light intensity was reduced to about 1 lx, approximately equivalent



207 to the light provided by a full moon. A similar lamp in the burrow area provided 24 hours of 1  
208 lx light, so that the light in the burrow was always reduced to full moon. Two video cameras  
209 (Sanyo VCC-6592P) equipped with a zoom lens (Computar T6Z5710-CS 5.7 – 34.2 mm)  
210 were installed in the ceiling, one above the burrow and one above the open field. The cameras  
211 were connected to hard disk drive DVD recorders (Sony RDR-HX780) with a capacity large  
212 enough to record 72 h of video of good quality. Every 72 h the contents on the hard disk were  
213 transferred to DVDs for storage.

214

#### 215 *Procedure*

216 The rats were housed in groups in the seminatural environment for 8 days. A group of  
217 rats consisted of 4 female and 3 male rats, which were unfamiliar with each other and sexually  
218 inexperienced. Before each group was introduced, the floor in the open area, tunnels and nest  
219 boxes was covered with about 2 cm of aspen wood chips (Tapvei, Harjumaa, Estonia). About  
220 2 kg of food pellets were put on the floor, close to a corner in the open area. Twelve aspen  
221 wood sticks, 2 x 2 cm, 10 cm long (Tapvei, Harjumaa, Estonia) were randomly distributed in  
222 the open area, and 3 red polycarbonate huts (15 x 16.5 cm, height 8.5 cm; Datesend,  
223 Manchester, England) were irregularly placed closed to the middle. In addition, 6 pieces of a  
224 small square mat of non-woven hemp fibers (5 x 5 cm, 0.5 cm thick; Happi mat, Datesend,  
225 Manchester, England) were put in each nest box in the burrow area.

226 In order to distinguish between the rats on the video record, a rectangle, about 2 x 3  
227 cm, was carefully shaved on the back of the rats the day before introduction into the  
228 environment. One female had the rectangle close to the tail, another in the middle of the back,  
229 and a third had it close to the neck. The fourth female was not marked on the back. In  
230 addition, the tail was marked with one, two, or three transversal, thick black lines. The fourth

231 female was not marked. Males were marked exactly as the females but the tail marks were  
232 made larger to distinguish between males and females.

233 All experimental groups were introduced into the environment around 1 pm (day 0),  
234 and the video recorders were activated. Recording was continuous until the end of the  
235 observation period (day 8). After the observation period, the subjects were removed and the  
236 environment was carefully cleaned and disinfected before the next experimental group was  
237 introduced.

238

### 239 *Behavior analysis*

240 The videos from each group were transferred from the DVDs to an external hard disk.  
241 Observations were made with the Observer XT, version 10 (Noldus, Wageningen, the  
242 Netherlands) software. Although, the complete 8 days were originally, recorded, only the  
243 video record from 1:15-2:15 pm on day 7 of the experiments was examined for this  
244 experiment. This observation time was chosen because ovariectomized females are most  
245 receptive 4 hours after the progesterone injection (39). The descriptions of the scored  
246 behaviors are listed in Table 1.

247 The behavioral analysis of this study was performed on day 7, because pilot studies  
248 have revealed that it takes 4-5 days before the exploratory behavior of the rats in such an  
249 environment reach stable levels. The rats should be familiar with the environment before the  
250 sexual behavior was investigated. Therefore, the hormone injections started at day 5 and 7  
251 resulting in sexual receptivity on day 7.

252

### 253 *Design*

254 Two sets of experiments were performed in this study. In the first set, the role of the  
255 ER $\alpha$  in the VMN and POA were investigated, while in the second set, the ER $\alpha$  in the MePD

256 and BNST were studied. Therefore, AAV-ER $\alpha$  and AAV-luc treated female targeted in the  
257 VMN or POA were used in set one, while AAV-ER $\alpha$  and AAV-luc treated female targeted in  
258 the BNST or MePD were used in set two. In each set of experiments, 8 groups of rats were  
259 placed in the seminatural environment, each group consisting of 4 females and 3 males. So, in  
260 total 32 females and 24 males were used per experiment. The AAV-ER $\alpha$  and AAV-luc treated  
261 females were randomly divided between and within the groups. This meant that in one group  
262 of rats, both AAV-ER $\alpha$  and AAV-luc treated females were available (a description of the  
263 composition of each group is listed in Table 2). When introduced to the environment, the rats  
264 were unfamiliar with each other and sexually naïve.

265

#### 266 *Immunocytochemistry*

267 The day following the last experiment, the subjects were euthanized with an overdose  
268 of pentobarbital. They were perfused with PBS followed by 4% formaldehyde. The brain was  
269 removed and postfixed overnight at 4°C in 4% formaldehyde. It was then rinsed with PBS and  
270 cryoprotected in 30% sucrose in PBS. After 24 hours in the sucrose solution, the brain was  
271 frozen in isopentane cooled on dry ice, and then transferred to a -80°C for storage until  
272 processing.

273 The brains were frozen-sectioned at 50  $\mu$ m with a sledge microtome. Sections  
274 containing the target areas were collected and processed according to a conventional free-  
275 floating protocol. Antibodies against the ER $\alpha$  (Rabbit polyclonal to ER $\alpha$ , 1:25,000; Millipore,  
276 Upstate, NY, USA) and EGFP (Goat polyclonal to GFP, 1:5000, Abcam, Cambridge, UK)  
277 were used in combination with secondary antibodies (2.5  $\mu$ l/ml biotinylated rabbit and goat,  
278 respectively; Vector Laboratories Inc., Burlingame, CA, USA) and avidin-biotin peroxidase  
279 complex (ABC Elite Kit from Jackson Immunoresearch, West Grove, PA, USA) to identify  
280 cells containing ER $\alpha$  and transduced cells, respectively. After antibody reactions, sections

281 were stained with diaminobenzidine. This staining gave a brown coloration to EGFP. Hence,  
282 neurons transduced with the viral vector were labeled by brown cytoplasmic staining. In  
283 contrast, the ER $\alpha$  is colored purple. Thus, brown-stained cells indicated injection localization  
284 while purple-stained cell showed ER $\alpha$  expression. For comparison between experimental and  
285 control groups, the number of ER $\alpha$ -stained cells in the target areas was determined. For this,  
286 photomicrographs were taken with a Zeiss Axiophot photomicroscope (Carl Zeiss,  
287 Obercochen, Germany) connected to a digital camera (Nikon DS) and appropriate software  
288 (Camera Control Unit DS-L1). Then, the pictures were transferred to a computer and opened  
289 in Photoshop software. With the help of photoshop, a zone was drawn (always of the same  
290 size and at the same location) over the target areas. On the photomicrographs, we counted  
291 manually all the stained cells inside the zone. This was done for 2-5 slices per animal, from  
292 which the average was used for further calculations. The average of the counted number of  
293 ER $\alpha$  was divided by the surface in order to obtain a density (number of ER $\alpha$ /mm<sup>2</sup>) that was  
294 used for the analysis.

295

#### 296 *Data analysis*

297 The behavioral data from the open and burrow area were analyzed separately. A  
298 Shapiro-Wilk test showed no homogeneity of variance. All behavioral data was, therefore,  
299 analyzed using the non-parametric Kruskal-Wallis test, followed by Mann Whitney-*U*  
300 correction post hoc testing. A two-tailed significance level of 0.05 was used in all tests.

301 (A different type of data analysis in which all same-treatment rats in each group in the  
302 seminatural environment is used as an experimental unit can be found in the supplementary  
303 information. There was no important change in results.)

304 For the histological data, an independent sample *t*-test was used to determine the  
305 differences in ER $\alpha$  expression in the targeted brain areas. Again, a two-tailed significance  
306 level of 0.05 was used.

307

## 308 **Results**

### 309 *ER $\alpha$ in the VMN and POA*

#### 310 *Histology*

311 Due to unexpected intense background staining during one batch of slices processed  
312 for ICC, we were unable to obtain a sufficient receptor count on 18 of 32 brains. However, the  
313 immunocytochemistry on the other 14 brains revealed that these females were infused  
314 correctly into the intended nuclei. Both in the VMN and in the POA, the number of ER $\alpha$  was  
315 significantly reduced with ca. 73% and 63%, respectively, after infusion with AAV-ER $\alpha$   
316 compared to AAV-luc (VMN:  $t(6)=4.323$ ,  $p=0.005$ , POA:  $t(4)=11.288$ ,  $p<0.001$ ) (Figure 2).

317

#### 318 *Sexual behavior*

319 All sexual activity took place in the open area. As shown in Figure 3, a reduction in  
320 the number of ER $\alpha$  caused a decrease in the number of paracopulatory behaviors performed  
321 by the females. While no significance was found in the AAV-ER $\alpha$ -POA females, AAV-ER $\alpha$ -  
322 VMN females showed significantly less paracopulatory behaviors compared to AAV-luc  
323 females ( $z=-2.564$ ,  $p=0.010$ ) (Figure 3a). Both the AAV-ER $\alpha$ -POA and the AAV-ER $\alpha$ -VMN  
324 females showed significantly less lordosis responses than the AAV-luc (VMN:  $z=-2.498$ ,  
325  $p=0.012$ ; POA:  $z=-2.167$ ,  $p=0.030$ ) (Figure 3b). The reduction in lordosis responses is  
326 probably caused by a decrease in received mounts (VMN:  $z=-2.431$ ,  $p=0.015$ ; POA:  $z=-1.836$ ,  
327  $p=0.066$ ) and intromissions (VMN:  $z=-2.560$ ,  $p=0.010$ ; POA:  $z=-2.433$ ,  $p=0.015$ ) of the  
328 AAV-ER $\alpha$ -VMN and AAV-ER $\alpha$ -POA females compared to control females (Figure 3c),

329 because there was no difference in lordosis quotient (Figure 3d). This means that most mounts  
330 and intromissions were accompanied by a lordosis response.

331

332 *Social behavior performed by the females*

333 No significant differences were found on the amount of social behaviors performed by  
334 the AAV-luc and AAV-ER $\alpha$ -POA and AAV-ER $\alpha$ -VMN females in the burrow area.

335 Although, the females with reduced numbers of ER $\alpha$  in the POA or VMN sniffed other rats  
336 less often than the control females, this effect was not significant. No differences were found  
337 in the burrow area on the other social behaviors like amount of grooming others, pursuing,  
338 fighting and nose-off behavior (Table 3).

339 In the open area, on the other hand, both the AAV-ER $\alpha$ -POA and AAV-ER $\alpha$ -VMN  
340 females approached other rats significantly less often than the AAV-luc females (POA:  $z=-$   
341 1.889,  $p=0.05$ ; VMN:  $z=-2.916$ ,  $p=0.004$ ). The AAV-ER $\alpha$ -POA females also significantly  
342 kicked less often other rats than the AAV-luc ( $z=-2.266$ ,  $p=0.023$ ). This effect was not seen in  
343 AAV-ER $\alpha$ -VMN females. The reduction in ER $\alpha$  in the POA or VMN did not affect any  
344 others social behaviors in the open area (Table 3).

345

346 *Social behavior performed by the males*

347 The male rats pursued both, the AAV-ER $\alpha$ -VMN and AAV-ER $\alpha$ -POA females,  
348 shorter (VMN:  $z=-2.209$ ,  $p=0.027$ ; POA:  $z=-1.879$ ,  $p=0.060$ ) and less often (VMN:  $z=-2.323$ ,  
349  $p=0.020$ , POA:  $z=-1.918$ ,  $p=0.05$ ) than the control females in the open area (Figure 4ab). In  
350 the burrow area, a similar effect was found on the time pursuing the different females (VMN:  
351  $z=-2.427$ ,  $p=0.015$ ; POA:  $z=-1.879$ ,  $p=0.06$ ) and the number of pursues (VMN:  $z=-2.392$ ,  
352  $p=0.017$ ; POA:  $z=-1.918$ ,  $p=0.05$ ) by the males. In addition, the males sniffed the AAV-luc  
353 females significantly longer than the AAV-ER $\alpha$ -VMN females ( $z=-2.543$ ,  $p=0.011$ ) in the

354 open area, an effect that was not found for the AAV-ER $\alpha$ -POA females. In the burrow area,  
355 no differences on the time sniffing females were found. The males also sniffed the AAV-ER $\alpha$   
356 females as often as the control females in both the burrow and open area (Figure 4cd).

357 The males confronted the AAV-ER $\alpha$  treated females less with fights than the AAV-luc  
358 females. Both the AAV-ER $\alpha$ -VMN and the AAV-ER $\alpha$ -POA females (VMN:  $z=-2.264$ ,  
359  $p=0.024$ ; POA:  $z=-2.169$ ,  $p=0.03$ ) were being fought a significantly shorter time in the burrow  
360 area than the control females (Figure 4e). This effect was not seen though in the number of  
361 fights they received (Figure 4f).

362 As shown in Table 3, no differences were found on any other social behaviors the  
363 males performed towards the females. A reduction in ER $\alpha$  in the POA or VMN did not affect  
364 the amount of time being anogenitally sniffed, groomed or nosed-off in the open and burrow  
365 area. Although the males seem to approach control female more regularly than the AAV-ER $\alpha$   
366 females, this effect was not significant.

367

### 368 *ER $\alpha$ in the MePD and BNST*

#### 369 *Histology*

370 The immunocytochemistry on all 32 brains revealed that all females were infused  
371 correctly into the intended nuclei. However, the infusion of AAV-ER $\alpha$  failed to reduce the  
372 number of ER $\alpha$  receptors in three rats (one in the AAV-ER $\alpha$ -MePD and two in the AAV-  
373 ER $\alpha$ -BNST). These rats were removed from further analysis (though, it should be mentioned  
374 that the exclusion of these cases did not change the outcome of the experiment). In the other  
375 29 brains, it was found that the number of ER $\alpha$  was significantly reduced to ca. 70% (MePD)  
376 and 75% (BNST) after infusion with AAV-ER $\alpha$  compared to AAV-luc (MePD:  $t(11)=8.830$ ,  
377  $p<0.001$ , BNST:  $t(8)=7.189$ ,  $p<0.001$ ) (Figure 5).

378

379 *Sexual behavior*

380 No significant differences were found between the AAV-ER $\alpha$ -BNST, AAV-ER $\alpha$ -  
381 MePD and AAV-luc females in sexual behaviors during the day of receptivity in the burrow  
382 area or open field. A reduction in ER $\alpha$  in the MePD or BNST did not affect the number of  
383 paracopulatory behaviors and lordosis responses performed by the females (Figure 6ab). In  
384 addition, no effects were found on the number of received mounts, intromissions and  
385 ejaculations, and the lordosis quotient (Figure 6cd).

386

387 *Social behavior performed by the females and males*

388 Again, no differences in the social behavior of the females were found (Table 4). The  
389 AAV-ER $\alpha$ -MePD and AAV-ER $\alpha$ -BNST females socially interacted as long and as often with  
390 other rats as AAV-luc females. In addition, the males pursued, sniffed and fought with each  
391 female in an equal manner (Figure 7).

392

393 *Sexual and social behavior with separate AAV-luc-MePD and AAV-luc-BNST controls*

394 In order to verify whether our strategy to pool the AAV-luc-MePD and AAV-luc-  
395 BNST in one control group of AAV-luc, we analyzed the same data in which we compared  
396 AAV-ER $\alpha$ -MePD (n=10) with AAV-luc-MePD (n=5) and AAV-ER $\alpha$ -BNST (n=9) with  
397 AAV-luc-BNST (n=5). Again, no differences were found between the AAV-ER $\alpha$ -BNST,  
398 AAV-ER $\alpha$ -MePD and AAV-luc-BNST and AAV-luc-MePD females, respectively, in sexual  
399 behaviors during the day of receptivity in the burrow area or open field (data not shown). In  
400 addition, no differences were found in the social behavior of the females, or the behavior  
401 performed by the males (data not shown). Therefore, we concluded that the results are  
402 identical to those obtained when the pooled control was used.

403



404 **Discussion**

405 *ER $\alpha$  in the VMN and POA*

406 *Sexual behavior*

407 Females with fewer ER $\alpha$  in the VMN or POA (first set of experiments) showed lower  
408 levels of both lordosis responses and paracopulatory behaviors compared to the control  
409 females. The reduction in lordosis responses in AAV-ER $\alpha$ -VMN and AAV-ER $\alpha$ -POA  
410 females was clearly caused by a decrease in received mounts and intromissions, since the  
411 lordosis quotient remained above 90%. Previous studies have suggested that the ER $\alpha$  in the  
412 VMN are essential to induce lordosis responses, but the current results suggest that this is  
413 probably not the case. Females with reduced ER $\alpha$  expression in the VMN or POA can still  
414 perform normal lordosis responses, although they do not show lordosis often. The reduction in  
415 lordosis responses and paracopulatory behaviors could reflect a reduction in sexual  
416 motivation. Though, it could also be suggested that few lordosis responses are caused by the  
417 remaining 27% or 37% of ER $\alpha$  in the VMN and/or POA, respectively.

418 The results of the AAV-ER $\alpha$ -VMN females are slightly different from previous  
419 studies using AAV-ER $\alpha$  to eliminate the ER $\alpha$  in the VMN of rats and mice (1, 13). In these  
420 studies, the reduction in ER $\alpha$  levels in the VMN reduced sexual receptivity and  
421 paracopulatory behaviors in rats and mice. The AAV-ER $\alpha$ -VMN females in our study also  
422 showed decreased levels compared to control females (1, 13). To the contrary, different  
423 results were found on lordosis quotients. While in this study the lordosis quotient remained  
424 unaffected, the other studies showed lower lordosis quotients (1, 13). In all studies, however,  
425 the number of lordosis responses is reduced.

426 Interestingly, the results of the AAV-ER $\alpha$ -POA females also differ from a previous  
427 study in rats (2). While the current study showed a reduction in paracopulatory behaviors, the  
428 other study showed no effect on this parameter in females with lower numbers of ER $\alpha$  in the

429 POA. Although AAV-ER $\alpha$ -POA females show less lordosis responses in this study, both  
430 studies show no effect on the lordosis quotient.

431

432 *Sexual behavior in seminatural environment versus traditional test cages*

433 One possible explanation for these differences in lordosis quotients in the VMN results  
434 could be the use of different test set-ups. The older studies have used pairs of rats placed in  
435 traditional test cages with much smaller sizes than our seminatural environment. In  
436 comparison to the traditional set-ups, the large environment allows the rats to interact with all  
437 members of the group and express their full repertoire of behaviors (35-37). The males are  
438 able to select one of the females for each sexual interaction, whereas they otherwise would be  
439 matched to only one. Besides the fact that males are able to select the most participating and  
440 receptive females, the female are at the same time able to escape from the males when they do  
441 not want sexual interaction. The current experiment shows that males only select females for  
442 mounts or intromissions that have performed paracopulatory behaviors. This is in line with  
443 another study in which intact female rats were studied in the seminatural environment (35,  
444 40). It could, therefore, be argued that females with reduced numbers of ER $\alpha$  in the VMN are  
445 equally physically capable of performing lordoses as control females, but less motivated to  
446 participate in sexual interactions. The decrease in paracopulatory behaviors in a seminatural  
447 environment reflects a lower intrinsic sexual motivation (40), that in turn results in less sexual  
448 stimulations from the males. However, if the females receive occasionally a mount or  
449 intromission, they react with similar lordosis responses as control females. The AAV-ER $\alpha$ -  
450 VMN females tested in the small traditional copulation set-ups, on the other hand, are more or  
451 less forced to participate in the sexual interaction. The decrease in lordosis quotient could,  
452 therefore, be a result of the reduction in motivation to participate at the moments of sexual  
453 interaction.

454           The differences in results on paracopulatory behavior in POA targeted females, could  
455 also be explained by the use of different test set-ups. While the AAV-ER $\alpha$ -POA females were  
456 forced to participate in the sexual interaction in the smaller traditional set-ups, the seminatural  
457 environment allowed them to escape from this interaction. This suggests that AAV-ER $\alpha$ -POA  
458 females are physically capable of performing paracopulatory and lordosis behaviors when  
459 forced to sexually interact, but show reduced levels of intrinsic sexual motivation when given  
460 the choice.

461           In summary, the current experiment shows that the ER $\alpha$  in the VMN and POA play a  
462 stimulatory role in the probability female rats participate in sexual activity. Females with less  
463 ER $\alpha$  show reduced numbers of paracopulatory behaviors and sexual interactions, which could  
464 be explained as a decrease in intrinsic sexual motivation (40, 41). The approach behavior was  
465 also affected negatively in the AAV-ER $\alpha$ -VMN and AAV-ER $\alpha$ -POA females (Table 2).  
466 Interestingly, this conclusion is in line with the findings in the other studies performed in rats:  
467 AAV-ER $\alpha$ -POA and AAV-ER $\alpha$ -VMN females show decreased sexual motivation in the  
468 sexual incentive motivation test (1, 2).

469

#### 470 *Social behavior*

471           As shown in Table 2, no differences were found in the amount of social behavior  
472 performed by AAV-ER $\alpha$ -VMN and AAV-ER $\alpha$ -POA females compared to controls. As  
473 reviewed in Ervin et al. 2015, estrogens are involved in many different complex social  
474 (cognitive) aspects, like social learning, social recognition, and agonistic behaviors (42). The  
475 ER $\alpha$  seems to be primarily involved in these behaviors, with a smaller role for the ER $\beta$ . (42)  
476 We know, for example, that estrogens increase social recognition (26, 27), but that the ER $\alpha$  in  
477 the VMN are not involved in the regulation (7). However, the role of ER $\alpha$  in basic social  
478 behavior, defined as behavioral interactions between two rats living in pairs or groups, has not

479 been studied previously. The current results suggest that the ER $\alpha$  in both the VMN and POA  
480 play no role in social behavior performed by the females themselves.

481 It was argued by Spiteri et al. that the lack of preference for the intact male might have  
482 been caused by an increase in social motivation (2). In the seminatural environment this could  
483 be translated in the expression of more social behaviors towards other rats. Still, these  
484 behaviors are expected to be unrelated. In fact, other prosocial behaviors, like (anogenital)  
485 sniffing of the female or grooming are completely unrelated to sexual activities (35, 40).  
486 However, our experiment suggests that the lack of preference for the intact male in the study  
487 of Spiteri et al. was not caused by an increase in social motivation.

488

#### 489 *Social behavior performed by the males*

490 The sexual attractiveness of the females, on the other hand, seems to be affected by the  
491 reduction in number of ER $\alpha$  in the POA or VMN. Our experiments showed a decrease in time  
492 and frequency the males pursued the AAV-ER $\alpha$ -VMN and AAV-ER $\alpha$ -POA females. But also  
493 the amount of time spent sniffing the females with reduced ER $\alpha$  was decreased, although not  
494 significant for AAV-ER $\alpha$ -POA females. Overall, the males show more interest in the control  
495 females than the AAV-ER $\alpha$  females when they have the opportunity to choose between  
496 females. The lack of interest for the females with reduced ER $\alpha$  in the POA or VMN indicates  
497 that these female are less attractive than the control. The ER $\alpha$  might, therefore, be involved in  
498 the regulation of the sexual attractiveness of female rats. In males, on the other hand, it was  
499 shown in mice that the social incentive properties of ER $\alpha$  knockout males might be more  
500 attractive than wild type mice (43). Nevertheless, it should be mentioned that these mice were  
501 lacking all ER $\alpha$ , instead of the ER $\alpha$  specifically in one brain region.

502 The reduced sexual attractiveness of the AAV-ER $\alpha$  female rats could in theory be a  
503 direct result of the decrease in paracopulatory behaviors by the females. As mentioned before,

504 male rats only mount and intromit females that have shown paracopulatory behaviors (35, 40).  
505 However, the occasions in which the male starts a pursue before the female starts darting and  
506 hopping happen as often as the pursue is preceded by the female's paracopulatory behavior  
507 (40). Therefore, it is more likely that the decrease in paracopulatory behavior and the  
508 reduction in social interaction happen simultaneously, without one being a result of the other.  
509

#### 510 *Indicators of intrinsic sexual motivation*

511 The reduction in paracopulatory behaviors probably reflects lower levels of intrinsic  
512 motivation. In contrast to the number of paracopulatory behaviors itself; the intrinsic  
513 motivation state might affect sexual attractiveness. It can be hypothesized that males are able  
514 to detect the difference in levels of motivation between females. It is known that males are  
515 able to distinguish non-receptive from receptive females; they approach hormonally primed  
516 females more than non-receptive females or males in choice paradigms (44). Two examples  
517 of distant stimuli on which approach to a conspecific could depend on are olfaction or  
518 audition. Rats emit 50 kHz ultrasonic vocalizations (USVs) in the presence of a sexual partner  
519 and during copulation (45-47), suggesting that these vocalizations might signal the probability  
520 to participate in sexual interactions. Nonetheless, it was shown that the emitted USV have no  
521 incentive value for rats of the opposite sex (48, 49). The fact that females prefer devocalized  
522 males as often as other males suggest that rats do not 'communicate' their intrinsic levels of  
523 sexual motivation via ultrasonic vocalizations (50).

524 To the contrary, olfactory stimuli are powerful attractants. The odor of a receptive  
525 female is enough to induce approach behavior by males (48, 51-54), while anosmic males do  
526 not immediately distinguish between females in estrus and in non-estrus, and show a  
527 sustained reduction in social investigation (22). The limitation of these studies, however, is  
528 that they never investigated the attractiveness of multiple sexually receptive females. It is,

529 therefore, unknown whether differences in intrinsic sexual motivation of females are  
530 detectable for males in the females' odors. Though, in another study, we found that male rats  
531 show no differences in sniffing behavior towards their female of 1<sup>st</sup> choice and the other  
532 females in a partner mate choice paradigm using three sexually receptive females (55). In  
533 mice, though, male mice showed a reduced interest in the odors of ER $\alpha$  knockout females  
534 (56). To the contrary, the removal of ER $\alpha$  in only one brain area does not stop estrus in female  
535 rats. We can, therefore, assume that local ER $\alpha$  reduction is not sufficient to change the  
536 female's odor. We hypothesize that even though males distinguish the odor from receptive  
537 and non-receptive females, there is not any convincing reason for believing that they  
538 distinguish any possible individual differences in odor between fully receptive females (55).  
539 However, this is rather hypothetical since this issue was not addressed in the current study.

540 In summary, the data shows that ER $\alpha$  in the POA and VMN are involved in the  
541 regulation of intrinsic sexual motivation and attractiveness. Reduced expression of ER $\alpha$  in  
542 these brain areas result in a decrease in sexual behaviors and received social interactions. A  
543 plausible explanation for the reduction in received social interactions from the males,  
544 however, is not yet available.

545

#### 546 ***ER $\alpha$ in the MePD and BNST***

##### 547 *Sexual behavior*

548 AAV-ER $\alpha$ -MePD and AAV-ER $\alpha$ -BNST females showed normal levels of sexual  
549 behavior. A reduction in ER $\alpha$  levels in the MePD or BNST did not affect the number of  
550 paracopulatory behaviors or lordosis responses compared to controls. Also the number of  
551 received male copulatory behaviors was similar for AAV-ER $\alpha$ -MePD, AAV-ER $\alpha$ -BNST and  
552 control females. These results are in line with a previous study that showed that the ER $\alpha$  in  
553 the MePD play no role in sexual behavior, investigated in a traditional mating and sexual

554 motivation set-up (1). Though, the MePD is involved in the regulation of sexual behavior.  
555 Lesion studies showed that the MePD plays an inhibitory role in approach behavior towards  
556 male rats (23), while enhancing lordosis intensity and paracopulatory behaviors (57, 58).  
557 However, based on our results we can conclude that ER $\alpha$  expression is not essential in this  
558 regulation.

559         The role of the BNST in female sexual behavior has not been studied extensively. Fos-  
560 immunoreactivity (Fos) studies have shown that the BNST is activated during sexual behavior  
561 (59), but the precise role of the brain area has never been investigated in rats. This study  
562 shows that the ER $\alpha$  in the BNST are probably not involved in the regulation of sexual  
563 behavior in female rats. This is in line with a study performed in hamsters that showed that  
564 BNST lesions did not affect male-odor preference and lordosis behavior in females (60).

565         Together this indicates that ER $\alpha$  begin to play a role in the more downstream brain  
566 areas of the regulation of sexual behavior, since the MePD and BNST project to the VMN and  
567 POA.

568

#### 569 *Social behavior*

570         Reduced ER $\alpha$  expression in the MePD or BNST did also not affect social behavior in  
571 the females. Additionally, the AAV-ER $\alpha$ -MePD and AAV-ER $\alpha$ -BNST females did not  
572 receive more or less social interactions from the males than control females. This suggests  
573 that ER $\alpha$  in the MePD or BNST are not involved in the regulation of social behavior or sexual  
574 attractiveness.

575         A previous study with AAV-ER $\alpha$ -MePD females showed that the reduced ER $\alpha$   
576 expression eliminated social recognition in rats (7). The rats in our experiment, though, were  
577 housed together for 8 days, meaning that at the day of receptivity the rats were already

578 familiar with each other. The lack of difference in sniffing behavior between AAV-ER $\alpha$ -  
579 MePD females and controls is, therefore, not surprising.

580

### 581 **Conclusion**

582 Overall, it can be concluded that ER $\alpha$  expression in the hypothalamic nuclei, the VMN  
583 and POA, is involved in intrinsic sexual motivation and attractiveness, but not in social  
584 behavior. The ER $\alpha$  in the MePD and BNST, on the other, plays no role in sociosexual  
585 behavior. The MePD and BNST project to the VMN and POA, suggesting that ER $\alpha$  begin to  
586 play a role in the more downstream brain areas of the regulation of sociosexual behavior.

587 Olfactory stimuli are an important element in sociosexual behavior and the MePD and BNST  
588 are essential in the relay of this olfactory information to the VMN and POA. The current  
589 results suggest that ER $\alpha$  are not involved in the transmission of olfactory stimuli.

590

### 591 **Acknowledgements**

592 Financial support was received from the Faculty of Health Sciences, University of Tromsø  
593 (E.S., A.Å.) and from CONACyT (176600) and PAEP-UNAM fellowships (E.A.C). Knut  
594 Olsen and Truls Traasdahl assembled the seminatural environment.

595

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