



New approach for modelling bell-shaped size selectivity in shrimp trawl fisheries

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1 **New approach for modelling bell-shaped size selectivity in shrimp**

2 **trawl fisheries**

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11 **Abstract**

12 Trawlers targeting shrimps often use a Nordmøre sorting grid ahead of a small mesh codend
13 to avoid bycatch while efficiently catching the target species. However, small fish can pass
14 through the grid and be retained in the codend. This makes the size selection processes in the
15 trawl fishery targeting shrimps complex, and the size-dependent curve for both the shrimp and
16 the bycatch species often exhibits a bell-shaped signature. In this study we developed a new
17 model and a method to estimate this bell-shaped size selection in shrimp fisheries, conducted
18 fishing trials in the Northeast Barents Sea, and applied the new method to quantify the
19 combined size selection of the Nordmøre grid and codend for the deep water shrimp
20 (*Pandalus borealis*) and two bycatch species. The size selectivity for the bycatch showed the
21 expected bell-shaped pattern with low retention probability of very small and larger fish,
22 whereas certain sizes of juveniles had high retention probability. The smallest shrimps were
23 released by the codend, and the Nordmøre grid had high passage probability for all sizes,
24 although it decreased slightly for the largest shrimps.

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3 25 *Keywords:* Shrimps; Size selectivity; Nordmøre grid; Bycatch; Trawl fishery.
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6 26 **Introduction**
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10 27 Shrimps are commercially important species, and they are fished all over the world. Although
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12 28 the species and sizes targeted vary, in the majority of shrimp fisheries the selectivity of the
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14 29 gear is based on a grid followed by a size selective codend. This is the case for the deep water
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16 30 shrimp (*Pandalus borealis*) fisheries in the North Atlantic, which have used such selectivity
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18 31 devices since the early 1990s.
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21 32 Norway was the first country to use sorting grids to avoid bycatch of fish and other marine
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23 33 organisms in shrimp fisheries. The Nordmøre grid, first developed based on a device used to
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25 34 exclude jellyfish, proved to be efficient in excluding bycatch fish species during shrimp
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27 35 trawling (Isaksen et al., 1992). The Nordmøre grid consists of a guiding funnel, a 30–50°
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29 36 sloped grid, and a triangular fish outlet in the upper panel just in front of the grid. It was
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31 37 introduced in the Norwegian shrimp trawl fishery in the Barents Sea in the early 1990s and
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33 38 today is compulsory in several other shrimp fisheries around the world.
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37 39 All vessels targeting shrimps in Norwegian waters are obliged to use a 19-mm bar spacing
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39 40 Nordmøre grid followed by a codend with a minimum mesh size of 35 mm (Norwegian
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41 41 Directorate of Fisheries, 2011). Thomassen and Ulltang (1975) tested several codend mesh
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43 42 sizes for the northern shrimp fisheries at the end of the 1960s and found that the retention
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45 43 lengths for deep water shrimps were acceptable with the 35-mm mesh size. Despite the many
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47 44 changes (larger gear, larger vessels, faster towing speed, etc.) in the northern shrimp fishery
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49 45 that have occurred since this investigation, the minimum codend mesh size remains at 35 mm.
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51 46 The introduction of the Nordmøre grid eliminated the issue of bycatch of larger sizes of fish
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53 47 because they would not be able to pass through the grid into the trawl codend (Grimaldo and
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55 48 Larsen, 2005; Grimaldo, 2006). However, small-sized fish such as juveniles of various
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3 49 species are still able to pass through the grid and enter the codend together with the targeted
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5 50 shrimps (He and Balzano, 2007, 2013).
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7 51 Thus, several decades after the introduction of the Nordmøre grid in the shrimp fishery,
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9 52 concerns remain regarding the bycatch risk to juvenile fish. The current regulations of the
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11 53 Northeast Atlantic shrimp fishery allow retention of low numbers of juvenile fish from
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13 54 regulated species. For example, the fishing areas are closed if 10-kg samples of shrimps
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15 55 exceed 8 cod (*Gadus morhua*) or 20 haddock (*Melanogrammus aeglefinus*), 3 redfish
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17 56 (*Sebastes* spp.), and 3 Greenland halibut (*Reinhardtius hippoglossoides*). Additionally, shrimp
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19 57 catches can contain no more than 10% by weight of undersized shrimps (i.e. < 15 mm
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21 58 carapace length) (Norwegian Directorate of Fisheries, 2011). These rather strict bycatch rules
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23 59 have led to frequent closures and openings of several large shrimp fishing grounds in the
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25 60 Northeast Atlantic over the years. The closures can last for weeks or months and cause huge
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27 61 operational problems and increased costs for the fishing fleet (i.e., the distances between
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29 62 potential fishing grounds become greater with increased area closures). Bycatches of juvenile
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31 63 fish and undersized shrimps also cause practical problems when sorting the catch onboard the
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33 64 fishing vessels.
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38 65 The use of a Nordmøre sorting grid ahead of a small mesh codend makes the size
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40 66 selection processes in the trawl fishery targeting shrimps complex, and the size-dependent
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42 67 curve for both the shrimp and the bycatch species often exhibits a bell-shaped signature.
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44 68 However, a selection model that can properly describe and estimate these bell-shaped
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46 69 signature curves is not available, which implies a challenge to assess size selectivity in trawl
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48 70 fisheries targeting shrimps.
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51 71 Considering the challenges described above, the aim of the current study was to:

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54 72 • Develop a new model and a method to estimate bell-shaped size selection in shrimp
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56 73 fisheries using a Nordmøre grid followed by a small mesh size selective codend.
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3 74 • Quantify in detail the size selection of juveniles of some of the most important fish
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5 75 species frequently caught in the North Atlantic deep water shrimp fishery.
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7 76 • Quantify the size selectivity for the targeted deep water shrimp of the mandatory
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9 77 selection system consisting of a 19-mm bar spacing Nordmøre grid followed by a
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11 78 35-mm mesh size diamond mesh codend.
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14 15 79 **Materials and Methods**

16 17 18 19 80 **Research vessel, study area, and experimental design**

20
21 81 The fishing trials were conducted using a selection system composed of a Nordmøre grid
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23 82 followed by a size selective codend. The bar spacing in the Nordmøre grid was measured with
24
25 83 a caliper to be 18.8 ± 0.4 mm (mean \pm SD) following the procedure described in Wileman et
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27 84 al. (1996). The meshes in the codend were measured to be 33.8 ± 1 mm (mean \pm SD) using an
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29 85 ICES gauge following the same procedure.
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32 86 In order to independently assess the contribution of the grid and the codend to the overall
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34 87 selectivity of the gear, the shrimps and fish released by the grid and the codend could be
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36 88 collected separately using two independent covers: one over the opening of the grid and the
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38 89 other one over the codend. Such double cover setups have been used previously to collect
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40 90 selectivity data in fish fishery studies (e.g., Sistiaga et al., 2010). However, the meshes in a
41
42 91 shrimp codend are already small, which increases the risk that the cover with even smaller
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44 92 mesh size will affect the selectivity in the codend. This is mainly related to the substantial
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46 93 reduction in water flow created by the small meshes that would have to be used over a shrimp
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48 94 codend and to the risk of a masking effect. In addition, using two covers makes the whole
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50 95 gear setup more complicated (e.g., the covers can become entangled). Therefore, we used two
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52 96 different experimental setups during the sea trials. In the test haul setup, we fished with a
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54 97 Nordmøre grid followed by a selective codend. In those hauls a small-meshed cover (mesh
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3 98 size 16.4 ± 0.5 mm (mean \pm SD)) collected fish and shrimps escaping from the opening in
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5 99 front of the Nordmøre grid and no cover was used over the codend (Fig. 1). In the control haul
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7 100 setup, the codend contained a small-meshed inner net (mesh size 18.5 ± 0.9 mm (mean \pm SD))
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9 101 installed with a low hanging ratio to prevent fish and shrimps from escaping through the
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11 102 codend. In this setup, the fish and shrimps that escaped in front of the Nordmøre grid were
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13 103 collected in a small-meshed cover (mesh size 18.9 ± 1.2 mm (mean \pm SD)) (Fig. 1). Test and
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15 104 control hauls were conducted in the same fishing area during the same cruise.

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18 105 The catch data from these groups of hauls were applied together to estimate the size
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20 106 selectivity for deep water shrimps and for the investigated bycatch species for the combined
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22 107 size selection system consisting of a Nordmøre grid and a size selective codend. For test
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24 108 hauls, the catch was collected in the test grid cover (GT) and in the test codend (CT), whereas
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26 109 for control hauls, the catch was collected in the control grid cover (GC) and in the blinded
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28 110 codend (CC). For each haul, the catches in the compartments GT and CT for test hauls and
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30 111 GC and CC for control hauls were sorted by species, length measured, and sorted into 1-cm
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32 112 wide length groups for fish and 1-mm wide length groups for shrimps. Thus, the catch data
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34 113 consisted of count numbers (n) representing the number of individuals of the different species
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36 114 collected in each of the compartments. The total length of the fish was measured using a
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38 115 measuring board, and the carapace length of the shrimps was measured using a caliper.

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47 117 The fishing trials were performed on board the research trawler (R/V) "Helmer Hanssen"
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49 118 (63.8 m LOA and 4080 HP) from 16 to 28 February, 2016. The fishing grounds chosen for the
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51 119 tests were located in the north of the Barents Sea (i.e. the Central bank, east of Hopen Island).
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53 120 The fishing trials were carried out using two identical Campelen 1800# trawls built entirely of
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55 121 80–40 mm meshes in the wings and belly (2 mm polyethylene (PE) twine). Two trawls were
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57 122 used so that during the fishing operation test and control hauls could be efficiently and easily
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3 123 alternated. Thyboron T2 (6.5m² and 2200 kg) trawl doors were used, and an 8-m long rope
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5 124 was linked between the warps 80 m in front of the doors, which kept the distance between the
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7 125 doors at 48–52 m during the tows. The Campelen trawl has a 19.2 m fishing line and is
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9 126 believed to work at its optimal wingspread (ca. 15 m) and height (ca. 6.5 m) when the door
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11 127 distance is kept in this range. We used 40 m double sweeps and a 19.2 m long rockhopper
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13 128 gear built of three sections with 46 cm rubber discs.

16 129 Both trawls were equipped with 4-panel Nordmøre grid sections that are equivalent in
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18 130 dimensions and construction to the 2-panel standard Nordmøre grid section used by the
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20 131 Norwegian coastal fleet targeting shrimp. The Nordmøre grid in such a section is made of
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22 132 stainless steel and is 1510 mm high and 1330 mm wide. The grid in both sections used was
23
24 133 mounted so that it would maintain an angle of $45 \pm 2.5^\circ$ while fishing.

27 134 **Model for size selection**

29 135 The size selection system consists of two main parts:

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32 136 i) The first part is a Nordmøre grid, which the fish and shrimps must pass through to
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34 137 enter the codend. If they do not pass through this grid they are released during this
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36 138 first part of the selection process. To pass through the grid, two conditions need to
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38 139 be fulfilled: a) they need to contact the grid and b) morphologically they must be
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40 140 able to pass through the grid, which is dependent on their size and orientation
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42 141 when they come in contact with the grid.
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45 142 ii) The second part is a codend that collects the fish and shrimps that pass through the
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47 143 grid. The codend is also size selective, and its size selection is the second part of
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49 144 the combined selection process.

51
52 145 Thus, for a fish or deep water shrimp to be retained in the codend ($r_{combined}(l)$), it must be
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54 146 retained by the first process ($r_{grid}(l)$), meaning passing through the grid, and also by the

147 second process ($r_{codend}(l)$), meaning being held in the codend. Therefore, the combined size
 148 selection of the process can be modeled by:

$$149 \quad r_{combined}(l) = r_{grid}(l) \times r_{codend}(l) \quad (1)$$

150 where l denotes the length of the fish or the length of the shrimp carapace. This system can be
 151 defined as a sequential dual selection system. It is a dual system because it consists of two
 152 processes and it is sequential because the second process follows the first.

153 The next step is to model each of the two size selection processes individually. For the
 154 first process, we need to consider that some fish or shrimps might not contact (see Larsen et
 155 al., 2016 for the explanation of contact in this context) the Nordmøre grid at all or that they
 156 might do so with such a poor orientation that they have no length-dependent chance of
 157 passing through the grid. This is modeled by the length-independent parameter C_{grid} . C_{grid} has a
 158 value in the range 0.0 to 1.0, where 1.0 means that every individual of the species contacts the
 159 grid in a way that gives it a length-dependent chance of passing through the grid. For an
 160 individual contacting the grid with sufficiently good orientation to give it a length-dependent
 161 chance of passing through grid ($r_{contact_{grid}}(l)$), the following logit model was used:

$$162 \quad r_{contact_{grid}}(l, L50_{grid}, SR_{grid}) = 1.0 - \text{logit}(l, L50_{grid}, SR_{grid}) \quad (2)$$

163 Model (2) considers that the probability of being able to pass through the grid is length
 164 dependent and will decrease for larger individuals. $L50_{grid}$ denotes the length of fish or shrimp
 165 with 50% probability of being retained, and SR_{grid} (selection range) describes the difference in
 166 length between fish or shrimp with 75% and 25% probability of being retained, respectively.

167 Based on the above, the following model was used for the size selection in the first
 168 process ($r_1(l)$):

$$169 \quad r_{grid}(l, C_{grid}, L50_{grid}, SR_{grid}) = C_{grid} \times \left(1.0 - \text{logit}(l, L50_{grid}, SR_{grid})\right) \quad (3)$$

170 The escape probability through the outlet in front of the Nordmøre grid was therefore
 171 modeled by:

$$172 \quad e_{grid}(l, C_{grid}, L50_{grid}, SR_{grid}) = 1.0 - r_{grid}(l, C_{grid}, L50_{grid}, SR_{grid}) = 1.0 - C_{grid} +$$

$$173 \quad C_{grid} \times \text{logit}(l, L50_{grid}, SR_{grid}) \quad (4)$$

174 For the second process (i.e., codend size selection), we assumed that the retention
 175 probability can be modeled by a logit model (see Wileman et al. 1996 for further information
 176 about the logit model):

$$177 \quad r_{codend}(l, L50_{codend}, SR_{codend}) = \text{logit}(l, L50_{codend}, SR_{codend}) \quad (5)$$

178 Thus, by inserting (3) and (5) into (1) we arrived at the following combined size selection
 179 model:

$$180 \quad r_{combined}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}) =$$

$$181 \quad C_{grid} \times \left(1.0 - \text{logit}(l, L50_{grid}, SR_{grid})\right) \times \text{logit}(l, L50_{codend}, SR_{codend}) \quad (6)$$

182 Model (6) is a so-called structural model because it is based on modeling the individual
 183 processes expected to be involved in the combined size selection in the system. One
 184 advantage of applying a structural model compared to an empirical-based model is that once
 185 the values of the parameters in the model are estimated, they can be applied to investigate not
 186 only the combined processes in the system but also the individual processes. In this context,
 187 each of the model parameter values obtained can be directly interpreted.

188 In the case of model (6), five parameters need to be estimated to be able to describe the
 189 size selection in the system: C_{grid} , $L50_{grid}$, SR_{grid} , $L50_{codend}$, and SR_{codend} . C_{grid} loosely models
 190 the contact probability with the grid for modes of orientation that result in a length-dependent
 191 probability for an individual to pass through the grid. If all individuals contact the grid with a
 192 reasonable mode of contact, then the value for C_{grid} should be 1.0. However, this is not

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3 193 necessarily the case, as some individuals may escape through the escape outlet in front of the
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5 194 Nordmøre grid (Fig. 1) without contacting the grid first. Other individuals may be so poorly
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7 195 orientated when they meet the grid that the probability of them passing through will be similar
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10 196 to those not contacting the grid, which will also be reflected in the value of C_{grid} . Thus, $L50_{grid}$
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12 197 and SR_{grid} are respectively the L50 and SR values for individuals contacting the grid with a
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14 198 reasonable mode of orientation. $L50_{codend}$ and SR_{codend} are the L50 and SR values for the
15
16 199 codend selection (Fig. 1). As different species have different morphology and behavior,
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18 200 values of the parameters C_{grid} , $L50_{grid}$, SR_{grid} , $L50_{codend}$, and SR_{codend} for the same combined
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20 201 system will be species specific. Therefore, the analysis was applied separately for the different
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22
23 202 fish species and for the deep water shrimps.

203 **Data analysis and parameter estimation**

204 Catch data were collected in two groups. One of the groups consisted of control hauls
205 obtained by summing compartments GC and CC (Fig. 1). Together, they sampled the size and
206 species composition of fish entering the selective parts of the trawl (Nordmøre grid and
207 codend), and in this respect the control hauls can be paired with the test hauls so that a paired-
208 gear estimation method can be used (Wileman et al., 1996). However, compared to the
209 standard paired-gear method in which none of the selective parts of the system uses covers to
210 collect fish or shrimps escapees, our test hauls are special because they use a cover (GT) to
211 collect fish and shrimps escaping ahead of the Nordmøre grid. Therefore, our experimental
212 data collection design represents a combination of the paired and covered data collection and
213 estimation methods (Wileman et al., 1996).

214 To estimate the average size selection of the test setup with pooled data, we paired them
215 with the pooled control hauls. Based on this approach, the experimental data in the analysis
216 were treated like three compartment data. Fish or shrimp caught were observed in GT, GC, or
217 (GC + CC). For the estimation based on the size selection model established in section 2.2,

218 we needed to express the probabilities that fish or shrimps of a specific length l would be
 219 observed in each of these three compartments conditioned they were caught. The probability
 220 that the fish or shrimps would enter the selection section in one of the test hauls and in one of
 221 the control hauls was modeled by the split factor, SP , as is traditionally done for paired-gear
 222 data analysis (Wileman et al., 1996). This means that the probability that a fish or shrimp will
 223 enter the test haul is SP , whereas the probability of them entering the control haul is $1.0 - SP$.
 224 All fish or shrimps entering the control haul are retained because both the cover over the grid
 225 outlet and the blinded codend retain all potential escapees. For a fish or shrimp entering one
 226 of the hauls included in the analysis (test or control), the probability that it will be retained in
 227 the cover in front of the Nordmøre grid in one of the test hauls would, based on equation (4),
 228 be $SP \times e_{grid}(l, C_{grid}, L50_{grid}, SR_{grid})$. For a fish or shrimp entering one of the hauls
 229 included in the analysis, the probability that it will be retained in the codend of a test haul
 230 would, based on equation (6), be

231 $SP \times r_{combined}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend})$. Considering this, the
 232 probability γ that a fish or shrimp entering one of the test or control hauls will be observed in
 233 one of the three compartments (GT, GC, or GC+CC) can be expressed as:
 234 $\gamma(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) =$
 235 $SP \times e_{grid}(l, C_{grid}, L50_{grid}, SR_{grid}) +$
 236 $SP \times r_{combined}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}) + 1.0 - SP \quad (7)$

237 Based on equation (7) and the considerations above, the probabilities p_{GT} , p_{CT} , and p_{GC+CC} that
 238 a fish or shrimp observed in the catch will be found in compartment GT, CT, or GC+CC,
 239 respectively, can be expressed by:

$$\begin{aligned}
 p_{GT}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) &= \frac{SP \times e_{grid}(l, C_{grid}, L50_{grid}, SR_{grid})}{\gamma(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP)} \\
 p_{CT}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) &= \frac{SP \times r_{combined}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend})}{\gamma(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP)} \\
 p_{GC+CC}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) &= \frac{1.0 - SP}{\gamma(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP)}
 \end{aligned}
 \tag{8}$$

By using equation (8), the values for the parameters in the selection model (6) can be estimated from the collected experimental data by minimizing the following function with respect to C_{grid} , $L50_{grid}$, SR_{grid} , $L50_{codend}$, SR_{codend} , and SP :

$$\begin{aligned}
 & - \sum_l \left\{ \sum_{i=1}^a \left[\frac{n_{GT_{li}}}{q_{GT_i}} \times \ln \left(p_{GT}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) \right) \right] + \right. \\
 & \sum_{i=1}^a \left[\frac{n_{CT_{li}}}{q_{CT_i}} \times \ln \left(p_{CT}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) \right) \right] + \sum_{j=1}^b \left[\left(\frac{n_{GC_{lj}}}{q_{GC_j}} + \right. \right. \\
 & \left. \left. \frac{n_{CC_{lj}}}{q_{CC_j}} \right) \times \ln \left(p_{GC+CC}(l, C_{grid}, L50_{grid}, SR_{grid}, L50_{codend}, SR_{codend}, SP) \right) \right] \left. \right\} \tag{9}
 \end{aligned}$$

where the outer summation is over length classes l in the experimental data and the inner summation is over experimental fishing hauls i (from 1 to a) and j (from 1 to b) with, respectively, the test and control setup. $n_{GT_{li}}$, $n_{CT_{li}}$, $n_{GC_{lj}}$, and $n_{CC_{lj}}$ are the number of fish or shrimps length measured of length class l in haul i and j in the respective compartment, with q_{GT_i} , q_{CT_i} , q_{GC_j} , and q_{CC_j} being the corresponding sampling factors (i.e., the fraction of the catch that was length measured). Minimizing (9) with respect to the parameters in it is the same as maximizing the likelihood for the observed experimental data, assuming that the formulated model (8) describes the experimental data sufficiently well. The observed experimental data sharing rates among the three compartments of the data, which model (8) is expected to describe, are given by:

$$\begin{aligned}
 p_{GT_l} &= \frac{\frac{n_{GT_l}}{q_{GT}}}{\frac{n_{GT_l}}{q_{GT}} + \frac{n_{CT_l}}{q_{CT}} + \frac{n_{GC_l}}{q_{GC}} + \frac{n_{CC_l}}{q_{CC}}} \\
 p_{CT_l} &= \frac{\frac{n_{CT_l}}{q_{CT}}}{\frac{n_{GT_l}}{q_{GT}} + \frac{n_{CT_l}}{q_{CT}} + \frac{n_{GC_l}}{q_{GC}} + \frac{n_{CC_l}}{q_{CC}}} \quad (10) \\
 p_{GC+CC_l} &= \frac{\frac{\frac{n_{GC_l}}{q_{GC}} + \frac{n_{CC_l}}{q_{CC}}}{\frac{n_{GC_l}}{q_{GC}} + \frac{n_{CC_l}}{q_{CC}}}}{\frac{n_{GT_l}}{q_{GT}} + \frac{n_{CT_l}}{q_{CT}} + \frac{n_{GC_l}}{q_{GC}} + \frac{n_{CC_l}}{q_{CC}}}
 \end{aligned}$$

Due to the experimental procedure followed, there was no obvious way to pair the data from the individual test and control hauls. Hence, to estimate the mean selectivity parameters for the experimental gear, the raised length frequency data of the corresponding test hauls were combined and compared with the combined data from the control hauls as formulated in function (9). The confidence limits for the parameters and curves for the size selection model were estimated using a double bootstrapping method that accounts for the uncertainty resulting from this unpaired nature of the data collection. For this, we adopted and further generalized the method for estimating uncertainty in size selectivity based on unpaired trawl data described by Sistiaga et al. (2016). This procedure accounts for between-haul variation (Fryer, 1991) by selecting a hauls with replacement from the test hauls and b hauls with replacement from the control hauls during each bootstrap loop. Within-haul variability is accounted for by randomly selecting fish with replacement from each of the selected hauls for each compartment separately, where the number selected from each compartment in each haul is the same as the number sampled in that compartment in that haul. These data are then raised and combined as described above, and the selectivity parameters are again estimated. The additional uncertainty in the estimation caused by subsampling is automatically accounted for by raising the data after the re-sampling (Eigaard et al., 2012). We performed 1000 bootstrap repetitions to calculate the Efron 95% confidence limits (Efron, 1982; Chernick, 2007) for the selection parameters.

The model's ability to describe the experimental data sufficiently well was evaluated based on the p-value, model deviance versus degrees of freedom (DOF), inspection of how

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3 280 the model curve reflects the length-based trend in the data, and inspection of residual plots for
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5 281 model deviation (Wileman et al., 1996). The analysis was carried out using the software
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7 282 SELNET (Herrmann et al., 2012, 2013ab), which implements the models and the bootstrap
8
9 283 method described above.

12 284 **Results**

16 285 **Collected data**

17
18 286 We conducted 16 hauls during the trial, including 8 test hauls (Table 1) and 8 control hauls
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20 287 (Table 2). The number of shrimps length measured during the cruise was of 4405 individuals.
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22 288 Of the fish bycatch species, we measured 8773 American plaice (*Hippoglossoides*
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24 289 *platessoides*) and 4439 redfish (*Sebastes* spp.).

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28 290 TABLE 1

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31 291 TABLE 2

34 292 **Size selectivity for shrimps**

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37 293 The model used reflected the pattern observed in the experimental data well (Fig. 2). Thus,
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39 294 although the p-value observed in the fit statistics was low, we are confident that the model
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41 295 used represents the data adequately. All shrimps were estimated to make contact with the
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43 296 Nordmøre grid, and most of them passed through it. However, the grid passage probability
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45 297 was estimated to decrease slightly with increasing shrimp size. The codend selectivity showed
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47 298 size-dependent release for shrimps with carapace length < 23 mm, with only about 20% of the
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49 299 shrimps with carapace length of 15 mm being retained by the codend. $L50_{codend}$ was estimated
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51 300 to be 17.72 mm, and SR_{codend} was estimated to be 3.63 mm (Table 3). $L50_{grid}$ was 49.2 mm,
52
53 301 which at first glance could seem meaningless because it is above the size range for this
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55 302 species of shrimp (Table 3). However, this value is expected to be above a biologically
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3 303 meaningful value and confirms that most of the shrimps can pass through the grid except for
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5 304 the slight decrease for large shrimps. The combined selectivity for the grid and codend
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7 305 exhibited a slightly bell-shaped signature, with few shrimps < 15 mm being retained, a
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9 306 maximum retention rate for shrimps with carapace length of 25 mm, and a slight decrease for
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11 307 shrimps above this size.

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18 309 TABLE 3

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21 310 **Size selectivity for American plaice**

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23 311 The model used reflected the pattern observed in the experimental data well (Fig. 3). Despite
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25 312 the p-value being < 0.05, the model used represents the data adequately and therefore we are
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27 313 confident about the performance of the model. All American plaice were estimated to make
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29 314 contact with the Nordmøre grid, and most of them passed through it. The grid passage
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31 315 probability was very high for American plaice up to 12 cm long, followed by a monotonous
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33 316 decrease and then very low passage probability for fish > 30 cm long. The codend only
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35 317 showed low size selectivity for American plaice with an $L50_{codend}$ value of 6.84 cm, thus all
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37 318 American plaice > 10 cm long that entered the codend were retained in it (Table 3). The
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39 319 combined selectivity for the grid and codend showed a clear bell-shaped signature, with a
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41 320 high retention probability for American plaice ~10 cm long (ca. 90% retention). Retention of
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43 321 individuals < 5 cm long was practically 0 and retention of fish in the range of 10 to 30 cm
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45 322 decreased, with really low retention rates for fish > 30 cm long. In the range of 6 to 23 cm,
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47 323 retention probability for American plaice for the gear was > 25%, meaning that this gear
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49 324 would not be adequate in areas where the numbers of American plaice within this range are
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51 325 high.

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327 **Size selectivity for redfish**

328 For redfish, the model used represented the experimental data well up to $l = 22$ cm (Fig. 4).
329 Because fish above this size are outside the selective range of the gear, the model adequately
330 describes the size selection process in the gear. This upper limit is regarded as a consequence
331 of unequal entry of bigger redfish into the test and control gears. The combined size selection
332 showed a clear bell-shaped signature, with $> 60\%$ of redfish around 12 cm long being retained
333 by the gear but $< 25\%$ of redfish < 9 cm and > 15 cm long being retained. The grid passage
334 probability was high ($> 80\%$) for redfish < 12 cm long, and it decreased monotonously, with
335 no redfish > 20 cm entering the codend. The codend size selection showed that none of the
336 redfish > 14 cm would be released by the codend and that $L50_2$ and SR_2 were 9.8 cm and 1.7
337 cm, respectively (Table 3).

338 FIG. 4

339 **Discussion**

340 The bell-shaped size selection data resulting from the grid and codend configuration used in
341 this study were based on a new model and estimation method that is an extension of the
342 unpaired method described in Sistiaga et al. (2016). This new approach models the observed
343 data summed over hauls for a group of test and controls hauls, and it combines a structural
344 dual sequenced size selection model with unpaired data collection for groups of test and
345 control hauls. This model effectively described the length-dependent sharing of the observed
346 catch between the test codend, the test grid cover, and the control gear for all species
347 investigated. In addition to enabling estimation of the combined size selection for the
348 Nordmøre grid followed by the diamond mesh codend, this method enabled estimation of the
349 size selection for each of the selection devices individually because the structural model
350 explicitly described the selectivity processes in each of the devices. Structural size selection

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3 351 models have previously been developed and applied to describe size selection in other trawl
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5 352 fisheries. This includes models for fish sorting grids in combination with codends in finfish
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7 353 fisheries (Sistiaga et al., 2010; Herrmann et al., 2013a), square mesh panels in combination
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9 354 with selective codends (Zuur et al., 2001; O'Neill et al., 2006; Alzorritz et al., 2016), double
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11 355 grid sorting devices (Larsen et al., 2016; Sistiaga et al., 2016), and excluding grids combined
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13 356 with a selective codend (Brčić et al., 2015; Stepputtis et al., 2015; Lövgren et al., 2016).
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16 357 Excluding grids combined with a selective codend result in the same bell-shaped selection
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18 358 pattern as the Nordmøre grid followed by a size selective codend. However, our study is the
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20 359 first time such a modelling process has been applied to a shrimp trawl fishery and the first
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22 360 time that a sequential model with two compartment data collection in test and control gears
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24 361 have been used. Our method is more complex than the methods previously applied, but it is
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26 362 necessary due to the practical problems that would have resulted from using a small mesh
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28 363 cover over the test codend.
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31 364 The new method and model presented herein offer new possibilities for studying size
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33 365 selectivity in other shrimp fisheries. In particular, our approach enables detailed mapping of
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35 366 which sizes of bycatch species would have especially high risk of being caught if they are
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37 367 abundant in the shrimp fishing grounds.
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40 368 In this study, we demonstrated the ability of the new model to represent bell-shaped
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42 369 selectivity data in detail for shrimp and two fish bycatch species: American plaice and redfish.
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44 370 For the juvenile bycatch species, our results demonstrated very high and length-dependent
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46 371 grid passage probability. Thus, in conjunction with the small-meshed diamond mesh codend
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48 372 used in the shrimp fishery, the gear has high catch risk for certain size ranges of these bycatch
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50 373 species. The use of the combined bycatch reducing and size selective system consisting of the
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52 374 Nordmøre grid and 35 mm codend mesh is well established in the Northeast Atlantic shrimp
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54 375 fishery. However, the data from our study clearly show that fish within a limited size range
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3 376 and undersized shrimps retained in the 35-mm codend will continue to be a problem for the
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5 377 northern shrimp fleet. If fish bycatch reduction and size selectivity of shrimp are to be
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7 378 improved, the next research goal should be to address the mesh selection process in front of or
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9 379 aft of the Nordmøre grid section, including the codend. On board the fishing vessels, crew
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11 380 members seek simple and practical solutions to improve species separation in order to reduce
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13 381 production (i.e., cleaning and grading the shrimp catches). From this point of view, sorting
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15 382 devices based on flexible mesh panels are preferred.
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20 383 **Acknowledgements**

21
22
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27 386 board. We are grateful to the Arctic University of Norway UIT in Tromsø and the Norwegian
28
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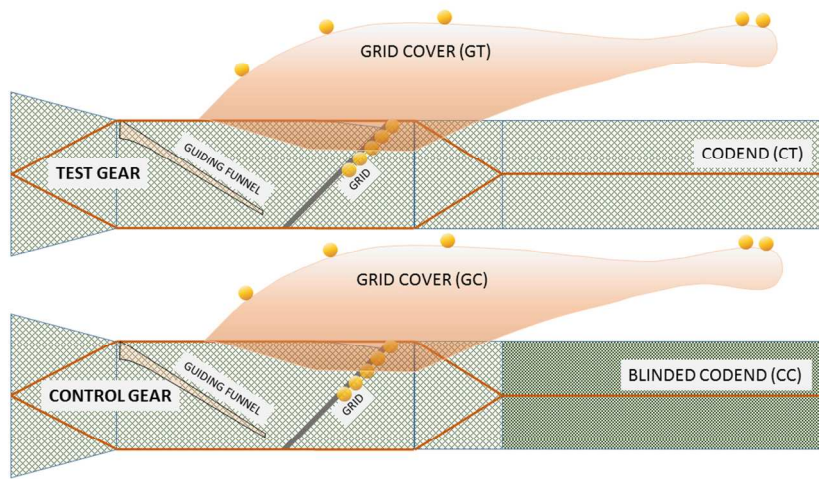


Fig. 1: Experimental design: with separate group of hauls with test gear (top) and control gear (bottom).

338x190mm (96 x 96 DPI)

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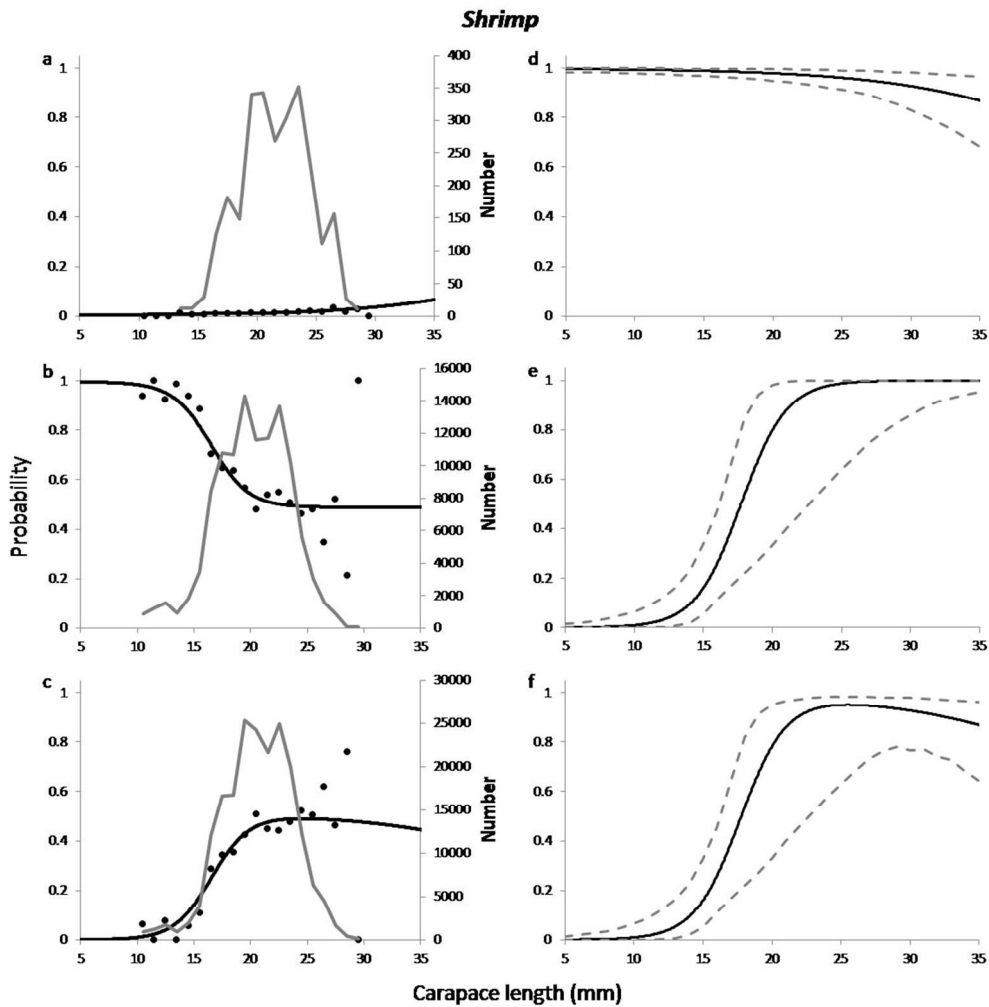


Fig. 2: Size selectivity plots for shrimps. The left column shows the fit of the selection model (8) to the experimental catch sharing rates (10). Plot "a" shows the length dependent share of shrimps found in the grid cover of the test gear, plot "b" shows the length dependent length of shrimps found in the control gear, and plot "c" shows the length dependent share of shrimps observed in the codend of the test gear. The plots in the right column show the selectivity curves for the test gear with plot "d" showing the length dependent grid passage probability (4), plot "e" showing the length dependent codend selectivity in the test gear (5), and plot "f" showing the combined size selectivity of the Nordmøre grid and the codend for the test gear (6).

305x308mm (96 x 96 DPI)

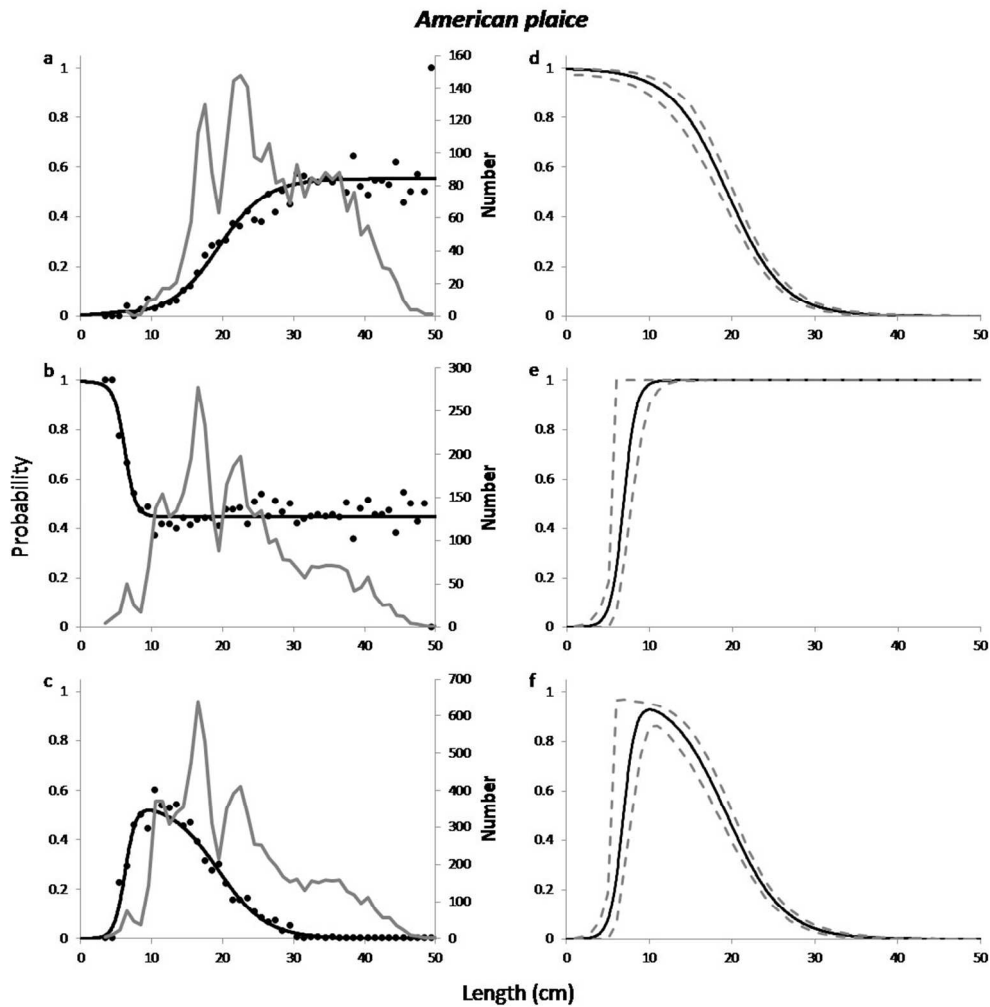


Fig. 3: Size selectivity plots for American plaice. The left column shows the fit of the selection model (8) to the experimental catch sharing rates (10). Plot "a" shows the length dependent share of American plaice found in the grid cover of the test gear, plot "b" shows the length dependent length of American plaice found in the control gear, and plot "c" shows the length dependent share of American plaice observed in the codend of the test gear. The plots in the right column show the selectivity curves for the test gear with plot "d" showing the length dependent grid passage probability (4), plot "e" showing the length dependent codend selectivity in the test gear (5), and plot "f" showing the combined size selectivity of the Nordmøre grid and the codend for the test gear (6).

305x308mm (96 x 96 DPI)

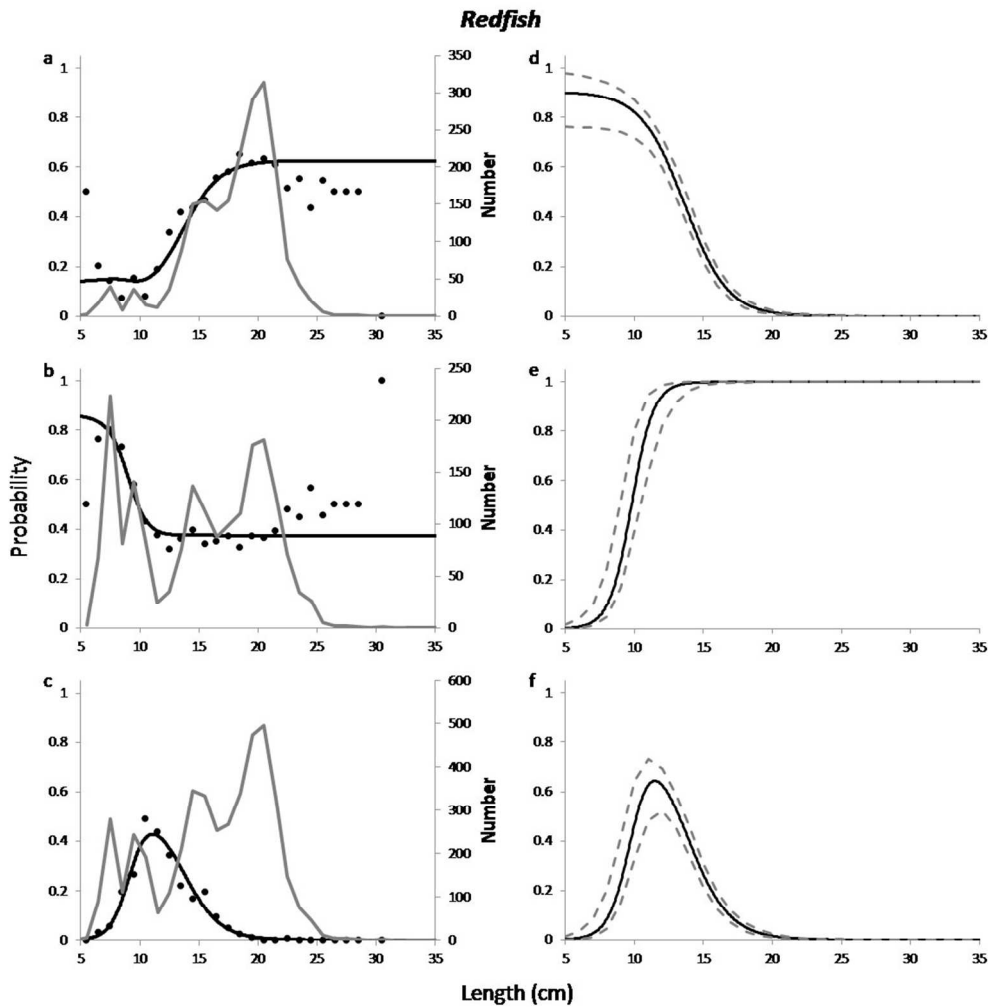


Fig. 4: Size selectivity plots for redfish. The left column shows the fit of the selection model (8) to the experimental catch sharing rates (10). Plot "a" shows the length dependent share of redfish found in the grid cover of the test gear, plot "b" shows the length dependent length of redfish found in the control gear, and plot "c" shows the length dependent share of redfish observed in the codend of the test gear. The plots in the right column show the selectivity curves for the test gear with plot "d" showing the length dependent grid passage probability (4), plot "e" showing the length dependent codend selectivity in the test gear (5), and plot "f" showing the combined size selectivity of the Nordmøre grid and the codend for the test gear (6).

305x308mm (96 x 96 DPI)

1 TABLES

2 Table 1: Overview of the fish and shrimp length measured in the test hauls carried out during
 3 the trials. The values in brackets represent the sampling factors. For the fish species there was
 4 no subsampling.

Haul Nr	Trawling time (min)	Depth (m)	<i>Shrimp</i>		<i>American Plaice</i>		<i>Redfish</i>		<i>Cod</i>		<i>Haddock</i>	
			GT (% measured)	CT (% measured)	GT	CT	GT	CT	GT	CT	GT	CT
9	60	268	150 (63.13%)	150 (1.34%)	391	283	211	42	38	14	19	18
10	62	265	123 (31.72%)	146 (0.94%)	444	347	392	65	46	9	27	54
11	64	268	98 (66.77%)	134 (1.05%)	482	402	494	108	114	22	63	135
12	62	265	7 (100%)	121 (2.10%)	283	309	211	47	29	13	12	23
13	63	274	21 (100%)	141 (1.76%)	239	212	354	91	26	22	23	26
14	60	256	50 (100%)	161 (2.67%)	256	202	98	33	25	7	56	52
15	63	252	75 (80.61%)	146 (1.08%)	230	320	135	82	117	23	40	133
16	66	269	140 (8.18%)	167 (1.78%)	298	120	142	24	38	9	32	10

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6

7 Table 2: Overview of the fish and shrimp length measured in the control hauls carried out
 8 during the trials. The values in brackets represent the sampling factors. For the fish species
 9 there was no subsampling.

Haul Nr	Trawling time (min)	Depth (m)	<i>Shrimp</i>		<i>American Plaice</i>		<i>Redfish</i>		<i>Cod</i>		<i>Haddock</i>	
			GC	CC	GC	CC	GC	CC	GC	CC	GC	CC
1	60	268	123 (72.31%)	160 (1.63%)	208	177	56	36	21	11	13	23
2	61	257	120 (58.14%)	153 (1.95%)	238	182	143	37	17	8	20	10
3	60	278	163 (7.47%)	173 (1.16%)	438	187	404	169	58	9	112	113
4	60	271	108 (9.60%)	171 (1.20%)	265	156	184	86	12	7	36	38
5	63	266	144 (40.54)	160 (1.91%)	321	121	108	20	28	9	22	15
6	61	271	169 (100%)	175 (2.02%)	206	150	68	34	8	12	10	12
7	60	271	208 (22.74)	169 (1.02%)	391	287	187	94	12	12	52	42
8	63	272	189 (21.12)	190 (0.73%)	327	301	164	120	18	12	33	72

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3 12 Table 3: Size selectivity parameters and fit statistics results for shrimps, American plaice and
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5 13 redfish based on fitting the model (8) to the experimental data. Values in () are 95%
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7 14 confidence limits.
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	Shrimps	American Plaice	Redfish
C_{grid}	1.00 (0.98 - 1.00)	1.00 (0.97 - 1.00)	0.90 (0.75 - 0.99)
$L50_{grid}$ (mm)	49.17 (37.16 - 68.57)	19.40 (18.41 - 20.20)	13.61 (13.06 - 14.28)
SR_{grid} (mm)	16.52 (8.02 - 27.82)	7.47 (6.44 - 8.61)	3.46 (2.93 - 3.97)
$L50_{codend}$ (mm)	17.72 - (16.10 - 22.59)	6.84 (5.46 - 7.68)	9.78 (8.85 - 10.45)
SR_{codend} (mm)	3.63 (1.79 - 9.45)	1.66 (0.10 - 2.66)	1.74 (1.33 - 2.60)
SP	0.51 (0.42 - 0.70)	0.55 (0.49 - 0.61)	0.63 (0.51 - 0.74)
DOF	34	90	54
$Deviance$	175.66	118.38	101.91
p -value	<0.0001	0.0241	0.0001

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