

## SE IN SPANISH: PROPERTIES, STRUCTURES, ANALYSES\*

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**ABSTRACT.** This article provides an overview of Spanish SE, covering the main empirical facts, analyses and theoretical issues that it raises, and exploring the prospects to unify all uses –which are over a dozen in the literature– under one same object that keeps its surface properties invariable. We will show that it is almost inescapable to propose that SE is associated to two types of objects, defective arguments and defective verbal heads; both objects share the property of not introducing referentially independent DPs, which can be argued to be the result of the grammaticalisation of a reflexive element in contemporary Spanish. The chapter proposes that a treatment of SE as a projection introduced high in the clausal structure and acting as an agreement locus can be a fruitful way to unify all uses of SE.

**Keywords.** SE; reflexive SE; impersonal SE; passive SE; aspectual SE; inherent SE; anticausative SE; reciprocal; middle SE; spurious SE; agentive SE; transitive SE; antipassive SE; factitive SE

**RESUMEN.** Este artículo proporciona una visión general del SE en español, cubriendo los principales hechos empíricos, análisis y cuestiones teóricas que produce, y explorando la posibilidad de unificar todos los usos de SE –que son más de una docena en la bibliografía– bajo un solo elemento que mantenga propiedades superficiales invariables. Mostraremos que es casi inevitable proponer que SE se asocia a dos objetos de distinto tipo: argumentos defectivos y núcleos verbales defectivos, donde la defectividad se refiere a que ninguno de esos objetos introduce SD referenciales, algo que puede entenderse como el resultado de la gramaticalización del reflexivo latino en español actual. El capítulo propone que un análisis del SE como una proyección introducida en una posición alta de la estructura oracional y que funciona como un núcleo de concordancia puede ser un modo de unificar todos los usos de SE.

**Palabras clave.** SE; SE reflexivo; SE impersonal; SE pasivo; SE aspectual; SE inherente; SE anticausativo; SE recíproco; SE medio; SE espurio; SE agentivo; SE transitivo; SE antipasivo; SE factitivo

## 1. Introduction: one clitic, many roles

Within the grammar of clitic pronouns, SE is the one that has a more complex distribution and the one whose grammatical properties are, by far, most disputed (see, among many others, Contreras 1966, 1974; Schrotten 1979, Martín Zorraquino 1979, Martínez 1981, Cano Aguilar 1981, Fernández Ramírez 1986, Iglesias Bango 1991, Gómez Torrego 1992, Mendikoetxea 1992, 1999; Rodríguez Díez 1996, Otero 1999, 2002, Sánchez López 2002, RAE & ASALE 2009: §41, to name just a small number of basic references). The complexity of this element is reflected, to begin with, on the many issues that it has in the grammar of Spanish. (1) illustrates a few of the basic constructions that have been proposed in the literature.

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- (1) a. Pedro se mira en el espejo.  
 Pedro SE looks in the mirror  
 'Pedro sees himself in the mirror'
- b. Pedro y María se miran en el espejo.  
 Pedro and María SE look in the mirror  
 'Pedro and María see each other in the mirror'
- c. Luis se enfadó.  
 Luis SE got.angry  
 'Luis got angry'
- d. Luis se cayó.  
 Luis SE fell  
 'Luis fell down'
- e. Luis se arrepintió de sus actos.  
 Luis SE repented of his acts  
 'Luis repented of this actions'
- f. Se venden casas.  
 SE sell houses  
 'Houses are sold'
- g. Se recibió a los invitados.  
 SE received DOM the guests  
 'Someone received the guests'
- h. Se lo dimos a mis padres.  
 SE it.acc gave to my parents  
 'We gave it to my parents'

In (1) above, only a subset of the uses of SE documented in the literature are presented. The uses illustrated in (1a-e) are traditionally described as instances of 'paradigmatic' SE (RAE & ASALE 2009: §41.10a), where 'paradigmatic' is defined as (2).

- (2) In a paradigmatic use of SE it is possible to substitute SE with person-marked pronouns.

As such, (1a-e) exhibit SE, in principle, because the subject is a third person singular or plural DP. If the subject is replaced by a first person plural, for instance, SE is replaced.

- (3) a. Nos miramos en el espejo.  
 us look in the mirror  
 'We see ourselves in the mirror'  
 'We see each other in the mirror'
- b. Nos enfadamos.  
 us got.angry  
 'We got angry'
- c. Nos caímos.  
 us fell  
 'We fell down'
- d. Nos arrepintimos de nuestros actos.  
 us repented of our acts  
 'We repented of our actions'

The remaining cases in (1) are called 'non-paradigmatic SE cases', where in principle it is not possible to make SE alternate with personal pronouns. However, note that also in these

cases a third person is involved and 1st and 2nd persons are excluded: these are simply cases where bona fide independent reasons force the third person in the context.

Among the paradigmatic SE cases, (1a) is considered a reflexive structure, as witnessed by the fact that the pronominal double *sí mismo* 'SE self' can be added to it without change in meaning –although we will see below that this is not always the case–. The general description of such cases is that the subject and the object are coreferential.

- (4) Pedro se miró a sí mismo en el espejo.  
 Pedro SE looked DOM SE self in the mirror  
 'Pedro looked himself in the mirror'

(1b) is considered a reciprocal sentence in the interpretation where each one of the two individuals performs the event on the other one, that is, Pedro sees Mary in the mirror and vice versa, or in other words they see each other. This reading, intuitively related somehow to the reflexive one, generally allows the addition of *el uno P el otro*.

- (5) Pedro y María se miran el uno al otro.  
 Pedro and María SE see the one DOM-the other  
 'Pedro and María see each other'

As for (1c), this one is considered an anticausative SE structure, where the same verb must allow two versions, one causative and one non-causative, and the SE one marks the latter. This structure has some 'passive' flavour that has prompted traditional Spanish grammar to use the term 'middle' (*medio*), as we will see. However, unlike passives exclude external causers that set the event in motion; in some sense, the idea is that the subject has the change of state initiated internally, without the external intervention of an agent or causer.

- (6) a. Luis enfadó a Pedro.  
 Luis angered DOM Pedro  
 'Luis made Pedro angry'  
 b. Pedro se enfadó.  
 Pedro SE got.angry  
 'Pedro got angry'

The SE case in (1d) is much more controversial to classify. In the last 20 years or so, it has received the name of 'aspectual SE', although we will see that this label has been very disputed, in particular for intransitive verbs like the one in (1d). The crucial property is that the verbal base has a version without SE that, unlike (1c), does not contrast in its causative or non-causative component, but on the lexical aspect of the predicate, with the SE version related to a compulsorily telic reading where there is some emphasis on the result that is acquired.

- (7) a. Los precios cayeron durante meses.  
 the prices fell for months  
 b. Luis se cayó.  
 Luis SE fell  
 'Luis fell down'

(1e) is considered an inherent SE, a 'default' term that captures the fact that the verb cannot be built without this pronoun that on the surface seems to be a reflexive one.

- (8) \*Pedro arrepintió de sus actos.  
Pedro repented of his acts

In the non-paradigmatic SE cases, (1f) represents a passive SE structure. In contrast to (1c), (1f) involves a reading where there is an external trigger of the event –someone that sells the houses– and is paraphrasable –although with relevant semantic and syntactic differences that we will see below, §8.3– with a periphrastic passive.

- (9) #Las casas son vendidas.  
the houses are sold

This SE is non-paradigmatic in the sense that it appears in a context where the reflexive pronoun must be third person and there is no alternation with other persons, in essence because this type of passive is –unlike the periphrastic passive– restricted to noun phrase subjects, for reasons that are controversial but relatively robust across varieties and speakers.

- (10) a. Se contrataron {profesores / \*ellos}.  
SE hired teachers/ they  
b. #Me contraté yo.  
me hired I  
Intended: 'I was hired'  
c. Fui contratado yo.  
was hired I  
'I was hired'

(1g) is an instance of impersonal SE, a construction where SE marks that the subject is existential or generic but the object can be present with its case marking assigned as in any other active sentence. Again, this SE is non-paradigmatic because the subject is forcefully third person in such cases, and Spanish in fact blocks an interpretation that includes the speaker (11a). This structure allows pronominal arguments, in contrast with the passive one (11b).

- (11) a. \*Se contrató a los profesores entre el decano y yo.  
SE hired DOM the teachers between the dean and I  
Intended: 'The dean and I hired the teachers'  
b. Se me contrató a mí.  
SE me hired DOM me  
'I was hired'

Finally, (1h) is an instance of so-called spurious SE, where the form replaces a bona fide third person dative pronoun when the accusative clitic is present. Again, this SE is non-paradigmatic exclusively in the sense that this replacement only happens when the pronoun is third person, singular or plural.

- (12) a. Se lo dimos a mis padres.  
SE it.acc gave to my parents  
b. Les dimos el regalo a mis padres.  
them.dat gave the present to my parents  
(13) a. Me dieron el regalo.  
me.dat gave the present  
'They gave me the present'

- b. Me lo dieron.  
 me.dat it.acc gave  
 'They gave it to me'  
 c. \*Se lo dieron.  
 SE it.acc gave  
 Intended: 'They gave it to me'

The study of SE is not just complicated by the existence of these constructions, which already tells us that we have an element which should almost behave as a wild card that can appear in many different syntactic contexts and with different functions that, as we will see, are not always clearly displayed even in the clearest cases. The problems in the study of SE are complicated, beyond this, by three basic facts.

a) The literature does not agree on all judgements, even at the most basic empirical level. Sánchez López (2002: 26) considers (14) grammatical, while Ordóñez (2021) considers such impersonal episodic sentences built over periphrastic passives ungrammatical.

- (14) Se fue asesinado sin piedad.  
 SE was murdered without mercy  
 'Someone murdered some specific person without mercy'

As we will see, there are equally judgement problems with the impersonal SE constructions in non-finite contexts (§7), with the interpretation of anticausative SE structures and which elements force the interpretation (§4) and many other cases. In some instances, there are well-known dialectal distinctions that simply tell us that the grammaticality of one sentence depends on the variety spoken by the informant –such as the case of impersonal vs. passive SE structures with inanimate internal arguments, (15)–, but in cases like the one in (14) there are no known variation facts that explain why some speakers consider it grammatical and others feel it as radically ungrammatical.

- (15) a. Se vende pisos.  
 SE sells houses  
 b. Se venden pisos  
 SE sell.pl houses

b) There is no agreement about how many distinct classes of structures should be distinguished with SE. The examples in (1) perhaps reflect the most usual classes discussed in the grammars, but there is no agreement with respect to (i) how many other classes might be necessary to posit, (ii) whether some of the classes in (1) can be conflated and (iii) how individual sentences should be classified in one of the groups. From this perspective, aspectual SE structures have been viewed as particularly conflictive (§5). Some authors treat as aspectual sentences like (16a), (16b) and (16c).

- (16) a. Juan se cayó.  
 Juan SE fell  
 'Juan fell down'  
 b. Juan se leyó todas las lecciones.  
 Juan SE read all the lessons  
 'Juan read up all the lessons'

- c. Juan se fue.  
 Juan SE went  
 'Juan left'

Other authors, to be discussed in due course, only consider (16a) aspectual, while (16b) should be viewed as an agent-oriented SE and (16c) should be rather considered a SE that modifies the argument structure of the verb and whose aspectual role is less defined. To complicate matters more, some authors have argued that the anticausative SE in fact also has an aspectual role, possibly leading to conflating aspectual SE structures with sentences like (1c), where (1c) should be viewed just as the subcase of aspectual SE where the predicate could be causative.

As we will see in §5 and other sections, aspectual SE is very controversial, and part of the controversy refers to the problem of whether, in sentences like (16b) above, one should give more relevance to the aspectual information that SE seems to make compulsory –roughly, telicity– or to the involvement of the subject as an affected entity in the process, that in some way gets positively or negatively affected by the event. This affectedness interpretation is related in some instances with notions of intensity in performing the action, as pointed out by Fernández Ramírez (1986). In general, this affectedness interpretation that some authors use as a label to what others call 'aspectual SE' with transitive predicates has traditionally been treated as a dative reflexive with a benefactive meaning, an ethical dative or a superfluous dative that happens to be coreferential with the agent. Its use has also been identified in structures like (17) below, that some authors have treated as transitive anticausatives (see §4.4) below.

- (17) Juan se cortó el dedo.  
 Juan SE cut the finger  
 'Juan accidentally cut his finger'

Similar problems and complications are triggered by sentences like (18), which have a passive flavour but, additionally, express generic claims that are not episodically anchored to specific time periods and worlds. These are, by no means, the only conflictive cases, as we will see.

- (18) Esta clase de libro se vende bien.  
 this class of book SE sells well  
 'This type of book sells well'

The confusion is increased by several proposals that posit additional classes of SE structures that are not so broadly accepted. Here we will highlight five of such additional classes.

i) SE in middle structures, where middle is defined as a generic statement that expresses the disposition of a type of entity to participate in an eventuality by virtue of its internal properties (Lekakou 2005).

- (19) Estas camisas se arrugan demasiado.  
 these shirts SE wrinkle too.much  
 'This type of shirt has a tendency to get wrinkles'

In (19) above we are not predicating from a specific shirt that it participates or has participated in the event of getting wrinkles. Rather, we state that, because of their internal properties, shirts like those have a predisposition to get wrinkles, even if they never got

wrinkles (yet) and we are deducing that this should be their tendency because we know the properties of the fabric they are made of. (19) has the syntactic structure of a passive SE, but is different from standard passive SE structures in its genericity and in the preferred word order, with a preverbal subject (Mendikoetxea 1999). Other languages would express this type of dispositional statement with active verbs, like English.

(20) This type of shirt wrinkle too much.

ii) Figure reflexive SE (Wood 2013, 2014) is restricted to movement verbs, and in them the reflexive pronoun forces the presence of a prepositional structure that expresses a relation between the subject and a result location; the subject must end in the location defined by the PP, and the subject must act agentively, trying consciously to get into that location.

(21) Juan se metió \*(en la habitación).  
 Juan SE got into the room

Figure reflexive SE is similar to aspectual SE in that it emphasises some kind of result state, this time a location reached by the subject, but differs from it in that aspectual SE –at least in its standard definition– does not force the presence of a syntactic structure that expresses the location. The term comes from Talmy's (2000) terminology, where in a locative prepositional structure the object taken as reference to define the location is the ground and the entity that is located by reference to it is called the figure: SE in such cases, by hypothesis, refers to the figure, the subject of motion that ends up located in a space.

This type of SE in Spanish is difficult to identify, and beyond the aspectual SE connection it also holds a connection with naturally reflexive verbs expressing motion (see §3), which have been considered endo-reflexives (Haspelmath 1987) or autocausatives (Creissels 2006), such as *mover-se* 'to move SE': with animate subjects, they express events that are triggered volitionally by the subject, like figure reflexive verbs, and they involve events where the movement applies to the same subject. Its status, as we will see, is not clear in Spanish.

iii) Antipassive SE refers to structures where the SE-less predicate introduces an internal argument marked in accusative case and the presence of SE makes the internal argument project as a prepositional complement –'complemento de régimen'–, typically with meaning differences (Masullo 1992, Clements 2006). Typically, antipassive SE has effects on the lexical meaning of the predicate, sometimes radically changing the theta role assigned to the internal arguments, as in (23a,b) and (23c,d).

- (22) a. *confesar algo*  
 to.confess something  
 b. *confesarse de algo*  
 to.confess-SE of something
- (23) a. *cuidar algo*  
 take.care.of something  
 b. *cuidar-se de algo*  
 take.care.SE from something  
 'to protect oneself from something'  
 c. *enfadar a alguien*  
 to.anger DOM someone

- d. enfadar-se con alguien  
 to.anger-SE with someone  
 'to get angry with someone'

In contrast to passive SE, this SE seemingly demotes the internal argument from a direct object to an object that needs to be introduced by a preposition. Similarly to reflexive and passive SE, one might argue that SE in (21b) has the role of removing the accusative marking and therefore the remaining overt argument needs to be marked with a preposition. Similarly to anticausative SE, in some cases (23b) the presence of SE has also the effect of turning the verb from externally caused to internally caused: in (23a) the subject causes the feeling in the object, while in (23b) the subject experiences the feeling, which is directed to the *con*-argument.

iv) Agent reflexive clitic SE (Armstrong 2013) involves cases of SE which force the subject to be interpreted as an agent, which performs the action wilfully, and excludes any type of non-volitionality.

- (24) El submarino se hundió tres barcos.  
 the submarine SE sank three boats  
 'The [people operating the] submarine wilfully sank three boats'

Like aspectual SE, agent reflexive SE requires the event to be telic, specifically an accomplishment, but unlike it it imposes an agent-requisite to the subject that must have the conscious intention of achieving the goal expressed by the telic event. In this sense, agent reflexive SE contrasts with 'pure' aspectual SE structures like (25), where there is no entailment of wilful intent.

- (25) Juan se tomó un veneno sin querer.  
 Juan SE took some poison without wanting  
 'By accident, Juan took some poison'

v) Causative or factitive SE structures are structures where SE marks that the event was not performed by the subject, but rather on the subject by an external agent, where the subject is in any case the causer that makes the implicit agent perform the action on her or him.

- (26) Luis se cortó el pelo.  
 Luis SE cut his hair  
 'Luis had a haircut'

In (26), in contrast to a reflexive structure, Luis is not the agent that gives himself a haircut, but rather a causer that makes someone else give him the haircut.

c) Finally, beyond the disagreement on some judgements and the disagreement on how many different classes of SE structures should be distinguished –with at least 15 different constructions–, the third problem that complicates the study of SE is that there is some degree of terminological dispersion that does not help navigate the vast quantity of literature that has treated SE structures in Spanish. Unfortunately, different authors might use the same term to refer to different SE structures, as it is the case for instance with 'middle SE', which some authors use for sentences like (1c) and others use for sentences like (18), among many other cases of terminological disagreement.



The next table presents some of the terms used in the literature for the different SE classes, concentrating on the most frequent cases. Note that 'reflexive' / 'reciprocal' are called in this way in the literature, without variation.

Table 1. Labels for the main types of SE structures in the literature

Example	Some of its labels	Label used in this article
<i>La tele se rompió</i> 'The TV broke'	Middle Inchoative Intrinsically reflexive Internally reflexive Anticausative Pseudo-reflexive	Anticausative
<i>Juan se come un bocadillo.</i> 'Juan eats up a sandwich'	Dative reflexive Dative of interest Expletive SE Optional reflexive Ethical dative Emphatic reflexive Pseudo-reflexive	Aspectual (although divided with agentive and transitive SE)
<i>Juan se arrepintió</i> 'Juan repented'	Pure pronominal Inherently reflexive Obligatory reflexive	Inherent
<i>Se vive bien aquí</i> 'One lives well here'	Reflexive impersonal Impersonal Indefinitising SE SE-impersonal Non lexical impersonal Syntactic impersonal	Impersonal
<i>Se venden casas.</i> 'Houses are sold'	Reflexive passive (pasiva refleja) Non-periphrastic passive Passive Episodic passive	Passive
<i>Este libro se entiende bien.</i> 'This book is easy to understand'	Medio-passive Modalised passive Generic passive	Middle

All these factors pile up on top of the range of constructions where SE appear to make its discussion a titanic enterprise within an overview article. However, we will do our best to cover all the major facts, analyses and theoretical options. We want to highlight that, given the existence of two major overviews of SE in Spanish (Mendikoetxea 1999, Sánchez López 2002), here we will favour the overview of the analyses after 2002, with some classical exceptions that we will also include.

The rest of this article is structured as follows. The article divides into three parts: first, we will introduce the main properties of SE within the clitic system in Spanish and specify the other classes of structures where SE has been proposed within Spanish (§2). The second part of this article contains sections §3-§10, which concentrate on the empirical aspects of each one of the uses of SE in Spanish and provide an overview of the main analyses that have been proposed for each one of these constructions. The discussion of the theoretical and analytical implications in these eight sections will be limited to the relation between at most three SE

uses. The third part of the article is devoted to the general theoretical and analytical status of SE as an element and its role in the structures where it is used in Spanish, from the perspective of its nature (§11), its position (§12) and the prospects of reducing all types of SE to the same kind of operations.

The conclusion (§13) we will reach is that it is virtually inescapable to accept that SE is linked to two types of objects, defective elements in argument positions and defective verbal heads. What the two types of object have in common is that they lack referential capacity of its own: the arguments are defective because they cannot introduce a referent of their own and have to link to another participant, and the verbal heads are defective because they introduce argument structures where one of the expected positions is not filled or is restricted in its referential capacity to indefinite arguments, or arguments with a prototypical denotation. An approach where SE spells out an element that is high in the clausal structure (Kayne 2000) and acts as a probe that introduces agreement to license defective elements (Reuland 2011) is a promising way to explore in order to unify all uses of SE.

This is going to be a long and complex discussion, so let us start immediately.

## 2. SE types: chaos and common properties

The structure of this section is as follows. We will start in §2.1 by providing an overview of the main roles that have been differentiated in the study of SE. Despite the high number of constructions where SE appears, there are reasons –beyond traditional accounts– to treat the whole set of structures as involving the same element, and to accept a division that depends on whether SE appears within the verbal complex or outside it. We will then focus on what the types of SE have in common, considering three aspects of its behaviour: that SE behaves as a clitic (§2.2), that its morphological properties are always the same (§2.3) and that its ordering within a clitic sequence is always the same as well (§2.4).

### 2.1. *The many facets of SE*

A cursory overview of the different classes of SE that were introduced in the previous section show that a distinction between paradigmatic SE and non-paradigmatic SE, albeit traditional, might not be very useful. The reason is that in the allegedly non-paradigmatic SE we still have signs that a third person pronoun is involved, and it simply happens that the properties of the constituent associated to what becomes SE presuppose that the person has to be 3rd, with 2nd and 1st participants excluded.

For this reason, in this overview we will adopt a moderately different –but not groundbreaking– division: one that refers to whether the role of SE affects the lexical properties of the predicate or not, where the lexical properties can be of the following types:

- i) addition or supression of arguments
- ii) imposing specific additional entailments on existing arguments
- iii) manipulating the lexical aspect of the predicate
- iv) altering the conceptual meaning of the predicate

Most SE structures –reflexive, reciprocal, anticausative, aspectual, inherent, figure reflexive, antipassive, agent reflexive, and causative SE belong to this class, which can be further subdivided with respect to which one of the four dimensions is manipulated. The second class will be the types of SE that affect the broader clausal structure –grammatical aspect, modal and temporal notions, clausal syntactic functions, etc.–, but without altering the lexical information of the predicate. Spurious, passive, impersonal and middle SE fall into this class.

Table 2. Types of SE divided by their role

Traditional type	Predicate Clause vs.	Name of SE type	Example	Comment
Paradigmatic	Predicate-affecting	1. Reflexive (§3.1)	Juan se lavó. 'Juan washed'	Affects the coreference between arguments.
Paradigmatic	Predicate-affecting	2. Reciprocal (§3.2)	Juan y María se miraron. 'Juan and María looked at each other'	Affects the coreference and the theta role reading of arguments.
Paradigmatic	Predicate-affecting	3. Anticausative (§4)	La televisión se rompió. 'The TV broke'	Affects the number of arguments
Paradigmatic	Predicate-affecting	4. Dative benefactive (§4.4, §5)	Juan se cortó el dedo. 'Juan hurt his finger'	Affects the relation between subject and indirect object
Paradigmatic	Predicate-affecting	5. Aspectual (§5)	Juan se salió. 'Juan got out'	Affects the lexical aspect of the predicate
Paradigmatic	Predicate-affecting	6. Agent reflexive (§5.2)	Juan se leyó tres novelas. 'Juan managed to read three novels'	Affects the entailments of the arguments
Paradigmatic	Predicate-affecting	7. Transitive (5.2)	La mesa se come la habitación 'The table occupies the whole room'	Creates a new predicate with potentially new argument structure
Paradigmatic	Predicate-affecting	8. Factitive (§6.1)	Juan se cortó el pelo. 'Juan got a haircut'	Affects the entailments of the arguments
Paradigmatic	Predicate-affecting	9. Antipassive (§6.2)	Juan se encontró con alguien. 'Juan accidentally met someone'	Affects the entailments of the arguments and case assignment
Paradigmatic	Predicate-affecting	10. Inherent (§6.3)	Juan se jactó de su éxito. 'Juan boasted about his success'	Affects the lexical meaning of the predicate
Paradigmatic	Predicate-affecting	11. Figure reflexive (§6.4)	Juan se metió en casa 'Juan got home'	Affects number of arguments
Non-paradigmatic	Clause-affecting	12. Impersonal (§7)	Se vive bien aquí. 'One lives well here'	Affects the interpretation of the subject

Non-paradigmatic	Clause-affecting	13. Passive (§8)	Se hablan idiomas. 'Languages are spoken'	Affects diathesis
Non-paradigmatic	Clause-affecting	14. Middle (§9)	Estas novelas se venden bien. 'Such novels sell well'	Affects diathesis and the interpretation of the subject
Non-paradigmatic	Clause-affecting	15. Spurious (§10)	Se lo di. 'I gave it to her'	Affected by other clitics

As can be easily be seen above, the paradigmatic/non-paradigmatic distinction corresponds to a division that is perhaps more informative, one where the divide is whether SE affects the predicate –and is therefore likely to be introduced somewhere within the verbal structure– or not. From this perspective, the non-paradigmatic cases are just cases where the third person is somehow forced by the clausal structure, and the paradigmatic cases are instances where SE is introduced somehow within the verbal structure, and when that complex inflects SE inflects with it.

However, why are these structures all considered instances of the same element? When we think about it, this conclusion is not granted. As Otero (2002) notes, SE could be viewed as a phonological default manifestation: a single CV syllable, with the unmarked /e/ vowel that is anyways used as epenthetic in other contexts (cf. *standard* > *estándar*) and an /s/ consonant that is equally unmarked and in fact is extrametrical in many processes (Harris 1983). Why don't we pose homophony relations between two or more distinct SE elements, then? The reason is that from other perspectives, SE always displays the same set of properties, which we will review in the remainder of this section. Given that these properties are identical, the conclusion that there is only one SE is forced.

## 2.2. Properties of SE as a clitic

The first set of facts that force the conclusion that the 13 structures mentioned in the table above involve the same element is that SE always behaves as a clitic, with the same set of properties. As a clitic, in proclitic position SE always precedes prefixes of the verb, such as for instance *medio* 'half-way':

- (27) a. Se medio miraron. Reflexive / Reciprocal  
 SE half-way looked  
 'They almost looked at themselves' / 'They almost looked at each other'
- b. Se medio rompió. Anticausative  
 SE half-way broke  
 'It almost broke'
- c. Se medio acusó a los testigos. Impersonal  
 SE half-way accused DOM the witnesses  
 'Someone sort of accused the witnesses'
- d. Se medio escribieron informes. Passive  
 SE half-way wrote reports  
 'The reports were partially written'
- e. Esta clase de persona se medio enamora fácilmente. Middle  
 this type of person SE half-way falls.in.love easily  
 'This type of person has a tendency to sort of fall in love easily'
- f. Se lo medio regalaron. Spurious  
 SE it half-way gave

'They sort of gave it to him as a present'

Negation systematically precedes SE in all these cases too.

- |  |                        |
|--|------------------------|
| (28) a. No se miraron.<br>not SE looked<br>'They didn't look at themselves' / 'They didn't look at each other' | Reflexive / Reciprocal |
| b. No se rompió.<br>not SE broke<br>'It didn't break'  | Anticausative          |
| c. No se metió en la cama.<br>not SE got into the bed<br>'He didn't get in bed'                                | Figure                 |
| d. No se cortó el pelo.<br>not SE cut the hair<br>'He didn't get a haircut'                                    | Factitive              |
| e. No se leyó tres libros.<br>not SE read three books<br>'He didn't manage to read three books'                | Aspectual              |
| f. No se olvidó de ello.<br>not SE forgot of that<br>'He didn't forget about it'                               | Antipassive            |
| g. No se arrepintió.<br>not SE repented<br>'He didn't repent'  | Inherent               |
| h. No se durmió.<br>not SE fell.asleep<br>'He didn't fall asleep'  | Aspectual              |
| i. No se lo dimos.<br>not SE it gave<br>'We didn't give it to him'   | Spurious               |
| j. No se venden casas.<br>not SE sell houses<br>'Houses are not sold'  | Passive                |
| k. Estos libros no se venden bien.<br>these books not SE sell well<br>'These books don't sell well'            | Middle                 |
| l. No se vive bien aquí.<br>not SE live well here<br>'One doesn't live well here'                              | Impersonal             |

Similarly –and with only some restrictions that refer to the presence of impersonal SE with non finite categories, see §7– all types of SE allow rising within periphrases.

- |  |                        |
|--|------------------------|
| (29) a. Se pueden mirar.<br>SE can look<br>'They can look at themselves' / 'They can look at each other' | Reflexive / Reciprocal |
| b. Se puede romper.<br>SE can break<br>'It can break'  | Impersonal             |

c. Se puede lanzar al agua. SE can throw into the water 'He can throw himself into the water'	Figure
d. Se puede cortar el pelo. SE can cut the hair 'He can get a haircut'	Factitive
e. Se puede leer tres libros. SE can read three books 'He can manage to read three books'	Aspectual
f. Se puede enfrentar a ello. SE can confront to that 'He can face it'	Antipassive
g. Se puede arrepentir. SE can repent 'He can repent'	Inherent
h. Se puede dormir. SE can fall.asleep 'He can fall asleep'	Aspectual
i. Se lo puedo dar. SE it can give 'I can give it to him'	Spurious
j. Se pueden vender casas. SE can sell houses 'Houses can be sold'	Passive
k. Estos libros se pueden vender bien. These books SE can sell well 'Such books can be easily sold'	Middle
l. Se puede vivir bien aquí. SE can live well here 'One can live well here'	Impersonal

Finally, let us not forget that SE structures share three other properties: (i) they involve third person entities, (ii) they have some type of effect in the participants in the eventuality, as arguments or as syntactic functions, depending on whether they operate on the lexical properties of the predicate or on the clause structure –with the obvious complication that in inherent SE structures we cannot compare with a SE-less version of many verbs to see what its effect is– and (iii) they presuppose some kind of verbal structure, and never combine with verbless predicates, noun phrases or adjectival phrases.

### 2.3. *Morphological properties*

Moreover, the morphological properties of SE are also homogeneous in all cases, most visibly in the instances where SE is paradigmatic and one would expect it to alternate with other pronouns. Consider in this respect the place of SE within the clitic system of Spanish (cf. Bonet 1991).

- (30) a. lo     accusative masculine singular     third person  
 b. la     accusative feminine singular     third person  
 c. los    accusative masculine plural     third person  
 d. las    accusative feminine plural     third person

- |      |        |        |          |               |
|------|--------|--------|----------|---------------|
| (31) | a. le  | dative | singular | third person  |
|      | b. les | dative | plural   | third person  |
| (32) | a. me  |        | singular | first person  |
|      | b. nos |        | plural   | first person  |
|      | c. te  |        | singular | second person |
|      | d. os  |        | plural   | second person |
| (33) | se     |        |          | third person  |

The pronouns in (30) and (31) are third person pronouns, like SE. They differentiate case marking –dative vs. accusative–, as witnessed by the following pairs –which abstract away from possible leísmos, laísmos and so on, cf. Fernández Ordóñez (1999)–.

- (34) a. Le dieron una información.  
           her.dat gave some information  
       b. La informaron.  
           her.acc informed  
       'She was informed'

The accusative clitics in (30) differentiate gender and number. The dative clitics in (31) do not differentiate gender in Spanish, but they do differentiate number.

The clitics in (32) mark person, as first or second person; following Benveniste (1960) we could assume that 1st and 2nd are the only true values of person, and treat third person as the absence of person. In any case, the empirical fact is that (32) contrast with both (31) and (30) in the person information, but unlike (30)-(31) they do not differentiate between accusative and dative. The same forms in (32) are used in both syntactic contexts, without distinctions.

- (35) a. Me dieron una información.  
           me gave some information  
       'They gave me some information'  
       b. Me informaron.  
           me informed  
       'I was informed'

The clitics in (32) do not differentiate between gender, but like the ones in (31), differentiate number.

How about SE in (33)? SE does not differentiate between case, as witnessed by (36), where the subject can be coreferential with the direct object or with the indirect object:

- (36) a. Juan se vio a sí mismo.  
           Juan SE saw DOM SE self  
       'Juan saw himself'  
       b. Juan se dio un regalo a sí mismo.  
           Juan SE gave a present to SE self  
       'Juan gave a present to himself'

SE does not differentiate, furthermore, between masculine and feminine or between singular and plural, as (37) shows:

- (37) a. Juan se mira.  
         Juan SE looks  
         'Juan looks at himself'  
       b. María se mira.  
         María SE looks  
         'María looks at herself'  
       c. Ellos se miran.  
         they.m SE look  
         'They look at themselves'  
       d. Ellas se miran.  
         they.f SE look  
         'They look at themselves'

In consequence, SE is the pronoun that, within the clitic system, makes the smallest number of morphological contrasts: only person, on the assumption that third person should be viewed as 'person' and not as absence of any person value.

(38)	Person	Number	Case	Gender
<i>lo-la</i>	x	x	x	x
<i>le</i>	x	x	x	
<i>me-te...</i>	x	x		
<i>se</i>	x			

If Person is removed from third person pronouns, then SE would rather correspond to a pronoun without any phi features, making no case distinctions.

(39)	Person	Number	Case	Gender
<i>lo-la</i>		x	x	x
<i>le</i>		x	x	
<i>me-te...</i>	x	x		
<i>se</i>				

What this comparison emphasises is yet another property that most analyses of SE highlight: SE is defective in features, that is, contains the smallest number of features within the pronominal system of Spanish. The analyses, as we will have a chance to see in the sections dedicated to this topic, share the intuition that the broad range of structures where SE appears is made possible by the almost complete absence of features that this element carries, making it able to be introduced in different syntactic context without those features restricting its distribution. In some approaches, like Reuland (2011), in fact the reflexive pronoun SE is simply a set of unvalued nominal features that take its value from other elements, or can be used as a head that agrees with other elements in order to license them (see in particular §11.4 below and the discussion in §12 and §13). SE, from this perspective, would be a category which might only have its category, [SE], as an interpretable feature, and therefore it would not really be a member of the same natural class as Spanish clitics. However, let us for the moment present the rest of its properties within the clitic system.



## 2.4. Clitic ordering

Spanish shows a rigid ordering when it comes to clitic clusters or clitic combinations. Whenever two or more clitics combine in the same clause, it is impossible to alter a fixed order, irrespective of the information structure associated to each constituent. (40) presents the rigid ordering of clitics in the standard varieties.

(40)	IV		III		II		I
	se	>	me	>	le	>	lo
			te		les		la
			nos				los
			os				las

This ordering deserves some comments. The fact that SE is the initial clitic in the cluster can be tested without problems, with sentences such as (41).

- (41) a. Se me        cayó el libro.  
           SE me.dat    fell the book  
           'I dropped the book accidentally'  
       b. Se le entregó una carta.  
           SE him.dat delivered a letter  
           'A letter was delivered to him'  
       c. Se las atacó.  
           SE them.acc attacked  
           'Someone attacked them'

It is also possible to test that personal clitics in III are ordered before accusative clitics in I through sentences like (42).

- (42) a. Me        lo        dijeron.  
           me.dat    it.acc said  
           'Someone said it to me'  
       b. Nos        las        dieron.  
           us.dat    them.acc gave  
           'They gave them to us'

When it comes to the ordering between III and II, the evidence is less direct. Sequences where III and II are combined together are, in principle, instances of clitic combinations that should be ruled out by the Person Case Constraint (Perlmutter 1971, Bonet 1991, Anagnostopoulou 2003, Béjar & Rezac 2003, Nevins 2007): situations where a dative third person clitic is incompatible with an accusative personal clitic.

- (43) \*Me    le        presentaron.  
           me.acc him.dat introduced  
           Intended: 'I was introduced to him'

However, there are situations where this effect gets at least ameliorated for some speakers: cases with double dative, where the personal pronoun is the so-called 'ethical dative' –also known since Bello (1847) as 'superfluous dative' or 'dative of interest'–, introducing the personal affective involvement of the speaker in the eventuality reported. In such cases, such as (44) it can be checked that the personal pronouns in III precede the datives in II.

- (44) No me            le            deis caramelos al niño.  
 Not me.dat    him.dat give candy to.the child  
 'Don't give candy to the child, I beg you'

The ordering between II and I is not so easy to test directly, because systematically combinations of a dative clitic with an accusative clitic involve substitution of the dative clitic by SE –the 'spurious SE' cases that were mentioned in §1–.

- (45) \*Les            lo            dimos.  
 them.dat it.acc gave  
 Intended: 'We gave it to them'

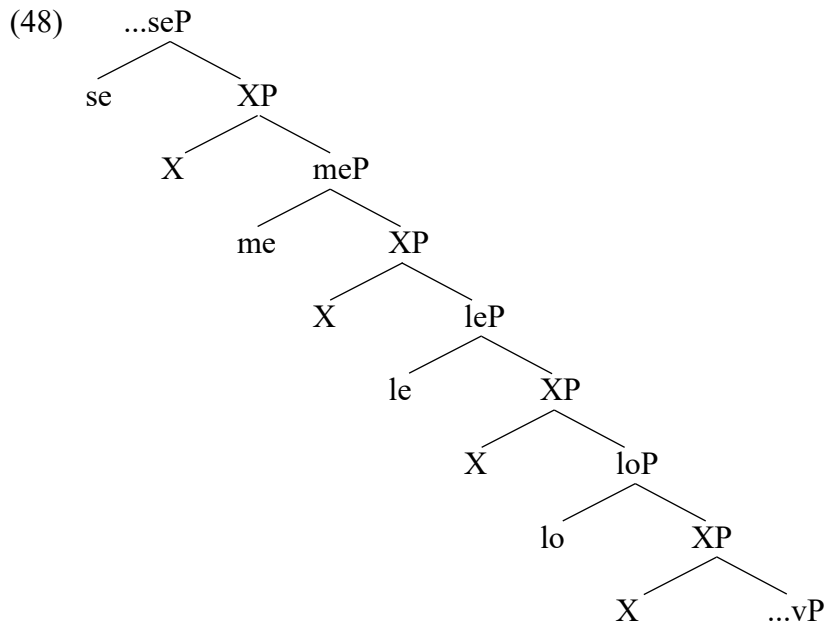
However, Kayne (2010), building on results from Harris & Halle (2005) on mesocclisis of the pronoun in some Spanish varieties, finds an indirect argument that justifies the ordering between II and I despite absence of overt transparent clitic combinations. These authors discuss cases like (46), involving a 3pl subjunctive form in the imperative, where the clitics follow the verb in Spanish.

- (46) a. Diga-n-me  
       say-3pl-me.dat  
       'Tell it to me'  
       b. Diga-me-n  
       say-me.dat-3pl  
       c. Diga-n-me-n  
       say-3pl-me.dat-3pl

The relevant cases are (46b) and (46c), where the inflection appears after the pronoun. Harris & Halle (2005) note that there are 4 relevant varieties that contrast in the types of pronouns that can appear before the inflection. Interestingly, the pronouns that allow (46b)-(46c) in each variety are in a containment relation:

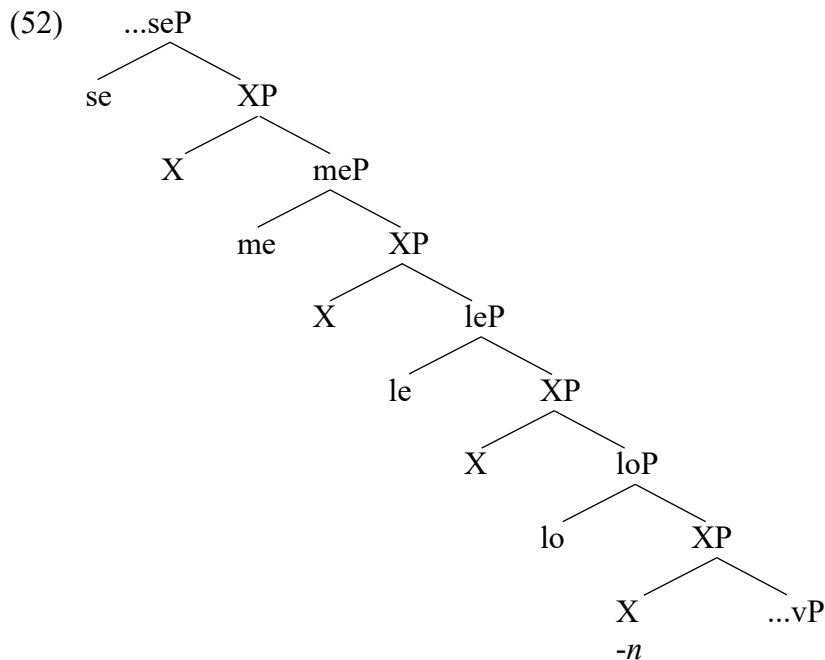
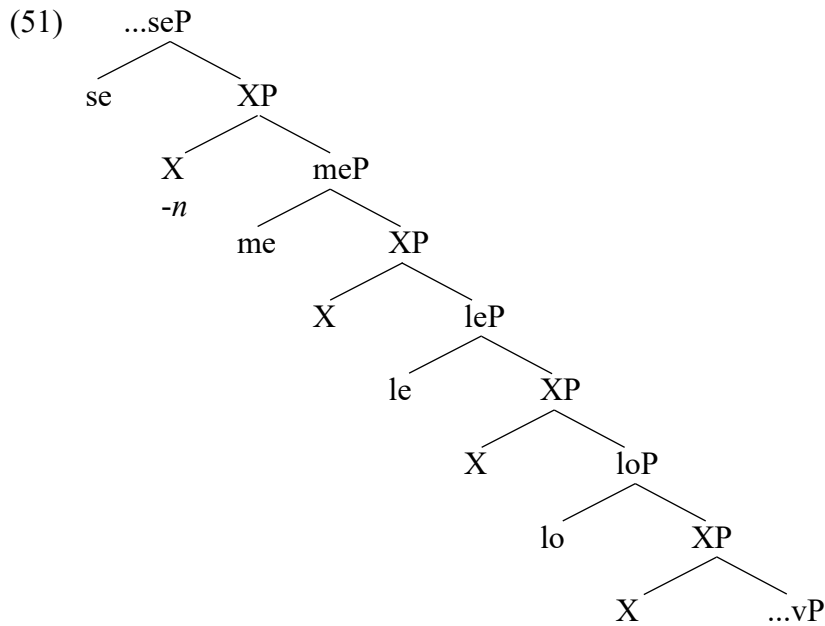
- (47) a. Variety I: se  
       b. Variety II: se, me (and by hypothesis the other personal pronouns)  
       c. Variety III: se, me, le  
       d. Variety IV: se, me, le, lo

Every variety that allows mesocclisis allows it with SE. There are varieties that in addition to SE allow the pronouns in III; there are further varieties that add also the clitics in II, and finally a variety that also allows mesocclisis with the accusative clitics in I. Kayne (2010) proposes that this is the expected result if two conditions are granted: (i) the clitic ordering reflects a hierarchical syntactic relation like the one in (48), where the clitics to the left are clitics that c-command the others (as expected in Kayne 1994) and (ii) if the mesocclisis happens when subject agreement can appear in a lower clausal position instead than the usual T head (46b) or in addition to it (46c).



The tendency would be, then, to have this subject agreement morphology as high as possible. Varieties which allow mesoclitisis with SE but not with the other clitic classes (49) would be varieties like (51), where the additional agreement position is immediately below *seP*. Varieties that, in contrast, allow all pronouns (50) would be varieties where the agreement position is active in the lowest XP, so that this inflection follows any clitic, no matter how low in the structure (52).

- (49) a. Siente-se-n  
sit.down-SE-3pl  
b. \*Diga-me-n  
tell-me-3pl  
c. \*Diga-le-n  
tell-her-3pl  
d. \*Diga-lo-n  
tell-it-3pl
- (50) a. Siente-se-n  
sit.down-SE-3pl  
b. Diga-me-n  
tell-me-3pl  
c. Diga-le-n  
tell-her-3pl  
d. Diga-lo-n  
tell-it-3pl

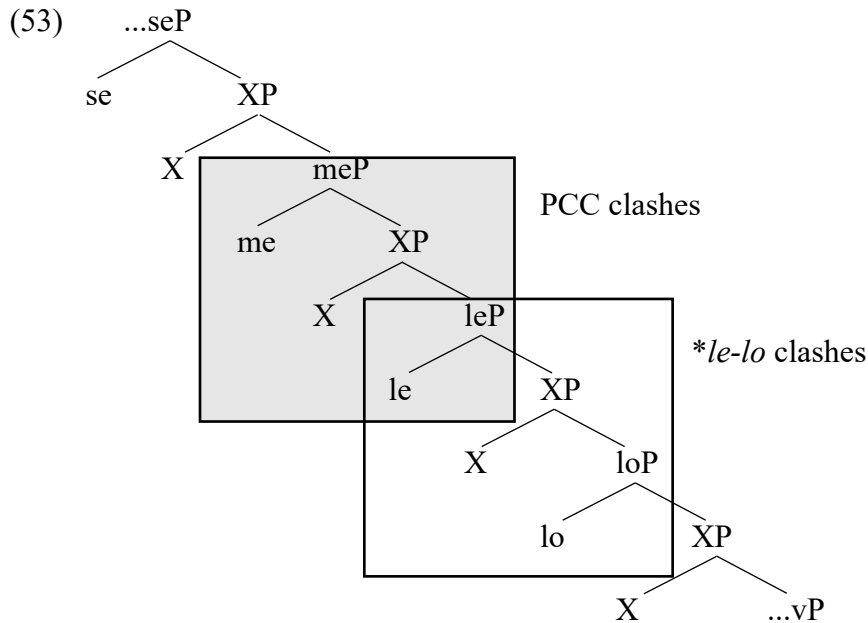


The absence of a variety that allows (50d) without allowing (50c) and the existence of varieties that allow (50c) but not (50d) imply that the clitic pronouns in II are higher than the clitic pronouns of I, and excludes the possibility that they are located in the same position – something that in principle could have explained their incompatibility–.

Note that Kayne (2010) differs with respect to Kayne (2000) in whether SE and the person clitics should be distinguished or not. It is true that SE (at least in the cases traditionally called 'paradigmatic') alternate with person marked clitics (*Se caer* 'SE falls, He falls', *Me caigo* 'ME fall, I fall'), but there are other reasons to propose a distinction. In the representation above, and given the facts that involve imperatives and clitic combinations, the existence of varieties where SE licenses the post-clitic inflection but personal pronouns don't, require that the two clitics occupy two different positions. Also, the possibility that SE and a personal clitic co-occur suggest that analysis (*Se me cae* 'SE ME falls, It falls from me).

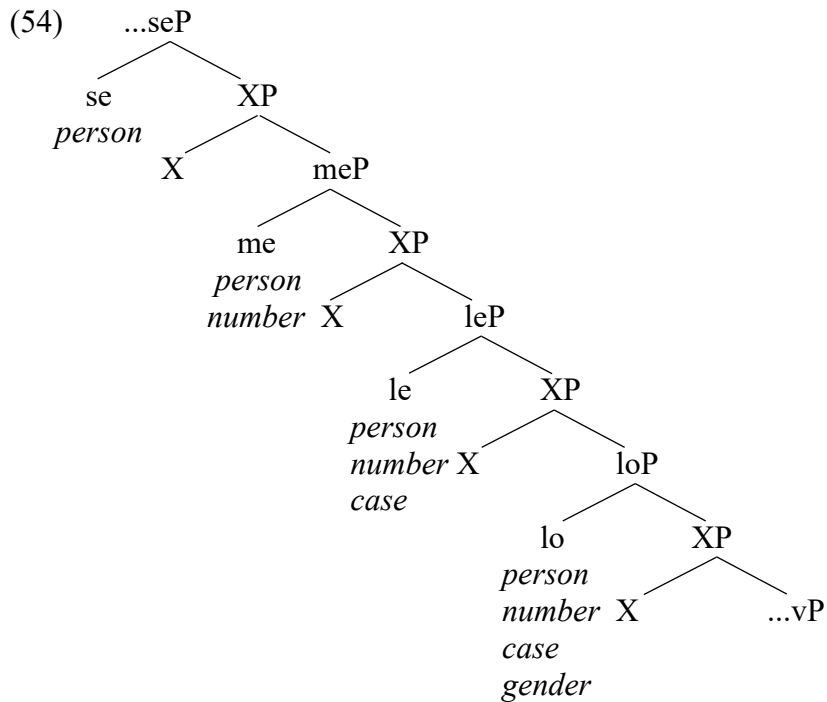
An interesting fact if one assumes some kind of syntactic hierarchy like (48) is that the two known clitic incompatibilities that one identifies in Spanish refer to clitics that are in adjacent

areas. The Person Case Constraint involves a clash between clitics in the III region and those in the II region; the spurious SE cases replace a clitic in the II region when there is a clitic in the I region.



Interestingly, the solution to the 3rd-3rd clash in (53) involves using a SE element that is in a higher region, not adjacent to the accusative one. In the case of PCC one could also argue that a solution is SE, although in a less obvious sense: when the personal pronoun comes from a reflexive SE that agrees in person features with the subject the PCC effect is less serious (*Quiere-te-me* 'Love-yourself-me, Love yourself for me') than when the personal pronoun is not related to a reflexive construal (*\*Te me quieren*, 'You me love.3pl, They love you for me')

A second interesting fact is that the morphological relation that we identified in (38)-(39) is replicated in the syntactic hierarchy. Clitics in the I area are those that make the biggest number of distinctions (gender, case, number, person); clitics in the II area lose the gender distinction; clitics in the III area furthermore lose the case distinction, and SE in the IV area only keeps a person distinction.



From this perspective, the lower clitics are the ones that keep more pronominal features, and as we go up in this syntactic hierarchy pronominal features are missing.

Beyond this, SE is always the first clitic in the sequence in any structure where it can be combined with other clitics. This shows that, at least for the linearisation of the forms, we have only one clitic SE for all these cases, even if the role of that clitic seems to be different depending on the context:

- (55) a. Se lo pueden quitar.  
SE it can remove  
'They can remove it to themselves' / 'They can remove it to each other'
- b. Se le puede romper.  
SE him.dat can break  
'It can break on me'
- c. Se le puede lanzar encima.  
SE can throw into the water  
'He can throw himself on top of him'
- d. Se lo puede cortar.  
SE it can cut  
'He can get (a haircut)'
- e. Se los puede leer.  
SE them can read  
'He can manage to read them'
- f. Se le puede enfrentar.  
SE him.dat can confront  
'He can face him'
- g. Se le puede arrepentir.  
SE him.dat can repent  
'He can repent for him'
- h. Se les puede dormir.  
SE them.dat can fall.asleep

- 'He can fall asleep for them'  
 i. Se lo puedo dar.  
    SE it can give  
 'I can give it to him'  
 j. Se le pueden vender casas.  
    SE her.dat can sell houses  
 'Houses can be sold to her'  
 k. Estos libros se les pueden vender bien.  
    These books SE them.dat can sell well  
 'Such books can be easily sold to them'  
 l. Se los puede recibir.  
    SE them.acc can receive  
 'One can receive them'

These generalisations apply to all types of SE, and as such should be taken into account for the analysis of all types of SE: the reason is that –as noted in §2.1 and §2.2– all types of SE constructions contain the same clitic, characterised by the same feature defectiveness, the same placement with respect to an inflected verb or negation, and the same position within the ordering of clitics. These facts should be taken as a background for any analysis that tries to unify the role of SE across constructions.

In the following sections, and before we start discussing the analytical options, however, we will review the main empirical properties of each one of these constructions, starting with the predicate-affecting SE uses.

### 3. Predicate-affecting SE uses (1): reflexives and reciprocals

The first use that we will discuss is the reflexive, where we will also treat reciprocals as possibly an additional set of interpretations that emerge from the reflexive under certain conditions. As we will see, analyses of other types of SE as involving a reflexive have been proposed in anticausative cases, aspectual cases, agentive cases, figure cases, and even passive and impersonal cases.

One reason to pick the reflexive SE constructions as the first is that many theories treat the reflexive SE as the basic manifestation of this pronoun, from where the other structures should be derived (see for instance Armstrong & MacDonald's recent 2021 overview). Undoubtedly, if one looks at the historical evolution it is clear that the reflexive has to be taken as the basic SE form, given that the Spanish pronoun emerges from the Latin reflexive pronoun *se*, which, under certain conditions, behaved as a logophoric pronoun. From a historical perspective, a well-documented fact of Romance is that the reflexive pronoun SE develops early on, already in Latin, a use that traditional grammars call 'middle' and which corresponds to what in this article we call 'anticausative' (Cennamo 1999; Cennamo, Eythórsson & Bardal 2015; Gianollo 2014). The standard grammaticalisation account of this evolution is generally explained as follows. There is an initial meaning (reflexive) where there is an agent and an internal argument, accusative or dative, which happen to be coreferential. From there, SE takes a meaning where the patient or benefactive of the event is taken to be the sole responsible entity in initiating the event, without any help from an external agent; this has been interpreted often as a grammaticalisation process that moves from a bi-argumental construal to a construal with one single participant where the notion of patient and agent are collapsed in the same participant –hence the term 'middle', that was intended as an intermediate position between the active and the passive voice–. Sánchez López & Vivanco (in press) note that in Spanish this process takes place earlier with psychological predicates, where presumably the notion of experiencer behaves as an intermediate category between (volitional) agent and patient. From

there, the next class of SE that appears in Romance is the passive (Maddox 2021, Wolfsgruber 2021), presumably building from the association between SE and a non-agentive subject in the anticausative; from there, the last step, which is not performed in all Romance languages, is the impersonal, which generalises the relation between SE and structures where the agent is not syntactically projected (Monge 1955, Wolfsgruber 2021). Thus, the historical evidence supports an analysis of SE that takes the reflexive form as basic.

This privileged position of reflexive SE within the uses of SE is confirmed by acquisitional studies, which have generally reached the conclusion for Spanish that the reflexive and the anticausative uses are acquired at earlier stages than the passive and the impersonal SE (Bruhn de Garavito 1999, Montrul 2001, Tremblay 2006, Gómez-Soler 2015, Escobar & Teomiro 2016, García Tejada, Cuza & Lustres Alonso 2021, among many others).

It is also plausible to adopt this type of view from the perspective that many languages use the reflexive pronoun to –typically– express also passive and anticausative meanings (see Koontz-Garboden 2009). However, this claim is by no means granted when one looks at the internal synchronic situation in Spanish and other Romance languages (see Otero 2002, Labelle 2008, among others), because SE is neither sufficient nor necessary to express reflexive or reciprocal meanings. Let us examine the facts.

### 3.1. Reflexives

SE is sometimes identified with the spell out of a reflexive function which could be contained within a projection of the verb, or acts as a reflexive marker of the verb –perhaps not corresponding to a distinct syntactic head, but as some kind of morphological increment of the verbal stem–. In this view, the reflexive marker SE acts as a verbal operator that reduces the number of arguments that the predicate contains, by forcing that two theta roles are identified with each other (Quine 1961, Grimshaw 1982, Reinhart 1996, Chierchia 2004). In fact, Koontz-Garboden (2009: 83) proposes that reflexivisation, defined as in (56) below, corresponds to the denotation of Spanish SE.

(56)  $\lambda\mathfrak{R}\lambda x[\mathfrak{R}(x,x)]$

This analysis takes  $\mathfrak{R}$  to be a variable that ranges over transitive verbs; in other words, as Reinhart & Reuland (1993) proposed, reflexivisation involves marking a predicate as reflexive by imposing an additional restriction on its argument structure. This denotation explains, in principle, the semantic interpretation of sentences like (57), where the same individual is interpreted as receiving the theta roles corresponding to two argument positions.

- (57) a. Pedro se criticó a sí mismo.  
Pedro SE criticised DOM himself  
b. María se contrató a sí misma.  
María SE hired DOM herself  
c. Luis se peinó.  
Luis SE combed  
'Luis combed his hair'  
d. Ernesto se hizo un regalo a sí mismo.  
Ernesto SE made a present to himself

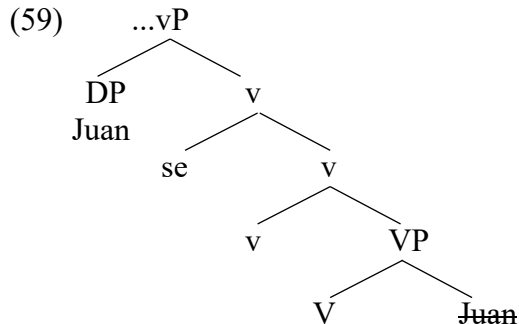
The analysis of reflexive SE as a function that operates on the verbal theta structure also captures the generalisation that reflexivisation cannot apply to verbs that have only one argument, or potentially lack any arguments.





of the argument to an external position. One option is to force movement of the argument to an external argument position, so that the verb is 'unaccusative' from the perspective of its base position, but the argument moves to an external position.

For instance, Embick (2004) –see also Folli (2001)– treats reflexive SE as merged in the external argument position (for him, spec, vP); from there, it cliticises to the head v. The argument that is visible in the surface corresponds to the internal argument, that rises to spec, vP to c-command the SE anaphor.

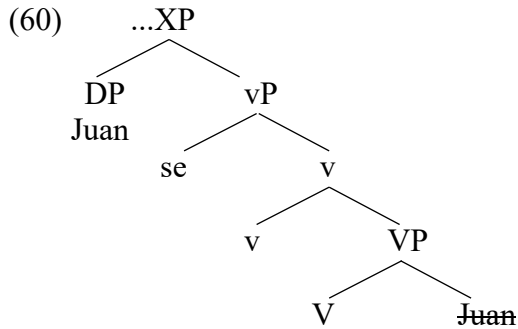


Note that if one assumes a ditransitive structure, this type of analysis could derive the unaccusative nature of the predicate while keeping a direct object: the displaced argument could be the dative.

From this perspective, SE has been viewed as an impoverisher of the verbal structure, something that will be a common trend in the analyses presented in this section, and then in §3-10, with spurious SE being a rare exception that does not seem to affect the assignment of arguments, aspect or case in the verb. SE is either the spell out of a verbal head that, with respect to the SE-less version, is less structurally robust or an element that, adjoined to a head, impoverishes it.

However, the impoverishing function of SE varies from one analysis to the other, making the intuition much less easier to express than one would have expected. For some authors, SE would have the role of absorbing the case, generally accusative, that otherwise the verb could have assigned to the internal argument (see Manzini 1986, who originally propose the idea, although focusing on anticausatives; otherwise, the internal argument would not move up, and empirically could be substituted by accusative clitics). The problem is, of course, that reflexive predicates can carry direct objects. An alternative view, but related to it, is that SE absorbs the theta role that otherwise will be assigned to the external argument (Cinque 1988).

A minimal variant of the analysis in (59) is to locate SE in the position that otherwise would have been occupied by the external argument (McGinnis 1998), the specifier of vP or whichever projection introduces agents, and displace the overt subject from an internal argument position. This illustrates another analytical dichotomy that we will see once and again in this overview: SE as an argument introduced in a specifier or complement position vs. SE as a head (or attached to a head) that defines a verbal head.



Be it as it may, and with all these analytical options on the table, the problem for analyses like (59) is that the reflexive predicate is treated as an unaccusative, which does not seem to correspond to the grammatical behaviour of these predicates in Spanish. Later on in this section we will see analyses that share the core intuition but base-generate the overt argument of the reflexive directly on an external argument position, thus removing any chance to treat the reflexive verbs as unaccusative.

Table 3. Main analytical options in the location of SE

	Argument	Verbal head
Head	SE is an X nominal element that occupies an argument position	SE is the spell out (alternatively, marks) a verbal head
XP	SE is an XP that occupies an argument position	SE is a projection within the set of functional projections of the verb

### 3.1.1. SE is not necessary for reflexivization

However, there are several problems with the analysis that treats SE as an object that forces movement of an argument to satisfy a reflexive relation. Importantly, Koontz-Gardboden (2009) restricts the variable  $\mathfrak{R}$  to transitive verbs –in a broad sense of transitive where transitives and ditransitives are included–. This is empirically crucial, but arbitrary from the perspective of reflexivisation.

The restriction about the type of verbs that  $\mathfrak{R}$  can correspond to in (56) is due to the fact that SE appears in Spanish reflexives only when the two arguments have specific syntactic functions. The first argument must necessarily be a subject (61a), which explains the grammaticality of sentences like (57d) above and the ungrammaticality of (61b) below.

- (61) a. Carlos se miró en el espejo.  
 Carlos SE looked in the mirror  
 b. Pedro (\*se) entregó a los prisioneros a sí mismos.  
 Pedro SE surrendered DOM the prisoners to themselves  
 'Pedro surrendered the prisoners to the prisoners themselves'

This subject is agentive, or at least can be interpreted broadly as an initiator (Ramchand 2008), in contrast to anticausative SE structures, as witnessed by the compatibility with some volitional adverbs and final clauses.

- (62) a. Luis se lavó voluntariamente.  
Luis SE washed voluntarily  
b. Luis se lavó para ir bien a la fiesta.  
Luis SE washed in.order.to go well to the party  
'Luis washed so that he could attend the party with a good image'

The second argument can be a direct or an indirect object of a verbal predicate for SE to appear in the reflexive meaning. Other semantically transitive verbs which introduce the internal argument with a preposition, or instances of any other syntactic function, allow reflexivisation, but again do not mark it with SE.

- (63) a. Luis se hizo un tatuaje a sí mismo.  
Luis SE made a tattoo to himself  
b. Luis se asustó a sí mismo.  
Luis SE scared DOM himself  
(64) a. Luis (\*se) piensa en sí mismo.  
Luis SE thinks on himself  
b. Luis (\*se) depende de sí mismo.  
Luis SE depends on himself  
c. Luis (\*se) cuenta consigo mismo.  
Luis SE counts on himself  
(65) a. Luis (\*se) acudió a la fiesta consigo mismo.  
Luis SE attended to the party with himself  
b. Luis (\*se) le compró un filete al carnicero para sí mismo.  
Luis SE him.dat bought a steak to.the butcher for himself

Trivially, SE does not appear in any type of reflexive predicate. If the reflexive predicate is a noun or an adjective, SE is impossible even if one can identify the coreferential argument as notionally a patient or goal. One partial exception is a few cases of adjectives that take datives and that, in combination with a copulative verb, might mark the dative with SE (66c); in such cases one might think that the copulative verb and the adjective behave syntactically and semantically as one single predicate, thus producing in combination one single verbal predicate with two arguments that happen to be coreferential.

- (66) a. el retrato de Goya de sí mismo  
the portrait of Goya of himself  
b. un hombre orgulloso de sí mismo  
a man proud of himself  
c. Juan (se) es fiel a sí mismo.  
Juan SE is faithful to himself

This, in essence, means that SE is not a necessary element of reflexive structures.

- (67) SE is only compulsory in reflexive structures in Spanish when the coreferential arguments are subject and direct object or subject and indirect object.

The analysis in (59) does not completely fail in explaining this pattern. The crucial problem in the cases where SE is not present could be that the contexts above are all cases where the lower argument that must c-command the SE anaphor cannot move out of its immediate

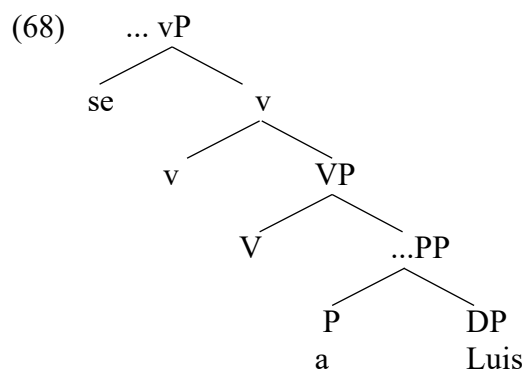
constituent to license the anaphor –that is, the problem would be that in these examples the movement step whereby the internal argument c-commands SE cannot take place–.

a) Examples where the two reflexive arguments are the direct object and the indirect object could be instances where the direct object cannot move up to spec, vP to license the anaphor that would be located in the indirect object, or cases where the anaphor would not be licensed because it is embedded under a lot of structure. This presupposes, however, that SE can only cliticise to the verb and not to other heads, and it establishes an asymmetry with structures like (63a) that we will come back to.

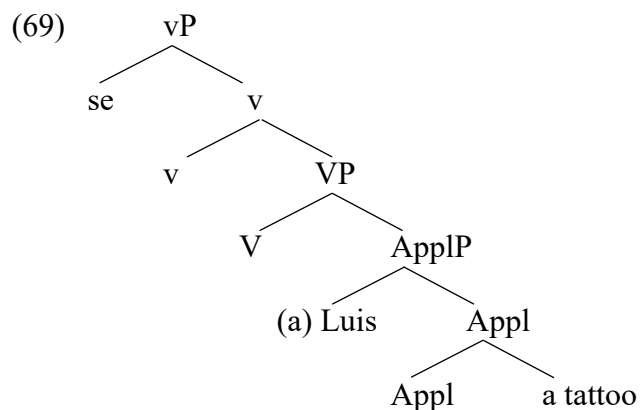
b) Examples where the internal argument is introduced by a preposition distinct from the dative one could be instances where the preposition already assigns case to the argument and therefore this argument cannot move up to license the anaphor.

c) Similarly, examples where the coreferential constituent is not an argument of the verb could be excluded if these are adjuncts that cannot extract or become subjects.

The analysis, however, makes it more difficult to explain cases involving a subject and an indirect object. For such cases one would have to assume that the indirect object is not introduced from a preposition, that is, one has to avoid a structure like (68).



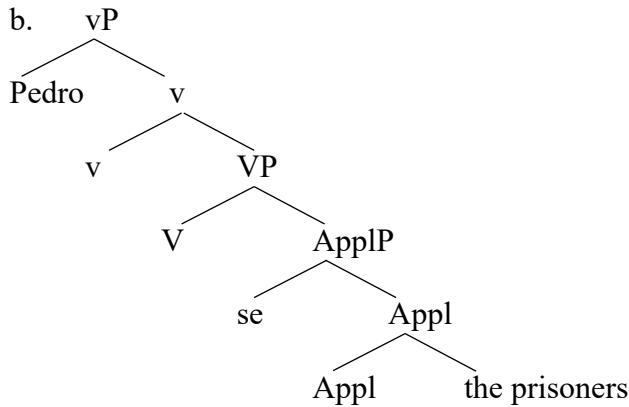
The reason is that in order to generate from here 'Luis SE made a tattoo for himself', we would have to extract Luis from the PP. If this movement is possible, then we must provide an explanation of why it is not possible in the cases of (64), where the absence of SE would be explained precisely by this. The alternative is to propose that in cases like (63a) we always have an applicative structure (Pylkkänen 2002, Cuervo 2003).



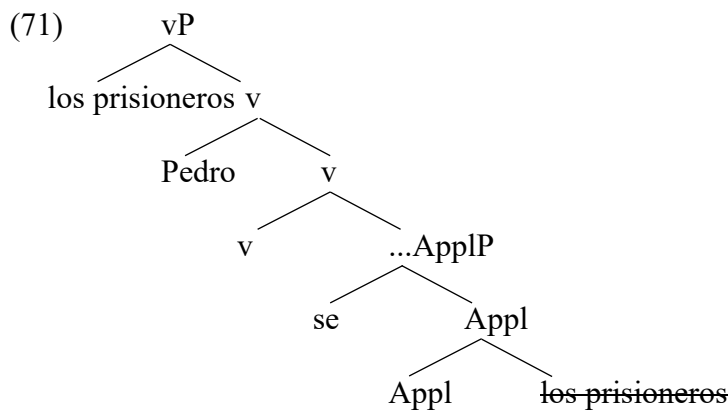
In principle, applicatives assign case to the goal argument; assume however that the presence of SE has an effect on case that makes Appl have to assign case to the direct object in

this case, and *Luis* is caseless, therefore being able to move up. This might explain sentences like (63a), but then the problem is why we cannot get SE when the two arguments involved are the goal and the theme. Imagine that now we introduce SE in the goal position.

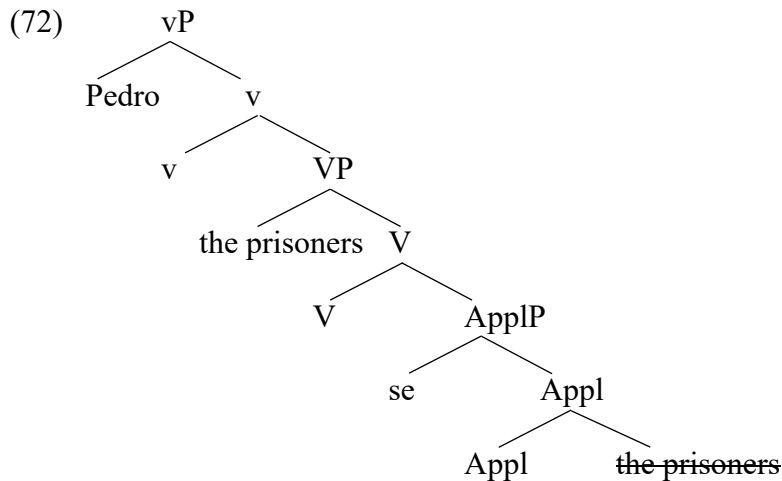
- (70) a. Pedro (\*se) entregó a los prisioneros a sí mismos.  
 Pedro SE surrendered DOM the prisoners to themselves  
 'Pedro surrendered the prisoners to the prisoners themselves'



Assume that SE has the effect of absorbing case in Appl, like in other cases. If 'the prisoners' can move up to spec, VP, the anaphor would be licensed. Note that we can assume that 'the prisoners' does not move to spec, ApplP because it already establishes a syntactic dependency with that head, and that movement to spec, vP would not solve anything because Pedro would still be closer to the anaphor than 'the prisoners', which precludes 'the prisoners' from licensing the anaphor.



The only way in which movement of the theme would license the anaphor is if the DP can move to spec, VP.



This analysis, then, has to presuppose that some independent principle blocks movement of the theme argument to the specifier of VP.

Alternatively, the analysis could presuppose that the ungrammaticality is not due to the impossibility of moving an argument up to license the anaphor. The alternative explanation could be that SE cannot just be introduced in any argument position, but rather that it cannot be introduced in argument positions created by non-verbal projections, such as Appl. This would block the insertion of SE in spec, ApplP –for that matter, in the specifier of this category and any other category that is not verbal, explaining also why SE cannot appear as a marker of reflexivity in nouns or adjectives–. Maybe the reason could be that SE needs to cliticise to a verbal head, and if introduced in Appl SE could not cliticise to the verbal head.

### 3.1.2. SE is not sufficient for reflexivisation: the nature of the double *mismo*

However, this does not solve the problem of what SE does as an element. If SE was really the spell out of a reflexivising function, one would expect that it would be compulsory in any kind of reflexive predicate. If the syntactic restrictions on movement or on what type of head it can combine with explain the ungrammatical cases, under this proposal the result would be that such predicates could not be reflexivised, not just that SE cannot appear with them.

Moreover, if SE was a reflexivising function, then one would not expect it to co-occur with other markers of reflexivity, or at least to appear only when one wants to put focus or emphasis. There is at least one exponent, *auto-* 'self-', that has been argued in Spanish to correspond to this type of function (Feliu 2003). Its presence does not preclude SE, which has the same distribution as in cases without *auto-*. In fact, SE is compulsory in such cases, and it is not possible to remove it, nor its presence is perceived as emphatic, contrastive or any of the other semantic effects that are generally associated to sequences where 'redundant' material is present.

- (73) a. auto-destruir-\*(se)  
       self-destroy-SE  
       b. auto-criticar-\*(se)  
       self-criticise-SE

The English translation 'self-', in fact, matches with other analyses of reflexivity, such as Labelle (2008), who proposes that if anything should be viewed as a reflexiviser, it is not SE, but the double that can appear with it in reflexive contexts –in French, language that she analyses, *PRONOUN-même*–.

- (74) Le ministre se parle à lui-même.  
the minister SE talks to him-self

Let us then talk a bit more about this double, first starting with its empirical description and then moving to the problems that it presents for the analysis that treats SE as introduced in an argument position. The empirical distribution of the double in Spanish (75), involving *mismo* 'same' in combination with a reflexive pronoun— makes it correlate, like any other pronominal doubling with clitic in Spanish (76), with a notion of focus, contrast and emphasis.

- (75) a. Juan se vio en el espejo.  
Juan SE saw in the mirror  
b. Juan se vio a sí mismo en el espejo.  
Juan SE saw DOM himself in the mirror
- (76) a. Juan la vio en el espejo.  
Juan her saw in the mirror.  
b. Juan la vio a ella en el espejo.  
Juan her saw DOM her in the mirror

However, the question is whether there is a second effect between (75a) and (75b) that is not found in the pair (76a)-(76b). Several authors have argued that, if reflexive SE structures reduce the valency of the predicate and make it act as an unaccusative (at least partially), the presence of the double involving *self-même-mismo* preserves the agentivity of the predicate. This is part and parcel of the analysis of reflexives by Reinhart & Reuland (1993), Reinhart (1996), Piñón (2001) or Härtl (2003).

A big part of the discussion of whether the double increases the agentivity of the predicate with respect to the non-doubled reflexive involves so-called grooming verbs, such as those illustrated in the following pairs.

- (77) a. Juan se lava.  
Juan SE washes  
'Juan washes'  
b. Juan se lava a sí mismo.  
Juan SE washes DOM himself.
- (78) a. Juan se peina.  
Juan SE combs  
b. Juan se peina a sí mismo.  
Juan SE combs DOM himself
- (79) a. Juan se maquilla.  
Juan SE puts.make-up  
b. Juan se maquilla a sí mismo.  
Juan SE puts.make-up
- (80) a. Juan se afeita.  
Juan SE shaves  
b. Juan se afeita a sí mismo.  
Juan SE shaves DOM himself

Grooming verbs are the best defined class of so-called 'naturally reflexive verbs', which are those predicates which lack the expectation that the two arguments –the one corresponding to agent and the one corresponding to patient– should be referentially disjoint (Schäfer 2012). Other classes of verbs that tend to be inherently reflexive are posture verbs –a class less



productive in Spanish but also found (*levantarse* 'to stand up', *sentarse* 'to sit down', *acostarse* 'to lie down', *tumbarse* 'to lie down'...), which in principle define also actions that one necessarily performs by moving body parts of one's own, that is, that is performed on oneself–, and some verbs of displacement, such as *mudarse* 'to move', *moverse* 'to displace', *desplazarse* 'to displace', or internal bodily motion, such as *agitarse* 'to shake', *removerse* 'to fidget', *revolverse* 'toss and turn'. The terms endo-reflexive (Haspelmath 1987) and autocausive (Creissels 2006) have been used to refer to this last class of predicates, which denote events that –with animate subjects– are triggered volitionally but whose action falls on the subject.

In languages that have a two-form reflexive system, like Dutch, where there is no pronominal doubling, these verbs reject the *self* form, unless contrasted.

- (81) a. Jan waste zich. (cf. 77a).  
       Jan washed SE  
       'Jan washed'  
       b. ??Jan waste zichzelf (cf. 77b).  
       Jan washed himself

In other words: the self-form, as diagnosed in these languages, is the one that is used with verbs where one does not expect a reflexive construal. If the verb, given its meaning, makes us expect reflexivisation, the self-form cannot be used –unless one uses it for contrast–. The conclusion would then be that SE is not a reflexivisator, because if it was (81a) would be as marked as (81b), and the real reflexivisator is SE, *zich* in Dutch.

The question is whether Spanish shows the same effect as Dutch not in terms of the contrast that can be inferred from the presence of the double, but in terms of the *mismo* form being used precisely in the cases where the predicate would otherwise be naturally interpreted as involving arguments with disjoint reference, and related to a higher degree of agentivity than the form without the double. What decision should be taken here is far from obvious; in fact, De Benito Moreno (2015), in her detailed study of SE marking across varieties in Spain, proposes that many of the reflexive predicates of this type should be considered as instances of deobjectivation where the direct object is in fact removed, rather than cases of real reflexivity.

Several empirical properties suggest that the *mismo* form is the one that reflexivises in Spanish, not SE. Beyond the obvious fact that *mismo* means 'same' and thus is a natural semantic candidate to express co-reference, we have a number of empirical facts that point towards this conclusion.

a) There are plenty of cases, reviewed above, where the predicate is semantically reflexive without SE provided a pronoun with *mismo* appear.

b) The addition of *mismo* allows a reflexive interpretation of non-anaphoric third person pronouns, as in the following examples. Note that the reflexive pronoun in Spanish is generally characterised as having two forms: the form SE, which appears in object contexts –in theory, never as a nominative pronoun, but see §7.5 below– and the oblique form *sí*. The interesting property is that *mismo* is compatible both with the reflexive form and the non-reflexive oblique form (82a), (82b). In contrast, absence of *mismo* would make the pronominal be interpreted with disjoint reference (82c), but addition of this adjective forces the reflexive reading.

- (82) a. Pedro siempre piensa en él mismo.  
       Pedro always thinks on him self  
       b. Pedro siempre piensa en sí mismo.  
       Pedro always think on him self  
       c. \*Pedro<sub>i</sub> siempre piensa en él<sub>i</sub>.

Pedro always thinks of him

c) The most relevant two classes of monotransitive predicates that allow naturally a reflexive interpretation without the double are grooming verbs and some verbs of perception that presumably do not introduce the expectation that the person perceiving something is necessarily perceiving something other than himself.

- (83) a. Juan se mira.  
       Juan SE looks  
       b. Juan se ve en la foto.  
       Juan SE sees in the photo  
       c. Juan se escucha cuando habla.  
       Juan se listens when he.talks

In the realm of ditransitive predicates, the reflexive interpretation without the double is natural in verbs that can be naturally interpreted as benefactive, also involving in some form the personal care of the agent to satisfy its own needs.

- (84) a. Luis se puso un vaso de agua.  
       Luis SE poured a glass of water  
       b. Juan se sirvió una copa.  
       Juan SE served a drink  
       c. Pedro se hizo la cena.  
       Pedro SE made the dinner  
       d. Juan se quitó la ropa.  
       Juan SE removed the clothes

Beyond these classes of predicates, SE constructions without the double are not naturally interpreted as reflexives, with some lexical variation difficult to pin down (eg., 85a is marginally better than the rest for some speakers).

- (85) a. ?Juan se critica.  
       Juan SE criticises  
       b. ??Juan se contrata.  
       Juan SE hires  
       c. ??Juan se entregó una carta.  
       Juan SE gave a letter.  
       d. ??Juan se escribió una carta.  
       Juan SE wrote a letter  
       e. ??Juan se besó.  
       Juan SE kissed

d) In the presence of *auto-*, the double sounds redundant even in cases of strong contrast.

- (86) Juan se autocritica (??a sí mismo).  
       Juan SE self-criticises DOM himself  
       (87) La carta se autodestruyó (\*a sí misma).  
       the letter SE self-destroyed DOM itself

With a lot of variation, the double can also feel marked in some of the naturally reflexive predicates we have mentioned above, but not in all of them.

- (88) a. ??Luis se quitó la ropa a sí mismo.  
         Luis SE removed the clothes to himself  
       b. ??Luis se hizo la cena a sí mismo.  
         Luis SE made the dinner to himself

This takes us to the problem of whether the double is doing something different from what one would associate to reflexive SE constructions without the double, beyond the contrast that is visible in the cases where the double can be present. There are three conceivable possibilities to address the contrast between (89a) and (89b), and as a result explain (82-88).

- (89) a. Luis se afeitó.  
         Luis SE shaved  
       b. Luis se afeitó a sí mismo.  
         Luis SE shaved DOM himself

a) The double only acts as a reflexiviser. This is the conclusion that one has to draw from (82-88). The pair in (89), for the case of Spanish, is a fake minimal pair where only the second (89b) is really reflexive. This means that the sentence in (89a) is in fact close or completely assimilated to the anticausative verbs (90), where the external argument is not really interpreted as an external agent and the crucial interpretation is that the subject initiates the event by its own capacities and properties without the intervention of an external causer, which as we will see is the meaning that anticausatives generally associate to their sentences. The sentence in (89b), in contrast, treats the external argument as an external agent that sets in motion an event that coincidentally has himself as a patient.

- (90) La televisión se rompió.  
       The TV SE broke  
       'The TV broke'

This involves treating grooming verbs without the double on a par with another class of naturally reflexive verbs, verbs of translational motion (Schäfer 2012), where the version without the double would probably be anticausative despite the interpretation where the subject initiates the movement volitionally.

- (91) a. Juan se desplazó.  
         Juan SE displaced  
       b. ?Juan se desplazó a sí mismo.  
         Juan SE displaced DOM himself  
       (92) a. Juan se cambió de sitio.  
               Juan SE changed of place  
           b. ?Juan se cambió de sitio a sí mismo.  
               Juan SE changed of place DOM himself

Extending things even more, this would allow treating inherently reflexive verbs as verbs which impose the entailment that the theta roles are assigned to the same entity. They always reject the double because in their theta-grid there are no two distinct positions to assign both theta-roles. We will get back to these verbs in §6.3.

- (93) Juan se arrepintió.  
 Juan SE repented

This means, then, that the two examples, with and without the double would potentially have different structures.

b) The second interpretation is that both structures, with and without the double, are reflexive, sharing the same structure, but the double imposes additional requisites which delimit the conditions under which it can appear.

In many approaches, such as Chierchia (2004), what happens with the double in examples like (82-88) is that the double imposes an agentivity condition that is not compatible with all verbs. Structures without the double are compatible with interpretations where the subject initiates a process that affects himself, and where therefore the subject is not strictly an agent, but rather an effector which is not external to the event (see §4.3 below for more details about this). In the case of structures with the double, the external argument has to be interpreted as keeping the interpretation that it is an external agent of the eventuality, and the eventuality is not initiated by its internal properties. In other words: the double forces an agentive reading, where being an agent means that one is in principle external to the change that is triggered into the patient. From here it follows that predicates that generally happen on the same entity that initiates them will differ from predicates that one normally assumes to happen on an entity distinct from the agent.

This makes predicates that are naturally reflexive less compatible with the double because in them the internal argument ends up being the subject. The double is acceptable then only when, despite this syntactic movement or the semantic interpretation of reflexivity still allows that subject to be interpretable as an agent. Koontz-Garboden (2009), for instance, adopts this view, and argues that the incompatibilities have more to do with the nature of the pronoun that the self-form combines with, that tends to impose an animacy requirement, than with the nature of reflexivisation itself.

In this sense, the double is preferred with predicates which provide the expectation that the external argument is, from the perspective of the entity acting as a patient, external to the event, and volitionally initiates a process that normally would fall on a different entity. The cases where the double is not possible or very marked are those where the predicate supposes that the agent is not external to the effect that the event has on the patient, because generally the event is initiated by and acted on the same entity. The contrast in (89) is simply one where the presence of the double cancels the expectation that the eventuality should have fallen on the same entity that initiates it, but both are reflexive.

c) The double introduces a notion of contrast, not of agentivity. Like (b), reflexivity is not related to the double, and the asymmetries depend on an additional piece of information introduced by the double, but that piece is not the expectation that there is an external agent. The problem is that these doubling forms are bigger than clitics, phonologically tonic, and as such play a role on information structure in the form of introducing contrast. Verbs that expect that the two arguments are distinct prefer having the double because in that case the double contrasts the reflexive argument with other disjoint arguments that could have appeared. Verbs that do not like the double are those where contrast would be redundant in the case of a reflexive, because the verbs expects reflexivity already. (89) is simply two informationally-different structures of a reflexive, the first used in an unmarked context where one expects joint reference and the second used in a context where one could have expected a disjoint construal.

d) A final, perhaps less popular theory, treats the double as completely dissociated from agentivity and even contrast. In this proposal (cf. for instance Charnavel 2019, Alcaraz 2021), *mismo* marks logophoric pronouns that must be coreferential with the entity to which the claim made in the clause is attributed –Sells' (1987) logophoric center or pivot–, which can be distinct from the agent and the speaker of the clause. Like that, Alcaraz (2021) explains cases such as (94), where the *mismo* element is coreferential with an element introduced in a previous clause.

(94) Zarco; ha reconocido que ese rendimiento le ha sorprendido a sí mismo<sub>i</sub>.

Zarco has admitted that that performance him has surprised to himself

'Zarco admits that his performance has surprised himself'

In this theory, the double would be a general reflexive marker whose condition of appearance would not be conditioned by the thematic properties of the predicate or the likelihood that the predicate would involve distincts subject and object or not, but rather by the possibility of establishing the relevant logophoric relation between the center of the clause and the relevant argument; the dissociation from SE would be maximal, as seen in (94), where SE is not even present despite the anaphor involving in principle a dative.

Beyond these options, the presence of the double proposes in fact a reassessment of the analysis that was presented in (59) above. From the perspective of Labelle (2008), that analysis has two problems: (i) the double is the one that seems to correlate better with the semantic reading of reflexivisation; (ii) if the SE element is introduced in an argument position and the internal argument moves, where is the double introduced? Note that the double would carry the same case or prepositional marking as the argument that hypothetically has moved, if there had been no movement. This is problematic for two reasons. First of all, the verb should be at least partly unaccusative according to the analysis in (59). Second, the position where the double *mismo* gets case in (95b) should be the same where the object is in (95a), before it moves up to become the subject in (95b).

(95) a. Juan atacó a su hermana.

Juan attacked DOM his sister

b. Juan se atacó a sí mismo.

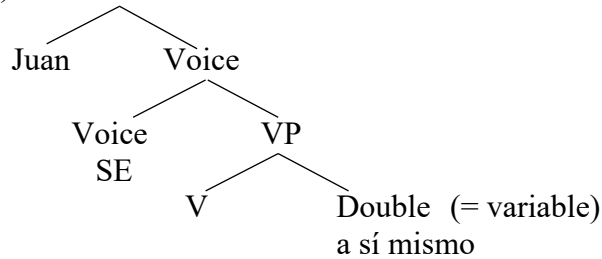
Juan SE attacked DOM himself

Labelle's (2008) proposal is that SE –in reflexives, like in anticausatives and passives– is the spell out of a voice head, where Voice is close to what Embick (2004) means by vP, because Voice is the head where the external argument is introduced –following Kratzer (1996)–. The crucial property of the Voice head spelled out as SE is that the verbal predicate it combines with must be open, that is, it should have an unsaturated argument position, so that the SE-voice can allow the interpretation that the unsaturated argument position, a variable, covaries with the argument introduced as the external argument.

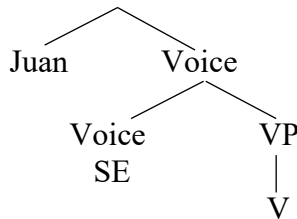
The presence of the double, in fact, does not semantically saturate the predicate, according to Labelle (2008): she is neutral to why this is the case, but suggests that the structure of the self-form might lack D projections that make it saturate the variable, or that the semantic reading composes the double with the predicate through restriction (Chung & Ladusaw 2003). Be it as it may, the double is located in the syntactic position of the argument but does not have semantic effects on the theta-grid of the predicate or, alternatively, that position is open. (96a) presents the analysis with the double, and (96b) without it. The crucial difference with (59) is that SE is not in an argument position and there is no movement of a second argument, which means that this analysis does not treat reflexives as unaccusatives and has to explain the choice

of auxiliaries that motivated unaccusative analyses as deriving from some other property, for instance a reduced valency in the predicate.

(95) a. VoiceP



b. VoiceP



Labelle (2008), then, would have to adopt positions (b) or (c) for the pairs with and without the double, including crucially the idea that SE is not a reflexiviser. When it comes to why the SE form only appears when the open argument position is one that would have resulted in an accusative or a dative case marked argument, Labelle suggests that SE can check those cases –and only those cases– from the verb. This aspect of her analysis is not very clear, given that the double would still syntactically receive that case, and therefore it is unclear why SE would need to absorb that case if the double is present; remember also from §2 above that SE does not make case distinctions, a property that does not grant the conclusion that SE receives case. This case absorbing property, in fact, would not fare well with Spanish, because SE is used in Spanish in impersonal sentences where accusative or dative case can be still assigned normally to other arguments. In Spanish, SE should not be an element that checks the case of the verb, although this is not a problem for Labelle (2008), as she is only talking about SE in French, a language where SE does not have an impersonal use.

The conclusion of this section is the following: despite the historical origin of SE in Spanish, there is no evidence that SE should be considered mainly a reflexivising function. SE does not appear in many reflexive predicates, including any case where the co-referential argument is not a direct object or an indirect object and any type of non-verbal structure. SE is not sufficient to reflexivise a predicate in all cases. It is unclear under which conditions the double can be added, but what is clear is that in some cases the reflexive reading is impossible without it.

SE appears (compulsorily) in reflexives only when three syntactic conditions are met:

- a) The predicate has at least two arguments
- b) One of the arguments is the subject, agentive or not
- c) The other argument would be the direct object or the indirect object

Let us move now to reciprocal SE structures, which we will treat –like the vast majority of the literature– as built over reflexive SE structures.

### 3.2. Reciprocal SE

The general take on reciprocal constructions is that they should be viewed as a particular subcase of the reflexive structure, where there are additional semantic requisites that are met (Heim, Lasnik & May 1991, Pollard & Sag 1992, Everaert 1999, Dimitriadis 2004, 2007, Maslova 2007). Even if some languages differentiate reciprocals and reflexives morphologically (Nedjalkov 2007), Spanish uses the same set of clitics for reflexive and reciprocal structures, which makes it plausible that reciprocity is an interpretation of reciprocal structures that can be favoured or forced by specific constituents. Let us start with the evidence that reciprocal SE is a subtype of the general reflexive SE and then let us move to the specific semantic conditions that differentiate it.

#### 3.2.1. Similarities with reflexive structures

In §3.1 we have seen that reflexive SE does not appear in any kind of reflexive predicate, but only in those where reflexivity involves a verb and specifically the subject and the direct or indirect object. This property also applies to reciprocal SE structures. (97a, b) shows that reciprocal non-verbal predicates lack SE, and (97c-g) shows that SE appears in these cases also when the subject and the direct / indirect object are involved; note in (97g) that if the two individuals are contained in the object position and are disjoint with the subject, SE is impossible.

- (97) a. el parecido del uno con el otro  
the resemblance of the one with the other  
b. diferentes el uno del otro  
different the one from the other  
c. Juan y María se miraron.  
Juan and María SE looked  
'Juan and María looked at each other'  
d. Juan y María se dijeron cosas muy graves.  
Juan and María SE said things very serious  
'Juan and María said very serious things to each other'  
e. Juan y María (\*se) dependen uno del otro.  
Juan and María SE depend one on-the other  
Intended: 'Juan and María depend on each other'  
f. Juan y María (\*se) fueron a la fiesta uno con el otro.  
Juan and María SE went to the party one with the other  
Intended: 'Juan and María went to the party with each other'  
g. Pedro (\*se) juntó a Juan y María.  
Pedro SE joined DOM Juan and María

As in the case of reflexive SE structures, the reciprocity –which we will provisionally define as a mutual relation between two entities, performing the same event on each other– is not directly associated to SE, but rather to a number of syntactic expressions that force the reciprocal reading, and which can appear without SE in the cases where the syntactic relation between the two involved arguments is not satisfied. Two of such expressions are invariable: the adverb *mutamente* 'mutually' and pronouns introduced with *entre* 'between', the last of which does not have a reciprocal reading when introducing other types of nominal constituents. These elements generally combine naturally only in cases where the coreferentiality involves the subject and the direct object, although the preposition *entre* has a broader range of context where it can be used.

- (98) a. Juan y María se odian mutuamente.  
 Juan and María SE hate mutually  
 'Juan and María hate each other'  
 b. ?Juan y María se dicen cosas horribles mutuamente.  
 Juan and María SE say things horrible mutually  
 c. \*Juan y María hablan mutuamente.  
 Juan and María talk mutually
- (99) a. Juan y María se pelearon entre {sí / ellos}.  
 Juan and María SE fought between themselves  
 'Juan and María fought against each other'  
 b. ??Juan y María se dijeron cosas horribles entre ellos.  
 Juan and María SE said things horrible between them  
 c. Juan y María hablan entre ellos.  
 Juan and María talk between them  
 'Juan y María talk to each other'

The constituent which, however, has a broader range of syntactic contexts where it can be applied is the sequence *el uno P el otro*, where the preposition is compulsory and is generally selected by the verbal predicate.

- (100) a. Juan y María se miraron el uno al otro.  
 Juan and María SE looked the one DOM-the other  
 b. Juan y María se dicen cosas horribles el uno al otro.  
 Juan and María SE say things horrible the one to-the other  
 c. Juan y María dependen el uno del otro.  
 Juan and María depend the one from-the other  
 d. Juan y María van a la fiesta el uno con el otro.  
 Juan and María go to the party the one with the other

As in the case of reflexives, absence of these elements might involve losing the reciprocal reading; in (101) the sentence can be interpreted, at least, as reflexive or reciprocal, and it is the doubles that would disambiguate.

- (101) a. Juan y María se dicen cosas horribles.  
 Juan and María SE say horrible things  
 b. Juan y María se dicen cosas horribles a sí mismos.                      Reflexive  
 Juan and María SE say horrible things to themselves  
 c. Juan y María se dicen cosas horribles el uno al otro.                      Reciprocal  
 Juan and María SE say horrible things the one to-the other

We will not discuss here the nuances in the grammar of these constructions in terms of variation in gender, number and definiteness (cf. RSAE & ASALE 2009: §16.5g-k), beyond the fact that the presence of the preposition is compulsory in these structures, even in cases where the predicate would not compulsorily combine with a preposition. RAE & ASALE (2009: §16.5n) offers the following minimal pair.

- (102) a. Una rama del árbol tocaba (a) al otra.  
 a branch of.the tree touched DOM the other



- b. Las ramas del árbol se tocaban unas \*(a) las otras.  
 the branches of.the tree SE touched one DOM the others  
 'The tree branches touched each other'

However, there are no cases in which the preposition is present in a context where the verb would not allow presence of that preposition. This, like in the case of reciprocal structures, suggests that the syntactic position where *el uno P el otro* is introduced should be related to the base syntactic position where the argument would have been introduced in a disjoint reference construction. Parallelisms like (103), and the absence of cases where a preposition not allowed by the predicate is introduced, suggest that the reciprocal double should be related to the same position in (103b) as the disjoint object in (103a).

- (103) a. Pedro habló con María.  
 Pedro talked to María  
 b. Pedro y María hablaron uno con el otro.  
 Pedro and María talked one with the other  
 'Pedro and María talked to each other'

In the case of reflexives we noted that one prefix, *auto-*, forces that reading into the predicate. The same goes for reciprocals, in this case with the prefix *inter-* (Feliu 2003); as in the case of reflexives, the presence of this prefix does not preclude presence of SE, which is blocked under the same syntactic conditions.

- (104) a. Juan y María se comunican.  
 Juan and María SE communicate  
 b. Juan y María se inter-comunican.  
 Juan and María SE inter-communicate

Just like there are naturally reflexive verbs, the notion of 'naturally reciprocal' verb has also been proposed, sometimes under the label 'symmetric verbs', which are verbs whose semantics implies that the event described should involve mutual participation of two or more entities (Lakoff & Peters 1969, Levin 1993), forcing a non-distributive reading where the entities involved in the event do not establish a relation with external entities. These verbs include verbs of mutual contact (105), union or separation (106, 107), interpersonal relations (108) and relations of similarity and difference (109). In all these cases, the reciprocal reading is interpreted by default, without the need of adding any double. Note that SE is not always present in these cases, and in (107), for instance, it should rather be viewed as an anticausative marker (see §4 below).

- (105) Juan y Pedro chocaron (uno contra el otro).  
 Juan and Pedro hit one against the other  
 (106) El agua y el aceite ligan uno con el otro.  
 the water and the oil combine one with the other  
 (107) Pedro y María se divorcian.  
 Pedro and María SE divorced  
 (108) Pedro y María conversan.  
 Pedro and María talk  
 (109) Pedro y María se parecen.  
 Pedro and María SE look-alike

Like in the case of reflexives, the reciprocal construction requires that one of the theta roles is reduced, descriptively speaking, which in this case results in the property that the referential expressions must be conjoined under the same syntactic constituent (110), not each one located in a different constituent (111).

- (110) a. Juan y María se miraron uno al otro.  
           Juan and María SE looked one to.the other  
       b. Juan miró a María.  
           Juan looked to María

Let us now talk about the semantic conditions that differentiate reciprocals from reflexives (Fiengo & Lasnik 1973, Dougherty 1974, Langendoen 1978, Higginbotham 1980, Roberts 1987, Darlymple, Kanazawa, Mchombo & Peters 1994). The informal definition of reciprocals is that they express a mutual relation between at least two different entities, such as each one of those entities relate to all the other entites by the same relation, expressed by the predicate. This is known as 'strong reciprocity', and (111) illustrates it: there must be at least 2 distinct individuals, and each one of those individuals relate to all other individuals in the set by the same relation, in this case 'know him or her'.

- (111) Todos los estudiantes de la clase se conocen unos a otros.  
       all the students of the class SE known each other

- (112)  $|A| \geq 2$  and  $\forall x,y \in A(x \neq y \rightarrow Rxy)$   
       ('given a set whose cardinality value is equal or higher than two, for every pair of x and y members belonging to the set, if they refer to distinct entities, then the relation R must hold between x and y')

[Langendoen 1978]

Trivially, this imposes, in contrast to reflexivity, the condition that the argument position is occupied in reciprocal sentences by constituents that, syntactically or semantically, denote groups of two or more people. This includes, of course, coordinated constituents as most of the examples provided above, plural nominal constituents (111) or collective nouns in the singular (113).

- (113) La pareja se peleó.  
       the couple SE fought

However, strong reciprocity is not obtained in many other cases which could be classified as syntactically reciprocal given the presence of the relevant constituents.

- (114) a. Los postes están separados diez metros los unos de los otros.  
           the posts are separated ten meters the ones from the others  
           'The posts are separated ten meters from each other'  
       b. Los diputados se pegan unos a otros.  
           the congressmen SE hit one DOM other  
           'The congressmen hit each other'

In (114a) we can have –and this is the most natural reading– a linear relation between posts, such as that each two adjacent posts are separated from the other by ten meters; obviously the

third pole and the first pole would then be separated by 20 meters: the relation only applies to two adjacent members in a sequence that is either linear or can be conceptualised, geometrically, as a set of interconnecting lines, as in the example (115) (RAE & ASALE 2009: §16.5r):

- (115) Las hojas de los árboles se tocaba unas a las otras.  
 the leaves of the trees SE touched one DOM the other  
 'The tree leaves touched each other'

The intuition is that in this type of linear(isable) disposition, two entities relate to each other in the same way directly, or relate to each other indirectly by the intermediation of third entities that separate them in the linear organisation. Langendoen (1978) calls this 'intermediate reciprocity', but in the literature it is more common to call this simply 'weak reciprocity'.

The example in (114b) is also not strong reciprocity, because its truth conditions are satisfied if, given 6 congressmen, it is not the case that each one of the six hit the other five, but rather that at least during the fight the same congressman is both hit and hits another congressman. This type of reading is called 'partitioned strong reciprocity' in Langendoen (1978), because it involves partitioning the set of a cardinality value higher than 2 into subsets involving possibly different pairs.

There are other definitions of subtypes of reciprocity that do not directly conform to the strong definition of reciprocity (see in particular the overview in Darlymple, Kanazawa, Mchombo & Peters 1994), but we will not discuss them. What is relevant for us in this state of the art is, however, that the vagueness that they presuppose in the interpretation assigned to a reciprocal structure strongly suggests that reciprocity should not be viewed as a different type of SE structure –at least for the languages, like Spanish, that do not differentiate reciprocals and reflexives with different sets of clitics or morphemes–.

The so-called reciprocal SE is rather a particular type of coreferential interpretation made available by some predicates when they use a reflexive syntactic structure that involves a plural entity. This is suggested by the vagueness of structures like *Se hacen mucho daño* 'they SE give a lot of pain', which in the absence of doubles like *a sí mismos* 'to themselves' or *el uno al otro* 'each other' could be interpreted as either structure. As far as SE is concerned, SE does not relate to reciprocal readings just like it does not relate to reflexive readings, and it is the doubles that can be responsible for imposing that interpretation.

It seems, then, that the same general operation –leaving one theta role position open, or insufficiently saturated– that produces a reflexive structure can also be used for a reciprocal structure: the double can appear in that position, and the two (or more) entities involved in the interpretation must appear in the same syntactic position, the subject in the case of SE-marked structures. The same options that we pointed out for the analysis in the case of reflexives, then, can be extended to the case of reciprocals, and the same problems noted there would apply to these cases.

To summarise this §3 section, in the case of reflexive and reciprocal SE we could establish the following generalisation:

- (116) SE in reflexives and reciprocals is related to the supression or insufficient saturation of a direct or indirect object

It is possible to connect (116) with SE as a clitic as shown in §2.2-§2.4. Why would the indirect or direct object position play a role in whether SE is present or not? From the perspective of the clitic sequences in §2.4, one plausible answer to this is that direct and indirect objects are the only two syntactic functions that in Spanish are marked with clitics, which in

turn only appear in verbal structures. The realisation of SE as an element that marks the potential suppression of a theta position –or its insufficient saturation– is restricted to those two syntactic functions precisely because they are the only two that have access to the clitic area and therefore the ones that would form some kind of syntactic sequence of projections with SE.

Let us leave the discussion of the first type of SE here and move now to the second predicate-affecting SE: anticausative.

#### 4. Predicate-affecting SE uses (2): anticausative

The so-called anticausative SE –which in other terminologies gets the perhaps confusing name of 'middle SE'– is the use of SE where the presence of the clitic marks the so-called inchoative, non-causative or non-externally caused version of an otherwise causative predicate. For this reason, to talk of anticausative SE one presupposes that the same verb without SE can be used, in a causative construal where there is an externally caused event that affects what would be the direct object in the SE marked version.

- |       |  |            |
|-------|--|------------|
| (117) | a. Juan movió la mesa.<br>Juan moved the table | Causative  |
|       | b. La mesa se movió.<br>the table SE moved     | Inchoative |

Roughly, the interpretation of (117a) is 'John made the table undergo some movement' and (117b) is 'the table underwent some movement on its own'.

The verbs of the shape in (117a) are transitive, and the verbs of the class of (117b) are generally analysed as unaccusative for the case of Spanish, and also in other languages. However, as it was the case with reflexive predicates (§3.1), the unaccusative nature of anticausatives is far from clear and quite problematic. Anticausative predicates have a strong tendency to be interpreted in their causative version in absolute participle structure: *Rota la televisión, Juan fue a la tienda a comprar otra* 'Broken the TV, Juan went to the shop to buy another one' is rather interpreted as 'Once someone broke the TV...' than as 'Once the TV broke'. Importantly, moreover, anticausatives do not allow bare nominals: *Se rompieron ventanas* 'SE broke windows' can be interpreted as a passive SE, but not as an anticausative SE. Perhaps, as in the case of the reflexive SE, this can be analysed as involving movement of the internal argument to a specifier position, which would not be the case for the passive SE structure. We will get back to this problem, and discuss it more in detail, when we compare the anticausative and the passive structures in §8.1, and leave it for the moment.

There is a huge body of work about anticausative structures, the relations between the causative and the anticausative version and the correct notion of 'causation' that should be involved in such cases (see, just to name a few, Jespersen 1927, Nedjalkov 1969, Fillmore 1970, Smith 1970, Chierchia 1989, Labelle 1992, Haspelmath 1993, Levin 1993, Levin & Rappaport-Hovav 1995, Reinhart 2000, Doron 2003, Alexiadou & Anagnostopoulou 2004, Dobrovie-Sorin 2006, Schäfer 2008, 2009, Koontz-Garboden 2009, Labelle & Doron 2010, Rappaport-Hovav & Levin 2010, Lundquist 2011, Mendikoetxea 2012, Vivanco 2015, to name just a few examples).

From the perspective of Spanish, there are some specific problems that deserve to be highlighted, beyond the complexity of the uses of SE.

a) First of all, not all verbs that allow the semantic and syntactic alternation between causative and anticausative take SE in Spanish. From this perspective, anticausative SE is lexically conditioned. Remember that reflexive SE is largely not lexically conditioned, but

rather one could establish a reasonably solid set of syntactic constraints that condition its presence –eg., the subject must be coreferential with the direct or indirect object–; this set of factors is not enough for the case of anticausative SE.

b) Secondly, the directionality between the causative and the anticausative pair is not easy to diagnose because the syntactic / semantic evidence does not match well with the surface marking introduced by SE. Spanish SE marks the anticausative version, not the causative version. Most initial analyses of verbs conforming to the semantic alternation between a causative and a non-causative construal proposed a directionality like in (118), where the unaccusative version is more basic (syntactically and semantically) and the causative is built on top of it –for instance, as in Dowty (1979), by introducing a cause subevent–.

(118) non causative ---> causative

However, the directionality in (118) is at odds with the morphological marking in Spanish: the extra element, SE, appears in the more basic structure according to that proposal, and disappears when the more complex structure is built. This is in fact the reason why this type of SE is called anticausative, suggesting that it has been added to the causative version in order to derive from it its 'opposite', a version where there is no possible place for an external argument. And yet, anticausative SE is very frequent in Spanish, with literally hundreds of cases –see Vivanco (2015)–, in contrast to other lexical uses, like antipassive or the so-called aspectual with intransitive predicates, which is much more restricted.

This apparent mismatch between the semantic relation and the formal marking has made several authors –most significantly, Koontz-Garboden (2009)– propose that in languages using SE to mark anticausatives one has to derive the anticausative construal from a reflexive structure; we will get back to this proposal later.

#### 4.1. Anticausative SE and the lexical properties of the predicate

Vivanco (2015), without any doubt, has the most detailed and updated overview of this problem for the case of Spanish. In this section and in the rest of this section we will be following closely the observations made in her work.

As this author explains, all anticausative SE verbs in Spanish belong to the broad class of change of state verbs, with three subtypes:

##### i) Change of a physical property

- (119) a. El sol secó la ropa.  
the sun dried the clothes  
b. La ropa se secó.  
the clothes SE dried

##### ii) Change of mental property

- (120) a. La noticia entristeció a Eulogio.  
the news saddened DOM Eulogio.  
b. Eulogio se entristeció.  
Eulogio SE saddened

## iii) Change of location

- (121) a. Un iceberg hundió el Titanic.  
 an iceberg sank the Titanic  
 b. El Titanic se hundió.  
 the Titanic SE sank

In all three cases, the SE version is interpreted as marking an event that happens due to the internal properties, conditions or capacities of the internal argument, without being triggered or initiated by an external participant.

In fact, as an additional argument that anticausative SE is closely related to change of state structures, we have the fact that the Spanish equivalents of BECOME as a verbal function generally are marked with SE, even when the causative equivalent is expressed with a different root, as in (125):

- (122) a. Juan se puso enfermo.  
 Juan SE put sick  
 'Juan got / became sick'  
 b. El mal tiempo puso a Juan enfermo.  
 the bad weather put DOM Juan sick  
 'The bad weather made Juan sick'
- (123) a. Juan se hizo cristiano.  
 Juan SE made Christian  
 'Juan became Christian'  
 b. El accidente hizo a Juan cristiano.  
 the accident made DOM Juan Christian  
 'The accident made Juan Christian'
- (124) a. Juan se volvió loco.  
 Juan SE turned crazy  
 'Juan got crazy'  
 b. El trabajo volvió loco a Juan.  
 the work turned crazy DOM Juan  
 'The work made Juan crazy'
- (125) Juan se quedó ciego.  
 Juan SE became blind  
 'Juan became blind'

As Vivanco (2015) notes, the fact that anticausative SE is restricted to (some) change of state verbs should be related to the presence of a property with a gradable set of values or path of movement, two notions that have the common notion of scale underlying to it. In her proposal, in fact, the presence of SE presupposes that the dimension that the verb uses to quantify the change of state is scalar, a property to which we will come back in §5.5, as it connects anticausative SE with aspectual SE.

The scalarity is not the only semantic notion that has been proposed as underlying SE verbs with anticausative semantics: Haspelmath (1993) proposes that anticausative versions are only possible with verbs that denote changes of state that human cognition can conceptualise as happening spontaneously, without the need for an external agent or causer that initiates that change independently of the affected entity –hence the name 'middle' that was traditionally assigned to them–. According to this dichotomy, the causative version of these verbs denotes an externally caused change of state, while the anticausative version expresses an internally-

caused change of state, where the event happens 'spontaneously' just by virtue of the internal properties of the subject, without the intervention of an external participant. Unaccusative verbs (Levin & Rappaport 1995) would be verbs that denote internally-caused events, and the same goes for purely intransitive verbs like 'play' or 'talk'. Transitive verbs would be, in essence, those that require an external cause to make conceptual sense, given the linguistic conceptualisation.

Vivanco (2015: 100) shows that in this sense one could propose a scale of spontaneity (Haspelmath 1987, Creissels 2006, Heidinger 2014) that orders the conceptual value of individual change of state predicates according to how spontaneously the change expressed by them can happen (cf. 126, adapted from Vivanco's 2015: 100, example 11).

(126)	asesinar	'murder'	- spontaneous
	calentar	'warm'	
	romper	'break'	
	envejecer	'to become older'	
	nacer	'to be born'	+ spontaneous

The idea is that verbs that are closer to the extremes do not alternate between a causative and the non causative version: a verb that is conceptualised as a purely spontaneous change like 'to be born' would not have a causative version, and a verb with a purely non spontaneous change of state like 'murder' would not have an unaccusative version. Verbs in the middle differ with respect to how broadly and how naturally they allow one or the other construal. Many speakers reject (127a) in the anticausative reading, while others accept it, and the same goes for the causative (127b).

- (127) a. #La leche se calentó.  
           the milk SE warmed  
           Intended: 'the milk got warm'  
 b. ??El trabajo envejeció a Juan.  
           the work made.older DOM Juan  
           Intended: 'the work made Juan older'

Interestingly, when the verb does not alternate, anticausative SE might appear, depending on what one assumes about the relation between so-called inherent SE and anticausative SE (§6.3 below). The problem, as in many approaches that propose more or less flexible scales with different degrees of a given property, is to determine the criteria that should be adopted to assign a specific degree in the scale to each predicate.

One option that has been argued to be relevant to operationalise the distinction is 'manner'. If the notion of scale or scalar change underlies the concept of unaccusative change of state / anticausative SE structure, according to Levin & Rappaport (1995), the notion that underlies verbs that do not allow the anticausative version is 'manner': the concepts expressed by the verbs are defined as different manners of performing an event, or as introducing a significant component of manner, which requires being controlled by an external agent. This would explain, for instance, that matar 'kill' can alternate, because it lacks a manner component, while guillotinar 'to guillotine' does not alternate, because it specifies the manner in which the killing happened.

- (128) a. Juan mató a Pedro.  
           Juan killed DOM Pedro  
 b. Pedro se mató en el accidente.

- Pedro SE killed in the accident  
'Pedro died in the accident'
- (129) a. Juan guillotiné a Pedro.  
Juan guillotined DOM Pedro  
b. #Pedro se guillotiné en el accidente.  
Pedro SE guillotined in the accident  
Intended: 'Pedro died by guillotine in the accident'

However, even when the verb can alternate, the presence of SE is not granted. Vivanco (2015) identifies three situations where an alternating verb lacks anticausative SE marking. We will discuss the first and the second here, and leave the third –presence of SE only with some internal arguments– to section §5.5, as it is plausibly related to the notion of scale.

The first one involves alternating verbs that even when used as anticausatives reject SE – Vivanco (2015: 174) notes that there can be dialectal variation with respect to this, so her data reflect the Madrid variety, and we should in fact expect some degree of variation across geographical and diachronic varieties–.

- (130) adelgazar 'to get thin', aumentar 'to increase', blanquear 'to become white' (and many other verbs in *-ear*), disminuir 'to decrease', empeorar 'to worsen', engordar 'to get fat', enfermar 'to get sick', enloquecer 'to get crazy', enmudecer 'to become mute', envejecer 'to become old', hervir 'to boil', rejuvenecer 'to become young', resucitar 'to resurrect', cambiar 'change'

The second one involves verbs that in the anticausative version can have SE or not, for the same speakers. Again, there is dialectal and even idiolectal variation, but for the Madrid variety the examples volunteered by Vivanco (2015: 57) include the following.

- (131) cuajar 'to become solid', enmohecer 'to get moldy', ennegrecer 'to become black', mejorar 'to become better', reventar 'to explode'

The idea, in any case, is that there seems to be the need to introduce a lexical component in the analysis of verbs that take anticausative SE. In the subsections related to aspectual SE structures we will see a few proposals that have attempted to find a specific rule for the presence of SE in only some anticausative verbs, but not all of them, but we will see that they require access to lexical information that is not always clearly reflected in the syntactic structure. As we will see, these other ways of predicting the presence or absence of SE involve deconstructing the notion of aspectual SE, proposing –among other things– that the presence of SE with anticausatives has something to do with the aspectual information.

Beyond this, we would like to highlight that just like in the case of reflexive SE, which was not compulsory to express reflexive meanings, this lexically-conditioned distribution of anticausative SE means that anticausative SE is not compulsory to express the anticausative version of an otherwise causative predicate.

#### 4.2. Syntactic conditions: theories that eliminate the agent from the anticausative version

Let us now examine the syntactic conditions and the proposals about the syntactic structure of the anticausative SE structures. The first property that we will highlight is pretty trivial, but connects it directly with reflexive SE: the anticausative SE verbs invariably involve bases that corresponded to transitive verbs, where superficially the argument that one expects to find as the direct object of the causative version becomes the subject of the anticausative version.



- (132) a. El sol derritió el hielo.  
           the sun melted the ice  
       b. El hielo se derritió.  
           the ice SE melted

Interestingly, though, the anticausative SE is never used when one could argue that the indirect object of the causative version becomes the subject of the 'anticausative version'. Consider the two sentences in (133).

- (133) a. Juan le dio el libro a Pedro.  
           Juan him gave the book to Pedro  
       b. Pedro recibió el libro.  
           Pedro received the book

In (133a) we have a verb that could have been glossed as 'to make someone receive something', so (133b) could be seen as its anticausative version. However, Spanish does not use in this case (or other similar conceivable cases) SE: a different root is used –the so-called 'suppletive alternation' to express the contrast between causative and unaccusative, cf. Spanish *entrar* 'to enter' and *meter* 'to make something enter'–. Why cannot (134) be used to replace (133b)? The fact is that anticausative SE is restricted to alternations where the direct object, and no other argument, is promoted to the subject position, in fact just like we saw in the case of reflexives and we will again see in the case of passive.

- (134) \*Pedro se dio el libro.  
           Pedro SE gave the book  
           Intended: 'Pedro got the book'

Beyond this, many of the internal properties of anticausative SE differentiate it from passive SE (cf. §8.1). While passive construals allow an external agent introduced as a prepositional complement (135a), anticausative structures do not allow it. The reason for several authors (Reinhart & Sioni 2005, but see Labelle & Doron 2010) is that the anticausative structure does not project syntactically a position for the external agent that can be recovered by the prepositional complement, and for others that the external agent is semantically incompatible with the internal causation associated to anticausatives (Schäfer 2008).

- (135) a. El barco fue hundido por los alemanes.  
           the boat was sunk by the Germans  
       b. \*El barco se hundió por los alemanes.  
           the boat SE sank by the Germans

In Spanish, the internal causation of anticausatives can be tested by its compatibility with *por sí mismo* 'by itself', *por sí solo* 'by it alone' or *solo* 'alone, without the help of anyone'.

- (136) a. El barco se hundió por sí mismo.  
           the boat SE sank by itself  
       b. \*El barco fue hundido por sí mismo.  
           the boat was sunk by itself

What anticausatives allow are causative complements, which Schäfer (2008) uses to argue that they also involve external arguments, even if they cannot be agentive –remember that the subject could be a causer, only that an internal causer–.

- (137) El barco se hundió por la tormenta.  
 the boat SE sank by the storm  
 'The boat sank because of the storm'

Other tests show that anticausative structures lack agentivity: impossibility of combining the predicate with an agent-oriented adverb (137) and impossibility of licensing a final clause with anticausatives (138).

- (137) #El barco se hundió queriendo.  
 the boat SE sank willingly  
 Intended: 'The boat sank on purpose'  
 (138) #El barco se hundió para cobrar el seguro.  
 the boat SE sank to get the insurance  
 Intended: 'The boat sank in order to get the insurance money'

The absence of an agent entailment in these cases has been related to the so-called 'dative causer' construction (cf. Cuervo 2003, Schäfer 2008: 41-45). Dative causers are non-argumental datives that get interpreted as the human entity that sets in motion an event without involving any kind of volitionality, where the notion of 'set in motion' is quite flexible and might involve cases where it is difficult to imagine some kind of intervention of the subject into the event. (139b) illustrates one prototypical case for Spanish, where the dative causer has been added to the anticausative SE structure in (139a). (139c) is one case where it is difficult to conceive such intervention, even without willful intent, and the affected entity interpretation seems to be more salient.

- (139) a. Se rompió la televisión.  
 SE broke the TV  
 'The TV broke'  
 b. A Luisa se le rompió la televisión.  
 to Luisa SE her.dat broke the TV  
 'Luisa involuntarily broke the TV'  
 c. A Pepe se le ha roto la bañera.  
 to Pepe SE him has broken the bathtub

The anticausative structures' apparent rejection of external agents but compatibility with causers, as we already advanced, can be interpreted in two ways, which are relevant for the crucial question related to anticausative SE: whether the agent argument is eliminated from the anticausative structure together with any other external argument or there is some implicit or explicit external argument.

The general consensus is that the agent is in essence eliminated from anticausatives or not projected in such structures; the exceptions to this general consensus will be presented in §4.3, as they involve the so-called reflexivisation analysis of anticausatives. Beyond the apparent impossibility of licensing final clauses or agent-oriented arguments, another factor that plays a role in this discussion is the presence, precisely, of dative causers in some anticausative cases. The initial assumption is that the dative causer is only licensed when the agent is not present in

the configuration. As noted in Schäfer (2008) and Vivanco (2015), the dative at best receives an affectedness reading in a transitive clause (140).

- (140) a. Juan cambió la mesa de sitio.  
           Juan changed the table of place  
       b. A María Juan le cambió la mesa de sitio.  
           to María Juan her changed the table of place  
           ‘Juan moved the table and that affected María’ (not ‘María unwillingly caused Juan to move the table’)

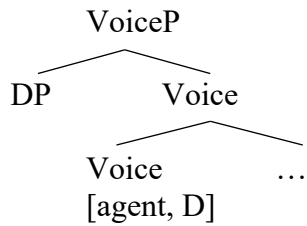
Relevantly, the passive version of a transitive verb rejects the dative causer reading, while the anticausative reading of (at least some) verbs allows it.

- (141) a. Pedro fue despedido (por su jefe).  
           Pedro was fired (by his boss)  
       b. \*A María le fue despedido Pedro.  
           to María her was fired Pedro  
           Intended: ‘María caused involuntarily that Pedro got fired’
- (142) a. Se abrió la puerta.  
           SE opened the door  
       b. A María se le abrió la puerta.  
           to María SE her opened the door  
           ‘María caused involuntarily that the door opened’

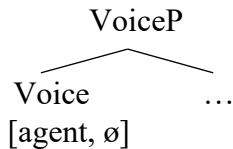
Prima facie, this suggests that passive and anticausatives contrast precisely in the presence vs. absence of an agent argument, with important caveats that we will get back to in a few moments. This position is explicitly advocated by Reinhart & Siloni (2006), who argue that the passive is a syntactic operation that starts from a transitive predicate with an agent external argument, while the anticausative is a lexical operation –assuming by ‘lexical’ that it is an operation that applies before syntax, on individual lexical items– that inactivates the external argument in a way that when that verb is projected in syntax it does not have an agent position to fulfill: the agent, from the perspective of syntax, is not there.

The idea that there is no agent projected in anticausatives is quite canonical in the literature, but with important nuances. Schäfer (2008) adopts the view that passives and anticausatives are different in terms of their Voice head. In his assumptions, Voice is interpreted as a head distinct from little *v*; little *v* can be agentive or causative, among other possible values (see also Alexiadou et al. 2006), and generally anticausatives would have a causative little *v*. However, the main distinction between passives and anticausatives takes place at the level of Voice. Schäfer (2008: 175) proposes four values for voice which contrast in the presence or absence of two features: agent and D. The ‘agent’ feature imposes that interpretation to an external argument, while the D features imposes the syntactic requisite that the specifier of Voice should be occupied by an overt DP argument. (143) presents the four values.

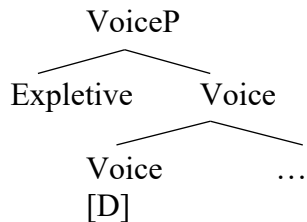
## (143) a. Thematic active voice



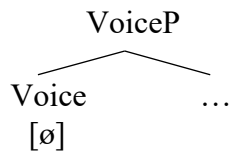
## b. Thematic passive voice



## c. Non-thematic active voice



## d. Non-thematic passive voice



The thematic (143a,b) vs. non-thematic voices (143c,d) differ in whether the specifier of Voice receives a theta role (agent) or not. In the cases in which the voice is non-thematic, if there is an argument in the specifier of Voice, it is expletive. Schäfer (2008) proposes that German marked anticausatives with the reflexive *zich* ‘self’ are instances of the situation in (143c). Based on the fact that anticausatives with *zich* do not license dative causers, Schäfer proposes that they have the syntax of an active voice, only that no agent theta role: the reflexive, being inherently dependent on an antecedent, does not require a theta role and is therefore interpreted as an expletive that simply fills the position of the Voice required by the D feature.

As both Schäfer (2008) and Vivanco (2015) show, the situation in Spanish is radically different. As we have seen above, SE-marked anticausative verbs do license the dative causer structure. This means, within the theory of different flavours for voice that Schäfer proposes, that anticausative SE structures in Spanish must be an instance of the (143d) Voice head, the head that is non transitive and does not impose an agent reading to any implicit or explicit argument. This would mean, therefore, that Spanish SE marked anticausatives lack any agent argument—note, however, that Alexiadou, Anagnostopoulou & Schäfer (2015) revise Schäfer’s (2008) analysis, proposing that Spanish SE is an instance of (143c), where SE is a reflexive pronoun—.

This does not mean, however, that anticausatives lack any kind of causing argument. In this analysis, the anticausative form can involve a causer, which is not defined in Voice but in little *v*. This causer argument remains implicit, but can be recovered through prepositional structures, which in Spanish can be marked for instance with *con* ‘with’ or *por* ‘by’.

- (144) a. La puerta se abrió con el viento.  
           the door SE opened with the wind  
       b. La televisión se rompió por el humedad.  
           the television SE broke by the humidity  
           ‘The TV broke because of the humidity’

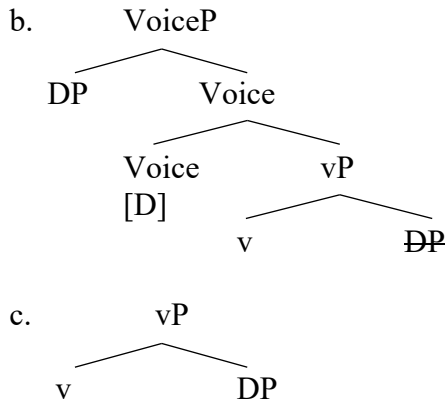
For the purposes of this overview, the nature of SE, the crucial fact is the one noted by Vivanco (2015: 265-275), specifically that anticausative structures that do not involve SE do not license dative causers, and unaccusative verbs do not license them either. This leads, as we will see, to the generalisation that not all anticausative structures are of the same type, with SE marked structures having a special status. The examples in (145) and (146) allow an interpretation of the dative as an affected argument, but not as an involuntary causer. Note that adding SE can license that reading.

- (145) a. El trabajo cambió.  
           the job changed  
       b. #A María le cambió el trabajo.  
           to María her changed the job  
           ‘The job changed, and that affected María’ (not ‘María inadvertently made the job change’)  
       c. A María se le cambió el canal.  
           to María SE her changed the channel  
           ‘María inadvertently made the TV-channel change’
- (146) a. Desapareció un libro.  
           disappeared a book  
       b. #A Juan le desapareció un libro.  
           to Juan him disappeared a book  
           ‘A book disappeared, and that affected Juan’ (not ‘Juan unwillingly made a book disappear’)

Crucially, from the perspective of the typology of Voice in Schäfer (2008), this should mean in principle that SE marked structures correspond to the structure of (147a), while anticausatives that lack SE should correspond rather to the structure in (147b) or (147c), where the last one does not even project Voice. Schäfer (2008: 176) suggests that unmarked anticausatives rather correspond to (147c), but note that a covertly transitive structure like (147b) where the internal argument and the external argument correspond to the same constituent –as Ramchand (2008) proposes for unaccusatives– would equally explain that unmarked anticausatives reject the dative causer.

- (147) a.
- 
- ```

graph TD
    VoiceP --> Voice
    VoiceP --> vP
    Voice --- E1["[∅]"]
    vP --> v
    v --- E2["[cause]"]
    vP --> Ellipsis["..."]
  
```



It is important to say that, in any case, Schäfer (2008) does not propose that SE is any element that removes the agent argument. In his analysis, the SE element would be a manifestation of the highly defective Voice head in (143d), that lacks both a theta role and a syntactic feature forcing presence of a specifier, and which appears instead of a thematically agentive voice head like (143a). SE is associated with a defective head –also if one follows Alexiadou, Anagnostopoulou & Schäfer (2015), where SE is the expletive specifier of a transitive Voice head that does not assign an agent theta role to it–, but it would not be an element that removes a theta role; rather it would be the element that emerges when there is no theta role to assign, and perhaps a nominal element must be merged anyways in the structure.

Then, to conclude this part of the section, and before we move to the relation between anticausatives and reflexive SE structures, this seems to be the general situation:

- i) There is agreement that anticausative structures lack an agent in the strict sense that there is no clear argument that receives that theta-role; the controversy is with respect to whether there is another external implicit argument with the ability to trigger an event, a causer, that can be syntactically expressed or whether that causer is simply introduced as an adjunct without the verb keeping that theta role.
- ii) It seems that SE marked anticausatives are substantially different from unmarked anticausatives or unaccusatives. Two options present themselves: (i) SE is the manifestation of a highly defective Voice head that does not project any external argument and does not impose an agentivity requisite and (ii) SE is an expletive argument that does not receive an agentive theta role. The combination with the dative causer in SE marked anticausative structures suggests that option (i) is initially in the right track; option (ii) would require some independent explanation of why German *zich* structures reject dative causers and Spanish SE structures accept them.

#### 4.3. Syntactic conditions: the relation between anticausative SE and reflexive SE

We have already seen that SE anticausatives in Spanish provide evidence that the agent theta role is not being assigned. This fact can be in principle interpreted in two ways that are compatible with the morphological markedness relation that we mentioned in §4.1 above. Schäfer (2008) and Vivanco (2015) –see also Schäfer & Vivanco (2016)– propose that SE alternates –that is, substitutes– another head that otherwise would be spelled out as zero, creating this the impression that more morphology has been added when in actuality a zero morpheme has been replaced with SE. The second option is to in fact try to derive the anticausative version from the causative version by building additional structure on top of it. From that perspective, SE appears to signal the presence of additional syntactic structure that

somehow has managed to inactivate or remove the agent argument that we know is not accessible in the case of anticausative SE structures.

The existing proposals that treat the anticausative element of the pair as derived from the causative have in common that they assimilate anticausative SE –or equivalent lexical elements in each language– to reflexive SE (Chierchia 2004, Koontz-Garboden 2009, Beavers & Koontz-Garboden 2013). The main fact that for these authors makes this relation plausible is the cross-linguistic generalisation that, in languages that overtly mark the anticausative predicate –as it is the case in Spanish–, it is common that the same element used to mark anticausatives is also used to mark the reflexive. Haspelmath (1990: 36) reports that this coincidence is found in typologically unrelated languages like Latin, Tigre, O'odham, Kanuri, Nimboran or Udmurt. Spanish of course also shows this type of coincidence, and moreover a more or less standard grammaticalisation path has been proposed between Latin and Romance (148, from Michaelis 1998) which immediately supports the view that anticausative SE uses are derived from reflexive SE uses, in a way that anticausative SE is nothing but a more grammaticalised (=more abstract, involving the bleaching of agent entailments) use of reflexive SE. In Indo-European, for instance (Rodríguez Molina 2010), the notion of reflexive was subsumed under the general 'middle' voice, for instance.

Of course, the assumption that one has to make with respect to (148) is that somehow the ordering of grammaticalisation in syntax follows some kind of 'natural' path that is punctuated by ontological properties of the constructions involved, with the initial steps corresponding to more basic elements and configurations that are adapted to the other structures, which are –then– ordered according to how much they diverge from the starting point.

(148) reflexive > anticausative > passive > impersonal

The idea that anticausatives are reflexives or derived from them was suggested among others by Lakoff (1971), Haspelmath (1990), Reinhart (1996) and Wunderlich (1997), but Chierchia (2004) and, particularly, Koontz-Garboden (2009) are credited with its technical implementation. Following Chierchia (2004: 29), Koontz-Garboden (2009) takes reflexivisation as an operation whereby a predicate takes a relation R as its argument, and which sets both arguments of the relation to be the same.

(149) Denotation of the reflexivisation operator (Koontz-Garboden 2009: 83)

$$\lambda R \lambda x [R(x, x)]$$

In this context, R is an operator that ranges over arguments of a transitive verb. Importantly, this would correspond to the SE part of the reflexive –thus, restraining the type of arguments to transitive predicates, perhaps including in a broader definition indirect objects, correctly gives an account of the distribution of SE discussed in §3.1–, not to the double, which as we saw sometimes introduces additional entailments, such as agentivity.

The core proposal is that, if (150a) is the denotation of a causative verb like *abrir* 'open', (150b) is the denotation of the anticausative version, *abrirse*. Note that crucially the second case has identical arguments for theme and causer ('effector', in the terminology assumed by Koontz-Garboden 2009).

(150) a.  $\lambda x \lambda y \lambda s \lambda e [\exists v [CAUSE(v, e) \wedge EFFECTOR(v, y) \wedge BECOME(e, s) \wedge THEME(s, x) \wedge open(s)]]$

b.  $\lambda x \lambda s \lambda e [\exists v [CAUSE(v, e) \wedge EFFECTOR(v, x) \wedge BECOME(e, s) \wedge THEME(s, x) \wedge open(s)]]$

In this way, Koontz-Garboden (2009) explains an anticausative as a predicate that does not lack a causer, but as a predicate where the reflexive operator imposes the interpretation that the theme and the causer correspond to the same entity. In principle the denotation of the anticausative is a proper subset of the denotation of the causative version, and not vice versa: the instances where the external argument coincides referentially with the theme.

Note that in (150) above there is no agent theta role. This proposal is combined with a theory about theta-roles: verbs that allow an anticausative pair should be causative verbs which specify the argument of cause as an effector, not as an agent; verbs that specify the external argument as an agent do not allow the anticausative structure triggered by the reflexivisation operator, because the presence of SE identifies the external and the internal argument and the entailment of an agent are not satisfied in the reading that the internal argument undergoes the process by its own internal properties; the reading that emerges is purely reflexive.

(151) a. Juan asesinó al embajador.

Juan murdered DOM-the ambassador

b.  $\lambda x \lambda y \lambda s \lambda e [\exists v [CAUSE(v, e) \wedge AGENT(v, y) \wedge BECOME(e, s) \wedge THEME(s, x)]]$

(152) a. #El embajador se asesinó (en el accidente).

the ambassador SE murdered in the accident

'The ambassador murdered himself', not 'The ambassador underwent murder'

b.  $\lambda x \lambda y \lambda s \lambda e [\exists v [CAUSE(v, e) \wedge AGENT(v, x) \wedge BECOME(e, s) \wedge THEME(s, x)]]$

Note that, like always, verbs that are semantically close might differ with respect to the theta roles assigned. (151)-(152) contrast with (153)-(154) below, where the verb does allow an anticausative version. For the theory we are reviewing now, this means that the subject cannot be an agent, but an effector, a property that might be confirmed by (155) below, where we see that the first verb rejects non-animate subjects that cannot act volitionally:

(153) a. Juan mató al embajador.

Juan killed DOM-the ambassador

b.  $\lambda x \lambda y \lambda s \lambda e [\exists v [CAUSE(v, e) \wedge EFFECTOR(v, y) \wedge BECOME(e, s) \wedge THEME(s, x)]]$

(154) a. El embajador se mató (en el accidente).

the ambassador SE killed in the accident

'The ambassador died in the accident'

b.  $\lambda x \lambda y \lambda s \lambda e [\exists v [CAUSE(v, e) \wedge EFFECTOR(v, x) \wedge BECOME(e, s) \wedge THEME(s, x)]]$

(155) El cáncer {mató / #asesinó} al embajador.

the cancer killed / murdered DOM-the ambassador

'Cancer killed the ambassador'

This is compatible with an interpretation where the event is internally caused by the properties of the entity that undergoes the process in the sense that there cannot be an external agent that triggers the event externally. The proposal that effectors and agents are different, combined with the reflexive interpretation, jointly produce the 'middle' interpretation, not agentive and not passive, of anticausatives.

Like this, against analyses that directly treat anticausatives as derived from causatives, the presence of SE marks an additional operation that applies a reflexive operator over the causative verb. The problem mentioned in §4 above, where the morphologically marked element is more simple than the morphologically unmarked element of the pair, dissolves. The same operator is interpreted as reflexive when the external argument is an agent, and as anticausative when the external argument is an effector.



The evidence in favour of the relation in (150) divides into two groups: (i) tests that show that the anticausative contains the causative and (ii) tests that show that the additional property of the anticausative pair is indeed a reflexive one. Let us review the evidence provided in Koontz-Garboden (2009) for each of these two aspects; as we will see, this evidence is quite controversial.

The main test is the one in (152): the anticausative can be denied without denying the causative member. In an analysis where the anticausative is more simple than the causative this should be impossible, because the causative contains the anticausative and one cannot deny the change of state without denying also that some agent has caused the change of state. However, if (150) is correct, (152) is possible because one denies the reflexive part of the anticausative without necessarily denying that someone caused a change of state –or in other words, because the anticausative contains the causative and not vice versa–.

(152) La puerta no se ha abierto, la has abierto tú.  
 the door not SE has opened, it have.2sg open you  
 'The door didn't open, you opened it'

Some speakers, however, find this not natural and want to add *sola* 'alone' in the first sentences, so that the negation can be interpreted as constituent negation applying to *sola*. At the same time, this test is a bit puzzling: as can be seen in the English translation, the sentence is also fine in English, a language where the anticausative should not contain the causative because there is no reflexive SE morpheme involved and therefore the anticausative should not be a reflexivised version of the causative.

For these reasons, some researchers have noted that (152) is not your standard clausal negation, but in actuality an instance of metalinguistic negation, that is, the negation of something that someone stated –'that the door opened alone'–, not the negation of a particular state of affairs (see Horvath & Sioni 2011, 2013; Schäfer & Vivanco 2016). Conscious of this problem, Koontz-Garboden (2009) noted that the context in (152) licenses Spanish *ningún* 'none', which he treats as a negative polarity item similar to English *any*, which cannot be licensed in metalinguistic negation contexts.

(153) a. John didn't manage to solve some of the problems, he solved them all.  
 b. \*John didn't manage to solve any of the problems, he solved them all.

(152) accepts *ningún*, as witnessed by (154), which for Koontz-Garboden (2009) means that (152) cannot involve metalinguistic negation.

(154) No se rompió ningún vaso, los rompiste todos tú.  
 not SE broke none glass, them broke all you  
 'No glass got broken, you broke them all'

However, Schäfer & Vivanco (2016) note that Spanish *ningún* is not an NPI, as it can be licensed in clear cases of metalinguistic negation.

(155) Juan no odia a ningún niño, los aborrece a todos.  
 Juan not hates DOM no child, them loathes DOM all  
 'Juan doesn't hate children, he loathes them'

A real Spanish NPI that cannot be used also as a negative inductor is *siquiera* 'even'. This one is, as expected if (152) is a case of metalinguistic negation, is rejected in (152) as well as in other clear instances of metalinguistic negation.

- (156) a. \*Juan no odia a los niños siquiera, los aborrece.  
 Juan not hates DOM the children even, them loathes  
 Intended: 'Juan does not just hate children, he loathes them'  
 b. \*La puerta no se abrió siquiera, la abriste tú.  
 the door not SE opened even, it opened you  
 Intended: 'The door didn't just open, you opened it'

An element that forces metalinguistic negation is *solo* 'just', which is compatible with (152), again providing evidence that it is a case of metalinguistic negation and therefore does not constitute a test in favour of the derivation of anticausatives from causatives.

- (157) La puerta no solo se abrió, la abriste tú.  
 the door not just SE opened, it opened you  
 'The door didn't just open, you opened it'

A second test that Koontz-Garboden (2009) proposes is the fact that what could be taken to be an anticausative SE can control a final clause without the passive interpretation. (158a) is the causative version of the bona fide anticausative in (158b); note that a final clause can be licensed by (158b), as seen in (158c).

- (158) a. Juan ahogó a su hijo.  
 Juan drowned DOM his son  
 b. Juan se ahogó.  
 Juan SE drowned  
 c. Juan se ahogó para salvar a su hijo.  
 Juan SE drowned to save DOM his son

However, this effect seems to be lexically restricted and does not generalise to all SE marked anticausatives, which is what the reflexivisation analysis predicts: in those cases and only in those cases is there an effector argument that can control the final clause. Remember, for instance, (159), where the presence of the final clause forces a passive reading.

- (159) #El barco se hundió para cobrar el seguro.  
 the boat SE sank to cash the insurance

It is also difficult to ascertain that, beyond the apparent contrast between (158a) and (158b), the structure in (158c) is indeed anticausative. Note that Koontz-Garboden (2009) takes Spanish *por sí solo* 'by itself' as a sign of anticausativeness, an element to which we will go back almost immediately. However, (160a) does not sound natural, even though (160b) is in principle possible.

- (160) a. ??Juan se ahogó por sí solo para salvar a su hijo.  
 Juan se drowned by himself to save DOM his son  
 b. Juan se ahogó {solo / por sí solo / sin ayuda}.  
 Juan drowned alone / by himself / without help

One possibility is that the type of SE in (158b) and (158c) is not the same one, and crucially that while (158b) might be anticausative, (158c) is not –perhaps it could be analysed as an aspectual SE or, lacking that class, agentive SE, meaning that the person did it on purpose–.

The second set of tests refer to the reflexive nature of these predicates. Koontz-Garboden (2009), following Chierchia (2004) (see also Beavers & Koontz-Garboden 2013), proposes that the elements in (161), which typically differentiates passive SE from anticausative SE (162), is itself reflexive because the anticausative, but not the passive, is obtained with a reflexive operator.

- (160) a. *por sí solo*  
by self alone  
b. *por sí mismo*  
by self same
- (161) a. *La puerta se abrió {por sí sola / por sí misma / sola}*.  
the door SE opened by itself / by itself / alone  
b. *\*La puerta fue abierta {por sí sola / por sí misma / sola}*.  
the door was opened by itself / by itself / alone

Crucially, Chierchia (2004) argues that the Italian equivalent of (160) can only be bound by agents (or effectors, we may add); (161a) is fine because the subject is indeed an external argument (effector), and (161b) is out because the subject is not an external argument.

There are two problems here. Importantly, the prediction that the reflexivisation analysis does is that the distribution of (160) in anticausative cases must match with SE marking: only SE marked anticausatives should license it, and not the ones that lack marking. This prediction is not borne out, as witnessed by (162):

- (162) *El tiempo cambió por sí solo*.  
the weather changed by it self

See Horvath & Sioni (2011, 2013), where they argue that some of the cases that were supposed to show that non-SE marked anticausatives are incompatible with 'by itself' in fact refer to processes that cannot be triggered easily by external forces, and therefore where 'by itself' is redundant, as in (163; see also Mendikoetxea 1999).

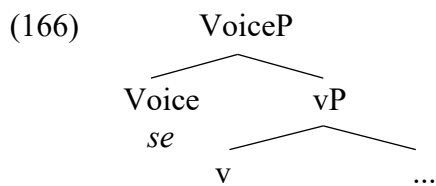
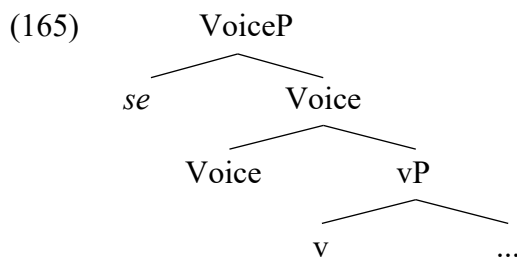
- (163) *\*El niño creció {por sí mismo / por sí solo / #solo}*  
the boy grew.up by himself / by himself / alone  
Intended: 'The boy grew without the help of anyone'

This basically casts doubt on the first side of the test, namely that the presence of this element suggests a reflexive construction: if SE marks reflexivity, (162) should not allow this marker. Secondly, there is a quite extensive body of work, among others Reinhart (2000), Schäfer (2007) and Alexiadou et al. (2015), refuting the claim that 'by itself' requires necessarily agentive subjects; this denies the second part of the test, namely that the SE anticausative verbs involve projection of an agent external argument. For instance, a non agentive holder of a state like in (164) can be the antecedent of 'by himself'.

- (164) *Juan sabe la respuesta {por sí mismo / por sí solo / solo}*.  
Juan knows the answer by himself / by himself / alone  
'Juan knows the answer without the help of anyone else'

Thus, under the light of these tests, it seems that there is still not enough evidence in favour of an analysis of anticausative SE structures that assimilates them to reflexive structures. Without any doubt, the fact that cross-linguistically reflexive markers become often markers of anticausativity should be telling us that there is a non-trivial relation between both structures, but stated in the way that Chierchia (2004) and Koontz-Garboden (2009), it does not seem that the right predictions are being made for the entailment relations between the causative and the anticausative or for the expected distribution of the 'by itself' element in the relevant meaning of 'no external causer can be identified'.

The general consensus is then that anticausatives are probably derived from causative structures by operating in its Voice head. SE could then be treated as an expletive pronoun that fills the specifier position of Voice without receiving an agentive theta role, as proposed by some authors (165), or the manifestation of a Voice head without any features (166), as proposed by others. The analysis, then, might connect with reflexives in the sense that SE would be a defective element that simply satisfies the position of the agent without further semantic effects or, in the sense of Labelle (2008), if SE marks a type of voice where at least one argument position is left unexpressed.



With these options in mind, we are almost ready to now move to the third type of lexical SE, aspectual SE. However, before we do that we have to briefly discuss a generally understudied class of anticausative predicates: transitive anticausatives, whose analysis connects with one of the main problems of SE in traditional grammars, the dative reflexive analysis.

#### 4.4. Transitive anticausatives and the problem of reflexive datives expressing affectedness

The analysis of sentences such as the ones in (167) is not straightforward.

- (167) a. Juan se cortó el dedo.  
 Juan SE cut the finger  
 'Juan cut his finger'  
 b. Juan se rompió el brazo.  
 Juan SE broke the arm  
 'Juan broke his arm'

These sentences have been considered instances of transitive verbs that are interpreted as anticausatives. Like anticausatives in combination with dative causers, the predicates have the flavour of events that happen in a non volitional way and that affect the subject. The standard

interpretation of (167) is that Juan accidentally got a cut in the finger and that his arm broke, without him setting in motion that change of state volitionally. Note that these sentences typically have three ingredients:

- a) A direct object that corresponds to a body part, the most prototypical class of inalienably possessed entities
- b) A subject that invariably denotes the human entity whose body part is being discussed in the predicate
- c) A change of state verb that expresses a change that generally does not interrupt the relation between the possessor and the possessee: (167a), for instance, is not interpreted as if the finger gets severed from the hand.

However, the accidental or non willful interpretation of (167) is not forced. Unlike the anticausative predicates that we have examined in this section, (167) cannot easily be combined with the usual markers (168).

- (168) Juan se cortó el dedo {#solo / ??por sí solo / ??por sí mismo}.
- Juan SE cut the finger alone / by himself / by himself

Even when the predicates are combined with the usual markers of anticausative, the interpretation that emerges is rather 'without anybody else helping him', which is not incompatible with Juan doing the task on purpose. In fact, (167) can combine with a final clause and there does not seem to be additional grammatical markers that distinguish the non-willful interpretation from the willful one.

- (169) Juan se rompió el brazo para cobrar el seguro.
- Juan SE broke his arm to cash.in the insurance
- 'Juan broke his arm in order to cash the insurance in'

There is one property, though, that differentiates (167) from usual transitive predicates: the impossibility of undergoing a passive, as illustrated in (171), in contrast with other uses of the same verb.

- (170) a. Juan cortó el pan.  
 Juan cut the bread
- b. Juan se cortó el dedo.  
 Juan SE cut the finger
- (171) a. El pan fue cortado.  
 the bread was cut
- b. #El dedo fue cortado por Juan.  
 the finger was cut by Juan

(171b) would imply something like a complete separation between Juan and his finger, that is, the finger would be severed, and the notion of inalienable possession is lost.

Of course, the potential existence of anticausatives with direct objects would directly deny the unaccusative analysis of the anticausative verbs in Spanish –along the same lines as we saw at the beginning of the section–, but would also complicate in a radical way the nature of anticausative predicates, at least as far as the structure in (167) seems to involve a transitive predicate with an external argument that is distinct from the internal argument.

There are three main ways out from this situation.

a) The first one is to treat (167) as some kind of anticausative structure, similar to the dative causer structure that we have analysed, but where the dative is expressed through a reflexive SE pronoun that allows the dative to be expressed as a nominative argument. The parallelism would be of the kind expressed in (172), where perhaps the inalienable possession of the object is what determines that the relation between the possessor and the possessee can involve a nominative argument.

- (173) a. A Juan se le rompió la televisión.  
           to Juan SE him broke the TV  
       b. A Juan se le rompió la mano.  
           to Juan SE him broke the hand  
       c. Juan se rompió la mano.  
           Juan SE broke the hand

Note that in this analysis SE would be an anticausative marker in (173a, b) and a dative reflexive in (173c). In this analysis, (173c) would be built from a predicate like (174), only that adding a reflexive dative that blocks the expression of the anticausative SE.

- (174) La mano se rompió.  
       the hand SE broke

b) As in the case of factitive or causative SE (§6.1), the SE that we see in (167) is not related to an anticausative or to a dative reflexive, but to a marker that licenses the interpretation of the subject as not an agent, but some kind of less directly involved participant. This would explain that the same predicate can be interpreted, depending on context, as expressing a volitional event or an accidental one, in the same way that the predicates that allow the factitive reading typically also allow an agentive one and context determines between the two. However, this theory cannot explain why the direct objects are restricted to body parts or other closely inalienably possessed entities, and why the subject must be the possessor of the object. In other words, this theory does not relate the SE form that appears in (167) with a reflexive dative interpreted as a possessor.

c) Like in (a), the example in (167) involves a dative reflexive, but it is not derived from the anticausative, but from a causative. What the SE in (167) does is to introduce the possessor of the body part in the same way that the dative possessor (Gutiérrez Ordóñez 1999) does in (175). The only difference is that in (167) the dative possessor is coreferential –that is, reflexive– with the subject.

- (175) a. Le rompí la mano.  
           him.dat broke the hand  
           'I broke his hand'  
       b. Se rompió la mano.  
           SE broke the hand  
           'He broke his hand'

Of course, what this theory does not explain is why an involuntary interpretation emerges in (167). Note, in this respect, that (175a) does not have to imply that the breaking of the hand was intended, in the same way that (167) does not force the unintentional interpretation. If the two readings are in principle available, that the unintentional interpretation is preferred in (167)

might simply follow from a pragmatic component that makes us expect that someone would not harm himself wilfully, although perhaps this is not so clear.

The case of transitive anticausative predicates in traditional Spanish grammar is generally treated as (c), that is, as involving a dative argument that is reflexive to the subject and expresses in this particular case, the possession of an inalienably possessed element. However, perhaps the clearest manifestation of this type of dative reflexive pronoun is the one that other authors have classified as aspectual: the case of an argument that seems to involve the affectedness of the subject in the event expressed by the predicate. Let us then move to the next section, where we will discuss these cases.

### 5. Predicate-affecting SE uses (3): aspectual

Perhaps the most controversial of all the SE functions that have been recognised in grammatical analyses internal to Spanish is the notion of aspectual SE, which is first noticed in Bello (1847: §758). This term is used to define a class of predicates that can be facultatively marked with SE, in the strict sense that the same verb might appear without SE without affecting in principle the number of arguments that are syntactically active or their case licensing conditions. As the name suggests, the presence of SE in these cases is related to an aspectual difference, where 'aspect' should be interpreted as 'lexical aspect'.

- (177) a. Juan leyó dos capítulos.  
           Juan read two chapters  
       b. Juan se leyó dos capítulos.  
           Juan SE read two chapters

This aspectual label, however, substitutes other, more traditional, terms to refer to this optional SE, such as 'quantificational SE' (Fernández Ramírez 1986), 'stylistic SE' (Klein 1987), 'benefactive SE' (Rigau 1994), or analyses that relate this SE with ethical datives (Sánchez López 2002). This benefactive use of SE identifies the SE form that appears in (177) with a dative participant that is not compulsory in the sentence but is introduced in order to achieve some semantic effect. Thus, the dative reflexive analysis is characterised by the following claims:

- a) The SE that appears in examples like (177) is optional
- b) Its presence involves a notion of involvement, where the subject that is coindexed with the SE form is more involved in the event
- c) This involvement is generally interpreted through a notion of 'intensity', where the subject performs the event in a manner that requires more energy or effort, or alternatively where the effect of the event, after its completion, is pleasant or affects the subject positively or negatively in other ways.

On the other hand, the analyses that treat the SE in (177) as aspectual, propose the following properties:

- a) The SE that appears in (177) is not strictly optional, but required to express a particular interpretation of the event where it must forcefully culminate as a telic event
- b) The presence of SE has grammatical effects in the nature of the direct object
- c) Possible 'involvement', 'affectedness' or 'intensity' readings must be the epiphenomenon of the aspectual and grammatical changes just described.

The traditional discussion of this facultative (or apparently facultative SE) in Spanish grammar has oscillated at least since Fernández Ramírez between these two poles: from a view that relates the presence of SE with some notion of expressiveness, special agent implication in the event or some affectedness on the subject, and a view that denies or reinterprets the previous effects as an epiphenomenon of a deeper property, related to aspect. The structure of this section reflects this discussion.

The two main syntactic classes of predicates where this aspectual SE have been proposed are asymmetrically treated. Transitive verbs like (177), generally involving some form of consumption, and where the direct object acts as an incremental argument that measures the development of the event across time are the class where the notions of dative reflexive and / or aspectual SE have been most used. The second class where some authors have used the label 'aspectual SE' is one where nobody has attempted to treat the SE form as a dative reflexive: a poorly-defined set of intransitive verbs, including many cases of bounded achievements involving changes of state or directional movements. The class of transitive verbs is much more extensive than the class in (ii), which is perhaps restricted to less than 20 cases.

- (178) a. Juan cayó dentro del pozo.  
           Juan fell inside of-the well  
       b. Juan se cayó dentro del pozo.  
           Juan SE fell inside of-the well

As we will see, this category is controversial for three main reasons. The first one is that there is no agreement with respect to which types of SE constructions should be considered aspectual; as we will see several authors have proposed that some of the prototypical examples of aspectual SE uses should be classified as other types of structures, such as agentive SE, anticausative SE or figure SE. Secondly, even assuming that there is some proper notion of aspectual SE, the type of aspectual change that this SE induces into the predicate is not described in the same way by all the authors. Third, as so-called aspectual SE comes accompanied in many cases of other relevant changes in the predicate, some have even proposed that a class of aspectual SE structures should not be considered at all.

In this section, we will first present the properties of aspectual SE assuming that it exists (§5.1). Then we will revise some of the analyses that have been proposed for the location of SE on the assumption that aspectual SE is a real class (§5.3). Then we will move to the proposals that have reanalysed the prototypical aspectual SE cases as instances of other constructions (§5.3, §5.4, §5.5, §5.6), including those that have argued that this SE should be seen in essence as an anticausative SE because anticausative SE is distributed according to some aspectual restrictions.

### *5.1. The description of aspectual SE: predicates and objects*

The so-called aspectual SE has been proposed mainly for a well-defined set of transitive predicates (Nishida 1994, Sanz 1995, Zagana 1996, De Miguel & Fernández Lagunilla 2000, Kempchinsky 2004, Basilico 2010, among others), with a much more controversial extension to a less clearly defined class of intransitive predicates –something that does not mean that the label of aspectual SE is not controversial with transitive predicates–.

The classes of transitive predicates where this SE has been proposed include the four following classes (Di Tullio 2012); following Nishida (1994), the idea is that what these classes have in common is that their direct object is an incremental object. The object in this verbs is isomorphic with the development of the event, measuring it: the progression of the event of eating matches, for instance, different mereological parts of the apple, such as that as the event



progresses more parts of the apple are consumed, and the event culminates when there are no more (edible) parts of the apple left.

- a) Verbs of intellectual or physical consumption  
leer 'read', comer 'eat', beber 'drink', fumar 'smoke', estudiar 'study', aprender 'learn', memorizar 'memorise', tomar 'have, take'...
- b) Verbs of creation  
escribir 'write', pintar 'paint', construir 'build', dibujar 'draw', preparar 'prepare', componer 'compose', filmar 'film', esculpir 'sculpt'...
- c) Verbs of acting which are also measured by the direct object  
bailar 'dance', cantar 'sing', representar 'act', interpretar 'play', tocar 'play', copiar 'copy', fotografiar 'photograph'...
- d) Verbs of incremental change  
lavar 'wash', planchar 'iron', teñir 'dye', sembrar 'plant', regar 'water', serruchar 'saw'...

With these verbs, the effect of SE is described in different ways, but with the common intuition that what SE does is to force a telic reading where the event culminates. Nishida (1994) proposes that SE involves here that the event is completely measured by the incremental theme, up to its total completion –thus, SE imposes a telic reading (see Sanz 1995), or in other words implies that the subject and the object coincide at the end of the event (Zagona 1996)–. This involves that in many cases the presence of SE with these verb is paraphrased in English with the same predicate in combination with a particle involving culmination, as *up* in (179b), which is telic.

- (179) a. Luis comió un bocadillo (durante un rato).  
Luis ate a sandwich (for a while)  
'Luis ate a sandwich for a while'
- b. Luis se comió un bocadillo en diez minutos.  
Luis SE ate a sandwich in ten minutes  
'Luis ate a sandwich up in ten minutes'

Beyond telicity, and in addition to it, it has been also noted that in (179a) one could be describing an unordered processes of eating where one nibbles here and there, while in (179b) one expects an ordered and systematic consumption.

Another corollary of this approach is that the presence of SE with these verbs is sensitive to the bounded or unbounded nature of the object. In principle, aspectual SE rejects incremental objects that are unbounded (180b) or transitive predicates that take a bona fide implicit objects (180c), because the nature of these objects is incompatible with the interpretation that the event culminates –as an unbounded object does not define a boundary that can be used as a reference for the endpoint of the event–. This explains contrasts as in (180).

- (180) a. Pedro (se) cantó una canción.  
Pedro (SE) sang a song  
'Pedro sang a song (until the end)'
- b. Pedro (\*se) cantó tangos.  
Pedro SE sang tangos  
'Pedro sang tangos'
- c. Pedro (\*se) cantó.  
Pedro SE sang  
Intended: 'Pedro sang'

The correlation between aspectual SE and incrementality predicts that some verbs will only accept it when they are combined explicitly with a (bounded) incremental entity, no matter its nature. For this reason, this aspectual SE can in principle be extended to movement predicates where the incremental entity is a locative path (García Fernández 2011); some verbs seem to satisfy this requisite by its lexical meaning (181a,b), while others require an overt incremental constituent; note that the presence of an endpoint is not enough for SE (181c,d), supporting Nishida's (1994) main claim about these verbs.

- (181) a. Juan llevaba un libro.  
           Juan carried a book  
           'Juan walked around with a book'  
       b. Juan se llevó un libro.  
           Juan SE carried a book  
           'Juan took a book (from somewhere) with him'  
       c. Juan se nadó cien metros.  
           Juan SE swam 100 meters  
       d. Juan (\*se) nadó hasta la orilla.  
           Juan SE swam until the shore

So far so good; note crucially that the verb classes above share the property of belonging to the same lexical aspectual class: accomplishments that regularly can alternate with an activity reading. With these facts in mind, it is in principle reasonable to think that the SE used in these contexts is aspectual, because the class of predicates that allow it share in fact crucial aspectual properties, the effect that SE has in them has predictable and systematic effects on aspect and the predictions about the incremental nature of the predicate, together with complements and modifiers, and the bounded nature of that incremental component.

The approach, clearly, puts the aspectual contribution of SE as primary and the possible agent effects (involvement of the agent, affectedness, etc.) that traditional grammar recognises for these cases are, at best, derived from the aspectual properties. However, this happens in ways that are perhaps not very systematic: Sanz & Laka (2002) for instance suggest that the agent involvement is interpreted because typically accomplishment verbs are agentive; other authors more or less informally suggest that when the event is telic, and has culminated, it is easier to infer that it has affected the subject in some significant way. But in any case: this approach treats the role of SE as inherently aspectual.

However, the aspectual SE label has also been extended, by Nishida (1994) and more in particular in De Miguel & Fernández Lagunilla (2000), to a set of intransitive verbs, many of them denoting directional movement, including *irse* 'leave', *salirse* 'to get in', *morirse* 'to die', *dormirse* 'to fall asleep', *caerse* 'to fall down', *sentarse* 'to sit down' (see also Jiménez-Fernández & Tubino 2014, 2019).

The list is not much longer than this. Note two facts, extensively discussed by García Fernández (2011, 2015) and others: unlike the other classes of predicates, this class is not aspectually homogeneous without SE: *dormir* 'to sleep' is atelic, while *salir* 'to exit' is telic. However, De Miguel & Fernández Lagunilla (2000) propose that the presence of SE with these verbs forces an aspectual reading that consists necessarily of an achievement followed by a result state. Although they never provide a compositional analysis of this contrast, according to their analysis, in (182a) there should not be a result state –and in fact the event can be conceptualised as atelic– while in (182b) the event must be telic and should involve a result state.

- (182) a. Juan cayó (por la grieta durante algunos segundos).  
 Juan fell by the crevice for some seconds  
 b. Juan se cayó al suelo.  
 Juan SE fell to-the ground  
 'Juan fell down to the ground'

The problem is that not all verbs in the list above, or in general all intransitive verbs with a putative aspectual SE, can be argued to move from atelic to telic. Note, for instance, the contrast in (183a), which does not depend on telicity, but rather on whether one can imagine that lightning comes from a previous location or not. (183a) suggests that what SE does is not to create telicity, as the SE-less version can also be telic, but rather to define some movement that must come from an established previous source location, as García Fernández (2015) proposes. This is not the only example. The verb *salir* 'to exit' has in principle without SE already a result state, that can be measured with a for-phrase (183b).

- (183) a. (\*Se) cayó un rayo.  
 SE fell a lightning  
 'Lightning fell'  
 b. Juan salió de la reunión durante unos minutos.  
 Juan exited from the meeting for some minutes  
 'Juan left the meeting and stayed outside for some minutes'

Thus, this analysis faces one clear complication: is aspectual SE with these verbs an element that creates an achievement + result state interpretation in verbs that do not have it without SE or is it an element that highlights the result state in verbs that already have it? The short list above seems to indicate that both options should be true at the same time.

The second problem is that the treatment of SE as an aspectual element in these intransitive verbs has a problem with the predictions of the theory –unlike the clearer predictions of the aspectual SE analysis for the incremental predicates–. As García Fernández (2011) notes, the verb *salir* 'exit' contrasts with the verb *entrar* 'enter' in the availability of the combination with SE. There are many varieties of Spanish that have the contrast in (184).

- (184) a. Juan (se) salió un rato de la reunión.  
 Juan SE exited a while from the meeting  
 'Juan left the meeting for a while'  
 b. Juan (\*se) entró un rato en la reunión.  
 Juan SE entered a while in the meeting  
 'Juan joined the meeting for a while'

Why would these verbs contrast so sharply? De Miguel & Fernández Lagunilla (2000) would be forced to say that the two verbs, despite their obvious lexical relation with makes one the reverse of the other, have different aspectual structures, but their behaviour seems to be parallel also in the crucial respect that both verbs allow the measurement of a result state: (185) is parallel to (183b).

- (185) Juan entró en la reunión durante unos minutos.  
 Juan entered in the meeting for some minutes  
 'Juan joined the meeting for some minutes'

If aspectual SE marks or highlights the result state, why would (185) reject SE? Alternatively, and more crucial: even if *entrar* was really aspectually different from *salir*, why could we not add SE in order to change its aspect, as we do with *caer* or *dormir*?

These complications lead García Fernández (2011, 2015) to partially reject the aspectual SE hypothesis at least for movement verbs and other transitive predicates, as we will see later. However, other authors have in fact rejected aspectual SE altogether from different perspectives (Di Tullio 2012, Armstrong 2013, De Benito 2021), as we will also cover in a few pages. However, before doing so, let us revise briefly the different analyses that aspectual SE has received in the literature.

### 5.2. The structural location of aspectual SE

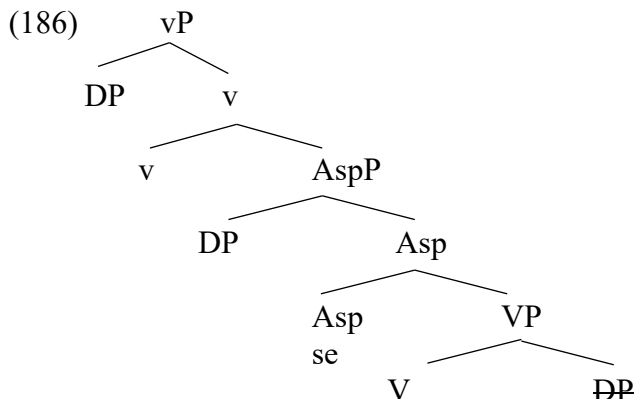
To be fair, there are not many formal analyses of aspectual SE that relate their syntactic properties to other uses of SE. De Miguel & Fernández Lagunilla (2000) simply treat the element as an aspectual operator –loosely interpreted as a function that alters the aspect of the base it combines with– and do not discuss its structural position, or the relation between this use and the reflexive, anticausative or passive uses. In terms of formal analyses of aspectual SE, in this section we will highlight several recent analyses that propose an explicit position for aspectual SE and attempt to relate it to other uses of SE, in two of these cases specifically the reflexive one.

The analyses can be grouped in two classes: (i) a set of analyses, most significantly and explicitly represented by Kempchinsky (2004), where SE has a main aspectual use which derives the reflexive or anticausative uses, and (ii) a set of analyses that treat aspectual SE as reflexive and explain its presence because adding additional subevents to the predicate force movement of an argument from one subevent structure to the other, hence introducing reflexivity (see also Cuervo 2014).

Kempchinsky (2004; see also 2000 and 2006) proposes that SE is inherently an aspectual element, in the sense that it is introduced always in an Asp(ect)P that is internal to the vP, specifically sandwiched between v and V. The different uses of SE are explained by two parameters, which partially correlate with the nature of SE as a head or a specifier –on the assumption that, being a maximally impoverished element that gets no arguments of its own, it can be ambiguously defined as an X and as an XP–:

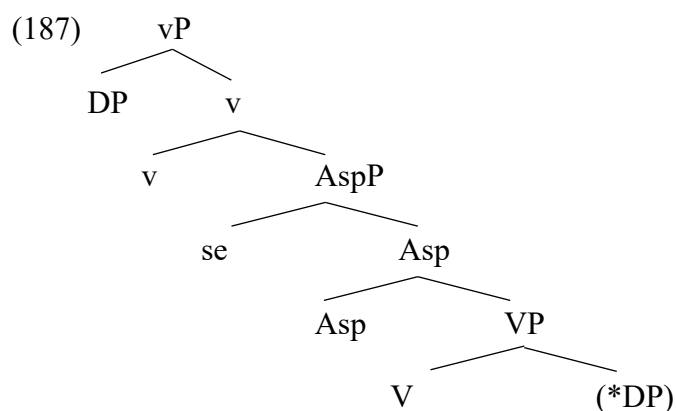
- a) whether the role of SE is to add a subevent, link to a subevent or absorb a subevent
- b) whether SE is introduced as a specifier of AspP, in which case it blocks the relation between a direct object and the verb, or is introduced in the head of Asp, in which case it does not have an effect on the argument structure of the predicate.

(186) represents the structure of aspectual SE according to this author.



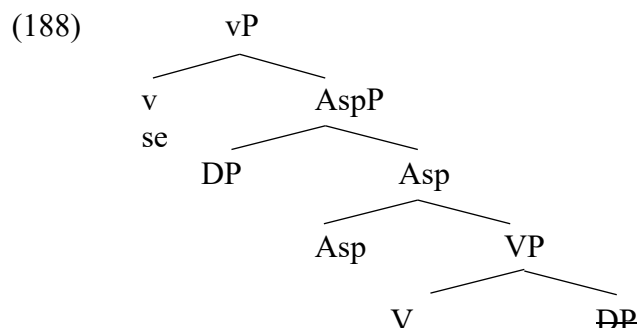
The idea is that in this case SE is projected as part of the head of Asp, where it introduces the subevent that defines the event as telic (if extended to intransitive predicates, it would introduce a result state). Being in a head position, it leaves the specifier of AspP free for the internal argument to move, where it measures the event –thus explaining why the aspectual SE structures with transitive verbs are sensitive to the boundedness of the object–, and the direct object can from there check case of *v*. See also, in this sense, De Miguel (1992), who associated SE to a functional aspect node, and Sanz & Laka (2002), who propose that aspectual SE is linked to an Event Phrase which they use to define the internal aspect of the predicate.

In contrast to this, for Kempchinsky reflexive SE would be an instance of SE projected as the specifier of Asp (187) –note that she follows Torrego (1995) in proposing that the doubling cases of the reflexive, including *a sí mismo*, have a different structure where SE is introduced as part of a complex DP structure together with the *mismo* structure, which we will not discuss here–.



Once SE is in the spec, AspP position, it links to a transition subevent, and it blocks the case-checking relation between the internal argument and the head little *v*, by intervening between *v* and DP. This is what forces a reflexive construction not to introduce a direct object in the derivation: if present, as in (187), the derivation will not be convergent because the internal argument has no way to receive case.

The anticausative SE is a situation where SE projects as part of little *v*, absorbing the initiation subevent –the causative component–, thus delinking also the argument that would be associated to that subevent, the agent or causer.



Thus, SE is inherently aspectual in all cases: the ability to remove arguments is either due to its absorbing a subevent, and with it the argument related to it, or explained by blocking of a case-assignment relation; the aspectual effect follows directly from its role as an aspectual element that can introduce more subevents. However, the aspect that Kempchinsky (2004) does

not address, going back to (186), is the variation as a reflexive element. Remember that aspectual SE coincides in features with the subject, not the object:

- (189) a. Alberto se bebió un café.  
           Alberto SE drank a coffee  
           'Alberto drank a coffee up'  
       b. Yo me bebí un café.  
           I ME drank a coffee  
           'I drank a coffee up'

Assuming that in (186) the internal argument displaces to a projection in whose head SE is introduced, one would expect that SE matches features with the internal argument, not the external argument. The solution could be not to displace the internal argument to DP and letting it check boundedness with aspect without movement; this would force treating boundedness as an agreement feature, a move that is possible but not clearly motivated for a language like Spanish, where agreement does not seem to be sensitive to boundedness.

For this reason, several approaches have proposed a reflexive analysis of the aspectual SE. They have in common the idea that the presence of SE does not trigger the aspectual change. Rather, the aspectual change is triggered by introducing a more complex verbal structure; the complexity forces that one single DP occupies more than one argument positions, and SE is introduced to mark that the DP is acting as two arguments at the same time, as a reflexive.

The analyses that behave in this way generally try to incorporate to the explanation also the affectedness or agent involvement effect that since Bello (1847) has been associated to this type of facultative SE.

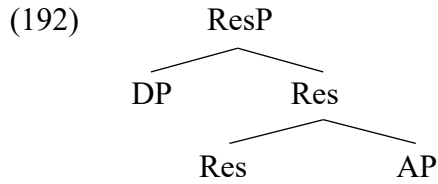
García Pardo (2021) is a very clean and explicit example of the first type of analysis. His concern is the pseudo-copulative verb in (190), which has a SE-less pair that is aspectually different.

- (190) a. quedarse  
           remain-SE  
       b. quedar  
           remain

While (190b) is stative, (190a) has two interpretations: one that involves a change of state (191a) and one that is stative (191b) but where it is entailed that the subject is involved as an agent in maintaining that state, that is that the subject puts effort in staying quiet (see Bull 1952).

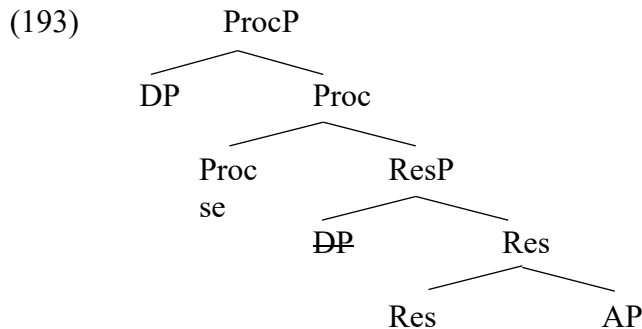
- (191) a. Juan se quedó dormido.  
           Juan SE remained asleep  
           'Juan fell asleep'  
       b. Juan se queda callado.  
           Juan SE remained quiet

His proposal in that quedar has a simple stative structure as in (192). No SE is necessary here.

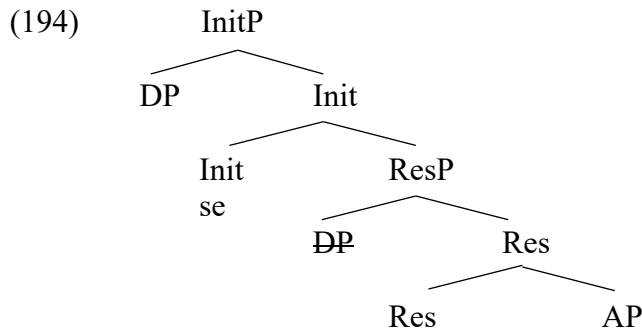


La silla quedó vacía.  
'The chair remained empty'

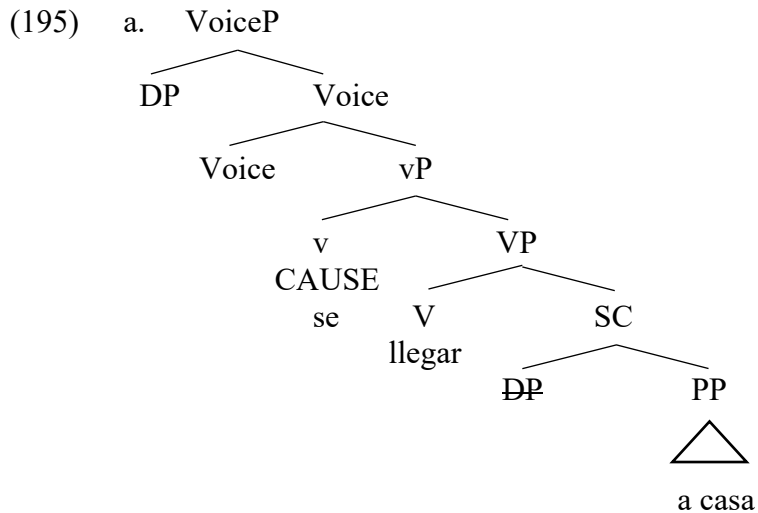
SE marks, as a head, the presence of a second verbal subevent where the specifier DP is identical to the DP in the lower aspectual event. The change of state reading is obtained where a projection corresponding to a dynamic event, Proc, is projected over the stative head.



The agent implication in (191b) is obtained when the second subevent corresponds to a causative subevent, Init, that defines its subject as an agent.



A similar analysis is done by Jiménez-Fernández & Tubino (2014), who try to relate also the aspectual effect to the presence of additional structure, as in (195a) –simplified with respect to their original structure, but where crucially the small clause (SC) introduces a predication between the subject and a particular location, entailing permanence on the result location expressed by it–.



- b. El hombre se llegó a mi casa.  
 the man SE arrived to my house  
 'The man came to my house and stayed there some time'

The analysis is complicated by the geographical variation on how (195) is interpreted, but here we focus on the reading that this predicate has in some varieties of Andalusian Spanish – other varieties, such as the one in Madrid, take (195) as meaning 'to approach' without necessarily arriving to the place–. Let us assume the intended reading in the original article we are reviewing.

As can be seen in (195a), it can be argued that the approach shares spirit with García-Pardo's, specifically in that SE is related to the presence of an argument in two different positions in the structure; here, SE is introduced in a verbal head that involves causation, highlighting the contribution of the aspectual SE to the involvement of the agent: (195b), beyond the aspectual meaning that forces the presence of a result state where the subject stays for some time, we have the effect that the subject performed the movement event involved as an agent that controls it. In fact, (195b) cannot mean that the man arrived to my house unless he had the explicit intention of doing so, that is, it cannot be that he mistakenly arrived to my house when he intended to go somewhere else.

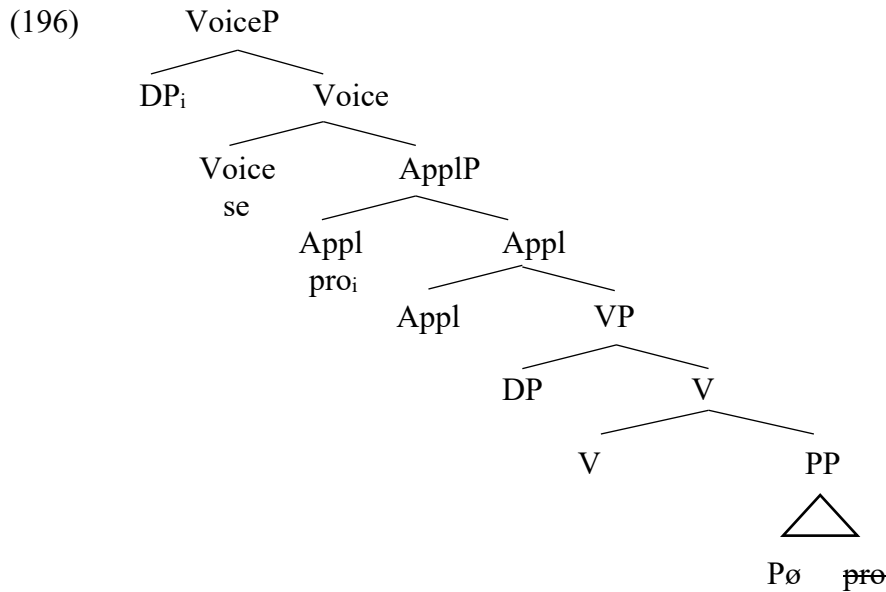
These different analyses present different views of the aspectual SE cases, but do we really have an aspectual SE? The proposal that aspectual SE should be removed from the list of uses of SE, or at least that the range of cases where that label can be applied with cause should be severely restricted, has also been made in the literature. The next three subsections discuss different aspects of this approach.

### 5.3. Aspectual SE, transitive SE and agentive SE

Transitive cases of aspectual SE have been reinterpreted as cases of other uses of SE in different works. There are two main types of reinterpretations of the notion of aspectual SE: (i) an analysis that treats the aspectual cases as instances of reflexives, specifically dative reflexives and (ii) analyses that reduce aspectual SE in part or wholly to a type of SE that defines an agent.

The first option is an instance of the analysis of aspectual SE as a reflexive, but not relating it to an additional aspectual head. This analysis connects with the traditional view of these SE structures as dative reflexives. MacDonald's (2017) proposal emphasises the subject affectedness in the event related to aspectual SE uses, and is illustrated in (196).





In a nutshell, aspectual SE transitive predicates involve a more complex argument structure than their SE-less counterparts. They involve a covert PP structure which introduces an empty pronoun that is coindexed with the external argument in VoiceP. They additionally involve an applicative structure that defines an indirect object which corresponds to the affected pronoun, which takes the external argument –the agent– as its referent. Like this the structure explains that the aspectual SE structures involve the implication of the agent as an entity that is somehow affected, positively or negatively, by the event itself. SE is introduced in the voice head, and as it gets its features assigned by the external argument, it marks the dative pro argument with which the agent is coindexed: SE spells out voice because the dative and the agent are coreferential, therefore as an instance on reflexivity.

A strong argument in favour of an analysis like this comes from Rigau (1994), that notes that the presence of the so-called aspectual SE in fact precludes another beneficiary or dative affected entity from appearing, as expected if in fact SE corresponds to a dative:

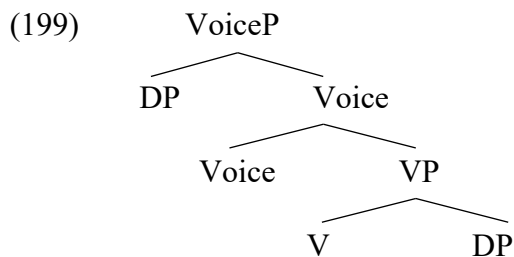
- (197) a. Juan le leyó un libro a su madre.  
 Juan her.dat read a book to his mother  
 b. Juan se (\*le) leyó un libro (\*a su madre).  
 Juan SE her.dat read a book to his mother

Starting from this type of analysis, MacDonald (2017) derives the other effects from the configuration without positing a specific aspectual head. The boundedness requirement that aspectual SE imposes in the direct object is not treated in this analysis as a requisite of telicity; note that in this analysis SE relates to a dative or goal that is affected by the event, and does not enter into any direct relation with the internal argument, unlike Kempchinsky (2004) and other analyses. In fact, MacDonald (2017) notes that the aspectual SE can appear with stative verbs, hence atelic, with the same effect on the boundedness of the internal argument. The set of verbs involved here is quite restricted, and they share the property of being verbs expressing intellectual states. Note that other stative verbs not belonging to this class lack this option, no matter how delimited the object (198e).

- (198) a. Juan se cree lo que has dicho.  
 Juan SE believes that what have said  
 'Juan believes what you have said'

- b. Juan se sabe la lección.  
Juan SE knows the lesson
- c. Juan se conoce muy bien a María.  
Juan se knows very well DOM María
- d. \*Juan se sabe cosas.  
Juan SE knows things
- e. \*Juan se tiene todos los libros.  
Juan SE has all the books  
Intended: 'Juan has all the books'.

Thus, what derives the requisite that unbounded bare nouns cannot appear in aspectual SE cases? MacDonald (2017) proposes that this is because the VP structure required in this construction is in fact different and more complex than the one in the absence of SE. In (196) above, the direct object is the subject of the VP structure, in a specifier position. If bare nouns are generally blocked from specifier positions, the constraint that aspectual SE imposes in these objects naturally follows. In the SE-less cases, the object is in the complement of V position, thus allowing bare nouns, and therefore unbounded cases.



Even though throughout the article MacDonald uses the label 'aspectual SE', one could in fact argue that MacDonald's (2017) analysis makes the label lose its original meaning, as far as the absence of a systematic aspectual effect on the predicate goes. Even though MacDonald (2017) uses the boundedness of the direct object as a criterion to determine which predicates belong to this class, his analysis treats the relevant constructions as instances of reflexive SE cases where the agent and the dative end up being coreferential and the boundedness effect is not directly related to aspect. What MacDonald (2017) codifies in the syntactic structure is, then, the affectedness effect of 'aspectual' SE with respect to the subject, not the telicity information—remember the stative examples in (198)—.

There is a second, different kind of critique of aspectual SE, going back to DiTullio (2012) and Armstrong (2013), specifically that transitive verbs with aspectual SE should be reinterpreted as verbs that have a use of SE that we could label 'agentive'.

Here are the arguments for the agentive approach, closely following DiTullio (2012; see also Armstrong 2013):

- i) The range of verbs that accept the so-called aspectual SE share a significant property of the external argument: they cannot be anticausativised. This suggests (remember also the discussion by Koontz-Garboden 2009) that these verbs force the presence of an external argument distinct from the internal argument (note that in 200 it is possible to interpret for instance a passive or middle SE).

- (200) a. #El bocadillo se come.  
the sandwich SE eats

Intended: 'The sandwich gets eaten by its internal properties'

b. #El bolero se baila.

the bolero SE dances

Intended: 'The bolero becomes danced by its internal properties'

ii) Verbs that allow an anticausative pair reject the so-called aspectual SE:

(201) a. El televisor se rompió.

the TV SE broke

'the TV broke'

b. \*Juan se rompió dos televisiones.

Juan SE broke two televisions

iii) Verbs that allow the so-called aspectual SE only do so when the external argument is an agent, not a causer or any other type of initiator.

(202) a. Juan se lavó cincuenta platos.

Juan SE washed 50 plates

b. #La lavadora se lavó cincuenta calcetines.

the washing-machine SE washed 50 socks

(Unless personified)

c. \*Este jabón se lava cincuenta calcetines.

this soap SE washes 50 socks

iv) Verbs that are otherwise transitive and might in principle satisfy the aspectual requisite do not allow the so-called aspectual SE if their subject is not an agent.

(203) a. \*Juan se recibió catorce cajas.

Juan SE received 14 boxes

b. \*Juan se oyó el disparo.

Juan SE heard the noise

c. \*Juan se reconoció su error.

Juan SE admitted his mistake

v) The so-called aspectual SE imposes the entailment that the subject performs the event with willful intent. In (204a) the subject might smell the roses by accident, while in (204b) one rather interprets that Ana's job is to check that roses smell fragrantly or something along these lines where Ana smells them on purpose and intending explicitly to do so. Similar points can be made about other perception verbs, like *ver* 'see', *oír* 'hear'.

(204) a. Ana olió cincuenta rosas.

Ana smelled 50 roses

b. Ana se olió cincuenta rosas.

Ana SE smelled 50 roses

vi) One can have sentences where the so-called aspectual SE appears but where there are bare nouns or other unbounded expressions. The facts are more controversial here from the perspective of grammaticality judgements. Armstrong (2013) offers the example in (205b), which he –as well as the author of this article– considers grammatical. García Fernández

(2015), who treats transitive verbs with SE as cases of aspectual SE, finds the sentence ungrammatical.

- (205) a. Sócrates se tomó veneno. [Romero Pascual & Teomiro 2012]  
           Socrates SE took poison  
       b. El niño se tomó veneno.  
           the boy SE took poison

We would like to note in passing that there is some possibility that the examples in (198) should be analysed as instances of agentive SE. The nature of the verbs as expressing knowing or thinking makes it impossible to test whether only human subjects are allowed –as the verbs anyways would involve this type of subject–, but it is possible to point out that (198) tends to be perceived as involving more of a conscious effort on the part of the speaker to have acquired the mental state through his or her personal involvement in studying it, memorising it, etc., and perhaps maintaining the state denoted by the verb. In the reflexive version of *creer* 'believe', one gets the feeling that the subject insists on holding that thought. Similarly, *saberse* presupposes that there was a previous learning process where the subject tried to acquire the knowledge on the expectation that someone might actually check that he has the knowledge: *Juan se sabe la lección* 'Juan SE knows the lesson' suggests that Juan would make an effort to study it again if he thinks that he is forgetting that knowledge.

In all fairness, not all aspectual SE cases have been claimed to be agentive SE cases in the literature that defends the existence of this type of SE. Armstrong (2013) notes that (205b) lacks agent entailments and proposes that it is an instance of a transitivising SE, which we will discuss immediately. This weakens the argument against aspectual SE, but De Benito (2021) identifies in her corpus study more cases of apparent aspectual SE with unbounded objects. It is true that in some cases there are adjectives (206a) that might delimit the noun in an indirect way –similarly to how some nouns modified by adjectives can appear as preverbal subjects without determiners– but this is not so in all cases (206b).

- (206) a. Se ha tomado leche cruda.  
           SE has taken milk raw  
           'He had raw milk'  
       b. Pasarnos noches en la cuadra.  
           pass-SE nights in the stable

These arguments support that at least some of the uses of SE that have been classified as aspectual are rather considered as agentive or some other type of construction. Di Tullio (2012) proposes reducing all cases of aspectual SE to agentive SE cases, without providing a formal analysis. Armstrong (2013), in contrast, dissolves the notion of aspectual SE but does not claim that all instances of transitive predicates with SE are agentive. For him, the cases above (with the exception of 205b) are all cases of agentive SE, but he allows a second type of SE, transitive reflexive SE, which accounts for the cases where there is no agentive entailment. (205b) can be supplemented with a modifier that indicates absence of agentivity or willful intent (207).

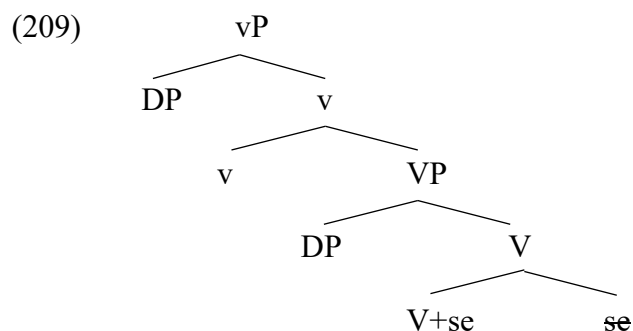
- (207) El niño se tomó veneno sin querer.  
           the boy SE had poison without wanting

This transitive reflexive SE use identified by Armstrong (2013) is defined through negative properties: it does not impose an agentive reading to the subject and it does not have a systematic aspectual effect on the predicate: its role is simply to define a different type of

predicate by composing with the predicate. Other relevant cases of this transitive SE use are provided in (208), none of which involves an agent with wilful intent.

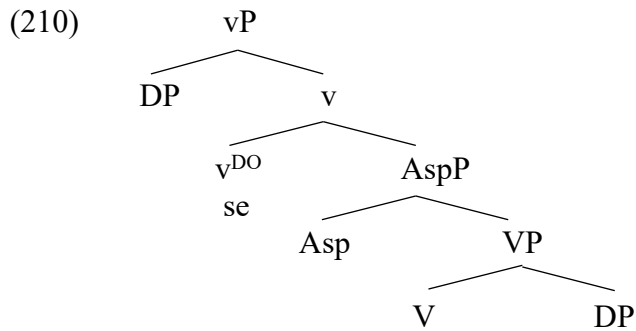
- (208) a. La mesa se come la habitación.  
           the table SE eats the room  
       b. Me dejé las llaves en casa.  
           SE left the keys at home  
           'I left the keys at home'  
       c. Juan se encontró a María.  
           Juan SE found DOM María  
       d. El viento se llevó las hojas.  
           the wind SE took the leaves

A very similar example to (208a) is in fact mentioned by DiTullio (2012), who claims that it does not constitute a counterexample to her proposal as far as the verb *comerse* has a different meaning as the verb *comer*, unlike the normal cases of agentive SE. The transitive reflexive SE is, in Armstrong's analysis, a type of SE that occupies one of the argument positions of VP and incorporates to the V head from there. This is what makes (208a) have a different meaning from the SE less element; defining a new V, this transitive reflexive SE can alter the selectional restrictions of the predicate, and will not have any defined aspectual effect on it, as it acts as an argument. (206) shows the structure proposed in Armstrong (2013; see also De Cuyper 2006).



Note that in (209) the direct object is necessarily located in a specifier position, just like in the relevant examples by MacDonald (2017): this opens the door to explaining the difficulty of having bare nominals in this context through the configuration and not through any kind of aspectual role.

In contrast, the structure associated by Armstrong (2013) to agentive SE treats SE as an instantiation of a particular type of little v head, a version of a DO little v that forces presence of an agent, and moreover comes tagged with features that force the rest of the structure to define an initial and a final subevent, which SE checks, in an approach similar to Kempchinsky (2004).



The features in the little *v* represented by SE determine that the internal argument has to be bounded and that there is an accomplishment reading, in accordance with Nishida's (1994) description. SE then has two different sources in the purely agentive cases and in the transitive cases, where in the second SE is not part of the predicate and therefore cannot impose an aspectual interpretation or force an agentive construction. In this sense, Armstrong contrasts with DiTullio, who had no specific way to account for the aspectual effects in the transitive clauses. The price to pay is that SE has to be treated either as an argument or as a predicate, somehow circularly, depending on whether the structure has effects on aspect or not.

#### 5.4. Possibly agentive statives

The possible reduction of aspectual SE to cases where what is relevant is in fact the agentivity entailments –total reduction, as in DiTullio (2012), or partial reduction, as in Armstrong (2013)– finds another battling ground in the case of the pair in (211).

- (211) a. *estar*  
           *be<sup>estar</sup>*  
       b. *estar-se*  
           *be<sup>estar</sup>-SE*

The copulative verb that in Spanish is traditionally associated to stage level predicates is, without SE, uncontroversially stative and non-agentive. The cases with SE, however, display different properties which are most easily noticed in the imperative: imperative sentences with this predicate require (212) –particularly in the second person singular; there is more variation with respect to the second person plural–.

- (212) *Está-\*(te) quieto.*  
       *be-SE still*  
       'Be still!'

There are three other contexts where speakers identify some contrast, although not as strong as the imperative: the first one is in combination with agent-oriented adverbs.

- (213) *Juan ??(se) estuvo callado a posta.*  
       Juan SE was quiet willingly  
       'Juan was willingly quiet'

The second is as the infinitive of a control verb, although here the contrast is much less clear for many speakers, who don't need the SE form in such cases:

- (214) Pedro obligó a María a estar-??(se) despierta.  
Pedro forced DOM María to be-SE awake

Finally, some speakers note that the use of the eventive verbal pro-form *hacerlo* 'do it' is more natural in combination with SE.

- (215) Lo que hizo Juan en la reunión fue estar-??(se) callado.  
that what did Juan in the meeting was be-SE quiet  
'What Juan did at the meeting was to stay quiet'

Several recent works have addressed the issue of the pair in (208), and as in the case of the transitive aspectual SE cases, the controversy is whether this is an instance of an aspectual or an agentive SE (Morimoto 2008, 2011; Gómez Vázquez & García Fernández 2013, García Fernández & Gómez Vázquez 2015, Marín & Fábregas 2018). In a nutshell, Morimoto (2008) interprets the facts above as a sign that the addition of SE alters the aspectual properties of the copula by forcing it to denote a result state; in order to be a result, the predicate now has to presuppose a previous event that leads to that result, and Morimoto proposes that this presupposition involves an agentive event, which explains the combination with control verbs or imperatives. Thus, for Morimoto (2008) the verb with the reflexive is still stative, but presupposing a previous event which might introduce some agentivity entailments.

García Fernández & Gómez Vázquez (2015) closely follow the general approach to aspectual SE in De Miguel & Fernández Lagunilla (2000) and propose that *estarse* is a complex event composed of an achievement and a result state –thus operationalising the conclusion in Gómez Vázquez & García Fernández (2013) that the verb with SE displays mixed properties between states and events. In contrast to Morimoto (2008) this means that this verb contains an eventive component, but one that does not involve any type of incremental change because it involves an achievement. This explains that the verb disallows progressive periphrases, although marginally giving a preparatory stage reading ('is about to become quiet') and rejects modifiers that presuppose incrementality:

- (216) a. \*Juan se está callado poco a poco.  
Juan SE is quiet little by little  
b. ??Juan ya iba estándose callado.  
Juan already went being-SE quiet  
Marginally interpretable as 'Juan already was about to become quiet'

Having an achievement component, the verb cannot in principle have agent entailments, as generally achievements are non-agentive.

Marín & Fábregas (2018) argue against the analyses with a result state involving an achievement, showing that the predicate is atelic, unlike what the achievement + result state would predict:

- (217) \*Juan terminó de estarse callado.  
Juan ended from be-SE quiet  
Intended: 'Juan finished the process of becoming quiet'

In the analysis of these authors, the aspectual structure of *estarse* is affected with respect to the SE-less member, but in the sense that the state gets added an initial boundary that sets a starting point of change, without defining the final point where the change culminates.

(218) [-----]

This initial boundary is associated to the presence of an agent which is added to the structure, in a sense close to what García Pardo (2021) considers an agentive state where the state defined by the copulative verb is incremented by a second head, higher, which introduces a causative relation between an agent and the state. This causative relation is what defines the initial boundary, explaining that *estarse* gets an agentive reading and gets its aspectual properties altered so that it does not denote a pure state, but rather an inchoative state, adding some degree of eventivity to the verb without defining a full telic event or properly a result state following the culmination or endpoint of the previous event. Thus, the agentivity entailments are more relevant in the pair than the aspectual effects, adding to the type of analysis that treats aspectual SE as an agentive SE whose aspectual effects are identifiable.

### 5.5. *Aspectual SE and the intransitive uses*

In two separate works, García Fernández (2011, 2015) has questioned the specific analysis of aspectual SE in the case of intransitive, typically achievement predicates. His research leads to two conclusions: (i) many of the cases of intransitive predicates with SE that have been analysed as aspectual are probably instances of other types of SE –while admitting that aspectual SE exists in combination with transitive incremental verbs, typically accomplishments–; (ii) in the cases that remain of real aspectual SE with intransitive predicates, the correct characterisation of such cases is the notion of traversing a boundary, in many cases the initial boundary of movement, the source where movement is initiated. Let us review this.

García Fernández (2011) notes first that the list of intransitive verbs with aspectual SE is pretty short in the literature, and secondly that there are no less than 8 aspectual structures proposed for them in the works that extend the notion of aspectual SE to intransitive verbs, without any attempt to delimit the conditions for belonging to one or the other class. García Fernández (2011) proceeds to reanalyse the most typical cases, which are listed in (219):

- (219) a. Juan se murió.  
           Juan SE died  
       b. Juan se durmió.  
           Juan SE slept  
           'Juan fell asleep'  
       c. Juan se fue de casa.  
           Juan SE went from home

These, in fact, involve the three main ways in which García Fernández (2011, and then more specifically for movement verbs, 2012) reanalyses the aspectual SE cases.

The case of (219a) is an instance of a case where aspectual SE apparently would not have any visible aspectual effect in the predicate, as we already discussed above, because the predicate without SE is also telic, achievement-like and with exactly the same difficulty of expressing a reversible result state. Given the aspectual neutrality of this aspectual SE, García Fernández (2011) rejects the option that it simply marks an aspectual property that was already present in the predicate and proposes that it should be reanalysed as closed to anticausative SE, in the sense that this SE marks the notion that the event happened by accident, without the intervention of any external entity that causes the death, and with the sole internal participation of the subject, which is not an agent either. Though grammatical, (220) is deemed as false because we know that García Lorca did not die by accident, but was murdered.



- (220) García Lorca se murió en Granada.  
 García Lorca SE died in Granada

This anticausative SE involving through its presence the notion that the event happens by accident, without any kind of external control, is also proposed in other cases of SE, now with movement verbs:

- (221) a. Durante el accidente, el muerto se salió del ataúd.  
 during the accident, the corpse SE exited the coffin  
 'During the accident, the corpse fell out of the coffin'  
 b. Si abres el grifo, se sale el agua.  
 if open the faucet, SE exits the water  
 'If you open the faucet, water spills over'

The case in (219b), involving *dormirse*, has the problem that, if right, the aspectual SE analysis would be a unique instance in Spanish where aspectual SE moves the predicate from an activity (*dormir* = *to sleep*) to an achievement (*dormirse* = *to fall asleep*). García Fernández (2011) proposes that this verb is in fact an instance of an anticausative SE which forms pair with the causative verb in (222).

- (222) a. Pedro durmió a su hijo.  
 Pedro make.sleep DOM his son  
 'Pedro made his son sleep'  
 b. Pedro se durmió.  
 Pedro SE slept  
 'Pedro fell asleep'

What gives the impression of aspectual SE is the existence of a second intransitive verb *dormir*. García Fernández proposes that this verb, in fact, is a different verb from *dormirse*, although morphologically related to it, and notices that in other Romance languages the verbs are differentiated by something more than the presence of SE: cf. French *dormir* / *s'endormir* and Italian *dormire* / *addormentarsi*. Thus, the presence of SE in the case of (222b) is not aspectual, and the aspectual difference is unrelated to it.

The case in (219c) combines with the 'by accident' or 'without the participation of an external agent' explanation with a locative notion of source. García Fernández (2011, 2015) proposes that the presence of SE in a robust group of movement verbs is related to the existence of a source of motion where the movement starts. In the following examples, the absence of SE makes it impossible that a from-phrase is present.

- (223) a. Juan \*(se) fue de su casa.  
 Juan SE went from his house  
 'Juan left his house'  
 b. La estatua \*(se) cayó de la cornisa.  
 the statue SE fell from the cornice  
 c. El dinero #(se) me sale del bolsillo.  
 the money SE me exists from.the pocket  
 'The money falls from my pocket'  
 d. \*(Me) llevé el libro de la biblioteca.  
 SE carried the book from the library  
 'I took with me the book from the library'

In other words: if one wants to express the origin of the movement, one must mark with SE, which means that in such cases the presence of the clitic refers to the presence of some boundary that has to be traversed. One could extend this analysis to the pair *dejar* 'leave' and *dejarse* 'to leave-SE', where the second involves that the speaker had the intention to take something with him but fails to do so, and moves away from the location where the object has been left (*Me dejé las llaves en casa* 'I left the keys at home', where the subject must move away from the location of the keys).

In fact, this is expanded in García Fernández (2015), where the aspectual interpretation of these movement predicate facts is maintained or even restored with respect to (2011). García Fernández (2015) proposes that the presence of SE with movement verbs introduces a notion of origin, which explains the oddness of the following cases, because we do not conceptualise these entities as coming from any location.

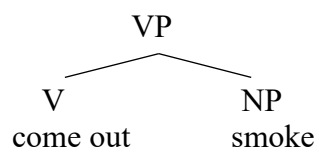
- (224) a. #Se salió el sol.  
SE came.out the sun  
b. #A Juan se le salió un grano.  
to Juan SE him came.out a pimple  
c. #Se cayó un rayo.  
SE fell a lightning  
d. #La nieve se cayó en el carro.  
the snow SE fell on the cart  
(unless the snow was already located on some roof, from where it falls)

This SE, then, alters the argument structure of the predicate by marking movement as coming from some particular place. Following Sánchez López (2002), García Fernández (2015) also proposes that the addition of SE in these cases makes the predicate move from an unaccusative to an unergative verb where the subject is defined as an external argument.

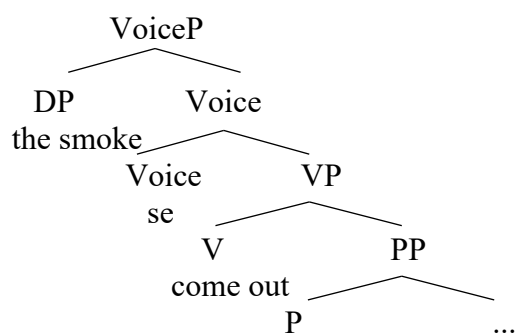
- (225) a. Sale humo (de la chimenea).  
comes.out smoke from the chimney  
b. \*Se sale humo (de la chimenea).  
SE comes.out smoke from the chimney  
(cf. *El humo se sale de la máquina* 'The smoke SE comes out of the machine')

The proposal that (225b) is an unergative verb explains the contrast between (225a) and (225b) in a similar way than MacDonald (2017) explained the presence of boundedness in direct objects with the transitive aspectual SE cases: the subject can only be a bare noun in a complement position.

- (226) a. SE-less directional movement verb



## b. SE-marked directional movement verb



This author adopts Kratzer's (1996) hypothesis to introduce the external argument with Voice rather than vP, but beyond this his approach is similar to Basilico's (2010) and the rest of approaches that treat SE as one manifestation of specific flavours of the predicate that introduces the external argument. In (226b), the SE marker introduces a voice head that projects the subject as the external argument. This has the syntactic effect of forcing it to be introduced by a determiner and of liberating the complement position of the VP to introduce the origin PP. Admittedly, this does not explain why that PP must be origin, but one could speculate that there is a semantic correlation between an external argument taken as the origin of the event, because it initiates it, and the locative notion of origin of the path of motion. Moreover, one could think that the structure of the VP is more complex and a directional preposition expressing movement to a location is also present in both structures, so that the internal argument position that the Voice head liberates must be occupied by an origin PP, because the directional PP is already present in the structure.

Once proposed, that structure can also be extended to some of the other examples of apparent SE with verbs that are not of movement. The effect obtained with *morirse*, where the subject is the sole responsible of the event, and the effects related to the accidental happening of the event without intervention of an external agent, could also be explained in the structure (226b), because the argument that participates in the event is already occupying the external argument position, so there is no place for another entity that is interpreted as the causer of the eventuality. It is true, however, that the notions of accidental event and 'subject as the only responsible of the event' are not coextensive, and should be viewed at best as two alternative readings of the same structure, which one would be forced to apply to different predicates, perhaps due to their lexical differences. For instance, the contrast in (227) suggest that with *salir* the accident reading is imposed –a corpse cannot be responsible of coming out of a place–, while with *ir* the sole responsible entity is imposed, as there is no accidental event reading that gets imposed and without SE one can interpret that some other entity moves the corpse.

(227) El muerto #(se) salió de la tumba.

the corpse SE came.out of the tomb

(228) El muerto (#se) fue de Madrid (a Bilbao).

the corpse SE went from Madrid to Bilbao

García Fernández (2015) also extends this interpretation of SE as a head in Voice imposing an external argument reading to the transitive aspectual cases, like (229), where he argues that there SE-marking is optional because the verb alone already contains a Voice head that defines an external argument that initiates the event. Thus, SE is not necessary to impose that argument structure, but it can be added –sometimes with lexical effects on the meaning of the predicate– without substantial changes on the syntactic construal.

- (229) Juan (se) comió un bocadillo.  
 Juan SE ate a sandwich

Thus, to recapitulate so far: we have seen that the notion of aspectual SE is controversial. There is a discussion with respect to (i) to what extent this aspectual SE can be reanalysed as a reflexive SE that is introduced in extra argument positions created by additional verbal heads, which are responsible for the aspectual effect; (ii) to what extent the effects on the subject that this SE has, as inducing forms of affectedness to the subject, or increasing the agentivity of the subject as sole responsible of the event, or excluding the possible participation of additional external agents, can be used to replace the aspectual interpretation and (iii) what specific aspectual change does this SE produce, with transitive verbs being somehow more homogeneous –they are accomplishments which involve incremental notions– than intransitive verbs –where the effect has been argued to be to add a result state to an achievement, but where the effect is not always as clear–. The analyses vary with respect to whether SE here is taken as a reflexive argument filling a position created by an additional verbal or prepositional head, with possible agent effects through coindexation, or as the manifestation of a verbal head that introduces arguments in different configurations than the SE-less version. In the second case, there is more or less explicit connection with the anticausative SE. Thus, the analyses that do not want to acknowledge a separate category of aspectual SE reduce it to a reflexive or to an anticausative SE. See also §6.4 below, where we analyse the case of figure SE as one possible way to reanalyse some of the intransitive 'aspectual cases', but where we will show that the reduction cannot be complete.

#### 5.6. Relation between anticausative SE and aspectual SE

The analyses that we have seen so far try to reduce the aspectual SE to reflexives or anticausatives, but there is an additional option in the literature: to treat at least part of the anticausative SE cases that we revised in §4 as actually aspectual.

The core empirical facet of the phenomenon that gives grounds to attempt this kind of unification is the existence of a class of anticausative verbs that can be marked with or without SE without incidence on their argument structure. Let's repeat the list of verbs here, taken from Vivanco (2015), although remember that this list is subject to variation among speakers and varieties.

- (230) caramelizar 'to become caramel', cicatrizar 'to scar', cristalizar 'to chrystalise', cuajar 'to curdle', despertar 'to wake up', encoger 'to shrink', enmohecer 'to become mouldy', ennegrecer 'to become black', enrojecer 'to become red', mejorar 'to get better', reventar 'to explode'

Given that there are no effects in the argument structure of pairs such as (231a) and (231b), this opens the possibility that the difference between such pairs is aspectual.

- (231) a. Juan despertó de la siesta.  
 Juan woke.up from the siesta  
 b. Juan se despertó de la siesta.  
 Juan SE woke.up from the siesta

A number of scholars have argued that the difference between the SE-marked and the SE-less version of anticausative verbs that alternate is in fact aspectual in Italian and French (Zribi-

Hertz 1987, Labelle 1992, Folli 2001, Labelle & Doron 2010): the idea is that the SE-less version can be telic or atelic, but the SE version must be telic:

- (232) a. Le ciment a durci pendant trois heures.  
           the cement has hardened for three hours  
       b. \*Le ciment s'est durci pendant trois heures.  
           the cement SE is hardened for three hours

Notice that in French (232) or Italian, however, there is a second difference: the choice of the auxiliary, which might have a non-trivial effect on the aspectual information, affecting the extent to which this generalisation can be extended to modern Spanish, which does not differentiate between perfect auxiliaries. However, the authors mentioned above take the choice of the auxiliary as a direct consequence of the presence of SE, and thus reduce the effect to the presence or absence of SE, not the auxiliary. Basilico (2010) and Cuervo (2003, 2014) extend the pattern to Spanish and argue that SE concentrates on a result, while its absence, marked as  $\emptyset$ , concentrates on a process.

Extending these facts to Spanish is initially problematic because, as Vivanco (2015, 2021) notes, the SE-less version of the verbs in the list of alternating verbs might be telic, like the SE version of the same verbs. Some of them are in fact achievements.

- (233) a. Juan despertó.  
           Juan woke.up  
       b. La herida cicatrizó.  
           the wound became a scar

The approach is also problematic from the perspective of obligatorily SE-marked verbs: not all of them are always telic, so if SE marked telicity (or a result), these predicates should always be telic.

- (234) El té se enfrió durante diez minutos.  
           the tea SE cooled for ten minutes

It is also far from obvious that verbs which never mark with SE their anticausative version tend towards an atelic construal, which one should expect if in principle SE is related to a telic reading. The list in (235) contains verbs with clear achievement interpretations, like *resucitar* 'resurrect' or *enmudecer*.

- (235) adelgazar 'to become slim', aumentar 'to increase', blanquear 'to whiten', cambiar 'to change', disminuir 'to diminish', empeorar 'to worsen', engordar 'to become fat', enfermar 'to get sick', enloquecer 'to become crazy', enmudecer 'to fall silent', envejecer 'to grow old', hervir 'to boil', rejuvenecer 'to become younger', resucitar 'to resurrect'

Even acknowledging that the original proposal is that SE-less verbs can be telic or atelic, these facts are problematic to the extent that the claim is that SE relates to telicity and its absence, to atelicity at least in a group of alternating verbs: we should expect that the role of SE remains homogeneous across anticausative verbs if its role is truly aspectual. Moreover, one cannot simply claim that the absence of SE directly correlates with atelicity, even for the class of anticausatives that alternative between the two construals.

Vivanco (2021), in contrast to Vivanco (2016) who rejected entirely the aspectual role of SE in anticausatives, proposes a new vision of the aspectual role of SE in anticausatives. Her

claim is that SE in anticausatives is related to predicates that express change that goes through a multi-point scale of values that is closed or bounded, hence telic, while its absence simply signals the absence of a closed or bounded multi-point scale of values. This means that the absence of SE is compatible with two kinds of changes: changes across a multi-point scale, but which remains open and therefore gives an atelic reading, or changes across a single transition in two points, as in the case of an achievement.

Like that, *resucitar* 'resurrect', *despertar* 'wake up', and the other anticausative achievements that are not marked with SE fall within the predictions of the analysis: they express single transitions from one point (not having the property) to another point (having the property), without intermediate values; the predicate is telic, but there is no multi-point scale that underlies it. The atelic reading of the SE-less predicates, such as *enmohecer* 'to become moldy', directly follows: there is a multi-point scale with different values of moldiness, but that scale is open and the verb is atelic. In the case of SE-marked verbs which seem to be atelic, such as (234) above, Vivanco (2021) proposes that the predicate is indeed telic, but that the durative modifier introduces an additional interpretation that atelicises the event by selecting only a part of the internal closed scale.

Finally, with respect to the case of achievement predicates that can be marked with SE or not, such as (231) above, repeated here for convenience, Vivanco proposes that the effect of SE is in accordance with the multipoint scale requisite: the SE has the effect of producing an extended achievement where the initial and the final point of the change do not coincide in time, so that the running time of the event –in an intuitive sense– becomes extended.

- (236) a. Juan despertó de la siesta.  
           Juan woke.up from the siesta  
       b. Juan se despertó de la siesta.  
           Juan SE woke.up from the siesta

The extension, more specifically, means this: the SE-less version denotes a mere transition between not having a property and having it, while the SE-marked version extends the achievement so that it denotes the endpoint of the previous state and the initial point of the following state. This has the effect of separating in time the initial and final point of the transition, which in a normal achievement are simultaneous because the change is instantaneous. Therefore, with these predicates the SE-version allows combinations with phase verbs singling out the initial or the final stage:

- (237) a. Juan comenzó a despertar-??(se).  
           Juan began to wake.up-SE  
       b. Juan terminó de despertar-\*(se).  
           Juan finished of wake.up-SE

The SE version, in allowing a temporal extension of the achievement due to its preference in marking multi-point scales, also makes the verb compatible with *tardar* 'take long' in the reading where the internal duration of the event is measured –not the one where the starting point of the event is delayed by some time–.

- (238) a. Juan tardó una hora en despertar.  
           Juan lasted one hour in wake.up  
           'Juan's waking up was delayed by one hour', not \*'The process of waking up took Juan one hour'  
       b. Juan tardó una hora en despertar-se.

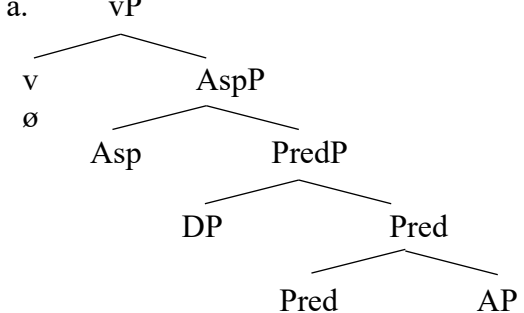
Juan lasted one hour in wake.up-SE  
 'Juan's waking up was delayed by one hour' or 'The process of waking up took Juan one hour'

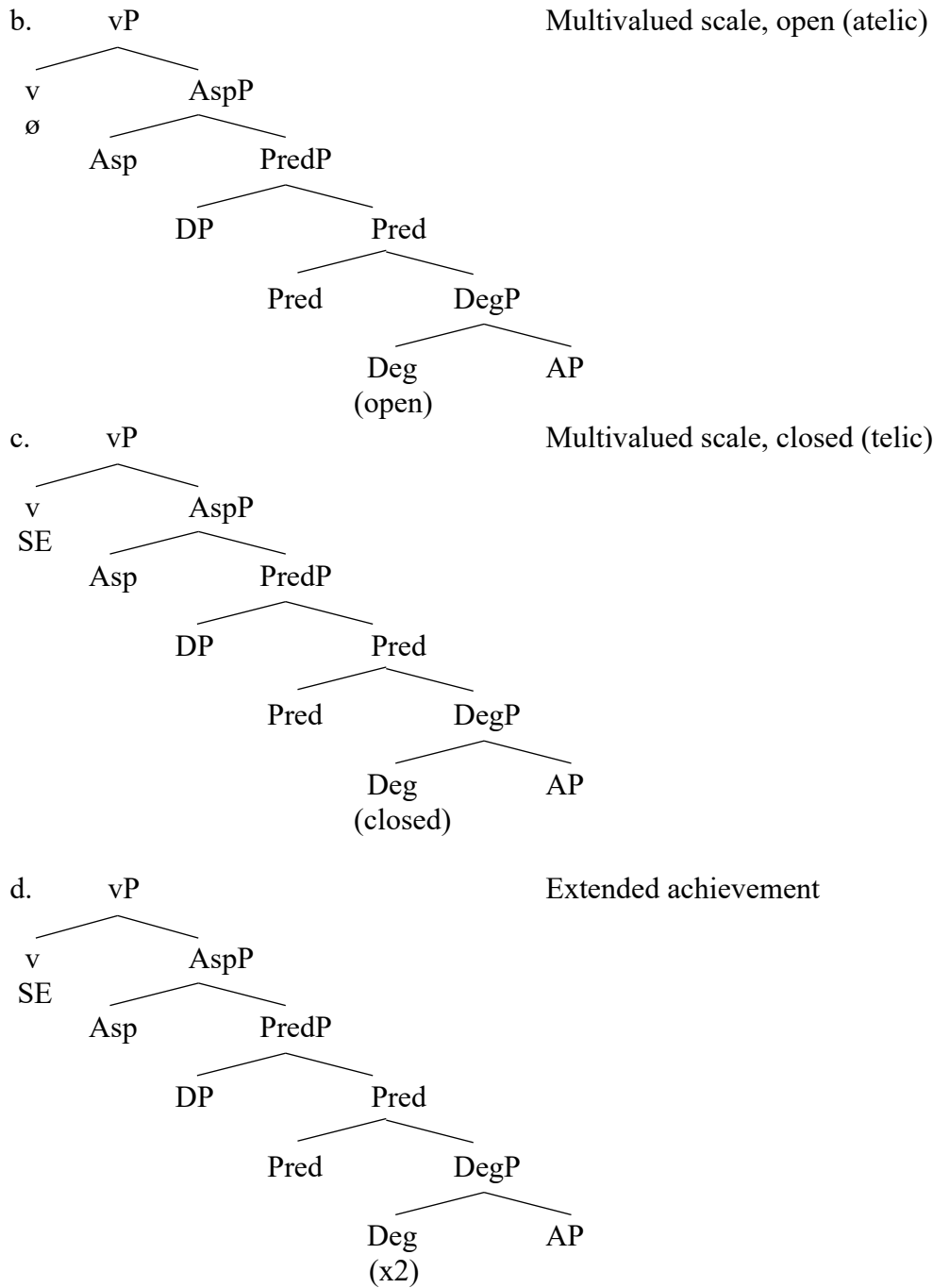
Thus, it seems that SE might be related to a specific aspectual value, although that value cannot be directly stated in terms of a coarse distinction between telic and atelic readings: SE correlates with a multi-point scale which incrementally defines a change of state. This is of course quite directly related to the main interpretation identified for aspectual SE in transitive verbs since Nishida (1994), which suggests that a unification of the two is possible.

The case of intransitive verbs with aspectual SE, discussed in the previous subsection, is still difficult to unify from this perspective, unless one takes the presence of an origin component in the movement verbs as a sign that the multi-point scale is also defined for the case of the path, defining both an origin and a goal of motion that close the scale while letting it be extended in space. Note, in this same respect, that one could try to extend the analysis to cases like *morir / morir-se*, where the contrast in (239) seems to be partially replicated.

- (239) a. Juan tardó una hora en morir.  
 Juan lasted one hour in die  
 'Juan's death was delayed by one hour', not ??'The process of dying took Juan one hour'  
 b. Juan tardó una hora en morir-se.  
 Juan lasted one hour in die-SE  
 'Juan's death was delayed by one hour' or 'The process of dying took Juan one hour'

The unification is also promising from the perspective of the analysis that Vivanco (2021) proposes for the anticausative SE; similarly to the approaches that treat aspectual SE as the manifestation of a verbal head, this author places SE in little *v*, and proposes –like Kempchinsky (2004)– an aspectual head immediately below *v* whose information –in terms of scalarity and boundedness– is sensitive to the existence of a degree phrase below it, which is what determines the presence or absence of SE: when there is a multivalued closed scale, SE spells out *v*, and when it is lacking, it is spelled out as  $\emptyset$ .

- (240) a.  No multivalued scale (achievement)



This ends our discussion of aspectual SE; let us now move to other lexical values of SE that affect the nature of the predicate.

#### 6. Predicate-affecting SE uses (4): other

Without doubt, among the so-called paradigmatic SE uses, which we have relabeled as 'lexical', the three most studied and established types are the reflexive-reciprocal, the anticausative and the aspectual, at least for the small set of cases where it might be present, which we will come back to. However, in the literature there are at least three other minor classes, the causative or factitive SE (§6.1), the antipassive SE (§6.2), the inherent SE (§6.3), and the figure reflexive SE (§6.4). Beyond these, and given the high degree of idiosyncrasy that the presence of SE has with some predicates, we will discuss what can be considered



lexical –therefore fully unpredictable– in the case of SE (§6.5). This section ends with a brief interim summary of all the lexical uses of SE reviewed up to now (§6.6).

### 6.1. Causative or factitive SE

The so-called causative or factitive SE (Cano Aguilar 1981, Kovacci 1986, Sanz & Laka 2002, DiTullio 2012, Armstrong & Kempchinsky 2021) has received relatively less attention than the other uses that we have discussed so far. This value of SE is illustrated in the examples in (241).

- (241) a. Juan se hizo un traje con este sastre.  
           Juan SE made a suit with this taylor  
       b. Juan se cortó el pelo en esta barbería.  
           Juan SE cut the hair in this barbershop  
       c. Juan se construyó una casa.  
           Juan SE built a house

The crucial criterion to determine that this is a factitive use of SE is the equivalence in meaning between (241) and (242): the subject is not the agent of the event, or even the person that controls the development of the event, but a causer that initiates the event indirectly by commissioning it to someone else, who acts as the real agent that develops the process.

- (242) a. Juan se hizo hacer un traje con este sastre.  
           Juan SE made make a suit with this taylor  
           'Juan made a taylor make him a suit'  
       b. Juan se hizo cortar el pelo en esta barbería.  
           Juan SE made cut the hair in this barbershop  
           'Juan made someone give him a haircut in this barbershop'  
       c. Juan se hizo construir una casa.  
           Juan SE made build a house  
           'Juan made someone build a house for him'

There is an agreement in the literature that this use of SE comes from the reflexive use: only predicates that have an empty slot for the direct object or the indirect object can participate in this construction. Kovacci (1986), in fact, treats factitive uses of SE in two categories: factitive intransitive (243), where the SE form appears in the place of a direct object, and factitive-transitive (244), where the SE form is in a bona fide indirect object position.

- (243) a. Juan se retrató en esta tienda.  
           Juan SE photographed in this shop  
       b. Juan se afeitó en esta barbería.  
           Juan SE shaved in this barbershop  
       (244) a. Juan se operó la mano en este hospital.  
           Juan SE operated the hand in this hospital  
           b. Juan se hizo un tatuaje en esta tienda.  
           Juan SE made a tattoo in this shop

Even though this is a clear connection between reflexive SE and factitive SE –remember that SE in reflexives and reciprocals is restricted to coreference between subject and direct or indirect object–, factitive SE never allows the form *sí mismo*, as shown in (245), sentences

which force Juan to be the agent of the event and not the instigator that makes someone else do it.

- (245) a. Juan se retrató a sí mismo en esta tienda.  
 Juan SE photographed himself in this shop  
 b. Juan se operó la mano a sí mismo en este hospital.  
 Juan SE operated the hand to himself in this hospital

The way in which this fact should be taken is far from clear. One could claim that this is an epiphenomenon of the property of *sí mismo*, that imposes an agentivity constraint on the subject, in such a way that it excludes the interpretation of the subject as instigator of a different agent. Remember however that the form is not incompatible with the bona fide experiencer subject of psychological verbs (246), so this restriction at best should be taken as a ban on causers rather than as a requirement on agents.

- (246) Juan se odía a sí mismo.  
 Juan SE hates DOM himself

The situation is further complicated by the existence of reflexive verbs that cannot accept doubling with *sí mismo*, as we discussed extensively in §3.1 –remember the grooming verbs and posture verbs, such as *afeitarse* 'shave', *levantarse* 'stand' or *tumbarse* 'lie down', which tend to reject the strong reflexive form too–. In fact, the factive interpretation appears typically with verbs that either are themselves grooming verbs, or that share with them the property of expressing events that typically affect the subject or are performed for the subject's benefit involving entities of the personal sphere, like *operar* 'operate', *hacer un traje* 'to make a suit', etc.

Another point of contact with other uses of SE is the agentive SE, reinterpreting the aspectual SE as in DiTullio (2012). Like factitive SE, agentive SE cannot be incremented with *sí mismo*, and involves an event that somehow affects the subject. Just like agentive SE, factitive SE requires human subjects that in principle could have been defined as agents. There is no way of interpreting (247) as factitive without personalising the subjects.

- (247) a. La empresa se ha hecho un logo nuevo.  
 the company SE has made a logo new  
 'The company made someone design a new logo'  
 b. El gusano se ha operado.  
 the worm SE has operated

The difference is of course that in factitive SE the reading is that of an instigator, not of the agentive entity that performs the event. In fact, DiTullio (2012) argues that this is directly expected if the grammar does not differentiate strictly between agents and causers within the argument structure of a predicate: if causers and agents are syntactically identical, together with other possible interpretations of the subject, and the difference between them is left to a conceptual semantic component, one could take factitive SE to be of the same type as agentive SE, with the only difference that here we are talking of different readings of the same subject and the general notion of 'initiating an event'. The agent initiates the event volitionally and controls the development of the action, while the causer initiates the event also volitionally but does not control the development of the action, with the possibility of delegating into someone else the specific carrying out of the event.

One syntactic fact supports the view that factitive SE is not different from agentive SE even if it triggers the interpretation that the event has a different agent: in contrast with the syntactic causative structure, there is no space to introduce an agent.

- (248) a. Juan hizo al peluquero cortarle el pelo.  
 Juan made to.the barber cut-him the hair  
 'Juan made the barber give him a haircut'  
 b. \*Juan se cortó el pelo al peluquero.  
 Juan SE cut the hair to.the barber

What one can do is to introduce an adjunct marked with a strong lexical preposition – instrument, company, place; never agent-introducing prepositions– that introduces another semantic participant interpretable as the notional agent, or a space where some worker carry the event out.

- (249) a. Juan se afeita siempre con este barbero.  
 Juan SE shaves always with this barber  
 b. Juan se afeita siempre en esta barbería.  
 Juan SE shaves always in this barbershop

A lexical fact also supports this view that the agent and instigator interpretations should be viewed as different conceptual semantic interpretations and not as receiving different structures with two locations for SE: as noted in Sanz & Laka (2002), the availability of the factitive reading depends on how we conceptualise the event denoted by the predicate.

- (250) a. Pedro se arregló la chaqueta en Valladolid.  
 Pedro SE fixed the jacket in Valladolid  
 b. Pedro se hizo la chaqueta en Valladolid.  
 Pedro SE made the jacket in Valladolid  
 c. Pedro se cosió la chaqueta en Valladolid.  
 Pedro SE sew the jacket in Valladolid  
 d. Pedro se tiñó la chaqueta en Valladolid.  
 Pedro SE dyed the jacket in Valladolid  
 e. Pedro se planchó la chaqueta en Valladolid.  
 Pedro SE ironed the jacket in Valladolid  
 f. Pedro se lavó la chaqueta en Valladolid.  
 Pedro SE washed the jacket in Valladolid

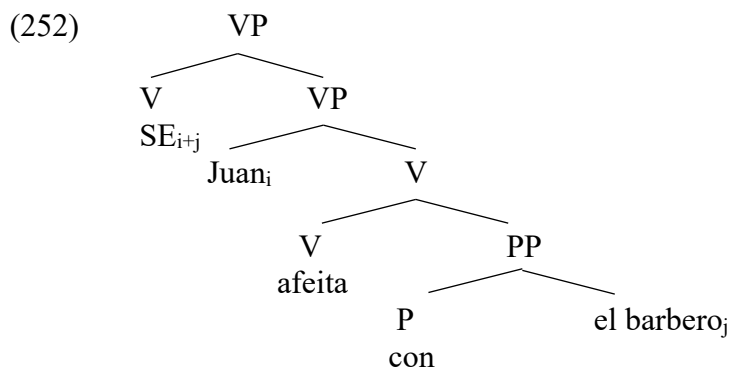
The factitive interpretation, in my own judgement, is very easy to obtain in (250a) and (250b), but more difficult –but not completely out, pace Sanz & Laka (2002)– in (250c,d,e), and totally out in (250f). Note that in all these examples we have transitive predicates which do not select an indirect object goal, and where the SE can be argued to act as a beneficiary; I am not aware of any syntactic difference between these verbs, although one can imagine conceptual differences related to how much expert skill is required to perform the event in particular, and therefore how much sense it makes that someone commissions someone else to perform the event for him instead of trying to do it by himself. I am claiming, indeed, that putting together, sewing or dyeing a jacket require more specialised knowledge than washing or ironing it, and therefore these last two verbs are less easy to interpret in a factitive construal. In some other cases, our world knowledge telling us that some people make a living shaving or giving haircuts to others allows us to interpret the event as factitive.

Finally, if the factitive SE is not a real class of SE structurally different from agentive, reflexive or aspectual SE, what we expect is that the factitive reading of the subject can be present in predicates without the intervention of SE, and this is actually confirmed.

- (251) a. Carlos III construyó la Puerta de Alcalá.  
 Carlos III built the Gate of Alcalá  
 b. Nerón quemó Roma.  
 Nero burnt Rome  
 c. Hitler abrió campos de concentración en Polonia.  
 Hitler opened camps of concentration in Poland  
 'Hitler opened concentration camps in Poland'

In all these cases, without SE, we see that we place as subjects of an event individuals that our world knowledge tells us were not the agents of the events: construction workers, paid mercenaries or individual soldiers and officials were the entities responsible for carrying out these events, and the subjects were the instigators that gave the order and never got their hands dirty. Now, if this reading can be obtained without SE, we confirm indirectly that the distinction between agent and causer / instigator does not depend on the presence of SE, and therefore that factitive SE should not be considered as an independent class of SE constructions. Rather, the evidence that we have just revised points out to the conclusion that the so-called factitive SE structures are additional interpretations that the subject can receive in the reflexive, agentive or aspectual SE configuration, simply in cases where the notion of external argument can be read, given our world knowledge, as the instigator of an event that is commissioned to someone else. The presence of SE, from this perspective, could be viewed simply as a benefactive dative SE, which means that the subject is the one that gets a benefit out of the action being performed.

We are only aware of one analysis where factitive SE is different from other syntactic uses of SE in terms of configuration. Masullo (1992: 236-238) in fact adopts a clearly reciprocal analysis of factitive SE where, crucially, he argues that this is a use of SE that is syntactically different from the reflexive, although related to it. He takes factitive SE to be a reciprocal predicate that is coindexed with the subject and with the (covert) agent that can however be expressed obliquely with *con* 'with' or other prepositions (remember 249). This SE is introduced as a second VP layer which dominates the one headed by the lexical verb, and therefore can define a different type of subject, as in (252).



Being coindexed with both arguments, SE controls the presence of the oblique agent; later on, the DP argument raises to the subject position and the lexical V incorporates to the V materialised as SE, building a new predicate with different subject entailments. Beyond the properties mentioned above, that show that there are no reasons to propose a distinct syntactic configuration for factitive cases, one major problem of this approach is that it is unclear how

SE ends up establishing the normal c-command relation with the PP and how the presence of two VP layers does not produce an increase in the number of DP arguments that could be projected. Let us now move to antipassive SE, where Masullo (1992) also made a major contribution related to the same type of incorporation analysis.

### 6.2. Antipassive SE

In the cases that we have seen so far, the role that SE has in impoverishing the predicate that it combines with can be seen as essentially disabling an argument position –with the exception of aspectual or agentive SE, which does not alter the argument structure of the predicates–, either by forcing coreferentiality of some internal argument with the external argument or by removing an external argument position. In the case of anticausative SE, an alternative explanation of why one argument disappears could be that the SE removes the capacity of the verb to assign accusative case to the internal argument. This could have the effect of forcing the internal argument to become the subject of the clause, to get case at that level, thus blocking the presence of another argument that could act as subject.

The term antipassive SE, which originally can be traced back to Deguchi (1978) –see also Masullo (1992), Bogard (1996-1997, 1999)– is reserved in some works to a SE that, apparently, when combining with a predicate also blocks its ability to assign accusative case to the internal argument but where, in contrast to anticausative SE, the solution adopted to solve the case problem is not to remove the external argument, but to introduce the internal argument with a prepositional structure that, presumably, is what assigns case to it. (253) illustrates one of such pairs, where the antipassive SE is in (253b).

- (253) a. Juan deshizo el nudo.  
           Juan undid the knot  
       b. Juan se deshizo del nudo.  
           Juan SE undid from-the knot  
           'Juan got rid of the knot'

Note two relevant properties: between (253a) and (253b) there are no differences with respect to the number of arguments that the verb requires. The difference is in how the internal argument is expressed syntactically: in (253a) it is a direct object defined by accusative case, while in (253b) the same internal argument, which is compulsory for the interpretation of the predicate, is introduced by a PP lexically selected by the predicate. The second relevant property is that there is a non-systematic difference in meaning in the type of event denoted in each one of the two cases, which in terms of the conceptual semantics refer to different types of actions: to undo something and to get rid of something. While in broad terms one can imagine more or less metaphorical extensions of the meaning of (253a) that would give us the meaning of (253b), here we don't have the systematic difference in meaning obtained in anticausatives (internally caused event or event causes without external participation), aspectual cases (telic increase, result state, unergativity) or agentive SE cases (wilful intent).

(254) provides a longer list of predicates where the literature has identified the antipassive value; they all behave like (253) in terms of the presence of a transitive SE-less version and a SE-marked version where the internal argument is introduced by a PP.

- (254) acordar-se 'to remember', arriesgarse 'to risk', burlarse 'to make fun of someone',  
 aprovecharse 'to take advantage', compadecerse 'to feel pity', despedirse 'to say bye',  
 evadirse 'to flee away from', lamentarse 'to regret'

The list is increased a bit if one includes, as Masullo (1992) does, other classes of verbs that have a family resemblance with (254) but do not correspond to the same contrast as in (253): (i) verbs that lack an internal argument without SE but that can include a PP argument with SE, such as *reír* 'laugh' vs. *reírse de alguien* 'to laugh-SE at someone' and (ii) verbs which are transitive without SE and have at least one use with SE in which they introduce an internal argument with a PP, even if they allow a second use where the internal argument can be accusative, such as *olvidar* 'to forget' vs. *olvidar-se*, which allows both *Se la olvidó* 'SE it forgot, he forgot it' and *Se olvidó de ella* 'SE forgot of her, he forgot about her' (similarly, *encontrar* 'to find'). Even though in this second case we might have two different uses of SE –perhaps aspectual like the one in *dejarse* 'leave' in the first case, and antipassive in the second–, we will not include these verbs in our list in order to keep the description as clear as possible to determine whether the class is really a grammatically relevant one. Similar considerations make less straightforward whether *soltarse* 'to let go of', which could be taken to be an anticausative version of *soltar algo de un lugar*, 'to release something from a place' or an antipassive from the otherwise transitive *soltar un objeto* 'to let an object go'.

In some of these cases, the meaning of the predicate does not vary much between the two versions, such as in (255a,b) and (255c,d), while in other cases the meaning difference is extremely sharp (255e,f).

- (255) a. Juan soltó la cuerda.  
           Juan let.go the rope  
       b. Juan se soltó de la cuerda.  
           Juan SE let.go of the rope  
       c. Juan despidió a los invitados.  
           Juan said.bye DOM the guests  
       d. Juan se despidió de los invitados.  
           Juan SE said.bye from the guests  
       e. Juan acordó salir de casa.  
           Juan agreed exit from home  
           'Juan agreed to leave the house'  
       f. Juan se acordó de salir de casa.  
           Juan SE agreed from exit from home  
           'Juan remembered leaving the house'

In the cases where the meaning difference is not so sharp one can find the notion of origin of movement that was relevant in the case of aspectual SE in §5.4 above, although note that in these cases the difference is not in the presence of an origin of movement, but in the fact that that origin is expressed with a PP: in (255d) one gets the feeling that the subject is the one that would leave the place where the guests are present, but the separation between Juan and the guests is also present in (255c); the same can be said about (255a): the separation is already there without SE, but one gets the feeling in (255b) that it is Juan and not the rope the entity that moves away from the other –the rope might stay in the same location, and Juan lets go of it, moving away from it, while in (255a) Juan might stay in the same location and the rope can fall down. Despite this interesting connection, not all predicates where antipassive SE does not trigger a lexical change in meaning can be subsumed to a notion of separation (see 256) and it cannot be said that separation defines antipassive SE in the less systematic cases either: at least one case the separation component disappears with SE (257).

- (256) a. Juan lamentó esa muerte.  
           Juan regretted that death

- b. Juan se lamentó de esa muerte.  
 Juan SE regretted of that death  
 'Juan complained about that death'

- (257) a. Juan burló a la policía.  
 Juan evaded DOM the police  
 b. Juan se burló de la policía.  
 Juan SE evaded from the police  
 'Juan made fun of the police'

Bogard (1996-1997, 1999) has argued that this construction is properly antipassive in the sense that, like in the languages where the antipassive is a productive grammatical operation applied to transitive verbs, the internal argument becomes optional. His claim is based on minimal pairs like (258).

- (258) a. Juan aprovechó \*(tu experiencia).  
 Juan profitted (your experience)  
 'Juan made the most out of your experience'  
 b. Juan se aprovechó (de tu experiencia).  
 Juan SE profitted from your experience  
 'Juan took advantage of your experience'

The argument has two sides, one of which is more controversial than the other. The first claim is the observation that the SE-less pair of the antipassive SE verbs rejects the object-less construction; that is, that without SE the direct object of the verbs in (254) must be syntactically expressed. This claim is clearly correct in empirical terms:

- (259) \*Juan {burla / acuerda / resuelve / compadece / evade / suelta...}.  
 Juan evades / agrees / solves / pities / evades / lets go...

The second part of the generalisation is empirically less solid: that the PP constituent is not a compulsory argument of the predicate. Undoubtedly, the PP constituent can be removed in the sense that it might stay tacit or be inferred from context, as in (260), something that the direct object could not do –although not with identical ease in all cases–.

- (260) Juan se {burla / acuerda / ??resuelve / compadece / evade / ??suelta...}.  
 Juan SE makes fun / remembers / decides / pities / evades / lets go...

However, even in the cases where removing the argument is more natural, one requires a generic reading or some specific previous mention of the entity; this, then, is a case equivalent to not expressing overtly other internal arguments, as in (262), which is possible given the right conditions, but where the predicate is still interpreted as requiring an internal participant.

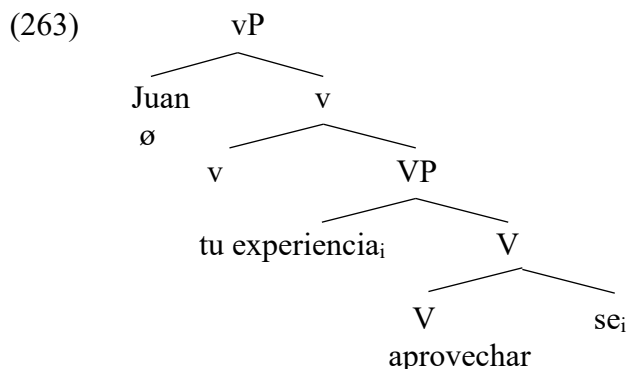
- (261) a. Juan se ha aprovechado.  
 Juan SE has taken advantage  
 b. Juan se evade.  
 Juan SE evades  
 (262) a. Juan no ha comido.

- Juan not has eaten  
 b. Juan lee.  
 Juan reads

Considering both sides of the test, and particularly that these verbs without SE do not admit easily that the internal argument is tacit, one could speculate that these verbs are formed from verbal stems that impose the requisite that their internal argument position must be occupied. This opens the door for finding a direct connection between antipassive and anticausative SE in terms of the removal of the capacity to assign accusative. The connection would go as follows: in both cases, antipassive and anticausative, SE has the effect of removing the capacity of the verb to assign accusative case. The verbs that end up being anticausative are verbs where the internal argument, not receiving accusative case, can become the subject of the clause in order to get its case checked; this prevents them from projecting a different external argument. In contrast, the verbs that end up being antipassive are verbs which must have some material within vP and therefore cannot project the internal argument as their subject: the only option to overcome the case problem is to introduce the argument as a PP, in which case it can remain as internal argument along the whole derivation. This intuitive approach, however, does not explain properly how the requisite of keeping the internal argument within vP should be implemented technically.

Alternatively, Deguchi (1982) proposes that the effect on the transitivity of the predicate is actually triggered by the interpretation of the subject: according to him, the subject in the antipassive SE cases is not a strongly agentive subject, something that forces its demotion as a proper agent to a category closer (but not identical) to an object, without the verb becoming unaccusative. This demotion reduces the transitivity of the predicate, which then introduces the object as a PP. From this perspective, antipassive SE and anticausative SE would differ in that antipassive SE keep an external argument that has been semantically weakened while the anticausative SE remove the external argument entirely; antipassive verbs could be viewed as verbs that must project an external argument, even if semantically bleached as a responsible agent, and therefore where the reduction of case cannot be solved by turning the internal argument into the subject.

In terms of the formal analysis of antipassive SE, the approach that is still predominant – with minor tweaks– is the one adopted in Masullo (1992: 241). In contrast to his analysis of factitive SE above, SE in the antipassive case is occupying the position of internal argument, from which it incorporates into the V head and absorbs its case assigning possibilities without saturating the theta-role, because by assumption SE is empty of features. Note that SE is coindexed with the semantic internal argument –the structure in (263) tries to adapt Masullo (1992), who does not specify the internal structure of the VP, to a more modern approach with c-commanding relations in place–.





From (268), SE incorporates to the V head, and as a result of it the DP direct object cannot receive accusative case; a preposition is introduced –according to Masullo (1992)– at PF to satisfy the case requirement. Note the crucial similarity between this analysis and Armstrong's (2013) for the transitive SE uses (revised in §5.3). Like in Armstrong (2013), the incorporation defines a new predicate that might be associated to a different lexical meaning than the one related to the SE-less version; like in that analysis, here the presence of SE as an argument occupies a syntactic position that forces the redefinition of the place occupied by the internal argument. The difference is that the transitivising SE cases do not involve absorption of the case assigning options of the verb, while this one does it.

Thus, the antipassive SE uses seem to establish connections with anticausative cases, via the possible absorption of case, and with the transitivising SE cases able to redefine the lexical semantics of the verb. Additionally, and like in the intransitive so-called aspectual SE cases, the preposition in most of the antipassive cases is the separation preposition *de* 'from, of', although the separation meaning is not always present in the predicates –note, also, that this preposition would anyways be the underspecified preposition in Spanish–.

Let us now move to the inherent SE predicates, the last class within the group of lexically defined SE values.

### 6.3. *Inherent SE verbs*

There is a number of verbs which in contemporary Spanish are only used with a SE version, and which receive different names in the literature: inherently reflexive verbs, pure pronominal verbs, inherently pronominal verbs or properly reflexive verbs (Martínez Martín 1989, Gómez Torrego 1992, Otero 1999, Sánchez López 2002: 96 and folls.; cf. RAE & ASALE 2009: §41.13k for an even longer list). As in the other lexical SE cases, keep in mind that the list might be subject to dialectal or even individual variation.

(264) *abalanzarse* 'to run towards', *aborregarse* 'to become tame', *abstenerse* 'to abstain', *acurrucarse* 'to get cozy with someone', *adentrarse* 'to get inside', *adormilarse* 'to get sleepy', *adueñarse* 'to come to possess', *agolparse* 'to come to form a group', *antojarse* 'to fancy', *arrepentirse* 'to repent', *arrogarse* 'to reclaim', *atenerse* 'to follow', *atreverse* 'to dare', *condolerse* 'to show condolences', *contonearse* 'to wiggle', *desentenderse* 'to ignore', *desgañitarse* 'to grow hoarse', *desternillarse* 'to laugh a lot', *despelotarse* 'to get naked', *dignarse* 'to accept', *empecinarse* 'to insist', *enamoriscarse* 'to get infatuated', *enfrascarse* 'to get absorbed into an intellectual matter', *enfurruñarse* 'to get grumpy', *ensañarse* 'to treat brutally', *esforzarse* 'to put effort', *fugarse* 'to flee', *guasearse* 'to tease', *inmiscuirse* 'to intervene', *jactarse* 'to boast', *mofarse* 'to laugh at', *obstinarse* 'to persist', *pavonearse* 'to boast', *pitorrearse* 'to mock', *portarse* 'to behave', *regodearse* 'to gloat', *suicidarse* 'to kill oneself', *ufanarse* 'to boast', *vanagloriarse* 'to boast'

The problem posed by these verbs is that, lacking a correlate without SE, it is difficult to determine the semantic or syntactic contribution of the clitic in these cases. The strategy, then, can be the following: to treat these verbs with inherent SE as instances of the other, more established SE uses, with the only additional property that in their case the addition of SE is compulsory, that is, that these verbs must necessarily be for one reason or the other included in the broader class that is necessarily marked with SE: for instance, some of these verbs could be anticausative verbs that have lost their causative pair and currently are only licensed lexically or syntactically under the configuration that requires insertion of SE.

At this point it is appropriate to make a small methodological remark. The notion of inherently reflexive SE predicate only makes sense under two questionable assumptions: (i) that SE is basically a reflexive marker, something that we have already repeatedly shown to be

inexact, and (ii) that whether SE is compulsory or optional is a valid criterion from a grammatical perspective. Under the assumption in (ii), reflexive SE is optional and its presence or absence is dependent on the referential relations within the argument structure of the verb; anticausative SE is less easy to classify as optional or compulsory because, given an anticausative syntax and semantics, some verbs can have SE or not (remember §4.1 above) while others forcefully have it; aspectual or agentive SE is optional in the same sense, but again remember §5.3 above, where we saw that the presence of certain syntactic elements, such as origin phrases, force their presence; finally, inherently reflexive SE would be the only proper instance of compulsory SE. The problem becomes more acute when we include in the discussion predicates that require SE to introduce certain types of direct object or meanings that the SE-less version cannot express –for instance, some of Armstrong's (2013) transitive SE cases–. Should we take this meaning difference as crucial and then say that SE is compulsory in this predicates, as they cannot have this meaning without it, or should we consider only the lexical verb without regard to its conceptual meaning and say that these verbs have optional SE? Here we are already close to the area of lexicology rather than to grammar.

- (265) a. saltarse un semáforo  
 jump-SE a traffic light 'not to stop at a traffic light'  
 b. ganarse la vida  
 win-SE the life 'to make a living'  
 c. perderse algo  
 lose-SE something 'to miss some event'  
 d. jugar-se algo  
 play-SE something 'to bet something'

Given how fuzzily defined the optionality is in the case of the allegedly non compulsory SE cases, it is clear that a distinction between optional and compulsory SE will not take us too far in analysing the properties of SE.

Thus let us rather examine the verbs from the perspective of whether the necessary SE can be assimilated to other better described types of SE. As expected from a group that has been established in traditional grammars using a questionable criterion of obligatory presence of SE, there are very different verbs in the list, so the types of SE involved here are equally varied. Once classified in the appropriate SE class we might ask ourselves what makes them have to belong particularly to that class, without an alternating version without SE.

Let us start with the observation that the list in (264) does not include a homogeneous class of predicates if we attend to the properties of the subject, as noted in Sánchez López (2002), who presents the list from the perspective of whether these verbs can be considered anticausative. The verbs in (266) act as unaccusatives in the sense that the subject seems to act as a patient: the verbs allow the absolute participle construction in combination with the subject (exemplified with a few cases in 267).

- (266) aborregarse 'to become tame', acurrucarse 'to get cozy with someone, to curl up', adentrarse 'to get inside', adormilarse 'to get sleepy', agolparse 'to come to form a group', arrepentirse 'to repent', desentenderse 'to ignore', desgañitarse 'to grow hoarse', despelotarse 'to get naked', enamoriscarse 'to get infatuated', enfrascarse 'to get absorbed into an intellectual matter', enfurrñarse 'to get grumpy'

- (267) a. Acurrucados los enamorados...  
 curled.up the lovers...  
 'Once the lovers curled up with each other...'

- b. Adentrados los niños en el bosque...  
got.inside the children in the forest...  
'Once the children got inside the forest...'
- c. Enfrascado Pedro en la lectura...  
got.absorbed Pedro in the reading  
'Once Pedro got into his reading...'
- d. Desentendido Juan de sus obligaciones...  
ignoring Juan of his obligations...  
'Once Juan had ignored his duties...'
- e. Arrepentido Juan de su decisión...  
repented Juan of his decision...  
'Once Juan repented of his decision...'

Other unaccusativity tests give a worse result; as it is the case with anticausatives in Spanish (§4.1, see also §8.1 later), generally they do not allow postverbal subjects projected as bare nominals (268); in the list there is only one case (268a) where bare nominal subjects are possible.

- (268) a. Se agolpó gente en el parque.  
SE formed.group people in the park  
'People gathered in the park'
- b. ??Se despelota gente en esta playa.  
SE get.naked people in this beach
- c. \*Se desgañitaron profesores durante la representación.  
SE got.hoarse teachers during the representation
- d. \*Se enamoriscaron adolescentes durante las fiestas.  
SE fell.in.love teenagers during the festivities
- e. \*Se arrepintieron acusados.  
SE repented accused-people  
Intended: 'The accused repented'
- f. \*Se desentienden padres.  
SE ignore parents  
Intended: 'Parents tend to ignore [their children]'

This is good news from the perspective of reanalysing inherent SE as other types of SE structures: the behaviour is what one expects of anticausatives. Moreover, their semantics is also typically the one of anticausatives: change of state or change of location, where the subject is the entity that undergoes that change. However, in some cases one identifies that the subject might be potentially an experiencer –and hence not an internal argument–, as in the psych predicates: *arrepentirse*, *enamoriscarse*, *enfrascarse*, *desentenderse*, etc., which in fact are predominant in the group. If one accepts that perhaps experiencers are projected in external argument positions (or move from an internal argument position to an external argument position, losing the capacity to be bare nominals; see §8.1 below), perhaps these verbs can be treated as anticausatives that lack a causative pair. In some cases, that causative version might have existed in the past, or might be marginally active for some speakers, as it is the case of the author of these lines with *aborregar* 'to make someone tame'. We will, however, come back to this potential characterisation of a subgroup of inherent SE verbs as anticausative verbs without a causative member.

In contrast with this, the verbs in (269) act as unergative verbs, with what seems to be an agentive subject (Otero 1999). They systematically reject the absolute participle structure

together with the subject and their subject tends to be interpreted as an agent that controls a process, with the few exceptions of *antojarse* 'to fancy', *condolerse* 'to show condolences', where the external argument seems again to be an experiencer.

(269) *abalanzarse* 'to run towards', *abstenerse* 'to abstain', *adueñarse* 'to come to possess', *antojarse* 'to fancy', *arrogarse* 'to reclaim for oneself', *atenerse* 'to follow', *atreverse* 'to dare', *condolerse* 'to show condolences', *contonearse* 'to wiggle', *dignarse* 'to accept', *empecinarse* 'to insist', *ensañarse* 'to treat brutally', *esforzarse* 'to put effort', *fugarse* 'to flee', *guasearse* 'to tease', *inmiscuirse* 'to intervene', *jactarse* 'to boast', *mofarse* 'to laugh at', *obstinarse* 'to persist', *pavonearse* 'to boast', *pitorrearse* 'to mock', *portarse* 'to behave', *regodearse* 'to gloat', *suicidarse* 'to kill oneself', *ufanarse* 'to boast', *vanagloriarse* 'to boast'

The semantic categories expressed by these verbs combine with the impossibility of getting projected as absolute participle structures and make any analysis in terms of anticausativity impossible: these verbs do not express inherently directional movement unless they combine with a clear manner component expressing the speed or the intention of the subject when moving (cf. *abalanzarse*), and they do not express changes of state unless combined with a clear wilful intent of the subject (cf. *adueñarse*). More frequently, they express actions, not changes of state, and different ways of behaving with respect to others, all prototypical unergative verb classes. One identifies, even, some conceptual semantic tendencies, such as the tendency to involve verbs of mocking someone (*cachondearse*, *pitorrearse*, *mofarse*, *burlarse*...). So what are the options to treat these verbs as instances of other, independently motivated classes of SE, when the anticausative analysis cannot be applied?

Let us start with reflexive or reciprocal SE. Among inherent SE verbs, there is at least one obvious candidate to fall in this class as a predicate that by its internal meaning has to be reflexive and where reflexive SE might be a clear option: *suicidarse*. The absence of a SE-less pair here would be due only to the plausible fact that SE here marks reflexivity and the predicate cannot be performed on an entity distinct from the subject. Remember in this respect that reflexive verbs do not seem to act as unaccusatives in Spanish (§3.1): the absolute participle structure, even with naturally reflexive predicates, tends to be interpreted with an external subject –that is, the nominal expression is interpreted rather as a direct object than as a subject in the clausal version–.

- (270) a. Visto el bebé, apagamos la luz.  
           seen the baby, turned.off the light  
           'Once someone saw the baby, we turned off the light' (not \*'Once the baby saw himself, we turned off the light')
- b. Peinado Juan, salió de casa.  
           combed Juan, exited from home  
           'Once someone combed Juan's hair, he left his house' (not ??'Once Juan combed his hair, he left his house')

The ungrammaticality of (271) from this perspective would come from the absence of a direct object interpretation of the verb, just as in the other cases in the list of (269) –of course, (271) is grammatical for a speaker that has a transitive version of the verb meaning something like 'to kill someone making it look like a suicide'–.

- (271) ??Suicidio Juan, lo enterramos.  
           suicided Juan, him buried.we  
           Intended: 'Once Juan had committed suicide, we buried him'

Other potential cases of naturally reflexive predicates in the list might include *vanagloriarse* 'to brag about one's own accomplishments' –and its perhaps less common synonyms *jactarse* and *ufanarse*–. The interesting property of these three verbs is that one cannot (semantically or syntactically) boast about something done by someone else:

- (272) a. Juan se vanagloria de que ha conocido a Harry Potter.  
 Juan SE boasts of that has met DOM Harry Potter  
 'Juan boasts that he (himself) has met Harry Potter'.  
 b. #Juan se vanagloria de que María ha conocido a Harry Potter.  
 Juan SE boasts of that María has met DOM Harry Potter  
 Intended: 'Juan is very proud that María has met Harry Potter'

From this perspective, *arrogarse* 'to reclaim something for oneself' is also a good candidate to be an instance of reflexive SE in a predicate where the meaning is naturally reflexive and therefore there is no double.

- (273) Juan se arrogó esos derechos.  
 Juan SE arrogated these rights  
 'Juan arrogated these rights to himself'

We might add to this list of potentially naturally reflexive SE verbs where there is no SE-less version the case of *quejar-se de algo* 'to complain-SE of something', where there is a strong implication that the thing that the subject complains about affects the subject personally (as oppose to *lamentar* 'regret' or *protestar* 'protest', where one can complain about things affecting others).

In a less obvious way than with *suicidarse*, then maybe these five predicates are instances of reflexive SE marking verbs whose lexical meaning forces them to have a reflexive meaning.

Another clear candidate to reduce the inherent SE predicates in above is the antipassive SE that keeps an internal argument but marks it prepositionally. Hernández Sacristán (1986) notes that a subgroup of these verbs can be argued to be derived through prefixation (sometimes, with parasyntesis) from bases that might be transitive verbs without the prefix.

- (274) a. Juan se abstiene de eso.  
 Juan SE abstains from that  
 b. Juan tiene eso.  
 Juan has that  
 (275) a. Juan se atiene a eso.  
 Juan SE abides to that  
 'Juan abides to that'  
 b. Juan tiene eso.  
 Juan has that

These are the two clearest cases where one could argue that the presence of the prefix creates a verb that can only be intransitive but requires an internal argument that must be marked by a preposition. Noting the relation between *doler* 'to hurt', *dolerse de algo* 'to feel pain about something', and *condolerse con alguien* 'to feel sympathy for someone', the last involving a prefix *con-* which correlates with the preposition used to introduce the internal argument (*condolerse con alguien*, 'to feel sympathy with someone'), one could assimilate this verb partially to the antipassive SE class, even if the base verb never takes an accusative object.

However, Hernández Sacristán (1986) notes that many of these inherent SE predicates are parasynthetic, among them the following (276). Perhaps the parasynthetic structure creates in these cases bases that are compulsorily combined with an internal argument, where the SE forces that internal argument to receive prepositional marking.

- (276) a. Juan se adueña de eso.  
           Juan SE appropriates of that  
       b. Juan se ensaña con alguien.  
           Juan SE treats brutally with someone

Several of the possibly unaccusative verbs above are also parasynthetic, insisting in the relation between inherent SE and parasynthesis: *aborregarse* 'to become tame', *acurrucarse* 'to get cozy with someone, to curl up', *adormilarse* 'to get sleepy', *agolparse* 'to come to form a group', *desentenderse* 'to ignore', *desgañitarse* 'to grow hoarse', *despelotarse* 'to get naked', *enamoriscarse* 'to get infatuated' and *enfrascarse* 'to get absorbed into an intellectual matter', *enfurruñarse* 'to get grumpy'.

That said, the two verbs in (276) have something else in common between them and with other verbs in the group in (269), namely that they involve necessarily agentive events where the subject must be an agent with wilful intent, along the lines of what agentive SE predicts. The members of (269) that are the strongest candidates to design eventualities that must be agentive and where the SE might be marking the restriction that these verbs impose on their subjects, as agents, are in (277):

- (277) *abalanzarse* 'to run towards', *atreverse* 'to dare', *contonearse* 'to wiggle', *dignarse* 'to accept', *empecinarse* 'to insist', *ensañarse* 'to treat brutally', *esforzarse* 'to put effort', *guasearse* 'to tease', *inmiscuirse* 'to intervene', *mofarse* 'to laugh at', *obstinarse* 'to persist', *pavonearse* 'to boast', *pitorrearse* 'to mock', *regodearse* 'to gloat'

What these verbs have in common is that they express events that must be consciously and purposefully controlled by the subject; in some cases the event does not consist in more than expressing a conscious and insistent will to carry out the event (*esforzarse*, *obstinarse*, *atreverse*) or to agree to do it (*dignarse*). Relating them to agentive SE does not sound crazy from that perspective.

However, as we have noted repeatedly in §5 above, the agentive properties of the subject and the aspectual properties of the predicate come related to each other in many cases, making the division between aspectual and agentive SE (for those that admit both) or the reduction of one class to the other (for those that only want to accept one category) more controversial. Not surprisingly, from this perspective, there are cases in (269) which one could argue are agentive or aspectual. The main case is *fugarse* 'to flee from somewhere', which in European Spanish must carry SE. García Fernández (2011) relates this verb to the aspectual interpretation where the origin of movement is expressed, noting also that surprisingly SE is compulsory with this verb and impossible with its virtual synonym *huir* even if both require an origin complement.

- (278) a. Juan \*(se) fugó de la cárcel.  
           Juan SE fled from the jail  
       b. Juan (\*se) huyó de la cárcel.  
           Juan SE fled from the jail

One could argue that the verbal stem in (278a) is part of an idiom formed together with SE, where the argument structure related to the verb is directly conditioned by the presence of SE and the unergative construal, while in (278b) the verbal stem alone –not part of an idiom– already defines the argument structure that the idiom *fugar+se* defines. However, the connection with agentive SE can be noted in that (278b) allows non agentive constructions, while (278a) rejects non agentive subjects.

- (279) a. La vida {huía / \*se fugaba} de dentro de él.  
 the life fled / SE fled from inside of him  
 'Life escaped from inside him'  
 b. El tiempo {huye / \*se fuga}.  
 the time flees / SE flees

Thus, the global conclusion with respect to inherent SE verbs could be that the inherent SE cases have in common that they are instances of verbs that form idioms with SE –in the sense that the structure introduced by SE is lexically listed as the only structure that licenses the verbal stems, in contrast to other verbs which allow the SE structure and its absence, with changes in meaning–. Even if they can be considered idioms involving SE, the specific SE structure that is part of the idiom for each verb varies depending on the subgroup of verbs: some are anticausative idioms, some are naturally reflexive idioms, some are antipassive idioms, etc.

A strong argument in favour of this reinterpretation of inherent SE verbs as verbs which are part of idioms containing SE comes from the examples of inherent SE verbs which share their verbal stem with other verbs, but where the meaning contrast is so strong that for some speakers the connection is lost. Next to other cases where SE has a strong change in meaning and that we mentioned at the beginning of this section, the clearest case of this is *portarse* 'behave', an agentive verb that rejects non-agentive subjects (280) and which shares the verbal stem with a SE-less predicate that, although less frequent, allows non-agentive subjects without wilful intent (281).

- (280) {Juan / el perro / \*el examen} se portó bien.  
 Juan the dog the exam SE behaved well  
 (281) {Juan / este estuche / el tren} porta ese objeto.  
 Juan this case / the train carries this object

The difficulty of how to categorise the SE that is found in each verb reflects in many cases the impossibility of finding a SE-less pair to compare the verb with, in order to determine which properties of SE are affected in each case. The next list summarises some of the clearest cases, with some potential options for other inherent SE verbs that might increase the list:

- (282) Potential classification of the verbs that form idioms with SE
- a. Anticausative idioms: *aborregarse* 'to become tame', *acurrucarse* 'to get cozy with someone', *adentrarse* 'to get inside', *adormilarse* 'to get sleepy', *agolparse* 'to come to form a group', *arrepentirse* 'to repent', *desentenderse* 'to ignore', *desgañitarse* 'to grow hoarse', *despelotarse* 'to get naked', *enamoriscarse* 'to get infatuated', *enfrascarse* 'to get absorbed into an intellectual matter', *enfurrñarse* 'to get grumpy'
  - b. Naturally reflexive idioms: *suicidarse*, *jactarse*, *vanagloriarse*, *ufanarse*, *quejarse*; perhaps *antojarse* ('to perceive for oneself?'), *inmiscuirse* ('to involve oneself in something?')
  - c. Antipassive idioms: *abstenerse*, *atenerse*; perhaps *condolerse*, *adueñarse*, *ensañarse*...

d. Agentive idioms: *abalanzarse* 'to run towards', *atreverse* 'to dare', *contonearse* 'to wiggle', *dignarse* 'to accept', *empecinarse* 'to insist', *ensañarse* 'to treat brutally', *esforzarse* 'to put effort', *guasearse* 'to tease', *inmiscuirse* 'to intervene', *mofarse* 'to laugh at', *obstinarse* 'to persist', *pavonearse* 'to boast', *pitorrearse* 'to mock', *portarse* 'to behave', *regodearse* 'to gloat', and maybe also *adueñarse* and *ensañarse*.

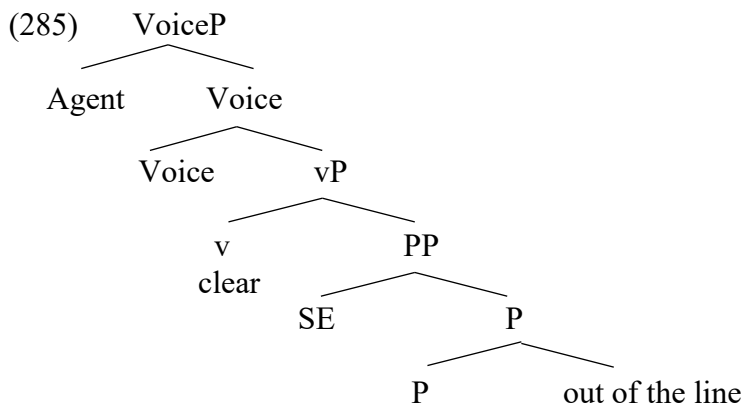
e. Aspectual idioms: *fugarse*, and perhaps *jalarse*, *zamparse* 'to eat up', which are almost exclusively used with SE by speakers (García Fernández 2011).

#### 6.4. Figure SE: reflexive SE and locative structures

Next to these cases, there is a final type of SE documented in the literature that might connect with agentive uses (§5.3 above), but whose identification in Spanish is less clear: the so-called figure reflexive SE. This type of SE –interpreted in the broad sense of a reflexive– is identified in Icelandic by Wood (2014, 2015), and refers to constructions such as the ones in (283) and (284), where the SE does not involve an agent-removing function: the subject is still interpreted as a volitional agent, but additionally it is interpreted as an entity that ends up in the location expressed by the prepositional structure.

- (283) a. Han ruddi henni úr rōdinni.  
 he cleared her out line  
 'He knocked her out of the line'  
 b. Han ruddi-st úr rōdinni.  
 he cleared-SE out line  
 'He elbowed his way out of the line'
- (284) a. Jon bödladi boltanum yfir línuna.  
 Jon bumped ball over line  
 b. Ég bödladi-st yfir snjóskaflinn.  
 I bumped-SE over snow.bank  
 'I struggled my way out of the snow bank'

These predicates with SE are related to a sense of effort and intended movement to the result location. Like the aspectual SE cases, they have an immediate effect on the aspectual information of the predicate, forcing a result location where the subject will stay for some time, and are related to agent entailments involving wilful intent. Wood's analysis treats the reflexive as an argument which appears, coindexed with the agent, in the prepositional position of figure of movement, thus treating the SE morpheme as a reflexive which occupies an argument position which is added to the predicate by the locative structure.





It is not difficult to find some clear examples of this potential type of structure in Spanish, keeping the relevant properties. In fact, some of the examples in Jiménez-Fernández & Tubino (2014, 2019) might be reinterpreted as instances of this type of structure, with the only tweak that the predicates in (219) do not get their agentivity entailments affected by the presence of SE, which is not what happens in the Jiménez-Fernández & Tubino (2014, 2019) cases – remember that in her analysis SE is part of the predicate, in a head position which can affect the type of event–. All the examples in (286) are agentive, as the verb without SE was also agentive.

- (286) a. Juan se metió en la casa de María.  
Juan SE entered in the house of María  
b. Juan se pasó por la casa de María.  
Juan se passed by the house of María  
c. Juan se infiltró en la mafia.  
Juan SE infiltrated in the mafia

In (287) one can even identify a contrast between being necessarily agentive and not requiring the help of others to escape (287a) and maybe escaping not noticing that he does so or helped by others in (287b), which again relate the necessarily agentive interpretation in figure SE cases with the presence of the movement predicate.

- (287) a. Juan se escapó de la cárcel.  
Juan SE escaped from jail  
b. Juan escapó de la cárcel.  
Juan escaped from jail

However, not all movement verbs with SE have this type of agentivity entailment related to the presence of SE; for instance, (288) lacks it.

- (288) Juan se cayó en el pozo.  
Juan SE fell in the well

This, in essence, means that figure SE cannot be used as the device to cover all types of aspectual SE cases with intransitive verbs.

In order to be exhaustive, we should also note that the logic that makes possible the presence of a reflexive figure SE would in principle have to imply that there should be a reflexive SE in the position of ground of movement, that is, as the location where the subject finishes. However, such 'ground SE reflexive' is, to the best of my knowledge, very restricted in Spanish, perhaps completely unattested.

Some significant candidates for such 'ground SE' structure would be the one in (289b), if one compares it with (288a)

- (289) a. Juan puso el abrigo en la mesa.  
Juan put the coat on the table  
b. Juan se puso el abrigo.  
Juan SE put the coat  
'Juan put the coat on (himself)'

Other verbs that might fall in the same class are in (290), where their main common property is that they express locations of clothing elements that someone generally puts on or removes from oneself.

- (290) a. Juan se colocó el sombrero.  
           Juan SE placed the hat  
       b. Juan se ciñó el cinturón.  
           Juan SE fastened the belt  
       c. Juan se quitó los zapatos.  
           Juan SE removed the shoes

As we can see, one way to interpret these verbs is as involving a subject that locates something on himself, where the SE then would be a reflexive expressing that the result location, the ground, is reflexive with the subject. However, there is a second interpretation: these cases involve dative reflexive cases of the ditransitive location verbs such as (291).

- (291) a. Juan le puso el abrigo al niño.  
           Juan him put the coat to.the boy  
       b. Juan le colocó el sombrero a su abuelo.  
           Juan him placed the hat to his grandfather  
       c. Juan le quitó los zapatos al paciente.  
           Juan her removed the shoes to.the patient

This interpretation seems simpler than the one involving a reflexive ground if only for one reason: the ground in the locative structure should be the complement of a locative preposition, and would then be marked with a case different from accusative or dative, which are the two cases that we have seen associate to SE in all the other cases reviewed so far. It seems, then, that there are no instances of verbs where the ground reflexive SE is the best conceivable analysis.

#### 6.5. *Wrap up: what is lexical about SE*

In these first four sections (§3-§6) we have revised a number of uses of SE that have in common that they are predicate affecting, and paradigmatic in the sense that they co-vary with another argument of the clause –almost always, the subject– in person and number. Perhaps the general conclusion, beyond the discussions about which value of SE is basic in these cases and how specific examples are classified as one or the other, is that these uses of SE are lexical in several relevant senses.

a) The first, obvious sense in which SE is lexical is that the presence or absence of SE affects one or more of the following crucial properties of the predicate as a lexical item: its argument structure, its internal aspect or *Aktionsart* and its lexical meaning. The following list details the surface changes that are related to each one of the uses of SE revised here, including the most controversial ones (eg., factitive one) but not the inherent SE cases, where SE and the verb form an idiom (see 309 above).

- a. Reflexive or reciprocal SE: affects the coreference relation between arguments
- b. Anticausative SE: affects the argument structure, presumably removing one external argument and potentially affecting the aspect of the predicate
- c. Aspectual SE: affects the lexical aspect of the predicate and perhaps also the argument structure of the predicate (only internal arguments affected)

- d. Agentive SE: restricts the theta role of the external argument
- e. Factitive SE (if it exists): restricts the theta role of the external argument
- f. Figure SE: affects the coreference relation between arguments
- g. Antipassive SE: affects the case assignment capacities of the verb and potentially the lexical meaning of the predicate
- h. Transitive SE: affects the argument structure of the predicate and the lexical meaning of the predicate

b) The second sense in which predicate-affecting or paradigmatic SE is lexical is with respect to the optionality in marking. We have documented in particular that with anticausatives one needs to make three groups of SE verbs, those that are marked with SE, those that are SE-less in the anticausative member and those where the anticausative version can be marked with SE or not. The verbs that belong to each specific group vary depending on the geographical variety and sometimes on individual differences among speakers. To some extent, one can make similar considerations with respect to aspectual SE and inherent SE verbs.

c) The third sense in which this family of predicate-affecting uses of SE is lexical refers to the partial impredecibility of which verbs carry SE marking in this sense. Reflexives are the exception here: even if not any reflexive or reciprocal relation is marked by SE, there is a syntactic generalisation that can be made for them, namely that direct objects or indirect objects must be coreferential to the subject –it is more lexical, because it is less systematically related to a strictly defined notion, which verbs are naturally reflexive, on the other hand–. This is unlike other SE uses: principles related to the prototypicality of the event as caused by external forces or not, or principles related to aspect, have been invoked to explain why some anticausatives take SE; leaving aside the more systematic case of transitive accomplishments with incremental internal arguments, the predicates marked with aspectual SE are more variable (cf. for instance the puzzling case of *fugarse* vs. *huir*); which verbs take agentive SE are not determined solely by which verbs require agents –for instance, *asesinar* 'murder' requires an agent but it does not allow agentive SE; not all verbs allow factitive SE, and the range of verbs that allow antipassive SE is equally difficult to group in one single natural class.

d) In more cases than the overview above might suggest, we find cases of verb pairs with and without SE where the syntactic and semantic contribution that SE makes seems to be non-systematic and therefore difficult to classify in any of the uses above.

Among the cases of this asystematic lexical use of SE, we can highlight a few examples – the reader can herself check that, flapping through a common dictionary, it won't take long until she identifies her own examples of less systematic SE, as I did; it is a reasonably fun game–. For instance, take the verb *aparecer* 'to appear'. With SE, it seems that only subjects denoting animate entities that can decide to appear to someone are licensed; keys cannot appear with SE.

(292) {Las llaves / Los fantasmas} aparecieron.  
the keys / the ghosts appeared

(293) {\*Las llaves / Los fantasmas} se aparecieron.  
the keys / the ghosts SE appeared

We have already seen above the case of *saltar* 'jump' vs. *saltarse* 'to ignore', where the meaning of the verb with and without SE has very little in common, but can still be somehow related (to jump over something is a way of not being stopped by it). Other cases are worse to the extent that the connection between the meanings is impossible to identify. The verb in (294) and (295), which with SE means 'to be ashamed' in Old Spanish or to have an orgasm in

contemporary European Spanish, is a good example; it is very difficult to find a semantic connection between the SE-less and the SE-marked versions of the verb that justifies this.

(294) Juan corrió una maratón.

Juan ran a marathon

(295) Juan se corrió.

Juan SE ran

'Juan had an orgasm'

We have also cases where the meaning seems to get specialised, or where there are nuances in the meaning. In (296), as opposed to (297), it seems that what one forgets is a physical object, that must be left in a location, and not its content –(297) allows both readings–.

(296) Se olvidó el libro.

SE forgot the book

'He left the book behind'

(297) Olvidó el libro.

forgot the book

In (298), one must have missed some show or something worth watching or attending, while in (299) one must lose something physical, such as the physical copy of a movie in a DVD, or the rolls with celluloid.

(298) Se perdió la película.

SE lost the movie

(299) Perdió la película.

lost the movie

Some contrasts are even more difficult to predict or to relate with established uses of SE. In (300), one emphasises some notion of surprise or unexpected discovery that (301) does not necessarily have, although one cannot claim to find things in a planned way.

(300) Juan se encontró una araña en el baño.

Juan SE found a spider in the bath

(301) Juan encontró una araña en el baño.

Juan found a spider in the bath

In (302), as opposed to (303), one seems to be emphasising some additional emotional implication in the event that might favour readings where the invitation was unexpected, unusually generous or other really difficult notions to pin down.

(302) Juan se invitó a unas copas.

Juan SE invited to some drinks

(303) Juan invitó a unas copas.

Juan invited to some drinks

In (304), one needs to interpret that the subject changes his clothes, while in (305) it must be the personality, or the physical or mental traits that change.

(304) Juan se cambió.

Juan SE changed  
(305) Juan cambió.  
Juan changed

In (305), one interprets that what the subject has invented is something that does not correspond to the truth –the person has made up something–, while in (306) we can be talking about a real invention.

(305) Juan se inventó eso.  
Juan SE invented that  
'Juan made that up'  
(306) Juan inventó eso.  
Juan invented that  
'Juan invented that'

We cannot end this overview without discussing briefly the use of SE in (307), that has acquired some popularity in recent times among young speakers of European Spanish. In contrast to the use of SE described in §5.6, as can be seen, this SE does not force a source phrase (which the verb would anyways license) or force the presence of determiners with the subject, suggesting that this SE keeps the predicate as an unaccusative.

(307) Se vienen cosas.  
SE come.3pl things

Speakers consulted who have this construction note that the meaning of (307) is, with respect to the SE-less pair, an emphasis on the imminency of the arrival of those things. The same speakers note that animate subjects are ungrammatical in this case.

(308) a. \*Se vienen niños.  
SE come.3pl children  
Intended: 'Children are arriving imminently'  
b. Se vienen quejas.  
SE come.3pl complaints  
'Complaints are about to arrive'

Similarly, the same speakers note that the imminency meaning associated to this construction is not compatible with a gradual modifier; the arrival is imminent and just about to happen, not something that happens little by little as the entity moves across the path.

(309) \*Se vienen cosas poco a poco.  
SE come.3pl things little by little

The reader can easily check that these properties do not fit with any of the SE classes reviewed so far; at most, they might relate (only in part) with passive SE (§8), where the argument can be a bare noun and there are restrictions on animacy that, however, do not arrive to the point that the ban extends to bare nominals. As the other cases just reviewed, this is an instance of a less systematic use of SE whose study should be deepened.

Importantly, this collection of facts (from a to d above) has led some authors –most significantly De Benito Moreno (2015, 2021)– to the proposal that the paradigmatic uses of SE should all be taken to express lexical facts: depending on variety and individual preferences,

speakers might display a strongest tendency to mark with SE predicates when the agent is demoted, or when the event is completed, but this is not a systematic rule that reflects a real syntactic generalisation. This approach is superficially supported also by the observation that in many cases the meaning of the verb is affected substantially by the presence of SE, and there are plenty of cases where the presence of SE seems arbitrary (inherent SE cases, or the anticausative verbs that belong to each group).

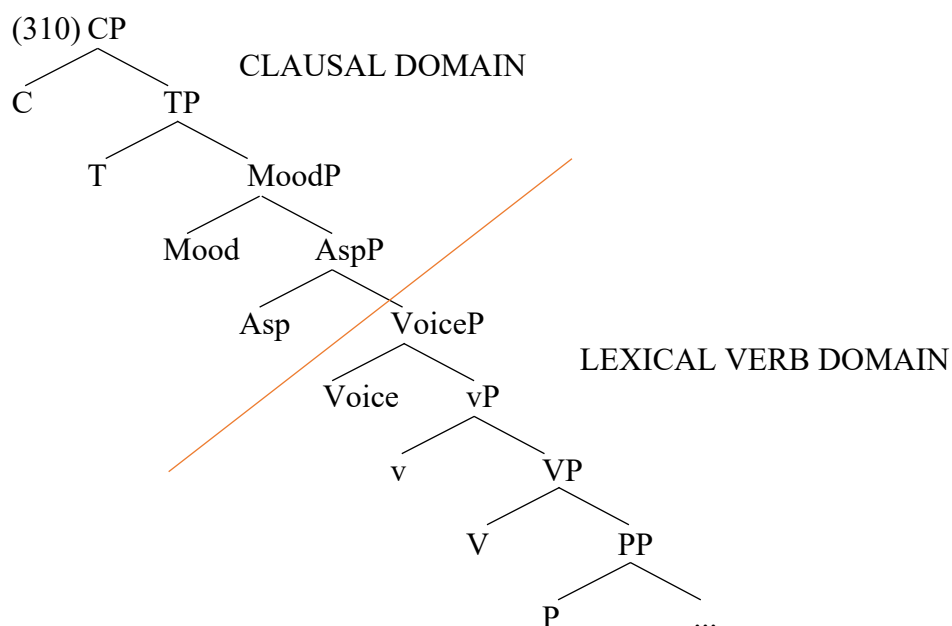
However, despite the facts above, the conclusion that predicate-affecting SE is lexical is not granted. An alternative, that we favour in this article, is that the predicate-affecting uses of SE are instances where SE is introduced at a low position in the tree, within what one could call 'the syntactic space of the lexical verb'. Simplifying the analyses that we have revised in the last four sections, we can find two common tendencies:

a) SE is a feature-deficient pronoun that is introduced in an argument position within VP, vP –as well as other labels for the internal structure of the lexical verb, such as Proc, Res, Init, Asp, etc.–, Voice, PP or some alternative argument structure; being an expletive, it does not saturate the argument position but it does force a reconfiguration of the syntactic space, and it might be incorporated to the verb or cancel the case-assigning possibilities.

b) SE is the manifestation of an impoverished verbal head –vP, Voice, Init, Asp, etc.– that typically contrasts with the version not marked with SE in the number of arguments licensed, the cancelation or modification of a subevent, etc. From this perspective, the agentive reading might be the effect of an impoverished head where only the conceptually prototypical subject interpretation is available, agent.

In other words: these values of SE locate the element that SE represents in the area of the verb, not within the clausal projections corresponding to grammatical aspect, mood, tense, etc. If SE in these configurations shares the same syntactic space with the lexical verb, the above effects can be understood, if not fully explained: the presence of SE in the structures that introduce verbal arguments can alter the argument structure of the predicates, and even the case-assigning capabilities of the lexical verb for internal arguments; if these structures also define Aktionsart, the presence of SE will be sensitive to the aspectual properties; if the domain of meaning of the lexical verb is under VoiceP or the equivalent head in other frameworks, presence of SE within that domain might also affect the lexical meaning of the verb, and create idioms, as in the case of inherent SE. The spell out of this element as SE or as zero might also be influenced by selectional idiosyncratic properties of the exponents and morphemes used to spell out the lexical verb, because SE stays in the same syntactic domain with the lexical verb material, and the interpretation of SE with each lexical verb might also be idiosyncratically determined by the lexical verb material, as it can be the case with factitive or agentive cases.

In other words, what is 'lexical' about SE can be explained if SE is introduced in the area below the curve, the domain of the lexical verb.



As we will see in §7-§10, some of the clause-affecting uses of SE have been analysed as instances of SE where the relevant element is located above VoiceP –particularly in the case of passive and spurious– or at least controlled by elements that are located outside the constituent defined by VoiceP –for instance, in the analysis of impersonal SE–, something that can be correlated with the absence of predicate-effects or lexical effects of SE. However, this does not mean at all that SE in clause-affecting uses is not located in some analyses in the same head as some of the predicate-affecting uses, in particular in the case of VoiceP, which is extensively used to analyse passive SE structures and to some extent impersonal SE structures.

We are now ready, then, to move to the four uses of SE that are clause-affecting: the impersonal, the passive, the middle and the SE that substitutes the dative clitic. But before that, given the complexity of the issues related to predicate-affecting SE, let us provide a short interim summary.

#### 6.6. Interim summary

The complexity of the uses of SE that have some lexical effect on the predicate is such that it is appropriate to provide some small summary guide to the reader at this point. Let us start with how these uses affect the predicate.

Table 4. List of predicate-affecting uses of SE and how they affect the predicate

| Type of SE              | Coreference among arguments | Removing one argument                       | Removing case                            | Imposing an aspectual reading | Lexical meaning change                               |
|-------------------------|-----------------------------|---------------------------------------------|------------------------------------------|-------------------------------|------------------------------------------------------|
| Reflexive or reciprocal | Yes                         | Perhaps (cf. §3.1, Labelle, Embick)         | Perhaps (cf. Kempchinsky 2004, §5.2)     | No                            | No (but remember naturally reflexive predicates, §3) |
| Anticausative           | No (but remember Koontz-    | Yes (alternatively, to saturate an argument | Yes (systematic: removal of the subevent | Perhaps (cf. §5.5)            | Yes (systematic: elimination of the                  |

|                                      |                                                                     |                                                                                                                                    |                                                                         |                                         |                                                                        |
|--------------------------------------|---------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-----------------------------------------|------------------------------------------------------------------------|
|                                      | Garboden 2009, §4.3)                                                | expletively, cf. Alexiadou, Anagnostopoulou and Schäfer 2015)                                                                      | related to the external cause)                                          |                                         | causation component)                                                   |
| Aspectual                            | No (but remember MacDonald 2017, §5.3)                              | No (but there might be restrictions on the internal argument and the theta grid of the verb, remember García Fernández 2015, §5.4) | No                                                                      | Yes                                     | Normally not                                                           |
| Agentive                             | No (no extra argument added), unless analysed as a dative reflexive | No (but the properties of the external argument become restricted)                                                                 | No                                                                      | No                                      | No                                                                     |
| Antipassive                          | No, unless analysed as a reflexive direct object                    | No (in fact, it might involve the addition of an argument)                                                                         | Yes (systematic: removal of the accusative case capacities of the verb) | No                                      | Often (not systematic, new meanings in the SE and the SE-less version) |
| Figure                               | Yes (subject and entity displaced in the PP structure)              | No (in fact, the PP adds argument positions)                                                                                       | No                                                                      | No, but the PP might add a result state | Yes (systematic: the agent is in the result location)                  |
| Factitive (if different from others) | No (unless analysed as a dative reflexive)                          | No (but the subject gets its interpretation restricted)                                                                            | No                                                                      | No                                      | Yes (systematic: the subject is an instigator)                         |
| Transitive                           | No (SE acts as part of the predicate)                               | No (but the configuration might change)                                                                                            | No                                                                      | No                                      | Yes, non systematic (new meanings)                                     |

The table above shows two aspects that I believe deserve additional discussion. The first one is that one cannot say that SE has the power to systematically impoverish a structure, contra the claims in part of the literature. It is true that in most cases, SE has a surface effect that is compatible with interpreting that it removes an argument position, a subevent or the capacity to assign case to the internal argument. However, at least the agentive / aspectual / factitive SE cases do not show any sign of reducing or impoverishing the verbal structure in any significant way. The most recognisable effects of these SE types are (i) some effect on the aspectual interpretation of the predicate and (ii) some effect on the thematic interpretation of the subject. These additional restrictions could be operationalised as SE imposing additional requisites on the predicate. Having additional restrictions, in principle, seems to be more compatible with



adding more information to the predicate –information that is then used to restrict the arguments more– than with removing capabilities in the predicate.

The second observation is that there is a predominant type of analysis that stands out in this table: reducing the types of SE to cases of reflexive SE which are located at different points in the tree structure. The most uncontroversial case where this strategy is adopted is the figure reflexive SE –which is simply an instance of SE introduced in an argument position created by a PP structure–, but as we have seen there are proposals that treat as reflexive virtually any other kind of SE. Masullo (1992) treats the antipassive SE as a reflexive pronoun located in an internal argument position, just like Armstrong (2013) treats the transitive SE. The aspectual SE is analysed as a reflexive dative in MacDonald (2017), García Pardo (2021) proposes that SE fills argument positions created by new verbal heads, and Kempchinsky (2004) proposes that SE –due to its feature deficiency– can also be viewed as a reflexive that sometimes projects as a head in Asp. The core idea that SE is a reflexive element used as the projection of a verbal head –alternatively, is attached to the verbal head not occupying an argument position– has also been used to capture agentive SE, anticausative SE and aspectual SE, among other. Interestingly, the most controversial extension of the reflexive pronoun analysis is in the realm of anticausative SE, as we saw in §4.3.

The common strategy to these analyses is to propose that SE is inherently a reflexive element, perhaps reflexive precisely because of the very impoverished feature content that it displays. The effects that SE has on 'impoverishing' the verbal structure through removing arguments, etc., are produced because SE itself occupies their position –or is attached to the head that should introduce them, or marks the head that should introduce them as defective–. The non-impoverishing effects of SE are not triggered by SE itself: they are produced by additional verbal heads that introduce further information –new subevents, prepositional structures, etc.–. SE participates in the structure because the additional structures contain argument positions where SE fills in. By introducing SE in those argument positions, one guarantees that there is no increase in the number of participants in the event, despite the additional structure –because SE, being reflexive, would fill the argument position and force the interpretation that another argument occupies that position–.

Thus, the most popular analytic strategy with respect to predicate-affecting SE is, by far, to reduce the different uses to reflexive cases where the differences depend on the structure that introduces the reflexive pronoun and the location of the reflexive pronoun. However, we have also seen in these four sections that there have been other attempts to simplify the number of SE uses by merging together classes or distributing the cases of one type of SE among the more established cases of SE.

*Table 5. List of predicate-affecting uses of SE and how they have been reanalysed*

| Use of SE taken as basic                                                     | Classes that fall there                                                                                                               |
|------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| Aspectual (Kempchinsky 2004, Vivanco 2021)                                   | Anticausative (in both), reflexive and others (only in Kempchinsky)                                                                   |
| Agentive (DiTullio 2012, partially Armstrong 2013 and García Fernández 2015) | Aspectual transitive (Di Tullio), aspectual intransitive (García Fernández, remember §5.4, where SE makes them unergative predicates) |
| Reflexive (most authors)                                                     | Anticausative (Koontz-Garboden 2009), aspectual                                                                                       |

|  |                                                 |
|--|-------------------------------------------------|
|  | (MacDonald 2017),<br>antipassive (Masullo 1992) |
|--|-------------------------------------------------|

With this, we finish our discussion of predicate-affecting uses of SE and move now to the clause-affecting uses, which have in common that (i) they do not affect the lexical interpretation of the predicate, (ii) they do not affect the number of arguments and (iii) they do not affect the aspectual interpretation of the elements involved.

### 7. Clause-affecting SE uses (1): impersonal

The first clause-affecting use of SE that we will revise here is the impersonal, which is illustrated with a few examples in (311).

- (311) a. Se vive bien aquí.  
SE lives well her  
'One lives well here'
- b. Se habló de este tema en la reunión.  
SE spoke of this topic in the meeting  
'Someone spoke of this topic at the meeting'
- c. Se vio a los sospechosos.  
SE saw DOM the suspects  
'Someone saw the suspects'
- d. Se la localizó en el parque.  
SE her found in the park  
'Someone found her in the park'

As can be seen, impersonal SE blocks the subject position, which cannot be satisfied by an independent nominal expression, without altering the rest of the verb's arguments. The impersonal structure is compatible with intransitive predicates (311a), predicates that introduce the internal argument with a preposition (311b) or transitive predicates (311c). The case-assigning capabilities of the verb are not affected by the presence of SE: if the verb is able to assign accusative case, impersonal SE does not alter this (311d). There are additional restrictions to the object, that we will partially revise in §7.5, and then later revisit in §8.2, as they are relevant for the possible unification between passive SE and impersonal SE.

The impersonal use of SE has been broadly analysed in the literature (Oca 1914, Fernández Ramírez 1957 [1986], Lozano 1970, 1972, Jordan 1973, Suñer 1973, 1974, 1983, 2002, Llorente 1977, Jaeggli 1986, Otero 1986, Balari & Bel 1990, Moreno Cabrera 1990, Masullo 1990, Mendikoetxea 1992, 1999, 2002, 2008, Mendikoetxea & Battye 1990, Raposo & Uriagereka 1996, Sánchez López 2002, Ordóñez & Treviño 2011, 2016, Ordóñez 2021, MacDonald & Melgares 2021, to name just a few). What makes impersonal SE special within the system of SE uses is that impersonal SE is the only instance where SE is related to a nominative position, not a (bona fide) accusative or dative position, as it is the case in the rest of uses (Dobrovie-Sorin 1998). In fact, not all Romance languages that have anticausative or passive SE allow also impersonal SE –French or Romanian are instances of the absence of the impersonal SE–, a fact that has been analysed as these languages lacking a nominative SE form.

In this section, we will first provide the main properties of impersonal SE, empirically considered, from a syntactic and a semantic perspective (§7.1, §7.2). Then we will move to the main analyses (§7.3, §7.4). What we will see as a common trend in the analyses available is that (i) impersonal SE is taken to be a reflexive pronoun located in a high position or that moves to a very high position, perhaps TP and (ii) given its reflexive nature, and how high in the structure it is, impersonal SE is not c-commanded by any argument of the verb, so it must be

associated to an empty pronominal category that determines its interpretation, or is itself analysed as a defective pronoun, sometimes even equivalent to PRO. Then we will discuss the properties of the object in the impersonal SE construction, something that will allow us to present the analyses that have tried to unify impersonal and passive SE (§7.5), and we will end with a discussion of how impersonal SE uses compare to the predicate-affecting ones. Let us proceed.

### 7.1. Main properties

The impersonal SE constructions are characterised by two main properties that differentiate it from passive SE (§8): the verb appears fixed in a default third person singular inflection (312) and the case properties of the verb are not altered (313).

- (312) a. Se recibió a los embajadores.  
SE received DOM the ambassadors  
b. \*Se recibieron a los embajadores.  
SE received.3pl DOM the ambassadors
- (313) a. Juan los recibió.  
Juan them.acc received  
b. Se los recibió.  
SE them.acc received

The non-paradigmatic nature of SE, which in the impersonal construction cannot alternate with the person-marked clitics (*me, te, nos...*) might actually be an epiphenomenon of the inflection of the verb being fixed to this 3sg value; in fact, as we will see, in theories where SE is attached to T (or Flex, in an older terminology; cf. Belletti 1982, Jaeggli 1986, Otero 1986), this fixation into the default value is forced by SE.

In contrast to the passive SE structure, impersonal SE can be combined not only with transitive verbs (312, 313), but also with verbs that mark the internal argument obliquely with a preposition (314) or intransitive verbs.

- (314) a. Se confía mucho en ellos.  
SE trusts much in them  
'One trusts them a lot'  
b. Se pelea mucho con ellos.  
SE fights much with them  
'One fights with them a lot'  
c. Se discute mucho de este tema.  
SE argues much about this topic

In intransitive verbs, impersonal SE allows in principle both unaccusative (315) and unergative verbs (316), although as we will see later, unaccusative verbs have additional temporoaspectual restrictions that transitive or unergative predicates lack.

- (315) a. Siempre se llega tarde a estos sitios.  
always SE arrives late to such places  
'One always arrives late to these places'  
b. Se nace y se muere.  
SE is.born and SE dies  
'One is born and one dies'
- (316) a. Se nada demasiado en esta playa.

- SE swims too.much in this beach  
 b. Se tose mucho con esta enfermedad.  
 SE coughs much with this sickness

Periphrastic passives and copulative verbs also allow impersonal SE, again with restrictions shared with unaccusatives that we will detail later.

- (317) a. Se es detenido inmediatamente si se hace eso.  
 SE is detained immediately if SE does that  
 'One gets immediately detained if one does that'  
 b. Se está bien aquí.  
 SE is fine here  
 'One is fine here'

This does not mean that any verb can have an impersonal SE version. First, only verbs that accept a personal subject can combine with impersonal SE: lexically impersonal verbs, like weather verbs, reject impersonal SE, just as predicates that combine with propositional subjects (Mendikoetxea 1999).

- (318) a. Llueve.  
 rains  
 'It rains'  
 b. #Se llueve.  
 SE rains  
 Intended: \*'One rains'
- (319) a. Está claro [que pasa eso].  
 is clear that happens that  
 'It is clear that that happens'  
 b. \*Se está claro.  
 SE is clear

Verbs where the predicate is classified as a dative experiencer psych predicate (Belletti & Rizzi 1988) also reject impersonal SE (Ordóñez 2021).

- (320) a. A Juan le gusta eso.  
 to Juan him likes that  
 'Juan likes that'  
 b. \*Se gusta a Juan.  
 SE likes to Juan  
 Intended: 'Juan likes someone / everybody'
- (321) a. A Juan le duele eso.  
 to Juan him hurts that  
 'That hurts Juan'  
 b. \*Se duele a Juan.  
 SE hurts to Juan  
 Intended: 'Something / everything hurts Juan'

These verbs have been argued to have a structure where the nominative agreeing nominal is an internal argument and the subject is the quirky marked dative experiencer. This has led

several authors (eg., Belletti 1982, Suñer 2002, Ordóñez 2021, *pace* Dobrovie Sorin 1998) to argue that impersonal SE must be related to subjects marked with nominative.

Finally, raising predicates reject impersonal SE too, perhaps because they do not select their own subjects.

- (322) a. Parece que Juan está enfermo.  
           seems that Juan is sick  
           'It seems that Juan is sick'  
       b. Juan parece estar enfermo.  
           Juan seems to.be sick  
       c. \*Se parece estar enfermo.  
           SE seems to.be sick  
           Intended: 'One / everybody seems to be sick'  
       d. \*Se parece trabajar mucho.  
           SE seems to.work a.lot  
           Intended: 'Everybody seems to work a lot'

Note, however, that this cannot be the only reason for (322) to be ungrammatical: auxiliary verbs are supposed not to select their subjects either, but they can combine with a SE that is (in principle) associated to the thematic subject of the subordinate infinitive (*Se los puede invitar*, 'SE them.acc can invite'). From this perspective it is unclear why (322c) or (322d) should not license the subject in the same way, with the inescapable conclusion that auxiliary + infinitive cannot be the same type of syntactic construction as *parecer* + infinitive.

Additionally, predicates that have a predicate-affecting SE cannot combine with impersonal SE, something that follows from the already noted fact (§2) that one cannot have more than one SE (or for that matter, more than one clitic of the same type) in the same clause: from *se acostumbra a eso* 'SE get.used to that, They get used to that' one cannot make #*Se acostumbra a eso* to mean 'One gets used to that', and from *se arrepienten* 'SE repent, They repent', one cannot form #*Se arrepiente* 'One repents'. As explained in §2, two SE clitics cannot appear together, and not surprisingly the one that seems to be preserved is the predicate-affecting one –because it is part of the lexical structure of the verb–, blocking the clause-affecting impersonal SE. We will get back later (§9) to situations where clitics that are too similar to each other trigger relevant problems on the surface form of the elements involved in a structure.

### 7.2. The interpretation of the subject: existential and generic readings

A second aspect of the syntax and semantics of impersonal SE sentences is the interpretation of the subject that SE imposes. The first aspect of this interpretation is that the subject has to be interpreted as human, or at least as personified. Despite choosing verbs that are generally predicated from animals, (323a-c) requires a human metaphorical interpretation due to the presence of impersonal SE, and verbs without a possible human referent (323d-e) reject impersonal SE precisely because of this (Ormazabal & Romero 2019).

- (323) a. Se ladra mucho en esta clase.  
           SE barks much in this class  
       b. Se muerde mucho en esta clase.  
           SE bites much in this class  
       c. Se trota mucho en esta clase.  
           SE trots much in this class  
       d. \*Se ocurre.  
           SE happens

- e. \*Se transcurrió.  
SE passed.time

There are also relevant properties in terms of the reference of that subject. Since Cinque (1988) there is a distinction between the quasi-generic and the quasi-existential reading of impersonal SE sentences. Both of them have been labeled as 'non specific' in part of the literature (Sánchez López 2002), although this term is somewhat confusing, because specificity is generally related to the absence of a referent for the nominal expression (see Fábregas 2018 for an overview), when impersonal SE generally imposes the referential interpretation, even if the reference of that entity is not definite and does not correspond to a previously unique group introduced in the discourse.

The quasi-generic reading of the impersonal SE is associated to the paraphrase 'everybody, people, one, each element in the group'. Every single type of predicate that accepts impersonal SE allows this reading, which also correlates with the use of imperfective temporoaspectual forms of the inflection (De Miguel 1992).

- (324) a. Aquí se recibe a los embajadores.  
here SE receives DOM the ambassadors  
'Here people receive the ambassadors'  
b. Se vive bien aquí.  
SE lives fine here  
'One lives fine here'  
c. En los países desarrollados se nace sin infecciones.  
in the countries developed SE is.born without infections  
'One is born without infections in developed countries'  
d. Se vuela bien con esta compañía.  
SE flies well with this company  
'One flies well with this company'  
e. Se habla mucho de esto.  
SE talks much about this  
'People talk a lot about this'  
f. Se es amable si se hace eso.  
SE is kind if SE does that  
'One is kind if one does that'  
g. Se es examinado en esta aula.  
SE is examined in this room

The quasi-existential reading, also termed arbitrary, means that there is at least one person whose identity is not disclosed that corresponds to the reference of the subject. The normal gloss is 'someone'. Crucially, this interpretation is out with the predicates where the subject is assumed to be derived from an internal argument position: unaccusative verbs, passives and copulatives.

- (325) a. Se llamó a la puerta.  
SE knocked at the door  
'Someone knocked at the door'  
b. Se habló del tema en la reunión.  
SE spoke of the topic at the meeting  
'Someone spoke of the topic at the meeting'  
c. Se nadó en esta piscina.

- SE swam in this pool  
 'Someone swam in this pool'  
 (326) a. \*Se nació en este hospital.  
       SE was born in this hospital  
       Intended: 'Someone was born in this hospital'  
 b. \*Se fue detenido por ese crimen.  
       SE was detained for this crime  
       Intended: 'Someone was arrested for this crime'  
 c. \*Se fue amable en la fiesta.  
       SE was kind in the party  
       Intended: 'Someone was kind at the party'

As seen in (325), the quasi-existential reading is related to non-imperfective temporal forms, which means that the absence of an existential reading in (326) blurs together with the impossibility of having a non-imperfective form with impersonal SE sentences in unaccusatives, passives and copulative verbs. The impossibility of having existential readings with impersonal SE with unaccusative predicates explains, moreover, that some existential unaccusative predicates (*faltar* 'to lack', *quedar* 'to remain') cannot combine with impersonal SE (Mendikoetxea 2002).

Beyond the fact that the data are not crystal clear for all speakers (Sánchez López 2002 gives a sentence equivalent to 326b as grammatical), this double ban in the aspectual forms and the existential reading of the subject has triggered two different types of explanations. In Cinque (1988), the problem is that derived subjects coming from internal argument positions cannot have an existential reading: he proposes that the existential reading is imposed before movement to a specifier position. The subjects of the unaccusative, passive and copulative verbs are merged before movement in the complement position of the verb, so they cannot receive the existential interpretation. De Miguel (1992) proposes that the problem is the aspectual forms: unaccusative verbs, in combination with impersonal SE, are inherently processual and are not compatible with specific tenses or aspects. Mendikoetxea (2002) criticises both approaches and proposes that what causes the ungrammaticality in (326) has to do with the interpretation of SE, which should be considered an equivalent of PRO, an empty category that lacks its own reference, and where the reference has to be controlled by another element. Sánchez López (2002) suggests that the ban is not actually absolute for the existential reading of some derived subjects. However, before discussing this type of approach we must sketch the main syntactic analyses of impersonal SE.

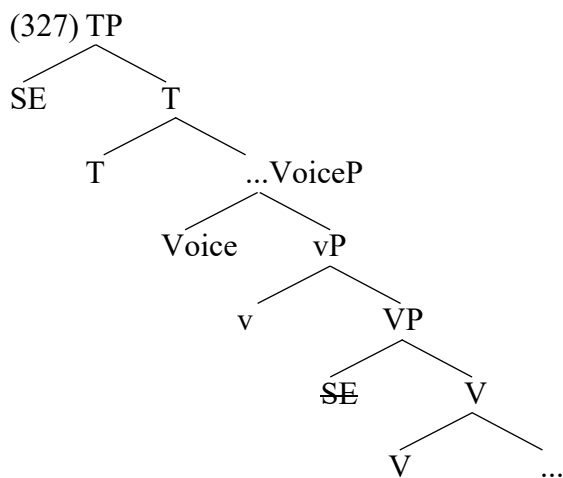
### 7.3. Impersonal SE as PRO or as an impoverisher of T

Leaving technical details aside that are not relevant right now, there are two major analyses of impersonal SE in the market: an analysis where SE is itself in the subject position, as a non-referential subject equivalent to PRO, and an analysis where SE is in the head of T / Flex, and has the role of impoverishing that node, forming a chain with an empty pronominal that is in the specifier of TP / FlexP. Let us revise both approaches.

Oca (1914) is cited in Spanish as the first person that argued that impersonal SE should be seen as a generic subject, equivalent to expressions like French *on* 'one', English *one*, or German *Man*. In modern terms, for many of the proponents of this theory, this involves treating impersonal SE as the equivalent of PRO, a pronoun without reference that appears in contexts with low agreement, and which has to be assigned a reference by a controller. Teomiro (2005; see also 2010, 2011) and, with qualifications, Mendikoetxea (2002), are among the authors that in modern times have argued that this is the right analysis of impersonal SE: Teomiro argues that SE is a subject anaphor and English PRO is a null version of it. With qualifications,

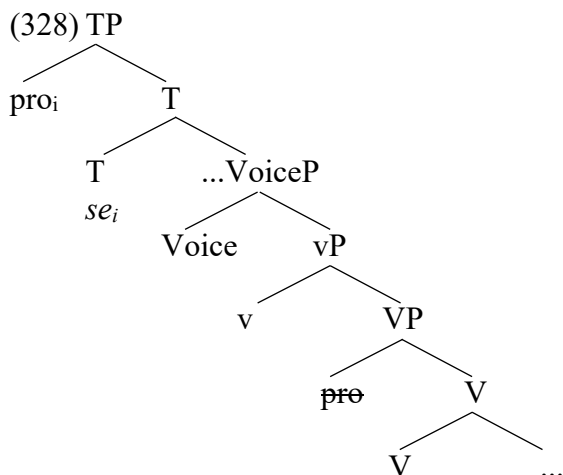
Mendikoetxea (2002) also advocates for the idea that impersonal SE is related to PRO, although she does not place the SE in the spec, TP position (occupied by a PRO, which is coindexed with SE, in the head position). In the following discussion, with this caveat in mind, we locate Mendikoetxea (2002) in this family of theories, because the head or specifier status of SE has no role in her analysis, where the crucial property is the identification of PRO with SE. A close version of this type of analysis of SE as a pronominal without reference that appears in the argument position of the subject (for impersonal SE) is Pujalte & Saab (2012) and Saab (2014), who propose that SE is introduced at PF to fill an unsaturated argument position.

Not all these analysis coming from Oca (1914) argue that SE is equivalent to PRO or should be seen as an empty filler of an argumental position. Ormazabal & Romero (2019) treat impersonal SE as a standard pronoun that occupies a subject position, and must receive nominative case. The configuration where impersonal SE ends up in these analyses is represented in (327).



Note, however, that if SE is a subject, one would have to explain through some other means why SE follows and does not precede negation, unlike other subjects –a property that perhaps could be made follow from the clitic status of both negation and SE in Spanish–.

In contrast, a second line of approach to this construction, which we could say is currently predominant, goes back to Belletti (1982) and has Jaeggli (1986), Otero (1986) and, in more recent times, Suñer (2002), Ordóñez & Treviño (2011) or Ordóñez (2021) as the main proponents: the idea is that impersonal SE is attached to the head T / Flex, where it has an impoverishing role –as in many cases of predicate-affecting SE–.





Let us schematise the two main approaches, with the two variants of the first approach:

- A. SE-as-subject
  - i. SE is PRO, is coindexed with PRO or fills an unsaturated argument position
  - ii. SE is a normal subject pronoun
- B. SE-as-T
  - SE impoverishes the T node and is coindexed with an indefinite *pro*

As we will see, the comparison between Aii and B is more subtle than the comparison between Ai and B. Thus, we will first concentrate on the approaches Ai and B, and in the next section we will compare Aii to B.

In the approach Ai, impersonal SE is a version of PRO. One would explain that the inflection is fixed to 3sg because of the lack of features internal to SE / PRO. Given where it is placed, as a subject, combined with its lack of features, the existential or generic reading would follow –pending details that we will get back to–. As the only argument position affected by it is the subject, we expect precisely that it does not affect the other arguments in the predicate or the case-assigning capacities of the predicate. As the SE must be coming thematically from the verbal predicate, and is forced to have a human interpretation, one explains that (i) only verbs with personal subjects selected thematically can have impersonal SE and (ii) that there are effects depending on the origin of that SE thematically.

In the approach B, the presence of SE impoverishing T / Flex explains why the inflection is fixed to 3sg. The existential or generic readings, as well as other interpretations that we will discuss later, depend on the nature of the pronominal that moves to the subject position from the verbal complex. The origin of that pronominal is identical to the one that SE has in the approach of impersonal SE as PRO, which means that the other properties are explained just as in that theory.

The approach where SE is in the T head and is coindexed with a pronoun has a bit of an advantage in explaining that the verbs where the subject is a dative cannot allow impersonal SE: the idea, going back to Belletti (1982), is that impersonal SE has to be controlled by a nominative argument, or in other terms, that the impoverishing of T due to the presence of SE cannot license dative or other quirky-marked subjects (see Suñer 1992). If SE is a subject itself, it becomes surprising that it cannot occupy the place of a dative subject –remember from §3 that SE emerges with datives, and see also §10 below for more relations between SE and datives–. The approach where SE is in the subject position has to relate the impossibility of (320b) with the impossibility of having controlled or arbitrary PRO structures with dative experiencer predicates:

- (329) \*María prometió PRO gustarle la comida.  
 María promised like.her the food  
 Intended: 'María promised to like the food'

In fact, one argument used by the side of the debate where impersonal SE is PRO is the meaning correspondence between the interpretations of PRO and the meaning of impersonal SE (Mendikoetxea 2002). For those that propose that impersonal SE is not the subject, the meaning distinction between the generic and the existential reading has to follow from the interpretation of the empty pronominal category that is coindexed with SE, and then one has to assume that the existential reading is not available as an interpretation of *pro* for internal arguments to explain that unaccusatives, passives and copulative verbs must have a generic interpretation. One can assume Diesing (1992) in her proposal that universal readings are

obtained by adding a universal quantifier high in the clause, while the existential reading requires existential closure at the VP level. Imagine that this restricts the possibility of assigning an existential reading to the specifier position of VP (modernly, vP or VoiceP); like this, subjects that are derived from internal argument positions will not have an existential reading because they are not located in that position, while external arguments, above VP, would be able to acquire the existential or generic interpretation if the appropriate operator is introduced. Alternatively, the problem might be related, as Suñer (2002) suggests, with the licensing of arbitrary internal argument PRO, which is also blocked when the verb appears in perfective aspect.

Mendikoetxea's (2002) analysis of the impersonal SE as related to PRO also assumes Diesing (1992) but gives them a different take. The crucial difference between the predicates that allow the existential reading of PRO / SE and those that don't is which element restricts the reference of the subject. In her proposal, one has to accept that PRO, lacking enough interpretation, cannot be used to restrict the reference of the subject, something that is independently necessary. The verbs that have a thematic and referential external argument – transitives, unergatives – can project a spatiotemporal argument in the subject position that restricts the reference of SE. The whole sentence is then interpreted as an assertion that is predicated from that spatiotemporal argument, and the existential reading is available. However, the verbs that lack an external argument that is thematic and referential – unaccusatives, passives, copulative verbs – cannot use this spatiotemporal argument to restrict the reference of the subject. In order to complete the predication, then, the only option available in the absence of this referential spatiotemporal argument is to interpret that there are two predicates holding an inclusion relation (Kanski 1992), a property that forces a generic reading. Following Kanski (1992), a sentence like (330) is interpretable only if the property of being human can be said to be included in the property of being ferocious, that is, if humans can be included in the set denoted by 'being ferocious'.

(330) El hombre es feroz.  
the man is ferocious

Given that SE / PRO cannot restrict, (331a) is ungrammatical and a secondary predicate must be added to the structure to provide the inclusion relation that licenses (330).

(331) a. #Se es feroz.  
SE is ferocious  
b. Se es feroz cuando hace mucho calor.  
SE is ferocious when there.is much heat  
'One is ferocious when it is too hot'

Once this secondary predicate is added, (331a) can be the predicate acting as the subject included in the secondary predicate: being ferocious is part of the situation defined when it is too hot. Technical details aside –the complexity of the semantic analysis is too high to revise it fully here– the proposal is that SE, being PRO, cannot restrict the subject enough on its own. Verbs that have a thematic external argument can choose to introduce a spatiotemporal argument in the subject position, and obtain an existential reading; verbs that lack that thematic external argument must complete the predication treating 'SE predicate' as the subject of a secondary predicate, which includes it, and that forces a generic interpretation.

#### 7.4. Other facts about the impersonal SE structure: comparison between approaches

Thus, the facts revised so far seem to constitute a tie between the approaches Ai (SE as a PRO subject) and B (SE as impoverisher of T): the core facts can be accounted by both approaches, one proposing that SE impoverishes the inflection and is coindexed with a pro whose referential properties depend on the availability of existential closure / generic readings, and the other proposing that the 'defective' nature of TP is related to the nature of SE as PRO, or its compulsory coindexation with PRO. Let us now revise, however, several phenomena that support one or the other approaches.

We will see that the end result seems to be that the approach where SE is related to PRO or is treated as an empty filler (Ai) seems to encounter too many empirical problems, in comparison to the approach where impersonal SE impoverishes T (B). The first part of the section concentrates on comparing these two approaches. An important reminder at this point is that the comparison leaves out so far the approaches in Aii, that treat SE as a 'normal' subject pronoun, specifically Ormazabal & Romero (2019), who do not associate SE to PRO. The critiques that will be presented against the SE-as-subject approach in the following pages do not apply to Ormazabal & Romero's (2019) proposal, which we will discuss at the end of this section.

a) The approach in (328), which claims no connection between PRO and impersonal SE, has to face a particular challenge when it comes to the impossibility of (332), that is, the presence of an overt nominal subject is empirically incompatible with impersonal SE:

(332) #La gente se vive bien aquí.  
the people SE lives well here

In the approach of SE as PRO, (332) is understandably out because (i) PRO occupies the subject position and (ii) the referential properties of PRO do not allow it to be substituted by an overt nominal. In the approach of SE as marking defective T, SE is coindexed with a pro, which in principle can have its own referential properties, so it should be substitutable by an overt nominal to the same extent that (333) can alternate.

(333) a. Esas personas hablan mucho.  
those people talk much  
b. pro hablan mucho.  
pro talk much

Suñer (2002) acknowledges this as a problem for the approach, but notes that there is a way out in the analysis where SE impoverishes T: perhaps the absence of nominal overt subjects is an effect of the impoverishment of T, which does not allow T to license in its spec overt subjects. However, if SE has to be associated to a nominative pro, the theory assumes that the impoverished T assigns case to the subject, so it is unclear why overt nominals should not be licensed in this context.

b) PRO is generally a category that appears in the subject position of non finite verbal forms and is traditionally interpreted as not receiving case. If SE is PRO, or alternatively it is coindexed with PRO, then, it comes as a surprise that impersonal SE cannot appear in a number of control contexts (data taken from Mendikoetxea 1999):

(334) a. Sería mejor convocar elecciones.  
would.be better to.call elections

- b. \*Sería mejor convocarse elecciones.  
 would.be better to.call-SE elections  
 Intended: 'It would be better that one calls an election'
- (335) a. La gente desea trabajar menos.  
 the people wish to.work less  
 b. \*La gente desea trabajarse menos.  
 the people wish to.work-SE less  
 Intended: 'People want that everybody works less'
- (336) a. La policía prohibió entrar en el recinto.  
 the police forbid to.enter in the area  
 b. \*La policía prohibió entrarse en el recinto.  
 the police forbid to.enter-SE in the area  
 Intended: 'The police forbid that anyone enters the area'
- (337) a. Vimos aclamar a los vencedores.  
 we.saw to.cheer DOM the winners  
 b. \*Vimos aclamarse a los vencedores.  
 we.saw to.cheer-SE DOM the winners  
 Intended: 'We saw everybody cheer the winners'
- (338) a. El gobierno hizo construir embalses.  
 the government made to.build reservoirs  
 b. \*El gobierno hizo construirse embalses.  
 the government made to.build-SE reservoirs  
 Intended: 'The government made someone build reservoirs'

These are standard control contexts, with arbitrary PRO (334) or controlled PRO coreferential with the subject (335) or the object of the main clause (336), next to perception verbs (337) and causative verbs (338). The chances that an approach where SE is PRO has to explain these facts are not very good: assuming that PRO is present in the grammatical member of each pair, the question is what is SE adding so that SE cannot appear in the ungrammatical pairs. The licensing conditions of PRO seem to be in place given the grammatical members, and SE simply coindexes with PRO (or is a manifestation of PRO itself), so it is unclear what would block it.

There are two immediate ways out, although both require some extra motivation: (i)  $\emptyset$  and SE are allomorphs of the same category, PRO, in a way that PRO materialises as SE in finite contexts and as  $\emptyset$  in non finite contexts; (ii) SE is PRO but carries additional case licensing conditions that the non finite context does not provide. In fact, Ormazábal & Romero (2019), who follow Oca (1914) in the proposal that SE is the subject in these constructions, explicitly deny any direct connection with PRO, and propose that SE is simply an underspecified nominative pronoun in these cases. For them, what goes wrong in the non finite contexts is plainly that infinitives cannot assign nominative to their subjects. Like this, their analysis accommodates the facts while at the same time proposing that SE occupies the subject position.

From the perspective of the theory where SE impoverishes T –where impoverishing is to make unavailable features that are otherwise active in T–, the explanation of these facts is straightforward and was already formulated by Otero (1986). Assume that SE impoverishes T. In order for SE to appear in T, there should be something that can be impoverished in T. If non finite T is already impoverished, the addition of SE is unnecessary –and hence impossible– because there is nothing left to impoverish anymore. This explanation has to assume, crucially, that temporal and aspectual inflection do not count for the impoverishing role of SE, because finite contexts with SE have tense and aspect that can be manipulated, while non finite contexts

only allow some aspectual inflection. If SE had the power to block the inflection of infinitives as perfect or not (339), then SE could meaningfully combine with a non finite verb.

- (339) a. La gente desearía trabajar menos.  
           the people would.wish to.work less  
       b. La gente desearía haber trabajado menos.  
           the people would.wish to.have worked less

However, this conclusion seems to be granted; impersonal SE does not block per se the temporal or aspectual inflection of the predicate, as in finite forms one can find past, present and future verbs, as well as perfective, imperfective and perfect aspects. MacDonald & Melgares (2021), for instance, propose that what impersonal SE does is to absorb a definiteness feature in T that blocks the presence of definite subjects. Once definiteness is unavailable, the subject can only be interpreted as indefinite generic or existential.

c) The proposal where SE is PRO finds an additional problem in the interpretation of the subject once one compares Spanish with other Romance languages. D'Alessandro (2007) notes that in Italian the existential reading of the unaccusative, passive and copulative verbs is possible in impersonal SE cases only if a first person plural reading is obtained. Note the plural inflection in the participles in (340a).

- (340) a. Noi, ha detto che non si è stati invitati.  
           we, has said that not SE has been invited  
           'We, he said that we have not been invited'  
       b. Si va!  
           SE goes  
           'Let's go'

Spanish is among the languages that reject the interpretation where the 1st person reading saves the existential interpretation.

It is, to begin with, difficult to understand how this reading can be explained as possible in Italian but not Spanish in a PRO account (or using the tools of Mendikoetxea 2002, given that PRO should be universally unable to restrict the subject, by hypothesis given its feature impoverishment).

In contrast, if impersonal SE is controlled by a pronominal (Ordóñez 2021), the distinction between Italian and Spanish depends only on the range of pronouns that one can associate to SE under coindexation in such cases: Italian allows an empty pronominal that includes the speaker, while Spanish lacks it; the presence of that empty pronominal adds the speaker but SE has impoverished the T node, and therefore it is not possible to exhibit 1st person plural agreement in T.

d) PRO is taken to be a universal category: languages that are not prodrop, like English or French, are assumed to also have PRO, because the behaviour of control verbs in those languages is not significantly different from how prodrop languages like Spanish or Italian treat those constructions. From the perspective of the theory where SE is PRO or closely related to it, then, it comes as a surprise that impersonal SE is not more widely represented. Take French for instance: in French, the impersonal SE is not used, even if the language has the anticausative and the passive SE. French is supposed to have PRO, so this lack of impersonal SE comes as a surprise. In contrast, since Belletti (1982), the approach to impersonal SE where SE is coindexed with an empty non definite subject predicts precisely that impersonal SE should be

lacking from non prodrop languages, like French (or for that matter, English or German): in the absence of *pro* and with SE occupying the position of head in T / Flex, the impersonal SE construction would not produce as a result any type of well formed clause.

Thus, the conclusion so far seems to be that the approach where impersonal SE is itself PRO or is closely related to PRO is empirically less straightforward than the approach where SE is introduced in T to impoverish the node, fix the agreement in 3sg, and block the licensing of overt nominal subjects.

This, however, does not refute the analysis of SE as a pronoun in the subject position, but rather the specific analyses of SE where it is equivalent or coindexed to PRO. We still have approach Aii to compare to approach B. Aii is represented by Ormazabal & Romero (2019), who keep the SE-as-subject analysis but without a correlation with PRO. Their analysis is very difficult to disentangle from the analysis of SE as an element in T, because in that second analysis there is an empty indefinite pronoun that is coindexed with SE, so on the surface *pro*+SE might behave exactly as SE in Ormazabal & Romero's (2019) analysis. However, there are a few tests that in fact can distinguish the two approaches. The arguments that these authors give in favour of their approach, where SE should be viewed as a normal subject pronoun, can be summarised as follows, where we add the appropriate comments to compare it to the approach where SE is in T.

i) Only predicates that have a thematic argument position available allow SE, which makes sense if SE occupies that argument position and moves from there to become the subject. Note, however, that in the SE-in-T approach, this property is explained because the *pro* coindexed with SE must be initially located in an argument position.

ii) Impersonal SE constructions can control the subject of infinitives just like other subjects (341), and are subject to the same obviation effects as normal subjects (342). In the same way as in (342a) the verb *intentar* 'try' imposes disjoint reference to the subject of the inflected subordinate clause, in (342b) the indefinite subject must be distinct for each verb.

(341) a. Pedro intentó ir al cine.

Pedro tried to.go to.the movies  
'Pedro tried to go to the movies'

b. Se intentó ir al cine.

SE tried to.go to.the movies  
'Someone tried to go to the movies'

(342) a. María intentó que enviara un libro.

María tried that sent.sbj a book  
'Maria tried for somebody else to send a book'

b. Se intentó que se enviara un libro.

SE tried that SE sent.sbj a book  
'Someone tried for someone else to send a book'

Note, however, that the proposal where SE is in T is able to express precisely this fact, because the indefinite *pro* that is coindexed with it has to comply with the same kind of disjoint reference restriction. The data constitute, though, a counterexample to the proposal of impersonal SE as PRO, which by definition lacks obviation effects.

In these two tests, the approach of SE-as-subject and the approach of SE-in-T seem to tie. However, once removed the PRO proposal, there are two empirical arguments that give some

additional support to the SE-as-subject approach, and one empirical argument that constitutes an initial problem for the SE-as-subject approach.

iii) Impersonal SE cannot appear together with infinitives; this property is explained in Ormazabal & Romero's (2019) account as a condition on the subject: overt nominative pronouns are generally not possible in infinitives (cf. 334-338 above). Remember that the explanation in the SE-in-T approach (B) was that the infinitive T was already impoverished, so SE could not be introduced there. Ormazabal & Romero (2019), however, show that impersonal SE can appear with some control infinitives: precisely the infinitives that can license another nominative pronoun (generally because they are introduced by a preposition, which might allow T to license the nominative case of the subject even in the infinitive).

- (343) a. Al descubrir yo a los culpables...  
           at.the discover I DOM the culprits  
           'As I discovered the culprits...'  
       b. Al descubrir-se a los culpables  
           at.the discover-SE DOM the culprits  
           'As someone discovered the culprits...'
- (344) a. De haber visto yo a esas personas...  
           Of having seen I DOM those people  
           'If I had seen those persons...'  
       b. De haber-se visto a esas personas...  
           Of having-SE seen DOM those people  
           'If someone had seen those persons...'
- (345) a. Por acusar yo a esa persona...  
           by accuse I DOM that person...  
           'Because I accused that person...'  
       b. Por acusar-se a esa persona...  
           by accuse-SE DOM that person  
           'Because someone accused that person...'

This fact gives a certain edge to the Aii proposal, because it is unclear how the B approach could explain this: after all, these infinitives are, in T, the same type of infinitives than in the examples where SE is unavailable, and the difference seems to be with respect to whether nominative pronouns are allowed. Maintaining the approach initiated by Otero (1986) would require here a further argumentation; one would have to argue that the presence of the preposition specifically strengthens the T node enough so that adding SE creates a significant reduction in the information carried by T. That explanation is not implausible: if the definiteness feature is what SE impoverishes in T, the grammaticality of specific, definite nominative pronouns as subjects in the examples (343a, 344a, 345a) can suggest that the infinitival T has in these cases a definiteness feature which SE could in principle cancel. However, as far as I know this explanation has not been offered in the literature.

iv) Remember that impersonal SE forces a human reading of the subject, so that verbs with non human subjects cannot take it and verbs expressing animal actions are interpreted metaphorically. In the approach B, this has to be introduced as a somewhat idiosyncratic restriction on the pro that is coindexed with SE or as a parochial effect of the impoverishment of T, forcing indefinite readings of the subject (Cinque 1988). If SE is a subject pronoun, however, the human interpretation is simply a case of the general requisite of overt strong pronominal subjects in Spanish, which force animate interpretations (Cardinaletti & Starke

1999). (346a) would be interpreted as an animate subject for the same reason that (346b) is, namely the presence of the overt nominative subject.

- (346) a. Se ladra mucho.  
SE barks a lot  
'One barks a lot'  
b. Él ladra mucho.  
he barks a lot  
'He (=human, not a dog) barks a lot'

v) However, the Aii approach treats SE as a normal subject, and then it predicts, against the facts, that (348) should be grammatical, where in parallel with (347), the subject of the infinitive raises to the T node of *parecer* 'seem' in a raising environment. Remember, however, that we saw above that raising verbs cannot combine with impersonal SE.

- (347) a. Parece [estar Juan enfermo].  
seem to.be Juan sick  
b. Juan parece [estar enfermo].  
Juan seems to.be sick  
(348) a. Parece [estar-se enfermo].  
seem to.be-SE sick  
b. \*Se parece [estar enfermo].  
SE seem to.be sick  
Intended: 'Someone seems to be sick'.

Ormazabal & Romero (2019), in fact, document a number of cases in corpora where SE seems to have raised from the infinitival clause, among those the examples in (349), which in contrast to (348b) sound perfectly natural and are clear instances of impersonal SE.

- (349) a. Se parecía haber ganado en altura.  
SE seemed to.have gained in height  
'It seemed that someone had got an increase in height'  
b. Con ello, se parece abogar por dotar a la percepción de una unidad  
with that, SE seems to.advocate by providing the perception of one unity  
'With that, one seems to advocate in favour of the perception of unity'  
[adapted from CORPES, 17/11/2016]

The SE-as-subject approach perhaps could find some independent reason for the ungrammaticality of (348b) in contrast to the naturally sounding examples in (349): perhaps grammatical aspect might play a role in this task, as the impersonal SE is particularly degraded in raising contexts when the predicate is stative (\**Se parece ser bueno* 'One seems to be good' vs. *Se parece negar la libertad a los demás* 'One seems to deny freedom to others'). Ormazabal and Romero (c.p.) propose that divergent examples like (348b) might simply depend on SE having a preferred position within the clause where it originates.

On the other hand, the approach of SE-in-T seems to explain these facts unproblematically: SE cannot be base generated in the infinitive, and in the inflected verb SE can only be generated if c-commanded by pro in a thematic position, a property that a raising verb does not satisfy. Then, that pro could only come from the infinitive, but the pronominal category of the infinitive would be PRO, which could not move up to the finite verb.



Thus, to wrap up this section, there is a lot of empirical arguments that pose problems for the approach of SE as PRO or related to PRO. However, a meaningful debate can be established between the approach where SE is in the subject position and the approach where SE creates a defective T and is coindexed with pro.

#### 7.5. Relation between impersonal SE and passive SE: case and the internal argument

So far, we have analysed the properties of the subject and the predicate in the impersonal SE structure, but we have not said much about the object of the transitive verb in the impersonal SE construction. This element is relevant for the nature of SE because of two theoretical points: (i) the relation between impersonal SE and passive SE and (ii) the case relation that is established between SE, the verb and the possible pronominal that is added to the structure.

When it comes to the relation between impersonal and passive SE, we leave most part of the empirical discussion about the variation between the two structures and its consequences for §8.2, where passive SE is discussed. For the time being, however, we want to establish some generalisations that will be relevant for the characterisation of the case relation that will be discussed here, and which has led a number of authors to the claim that impersonal SE should be viewed in essence as a voice phenomenon that is parallel to passive SE. In essence, these authors argue that impersonal and passive SE can be reduced to basically the same type of configuration.

With some variation and many more qualifications that will be presented in §8.2 below, impersonal SE is strongly favoured over passive SE when the direct object is marked by *a*, generally taken to represent DOM in Spanish. Verbs that tend to prefer this marking such as *ayudar* 'help' (350a,b), and direct objects that trigger this marking given their referential properties (350c,d; cf. Fábregas 2013) reject the passive SE construal with agreeing verbs, in most varieties of Spanish.

- (350) a. Se ayuda a los niños.  
           SE helps DOM the children  
           'One helps the children'  
       b. \*Se ayudan niños.  
           SE help.3pl children  
           Intended: 'Children are helped'  
       c. Se me vio a mí.  
           SE me saw.3sg DOM me  
       d. #Me vi yo.  
           me saw.1sg I  
           Intended: 'I was seen'

In contrast, although with more variation among speakers than the previous case (as we will see in §8.2), predicates containing internal arguments that reject DOM tend to favour the passive SE construal over the impersonal SE:

- (351) a. Juan vende pisos.  
           Juan sells apartments  
       b. Se venden pisos.  
           SE sell.3pl apartments  
           'Apartments are sold'
- (352) a. Juan vio niños.  
           Juan saw children  
       b. Se vieron niños.

SE saw.3pl children  
'Children were seen'

This alternation between impersonal and passive SE is not as clean as these data suggest, but they are the starting point for the following question: given that the properties of the object in the active form condition whether impersonal or passive SE can be used, could one propose that impersonal and passive SE start from the same basic structure, and the nature of the object –perhaps, the type of case that the object receives– determine the difference between the two types of SE?

#### 7.5.1. Impersonal SE and passive SE as the same structure

These facts are relevant for the debate about whether impersonal SE absorbs or blocks accusative case from the verb or not, and secondarily with respect to whether impersonal SE is related to a nominative subject or other cases are allowed. The second question is more straightforward to answer, so let us remove it from the table: the evidence in Spanish (remember the impossibility of using impersonal SE with verbs that assign dative to the subject) seems to suggest that Spanish impersonal SE is associated only to nominative subjects. Impersonal SE might be the only case of SE related to nominative –while the other cases that we have seen so far, and that we will see later, are potentially related to accusative or dative cases, as we saw above–.

However, it is less clear whether impersonal SE blocks accusative assignment to the direct object or not. Analyses that propose that it might block case in the direct object are ultimately attempts to unify impersonal and passive SE in the same group: passive SE clearly absorbs accusative case from the verb –a property that is perhaps shared by anticausative SE, together with antipassive SE–, so if impersonal SE can be argued to do something similar, a unification between the two types of SE is possible.

The discussion goes back to Dobrovie-Sorin (1998). We pointed out in §7.4 above that the theory that treats SE as the head of T predicts that prodrop languages might have impersonal SE. Dobrovie-Sorin (1998) notes that this prediction is not correct for Romanian: Romanian is a prodrop language that lacks impersonal SE. How is this problem solved? The idea put forward is that being a prodrop language is a necessary but not sufficient condition for having impersonal SE. In order to develop an impersonal SE use in the language, the language must allow a nominative SE; languages like French or Romanian only have an accusative SE, and therefore cannot use SE in a subject position –or coindexed with a nominative subject–. In her analysis, passive SE absorbs accusative case from the verb, not allowing the licensing of an accusative object; impersonal SE minimally differs from the passive in that it absorbs nominative case, but leaves accusative case available. Romanian only has a SE that absorbs accusative case, and therefore can only make passive SE structures, never impersonal SE structures. Spanish would have both, according to this analysis.

The next logical step in this discussion is to question whether there are really two SE structures that differ on which case SE absorbs. Interestingly, Dobrovie-Sorin (2021) proposes that the passive SE represents a situation where SE is introduced in T and there is no case-absorption distinction, but rather one in terms of the interpretation of the subject, as we will see in §8.3 below. This might be one step into the unification of the passive SE to an impersonal SE.

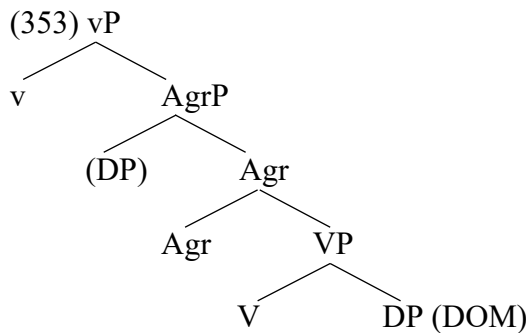
However, here we are concerned with analyses that do not locate passive SE in T, but rather that argue that impersonal SE is located lower than T, because what we want to check here is whether impersonal SE can be assimilated to passive SE, not if passive SE can be treated as an impersonal SE. The clearest analysis where impersonal SE is argued to be placed in a position and with a role similar to the one of passive SE is Ordóñez & Treviño (2016), where they argue

that SE is placed in a verbal head and is related to a structure where SE does not absorb accusative case and the agreement that is seen in (351, 352) is due to the availability of the number feature in T.

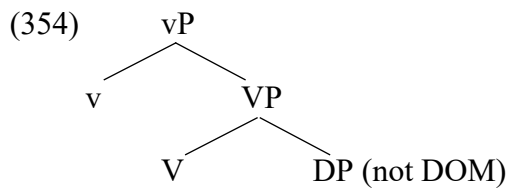
Ordóñez & Treviño (2016) propose that there are three ways to license the case of the internal argument in Spanish, which eventually produce the difference between active sentences, impersonal SE and passive SE:

- a) 'Normal' accusative, which is manifested through the clitics *lo / la*
- b) Differential Object Marking accusative, which is assigned by an Agr layer between v and V
- c) Exceptional case assignment through partial agreement with T in number

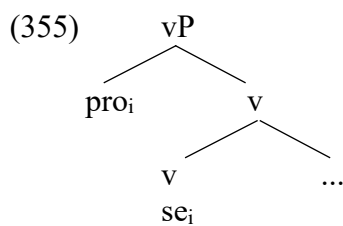
In this analysis, the presence of DOM does not signal accusative case, but rather an inherent case that is assigned to human and referential objects by an additional Agr head internal to the verbal complex. The specific configuration is the one in (353), where movement to spec, AgrP is not necessary for all objects.



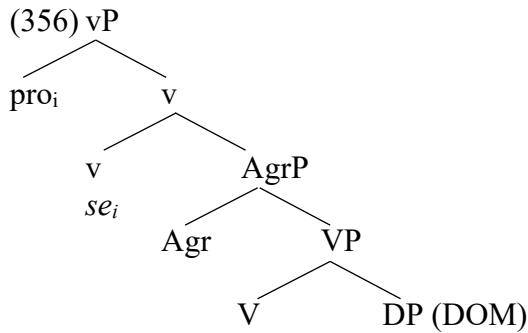
AgrP is deployed only if the DP in VP contains some specific referentiality and animacy properties. Otherwise, AgrP is not deployed, and the object receives 'normal' accusative from the vP.



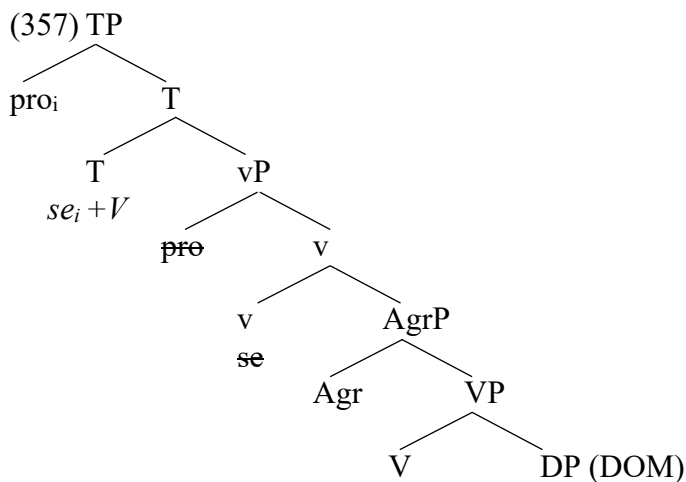
What Ordóñez & Treviño (2016) propose is that in both impersonal and passive SE structures, SE marks the v head and is coindexed with a pronoun that only contains person, not number –from where the indefinite reading follows–. In this version of the analysis, SE combines with a v head that cannot assign accusative head.



The difference between impersonal and passive SE basically depends on the presence vs. absence of AgrP, and therefore on the possibility of licensing the internal argument as DOM or not. If the object has the right referentiality / animacy requisites, AgrP is projected and the derivation is as in (356). Note that these authors assume an arbitrary *pro* in the relevant spec position (VoiceP in Ordóñez & Treviño 2011, vP in this version; the distinction is orthogonal to our purposes) both in the impersonal SE and the passive SE.

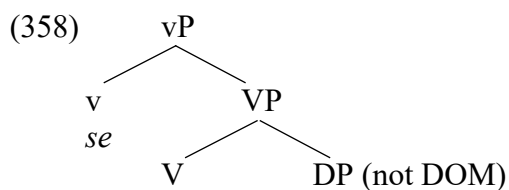


Subsequent movement operations move SE and the *pro* argument higher in the clause. Importantly, when *pro* goes to spec, TP, it checks the person feature of T and forces third person agreement.



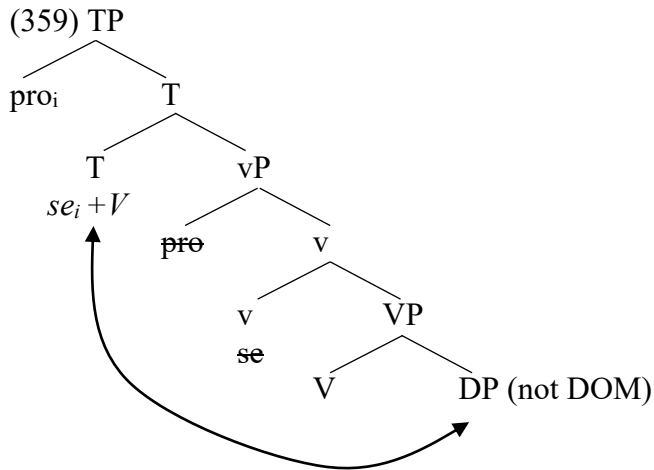
The *pro* that combines with SE in these constructions does not check number in T, so that feature is left for potential agreement by another DP. In many varieties, the presence of DOM makes it impossible that the DOM-marked object satisfies the number feature of T (but see §8.2 below).

In contrast, in the passive SE the object DP does not have the relevant features to deploy AgrP above, and DOM is not an option.



When *pro* moves to spec, TP, it checks the person feature, leaving –as in the case of impersonal SE– the number feature unchecked. This time, however, in most varieties the object

DP which did not get DOM, checks the number feature of T, triggering agreement (but, again, see §8.2 below). This agreement would in Ordóñez & Treviño (2016) mean that the internal argument gets nominative assigned, but see again §8.2 for a reevaluation of the proposal made in Ordóñez (2021).

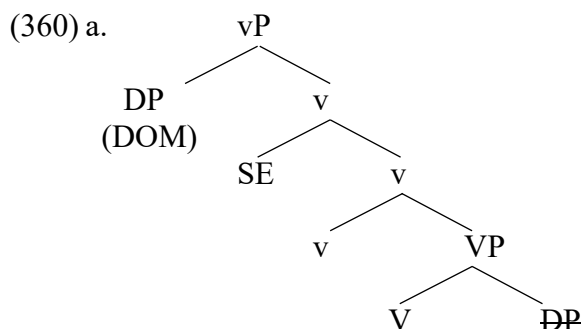


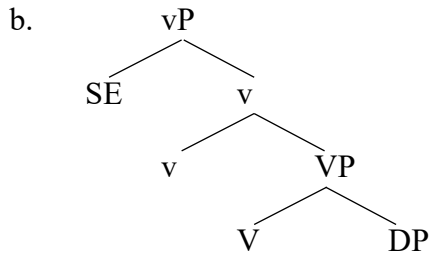
The consequence is that passive SE will be able to display number agreement with the verb, but not person agreement, a fact that we will return to in §8.3 below.

Thus, the intuition of this analysis is that impersonal SE is simply passive SE with an additional layer that assigns DOM to the object –or of course, alternatively, passive SE when there is no internal argument to license through case–. Ormazabal & Romero (2019) also propose a unification between the two types of SE which is related to DOM, only that in their system they have a different take on the case assignment possibilities:

- i) A-marked objects (DOM), which receive case from vP –not affected by the presence of SE–.
- ii) Non marked objects, which they propose simply do not require case.

The point of the derivation that differentiates impersonal SE from passive SE is represented in (360), where (360a) is the impersonal SE and (360b) is the passive SE. Note that the only difference is a-marking, and SE is simply placed in the external argument position and does not change the case-assigning possibilities of vP.





The rest of the derivation is not very different from Ordóñez & Treviño (2016): SE moves to TP, where it only checks the person feature. The number feature is available to establish a relation with a DP, but this only happens in the passive SE structure, as that DP has not got case.

### 7.5.2. Leísmo in impersonal SE structures

An important ingredient of Ordóñez & Treviño's (2011, 2016) approach –and others that try to treat impersonal SE as a passive SE and assume that accusative is generally assigned to objects– is the proposal that the presence of DOM tends to correlate with the non-agreeing cases which produce the surface impression of impersonal SE. At the same time, we have seen that Dobrovie-Sorin (1998) argues that impersonal SE absorbs nominative case while passive SE absorbs accusative case, a point denied by the analyses that unify the two SE-types. From the perspective of the unification, then, it should be in principle surprising that passive (which generally is assumed to absorb accusative) does not allow accusative clitics, while impersonals should allow it.

Ordóñez & Treviño (2016) never claim that SE absorbs accusative, but they do claim (2016: 248) that the case assigned to the internal argument is not accusative: the number agreement that characterises passive SE structures is in fact agreement with an object which correlates with a partial nominative. If SE does not absorb accusative case and *pro* is a subject in TP, for them it is in principle problematic that the internal argument in passive SE structures cannot be substituted with accusative clitics (\**Se lo venden* 'SE it.acc sell.3pl', intended as 'That is sold to them'). They suggest in that article that the presence of an intervening clitic blocks the agreement relation between T and the argument, although note that only accusative clitics should block it (as dative clitics are allowed with SE passives without causing trouble for agreement). One can argue, however, that the impossibility of using the accusative clitic in fact comes from the object agreeing partially with the T node, which approaches that clitic to a nominative. Perhaps the combination of being the object of the verb but still agreeing in part with T make the use of the clitic impossible.

Seeing now the problem from the opposite perspective, the second side of the problem is that if passive SE does not allow accusative clitics, one should expect that impersonal SE should not allow accusative clitics either, if they are both the same type of configuration. What passive SE structures allow is dative clitics in addition to the internal argument: *Se le venden pisos* 'SE him.dat sell.3pl houses, Houses are sold to him'. Thus, what one expects is that impersonal SE allows dative clitics and rejects accusative clitics. In principle, there are facts that support this conclusion.

Standard Spanish –whatever that means– is analysed as having a partial case system in pronominals, with the clitics *lo / la* marking accusative case, and *le* marking dative case. The phenomenon of leísmo describes the situation where speakers use the etymologically dative clitic in contexts where one expects accusative assignment, as in (361a), where (361b) should be the normative form.

- (361) a. Le vi.  
           him.dat saw  
           'I saw him'  
       b. Lo vi.  
           him.acc saw  
           'I saw him'

Leísmo is in principle geographically and socially conditioned. The relevance of this phenomenon for impersonal SE is that the presence of this type of SE is known to trigger 'leísmo' in speakers that generally use (361b), not (361a) (Bello 1847, Cuervo 1874, Fernández Ramírez 1964, Marcos Marín 1978, Martín Zorraquino 1978, Mendikoetxea & Batty 1990, Fernández-Ordóñez 1999, Ordóñez & Treviño 2016, MacDonald & Melgares 2021).

- (362) Se le vio.  
       SE him.dat saw  
       'Someone saw him'

Speakers differ with respect to how grammatical it is to use the accusative pronouns in the presence of impersonal SE. MacDonald & Melgares (2021) report that (343) is ungrammatical in Honduran Spanish and Ordóñez & Treviño make a similar claim about Mexican Spanish, but other varieties seem to at least accept it –particularly with feminine objects–.

- (363) Se la vio.  
       SE her.acc saw  
       'Someone saw her'

The facts in principle support the view where impersonal SE, like passive SE, blocks normal accusative clitics –assuming of course that DOM is different from the case related to normal accusative clitics, as in Ordóñez & Treviño's analysis impersonals assign DOM–. Dative case, as represented in leísmo, would be an effect of accusative clitics not being strictly available in the impersonal construction; Spanish DOM, where the direct object is marked with the dative preposition (Fábregas 2013), would partially merge with dative, a fact that is more strongly made in modern times by Ormazabal & Romero (2013), but that goes back to Cuervo (1874). There are more facts related to the variation between the number agreeing and non agreeing construction, but we will revise those in §8.2, as they are informative about further details of the passive SE construction.

However, the data do not support the conclusion as strongly as it might seem at the beginning: as we say, several varieties allow (363) and the restriction seems to be stronger for the masculine form *lo*. Of course, this might reflect just a fact about the feature specification of each clitic in the relevant variety –for instance, *lo* might be marked for accusative case compulsorily while *la* might be marked for feminine gender, and can lose the accusative case specification without being replaced by *le*–, but any approach based on the feature specification of the pronouns makes prediction for the further uses of the clitic in other contexts, and it seems that more empirical research is needed across varieties to confirm or deny this proposal –note, in fact, that Ordóñez & Treviño (2016) are very careful to propose a specific analysis of Mexican Spanish, and propose a partial continuum between varieties in terms of –.

However, if the solution to the problem must put into the mix the feature specification of each individual clitic in order to explain the variation, other options emerge. MacDonald & Melgares (2021), for instance, accept that impersonal SE imposes a restriction into the available clitics but do not relate that restriction to case, but rather to a definiteness feature –at least in

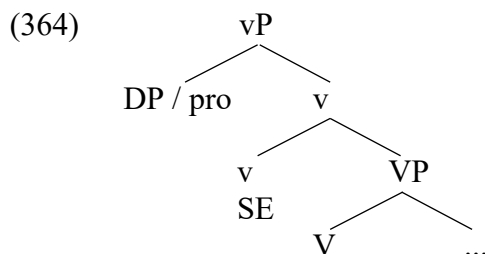
the Honduran variety—. The definiteness feature characterises accusative pronouns in this variety, and in combination with the impersonal clitic SE, under adjacency, the feature specification of the accusative clitic becomes impoverished as part of a PF rule. See also, for an alternative interpretation of these facts, Mendikoetxea & Batty (1990), who argue that the *léismo* that emerges in impersonal contexts is due to an intervention effect: the accusative clitics intervene between SE and the verb and block the formal relation that they need to establish with each other; *léismo* would be the repair strategy to avoid that intervention effect.

Thus, to conclude this section: from the perspective of case, the unification between impersonal and passive SE encounters one problem, namely that one would expect the same case assigning possibilities in both impersonal and passive SE. If SE never absorbs accusative case, the problem is that passive SE structures should be allowed to combine with object clitics. The problem can be solved, however, if in fact accusative clitics –different from DOM clitics– are unavailable also in impersonal SE structures, and this can at least be argued with the available facts.

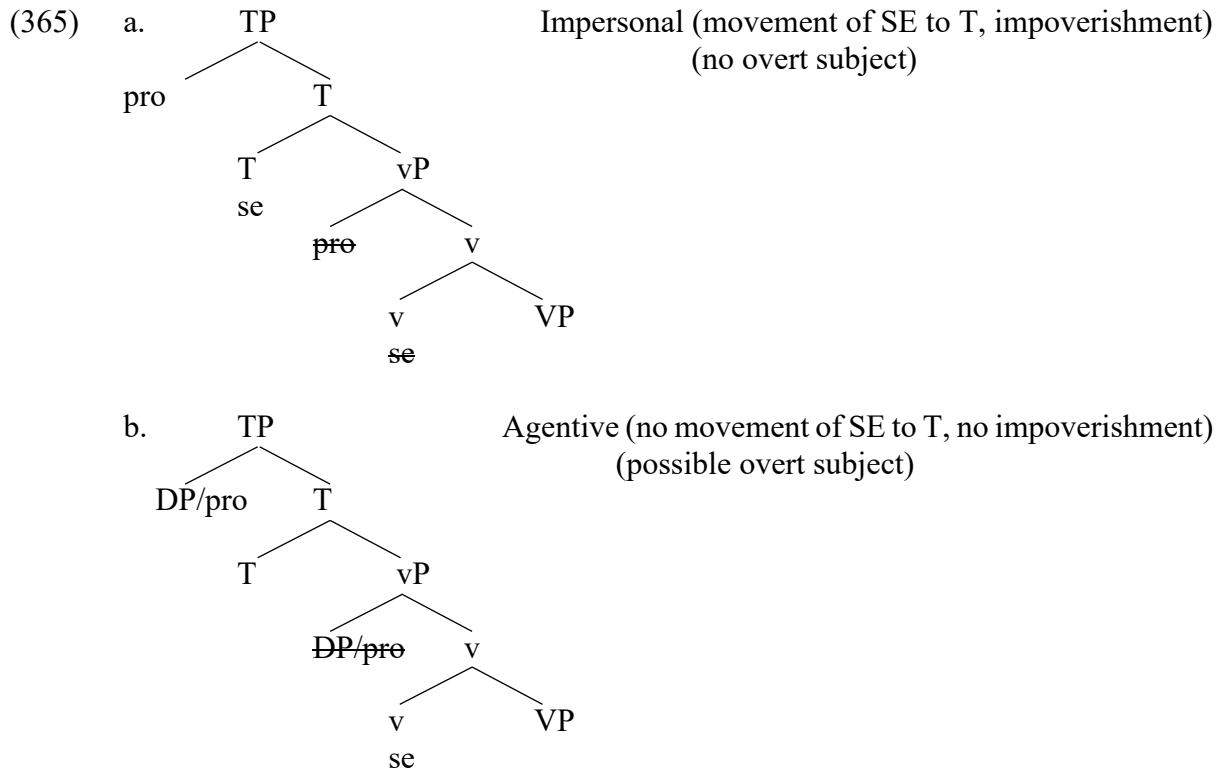
#### 7.6. Relation between impersonal SE and the predicate-affecting SE uses

As we have seen, impersonal SE stands out within the system of SE uses as being the only one that is related to a nominative position. Despite the variety of analyses, all of them share the intuition that SE appears in a subject position or is coindexed with a pronoun that is in a subject position.

This means a contrast with reflexive and reciprocal uses, where SE is related to accusative or dative environments; to anticausative uses, where the subject is the entity affected by the change; to aspectual uses, where it has been argued that SE is either a dative coindexed with the affected subject or a marker within the internal vP complex; with transitive and antipassive uses, where SE is analysed plainly as a reflexive object. However, depending on the analysis, agentive or factitive SE might be similar to impersonal sense in the sense that SE imposes some type of restriction to the category that plays the role of the subject: in the case of these two SE uses, the restriction has to do with the thematic interpretation of the subject, while in the case of impersonal SE it has to do with its reference. However, one could attempt a reduction of agentive and impersonal SE uses where in both cases SE is coindexed with the subject and the difference has to do solely with whether the subject is a *pro* with a particular interpretation or, alternatively, with a full DP. Assuming that SE impoverishes T in impersonal cases, and that has something to do in not allowing overt nominal subjects, one could perhaps propose that agentive SE comes from the same basic source (a configuration like 364) but in the case of the agentive SE it does not move up to T, therefore not impoverishing it and allowing an overt subject with definite reference.







Let us now move to the second clause-affecting SE use, passive SE.

### 8. Clause-affecting SE uses (2): passive SE

Passive SE structures, which have already been briefly introduced, are illustrated in (366). Our starting point for the discussion is the fact, already mentioned in §7.5 above, that passive SE structures –in contrast to periphrastic passives, as we will see in §8.3– cannot be built from active sentences where the direct object must receive DOM.

- (366) a. Vendí muchas motos.  
I.sold many motorcycles  
b. Se vendieron muchas motos.  
SE sold many motorcycles
- (367) a. Vendí a mis hijos.  
I.sold DOM my sons  
b. \*Se vendieron mis hijos.  
SE sold.3pl my sons

Personal pronouns of first and second person must always be introduced by DOM when used as objects. This implies that passive SE structures can never be formed with subjects of first and second person.

- (368) a. Me vio a mí.  
me saw DOM me  
b. \*Se vi.  
SE saw.1sg  
Intended: 'I was seen by someone'  
c. #Me vi.  
me saw.1sg

(Only interpretable as a reflexive)

This, just like in the case of impersonal SE, means that the non-paradigmatic nature of passive SE, which cannot alternate with 1st or 2nd person pronouns (unlike the predicate-affecting uses), does not need to be postulated, but can actually be derived from a syntactic restriction that only allows some third person nominals to be the argument of passive SE constructions.

Passive SE constructions have been examined in many different works, among them Fernández Ramírez (1957 [1986]), Hatcher (1957), Contreras (1973), Molina Redondo (1974), Manteca (1976), De Mello (1978), Havertake (1980), Cano Aguilar (1981), Trujillo (1988), Campos (1989), De Kock & Gómez Molina (1990), Hernández Sacristán (1991), De Miguel (1992), Gómez Torrego (1992), Devís (1993), Raposo & Uriagereka (1996), Omori (1997), Ricós (1998), Mendikoetxea (1999, 2008, 2012), Saab (2014), MacDonald & Maddox (2018) and Dobrovie-Sorin (2021), as well as the authors cited in §7.5 above and who have tried to unify passive and impersonal SE.

Empirically, there are three main distinctions to make in the domain of passive SE: the nature of the semantic subject, which contrasts them with anticausative SE structures, the nature of the agreeing argument, which contrasts them with impersonal SE through complex patterns of variation, and the comparison with periphrastic passives, which have been crucial in determining the right structure of passive SE structures. Beyond this, in this overview we will present the connections that passive SE has with the predicate-affecting SE uses.

#### 8.1. *Main properties of passive SE: differences with anticausative SE*

Passive SE structures can be characterised, coarsely, by three properties that are however subject to qualifications. The first one is that passive SE is interpreted as a passive in the sense that the argument that seems to be a subject is interpreted not as an agent but as an internal argument that undergoes the event expressed by the verb.

- (369) a. Juan encontró muchas cosas en su casa.  
           Juan found many things at his place  
       b. Se encontraron muchas cosas en su casa.  
           SE found.3pl many things at this place  
           'Many things were found at this place'

Second, the passive SE structure agrees in number (and possibly person, although all passive SE structures are third person) with the argument interpreted as the object, semantically.

- (370) a. Se encontró una cosa.  
           SE found.3sg one thing  
       b. Se encontraron muchas cosas.  
           SE found.3pl many things

Third, passive SE is considered passive also because it allows the introduction of a by-phrase that expresses the notional subject of the event, the agent.

- (371) Se decidió eso por las autoridades.  
       SE decided that by the authorities  
       'That was decided by the authorities'

As we say, all these properties can be questioned, but they are the ones that are mainly taken to differentiate impersonal SE from passive SE and, to some extent, from anticausative SE. With respect to the distinction between passive and anticausative SE and how it is empirically grounded, consider (372).

(372) Se rompieron las ventanas.  
SE broke.3pl the windows

In principle, (372) can be interpreted both as an anticausative SE and as a passive SE, although with a tendency towards the passive interpretation. The anticausative interpretation would be 'The windows broke', where the event is triggered either by the internal properties of the windows or by an accident not controlled by any external force; there is no external agent involved. The passive interpretation is 'The windows were broken', where one interprets that an external agent that is not specified is responsible for the breaking of the windows.

As seen in (372), the position of the agreeing argument favours one or the other reading. Passive SE structures tend to bethetic judgements (Ricos 1998) which favour that the subject appears postverbally. The preverbal position (373) would favour in this case the anticausative reading, as the anticausative makes an assertion about what happened to an individual.

(373) Las ventanas se rompieron.  
the windows SE broke.3pl

As we saw in (374), the anticausative construal can combine with causes, but not with agents. The passive SE structure allows, in principle, markers that involve the presence of an agent.

- (374) a. Las ventanas se rompieron {por el calor / ??por los niños}.  
the windows SE broke by the heat / by the children  
b. Las ventanas se rompieron a causa del calor.  
the windows SE broke because of.the heat
- (375) a. Se rompieron las ventanas deliberadamente.  
SE broke the windows deliberately  
b. Se rompieron las ventanas con alevosía.  
SE broke the windows with premeditation

Similarly, the anticausative SE structure is not compatible with markers of the presence of an agent, but with markers that show that there was no external agent, beyond the entity that itself undergoes the change (§4.2).

(376) Las ventanas se rompieron {solas / por sí mismas}.  
the windows SE broke alone / by themselves

In terms of the syntactic projection of the internal argument, remember that –despite acting as unaccusatives in their semantic interpretation and in some languages also for the choice of auxiliary– anticausative SE does not allow bare nominal subjects (§4.1). This is in contrast to passive SE (Sánchez López 2002).

(377) Se rompieron \*(las) ventanas por sí solas.  
SE broke the windows by themselves

This fact of anticausative structures is surprising given the general characterisation of anticausative SE as an unaccusative construction (remember §4.1, where we however pointed out that it is unclear to what extent anticausative SE structures in Spanish should be related to unaccusatives, given the readings of absolute participles and the lack of bare nominal subjects). Remember that unaccusatives normally allow postnominal bare nominals as subjects.

- (378) Desaparecieron ventanas.  
 disappeared windows  
 'Some windows disappeared'

This is not the only fact that suggests that anticausative SE and passive SE should contrast in terms of the position of the subject. Anticausative SE and passive SE share the property that the morphology of the verb is active even if the subject is interpreted as the internal argument that undergoes the change. This fact is highlighted in Ormazabal & Romero (2019), who notice that periphrastic passives break the idiomatic interpretation of some fixed expressions, while passive SE does not.

- (379) a. echar margaritas a los cerdos  
 to.throw daisies to the pigs 'to waste something good with an underserving person'  
 b. #Las margaritas fueron echadas a los cerdos.  
 the daisies were thrown to the pigs  
 c. Se echaron margaritas a los cerdos.  
 SE threw daisies to the pigs
- (380) a. quemar puentes  
 to.burn bridges 'to break cooperation oportunities'  
 b. #Los puentes fueron quemados.  
 the bridges were burnt  
 c. Se quemaron los puentes.  
 SE burnt the bridges  
 'All cooperation oportunities were broken'

This suggests that the passive SE keeps the idiomatic structure, perhaps leaving the internal argument within the lexical verb, where the domain of idioms is defined (Marantz 1984). Interestingly the anticausative SE breaks the idiomatic meaning:

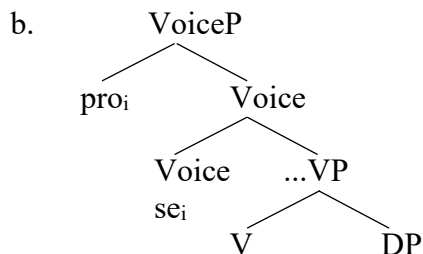
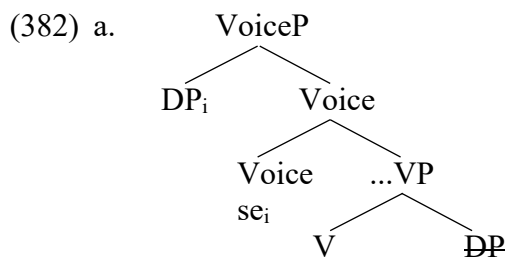
- (381) #Los puentes se quemaron por el odio.  
 the bridges SE burned by the hate  
 Intended: 'The cooperation oportunities broke because of hate'

All these facts are relevant for the question of how the anticausative and the passive SE structures should differ from each other. If we go back to §4 above, remember that the main analyses of anticausative structures discussed whether anticausative SE should be a reflexive (Koontz-Garboden 2009) or it should be a structure where voice is impoverished and SE marks the impoverished voice head (Schäfer 2008) or is located as an expletive external argument (Alexiadou, Anagnostopoulou & Schäfer 2015). The analysis that treats anticausatives as unaccusatives, in principle, supports the analyses where the external argument position is removed in anticausatives. However, as the characterisation of anticausatives (§4.1) or even reflexives (§3.1) as unaccusatives is problematic, one seems to require movement of the internal argument to a specifier position at some point in the derivation. This movement would have three consequences.

- a) To ban bare nominals, which by hypothesis are only licensed in complement positions
- b) To favour an ordering where the subject is preverbal
- c) To break the idiomatic meaning of fixed expressions, by removing the internal argument that is in the complement position from the domain of the lexical verb

Once the internal argument in anticausatives has to move to a specifier position, that movement is in principle compatible both with the analysis of anticausative SE as reflexive SE, preserving the external argument, or not –but remember the arguments against the reflexive analysis of anticausative SE in §4.3–. In contrast, the internal argument in passive SE structures should stay in the complement position, (a) allowing bare nominals, (b) favouring a thetic ordering with the subject in postverbal position and (c) preserving idiomatic meaning. How does that obtain?

If one assumes Schäfer (2008), where Spanish SE is located in the head of a defective Voice that lacks an external argument, the distinction could be operationalised as follows: SE in anticausatives and in passives is located in the head position of Voice, in both cases –Ordóñez & Treviño (2011) locate passive SE in Voice, but remember that in (2016) the head is *v*–. In the anticausative construal, the internal argument moves to spec, VoiceP to bind the SE (382a); in passive SE, the specifier of Voice is filled by a *pro* argument that gets assigned case (382b).

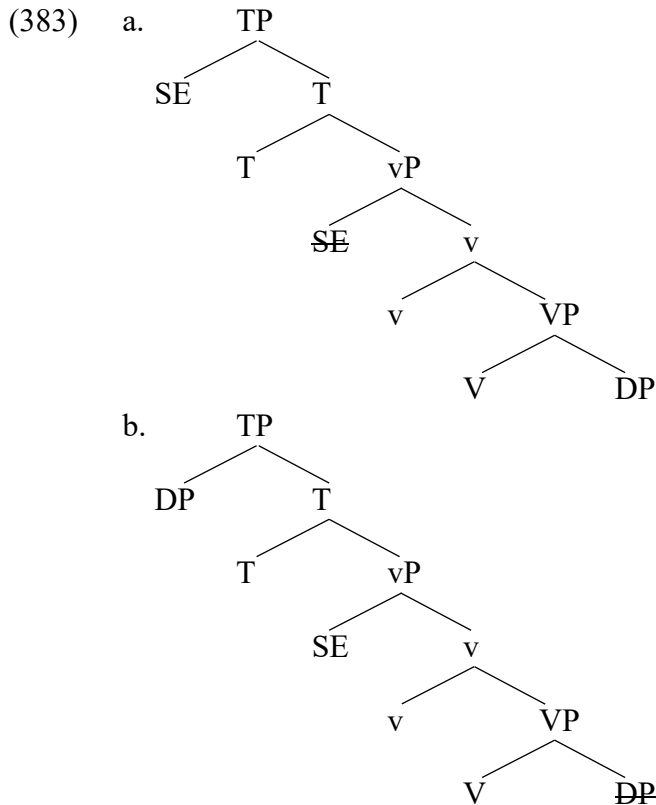


Of course, one would have to retract from the strong position taken by Schäfer (2008) where SE marks a specific Voice flavour: one would have to treat SE rather as a reflexive pronoun that can be added to the voice head and must be licensed by a nominal expression that binds it. That binding can be performed in two ways: either by movement of the internal argument, in which case one gets an anticausative construal, or by insertion of a pronominal, which results in the passive construal (and the impersonal construal if DOM is assigned to the internal argument).

The analyses where anticausative SE is located in the specifier position would be disfavoured (Alexiadou, Anagnostopoulou & Schäfer 2015) from this perspective, but it is not impossible to account for the difference with them: the internal argument in the unaccusative construal would have to move to a higher specifier position; the biggest complication of the approach is that the *pro* that corresponds to the agent would have no obvious insertion point, which makes the unification between the passive and the anticausative derivations less obvious.

Similarly, this disfavours Koontz-Garboden's (2009) reflexive analysis, for the same reasons: the presence vs. absence of an agent is not clearly accounted for here.

Note that a solution to passive SE along the lines of Ormazabal and Romero (2019) is also able to explain the distribution of bare nominals. In their analysis of passive (and impersonal) SE, the SE element is a subject pronoun that occupies the position of spec, vP –with case determining the difference between the impersonal and the passive–. Starting from a derivation like (383), one could in principle propose that passive SE is located in the specifier of Voice, making the internal argument remain in place (383a); from there SE moves to the subject position in spec, TP. In the anticausative SE construction one would require the internal argument to move to a specifier position, perhaps the subject position (383b).



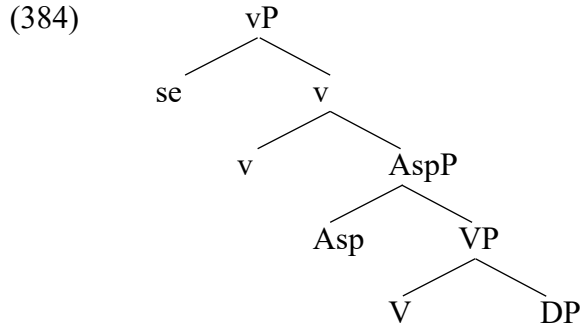
The problem that (383b) encounters is what makes it possible for the DP to cross over SE to land in TP: in principle, if SE is a normal subject pronoun, SE should block movement of the internal argument to TP in the same way that the external argument of any transitive verb blocks movement of the internal argument to the subject position. Note that it is not straightforward to claim that movement of the DP is necessary to bind SE, because in principle nobody is binding SE in (383a). One minimal alternative could be to propose that in anticausatives, SE is in the head position and does not block movement, but then the parallelism between passive and anticausative dissolves.

Thus, the facts related to the distribution of bare nominals constitute an argument that favours approaches where SE is located in the head of vP or VoiceP. Crucially, from this perspective (382) the difference between the anticausative and the passive is that the passive introduces a *pro* category that is interpreted as the indefinite subject.

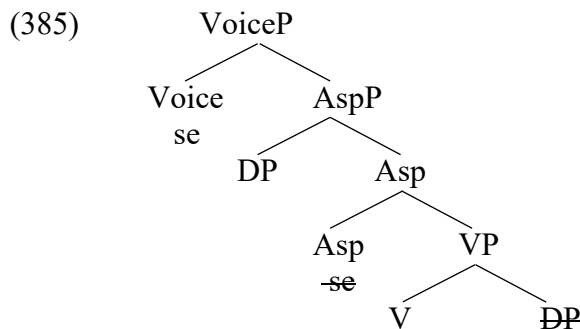
The approach that we have just presented is an attempt to unify anticausative and passive SE structures using the same type of SE in both cases –the next subsection will revisit the unification of passive and impersonal SE from the perspective of the agent and the variation present in the agreement patterns with the internal argument–. However, there are other

accounts of the distinction between anticausative and passive SE that try to use the same ingredients.

Kempchinsky (2004, 2006) present a solution based on her proposal that SE is an aspectual element that introduces, saturates or links to a subevent of the verb (remember §5.2 above). In her analysis, passive SE structures involve the configuration in (384; Kempchinsky 2006).

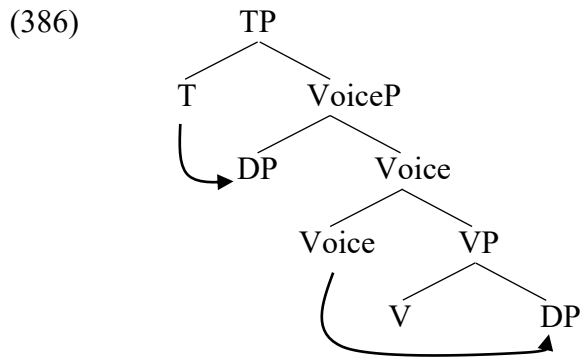


In this approach, passive SE is located in a specifier position of vP. This projection introduces the first subevent, related to the agent; SE links to that subevent in this position, not removing the causation component –thus, leaving the interpretation that there is an agent, but not letting it be projected as a normal nominal expression–. In contrast, the anticausative SE, which Kempchinsky (2004) labels inchoative / ergative, corresponds to the structure in (385).

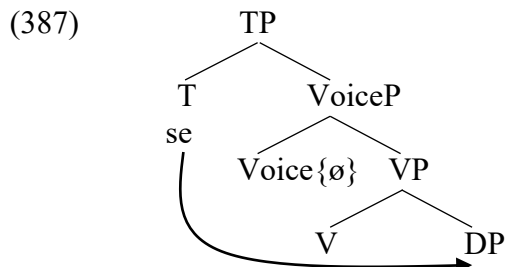


Note that vP is missing from (385), and therefore also the subevent related to the causation of the event. SE is projected as the head of Asp, where it introduces a change-of-state subevent as the definition of the second subevent of the event. The absence of vP is explained as follows: SE introduces a subevent that already licenses the eventuality and defines an argument linked to it, through movement. SE then carries all the necessary event information, and movement to VoiceP defines a complete event without the need to introduce vP. Thus, Kempchinsky's account can also explain why the anticausative internal argument appears in a specifier position, while the passive one remains in place, and the distinction between anticausative and passive is explained by the absence or presence of a causation subevent introduced by vP.

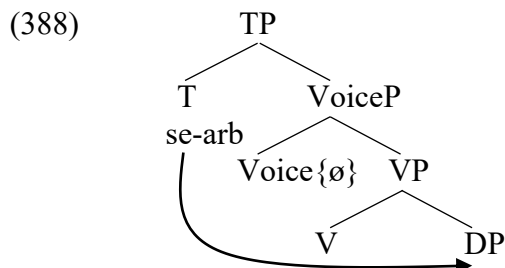
A third account of the distinction is Dobrovie-Sorin (2021). In her account, the difference between anticausative and passive SE is double. (386) presents the structure for the active, where the author assumes that T licenses the external argument (introduced in spec, VoiceP) and Voice licenses the internal argument.



Anticausatives and passives share the property that Voice is devoid of unvalued features, which make it inert: it does not introduce an external argument and it does not license the internal argument. In the case of Romance SE, SE is introduced as a clitic directly in T, where it probes the internal argument. The difference between anticausative and passive SE depends on the phi feature structure of SE. In the anticausative, a plain version of SE is introduced: nothing in (387) defines a subject.



In contrast, in the passive version, a SE containing an arbitrary interpretation is introduced. Dobrovie-Sorin (2021) proposes that the initiator / agent of an event can also be introduced in TP, and claims that because of this technical innovation, the arbitrary interpretation of SE allows to infer the presence of an external agent, although with an indefinite reference.



Once treated like this, the analysis of anticausative and passive SE becomes close to the analysis of impersonal SE in the approaches where the impersonal is located in T (Otero 1986). The distinction between the passive and the impersonal could derive from a case factor, as in Ordóñez & Treviño (2011, 2016) or Ormazabal & Romero (2019). The complication that Dobrovie-Sorin (2021) has to encounter, however, is that she needs to make available a second position in the tree for the agent interpretation, TP, a position that is generally not related to this interpretation to the extent that other thematic interpretations can be located in the subject position. Note, interestingly, that in this approach SE does not mark the impoverishment of the vP or VoiceP layer.

This takes us back to the problem of how the passive and the impersonal SE structures relate to each other. The next section takes up this question again.



## 8.2. More on passive SE and impersonal SE: more details about the distribution of the internal argument

In §7.5 above we already discussed some approaches that argued that passive and impersonal SE structures share a basic configuration, treating their difference as based on the case assigned to the internal argument. There are, however, three empirical factors that should be discussed from the perspective of passive SE and that might complicate the unification:

- i) The position of the clitic SE within periphrastic structures
- ii) The problem of the external argument: if passive and impersonal SE share a configuration, why can one add an agent complement in the passive but not in the impersonal?
- iii) The problem of agreement: the unification proposes that agreement with the internal argument in passive SE structures correlates with configurations where the internal argument did not receive case from another head. However, the empirical picture is more complex than this initially suggests.

Let us start with the problem of the position of the clitic SE in periphrastic constructions. This is probably the most problematic property from the perspective of the unification of impersonal and passive SE structures. The observation is the following: in combination with auxiliaries, passive SE can remain together with the infinitive or gerund (389a, 389d), while impersonal SE must appear before the auxiliary (389b, 389e)

- (389) a. No pueden hacerse estas cosas.  
           not can     to.do-SE these things  
           'These things cannot be done'
- b. ??No puede invitarse a estas personas.  
           not can     to.invite DOM these people
- c. No se puede invitar a estas personas.  
           not SE can to.invite DOM these people  
           'One cannot invite such people'
- d. No están haciéndose estas cosas.  
           not are doing-SE these things  
           'These things are not being done'
- e. \*No está invitándose a estas personas.  
           not is inviting-SE DOM these people
- f. No se está invitando a estas personas.  
           not SE is inviting DOM these people  
           'One is not inviting such people'

Note that there is no contrast between impersonal and passive SE when it comes to the distribution in control infinitives: just as impersonal SE cannot appear in these contexts (remember §7.4), passive structures cannot with SE or not: \**Juan prometió hacerse esas cosas* 'Juan promised to do-SE those things' ~ \**Juan prometió ser hechas esas cosas* 'Juan promised to.be done these things'. The contrasts in (389) suggest that impersonal SE has to raise to a nominative position: assuming that the auxiliary and the non finite form are within one single clausal context, and that the T node is either above or at the same height as the auxiliary, impersonal SE has to move to that node while passive SE can remain low, potentially within the verbal complex. The control infinitive data seem to suggest that both types of SE require a nominative position, on the other hand.

One possible way out would be to propose that passive SE does not need to raise to T, while impersonal SE has to move to that position, even if both of them end up being linked to a

pronoun (or a position) where nominative case has to be assigned. In that sense, the impoverishing function related to T that SE is associated to in passives would be an effect of the impoverishing of vP / VoiceP, which forces the presence of a *pro* with properties that, when moved to T, trigger the partial agreement.

Let us now move to the second issue, the agent complement of passive SE structures. In principle, being a passive structure, SE should be compatible with an agent complement –as we saw in some examples above–, but this is shocking from the perspective of the analyses that treat impersonal and passive SE as the same type of structure. Passive SE displays verbs in active forms, not passive forms; by hypothesis passive SE shares the same configuration with impersonal SE, which does not allow agent complements.

(389) *Se vive bien aquí (\*por todo el mundo).*

SE lives well here by all the world

Intended: 'Everybody lives well here'

In fact, the empirical evidence suggests that the claim that passive SE allows agent complements (that goes back to Gili Gaya 1943) is not empirically correct. Gili Gaya's (1943) famous example (390) is not deemed acceptable by most contemporary speakers, who strongly prefer a periphrastic passive structure to license the agent complement (391).

(390) ??*Se firmó la paz por los embajadores.*

SE signed the peace by the ambassadors

(391) *La paz fue firmada por los embajadores.*

the peace was signed by the ambassadors

There are three facts that cast doubt on the claim that passive SE structures combine with real agent complements, which is precisely what the unification between impersonal and passive SE expects.

a) In contrast to the agent complement of periphrastic passives, *por* 'by' –when possible– can be substituted by *por parte de* 'on the part of', which in fact sounds more natural than the short version with these passives (Pujalte 2013, Saab 2014). This expression has a broader distribution than verbal passives, and is in fact preferred with nominalisations.

(392) a. *Se quemaron muchos contenedores por ??(parte de) los manifestantes.*

SE burnt.3pl many containers by part of the demonstrators

b. *Muchos contenedores fueron quemados por (\*parte de) los manifestantes.*

many containers were burnt by part of the demonstrators

b) The referential properties of the alleged agent complement are also restricted. Expressions involving singular referential individuals are generally not natural (393), and (according to RAE & ASALE 2009: §41.11h-k) the nominal expressions that are more natural with passive SE structures have a generic interpretation, particularly in plural and expressing abstract administrative entities which strictly cannot be characterised as animate nouns –unless personified– (394).

(393) a. *Se afirman esas cosas (\*por Juan).*

SE claim those things by Juan

b. *Se propuso hacerlo (\*por el anterior director).*

SE proposed to.do-it by the former director

- c. Se escribieron muchas normas (\*por un administrativo).  
SE wrote many rules                      by an administrator
- (394) a. Se afirman esas cosas (?por la opinión pública).  
SE claim those things by the public opinion
- b. Se propuso hacerlo (?por las autoridades).  
SE proposed to.do-it by the authorities
- c. Se escribieron muchas normas (?por la nueva administración).  
SE wrote many rules                      by the new administration

The distribution of these nominal expressions is reminiscent to the strict distributional restrictions about referentiality in other allegedly passive environments which have been analysed as not involving real passivisation, such as adjectival passives and modal adjectives (cf. Gehrke 2013, Oltra-Massuet 2014). This casts doubt on the claim that these are real agent complements; they might be expressions linked to the arbitrary pro subject that characterises these structures, which (remember §7.5) is a generic or existential pronoun which cannot denote definite individuals.

c) It is in fact more frequent to have the intended agent of the passive expressed with other prepositions, among which in the current oral language *desde* 'from' is the most natural one. (394) is still degraded, and speakers prefer (395).

- (395) a. Se afirman esas cosas (desde la opinión pública).  
SE claim those things from the public opinion
- b. Se propuso hacerlo (desde las autoridades).  
SE proposed to.do-it from the authorities
- c. Se escribieron muchas normas (desde la nueva administración).  
SE wrote many rules                      from the new administration

The conclusion seems to be that one cannot treat the PPs above as agent complements in the same sense as they are treated in periphrastic passives. It might be empirically better, given their properties, to treat them as adjuncts that semantically specify further a property that is already satisfied in the verbal structure: the presence of an agent introduced in a thematic position. The preposition used to introduce them, then, is not an agent one.

What makes passive SE structures special in contrast to other active configurations is that in them the agent is satisfied by an indefinite expression, a pro or an arbitrary interpretation introduced by SE; this restricts the types of nominals that sound natural as specification of the semantic content of that indefinite pronoun to nominals that are also indefinite, generic, abstract, etc.

Thus, when one considers the details, the properties of the apparent agent complements in passive SE sentences in fact support the analysis where they are unified with impersonal SE structures, while the contrasts in the position of the clitic SE with auxiliaries are a problem for the unification. How about the agreement with the internal argument, is it a property that would force us to treat impersonal SE and passive SE as two distinct structures or not?

In §7.5 above, we noted that the more typical pattern is between the configurations in (396): a non-agreeing verb combined with a DOM-marked object, and a number-agreeing verb combined with an internal argument that would not have received DOM anyways.

- (396) a. Se vio a los niños.  
SE saw DOM the children  
'Someone saw the children'

- b. Se vieron niños.  
SE saw.3pl children  
'Children were seen'

From the perspective of case, one expects that any internal argument marked with a preposition, not just DOM, will pattern with the configuration in (396a) and only produce what on the surface looks like an impersonal SE sentence. This is unsurprising too from the perspective of a more traditional account of passive SE, as in Spanish passives cannot be built from predicates whose internal argument is a PP. In general, the pattern in (396) is also followed by the prepositional verbs (397).

- (397) Se confió en ellos.  
SE trusted in them  
'Someone trusted them'

However, the patterns are not so clear once variation is put into the mix. The pattern is violated in two senses:

- i) Non-agreeing verbs with nominals that do not get prepositional marking
- ii) Number-agreeing verbs with nominals that carry prepositional marking

The first case is illustrated by (398; Otero 1966, De Mello 1995, Sánchez López 2002, Ormazabal & Romero 2021):

- (398) a. Se vende casas.  
SE sells houses  
'Houses are sold'  
b. Se necesita dentistas.  
SE needs dentists  
'Dentists are needed'

Though not predominant, the non-agreeing version has been documented in particular in some American varieties (De Mello 1995; remember Bello 1847: §792). The non-agreeing version is more natural for speakers that tend to agree when the verb appears in combination with modal auxiliaries:

- (399) a. Se puede vender casas.  
SE can sell houses  
b. Se pueden vender casas.  
SE can.3pl sell houses

There is one way of viewing these facts which does not affect the analysis of SE –in particular, it does not affect whether impersonal and passive SE can be unified– but that we would like to point out. The reader might have noticed that all the cases cited in the literature where there is lack of agreement with an argument that would not receive DOM involve bare nominals, never nominals combined with quantifiers or determiners. Some impressionistic evidence suggests that the non-agreeing pattern is much more restricted when the non DOM argument is not a bare noun; that is, that *Se vende casas* 'SE sells houses' is accepted to a much broader extent than *Se vende muchas casas* 'SE sells many houses' (as Bosque 1999 proposes in the introduction to the first exercise in his book). If confirmed, this might mean that the non-

agreeing variety in the absence of DOM involves incorporation or reanalysis of the argument with the verb, instead of using some other head to check case on the nominal or absence of case checking in the non agreeing version. However, as we say, this alternative does not make the unification less likely from the perspective of SE.

Note, in any case, that the pattern in (399) does not alter all the factors of the general distribution that was presented in §7.5. What (398, 399) show is that objects that in principle do not get DOM can allow for agreeing and non-agreeing constructions. The opposite situation, objects that should receive DOM and display agreement, is not encountered as easily. Ordóñez (2021) shows that sentences like (400) are impossible in the passive interpretation.

- (400) #Se vieron los niños.  
SE saw the children  
Intended: 'The children were seen'

Only in one particular configuration and with one particular interpretation can internal arguments that should receive DOM appear in agreement configurations (Sánchez López 2002): in preverbal position and receiving a kind interpretation where the noun denotes a class of entities, not specific individuals, and contrasts that class with other possible classes.

- (401) Los dentistas se necesitan, no los políticos.  
the dentists SE need.3pl, not the politicians  
'Dentists are needed, not politicians'

One could speculate that (401) does not deny the generalisation shown by (400) if one assumes one of the following two things: (i) DOM does not need to be assigned compulsorily when the noun denotes a kind –in fact, (402a) is marginally more acceptable than (402b), where the second expresses individuals– or (ii) within the vP, the internal argument is in fact a bare nominal, but movement to a preverbal position and focalisation force insertion of an expletive article that makes it look as expressing a definite expression. In any case, sentences like (401) have not been treated in the formal literature.

- (402) a. ??Necesitamos los dentistas más que los políticos.  
we.need the dentists more than the politicians  
'We need dentists more than politicians'  
b. \*Necesitamos los dentistas de ayer más que los dentistas que hay hoy.  
we.need the dentists from yesterday more than the dentists that there.are today  
'We need the dentists that came yesterday more than the dentists that are here today'

The interpretation of the pattern in (398) leads to a reevaluation of the case approach, or at least a partial reevaluation of its main claims. In the approaches revised in §7.5, the passive SE structure emerges when T licenses the case of the internal argument. Otero (1966) claimed already that the existence of a non-agreeing pattern in fact tells us that the internal argument, also in the passive SE, carries accusative case. The agreeing cases are instances of T agreeing with an accusative object. One could think, in fact, that nominative case requires total agreement with T, in both person and number. In the passive SE structure, there is only partial agreement with T, in number, and that might not assign nominative case to the subject. Otero (2002) emphasises this idea, and proposes that the agreeing pattern in the passive SE structure is simply agreement with an accusative argument. In essence, and going back to his original ideas from (1966), this means that passive SE does not exist at all: contra Ordóñez & Treviño (2016) SE does not eliminate accusative case. The basic configuration is the impersonal SE

structure, and the appearance that there is a passive SE structure comes from the cases in which speakers check the unvalued number feature of T with the accusative argument.

Ordóñez (2021), taking into consideration the facts in (398) but leaving aside the fact in (401), explains these contrasts within the framework of his previous analyses with Treviño. While in Ordóñez & Treviño (2016: 248) they explicitly say that the internal argument receives nominative case from T in passive SE structures, in this revision he argues, following also Otero (1966), Rigau (1997) and Ormazabal & Romero (2019), that agreement with the internal argument in the apparent passive SE cases are instances of agreement with an object, and this does not make the internal argument get nominative case assigned by T. Nominative is assigned systematically to the pro category in the specifier of TP, and the internal argument still gets the case of an object assigned. Evidence of this is (i) the impossibility of having nominative pronouns in passive SE –see §8.3 also for this– and (ii) the availability of the object clitics in the SE configuration.

- (403) a. \*Se vimos nosotros.  
           SE saw.1pl we  
           Intended: 'We were seen'  
        b. Se nos vio.  
           SE us saw  
           'Someone saw us'

Remember, however, the *léismo* facts in §7.5.2, which suggest that one cannot simply claim that the case assigned to the object in such configurations is plainly accusative, unless one takes number agreement with the object to block presence of the accusative clitics.

This basically means that what makes passive SE special is not that accusative case is absorbed by SE, but rather that the pronoun that receives nominative case in TP (pro with the indefinite interpretation) only checks person, and makes number available for the agreement with the object, which might already have got case. The agreeing pattern and the non-agreeing patterns involve simply two possibilities available: to value number in T with an argument that has number or to leave number unvalued (perhaps à la Preminger 2014). The 'standard' variety tends to follow closely the two rules in (404).

- (404) a. DOM objects never agree with T  
        b. Accusative objects always agree with T

From this perspective, SE passives involve number agreement with the object, and this possibility is simply optionally available: it is equally convergent to satisfy the number feature of T with the object or to leave it unvalued. The increased acceptability of the non-agreeing cases with auxiliaries might mean that the choice is sensitive to the distance between T and the object, so that when there is more material between them non-valuation becomes a stronger option.

Varieties that allow (398) are varieties where (404b) is not part of the grammar: the number feature of T is left unvalued without consequences.

It seems that there are also varieties where (404a) is relaxed. Ordóñez & Treviño (2016) document cases like (405) in Mexican and Colombian Spanish; see also Ormazabal & Romero (2021).

- (405) No se pueden nombrar a los embajadores.  
        not SE can appoint DOM the ambassadors  
        'The ambassadors cannot be appointed'

This phenomenon has been noted in American varieties since very early (see Cartagena 1972; Martín Zorraquino 1979). In the Mexican variety, the agreement does not take place with pronominal objects or when the object is preposed (which requires a clitic). Agreement is restricted in this variety to DOM objects.

- (406) a. \*Se les nombraron a ellos.  
 SE appointed DOM them  
 b. \*A los embajadores se les nombraron.  
 DOM the ambassadors SE them appointed  
 c. \*Se dieron a ellos.  
 SE gave to them  
 Intended: 'Someone gave (something) to them'

In Ordóñez & Treviño (2016) the proposal is the following: the absence of agreement for the speakers that follow the rules in (404) is motivated by the presence of Agr assigning DOM in the verbal configuration (cf. §7.5.1); DOM creates a closed domain that does not allow that T agrees with the DOM object. In the varieties that allow (405), Agr does not close a domain; provided that there is no clitic intervening between T and the object, agreement can take place. Movement of the object to a preverbal position signals that Agr has closed the domain, so again the agreement relation is broken. Dative prepositions (and other kinds of prepositions) are heads that also close the domain, breaking the possibility of establishing agreement between T and the object. The facts in (406) follow if one assumes that the presence of clitics also signals that a syntactic domain has been closed, again making it impossible to extend agreement.

Another proposal, perhaps more elaborated than the previous one, is presented in Ormazabal & Romero (2021). For them, the problem of the presence or absence of agreement in examples like (405) cannot be amenable to a syntactic principle –or a morphological one–, because the plural agreement can be triggered by any linearly adjacent postverbal nominal, even adjuncts (cf. their documented example *Se trabajan los fines de semana*, 'SE work.3pl the ends of week', 'One works the weekends'). In a nutshell, their proposal is that these facts should be treated as processing problems, perhaps related to agreement attraction through linear adjacency: under certain conditions, syntax and morphology leave one feature unvalued, in this case number, and whether that value is satisfied with a default or by attraction of some other element is irrelevant for the grammatical operations, but might trigger effects under processing.

Ormazabal & Romero (2021) also address the apparent complementary distribution between the presence of so-called object clitics and agreement, not only in marked examples as (405) but more in general in impersonal vs. passive SE structures. Within their proposal that the same structure underlies both types of SE, these authors propose that the so-called object clitic and the verbal agreement are two ways of expressing number features in the predicate. The core of their proposal is that the clitics that are traditionally called 'accusative clitics' manifest a number feature within the system of Spanish. When they appear in the configuration that superficially is called impersonal SE, they are the exponents of the number feature of the verb. When the verb agrees in number in the so-called passive SE structure, the number feature is present in the verb. Ormazabal & Romero (2021) propose, then, that there is a 'clitic mutation' operation that can turn the accusative clitic (an exponent for number) into another exponent for number, this one one that linearises as a suffix to the verb. In their view, thus, presence or absence of agreement with the verb cannot be taken to be a property that differentiates impersonal SE and passive SE, as in both cases we have the same features involved and what changes is just their surface manifestation.

With respect to the fact in (405) –which one sometimes can see extended in the oral language to constructions with prepositional complements, although in such cases speakers tend to perceive them as *lapsus linguae*– there are not many analyses that are developed enough to allow a serious evaluation, beyond the ones just presented. Ordóñez' (2021) treatment of the passive SE as agreement between T and an accusative object –contra Ordóñez & Treviño (2016)– might simply adapt this view where agreement of T-number with the object is available provided nothing blocks it, and accept the distinction between varieties where the head that assigns DOM blocks the agreement relation and the varieties where it is transparent for that relation. Ultimately, the proposal in Ormazabal & Romero (2021) involves treating the variation that one finds in impersonal / passive SE structures with respect to number agreement in the verb as an extragrammatical operation related to language processing.

To conclude, the variation phenomena that have been examined here in fact support a unification between the passive and the impersonal SE: once one accepts that DOM is assigned as a case different from accusative and that DOM cannot be dropped if the internal argument meets the right requisites, agreement between number in T and number in the internal argument is just a case of agreement with the object, as Otero (1966) originally proposed. The only contrast refers to the position of impersonal SE within periphrases, which contrasts with the options displayed by passive SE, although one could imagine that impersonal SE must move to a position that is higher –perhaps T itself– than passive SE.

With respect to accounts where the internal argument in passive SE receives nominative, both impersonal and passive SE assign nominative to the external argument, which is an implicit indefinite pronoun, and the agreement possibilities are modulated only by (i) whether in the particular variety there is a head that blocks agreement, as might be the case with the head that assigns DOM and (ii) whether the internal argument occupies a position that allows the agreement or not.

### 8.3. *Distinction between passive SE structures and periphrastic passives*

In the analyses above, where impersonal and passive SE share one structure, passive SE is not passive. The consequence of this is that the only passive structure in Spanish is the periphrastic passive in (407).

- (407) Los libros fueron vendidos en esta tienda.  
 the books were sold in this shop  
 'The books were sold in this shop'

Consequently, one expects to find sharp differences between the periphrastic passive and the SE passive, which in fact are found. We have already mentioned a few of them: (i) periphrastic passives break idioms, but SE passives don't (Ormazabal & Romero 2019); (ii) the internal argument in SE passives can be a bare nominal, but this is less frequent in the periphrastic passive, although also grammatical (408, Sánchez López 2002); (iii) periphrastic passives allow agent complements and SE passives do not combine with real agent complements (Pujalte 2013); (iv) SE passives tend to bethetic statements where the internal argument is postverbal, while periphrastic passives are cases where the internal argument is the subject of the predication, hence preverbal (409).

- (408) a. Se vendieron pisos.  
 SE sell.3pl houses  
 b. ?Fueron vendidos pisos.  
 were sold houses  
 'Houses were sold'



- (409) a. ??Los pisos se venden.  
           the houses SE sell.3pl  
           Intended: 'The houses are sold' (but easier to interpret as a middle, cf. §9)  
 b. Los pisos fueron vendidos.  
           the houses were sold

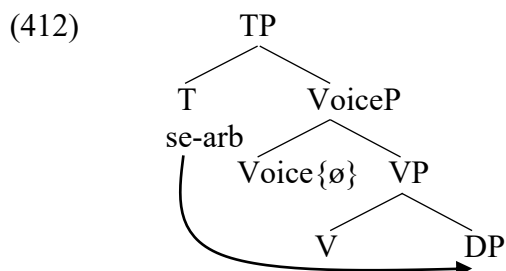
All these facts can be accounted for in a system where impersonal SE has a subject pro which the periphrastic passive lacks. That subject pro allows the internal argument to stay within the verbal complex, as we overviewed in §8.1 above in the comparison with anticausatives. Periphrastic passives would then involve structures where the internal argument might occupy the subject position and leave the vP; the external argument position is not occupied by a pro and therefore can be linked to a real agent complement. The idiomatic meaning might break if (as some have argued, cf. Dobrovie-Sorin 2021 as an example) the vP layer is missing from periphrastic passives, thus making it impossible to store it as a vP idiom.

There are however three other differences that we have not mentioned so far and that require further discussion. Let us start with the fact already mentioned in passing that passive SE structures cannot allow personal subjects of 1st or 2nd person; periphrastic passives can do it.

- (410) a. #Se / Me vi yo.  
           SE me saw.1sg I  
           Intended: 'I was seen'  
 b. Yo fui visto.  
           I was.1sg seen
- (411) a. #Se / Os visteis vosotros.  
           SE you saw.2pl you  
           Intended: 'You all were seen'  
 b. Vosotros fuisteis vistos.  
           you were.2pl seen  
           'You all were seen'

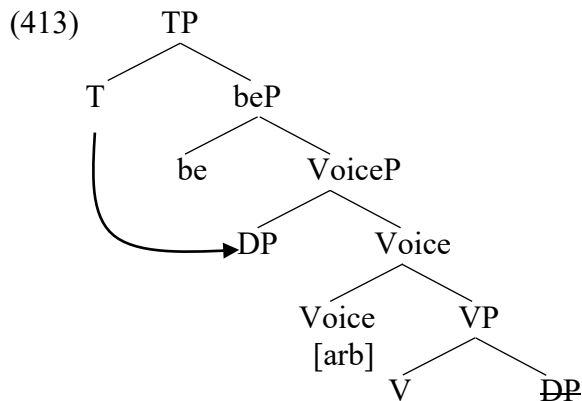
This restriction has been interpreted in two ways: (i) the already presented explanation of DOM: the personal pronouns of 1st and 2nd person force DOM, and then one gets an impersonal SE construction (Ordóñez & Treviño 2011, 2016; Ormazabal & Romero 2019, Ordóñez 2021); (ii) an instance of a Person Case Constraint, that is, cases where the presence of a particular nominal pronoun in one function prevent the appearance of a person-marked pronoun in another function. In this case, the characterisation of (410, 411) would be that the presence of the SE structure in fact inhabilitates a person marked pronoun from being licensed in the clause. Dobrovie-Sorin (2021) has argued for this type of approach.

Remember from §8.1 above that in Dobrovie-Sorin (2021) (412) corresponds to the structure of a passive SE structure.



In (412), the presence of SE in T, with its arbitrary specification, values the person feature in T and makes it unavailable for other agreement relations. Therefore, if a person-marked pronoun has to be licensed by T, it better not carry person features, because they would not be licensed by the T head. (Note that this same explanation, only that proposing the  $pro_{arb}$  located in spec, TP values the person feature, could be assumed in Ordóñez & Treviño 2016).

In contrast, (413) represents the structure of a periphrastic passive according to Dobrovie-Sorin (2021). Note that the arbitrary interpretation is now restricted to Voice. This has the effect that the external argument of Voice is interpreted as indefinite.



The definition of Voice as arbitrary makes it impossible to check features with the internal argument DP, which moves to the specifier position of VoiceP. From that position, T, which has not lost any of its features, agrees with it. Crucially, the person feature in T is available for agreement with the internal argument, so person-marked pronouns are allowed.

The analysis assumes that SE only appears in T, so that the presence of an [arb] specification in Voice does not trigger insertion of SE. The analysis does not explain fully why the internal argument has to move to spec, VoiceP, but note that in fact considering the Spanish facts we probably want to make sure that the object DP can stay in the complement position of VP, in order to account for the cases where the passive subject can be a bare nominal. Note that in Dobrovie-Sorin (2021) there is no vP layer here or in the passive version: the loss of idiomatic meanings does not follow from the absence of a vP layer, but it might follow from the different specification of the Voice head, which –being specified as [arb] in the case of the periphrastic passive– would differ from the stored idiomatic construction.

A second further difference between periphrastic passives and SE passives is the restriction on the types of predicates that allow passive construals. The SE passive allows any predicate that has an internal argument and an external argument, crucially including the following classes (De Miguel 1992, Mendikoetxea 1999, Sánchez López 2002): (i) stative transitive verbs (414); (ii) light verb constructions (415); (iii) intransitive verbs with cognate objects (416), and (iv) verbs with non-referential direct objects that express quantities (417).

- (414) a. Se saben muchas cosas.  
SE know.3pl many things  
b. ??Muchas cosas son sabidas.  
many things are known  
c. Se odian estas cosas.  
SE hate.3pl these things  
d. ??Estas cosas son odiadas.  
these things are hated

- (415) a. Se tienen muchos problemas.

- SE have.3pl many problems  
 b. ??Muchos problemas son tenidos.  
     many problems are had  
 c. Se toman varios medios de transporte.  
     SE take.3pl several means of transportation  
 d. \*Varios medios de transporte son tomados.  
     several means of transportation are taken
- (416) a. Se vivieron muchas aventuras.  
     SE lived many adventures  
 b. ??Muchas aventuras fueron vividas.  
     many adventures were lived  
 c. Se durmieron varias siestas.  
     SE slept.3pl several siestas  
 d. \*Varias siestas fueron dormidas.  
     several siestas were slept
- (417) a. Se nadaron tres piscinas.  
     SE swam.3pl three swimming-pools  
 b. \*Tres piscinas fueron nadadas.  
     three swimming-pools were swum  
 c. Se corrieron tres kilómetros.  
     SE ran.3pl three kilometers  
 d. \*Tres kilómetros fueron corridos.  
     three kilometers were run

Part of the literature claims that two verb classes disallow periphrastic passives, but we do not share the judgements: ditransitive verbs and verbs that take clausal objects.

- (418) a. Los presos fueron entregados a las autoridades.  
     the convicts were delivered to the authorities  
 b. El dinero fue donado a la caridad.  
     the money was donated to the charity
- (419) a. Que era inaceptable fue indicado por el profesor.  
     that was unacceptable was indicated by the teacher  
     'It was said by the teacher that that was unacceptable'  
 b. Que habría huelga fue planteado en la reunión.  
     that would.be strike was proposed in the meeting  
     'It was proposed in the meeting that there would be a strike'

What seems to be at play here, however, is that some verbs that have a very light meaning, both in the group of ditransitive and saying or thinking verbs, seem to accept the periphrastic passive worse, but this might be another instantiation of periphrastic passives being bad with light verbs.

- (420) a. Las flores fueron {entregadas / ??dadas} a Juan.  
     the flowers were {delivered / given} to Juan  
 b. La respuesta fue {presentada / ??dicha} por el estudiante.  
     the answer was presented / said by the student  
 c. La solución fue {concebida / ??pensada} por el estudiante.  
     the solution was conceived / thought by the student

In sum, what seems to be defining these contrasts is one of the following two factors, or their combination: (i) the object that becomes the subject in the periphrastic passive does not introduce a clearly independent referent (416, 417) or is part of the predicate rather than an argument (415), or (ii) the verb does not denote an event that can be conceptualised as telic or completed (414). This is what one might expect if the periphrastic passive implies a categorial structure where the grammatical subject is a theme, while in the SE passive the internal argument stays in place and is part of what is predicated from a generic subject. Moreover, the periphrastic passive is restricted to some specific temporoaspectual interpretations that do not restrict the SE passive, which is the third relevant difference between the two structures. Periphrastic passives tend to be ungrammatical with imperfective aspect, unless interpreted as habitual statements, and prefer perfective or perfect meanings (see Brucart 2012 and Camacho 2012, where the participle has a telicity-inducing feature that the copula cannot license without combining with perfective or perfect aspectual forms; note, in fact, that the presence of *estar* in the progressive periphrasis saves the grammaticality in imperfective, as in 422c):

- (421) a. Se venden muchos pisos.  
SE sell.3pl many houses  
b. ??Muchos pisos son vendidos.  
many houses are sold
- (422) a. Se leían muchos libros.  
SE read.3pl many books  
b. ??Muchos libros eran leídos.  
many books were read  
c. Muchos libros estaban siendo leídos en aquellos momentos.  
many books were being read in those moments

The difficulty of accepting the periphrastic passive in imperfective constructions might relate to the difficulty of forming them from stative predicates or from atelic predicates (Marín 2011). (423) tends to be interpreted as (424a), not as (424b), as the periphrastic passive tends to be related to a completion meaning which involves telicity (Fábregas & Putnam 2020).

- (423) El carro fue empujado.  
the cart was pushed
- (424) a. Empujaron el carro de golpe.  
pushed the cart at once  
'They gave a push to the cart'  
b. Empujaron el carro durante un rato.  
pushed the cart for a while  
'They pushed the cart for a while'

This might relate to the possible absence of vP from the periphrastic passive, which highlights a change of state that must be telic –alternatively, the participial form could define a completed event that, therefore, must be interpreted as telic; see Fábregas & Putnam (2020) for the analysis where periphrastic passives involve turning the VP layer into the figure of the event, highlighting the change of state component that is associated to the event–. In general, atelic verbs have difficulty forming periphrastic passives, as noted in Marín (2011).

- (425) a. Luis condujo el coche.  
Luis drove the car  
b. ??El coche fue conducido.

- the car was driven  
 c. Se condujo el coche.  
 SE drove the car

Before ending this section, note that the fact that passive SE structures have a broader distribution than periphrastic passives does not mean that each single verb that can be transitive can have a passive SE version. As expected from a theory where passive SE structures project an indefinite external argument interpreted as human, verbs that do not have a thematic position for the external argument reject the passive SE structure (Ormazabal & Romero 2019). Thus, (426) contrasts with (416) –the problem is not the cognate object, but the fact that the meteorological verb does not have a theta position for a human subject–.

- (426) \*Se llueven piedras.  
 SE rain.3pl stones

Let us now leave passive and impersonal SE uses and move to middle SE uses.

### 9. Clause-affecting SE uses (3): middle SE

Middle SE constructions (427) –also known as 'middle-passive' SE or 'generic passive' SE, particularly in those theories that use the term 'middle' for anticausative structures– are generally related to passive SE constructions, and in many analyses have been treated as passive SE structures where a particular operator has been added in order to make the predicate stative and merely descriptive of the internal properties of the subject. However, as we will see, their surface properties relate them closer to anticausatives than to passives.

- (427) a. Estas camisetas se planchan con facilidad  
 these shirts SE iron with ease  
 'These shirts, by virtue of their properties, can be easily ironed'  
 b. Este libro se lee bien.  
 this book SE reads well  
 'This book reads well'  
 c. Este material se rompe fácilmente con las manos.  
 this material SE breaks easily with the hands  
 'This material is easy to break with the hands'

The properties of middle statements in Spanish have been analysed, among others, by Martín Zorraquino (1979), Omori (1997), Mendikoetxea (1999, 2000, 2012), Sánchez López (2002), García Negroni (2002), Lekakou (2005), Kempchinsky (2006) and Fábregas & Putnam (2020). The literature is not very abundant, in particular when one compares it with the one on middles in Germanic languages or other Romance languages –which are also treated in some of the works cited above– (Keyser y Roeper (1984), Roberts (1987), Fellbaum (1986) y Hale y Keyser (1986, 1987), Condoravdi (1988), Fagan (1988, 1992), Stroik (1992, 1995), Hoekstra y Roberts (1993), Zribi-Hertz (1993) or Ackema & Schoorlemmer (1995), among many others.

#### 9.1. Main properties: the grammatical subject

Let us start presenting the properties of middle SE structures. There are four crucial aspects of their syntax and semantics that should be highlighted.

- i) The position and referential properties of the subject
- ii) The aspectual properties of the predicate

- ii) The possibility of introducing a syntactically-active agent
- iv) The presence of a verbal modifier

Let us start with the properties of the grammatical subject. Like in passive SE structures, the grammatical subject agrees in number with the predicate, and is interpreted semantically as the entity that would be affected in the event described.

- (428) a. Este camión se conduce bien.  
           this truck SE drives well  
       b. Estos camiones se conducen bien.  
           these trucks SE drive.3pl well  
           'These trucks are easy to drive'

Like passive SE structures, personal pronouns are not allowed.

- (429) a. #Yo me detengo con facilidad.  
           I me arrest with ease  
           Intended: 'I am easy to arrest'  
       b. #Tú te engañas con facilidad.  
           you you cheat with ease  
           Intended: 'You are easy to cheat'

The contrast with passive SE structures comes from two sources: first of all, unlike passive SE and like anticausative SE, the subject appears almost compulsorily in preverbal position. This establishes a similarity between middle SE structures and other stative predication structures, where the subject has a strong tendency to be in preverbal position (Mendikoetxea 1999).

- (430) a. ??Se lavan estas camisas con agua fría.  
           SE wash these shirts with water cold  
       b. ??Es Juan muy inteligente.  
           is Juan very intelligent

Secondly, and also unlike passive SE and like anticausative SE, the grammatical subject cannot be a bare nominal.

- (431) #Se lavan camisas con agua fría.  
           SE wash shirts with cold water  
           Intended: 'These shirts should be washed with cold water'

Both properties have been treated from a semantic perspective: unlike SE passives, which arethetic statements where the whole clause is rhematic, middle SE structures involve stative predication (Lekakou 2005): the middle SE structure does not express participation of the grammatical subject in an event, but predicates from the grammatical subject a set of properties by virtue of which the subject has a predisposition to potentially participate in that event, or the participation in such event is expected, necessary, etc. Middle statements, then, are non-episodic: they do not express specific instantiations of the event described by the predicate in concrete time periods within the real world. They simply describe the subject: in (428) one predicates from the truck (an individual representing a class of objects) that, by virtue of its internal properties, it can participate easily in an event of being driven. However, one does not

need to have driven that truck to know that driving it would be easy, and the truck might have never been driven before: one simply deduces from its known properties that it should be easy to drive it.

Now, from this perspective, the preverbal position of the subject follows: the truck is the subject of a stative predication where a set of properties is ascribed to it.

In correlation with this, there is a strong tendency to interpret the subject as denoting a class of entities. The denotation of a specific individual is only possible to the extent that the individual is an exemplar of an established class. Note that middle SE structures combine naturally with subjects that are marked explicitly as denoting a kind:

- (432) a. Esta clase de libros se lee fácilmente.  
           this class of books SE read easily  
       b. Este tipo de camisas se lavan con agua fría.  
           this type of shirts SE wash with cold water  
       c. Libros como este se leen con facilidad.  
           books like this-one SE read with ease

The tendency of the subjects of middle SE structures to carry definite determiners (433) follow from the kind denotation: they either express kinds that are taken as unique or they act as exemplars taken from a unique class (García Negroni 2002). Note, however, that the relevant generalisation seems to be that the subject should be related to a kind as an exemplar or as denoting the class itself, given the grammaticality of (432c). In this sense, note that (433) can be interpreted as 'some types of shirt', but not as 'some exemplars of shirt'.

- (433) #Algunas camisas se lavan con agua fría.  
           some shirts SE wash with water cold  
           'Some types of shirt are supposed to be washed in cold water' (not 'Some shirts of this only type are supposed to be washed in cold water')

Again, (433) improves if one makes sure that a kind denotation is available, as in (434), where one interprets the indefinites as denoting two different kinds of shirts.

- (434) Unas camisas se lavan con agua fría, otras con agua caliente.  
           some shirts SE wash with water cold, others with water warm

## 9.2. *The aspectual properties of middle SE*

With respect to the aspectual properties of the predicate, the intuition is that middle SE stativises a predicate that is in principle eventive, making it denote a stative predication that ascribes to the subject the necessity or tendency to participate in an event. As a result of this, the predication is non-episodic, and relates to individual level predicates (Carlson 1977). This makes middle SE structures be grammatical only with imperfective aspect, a property that passive SE structures do not have. (435) loses the middle reading and is only possible in a passive construal.

- (435) a. #Estas camisas se han lavado con agua fría.  
           these shirts SE have washed with water cold  
       b. #Estas camisas se lavaron con agua fría.  
           these shirts SE washed.pfcv with water cold

Correlatively, the kind interpretation of the subject is lost, and in (435) the tendency is to interpret that we talk about specific shirts, not a general class of shirts, or individuals that simply represent a class. Interestingly, the generic reading of nominal subjects is typical with individual level predicates (436, Carlson 1977), which together with the restriction on the subject position noted in (430), supports the idea that middle SE structures are stative Individual Level Predicates.

(436) Firefighters are brave.

The aspectual restrictions of middles has been argued to be reflected also in the type of lexical aspect that the predicates that allow it must have, although with some controversy. There is agreement that stative predicates cannot allow middle SE (437), unless they are reinterpreted as telic processes –one can know Spanish history completely, after learning it step by step, but one cannot know how to drive a truck completely (Sánchez López 2002, footnote 68)–.

- (437) a. \*Conducir este camión se sabe bien si se estudia lo suficiente.  
to.drive this truck SE knows well if SE studies enough  
b. La historia de España se sabe bien si se estudia lo suficiente.  
the history of Spain SE knows well if SE studies enough

The ban on middles constructed from predicates that are already stative follows if somehow the middle reading involves introducing some modal operator that binds the event expressed by the predicate –roughly, instead of denoting an event, the middle denotes the tendency or necessity that the event happens–. Stative predicates that are Individual level lack an event variable that can be bound by the operator, so they are expected to be out unless recategorisable as processes.

In contrast, it is more debated whether any event predicate can have a middle. Mendikoetxea (2000) notes that only accomplishments trigger naturally the middle SE reading, and proposes that activities and achievements do not really trigger middle SE interpretations (see Sánchez López 2002 for a partial critique of this approach).

- |                                                |             |
|------------------------------------------------|-------------|
| (438) a. Este camión se conduce con facilidad. | Activity    |
| this truck SE drives with ease                 |             |
| b. Estas setas se encuentran con facilidad.    | Achievement |
| these mushrooms SE find with ease              |             |

These sentences should be passive SE cases according to Mendikoetxea (2002). Without denying that accomplishments are the class that most productively produces middle SE structures, it is unclear that (438) are not cases of middle SE structures. Note that they are not natural in a perfective SE, in the same interpretation where the subject denotes a kind.

- (439) a. Este camión se condujo con facilidad.  
    this truck SE drove with ease  
    b. Estas setas se encontraron con facilidad.  
        these mushrooms SE found with ease

(439) is not interpreted as meaning that the truck was driven easily due to the internal properties of the truck: it might be that the truck is difficult to drive, but that the driver was so skilful that the event was performed easily; similarly the mushrooms could have been found



easily by chance or because the searcher was cunning, not because of some property of the mushrooms. This shows that (438) has a special reading where the eventuality is necessary easy to perform because of the internal properties of the subject, which is lost in the perfective aspect interpretation. Thus, it might be that (438) are real cases of middle SE, and (439) are passive SE structures.

What seems to be a generalisation is that the internal argument must be involved enough in the event so that one can meaningfully predicate from it that its internal properties define a tendency, propensity or necessity to participate in the event. This might be what explains that most cases of middle SE structures involve accomplishments, as transitive accomplishments tend to express changes of state with incremental themes, and an incremental theme measures the event in a way that one can expect that its internal properties count in order to make the event necessary or define a tendency towards it. In the case of (439a), driving a vehicle can be conceived as dependent on the properties of the vehicle, and in the case of (439b), finding something can be influenced by the abundance or frequency of the exemplars representing this class. It is true that most activities do not have this property, which explains the ungrammaticality of (440).

(440) #Estas setas se buscan con facilidad.  
these mushrooms SE search with ease

Searching something is not influenced by the internal properties of that something. With respect to achievements, most achievements are intransitive, so this explains why they do not appear naturally with middles, as they are 'passive' in the sense that the grammatical subject is semantically an object.

### 9.3. *Is there an agent present?*

Let us now move to the semantic subject, which is one of the most controversial aspects of the syntax of middle structures. The main question (discussed extensively in Stroik 1992, 1995) is whether middles license overt agents.

That middles, like passive SE and unlike anticausative SE, license the reading of an external agent is clear from sentences like (441), where PRO subjects are interpreted in the control infinitive that are related to the notional agent of the middle.

(441) Esta clase de medicamentos se absorben fácilmente después de PRO comer.  
this class of medicines SE absorb easily after of PRO to.eat  
'This class of medicines are easily absorbed after eating'

Stroik (1992) also points out that in principle one can find anaphors that should be licensed by the subject.

(442) Los libros sobre uno mismo se leen con gusto.  
the books about one self SE read with pleasure

However, it is not so clear that these facts mean necessarily that there is an agent expressed in the clause. (442) might involve a pro that is introduced within the structure of the NP itself, given that the same anaphor is fine in (443), where one does not expect to find a thematic position for an agent.

(443) Un libro sobre uno mismo es siempre divertido.  
a book about one self is always fun

With respect to (441), the problem is that the interpretation of the agent in the infinitive is anyways human and generic, a property that is general of PROs that are not controlled –the problem being that by hypothesis the agent of a middle, if there is one, should also be indefinite–.

In fact, there is more evidence that the middle in Spanish does not introduce an agent thematically. Let us revise that evidence.

a) Middle SE structures, unlike passive SE structures, do not seem to license final clauses. It is true that this property might follow from the stativity of the predicate, but remember that middle SE structures start from eventive structures, and the stativity comes from an operator that is merged higher in the tree: at the level at which the final clause is introduced, the operator might not have been introduced yet. Also, note that some stative verbs license final clauses.

(444) Este libro se lee fácilmente (??para entender mejor el tema).

this book SE reads easily in.order.to understand better the topic

(445) Juan quiere a María para que hable bien de él.

Juan loves DOM María so that speaks well of him

b) Middle SE structures cannot introduce easily an agentive complement with the preposition that introduces agent complements. In this sense, remember §8.2 above: passive SE cannot introduce agent complements either, but these are marginally allowed with generic or indefinite referents. Middle SE seems to be even pickier in this respect.

(446) a. Este libro se lee bien (?? por todo el mundo).

this book SE reads well by everybody

b. Este libro se lee bien (??por parte de niños y mayores).

this book SE reads well by part of children and elders

c) In contrast to passive SE structures, as we saw, the internal argument cannot be a bare nominal. This is unexpected if, like in passive SE structures, the verb defines an external argument as a pro and the internal argument can stay VP internally, in a complement position. However, this matches the description of the anticausative, where the internal argument moves to an external position.

d) Middle SE structures reject adverbs that are directly oriented towards agents, such as *queriendo* 'willingly', *deliberadamente* 'deliberately' or *a posta* 'on purpose': \**Estas camisas se lavan a posta* 'These shirts SE wash on purpose' is not grammatical. This goes along the lines of anticausative structures too.

The question relates also to whether middle SE structures are unaccusative or not. From the perspective of Spanish, the unaccusative tests either cannot be applied or give a negative result. Internal arguments cannot be bare nominals, unlike in proper unaccusatives, but the absolute participle test cannot be applied because it presupposes episodicity and middles are generics.

(447) #lavadas fácilmente estas camisas...

washed easily these shirts...

(447) is interpreted as a causative event: the shirts are not denoting a kind, and the ease does not express the predisposition to participate in an event, but how easy the event was in fact performed.

Once the unaccusative analysis is discarded, there are two options to account for the position of the internal argument: either it moves, as proposed for anticausatives in §8.1 above, or it is base-merged in a specifier position.

The second side of the issue is the interpretation of the agent: why do we interpret an external agent, unlike in the case of anticausatives, if that agent is not syntactically active? Lekakou (2005) proposes that the reason is the lexical semantics of the verb, which unlike verbs that allow an anticausative pair (§4.1) have a meaning that is incompatible with the interpretation that the event is triggered exclusively by the internal argument, without participation of external agents. Lekakou (2005) proposes that the almost compulsory presence of a modifier in the predicate is related to this property: the modifier allows the semantic licensing of the agent that is needed for the lexical verb, but remains unprojected in the syntax. Then let's examine the modifier that is part of the middle structure.

#### 9.4. Modifiers in middle SE structures and the interpretations of the middle

The modifiers that typically appear together with middle SE structures denote manners (448a), instruments (448b), means (448c) or general situations that involve an agent (448d); in all these cases, one deduces the presence of an agent that performs the event in one manner, manipulates the instrument or uses the means.

- (448) a. Estas camisetas se lavan con dificultad.  
           these shirts SE wash with difficulty  
       b. Estas camisetas se lavan con este jabón.  
           these shirts SE wash with this soap  
       c. Estas camisetas se lavan en lavadoras como esta.  
           these shirts SE wash in washing-machines like this  
       d. Estas camisetas se lavan si uno tiene que ir elegante.  
           these shirts SE wash if one has to dress elegantly

In fact, Spanish allows constructions where the modifier is missing. This takes us to the question of how many non-episodic readings are allowed with middle SE. One can distinguish three interpretations.

a) The necessity interpretation emerges typically without modifiers. The predicate says that, by virtue of the properties of the internal argument, one is expected to perform a particular event on them. The notion of agent is in such cases licensed by the necessity reading, that requires some agent to perform the action.

- (449) Estas camisetas se planchan.  
           these shirts SE iron  
           'One must iron this type of shirt'

Note that contra García Negroni (2002) it is not true that the absence of a modifier forces an exemplar reading of the subject. The necessity reading also emerges with some modifiers.

- (450) Estas camisetas se lavan con agua fría.  
           these shirts SE wash with water cold  
           'These shirts must be washed in cold water'

Next to the necessity reading, there are two more interpretations: the reading that also involves the agent is interpreted as the subject having internal properties that facilitate or make

the event more difficult in combination with the means or instruments expressed by the modifier. The reading is facilitated when there is a manner adverb.

(451) Estas camisetas se lavan bien con agua fría.  
 these shirts SE wash well with water cold

The tendency reading means that by virtue of its internal properties the kind has a propensity to participate in the event (452). In this reading, the agent is not involved.

(452) Este material se rompe (fácilmente) cuando hace calor.  
 this material SE breaks (easily) where there.is heat

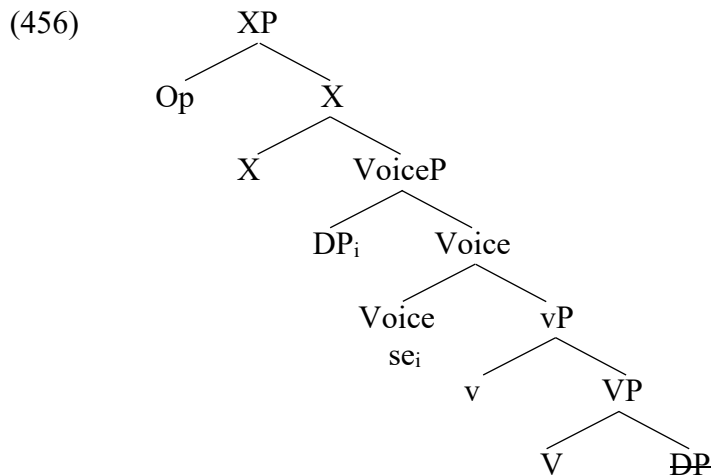
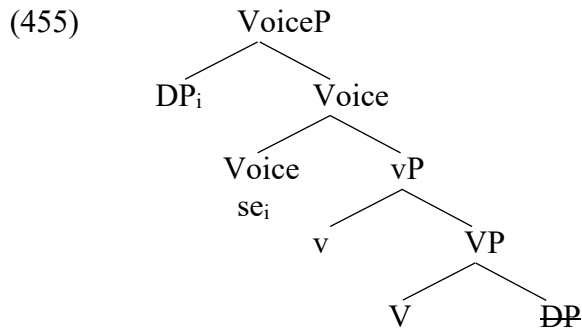
This last reading takes us back to the question of whether middle SE structures should be considered as derived from the passive SE or as derived from the anticausative SE. Interestingly, one can divide the readings that involve the agent and those that simply express the propensity to participate in an event according to whether the verbs involved allow an anticausative or not (§4.1).

- |                                                                                                        |                      |
|--------------------------------------------------------------------------------------------------------|----------------------|
| (453) a. Este material se rompe cuando hace calor.<br>this material SE breaks when there.is heat       | Propensity reading   |
| b. Esta clase de puertas se abre cuando hace viento.<br>this class of doors SE open when there.is wind | Propensity reading   |
| (454) a. Estos libros se leen bien.<br>these books SE read well                                        | Facilitation reading |
| b. Estas camisetas se planchan fácilmente.<br>these shirts SE iron easily                              | Facilitation reading |

This opens up a possible analysis of middle SE structures: they are anticausative structures where one simply adds an operator that triggers the non-episodic reading of the event and the kind interpretation of the internal argument. As in the case of the anticausative, the internal argument has to move to a specifier position. There is no agent projected in the structure: verbs that lexically involve an agent and do not allow the anticausative reading require, because of that, a modifier that licenses the agent interpretation that is required by the predicate but is missing from the syntactic structure: the necessity or the facilitation reading emerges. Predicates that allow the anticausative reading without qualification give rise to the propensity reading in combination with the operator.

#### 9.5. *Middles as anticausative SE, not passive SE*

If we continue the reasoning presented in §8.1, where we argued that the configuration in (455) characterises the anticausative construal, we could propose that (456) stands for a middle SE: an operator expressing genericity (Condoravdi 1989, Steinbach 2002, Marelj 2004) or ascriptional properties (Lekakou 2005) is added to the structure, triggering the interpretation of both the event and the internal argument as generic.



The structure does not project any agent syntactically, but agentivity might be expressed in the meaning of vP, which perhaps could be treated as absent in anticausatives. Importantly, just as in the case of anticausatives, locating the internal argument in spec, VoiceP triggers a reading where the event is related to the internal properties of the internal argument: in this case, those internal properties require that an event is performed, facilitate an event or define a propensity to participate in such event.

Verbs that allow the anticausative reading are directly semantically licensed in (456), while verbs that reject the internal-causation of the event require a modifier that satisfies the semantic condition that there is an agent.

Once the propensity and the facilitation / necessity reading are differentiated by the type of verb, we can revisit the only important difference that on the surface anticausative SE and middle SE have: in (429) above we said that, like passive SE, middle SE does not allow personal pronouns as subject. This ban, however, can be derived from two sources that might overlap: (i) the subject of a middle statement is either a kind or an exemplar from a kind, and personal pronouns do not denote any kind because they lack descriptive properties; (ii) introducing a personal pronoun in the middle structure in (455) would force a reading where the personal pronoun, compulsorily human, would be interpreted as the agent of the structure, contra the required interpretation of the middle.

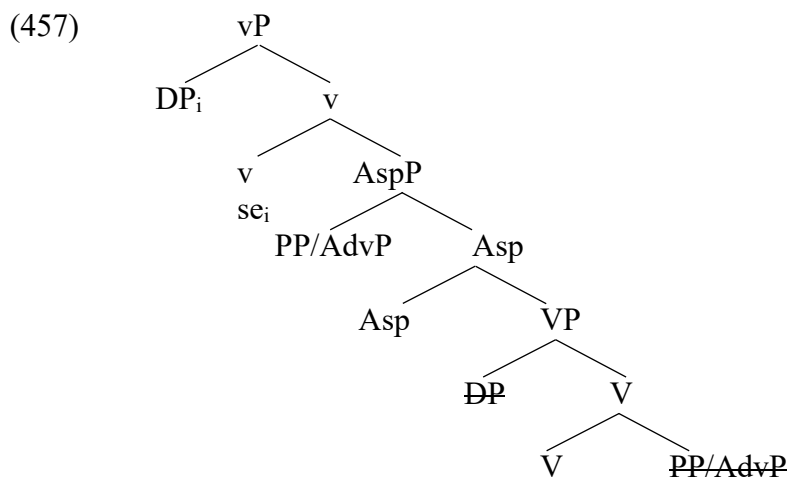
However, before going any further let us revise other accounts of the middle SE structure.

#### 9.5.1. Other syntactic accounts of the middle

There are three types of analysis of middle SE in the market.

- i. Middles are structures that don't project the agent, where the internal argument moves
- ii. Middles are structures that project the agent and where the internal argument moves
- iii. Middles are structures where the syntactic subject is projected in the external argument position

The first one is illustrated by Kempchinsky (2006), which is the one closest to the one we have just sketched: the structure of the middle does not involve any syntactically-projected subject and there is movement of the internal argument. In accordance with Kempchinsky's treatment of SE as an aspectual element, the role of SE is to cancel the eventivity of the predicate, in combination with the adverbial modifier. She proposes that the modifier occupies the position of complement of VP (Stroik 1992), forcing the internal argument to project as a specifier. The stativity of the middle statement follows from a double operation that reduces the subevents: the modifier, not the internal argument, moves to spec, AspP, blocking the possibility that there is a telic change of state component that is identified by the internal argument. Secondly, SE is introduced in the head *v*, where it blocks the causation subevent that defines the initial stage of the event. As a result, the event does not have an initial or a final point: it now denotes a state.



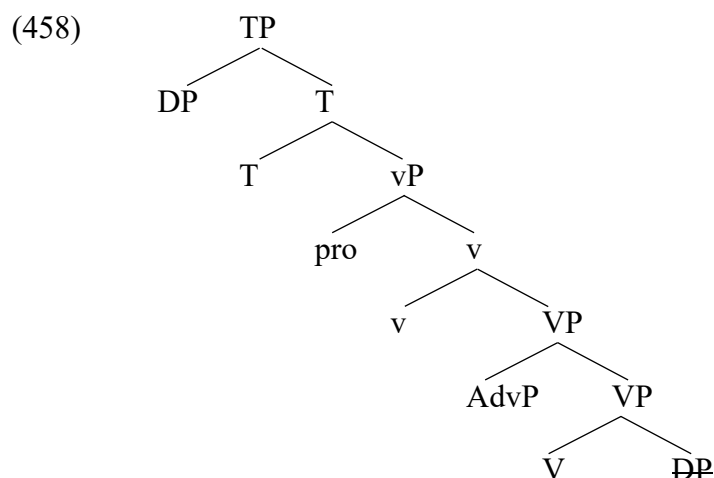
Inserting SE in *v* blocks the possible introduction of an agent, producing a monadic predicate that she argues would naturally trigger a generic reading: a monadic predicate that has cancelled all its eventive properties would be interpreted as a generic statement about the properties of the subject. In their semantic representation, middle SE structures involve a high generic operator which can only be restricted by the only overt argument, the internal argument. This forces the subject to move to a high position to restrict the operator, which produces the compulsory preverbal position of the subject.

As can be seen in (457), the internal argument is always in a specifier position, accounting for why it cannot be a bare nominal. The structure differs from passive SE in this account (cf. §8.1) because in this case SE is not the specifier of *vP*, so it does not block movement of the internal argument to that position. The difference with anticausative SE is that the anticausative involves an operation in the head *Asp* which makes it possible not to project *vP*: anticausatives would lack *vP*, and middles and passives would have SE. However, both anticausative and middle SE would be structures where the agent is not syntactically projected. Thus, the analysis relates the middle SE with the passive, not with the anticausative.

The main problem of this account is that it presupposes that the modifier should be compulsory in Spanish middles, and moreover that it should be a 'small' constituent; we have seen above that the modifier can be missing or can even be expressed as a clausal constituent with a conditional or a temporal meaning, which is less likely to be introduced as an internal argument of the verb and then displace to the specifier of *AspP*.

The second type of analysis proposes that the syntax of middles is basically like the one of passives because the agent is projected, although tacit, and the internal argument moves to the

subject position. Hoekstra & Roberts (1993) or Stroik (1995) propose this, with the only difference that the former propose that the agent is located in the canonical position and the latter adds it to an adjunct position.



As in Kempchinsky (2006), a modal operator could be added to the structure at a high position, which would determine the kind interpretation of the subject and the generic reading. Presumably this analysis would place SE in the point where the external argument *pro* is introduced. The problem of this account is the body of evidence that we have already seen, which suggest that, unlike passive SE structures, middle SE structures do not have a syntactically active agent. Moreover, this analysis treats middle structures as unaccusatives, which we have seen is not warranted in Spanish.

The third approach to middle SE is the one that Ackema & Schoorlemmer (1995) advocate for (see also Lekakou 2005): the grammatical subject is base-generated in an external argument position. The authors assume that middles are created lexically through a pre-syntactic operation that alters the aspect and the valency of the transitive verb in order to obtain an unergative intransitive verb with a stative meaning. Being unergative, the subject must be preverbal and cannot be a bare nominal; the ascriptional meaning derives from the underspecification of the argument that corresponds to the agent, which is assumed to be defined as arbitrary by the lexical operation. Presumably, in this analysis SE would mark at the VP level the operation that alters the aspect and argument structure of the verb, reducing it to stative and monoargumental.

The problems with this approach can be summarised in two points: (i) the authors are forced to divorce the position of the argument from its thematic interpretation. An argument located in the external argument position should not receive a patient reading; the lexical operation that they propose tries to clarify this issue by idiosyncratically proposing a change in the position where theta roles are assigned, but beyond the technical solution the problem persists; (ii) the analysis cannot explain the correlation between the kind reading of the subject, stativity in the predicate and the reading where the internal properties of the internal argument define the propensity or facilitation of the event. In contrast, when the analysis associates middles with anticausatives, the relevance of the internal properties of the object to define the event become linked to one and only one configuration where the internal argument moves to spec, VoiceP to bind the reflexive.

### 9.5.2. Relation between middle SE and predicate-affecting SE uses

The syntactic evidence suggests then that middle SE could be merged with anticausative SE with only two differences:

i) In middle SE there is an operator with a generic / ascriptional meaning that is absent from anticausative or passive SE

ii) In middle SE verbs that require an agent for semantic reasons can also participate, provided that there is a semantic component that licenses that agent reading

The point in (ii) essentially means that, as proposed in other accounts, verbs do not have to fill syntactic positions to discharge their theta-grid (Ramchand 2008), so that presence or absence of an argument is determined by the syntactic structure, and the theta roles are read from the syntactic configurations. Thus, a verb that cannot be semantically anticausative in principle can anyways appear in a syntactically anticausative construction provided that the mismatch between the type of event that the verbal stem expresses and the lack of an agent in the syntax is somehow solved. For instance, one can accept something like (459), even though the verb does not allow an anticausative:

(459) Esta clase de políticos se asesinan con facilidad.  
this class of politicians SE murder with ease

Modification seems to be the way to solve this issue, when the verb cannot receive an anticausative reading.

A second partial correlation between middle SE and some types of predicate-affecting SE uses, that is advanced in Sánchez López (2002) is the correlation with aspectual SE (§5). The core of the connection would be based on the observation relating to examples like (437) above –repeated here with its original numbering for convenience–.

(437) a. \*Conducir este camión se sabe bien si se estudia lo suficiente.  
to.drive this truck SE knows well if SE studies enough  
b. La historia de España se sabe bien si se estudia lo suficiente.  
the history of Spain SE knows well if SE studies enough

The intuition is that the verb *saber* 'know' is stative in its prototypical uses, but can be reconceptualised as implying some type of process (437b) when the direct object can be conceptualised as an entity with different internal parts that can define some kind of process. This would approach these predicates to the transitive incremental theme verbs that generally combine with aspectual SE (§5.3). The problem, however, has two sides. The first side is that it is unclear in what sense one can claim that (437) has some notion of process, as the verb cannot accept the progressive periphrasis.

(460) \*Estoy sabiendo la historia de España.  
am knowing the history of Spain

Perhaps one could claim that (437b) defines a state with internal parts, in such a way that each portion of that state can be related to a portion of the object that is known, and –despite the absence of any dynamic component– one can still treat the object as a path that measures the extension of the knowledge. In this sense, one could perhaps relate these predicates with the typical aspectual SE predicates, and claim that the restriction that makes aspectual SE also partially applies to middle SE.

The second part of the issue is that one could consider *saber* 'know' an auxiliary in combination with infinitives, as Bosque (2000) does, while it is a real predicate in combination with nominal objects. Like that, then, the contrast would not refer to an aspectual distinction,



but rather to a distinction between different kinds of verbs (although, note, that still does not solve the problem of how *saber* would be compatible with an aspectual SE, assuming that aspectual SE telicises the event).

It is difficult to extend the aspectual account to the rest of data where stative verbs seem to combine with aspectual SE (MacDonald 2017; §5.3 above). MacDonald (2017) noted that there are at least three verbs that are stative and combine with a SE that behaves as aspectual in the sense that (i) it varies with the subject; (ii) it does not detransitivise the verb; (iii) it forces the direct object to be bounded (461, repeating 194 above).

(461) a. Juan se cree lo que has dicho.

Juan SE believes that what have said  
'Juan believes what you have said'

b. Juan se sabe la lección.

Juan SE knows the lesson

c. Juan se conoce muy bien a María.

Juan se knows very well DOM María

Interestingly, also here one could claim that these stative verbs involve a 'completely' meaning with SE, but here the objects do not always clearly correspond to entities that can be argued to be divided in different parts that match different mereological parts of the state. One could decompose 'what you have said' into different statements, and 'the lesson' in different ideas, but it is more difficult to do the same with an individual object like 'María'. In fact, non-mereological objects, consisting on only one idea or concept, can be combined with these verbs:

(462) a. Juan se cree que has venido.

Juan SE believes that you.have come  
'Juan believes that you have come'

b. Juan se sabe que no puede salir.

Juan SE knows that not can go.out  
'Juan knows that he cannot go out'

That is why MacDonald (2017) proposes that these verbs should, as other aspectual SE uses, be analysed as dative reflexives which add the meaning that the subject is somehow involved and affected in the belief—the subject is more implied in that knowledge, and one assumes that the knowledge might affect him somehow—. Then, going back to the example in (459b), it seems that what might be at play here is that the internal properties of an object like the history of Spain can be conceptualised as influencing how easily the event of knowing it can happen. (459b) involves knowing facts or dates, while (459a) involves knowing how to do something, and this might influence the distinction.

Thus, to conclude, middle SE seems to establish only a relation with anticausative SE, not with aspectual SE. Let us now finally move to the last type of SE structure, spurious SE.

#### 10. Clause-affecting SE uses (4): spurious SE

Concluding this overview with the case of the spurious SE is a good way to remind ourselves that SE is, after all, a member within the clitic system of Spanish, despite the preponderance of analyses that we have seen above and that treat SE as the spell out of a verbal head. Spurious SE is illustrated in (463): SE seems to replace the dative clitic *le / les*, irrespective of number, when an accusative clitic appears in the same verb.

- (463) a. Juan le dio una manzana a Pedro.  
           Juan him.dat gave an apple to Pedro  
 b. \*Juan le la dio.  
           Juan him.dat her.acc gave  
 c. Juan se la dio.  
           Juan SE her.acc gave

Although of course noted as a phenomenon earlier, spurious SE has been analysed in a number of works starting with Perlmutter (1971): Bonet (1991, 1995), Ordóñez (2002, 2012), Heap (1998), Heggie & Ordóñez (2005), Nevins (2007), Walkow (2012, 2013), Cuervo (2013), Alcaraz (2017) or Fábregas & Cabré (2020, 2021), among others.

First we will provide the main empirical properties of the spurious SE in order to later discuss the two main types of analyses in the market: (i) morphological and (ii) syntactic.

### 10.1. Main properties

The distribution of spurious SE is dependent on two factors: (i) the availability of a third person dative clitic, which on the surface SE substitutes; (ii) the presence of an accusative third person clitic. (463) above corresponds to this description. When one of the two conditions is not met, spurious SE is unavailable.

On the one hand, person-marked clitics are not replaced by SE, even in the presence of a third person accusative clitic:

- (464) a. Me lo dan.  
           me it give  
           'They give it to me'  
 b. Os lo dan.  
           you it give  
           'They give it to you'

On the other hand, when the direct object is not cliticised, spurious SE does not appear.

- (465) a. Le entregamos el regalo a María.  
           her gave the present to Maria  
 b. Le dimos la respuesta a ella.  
           her gave the answer to her  
 c. Les ofrecimos ayuda a todos.  
           them offered help to all  
           'We offered help to all of them'

Spurious SE is not sensitive to the number of the dative pronoun; remember that SE as a clitic does not have number variation:

- (466) a. Les dimos el libro.  
           them gave the book  
 b. Se lo dimos.  
           SE it gave  
           'We gave it to him'
- (467) a. Le dimos el libro.  
           her gave the book  
 b. Se lo dimos.

SE it gave  
'We gave it to her'

Spurious SE is not sensitive either to the syntactic function or semantic interpretation of the dative; so-called ethical datives expressing just the emotional involvement of a participant in the event is replaced by SE (468), just like indirect object datives expressing goal (469), source (470), benefactive (471), possessor (472) or experiencer (473):

- (468) a. El niño no le come las verduras.  
the boy not him eats the vegetables  
'The boy does not eat his vegetables, and that affects her'  
b. A ella, el niño no se las come.  
to her the boy not SE them eat  
'The boy does not eat them, and that affects her'
- (469) a. Les repartimos los caramelos.  
them distributed the candy  
b. Se los repartimos.  
SE them distributed  
'We distributed the candy to them'
- (470) a. Le robaron la moto.  
him stole the motorcycle  
b. Se la robaron.  
SE it stole  
'They stole the motorcycle from him'
- (471) a. Le compusieron una canción.  
her composed a song  
b. Se la compusieron.  
SE it composed  
'They composed a song for her'
- (472) a. Le operaron la mano.  
her operated the hand  
b. Se la operaron.  
SE it operated
- (473) a. A María le da asco eso.  
to María her gives disgust that  
b. A María se lo da eso.  
to María SE it gives that

Generally, the spurious SE is exclusively associated to dative pronouns in the literature. There is, however, one case where SE seems to be replacing an accusative pronoun, noted by Gómez Torrego (1992: 6):

- (474) a. Lllaman tonta a Juana.  
call.3pl stupid DOM Juana  
'They call Juana stupid'  
b. La llaman tonta.  
her.acc call.3pl stupid  
'They call her stupid'  
c. Se lo llaman.  
SE that call

'They call her that'

The example (474c) involves a rare construction where one could have two clitics of third person, one for the predicative adjective and one for the direct object that is the subject of that predicate. As in the cases of dative replacement (463a), when the predicative adjective is not substituted by a clitic the replacement does not happen.

(475) La llaman así.  
her.acc call.3pl like.that  
'They call her like that'

Thus, contra the general claim in the literature, that treats spurious SE as a strategy to replace the dative clitic, an example like (474) suggests that one has to accept the principle in (476):

(476) SE is the clitic used to substitute direct or indirect third person clitics when there is another third person clitic in the structure

The problem would be that contexts where two accusative pronouns could in principle appear are rare, so this is an almost unique example. There are three empirical points that should be made with respect to (474). The first one is that the replacement of a predicative adjective by an accusative clitic is rare in Spanish. While general with copulative verbs (477), most predicative adjectives –including selected predicative complements, as those in (478)– cannot be pronominalised by an accusative; substitution by other non-clitic proforms is preferred (479).

(477) a. Juan es alto.  
Juan is tall  
b. Juan lo es.  
Juan it is  
'Juan is like that'

(478) a. Consideran a Juan alto.  
consider.3pl DOM Juan tall  
b. \*(Se) lo consideran (a Juan).  
SE it consider to Juan  
Intended: 'They consider Juan like that'

(479) Consideran a Juan así.  
consider DOM Juan like this

The case of (464) is almost unique. Other verbs that, if only marginally, allow the pronominalisation of the predicative complement are virtual synonym of *llamar* 'to call':

(480) a. ?Se lo denominan.  
SE it denominate  
'They call her that'  
b. ?Se lo califican.  
SE it qualify  
'They qualify her as that'  
c. ??Se lo designan.  
SE it designate  
'They designate her in that way'

What makes *llamar* special so that the predicative adjective can be pronominalised is unclear, but might perhaps be related to a property of this verb in some grammatical traditions: its anticausative version, *llamarse* 'to be called' is put in the same group as copulative verbs for instance in the Norwegian grammatical tradition because the predicative complement is compulsory.

(481) Mi hijo se llama \*(Pedro).  
my son SE calls Pedro

Other selected predicative elements, when removed from the overt expression in the predicate, at least allow a generic reading:

(482) El jefe no considera a Juan.  
the boss not considers DOM Juan  
'His boss does not consider Juan (in a good way)'

This might mean that the predicative complement is, in a verb like this, a real argument of the predicate, and not just part of the predicate.

The third empirical side of the issue is that the direct object of the verb *llamar* 'call' receives DOM even when it is an object.

(483) En esta región llaman 'papa' a la patata.  
In this region call.3pl 'papa' DOM the potato  
'In this region, the potato is called 'papa''

This might be an extreme manifestation of the fact noted in Ormazabal & Romero (2013), namely that in contexts where there is a predicative complement DOM can be added to objects that generally are not DOM:

(484) a. Dejaron atrás (\*a) los árboles.  
left.3pl behind DOM the trees  
'They left the trees behind'  
b. Dejaron (a) los árboles sin hojas.  
left.3pl DOM the trees without leaves  
'They left the trees without leaves'

(485) a. Vimos (\*a) un avión.  
saw.1pl DOM a plane  
b. Vimos (a) un avión despegar.  
saw.1pl DOM a plane take.off  
'We saw a plane taking off'

In correlation with this, the dative pronoun seems to be available for non-leísta speakers to substitute the direct object with *llamar* (but see 486c, the title of a famous movie), but this is more difficult with feminine accusatives (although see 487c, which is the title of a famous song by José Luis Perales).

(486) a. Llamaban tonto a Juan.  
called.3pl stupid DOM Juan  
'They called him stupid'

- b. Le llamaban tonto.  
 him.dat called.3pl stupid
- b. Lo llamaban Trinidad  
 him.acc called.3pl Trinidad  
 'They called him Trinidad'
- (487) a. Llamaban loca a Juana.  
 called.3pl crazy DOM Juana.
- b. La llamaban loca.  
 her.acc called crazy
- c. Le llamaban loca.  
 her.dat called crazy

Note, in any case, that if periphrastic passives show that an argument is marked as accusative and not dative in Spanish, the direct object is clearly accusative, given the possibility of passivisation.

- (488) Era llamada loca.  
 was called crazy  
 'She was called crazy'

Be it as it may, empirically the principle in (476) seems to hold. Let us now move to the main analyses of spurious SE that are in the market.

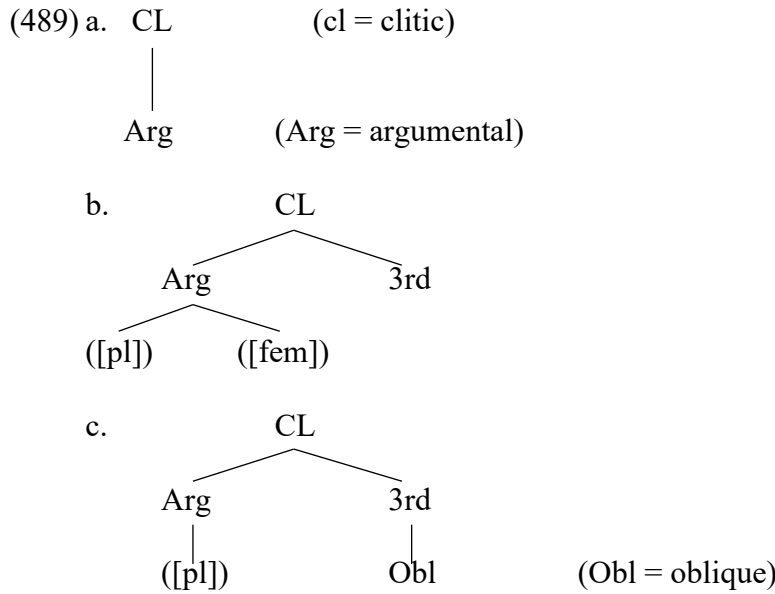
### 10.2. Morphological analyses

There are two main types of analyses of spurious SE which, of course, concentrate on the dative cases, which are the more general ones. One first, predominant, analysis treats the spurious SE as a morphophonological phenomenon, that is, as a replacement that happens after the syntactic structure has been computed and which affects only the morphological manifestation of the clitic without interfering with the syntactic or semantic interpretation. This type of analysis is performed, with technical details, in Perlmutter (1971), Bonet (1991, 1995), Harris (1995), Heap (1998), Nevins (2007; see also 2012), Pescarini (2010), among many others.

The core intuition of this type of analysis is the following:

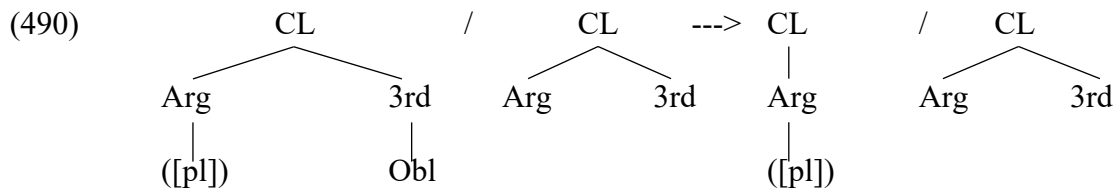
- i) What goes wrong in a combination *\*le lo* is not a syntactic problem
- ii) The problem is that the feature specification of the two clitic pronouns are too similar to each other and the clitics are adjacent in the morphological structure
- iii) This similarity should be taken to be a manifestation of a morphological Obligatory Contour Principle (see Nevins 2012), a principle originally coming from phonology which bans sequences of adjacent segments whose feature specification is too similar. Similarly, morphology would ban combinations of adjacent clitics that are too similar.
- iv) The solution to avoid the near-identity between the clitics is to erase (part of) the feature endowment of the clitic
- v) As SE is the least specified clitic within the system of Spanish clitics, the removal of features from the dative produces SE as a result.

Although with a clear (and acknowledged by the author) antecedent in Perlmutter (1971), the analysis is most clearly represented by Bonet (1991), where she proposes the following morphological feature specification for SE (489a), the dative clitic (489b) and the accusative clitic (489c).



(489b) and (489c) are too similar to be adjacent. Bonet (1991) assumes the existence of a template in Spanish that determines the order of clitics when spelled out, and which unfortunately forces that the 3rd person dative and the 3rd person accusative are adjacent.

The post-syntactic component, in the morphophonological structure, reduces the similarity by removing features from (489b) as follows:



The resulting specification of the dative clitic after the reduction is almost identical to the one corresponding to SE, despite the possible plural feature, which remains and might explain that in some varieties (491a) becomes (491b) (Harris 1994, 1995, Grimshaw 1997).

- (491) a. Les di el libro.  
 them gave the book  
 'I gave them the book'  
 b. Se lo+s di.  
 SE it+pl. gave  
 'I gave it to them'

This analysis is virtually unquestioned in the existing literature, at least in its core assumptions. The debate reduce to three points that can be considered as relatively minor: (i) whether one needs to postulate a template to obtain the order or not (Harris 1995, for instance); (ii) whether the impoverishment operation is necessary or the default clitic can emerge through the conspiracy of other principles that also refer to the similarity in features (Grimshaw 1997, for instance) and (iii) whether the result of impoverishing the dative clitic produces a form that is identical to SE or just similar enough to it so that the same SE clitic is used, with correlative alternative proposals about the feature geometry that characterises the clitics (cf. Cuervo 2013, for instance). All these approaches, however, share the intuition that this replacement is a

morphophonological effect, which does not affect the syntax or the semantics of the construction.

Note also that the intuitions also can account for the rare case in (474), where an accusative clitic is replaced by SE in the presence of another clitic: like in (490), there we have a sequence of two adjacent 3rd person clitics, which under the same premises as the dative case would lead to the impoverishment of the features of the first clitic.

### 10.3. Problems for the morphological analyses

However, there are several aspects that cast doubt on the general morphological analysis, as put forth by Alcaraz (2017) and Fábregas & Cabré (2021).

i) Is the rule proposed enough to account for all instances of spurious SE?

ii) Is it really the case that spurious SE is an effect that only takes place in the morphophonological component, not affecting syntax or its interpretation? Note that this would make spurious SE the only instance of the use of SE that is vacuous for syntax or the semantic component.

Let us start with the first point. Alcaraz (2017), who in fact argues that spurious SE is nothing but another normal instance of SE in syntax, shows that there are contexts where the spurious SE can appear but where there is no initial dative clitic to replace. He notes, from Fernández Soriano (1989), that dative clitics cannot double bare nominal indirect objects.

(492) (\*Les) entregaron los regalos a niños sin padres.  
 them delivered the presents to children without parents

However, spurious SE is possible in this context.

(493) Se los entregaron a niños sin padres.  
 SE them delivered to children without parents

What Alcaraz (2017) suggests, although not giving many details, is that SE is necessary to license two third person objects –note that his approach manages to predict that there should be cases like (474 above, given that here we would also have two third person clitics). We will get back to this idea, but first let us revise the evidence about the second problem: spurious SE does not behave like a dative in the syntax.

a) LE can solve Weak Crossover (WCO) Effects, configuration where after A-bar movement of a constituent coreferential with another constituent, coreferentiality is impossible. (494a) is an instance of WCO, and (494b), with the dative clitic, does not trigger the ungrammaticality.

(494) a. ¿A quién<sub>i</sub> dio un tortazo la chica que estuvo bailando con él<sub>i</sub>?  
 to whom gave a slap the girl that was dancing with him?  
 b. ¿A quién<sub>i</sub> le<sub>i</sub> dio un tortazo la chica que estuvo bailando con él<sub>i</sub>?  
 to whom him gave a slap the girl that was dancing with him?  
 'Who received a slap from the girl that had been dancing with him?'

SE does not trigger the same improvement of the WCO effect.

(495) ¿A quién<sub>i</sub> se<sub>i</sub> lo dio la chica que estuvo bailando con él<sub>i</sub>?



to whom him it gave the girl that was dancing with him?  
'Who received (a slap) from the girl that had been dancing with him?'

b) In contrast, LE produces Principle C violations when a proper name appears in postverbal position, as seen in (496a) –remember that Principle C states that a referential expression cannot be coreferential with a pronoun that precedes it within its domain–. Spurious SE does not produce a Principle C violation.

- (496) a.  $Le_i$  entregará el premio el padre de Messi\* $_i$ .  
 him will.deliver the prize the father of Messi  
 Intended: 'Messi's father will give the prize to Messi'  
 b.  $Se_i$  lo entregará el padre de Messi $_i$ .  
 SE it will.deliver the father of Messi  
 'Messi's father will give it to Messi'

c) Spanish *sendos* is a dual distributive quantifier ('one for one individual, another one for another individual') which requires a plural antecedent in its domain (Bosque 1992). The plural dative clitic can act as that antecedent (497a), but spurious SE cannot even if it is supposed not to affect the plurality in the syntactic component (497b).

- (497) a.  $Les_i$  entregué la carta en *sendos* $_i$  sobres.  
 them delivered the letter in SENDOS envelopes  
 'I delivered them the letter in a different envelope for each one of them'  
 b. \* $Se$  la entregué en *sendos* sobres.  
 SE it delivered in SENDOS envelopes  
 Intended: 'I delivered it to them in a different envelope for each one of them'

Next to this, Alcaraz (2017) shows evidence that spurious SE behaves like a regular reflexive clitic.

a) In ellipsis contexts, Vehicle Change (Fiengo & May 1994) saves (498a), in combination with the dative clitic, does not save the derivation: one either violates Principle C (498b) or Principle B (498c).

- (498) a. \*Pedro le echó la culpa a María $_i$ , y ella $_i$  también.  
 Pedro her put the blame on María, and she too  
 b. \*Pedro put the blame on María and she put the blame on María too (Principle C)  
 c. \*Pedro put the blame on María and she put the blame on her too (Principle B)  
 Spurious SE, however, avoids the infraction of Principle B, because SE is a reflexive anaphor.

- (499) a. Pedro se la echó a María $_i$ , y ella $_i$  también.  
 Pedro SE it put on María, and she too  
 b. \*Pedro put it on María, and she put the blame on María too (Principle C)  
 c. Pedro put it on María, and she put the blame on her too (No Principle B)

Following Torrego (1995), strong pronouns doubled by the reflexive SE do not constitute infractions of Principle B, which is what happens in (499c).

- (500) Juan se criticó a él.  
 Juan SE criticises DOM him  
 'Juan criticised himself'

All these effects are unexpected if the spurious SE emerges from a normal syntactic dative pronoun through operations that affect only morphophonology: the presence of SE has syntactic and semantic effects that suggest that spurious SE is indeed the same type of reflexive pronoun that one has in the other cases.

#### 10.4. Possible syntactic analyses

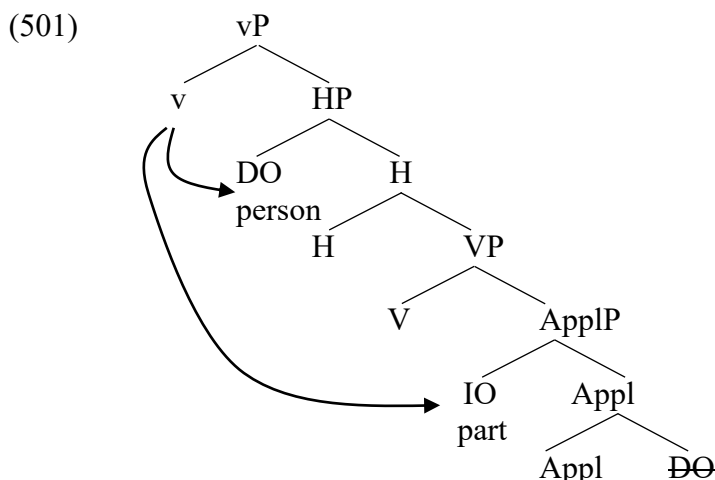
Alcaraz' (2017) suggestion was that SE is a strategy to license two third person clitics within the same syntactic domain; while he does not develop the idea, here we will allow ourselves to speculate about its possible implementation, proposing two alternative views:

- (i) SE is introduced instead of LE to allow another element agree with a functional head.
- (ii) SE is introduced as a functional head in order to license the agreement of that element.

Although he deals with Person Case Constraint effects, Walkow (2012, 2013) is, to the best of our knowledge, the author that has provided the most explicit implementation of clitic restrictions in Romance. The core of his proposal is the following:

- i) Clitic incompatibilities are situations where there are two elements that need to be licensed by agreement and only one head to agree with.
- ii) There is a functional head –call it *v*– that is the sole source of agreement with the objects
- iii) This head has a limited number of features; it will agree first with the closest element within its complement, and then if there are enough features left it will agree with the second closest element in its domain
- iv) The clitic that gets spelled out corresponds to the features that have been licensed by agree –Walkow's (2012, 2013) view of clitics corresponds to the theories that in §11 below we will describe as 'clitics-as-agreement'–.

Walkow's analysis of the prototypical PCC effect –the one where a dative clitic blocks the presence of a person marked clitic– would start from a configuration like the one that follows:

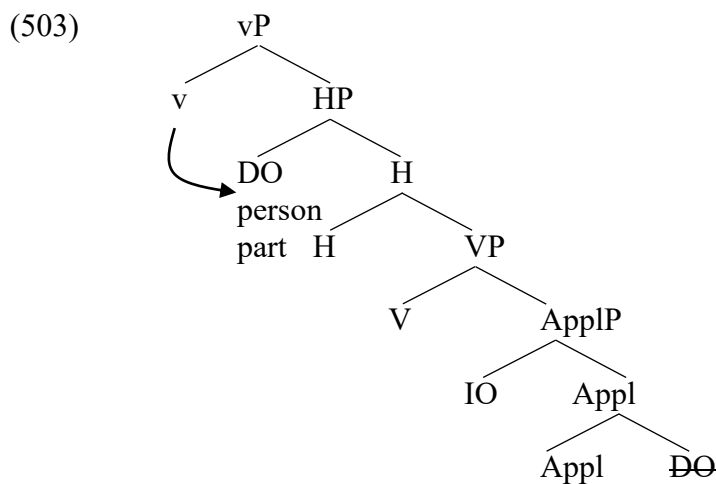


Assume that (i) *v* has unvalued features for person and participant, (ii) the case of the direct object (DO) and indirect object (IO) have already been checked, respectively, by H and ApplP

and (iii) the direct object moves above the indirect object for case assigning purposes. In this configuration, *v* agrees first with the DO, which is closer in the constituent contained in its complement. If the DO does not have a participant feature—that is, is not specified as 1st person or 2nd person, which are the two participants of the event—the person feature is used to check the DO and the participant feature is left for the indirect object, which is in this case a 1st or 2nd person dative. This generates (502). Note that the ordering of clitics reproduces the ordering of the agreement operations: the closest clitic is the one corresponding to the DO, because this was the one that agreed first with *v*.

- (502) a. Me lo dio.  
           me it gave  
           'He gave it to me'

The situation where the DO contains participant has the problem that the DO checks with *v* both the person and the participant feature, not letting any additional argument for checking by *v*.



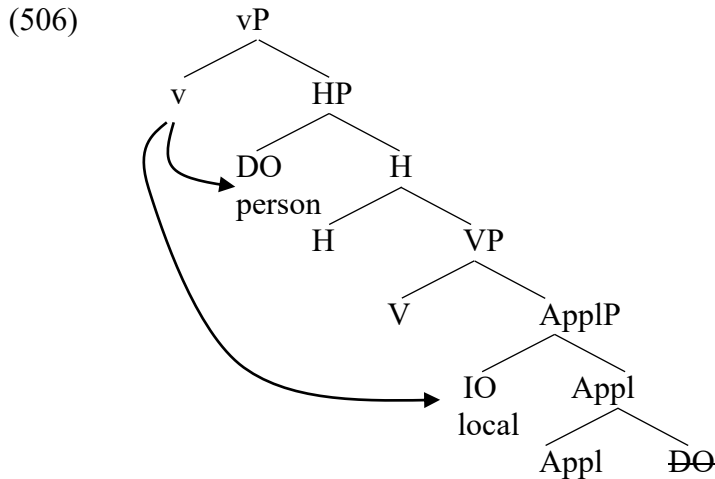
As the IO cannot agree with *v*, there cannot be a dative clitic, banning (504), which is the standard PCC effect.

- (504) \*Me           le           presentó.  
           me.acc   him.dat   presented  
           Intended: 'He introduced me to him'

What one can generate is (505), without a clitic, to save the PCC.

- (505) Me           presentó a él.  
           me.acc   introduced to him  
           'He introduced me to him'

In the case of the spurious SE, the proposal is that the DO checks all features from *v*, with the exception of one feature that characterises datives in Spanish: a [loc] (local) feature, that can be used as a feature in *v* when *v* carries no participant feature.



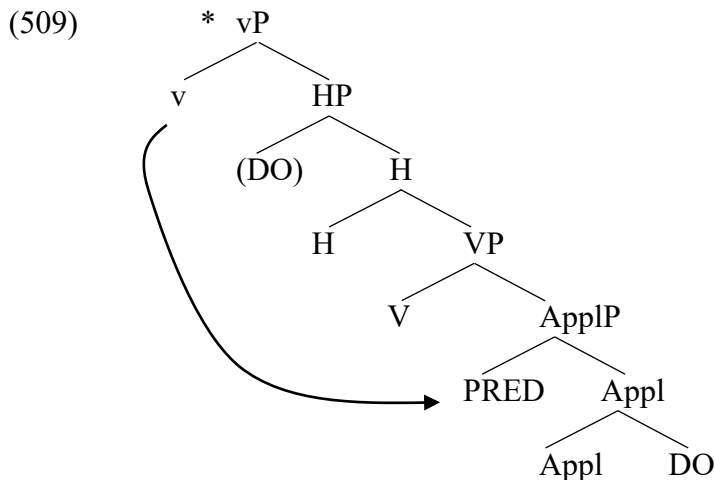
Direct objects lack [loc], so that feature is always left for the IO to check. If [loc] is the feature endowment of SE, this produces a sequence of clitics where the closest clitic is an accusative and the more external clitic is SE:

(507) Se lo di.  
SE it gave

(508) is of course banned because v only has one person feature, which is used by the DO, and the dative clitic would require agreement in the person feature too.

(508) \*Le lo di.  
him it gave

Walkow's approach has two problems, from the perspective of the facts above. The first one is that it is unclear how the approach would be extended to examples where SE substitutes an accusative pronoun, as (474) above: even if one can assume the configuration in (509), where the predicative is lower than the DO and introduced by some sort of applicative head ('to give a name to someone'), one should not be able to use the specification [loc] for the direct object, precisely because using that feature with some DOs would fail to explain the effect for datives.



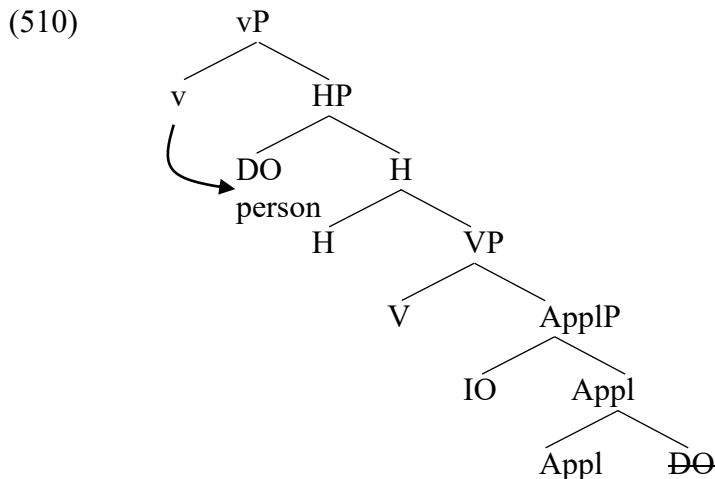
To account for (474), no matter which configuration is used –with DO above or below PRED–, the DO should have [loc], in order to emerge as SE under this analysis, and then it

should actually be a dative, something that is not crystal clear in the pattern (474), given the choice of clitics –at least in the varieties that do not use *le* for it–.

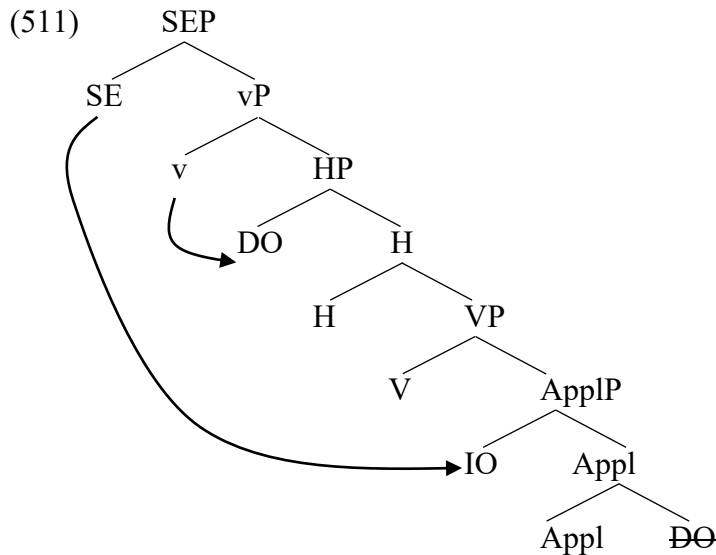
The second problem is probably more serious: Walkow's analysis treats the effect as syntactic only to the extent that the syntactic derivation derives the impoverishment of the clitic, but syntactically the IO is still an IO and SE emerges at spell out, as a morphophonological reflex that the IO has not been licensed in full. This means that spurious SE should still behave in syntax and semantics as a dative, not as a reflexive, against the evidence that Alcaraz (2017) invokes.

Walkow's system, however, opens two possibilities that –as we will see again in §11– relate to two alternative views of what SE is next to the verbal marker: (i) SE is a second agreeing head that introduces uninterpretable features that licenses the dative when the accusative takes the inflection –SE-as-verbal-head– (ii) SE is an argument that is introduced in the derivation instead of the dative so that the only agreeing head has enough features left for the accusative –SE-as-argument–. Let us start with the first.

The first approach would relate, as we will see, with Reuland's (2011) proposal that reflexive elements are in fact heads containing unvalued features (see §12.4 below); specifically, we could assume unvalued number and person features, without participant features. Take the configuration in (506) as a starting point, without positing the feature [loc].



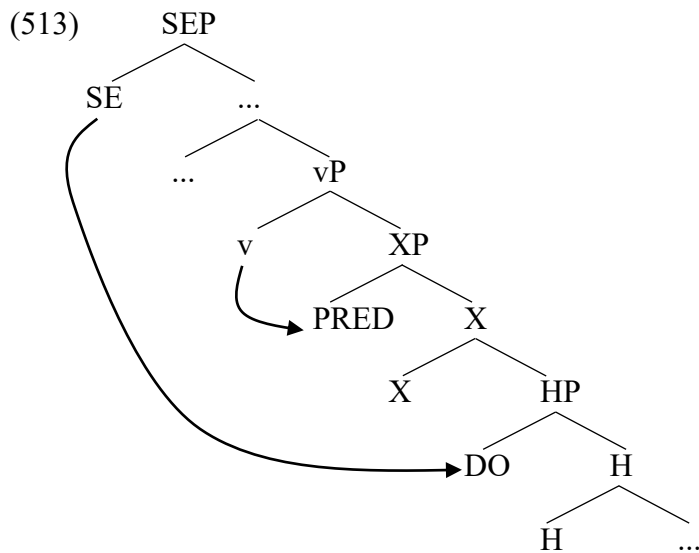
In this configuration, the indirect object is not licensed by the verb, but the direct object is. Imagine now that we introduce SE in a higher position, as in (511), and that it contains also unvalued features. In (511), as the DO is already licensed, the DO does not intervene and SE can agree with the IO.



This generates (512). Thus, SE would be SE already in syntax.

(512) Se lo di a mis hermanas.  
SE it gave to my sisters

The example (474) above would require a bit more of technology, one where crucially pronominalisation of the predicative argument is possible only when it moves above the DO, but once that movement is granted, the configuration established would not differ from (511): v would license the predicative argument and the SE projection would license the direct object.

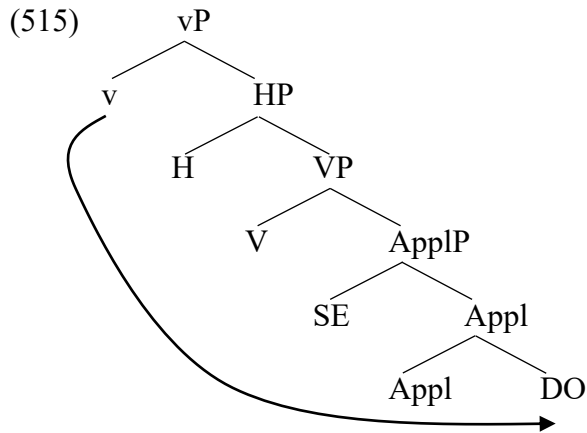


Note that this explanation could keep the point of view that clitics are agreement markers in the verb provided that SE is not an agreement marker (cf. §11.4 below) but a head that agrees.

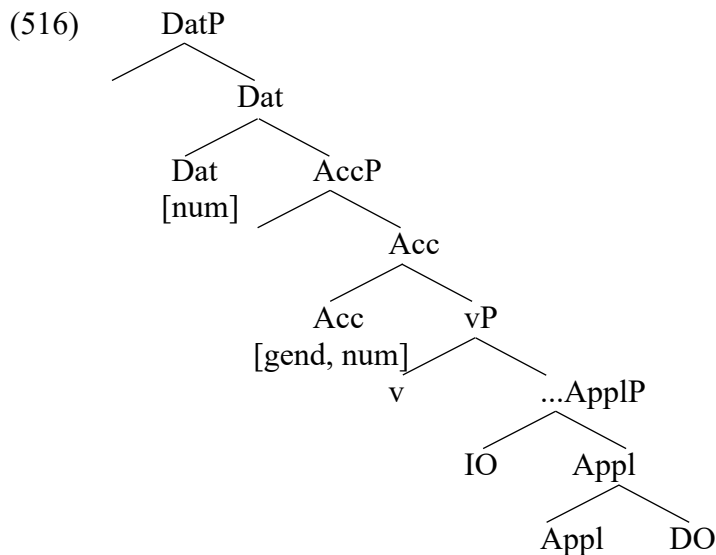
The second alternative building from Walcow (2012, 2013) would correspond to an analysis where SE is an argument, not a head that agrees (§11.1 and §11.2 below). The use of SE, which like the other clitics could not be considered an agreement marker in this analysis, would be a strategy to avoid that the features that a head agrees with are not eaten up by the dative.

Using a structure like Walkow's (2012), the crucial change in his analysis would be that the DO does not cross over the indirect object –against the surface position, which suggests that such movement takes place (514)–.

- (514) a. Juan le dio el libro al chico. Neutral ordering  
 Juan him gave the book to.the child  
 b. #Juan le dio al chico el libro. Focalisation  
 Juan him gave to.the boy the book

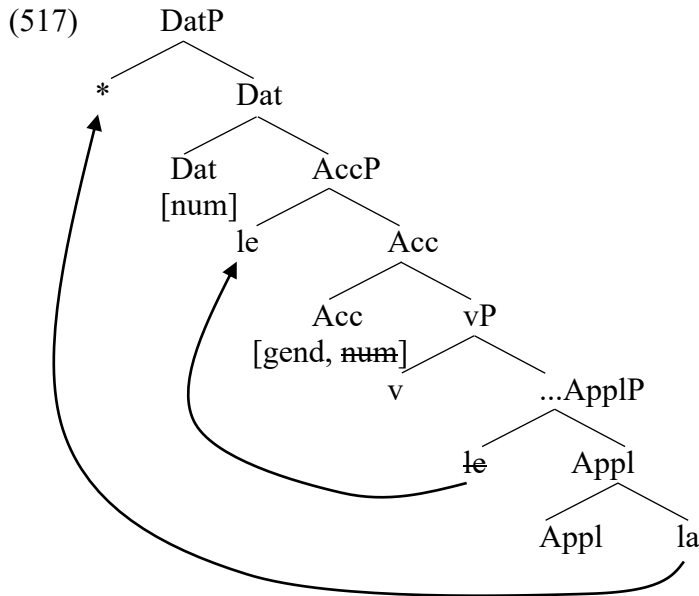


However, there are other possibilities sharing the same intuition, as the one in Fábregas & Cabré (2021), which we will sketch here. If we go back to §2.2-§2.4, where we presented a Kaynean structure for the clitic area where each clitic is an argument of a designated head, the game could be as follows –see §12 below for more details developing this type of approach–: assume one head to license the accusative 3rd person clitics, containing person, number and gender, and one head to license the dative 3rd person clitics, containing only a number feature, as in (516).

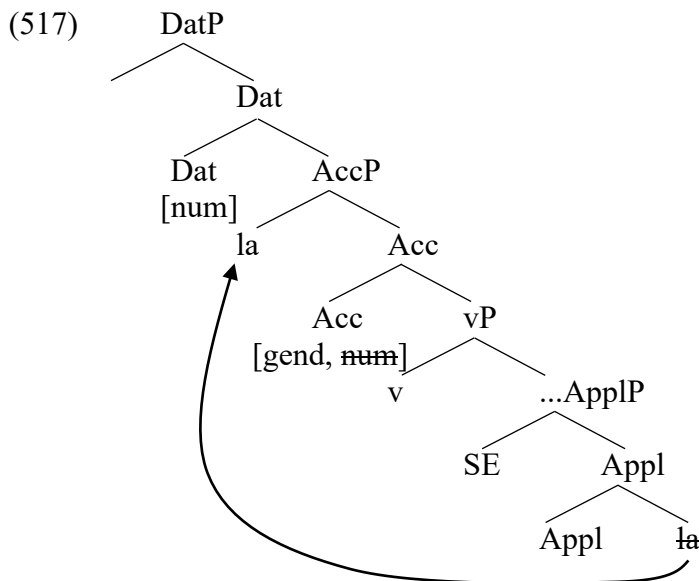


From (516), the dative would move first because it is closest to the clitic area. It would move to spec, AccP, also because this is the lowest projection within the area. In spec, AccP it checks its number feature –dative clitics do not have gender–. The problem is that, then, when the accusative clitic moves to the clitic area, it cannot move to spec, AccP, because the number

feature has already been checked. It cannot move to spec, DatP, because this head lacks gender features, and the accusative clitic does have gender features. The result would be a non convergent derivation where the clitics have not been licensed.



The solution could be to introduce SE instead of the dative clitic in the derivation. SE lacks features, so it will not intervene between the DO and AccP.



Naturally, these two approaches to spurious SE will have to be checked against the rest of SE uses that we have revised in the previous sections, a task that we will perform specifically in §12 and §13 below. Let us now close the discussion of spurious SE and move to the global theoretical discussion about the nature of SE in the very different analyses that we have revised until now. But before doing that we will summarise the main facts about clause-affecting SE uses, as an interim summary.

### 10.3. *Wrap-up: clause-affecting uses of SE*

As expected, clause-affecting SE uses are not as messy as the predicate-affecting ones, although they are also complex. There is a core function of SE where it is related with



suppressing the overt expression of one of the arguments of the verb (the external argument), which is shared by the impersonal, the passive and the middle SE. In the first of these, SE appears apparently in place of the subject, while in the other two uses it relates to construals where the internal argument gets subject properties, at least with respect to agreement.

The evidence examined in the previous sections suggests that impersonal SE is likely to be a head that is controlled by an indefinite pronoun which eventually becomes the subject of the clause; the passive SE shares a lot of those properties, and –with the exception of the available positions for the clitic in auxiliary sequences– the behaviour of the two types of SE is parallel. When it comes to middle SE, the evidence suggests that rather than being a modalised version of the passive SE, it should be viewed as a modalised version of anticausative SE.

Spurious SE stands out within the clause-affecting SE uses in that it does not seem to suppress an argument of the verb, or fill a syntactic function that gets blocked. Spurious SE seems to exist because of the impoverished nature of the SE clitic, which almost lacks entirely any feature content, which allows it to save constructions where two third person elements would compete for licensing, either at a morphophonological or a syntactic level.

Once we compare clause-affecting SE uses with predicate-affecting SE uses, we can see that clause-affecting uses do not alter the lexical meaning of the predicate. We only had to talk about lexical meaning restrictions in two senses:

- i) thematic external arguments: passive and impersonal SE need to be built from predicates that have thematic positions for the external argument
- ii) affected patients: middle structures require internal arguments of predicates such that the internal properties of the internal argument can be meaningfully invoked to define facilitation, necessity or propensity towards the event.

But largely the effects of clause-affecting SE uses are not lexical, but involve the grammatical functions of the clause as a whole (subject, object, indirect object). In correlation with this, none of the 4 clause-affecting SE uses can be claimed to be obligatory in the same sense as the inherently reflexive verbs in §6.3 above are.

Interestingly, many of the analyses of impersonal and passive SE uses place SE in a position shared with some of the predicate-affecting uses: Voice or *v*. This creates a problem, in principle: if the clitic is introduced within the verbal complex, why isn't the lexical meaning of the predicate affected, as we frequently saw in the case of the paradigmatic SE cases? There are three ways out of this problem:

- i) Clause-affecting SE corresponds to the uses of SE which are never lower than VoiceP, which makes any analysis treating them in a *vP* position or lower incorrect. The domain of the lexical verb, where idiosyncratic meaning can be affected, does not include VoiceP.
- ii) Clause-affecting SE uses can appear within the material of the lexical verb, without semantic effects. Note that the fact that lexical meaning can be affected by material introduced within the syntactic domain of the verb does not mean that all material introduced in that position has to affect it. In other words: even if the idiomatic meaning can be built with the verb and the object (Marantz 1984), this does not mean that any combination of verb and object must have an idiomatic meaning.
- iii) Clause-affecting SE uses and predicate-affecting SE uses are not differentiated by the position occupied by the elements in the structure, but by the feature endowment of SE, which only in the latter case is able to affect lexical meaning.

We will get back to this problem in §12 below.

Another important aspect of clause-affecting SE uses is that the SE found there is always non-paradigmatic, that is, fixed in a 3rd person form. In sections §7-§10 we have seen that this fixation on the third form is plausibly epiphenomenal, and does not grant positing two types of SE, one that is inherently paradigmatic and one that is inherently non paradigmatic.

i) Impersonal SE is third person because it is bound by a pronominal indefinite external argument which must be third person, given its indefiniteness

ii) The same explanation can be extended to passive SE

iii) Middle SE is fixed to a third person because the subject must denote a class or be taken as an exemplar of a class, and that bans personal pronouns of 1st or 2nd person as potential binders of SE

iv) Spurious SE involves a clash between two third person pronouns competing for the same licensing, so it will only emerge in 3rd person contexts.

With the possible exception of the spurious SE –which can be viewed as having the strengthening function of licensing a second third person argument–, the clause-affecting uses of SE also have an impoverishing function, which always affects the external argument. Here we have to distinguish between three options: (i) possibility of expressing syntactically the agent as a DP; (ii) possibility of expressing the agent prepositionally and (iii) possibility of modifying or rescuing the agent in the semantic interpretation. The second dimension is case marking in the internal argument. Finally, in the case of the spurious SE, there is a third dimension which addresses its possible impoverishing function: reducing the expression of the indirect object and, following Alcaraz (2017), solving WCO effects and being able to bind an anaphor. The following table summarises these properties.

*Table 6. Impoverishing function of clause-affecting SE*

| Type of SE    | Agent as DP | Agent as PP                                                    | Semantic agent | Indirect object expressed fully          | Binder of anaphors and WCO effects |
|---------------|-------------|----------------------------------------------------------------|----------------|------------------------------------------|------------------------------------|
| impersonal SE | No          | No                                                             | Yes            | Yes                                      | Unaffected                         |
| passive SE    | No          | Marginally, with indefinite and generic elements               | Yes            | Yes                                      | Unaffected                         |
| middle SE     | No          | No                                                             | Yes            | Yes                                      | Unaffected                         |
| spurious SE   | Yes         | No (spurious SE requires the presence of an accusative clitic) | Yes            | No (in the clitic; the PP is unaffected) | No                                 |

Finally, in the clause affecting uses of SE we have not seen the kind of messy discussion between authors to determine which uses belong to one or the other class or which uses should be collapsed together, with the exception of the proposals that want to reduce impersonal and passive SE to one macroclass of SE structures where differences follow from case assignment. Perhaps the two more controversial aspects are whether middle SE structures should be

considered unaccusatives or not and whether they should be derived from anticausative or passive structures. In the case of spurious SE, there is agreement that the problem that SE solves is due to the similarity of two elements competing for the same 'slot' or licenser, and the discussion is in essence whether the effect is morphological or syntactic.

As a summary of the empirical patterns, the following table summarises the effect of SE and the main differential properties of the type of SE in all the constructions that we have analysed.

Table 7. Differential properties of SE types

| Type of SE                       | I   | II                                             | III                                                                  | IV      | V    | VI   | VII  |
|----------------------------------|-----|------------------------------------------------|----------------------------------------------------------------------|---------|------|------|------|
| 1. Reflexive (§3.1)              | Yes | Subject and DO / IO are coreferential          | Yes, with <i>a sí mismo</i> (but remember naturally reflexive verbs) | Yes     | Yes  | Yes  | No   |
| 2. Reciprocal (§3.2)             | Yes | Subject and DO / IO are coreferential          | Yes, with <i>uno P otro</i>                                          | Yes     | Yes  | Yes  | No   |
| 3. Anticausative (§4)            | Yes | Absence of an external agent                   | No                                                                   | Yes     | No   | No   | No   |
| 4. Dative benefactive (§4.4, §5) | Yes | Affectedness, involuntary action               | No                                                                   | Yes     | Yes  | Yes  | No   |
| 5. Aspectual (§5)                | Yes | Telic component; incremental theme; transition | No                                                                   | Yes     | Yes  | Yes  | No   |
| 6. Agent reflexive (§5.2)        | Yes | Verb can only take agents                      | No                                                                   | Yes     | Yes  | Yes  | Yes  |
| 7. Transitive (§5.2)             | Yes | Verb has a different meaning                   | No                                                                   | Yes     | Yes  | Yes  | No   |
| 8. Factitive (§6.1)              | Yes | Subject is instigator                          | No                                                                   | Yes     | No   | No   | No   |
| 9. Antipassive (§6.2)            | Yes | Internal argument expressed as PP              | No                                                                   | Yes     | Yes  | Yes  | No   |
| 10. Inherent (§6.3)              | No  | --                                             | No                                                                   | Depends | Dep. | Dep. | Dep. |
| 11. Figure reflexive (§6.4)      | Yes | Agent ends up in a location                    | No                                                                   | Yes     | Yes  | Yes  | Yes  |
| 12. Impersonal (§7)              | Yes | Agent is indefinite                            | No                                                                   | No      | No   | Yes  | No   |

|                    |     |                                       |     |                |     |     |     |
|--------------------|-----|---------------------------------------|-----|----------------|-----|-----|-----|
| 13. Passive (§8)   | Yes | Subject is a patient                  | No  | Yes, in number | No  | Yes | Yes |
| 14. Middle (§9)    | Yes | Subject is patient; modal reading     | No  | Yes, in number | No  | Yes | No  |
| 15. Spurious (§10) | Yes | A second 3rd person clitic is present | Yes | Yes            | Yes | Yes | Yes |

*I. Is there an alternating version without SE; II. What effect does SE have?; III. Can SE be doubled?; IV. Can the verb agree?; V. Is expression of the agent syntactically possible?; VI. Is the agent accessible semantically?; VII. Can some overt argument(s) be bare nominals?*

At this moment we have finished the empirical discussion of SE and we are ready to move to the broader questions affecting SE: its nature as an element (§11), the position or positions that it should occupy (§12) and what assumptions should be made in order to provide a unified analysis of all these structures (§13). Let us then begin the final tracks of this chapter.

### 11. Advantages and shortcomings of existing analyses (1): the nature of SE

The possibilities about how SE should be analysed as a linguistic object, and which are presupposed by the different analyses that have been reviewed in §3-§10, are to some extent limited by the analytical options available when analysing clitics. There are two main possibilities in the market, starting from the standard assumption that SE is basically a clitic (see §13 below too for this point):

- i) SE, as an anaphoric reflexive, belongs to the system of clitics
- ii) SE should not be fully integrated in the system of clitics in Spanish: it is a phonological clitic, but its syntactic status is different from other clitics.

The option (i) can be further subdivided in two families of theories, that we will revise here.

- ia) SE and the other clitics are arguments of verbs
- ib) SE and the other clitics are agreement markers associated to verbal heads

These two families of approaches, as we will see in §11.1, allow for some mixture:

- ic) Some clitics are arguments, and some clitics are agreement markers
- id) SE can be alternatively part of a verbal head, as an agreement marker or not, and an argument of a verbal projection.

§11.1 starts by showing the main syntactic theories about clitics in Spanish; §11.2 and §11.3 overview the theories that propose that SE is mainly an argument, details its strong points and discusses its shortcomings given the previous discussion. §11.4 does the same with theories where SE is part of a verbal head or even an agreement marker, including relevantly cases where it should be considered a set of unvalued phi features.

The debates on the nature of SE as an element have to take into account one fact that, as we anticipated in §3 above, is assumed to be true despite some apparent complications: reflexive SE is the basic use of SE. This almost forces an analysis where SE is integrated with other clitics, at least personal clitics which can alternate with SE depending on the properties of the subject; the status of SE as an agreement marker or as a pronoun, then, depends on what status is given to the other elements.

### 11.1. Main theories about the nature of clitics: agreement or arguments

Since Kayne (1969), one of the most active debates in Romance linguistics is what status object clitics should have. There are two different hypotheses:

i) Object clitics are agreement markers that combine with the verb (Strozer 1976; Aoun 1981; Jaeggli 1982, 1986; Borer 1984; Saltarelli 1994; Fernández Soriano 1989; Franco 1993, 2000; Sportiche 1996; Fontana 1993; Landa 1995; Barbosa 2000; Anderson 2005)

ii) Object clitics are arguments that need to cliticise to the verb (Kayne 1969/1975, 1989, 1994; Rizzi 1986; Uriagereka 1988, 1995; Roca 1992; Torrego 1995, 1998; Cardinaletti 2000; Cardinaletti & Starke 1999; Roberts 2010; Nevins 2011; Ordóñez 2012)

As in other cases, the problem is complicated by the double factor that clitics do not behave exactly in the same way in all Spanish varieties and that it is possible that the system of clitics is mixed and contains members whose analysis should be (i) or (ii) (see for instance Bleam 1999, Ormazabal & Romero 2013, Alcaraz 2021).

The vision of clitics as agreement markers treats clitics as introduced to mark agree relations in functional verbal heads (v, Voice, a more generic FP...), in parallel to how subject agreement is introduced as part of the marking of the T head. Clitics would then play the same role as agreement in (518).

- (518) a. Nosotros vini-mos a la fiesta.  
           we        came-1pl to the party  
       b. Nos vio a nosotros.  
           us    saw DOM us

In the same way that *-mos* is compulsory when there is a subject, *nos* is compulsory when there is a 1pl object. Cases where the clitic is present but there is no double can be treated as instances of an empty pronominal category (519).

- (519) La vi            pro  
       her saw.1sg    pro  
       'I saw her'

Thus, *la* in (519) like *nos* in (518) would be a morpheme that marks agreement with the direct object. In English, where there are no clitic pronouns, *her* corresponds to the empty pronominal and the clitic which should correspond to agreement is not present, in correlation with the general typological property of English, a language with reduced inflection.

The prediction that this approach makes is double: (i) being agreement markers, the clitic would not introduce referential properties of its own and (ii) doubling will always be possible with clitics. The first property is supported by the behaviour of the person marked pronouns, which do not produce Principle B infractions in the context of sentences like (520) (see §10.3 above, and Alcaraz 2021 for a detailed study).

- (520) a. Yo me vi a mí mismo.  
           I me saw myself  
       b. Yo me tomé un café.  
           I me had a coffee

Remember that Principle B states that pronouns –thus, elements with referential features– cannot have an antecedent that is also coreferential within their syntactic domain, the clause for our purposes. As a personal pronoun is also referential, (520) should constitute infractions to Principle B if the clitic had referential features because it is also a pronoun; as (520) are grammatical, they cannot be infractions to Principle B and therefore these pronouns do not have referential features. Thus, these clitics should be agreement markers, which lack referential features of their own: there is no Principle B violation in (520) for the same reason that there is no infraction in (518a).

With respect to doubling, if a clitic is an agreement marker, it should double another argument compulsorily. This is what we see for personal pronouns in (521).

- (521) a. \*(Me) vio a mí.  
           me saw DOM me  
       b. \*(Te) vio a ti.  
           you saw DOM you

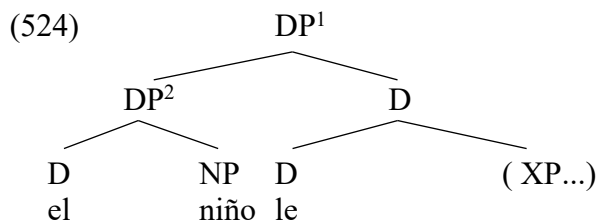
The alternative theory proposes that clitics are in fact pronouns with referential features. According to this theory, the clitic in (522) is in fact the argument that corresponds to the direct object, and which has been incorporated or cliticised to the verb.

(522) Me vio.

The non doubling cases are explained directly: the object is present in the structure as the clitic, and from that position, for either syntactic or morphophonological reasons, it cliticises to the verbal head. Cases with doubling (523) require a technical innovation to be accounted for:

(523) Me vio a mí.

As the clitic is not an agreement marker, (523) produces the problem that the clitic should be coming from the same argumental position as the strong pronoun with a PP that appears in postverbal position. In order to accommodate the two elements, Uriagereka (1995) famously proposed the existence of big-DP structures, such as the ones in (524), where the element that ends up being the clitic in fact originates from a head position of a DP that contains the double in its specifier.



Thus, a case of doubling like (525) would be an instance where the structure in (524) is located in the indirect object position, where it gets dative case. The head D is spelled out as a clitic *le*, and the double, the specifier of that clitic, also gets dative marking, through the same operation. The double stays in place and the head D is moved to cliticise to the verb either in syntax or in phonology.

(525) Le di un libro al niño.

him gave a book to-the boy

The two predictions made by this approach are the opposite of the approach where clitics are agreement markers: the pronoun carries referential features and the doubling is not compulsory. Just like (524) is possible, a small DP could be present where the specifier is not occupied and therefore there is no double.

The behaviour of third person clitics supports the view of clitics as pronouns coming from argumental positions. Note that (526) is an infraction of Principle B, as expected if the clitic carries referential features.

(526) \*Juan<sub>i</sub> lo<sub>i</sub> ve.

Note also that doubling is not compulsory in (527); in the case of accusative pronouns, in fact, doubling is impossible in general Spanish when the overt argument is a noun phrase, with the exception of some varieties in Argentina or the Andinean region.

- (527) a. (Le) entregué un libro a Pedro.  
           him delivered a book to Pedro  
       b. (\*La) vi a la niña.  
           her saw DOM the girl

As we can see above, the evidence is not conclusive, and the predictions of one theory seem to be better fit for some clitics, while other clitics support the alternative theory more strongly. In general, the clitic-as-agreement theory finds stronger support in the behaviour of personal pronouns and SE: if these pronouns are argument D heads, it comes as a surprise that they do not produce Principle B violations. The clitic-as-pronoun theory would have to posit that these clitics are in fact anaphors, coming also from argument positions, which lack referential features. While this would not be surprising for SE, the person marked pronouns would also have to correspond to this type of approach, where a clitic like *me* would have to be an anaphoric element that is controlled by a higher first person pronoun in each case, also where there does not seem to be one, as in (528).

(528) Juan me vio con María.  
       Juan me saw with María

This is not impossible, of course: theories like Sigurdsson (2000) have argued that person features corresponding to the speaker and the addressee must be introduced at a very high position, as discourse referents, in the CP area. However, some kind of technical tweak is necessary to account for the clitic-as-argument theory, which ultimately will require retracting from the position that all clitics carry referential features.

On the other hand, the behaviour of third person pronouns, specially the accusative clitics, seems to support strongly the clitic-as-argument approach and posit initial complications for the clitic-as-agreement approach: they produce Principle B infractions. The clitic-as-agreement side of the debate will have to propose that the Principle B infraction is caused by the presence of a pro argument that cannot be coreferential with the subject. However, this is complicated by another fact, the difficulty of doubling in most Spanish varieties when it is a nominal and the obligatoriness of doubling when it is a pronoun.

(529) a. (%Lo) vi a Juan.  
           him saw DOM Juan

- b. \*(Lo) vi a él.  
him saw to him

(529a) is unexpected from the clitic-as-agreement side, which has to somehow determine that the argument must emerge as *pro* and not as an overt syntactic constituent when in the direct object position, triggering accusative agreement; (529b) is on the other hand unexpected in the clitic-as-argument approach. To be fair, the clitic-as-argument side should also explain why a big-DP with an overt nominal specifier is also banned in their approach for these contexts (529a), and why the double must compulsorily appear when it is a pronoun (529b), so one could say that the doubling cases are problematic for both theories in principle –although the big-DP approach could argue that there is a size difference between pronouns and nominal doubles that makes the first necessary and the second impossible with the clitic–.

As can be seen, then, the system of clitics in Spanish does not seem to be homogeneous for the purposes of doubling or Principle B. This has triggered hybrid approaches where some clitics in Spanish are considered to be agreement markers and other clitics are considered to be pronouns corresponding to potential big-DP structures. Let us mention two recent cases of this hybrid analysis.

Ormazabal & Romero (2013) propose that the accusative clitics are arguments, while the dative third person clitics and presumably also the person marked clitics are agreement markers. Not assuming a big-DP as an option (at least in the varieties of Spanish that cannot double) automatically explains why the presence of the accusative clitic prevents any other overt constituent that corresponds to the direct object. Ormazabal & Romero (2013) further note that if the accusative clitics were agreement markers of the verb, that would be the only case in Spanish in which a finite verb would agree in gender with an argument: remember that the accusative pronouns contrast in gender (§2.2 above). In contrast, dative clitics, as well as the person marked clitics and of course SE, have at most person and number features, which correspond to the morphological properties that verbs agree with in the case of subjects. In this analysis, dative clitics are agreement markers that signal that the verb has assigned object case to an argument, while accusative clitics are incorporated as arguments to the verb –consequently, they essentially don't need case to be assigned to them, a point made by Ormazabal & Romero in other articles–.

Alcaraz (2021) has a slightly different take on the issue: he strengthens the correlation between agreement marker and not having referential properties. He notes, contra Ormazabal & Romero (2013) that the dative clitic is subject to Principle B:

- (530) \*Juan<sub>i</sub> le<sub>i</sub> dio un libro.  
Juan him gave a book

Therefore, all third person clitics would be pronouns, and the agreement markers would only be the person-marked clitics and SE. An approach that treats the dative clitic as an agreement marker must treat (530) as a problem that comes from the presence of a *pro* that triggers the Principle B infraction, roughly as (531).

- (531) \*Juan<sub>i</sub> le dio pro<sub>i</sub> un libro.

However, if that *pro* is present, (531) should have the same type of interpretations as a construction like (532): *pro* equals the overt pronoun introduced by the PP, and the clitic does not appear because given the presence of a preposition there is no agreement with the verb.

- (532) Juan<sub>j</sub> confía en él<sub>i</sub>.



Juan trusts in him  
'Juan trusts him'

(532), however, allows coreference between the subject and the pronoun if the subject is focalised (Büring 2005).

(533) Solo JUAN<sub>i</sub> confía en él<sub>i</sub>.  
only Juan trusts in him  
'Only Juan trusts Juan'

In contrast to this, a construction like (530) cannot become coreferential through focalisation of the subject.

(534) \*Solo JUAN<sub>i</sub> le<sub>i</sub> dio un libro.  
only Juan him gave a book  
Intended: 'Only Juan gave a book to Juan'

Thus, (530) does not contain a *pro*, because then we would expect the same type of binding with focus as in (532). One has to conclude that the dative clitic is referential by itself, and derive the contrast from –presumably– the syntactic position where the clitic ends in contrast to the position where the pronoun embedded in a PP is located (although see Alcaraz 2021: 85 and foll. for a semantic proposal).

These are the two main options with respect to any clitic in Spanish then, as an argument and as an agreement marker. In the next sections we will explore what these options mean for the analysis of the SE constructions noted above.

### 11.2. SE as an argument (1): overview and integration within the system of clitics

In sections §3-10 we have seen several examples of analyses where SE has been treated as an element introduced in argument position, and which we will highlight here. The SE-as-argument analysis has been proposed in constructions that have at least one of the following properties:

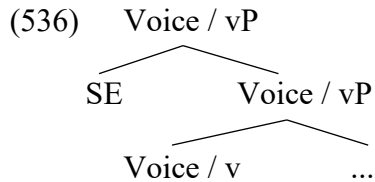
- a) One argument of the verb has been removed
- b) An argument that without SE can occupy a position X cannot use that position in the presence of SE
- c) The case-assignment capabilities of the verb –accusative– have become inactive in the structure.

The constructions that support this most strongly are those where all these properties combine at the same time, as the following:

- a) Antipassive SE (§6.1), where Masullo (1992) claims that SE occupies an argument position that forces PP projection of what otherwise would be the argument:

(535) a. Aprovechar algo.  
to profit something  
b. Aprovecharse de algo.  
to profit-SE from something

b) Passive and to some extent anticausative SE structures –thus, also middles–, where the external argument is not projected. We have not seen many examples of approaches where SE there occupies an argument position –most analyses presented in §4 and §8-9 above argue in favour of a projection of SE in the head of a verbal projection. However, one could in principle argue for a derivation for passives where SE, like the impersonal SE analysis in Ormazabal & Romero (2019) presented in §7.5, occupies the position of the external argument (536).



Possibly, the reason why this approach is not adopted generally is that from this position one does not expect SE to absorb accusative case, as it does not do it in the case of the impersonal; one has to combine this approach with a proposal where passive and impersonal SE vary independently on the option of assigning DOM case to the object, which is what these authors propose anyways.

In a more standard account of case, one could perhaps propose that SE is located in the specifier position but forces accusative case absorption, blocking the internal argument from getting standard accusative case (only DOM can be assigned as a case distinct from nominative, as in Ordóñez & Treviño 2016).

In the case of anticausatives, where there is no inference of the existence of an external argument, one cannot propose literally the derivation in (536) above, if that one conditions the interpretation that there is an external argument position which is however not referentially independent. There are three options that could be available:

(i) À la Schäfer (2008) and Alexiadou, Schäfer & Anagnostopoulou (2015), the head involved in anticausatives and passives differ on whether an agent theta role is assigned to the specifier. One would have to assume, though and contra these authors, that SE despite being referentially null could satisfy the agent theta role for the passive.

(ii) Voice and *v* are distinct, with Voice used to define the nature of the external argument (or its absence), and little *v* used to define the type of event. In this theory, the difference emerges from the distinction between the type of vP that is merged below Voice: a vP corresponding to CAUSE or DO triggers the passive construal, and a vP corresponding to BECOME triggers the anticausative construal. In an approach like Ramchand (2008) this could correspond to having InitP as the complement of Voice or having Proc as its complement.

(iii) The distinction is purely semantic, follows from the interpretation of the verbal stem and whether it can be conceptualised as internally caused or not, and the structure above works for both cases.

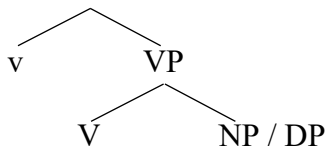
c) The third construction that could be argued to show a SE occupying an argument position is the impersonal, which Ormazabal & Romero (2019) argue to be an argument that necessarily ends up in a subject position. Remember the discussion in §7.5 above, where we noted that this approach is successful in accounting for the human interpretation of the subject and the distribution of the SE clitic across infinitives, including periphrastic structures, but where it wrongly predicts that SE should rise in the context of *parecer* 'seem'.

d) Part of aspectual SE, specifically the cases of intransitive verbs where Sánchez López (2002) and García Fernández (2015) have argued that SE turns the verb from unaccusative to

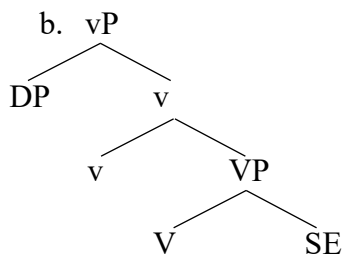
unergative (remember 537) could be cases of SE introduced as an argument in the internal position, forcing the overt nominal subject to be merged in an external argument position:

- (537) a. Sale humo.  
 comes.out smoke  
 b. Se sale \*(el) humo.  
 SE comes.out the smoke

- (538) a. vP (possible unaccusative construal)



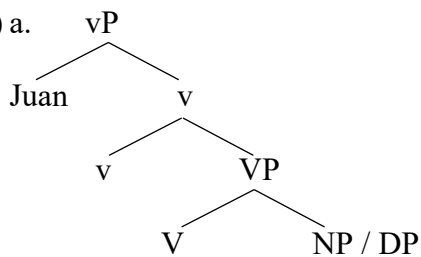
- b. vP (possible unergative construal)

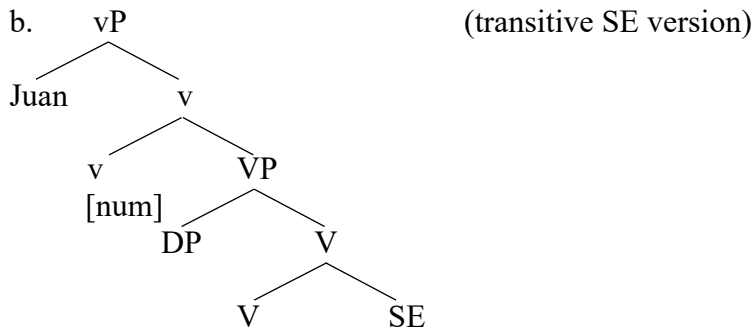


e) Transitive SE (Armstrong 2013), where SE has the effect of forcing the projection of the internal argument as a DP and potentially alters the argument structure of the predicate, could be treated as an argument that occupies the complement position and forces the internal argument to be a specifier.

- (539) a. La mesa se come la habitación.  
 the table SE eats the room  
 'The table occupies the whole room'  
 b. \*La mesa se come habitaciones.  
 the table SE eats rooms  
 Intended: 'The table occupies any room, no matter which one'

- (540) a. vP (version without SE)

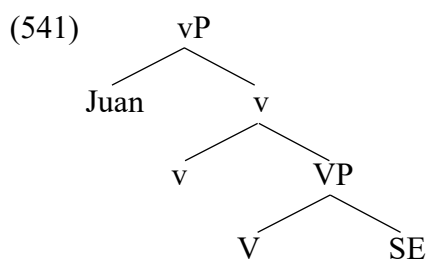




vi) In general, SE would be in an argument position in all analyses where SE fills a participant position created by extra structure, which then has to be coreferential with the subject or another argument, such as several analyses of aspectual SE which treat it as related to extra verbal heads, or the analysis of figure SE.

In contrast, the types of SE that do not alter case and / or argument structure would be the ones that seem less compatible with an analysis of SE as occupying an argument position: the aspectual SE with transitive predicates is problematic for this approach unless one adopts a version of MacDonald (2017) where aspectual SE has the same effect as (540b) in forcing the internal argument to be in a specifier position, forcing it to be introduced as a DP –remember that MacDonald (2017) locates SE in a Voice position, though–. Agentive SE (§5.3) cannot have any obvious effect in the interpretation of the external argument from a low position, and it cannot be introduced in the position of the external argument because it does not block its presence. The same goes for factitive SE and spurious SE.

One of the most controversial constructions from the perspective of whether SE can occupy an argument position is reflexive and reciprocal SE. The problem is the following: on the one hand reflexive SE blocks the introduction of an argument that is independently referential, which suggests that SE might occupy the position of that argument (541), but on the other hand many reflexives can be doubled, which means that if that double is possible, SE cannot be occupying that position. (542) is thus not compatible with (541) unless other assumptions are made.



(542) Juan se vio a sí mismo.  
 Juan SE saw DOM himself

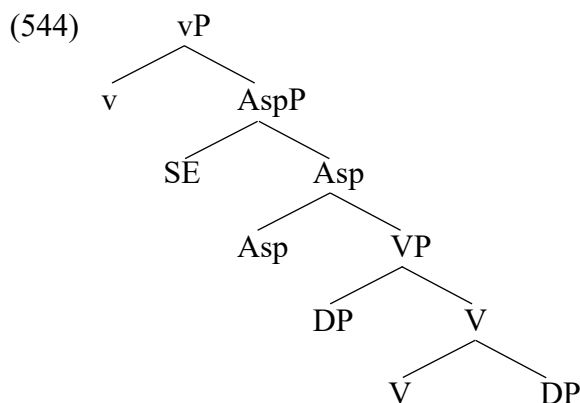
Remember from our discussion in §3 that this creates two main analytical possibilities for SE, both of them treating SE as occupying a verbal head position: (i) SE marks a type of predicate that is monoargumental and removes the external argument, so the internal argument moves to bind SE (Embick 2004) and (ii) SE marks a monoargumental predicate that leaves one internal argument position unsaturated where the double can be introduced as an expletive, non referential element (Labelle 2008). The problem that (i) encounters, remember, is that there is no syntactic position for the double.

The analysis of reflexive SE has to account for the fact that reflexive SE is only possible for dative and accusative elements in Spanish. Labelle (2008) proposed that this is because SE can absorb accusative or dative, but not other cases. An alternative approach to this problem would be that prepositionally marked reflexives are arguments of the preposition, not the verb, which makes it impossible that SE marks in the verb a property that does not refer to its argument structure.

There is a developed analysis of reflexive SE, which we sketched in §5.2, where reflexive SE occupies an argument position: Kempchinsky (2004). In her approach one has to differentiate between doubling and non-doubling reflexive structures.

- (543) a. Juan se lava.  
           Juan SE washes  
           'Juan saw himself'  
       b. Juan se vio a sí mismo.  
           Juan SE saw DOM himself

In the first case, SE is a simple DP which is introduced in the spec, AspP position. It does not get case, but it intervenes between the internal argument and the verb, not letting it get case from the verb, which means that those arguments cannot be introduced inside the VP: if present together with SE, they would not get any case. Note that this analysis has to assume that dative case is assigned by vP, not by a preposition or another head, like an applicative.



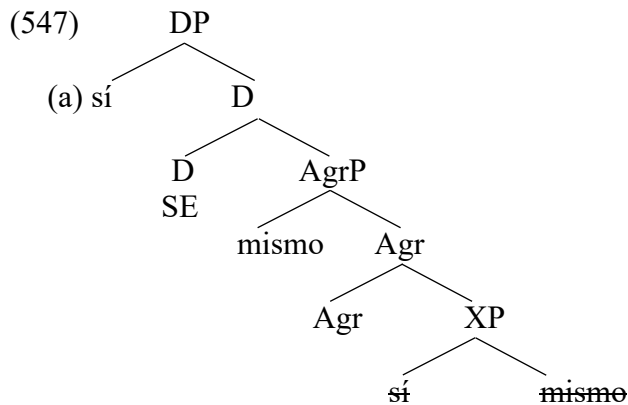
Note that this analysis encounters problems in explaining a sentence like (545), where the indirect object is coreferential with the subject but there is an overt direct object: who assigns case to the direct object?

- (545) Juan se mandó una postal.  
           Juan SE sent a postcard

It is true that (545) is perhaps marginally worse than the structure with doubling (546). Thus, Kempchinsky (2004) restricts the configuration in (544) to cases of naturally reflexive predicates where doubling is not possible, and proposes that the cases with doubling, like (546) involve a different structure.

- (546) Juan se mandó una postal a sí mismo.  
           Juan SE sent a postcard to himself

Kempchinsky (2004), in fact, proposes that the structure with doubling is different. In those cases, SE is not base merged in spec, AspP, but is part of a big-DP structure that is introduced in the corresponding argument position (see also Torrego 1995).



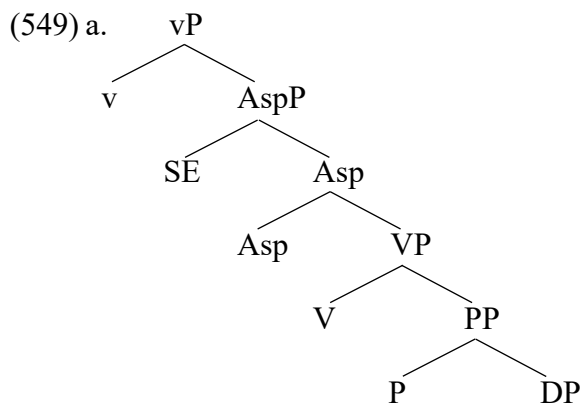
A non-naturally reflexive complement without the double would still correspond to (547), only that the complement of Agr would be pro. The complex structure in (547) could be generated in a VP position and move from there, if necessary, to the position where internal arguments get case from vP.

One could propose a parallel derivation for reciprocal *uno P otro* where the elements also originate low and move up passing through an agreement projection.

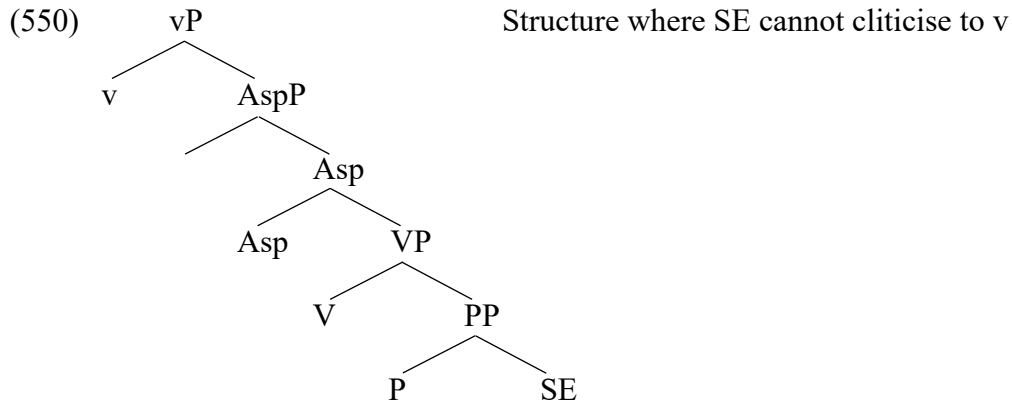
To the extent that we understand this approach, there are two problems with it: the first is that naturally reflexive verbs can also have direct objects, which cause the problem that, if they correspond to (544), it should not be possible to assign case to the object –note that any account that saves (548) by licensing case of the object cannot explain why the object cannot be overt in (543a).

(548) Juan se lava las manos.  
 Juan SE washes the hands

The second problem is how the approach restricts SE structures to cases where the internal argument is not a direct or indirect object. Of course, trivially one could say that SE only associates to dative, accusative and perhaps nominative, but this brute force procedure is somehow unsatisfactory. In principle nothing should block a structure like (549). In the case of the doubling structure in (547), note that it could be possible to argue that when this structure is embedded under a PP, SE cannot cliticise to the verb, and this creates a problem at the phonological surface structure.



- b. \*Juan se confía en él.  
Juan SE trusts in him



Thus, there are empirical problems for the approach where reflexive SE occupies an argument position. In this account note that we have not mentioned the problem of the referentiality of SE which we introduced in §11.1 in the context of the discussion of what Spanish clitics are. Remember that SE does not introduce referential features of its own. The next section will discuss a possible way out from the perspective of the SE-as-argument approach to this problem.

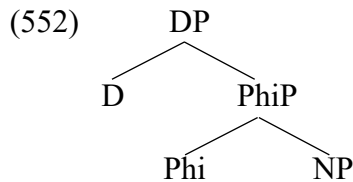
### 11.3. SE as an argument (2): if argument, what are its features?

Remember that SE does not produce Principle B infractions in the relevant contexts, something that supports for some authors that SE is an agreement marker and does not occupy an argument position.

- (551) a. Juan<sub>i</sub> se<sub>j</sub> lava.  
Juan SE washes  
b. Juan se vio a él.  
Juan SE saw DOM him

The traditional account of this problem is that SE is an anaphoric element which must comply to Principle A, that is, SE does not introduce referential properties of its own and for this reason it never triggers Principle B infractions. The crucial idea, then, is that SE does not trigger the effect not because it is an agreement marker vs. an argument, but because its feature endowment is different from the other pronouns. Thus, it could be located in an argument position without having effects for reference.

Leaving the problems noted in §11.2 above for approaches where reflexive SE occupies an argument position, one way of solving the problem would be to treat Spanish anaphoras as sets of nominal features lacking a DP layer that introduces reference. Déchaine & Wiltschko (2020) propose a formal typology of reflexives that uses this type of account. In their analysis, they assume the existence of (at least) three areas in the structure of nominals, which in (552) are represented as single heads although in their theory these could be expanded in richer sequences of heads.



English reflexives would be DPs that occupy argument positions. French reflexives, as a representative of Romance SE, would in contrast be sets of phi features, and would not introduce any referential properties.

We must however emphasise that if a set of phi features can occupy an argument position depends on further assumptions about argument structure. Déchaine & Wiltschko (2020) in fact propose that French SE occupies a head position (Voice), following Labelle (2008), assuming that only referential elements can saturate argument positions. In contrast, if one assumes (for instance, following Chung & Ladusaw 2003) that non-D arguments are possible and can restrict the predicate, one could still maintain that Romance SE is in an argument position that cannot be saturated. That said, let us examine the tests offered by these authors to claim that SE lacks D properties:

a) Equative copulative sentences in English, where the anaphora is DP, can be built with reflexives, but not in Romance, here illustrated by Spanish.

- (553) a. He will be himself.  
 b. \*Él será se. // \*El se será.  
     he will.be SE he SE will.be

This follows if the equative requires a DP (554) and the reflexive cannot be a DP in Spanish. Note that the strong pronoun can do it.

- (554) a. \*He will be boss.  
 b. Él será él mismo.  
     he will.be he self

b) In English, anaphors are built with possessive morphology, which follows if possessors are built as DPs; this is not the case in Romance.

- (555) myself / my book

Once established that SE is not a projection of DP the problem of referentiality dissolves for the theories that wish to introduce it in argument positions. However, there are two additional questions here:

- i) Are those phi features valued or unvalued?
- ii) Which nominal features does SE have?

In principle, we would naturally expect that SE occupies an argument position if its features are interpretable. If the features are uninterpretable, then, we would be retracting to the position where SE is an agreement head, perhaps as the other clitics or as a subset of the other clitics. The idea would be then whether reflexive SE has features that are valued or unvalued.

If we go back to §2.2 above, the evidence tells us that SE is an impoverished element that does not contrast in gender or number:



- (556) a. Él se vio.  
           he SE saw  
       b. Ella se vio.  
           she SE saw  
       c. Ellos se vieron.  
           they SE saw  
       d. Ellas se vieron.  
           they SE saw

In terms of person, paradigmatic SE does contrast with personal pronouns, but that person specification depends on the features of the subject, which makes it likely that they are non interpretable.

- (557) a. Yo me veo.  
           I ME see  
       b. Tú te ves.  
           you YOU see  
       c. Él se ve.  
           He SE sees

SE and personal pronouns can co-occur, which shows that as a clitic it does not even specify a person feature in itself. This is the case for instance in anticausative structures involving a causer dative (§4.2).

- (558) Se te rompió.  
       SE you broke  
       'You broke it by accident'

Now, this suggests that SE is not able to have any interpretable feature, not even one for third person. This coincides with Reuland's (2011) proposal that (in general, not proposing typological differences) treats anaphors as bundles of uninterpretable nominal features. The evidence suggests that Reuland is right at least for the case of Spanish SE, and the anaphor has to be a bundle of non interpretable phi features. This casts serious doubt on any analysis that treats SE as occupying an argument position.

#### *11.4. SE as (part of) a verbal head (3): overview and integration within the system of clitics*

Thus, let us now revise how well theories that treat SE as a projection of a verbal head fare with respect to the different types of SE structures.

These theories have in their favour a very basic and solid fact about SE, that we particularly focused on in §3 and §4: SE does not appear in any reflexive predicate, but only in verbal predicates that are reflexive (if other conditions are met); SE does not appear in any anticausative, but only on the verbal ones; similarly, the other uses of SE only appear in verbal structures. This follows if SE is the manifestation of a verbal head, but it is less clearly accounted for if SE is an argument, as other grammatical categories can take arguments. An approach that treats SE as an argument and wants to block that it may appear with non verbal categories has to posit a second type of restriction, for instance that SE as a clitic needs a verbal host.

The cases that are most amenable to the type of analysis where SE is a verbal head are those that have the following properties:

- a) SE restricts the interpretation of arguments, not suppressing any of them
- b) SE is related to a structure where the verb or tense are interpreted as carrying a meaning or function that is somehow impoverished

This makes agentive and factitive verbs more amenable to the SE-as-verbal head analysis, where the role that it plays seems to be to impose a particular interpretation to an argument that is not suppressed. For different reasons, the impersonal SE would fit –inflection is impoverished, and inflection is assumed to be related to a functional head–. If one takes SE to be an impoverisher, the constructions where the external argument is removed syntactically and / or semantically would also fall here: passive, anticausative and middle. The question for these approaches would be which property is the verbal head marked with SE sensitive to. The main options here are the following:

- a) SE marks a reduced verbal head in the sense that the external argument is either not present or restricted to indefinite semantics
- b) SE marks a reduced verbal head in the sense that accusative assignment is not possible
- c) SE marks a verbal head that is sensitive to the scalar properties of the predicate

Similarly, the need to mark the verbal head with SE would be influenced by the lexical specification of the predicate in a case like the anticausative: some verbs would need SE to impoverish or mark the impoverishment of the verbal head that the verb carries, and some would not because that head is already impoverished enough in the SE-less version of the verb –the approach can also be extended to TP, following Otero (1986), where infinitives are already impoverished–. Alternatively, the presence or absence of SE marking would be related to other properties, but the logic would be the same: which verbs already express that meaning alone and which verbs lack it and require insertion of SE to mark the meaning.

When it comes to reflexive SE above, an unaccusative analysis would relate them to passive SE structures, but even in an analysis like Legendre (2008), where the reflexive is unergative, the SE would have an impoverishing function in the verbal structure, because it would leave an internal argument position unsaturated. Note that this move, that reflexive is a particular specification of a verbal head, is necessary in the approach that treats SE as not being a pronoun: there is overwhelming evidence that the reflexive is historically the origin of the other SE uses (see §12 below), so if the reflexive would involve a SE in argument structure it would be a surprise that the other uses have necessarily grammaticalised it as a verbal head.

The most problematic cases are, of course, those that involve the apparent addition of an argument that has the effect of occupying an argument position of the predicate. This includes the antipassive SE, the transitive SE and the apparently aspectual uses of SE. In the first case, the agent is not affected –just like in the case of agentive SE– and no internal argument is removed; in the case of the aspectual SE there is no apparent impoverishing effect, and the result is just that an aspectual reading is imposed.

In general, the problem for analyses where SE occupies the position of a verbal head, as agreement or not, is that the effect of SE is not always the same in these analyses, and consequently that the role that SE plays can in fact be considered as contradictory:

- i) In anticausative, middle, impersonal and passive SE the role seems to be to restrict the reference of the external argument or to remove it
- ii) In anticausative, middle, passive and antipassive SE the role affects the case assignment
- iii) In agentive, factitive and aspectual SE the role is to affect the meaning of already existing elements, such as the external argument or the verbal event

iv) In spurious SE the role is to reduce the expression of a dative

More to the point even, in some aspectual SE uses –García Fernández (2015)– the role of SE would be to move from an unaccusative to an unergative construal, marking in fact a verbal head as introducing an external argument (perhaps like agentive SE), while in passive SE the role would produce a predicate whose distribution is closer to an unaccusative verb –see also the anticausative SE–. Thus, the main challenge for this approach is precisely that the roles that SE would produce in those verbal heads would be too varied to grant a unification between all SE uses.

At the same time, proposing that SE is in a head of the set that builds a verb does not always equal the claim that it is an agreement head or is manifesting agreement. In the case of spurious SE (§10 above) we showed that an analysis where SE acts as an agreement head is possible, and would solve the problem of how two 3rd person arguments are licensed as verbal arguments, but in other cases the role as agreement is less clear. Perhaps one could treat reflexive SE as the manifestation of a head that agrees compulsorily with the external argument provided that such agreement does not license it with respect to case, or as agreement of the verb with a pronominal that is introduced in argument structure. However, the same type of approach is less likely for the verbal constructions where an argument is at least removed as an overt nominal, such as anticausative, impersonal and antipassive ones, where the agreement in the relevant verbal construction does not preclude the subject from having to agree or move to a TP layer in the clause. The role of SE as a merely agreeing head –a head introduced with the sole purpose of agreeing– is also less likely in structures where SE does not introduce or reduce arguments, where again it is unclear why extra agreement would be necessary in the configuration, with respect to the version without SE. The only case, then, where it is clear that SE is used as a probe that licenses through agreement something that would not be possible to license otherwise is spurious SE, then.

Thus, to conclude this section, the problem for analyses that treat SE as the manifestation of a verbal head is the extreme diversity of roles that SE would mark in such heads, which sometimes involve contradictory requisites. There is only one case where clearly SE is introduced to add additional agreement. SE, from this perspective, should not be equated with an agreeing head used as a pure probe that always licenses an element that otherwise could not be licensed, but rather with an element that might be introduced in the derivation for other reasons and that happens to trigger agreement. There seem to be cases where SE needs to be taken as an argument and cases where SE needs to be taken as a verbal head, and each of the two approaches is able to explain part of the data: maybe one has to assume the existence of two SEs, one that is a PhiP argument with perhaps valued features and one that is a head that contains unvalued features.

An additional problem that is present in this section is whether SE can be integrated within the system of clitics in Spanish as an element on a par with *le*, *me* or *lo*, or whether it is an element of a different nature that happens to be cliticised to the verb and display a surface position that we expect of clitics. An approach that treats SE as a pronoun can integrate it with other clitics, if these are arguments, and an approach that treats it as an agreement marker can integrate it within the system, assuming that clitics are also agreement markers. However, we have seen here two options that are not necessarily advocating an integration of SE within the system of clitics.

- a) SE is a marker of verbal heads
- b) SE is a probe that introduces an additional head that can license an argument

The first option would not treat SE on a par with other clitics unless it is the manifestation of agreement in the verbal head –a position that is problematic– or unless it is treated as a pronoun that satisfies a property of the head. The second option would in principle be compatible with the approach of clitics as agreement heads, but note that in this case the object agreement would not be located in the verb, as standardly assumed in these approaches, and SE would introduce its own agreement layer independently of the verb.

All in all, the approach where SE is the manifestation of a verbal head seems to be more supported by the empirical facts –lack of reference being the crucial component–, but it has the problem that the functions of SE are too diverse. One possible way out in the approach that tries to unify SE as an element projected within a verbal head is to play around with the position of SE in the structure. However, this carries its own problems, which we will revise in the next section.

## 12. Advantages and shortcomings of existing analyses (2): configurations of SE

If in the previous version we concentrated in the problem of whether one can provide a unified characterisation of SE from the perspective of its nature –argument or verbal head–, and once done so if the roles played by it can be unified, in this section we will address a different problem, namely how one can express the fact that SE is always linearised in the same position within the clause if SE builds different types of configurations.

Remember the facts, that were introduced in §2.4: all SE types linearise as the first clitic in a sequence, irrespective of the type of SE that they express.

- |                                    |               |
|------------------------------------|---------------|
| (559) a. Se le entregó un libro.   | Passive       |
| SE him delivered a book            |               |
| b. Se le presentó a los invitados. | Impersonal    |
| SE him introduced DOM the guests   |               |
| c. Se le rompió el libro.          | Anticausative |
| SE him broke the book              |               |
| d. Se le cayó encima.              | Aspectual     |
| SE him fell on.top                 |               |

Now, how can an approach account for this fact? We will see that standard analyses are required to always violate a well-established assumption in linguistics to provide a unified account of SE despite the difference in the configurational and semantic properties of the uses of SE. The problem is complicated by one single case where one type of SE has a different position from the others, which is the impersonal SE in combination with auxiliary verbs.

- |                                           |            |
|-------------------------------------------|------------|
| (560) a. Se pueden encontrar estos pisos. | Passive    |
| SE can.3pl find these houses              |            |
| b. Se puede encontrar a esas personas.    | Impersonal |
| SE can find DOM these people              |            |
| (561) a. Pueden encontrar-se estos pisos. | Passive    |
| can.3pl find-SE these houses              |            |
| b. *Puede encontrar-se a estas personas.  | Impersonal |
| can find-SE DOM these people              |            |

However, this affects the ordering within the verbal group, not the ordering with respect to other clitics.

- (562) a. Se le pueden encontrar estos defectos.      Passive  
           SE him can find these defects  
       b. \*Se pueden encontrar-le estos defectos.  
           SE can.3pl find-him these defects  
       c. Pueden encontrar-se-le estos defectos.  
           can.3pl find-SE-him these defects
- (563) a. Se le puede encontrar a estas personas.      Impersonal  
           SE him can find DOM these people  
           'One can find these people for him'  
       b. \*Se puede encontrar-le a estas personas.  
           SE can      find-him DOM these people  
       c. \*Puede encontrár-se-le a estas personas.  
           can      find-SE-him DOM these people

The approaches that attempt a unification explicitly can take as a starting point the proposal that there is a configurational ambiguity that is possible due to SE's impoverished structure (Otero 2002): given the small feature endowment that SE has, it is an element that is ambiguous between a maximal and a minimal projection. Not taking any compulsory complement, in such approaches, SE is at the same time the maximal and the minimal element in its projection, following Bare Phrase Structure (Chomsky 1995).

- (564)    XP (=X)  
           |  
           X  
           se

Thus, from this perspective across the derivation SE can act as an XP that satisfies a non-head position and displace as an XP, or act as a head that attaches to another head or projects, and moves like an X. This is in fact what Kempchinsky's theory of SE proposes (2004, 2006). Moreover, the theories can also take advantage of the small feature inventory of SE to allow it to appear in a variety of syntactic contexts making a minimal contribution, which makes SE be flexible in the types of configurations where it can appear.

Interestingly, none of the existing theories where the unification is attempted explicitly take as a starting point the grammaticalisation path that was sketched in §3 above, and which we repeat here (565).

- (565) reflexive > anticausative > passive > impersonal

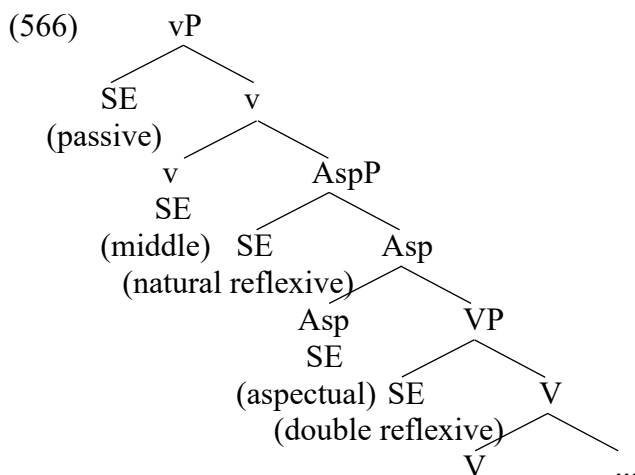
The reason is that these theories are concerned with the synchronic representation of the element in contemporary Romance; note that it is almost inescapable to accept that, irrespective of the historical evolution, the representation of SE in the mental grammar of the speaker is not reflexive –thus explaining why SE is not a necessary nor sufficient condition for reflexivity in contemporary Spanish–. The alternative would have to be to accept the existence of homophonous SE elements, a possibility that is not completely impossible (see Otero 2002, where he notes that the phonological structure of SE is the simplest one, one single CV syllable with the most underspecified consonant /s/ and the most underspecified vowel /e/), but that is in principle dispreferred due to theoretical considerations.

12.2. *Kempchinsky's unified theory of SE*

Kempchinsky's analysis of SE treats it in fact as an aspectual element as its basic use. As a unit, it always has the same function: to link, suspend or introduce a subevent.

Following Déchaine & Wiltschko (2002), this author arrives to the same conclusion in (2004, 2006) than Déchaine & Wiltschko (2020) obtain for Romance SE: it is a bundle of phi features without any DP layer. The proposal that SE is a bundle of phi features allows Kempchinsky to assign to it two potential syntactic roles that it could not have had otherwise: it can be in an argument position, where presumably these features are already valued, and it can be in a predicate position, where presumably the features would be unvalued. When SE is an argument, it links to a subevent; when it is a head, it suspends or introduces a subevent.

This, combined with the structural ambiguity that SE exhibits given its impoverished nature, as simultaneously XP and X, allows Kempchinsky (2004, 2006) to treat SE as alternatively specifier or head. Here is her own proposal about the different uses.



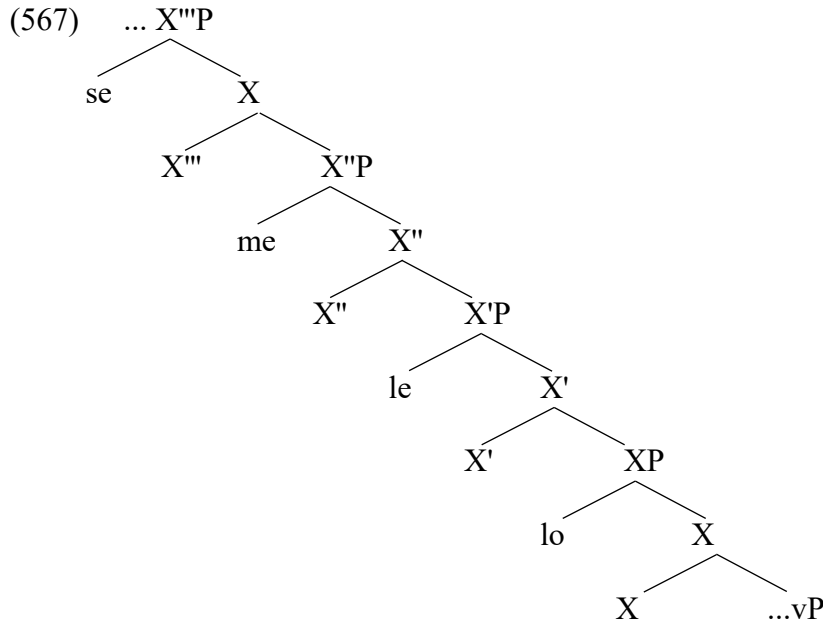
Note first that there are some uses that are not taken into account here: impersonal, which Kempchinsky does not discuss but which presumably could be seen as a version of passive SE, with differences coming from case assignment, as the theories in §7.5 do; spurious, which she does not treat at all, and some of the minor uses (agentive, factitive, antipassive...) which – although she does not say – she presumably views as not real classes which are subcases of the other structures.

The double reflexive SE is a case where SE introduces a big-DP that is merged in VP and which moves to spec, AspP to link to the process subevent of the verb. The single reflexive is base merged in that position, and therefore blocks insertion of the internal argument in VP – with the problems noted in §11 above –. The aspectual SE introduces a telic change of state component and (remember §5.2 above) can trigger an anticausative or an aspectual construal depending on whether vP is projected. Middle SE is introduced as the head v, where it cancels the causation subevent, preventing the presence of an agent, and where the modifier occupies the position of spec, AspP, triggering a stative reading. Finally, passive SE is itself the agent, and links to the causation subevent.

This approach is probably the only explicit one that tries to unify all SE uses in Spanish under one single element, playing around with the ambiguity of SE between XP / argument and X / predicate. The problem that it produces, in fact, has to do with the position of SE in the sequence of clitics, which has to be the same in all cases. Let us see why.

The cases where SE is in an argument position require necessarily that SE moves to a position that is higher than the rest of clitics, given the facts in §2.4, where SE is always the highest element in the group.

Note that this movement has to be directly to the highest projection within the clitic area if clitics are generated as arguments, according to the clitic-as-argument theories revised in §11.1. The evidence in Spanish seems to suggest that at least the third person accusative clitics are such arguments. The fact that accusative clitics are more internal to the verb than dative clitics, personal clitics or SE needs an ordering of elements where the accusative clitics are merged (or move) lower than SE. Remember the structure presented as (49) in §2.3 above, represented as if each clitic was an argument.



In a structure like (567), the verb would move to X and the clitic will attach to it, or alternatively through a roll-up movement that keeps the clitic in the left margin through phonological conditions; a subsequent movement of the verb to X'' would cyclically attach the person clitic externally, and then SE after presumable movement of the verbal head to X''', generating (568).

(568) Se te lo dijo.

SE you it said

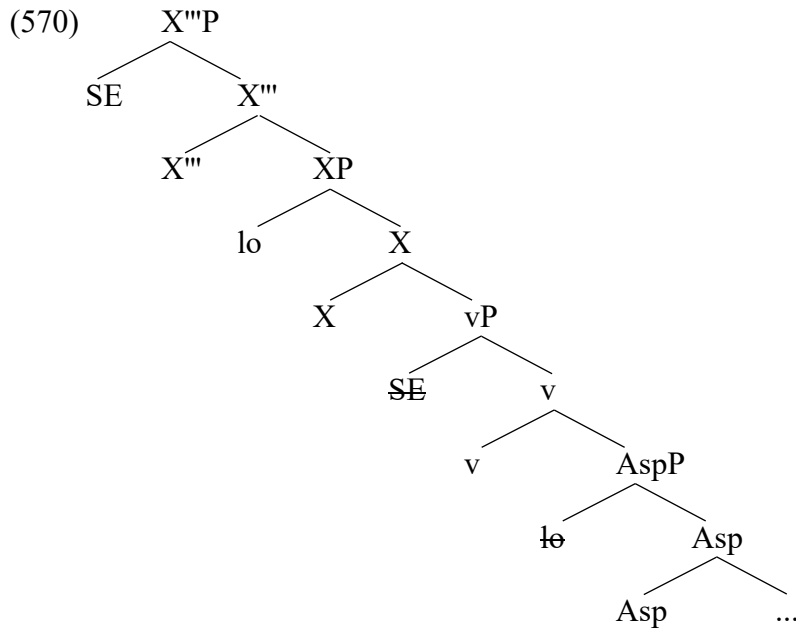
'Someone said it to you'

(569) a. [XP lo X+v [dijo] ...]

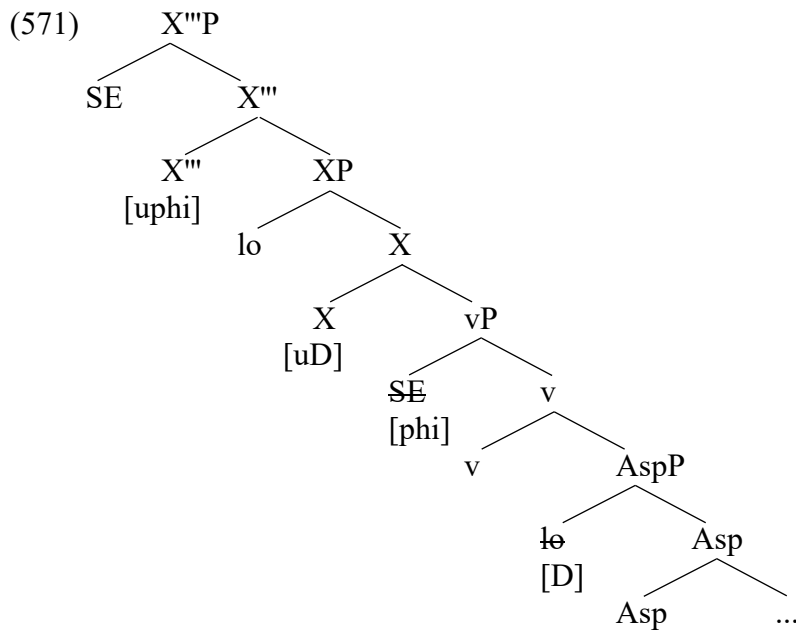
b. [X''P me X''+X+v [lo + dijo] ...]

c. [X'''P se X'''+X''+X+v [me + lo + dijo]]

This means, for a theory like Kempchinsky, that SE, even when it is base generated in a high position and combined with a proper direct object (570), cannot prevent movement of the direct object clitic to XP and will move directly to X'''P despite the presence of a lower head that in principle could license it.



The possible solution would have to claim that all X heads except X''' attract elements that are DP, with SE being the only element that is not a DP; in this way, the accusative clitic will not have SE as an intervener and X''' would be the only head that can attract SE.



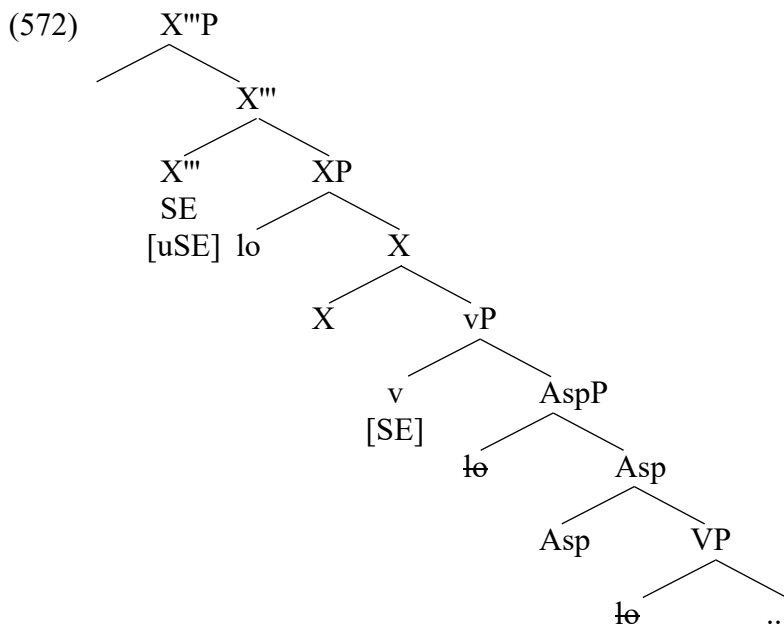
Note that the problem would not be directly solved if Kempchinsky treats clitics as agreement markers, unless that is complemented with the assumption that SE is different from the other clitics. If we assume, with Walkow (2012, 2013), that clitics reflect the ordering of the agree operations with vP, SE is still closer to vP than the accusative argument, so SE should linearise more internal than the accusative clitic.

Once the assumption in (571) is made, and SE is unlike the other clitics, the facts in (560)-(561) can easily be explained if SE in impersonal contexts must move to spec, TP while SE in passive contexts can stay in X'''P because a nominal subject moves to spec, TP or agreement

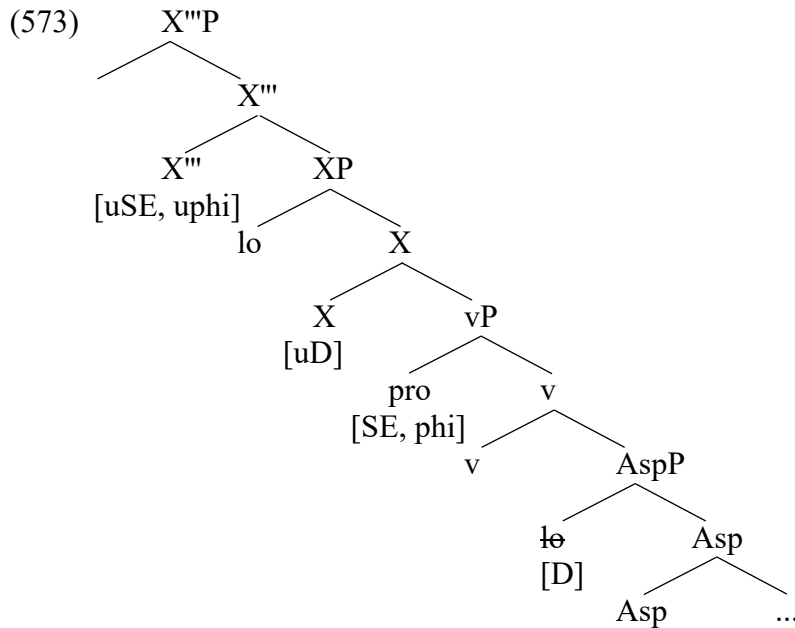


of T with the nominal argument makes that movement unnecessary. Either way, nominative SE will end up in a TP position and passive SE could stay lower.

The problem for Kempchinsky's approach is stronger from the perspective of the uses of SE that are part of the predicate head, where the ordering facts are identical to the argument facts. This is because the analysis of SE in the argument cases requires treating SE as a nominal element that moves to a high position and this movement is not expected if SE is a predicate. For such cases one would have to propose a different view of the position of the clitic SE: if SE is in *v*, one expects that a cyclic derivation would give an ordering *lo + se*, against the facts. The cyclic derivation would need to locate SE in a high position, as an agreement head, a position like (567) above. Secondly, one would have to propose that SE has a feature that no other clitic in the system has –call it [uSE] to highlight that this element would be the only one that has this feature, and that the feature is not really identified independently–. This [SE] feature might be the result of the grammaticalisation of the reflexive pronoun from Latin, and the elements that carry this feature cannot introduce referents independently: either they are coreferential with some other element, as the standard reflexive anaphor, or they mark a head where one of the arguments must be coreferential with another. In any case, the presence of this [uSE] feature is necessary to license predicative heads that are defective in the sense just presented –they introduce argument structures where one of the positions cannot be associated to a definite referent–. One can assume that such heads have the [SE] feature and need to be licensed at a later stage by the projection spelled out as SE:



Note that once this analytical option is adopted, leaving SE outside the system of clitics of Spanish, it could also be adopted to account for the position of SE when SE apparently performs an argument function. For such cases one would only have to substitute the SE in the specifier position within the verbal complex with an empty pronoun *pro* that has a feature [SE] that needs to be licensed by insertion of SE, and claim that the spell out of SE happens in the high head, not within the verbal complex.



In the Note that this elaboration of Kempchinsky's proposal approaches the view of SE as a probe, a mere introducer of unvalued features that can be used for agreement. Therefore, SE would be an additional agreeing head, something that might help us explain and integrate in the system the cases of spurious SE in a way similar to the one that we adopted in our discussion of Walkow (2012) in §10.4.

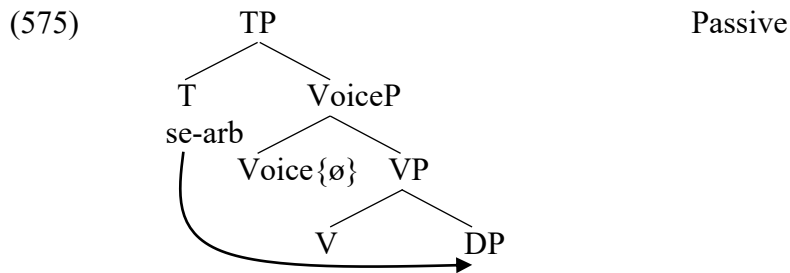
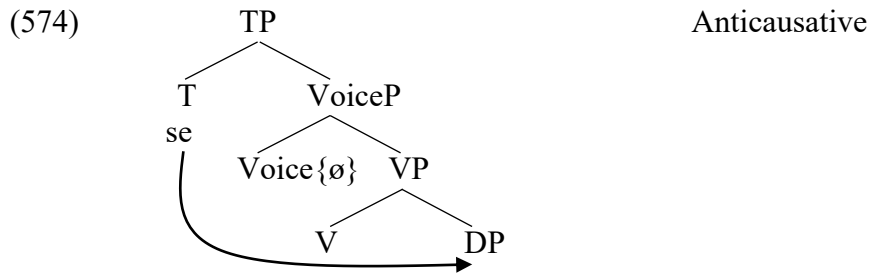
Note however that the approach changes the view of what SE is as a morphosyntactic element: it is not an aspectual element, but a probe located in a high position that is necessary to license elements that otherwise would not be licensed by the regular functional heads, either because the element carries a feature that otherwise cannot be licensed (call it [SE]) or because the element requires licensing by phi features because no other head can provide them to it. From this perspective, SE is not an impoverisher of the verbal structure, but actually a strengthener that provides agreement features in contexts where there is an element that cannot be licensed, either because it carries a feature that only SE can license or because it does not have any other agreeing head.

This is, as we will see in the next and final section of the article, the approach that we consider currently the best apt to unify the uses of SE, combining insights from Kempchinsky's work with the proposal of SE as a probe which goes back to Kayne (2000) and Reuland (2011) and Volkova & Reuland (2014), who treat reflexives as elements whose function is to introduce unvalued features. But before we go there, let us briefly revise one more approach to the unification of SE: one that assumes one single position for SE but does not treat it as a probe.

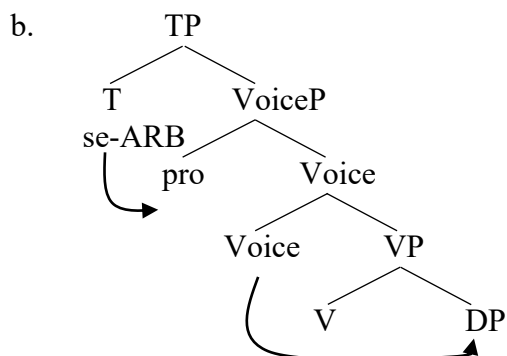
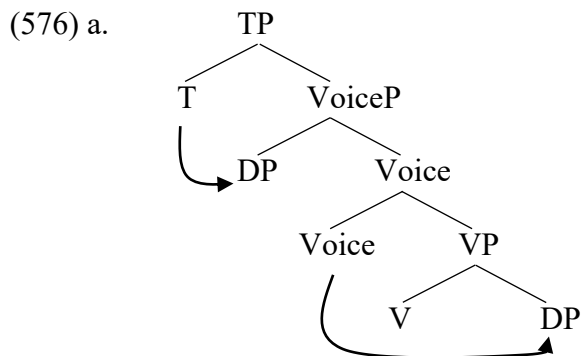
### 12.2. Dobrovie-Sorin (2021)

Dobrovie-Sorin (2021) shares with Kayne (2000) the idea that SE is one single element, and all instances of SE have to be located in the same position; she also shares with Kayne the idea that SE is related to a nominative position, which follows from his approach where SE is the highest element in the clitic area and the one that licenses best a second agreement position for the subject (Kayne 2010). In contrast, unlike Reuland (2011), SE has an impoverishing function and does not act as a probe.

Although we have already revised the relevant structures in §8 above, we repeat them here for convenience.



The game is performed by putting SE always in TP. In this position, the distinction between passive and anticausative depend on whether SE introduces an arbitrary specification or not. In the absence of an external argument located in Voice, ARB in T forces the interpretation that there is an agent that however has an indefinite interpretation. Although Dobrovie-Sorin (2021) does not discuss the impersonal construal, we can speculate that she could derive it from the structure of the active (576a) by specifying the T head as ARB, which then only licenses external arguments that are indefinite (576b).



The immediate advantage of this approach is that SE will always be external to any other clitic with respect to the verb.

There is one technical and one more serious problem with this analysis. The technical problem is that TP should be in Romance a second way to introduce an external argument,

which creates the problem of why there are no simple sentences with two external arguments, one in Voice and one in TP. The more serious problem is that if SE spells out T and nothing lower than it it becomes impossible to give account of the predicate-affecting uses of SE (§3-§6) where the clitic might influence the lexical semantics of the verb, or even its argument structure options.

Like in the case of Kempchinsky, there are ingredients of this analysis that can be kept, particularly the hypothesis that SE spells out a head that is high in the tree, irrespective of its function, and that it does not have a role impoverishing verbal heads, but rather presents a possible solution for how to license arguments when the head is defective.

### 13. Conclusion: towards a possible unified explanation

It is time to wrap up this article. In this final section we will highlight which aspects of SE are more established, which ones are still problematic and how the uses could be unified, that is, under which premises the unification is possible.

When it comes to the variety of SE uses, three aspects can be globally highlighted given the rich empirical picture that we obtain from §3-§10 above:

a) The role of SE cannot be simply claimed to be that of an impoverisher. The impoverishing function of SE is built over some core cases –anticausative, passive, middle, impersonal– where it seems to have the function to demote, remove or block the expression of an external argument, or from cases where the case assigning possibilities are altered –antipassive, passive, anticausative, middle–. In contrast, there are uses which are difficult to claim are impoverishing cases: reflexives, aspectuals, agentives or factitives are the main cases, and in the case of spurious SE the clitic can in fact be argued to have a strengthening function.

b) It is unlikely too, despite the historical evidence, that reflexive SE can be claimed to be at the core of all uses, at least if taken in the sense that SE is a reflexive clitic in all these uses. The reflexive analysis is very problematic in the case of anticausatives, for instance, and no SE use beyond the reflexive (and under some different conditions, the spurious SE) allows doubling with an overt pronoun.

c) There are no empirical reasons to propose that paradigmatic SE and non-paradigmatic SE constitute two different types of SE elements. We have seen that the fixation of non-paradigmatic SE in a third person can be treated as an epiphenomenon due to SE being associated to a third person indefinite pronominal, an independent ban on personal pronouns as subjects of the construction, or the necessity that there is another 3rd person element to produce a clash with a 3rd person element.

d) The inherent SE cases can be treated as involving idiomatic constructions belonging to the other established classes and do not constitute a separate class.

With respect to the nature of SE, here are the main conclusions:

a) The variety of constructions where SE appears is presumably an effect of its minimal status as a syntactic object: it has the smallest set of features from the set of clitics in Spanish, which makes it a small element that is ambiguous between a head and an XP and therefore can find a place in a diversity of syntactic configurations.

b) It is unclear whether SE should or can be integrated in the system of clitics in Spanish, that is, it is not clear that SE should have the same status as clitics like *le*, *me* or *lo*. Even if SE, as a reflexive, can alternate with person-marked clitics, it can cooccur with them and the presence of a reflexive ameliorates PCC effects (§2.4), showing that SE should not automatically be identified with such clitics and two positions should be distinguished for them.

c) Integrated in the system of clitics or not, SE does not carry its own reference and seems to behave as a set of nominal features without DP or NP layers.

With respect to the syntactic analysis of SE, here are the main conclusions:

a) The analyses that play around with the position of SE in order to explain its different uses face one problem: SE as a clitic has to be located always in the same external position with respect to the verb, irrespectively of the use. The problem can be solved for approaches where SE is a pronoun if there is a high position that only licenses SE and no other head can license SE, but there are (to the best of our knowledge) no analyses with this assumption, where SE is always in an argument position.

b) The analyses that treat SE as a marker for a particular flavour of a verbal head also face the same problem, even stronger, if they treat SE as materialised in the verbal head. In order to derive the clitic ordering such analyses need to abandon cyclicity or assume that the ordering of clitics is imposed outside syntax.

c) The analysis of SE as probe introducing agreement features seems inescapable in the case of spurious SE, where there is evidence that SE is present as such in the syntax and semantics and is not just the result of a morphological operation on spell out.

With this background in mind, we will now try to group the SE constructions in a reduced number of configurations, drawing from the analyses presented above. Here we will sketch an analysis that respects the premises above and present alternative analyses within the three options mentioned:

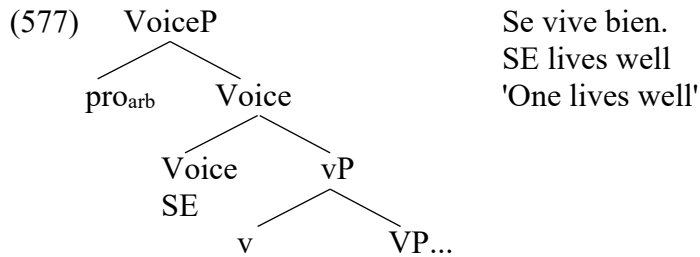
- i. SE is related to an argument
- ii. SE is related to a verbal head
- iii. SE is an agreeing element high in the structure

Then, to conclude, we will overview the loose ends of this possible unification.

### *13.1. Group A: SE as a head that attaches to a verbal head*

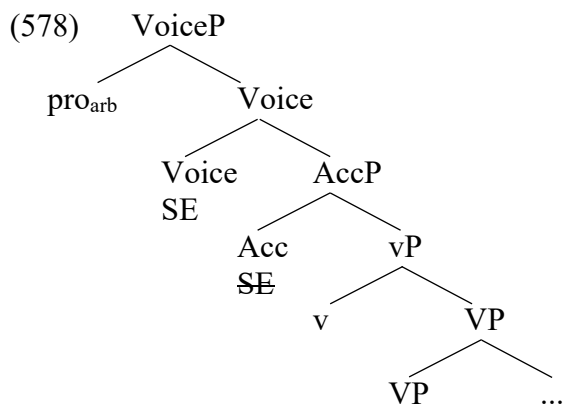
There is a first group of SE structures that can be related to an operation in the head that introduces the external argument, Voice or *v*, and whose role is to impoverish such head by not letting it expand its full set of elements. Here, for convenience, we will use the label Voice for that head, but nothing follows from this terminological choice, although we favour accounts where Voice is related to a head that does not assign a theta role and the theta role comes from other heads in the complement of Voice (see Pylkkänen 2002, Harley 2017, Ramchand 2018, Fábregas & Putnam 2020). The facts related to impersonals and passives suggest that case and the external argument have to be kept as separate properties introduced in different heads, so we will also differentiate case from argument structure.

That said, the first group involves anticausative, passive, impersonal, middle and possibly agentive, factitive and reflexive. The common property is that SE is related to an impoverished head in Voice. The starting point is Ordóñez & Treviño's (2011, 2016) proposal to unify impersonal and passive SE as (577) –of course changing the label for the relevant head–.

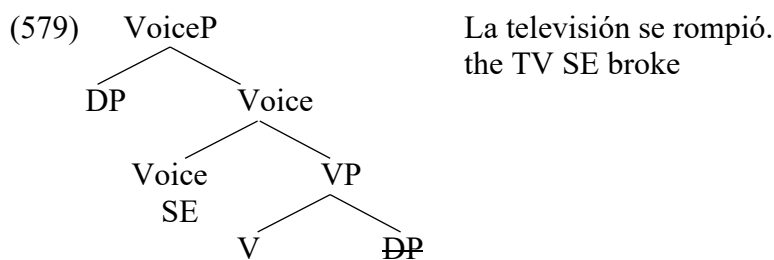


The value of the SE head as necessarily third person depends on the value of the specifier, here a *pro*, and the distinction is assumed to follow from case assignment. Note that the complement of Voice here is little *v* meaning CAUSE or DO, which allows the interpretation that the external argument is an agent.

If passive SE does absorb accusative case, one could propose that the head related to SE is not just Voice but starts from the head where accusative case is assigned, impoverishing it, and from there it moves up to Voice (or vice versa, depending on where that head is in the analysis assumed in each case).

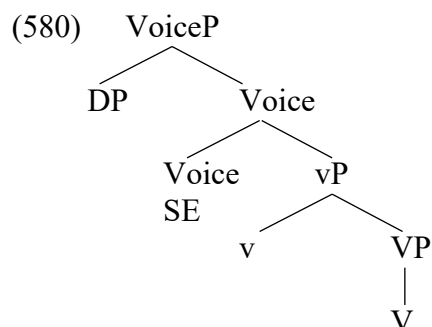


The anticausative and the middle version could correspond to the same head, but where *v* cause is not present and an agent theta role cannot be assigned to the specifier of Voice; in this configuration, the patient moves to spec, VoiceP to give features to the SE head.



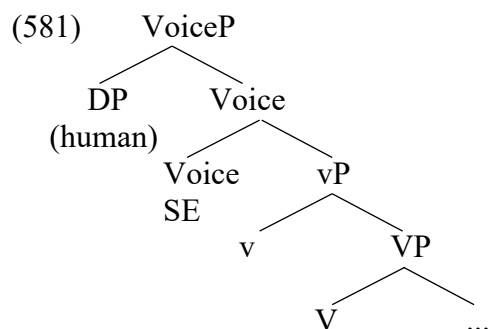
One could further assume that the difference between anticausative and middle depends on the absence / presence of a modal head and the interpretation of the verbal stem as implying an agent or not (alternatively, one could derive the middle from the passive assuming presence of a *vP* layer and letting the patient move to the position of spec, VoiceP, which is orthogonal to the unification that we attempt here).

It is in principle possible to adopt Labelle (2008) and treat the reflexive / reciprocal as another type of impoverished Voice head that allows for letting an internal argument position unsaturated, provided it is bound by the external argument.



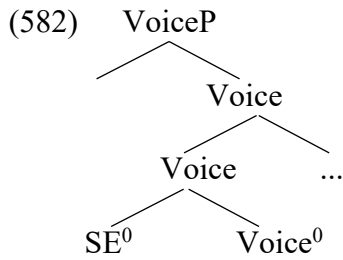
The distinction between naturally reflexive verbs that do not allow doubling and the reflexive verbs that allow it, from this perspective, could be operationalised with the tools of Labelle (2008), proposing that the presence of that double is neutral for argument structure and adding that its addition introduces the expectation that the event would not be performed by the subject on itself, letting the distinction be lexically defined.

It is less clear whether agentive and factitive verbs would also allow a reduction to a structure like (577), but here we will try to do so. The agentive / factitive reading, which in §6.2 were argued to be essentially the same type of reading as the factitive comes from the conceptual semantic possibilities of the predicate, share with the impersonal SE the interpretation that the subject must be human. One might speculate, then, that the agentive / factitive SE is a subcase of (577) where there is a vP layer that assigns CAUSE and where the pro is not an indefinite pronoun, but an overt nominal.



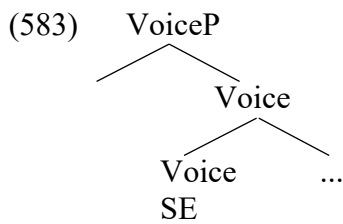
The same SE reading that one obtains in anticausatives, where the sole responsible of the event is the undergoer, would emerge with agentive SE, with the only difference that in such cases the subject must be human and get a theta role assigned which forces the reading that the agent was the only participant that triggers the event; it is possible that the prototypical reading of this restricted value with human subjects that are interpreted as causers is precisely the volitional agent reading.

Let us now consider the three versions of this analysis. In the unified approach to SE as a pronoun, SE in such cases would be a pronominal head attached to the relevant verbal head, where it would have the role to absorb part of its specification and impoverish it. It will contain unvalued phi features for person and number, letting it agree with the element in spec, VoiceP.

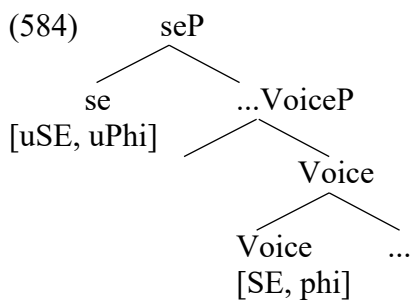


From there, given the ambiguity between X and XP, it would move as an XP to the specifier of the high clitic position, accounting for the order. In the case of the impersonal, a second forceful step would be to move to T or TP. An obvious alternative within this analysis is to propose that SE is an XP argument introduced in the Voice position, but this would have to be complemented with a theory that explains why anticausative, middle and reflexive SE have subjects that act as being in specifier positions while passive SE suggests that the subject can stay in a complement position; thus, it would not be straightforward to locate SE in all these cases in the spec, VoiceP position and the analysis would have to locate it as originating from a lower vP internal position for most cases.

The version of the analysis where SE marks the head, as we saw, would be possible but has the problem that the clitic ordering would have to be non cyclic. We see no way to propose that SE is the spell out of a predicate head that derives the order respecting cyclicity; either cyclicity is broken or one admits that the ordering of clitics has nothing to do with syntax. Note that we still need to assume unvalued person and number features.



The third approach, SE as a high probe, would involve marking the relevant heads that get impoverished with a feature [SE] that needs licensing, and marks the reduction in their capabilities. SE licenses that value and is spelled out high; in the case of the impersonal SE, the licensing forces SE to further move to the T head. The unvalued features that agree with the spec, VoiceP are placed necessarily in the high probe, although this does not force the head to have valued features: these could also be non interpretable, provided that the [SE] feature is interpretable.



That [SE] feature could be coming from the grammaticalisation of reflexive SE as a valency reductor, following the path of grammaticalisation that eventually turns it into a feature of some verbal heads that share the property of not licensing full argument structures or restricting the

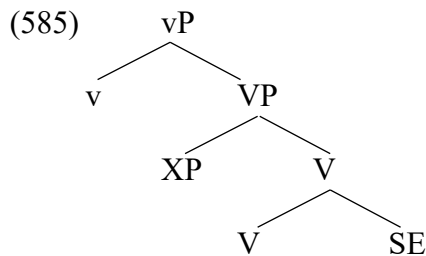


interpretation of the arguments. Note that the specific operation depends on what one assumes about features: one could also assume Pesetsky & Torrego's (2007) distinction between (un)interpretable and (un)valued features and propose that SE in seP is interpretable but unvalued, while in the head VoiceP the head would be uninterpretable [SE] but valued through agreement with the external argument. Of course, what we have sketched here is only a possibility that allows many elaborations depending on additional theoretical tenets.

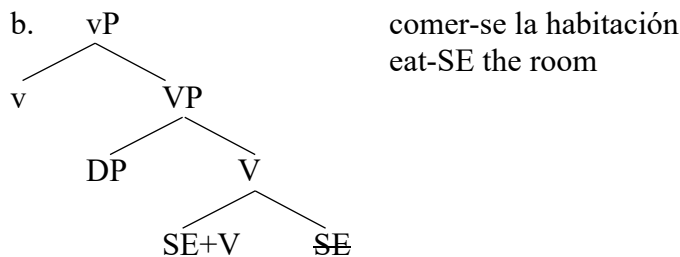
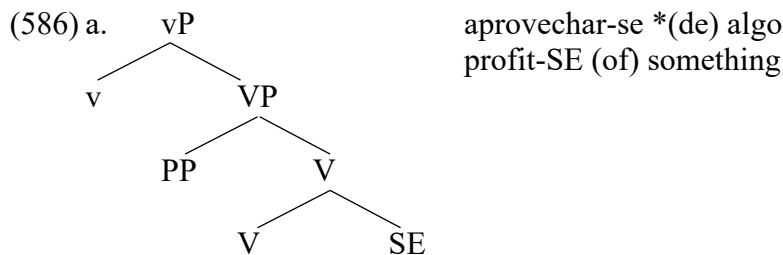
13.2. Group B: SE as an argument that incorporates or not

The second group of constructions share the property that the role of SE seems to influence the argument structure of the verb by apparently introducing new participants, or altering the properties of existing participants without affecting the presence of a full-fledged external argument and therefore not involving Voice. Here we can group the aspectual SE cases both with transitive and intransitive predicates, the antipassive SE and the figure SE.

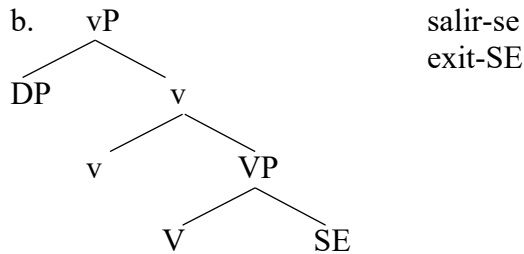
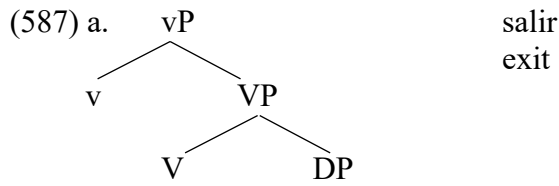
In such cases SE is related to an argument position; starting with the antipassive SE and the transitive SE, the constituent related to SE is introduced in the head that provides a position for the internal argument, and might incorporate to it.



The difference between the transitive and the intransitive use might follow from incorporation: in the antipassive SE, the element does not incorporate to the verbal head and therefore requires case (586a); in the transitive SE use the element incorporates and case is not necessary (586b).



The same configuration works for intransitive aspectual cases, where the effect is to force that the internal argument of the unaccusative projects as an external argument.



The analysis of transitive aspectual SE could behave as MacDonald's (2017) configuration and provide a similar configuration, where SE is an affected argument.

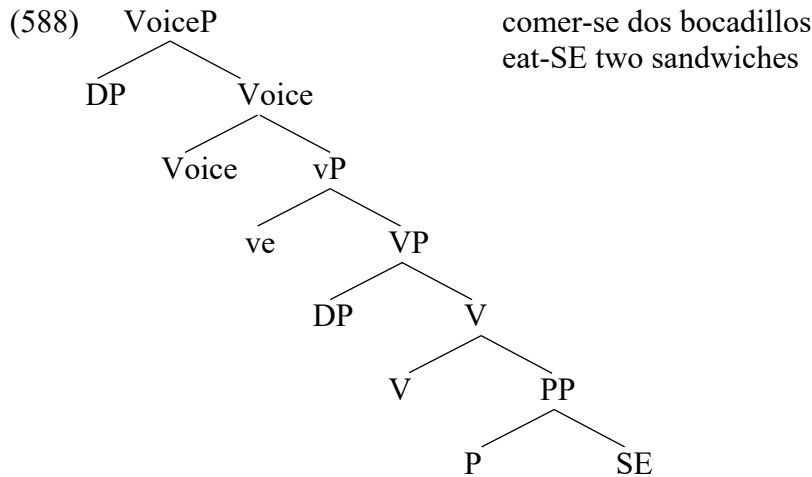
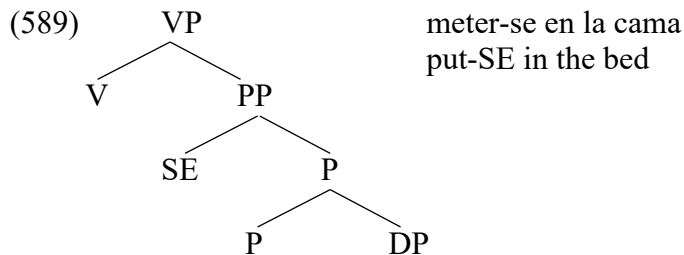
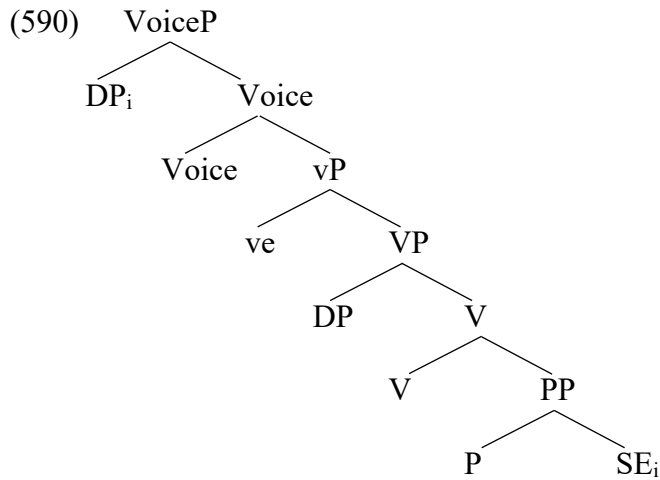


Figure SE would involve an argument introduced as the specifier of a PP.



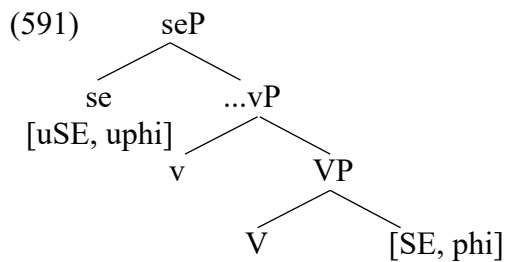
The analysis of these cases in the proposal where SE is an argument is straightforward for most cases, assuming movement to the high XP position to obtain clitic ordering. The analysis however would have trouble explaining the difference in case assignment between the antipassive and the transitive through incorporation, as in the second case SE would have to excorporate to move to XP. Note that here the features of the argument cannot be non-interpretable in all cases, as in some of the configurations that predicts that SE would co-vary

with the internal argument, not the subject, when they are distinct. One could, however, force that the argument is coindexed with the subject, with or without additional operations.



The analysis that treats SE as the projection of a verbal head is less straightforward, because the role that the verbal head would have in these cases would not involve impoverishment in the same sense as before, except for the antipassive case when it comes to case assignment. However, assuming that SE could mark P and V heads without impoverishing them, but rather linking them as containing an argument coindexed with an external argument, the approach can be kept, with the known problem of the clitic ordering.

The analysis that treats SE as materialising a high probe in the clause would need to propose that the arguments introduced are sets of phi features, thus defective arguments, that have to be coindexed with the external argument and agree in features with it –possibly through the feature [SE] that forces this interpretation. Once coindexed with the relevant argument, SE would license the features in the usual way. The coindexation might be an effect of the absence of referential properties of SE, caused by [SE].



### 13.3. Group C: SE as a probe

The final group has only one member, the stand-alone case of spurious SE. In this configuration, SE marks or solves an agreement problem. The SE-as-argument analysis is forced to the conclusion that SE is in this case the spell out effect of a defective situation in morphology, and is not present syntactically, with the known problems pointed out in Alcaraz (2017).

The SE as involving a verbal head and SE as a high probe are more difficult to compare in this respect. The reason is that the two approaches are crucially differentiated empirically by clitic ordering, and the spurious SE cases, by definition, do not accept any other clitic in the sequence except the accusative third person. Personal pronouns introduced as direct objects (592) do not trigger the reduction and are difficult to evaluate given PCC effects, and personal

pronouns introduced as non-selected objects produce ungrammatical results in this configuration (593, Cuervo 2013).

- (592) a. Se lo quité.  
SE it took.away  
b. \*Te le quité.  
you it took.away
- (593) a. ¿En serio le robaste eso?  
really him.dat stole that  
b. ¿En serio se lo robaste?  
really SE it stole  
c. ¿En serio te le robaste eso?  
really you him.dat stole that?  
'You really stole that for yourself?'  
d. \*¿En serio se te lo robaste?  
really SE you it stole

Thus, the approach where SE spells out a low impoverished verbal head could in principle spell out a head that usually assigns dative case and marks that the assignment in this case is more defective; if SE has an impoverishing function we should expect that dative case, or other properties of the argument, should be impoverished, and this can match the data noted in Alcaraz (2017, 2021) where spurious SE is not referential, in contrast to datives.

The approach where SE is a high probe is unproblematic for this type of SE, as it performs in a pure way the function that the approach would claim for all SE cases: to provide a probe that licenses an argument that otherwise could not be licensed.

#### 13.4. *The loose ends*

Thus, to conclude, the approach above seems to suggest that the most straightforward way of accounting for all SE structures is the SE as probe approach (Reuland 2011) that treats SE outside the clitic system and singles it out by two properties:

- a) Containing a feature that no other head contains, [SE], which might be the grammaticalisation of the reflexive original function, that forces the element that it licenses to not introduce a referent of its own or to impoverish one of the argument positions that it otherwise introduces.
- b) Being a set of nominal phi features that does not include a D feature, even when associated to elements in argument position.

The analysis just sketched above, as it is obvious, leaves a number of problems unaddressed. The most significant one of them refers to the lexical variation in the use of SE as applied to anticausative, agentive and aspectual SE cases.

As discussed in §4.1 above not all verbs with an anticausative pair mark the anticausative with SE –in some verbs SE is impossible, and in others, it is possible but not compulsory–. If the analysis of inherent SE cases is right, it is not even true that a verb must have a causative pair to mark SE. Moreover, which verbs use SE are subject to some individual variation among speakers. The problem, from the perspective of the analysis just sketched, could be stated as follows:

- (594) Some verbs can have defective Voice that is licensed without SE and some verbs require licensing with SE for defective Voice.

Several options come to mind as potential ways to explore from this perspective:

a) Theories where the syntax of anticausative verbs with SE differs from the syntax of those that don't. Remember that in §4.2 we overviewed some evidence that anticausative without SE differ from anticausatives with SE through dative causers.

a. One could posit that the verbs that do not carry SE contain some type of vP layer that is able to license the defective [SE] feature, proposing then that the syntax of the anticausative verbs without SE is different from those with SE;

b. One could alternatively posit that the defective Voice that these verbs carry is not marked by SE, making the presence of SE unnecessary

c. One could finally argue that anticausatives without SE lack VoiceP altogether and lack an external argument because of this, or even the lack of vP, thus making SE unnecessary because there is no Voice to mark with [SE].

b) Theories where the syntax of anticausative verbs with SE and those without SE is largely identical. Remember the lexical variation with respect to which verbs take anticausative SE, suggesting that perhaps speakers do not play around with the syntactic or semantic construction. In this approach, SE would be present in the syntax but would either be spelled out as zero with some verbs or spelled out together with the verbal stem exponent, through synthetic exponence.

Remember that a similar approach is taken in Vivanco (2021), where she argues that SE in anticausatives marks a particular type of aspect that involves a bounded incremental change, in alternation with an exponent zero that marks the other cases. There is solid evidence that in at least some groups of anticausative SE cases this generalisation is correct, but our approach cannot straightforwardly account for this semantic effect. In general, in fact, the analysis sketched above does not capture the semantic effects of SE in aspect, which seem to be quite systematic (Nishida 1994, García Fernández 2015). If SE is a high head in the clausal structure and its role is to provide agreement features, it should not have any direct effect on or sensitivity to the Aktionsart properties of the predicate. Similarly, it is unlikely that the aspectual properties of the verb –located in whichever head one decides– should obtain a compulsory bounded incremental reading with addition of the feature [SE], that in such cases would not have an obvious role in impoverishing the referentiality of a participant or removing participants –remember that aspectual SE does not remove an internal argument–. For this problem, there are several potential ways out that might be explored in the future:

a. One could explore the possibility that the accomplishment interpretation is the default interpretation of aspect for transitive verbs, so that [SE] could be argued to impoverish a V or v layer.

b. One could claim that the telic incremental interpretation is obtained with SE because SE impoverishes the dynamic part of the event, so that event has to define its progress forcefully through the referential properties of the internal argument. Thus, the internal argument has to be coextensive with the verbal event and therefore measures it; in other cases, the scale provided by an adjective would act as such referentially impoverished argument that SE forces.

One could claim that the approach sketched largely ignores semantic issues, or treats them through a very vague principle whose application in individual cases is unclear. This is the reason why the agentive or factitive SE cases are difficult to treat in this approach, because in

them one needs to treat the low referentiality of [SE] as triggering a prototypical human agent or instigator reading, which seems to be an effect that is at a different level from the effect that it produces in impersonals or passives, where the agent cannot be expressed by a distinct nominal.

But perhaps the most serious problem of this approach and any other approach that builds the reasoning starting from the minimal role of SE in structures, as a minimally specified entity within the system, is to restrict the SE uses in a way that one does not overgenerate constructions that are not attested.

For instance, we have a figure SE where the subject and the entity that moves coincide (595a), but why cannot we have a ground SE where the subject coincides with the region where another entity moves (595b), as we saw in §6.4 above? As we suggested there, one can claim that the ground position is for locations, and the subject is not a locative, but note that nothing prevents an overt structure where a human is that region (595c). What makes a pronoun related to SE unable to appear in the place of the nominal in (595c)?

(595) a. Juan se infiltró en la asociación.

Juan SE infiltrated in the association

b. #Juan se puso a Pedro.

Juan SE put DOM Pedro

Intended: 'Juan put Pedro in a location defined by him'

c. Juan puso a Pedro ante él.

Juan put DOM Pedro before him

'Juan put Pedro in a place before Juan'

Despite its length, we believe that this article just scratches the surface of SE structures in Spanish. We have been unable to provide answers to most of the questions that this element poses in Spanish, but at least we hope to have been able to show what the main analytical challenges and problems are, and to have managed to put some order in the apparent chaos of constructions, interpretations and analytical options that the issue of SE produces in contemporary Spanish.

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