

Operationalisation of ecosystem services in support of ecosystem-based marine spatial planning: insights into needs and recommendations

Ibon Galparsoro^{1*}, Kemal Pınarbaşı^{1,2}, Elena Gissi^{3,4}, Fiona Culhane⁵, Jordan Gacutan^{1,6}, Jonne Kotta⁷, David Cabana⁸, Sonja Wanke⁹, Robert Aps⁷, Daniel Bazzucchi¹⁰, Giacomo Cozzolino¹¹, Marco Custodio^{12,13}, Mihhail Fetisov⁷, Miguel Inácio¹⁴, Susanna Jernberg¹⁵, Alessandro Piazzini¹¹, Keshav Prasad Paudel¹⁶, Alex Ziemba^{9,17} and Daniel Depellegrin^{18,4}

Affiliations

¹ AZTI, Marine Research Division, Herrera Kaia z/g, 20110 Pasaia, Spain.

² Baltic Sea Environment Protection Commission (Helsinki Commission, HELCOM), Katajanokanlaituri 6 B, 00160, Helsinki, Finland.

³ Hopkins Marine Station, Stanford University, Pacific Grove, CA 93950, USA

⁴ CNR - National Research Council of Italy, ISMAR - Institute of Marine Sciences Arsenal - Tesa 104, Castello 2737/F, I-30122 Venice, Italy.

⁵ Department of Earth, Ocean and Ecological Sciences, University of Liverpool, Nicholson Building, Liverpool, L69 3GP, United Kingdom.

⁶ Centre for Marine Science and Innovation, School of Biological, Earth and Environmental Sciences, University of New South Wales, Sydney, 2052, Australia.

⁷ Estonian Marine Institute, University of Tartu, Mäealuse 14, 12618 Tallinn, Estonia.

⁸ UCD, Earth Institute, University College Dublin, Dublin 4, Ireland.

⁹ Deltares, P.O. Box 177, 2600 MH Delft, The Netherlands.

¹⁰ SETIN Servizi Tecnici Infrastrutture srl, Via Guido d'Arezzo, 16, 00191, Roma.

¹¹ Ecolinfa, via del tritone, 15, 00042 Anzio, Roma.

¹² Department of Biology & CESAM & ECOMARE, University of Aveiro, Campus Universitário de Santiago, 3810-193 Aveiro, Portugal.

¹³ Flanders Marine Institute, Wandelaarkaai 7, B-8400 Ostend, Belgium.

¹⁴ Environmental Management Laboratory, Mykolas Romeris University, Vilnius, Lithuania.

¹⁵ Finnish Environment Institute, Marine Research Centre, Latokartanonkaari 11, 00790 Helsinki, Finland.

¹⁶ The Norwegian College of Fishery Science, UiT The Arctic University of Norway, 9037 Tromsø, Norway.

¹⁷ Delft University of Technology, Mekelweg 2, 2628 CD Delft, The Netherlands.

¹⁸ Renewable Energy Group, College of Engineering, Mathematics and Physical Science, University of Exeter, Cornwall Campus, Penryn, United Kingdom.

* Corresponding author (igalparsoro@azti.es)

Highlights:

- Mapping and assessment of ecosystem services can support marine spatial planning
- We assess the needs for ecosystem services application in marine spatial planning
- Literature search and outcomes derived from 14 case studies are combined
- We identify main needs dealing with theoretical, methodological and policy aspects
- Recommendations for overcoming identified limitations are provided

1 Abstract

2 Marine or maritime spatial planning (MSP) works across borders and sectors to ensure
3 human activities at sea take place in an efficient and sustainable way. The ecosystem
4 service (ES) concept links ecosystem functioning to human wellbeing and has emerged
5 as a potential framework supporting MSP, as it can be used to link different sectorial and
6 environmental policies. However, due to the complexity of the marine realm, mapping
7 and assessment of ES is still in its infancy and there remains a need to develop and agree
8 upon the appropriate progress in ES development to support MSP.

9 This contribution highlights research needs and recommendations to advance the
10 operationalization of the ES concept into MSP. We apply a mixed method approach
11 combining literature research and expert knowledge derived from 14 case studies, to
12 address current status and prospects of ES application in MSP. We present nine main
13 needs dealing with (i) improvement and adaptation of existing ES frameworks and
14 classifications to the marine realm and (ii) definition of an indicator pool; (iii)
15 methodological and technical developments to support data availability and accessibility;
16 (iv) advances in mapping and modelling methods; (v) improvements in assessment and
17 valuation approaches; (vi) further use of scenario and trade-off analysis; (vii) taking
18 advantage of supporting Information Technologies (IT); (viii) improvements in
19 communication and engagement with stakeholders; and (ix) further work for the
20 integration of ES knowledge into policies and for supporting management and MSP. The
21 manuscript concludes with a set of recommendations to foster the operationalization of
22 the ES concept into MSP.

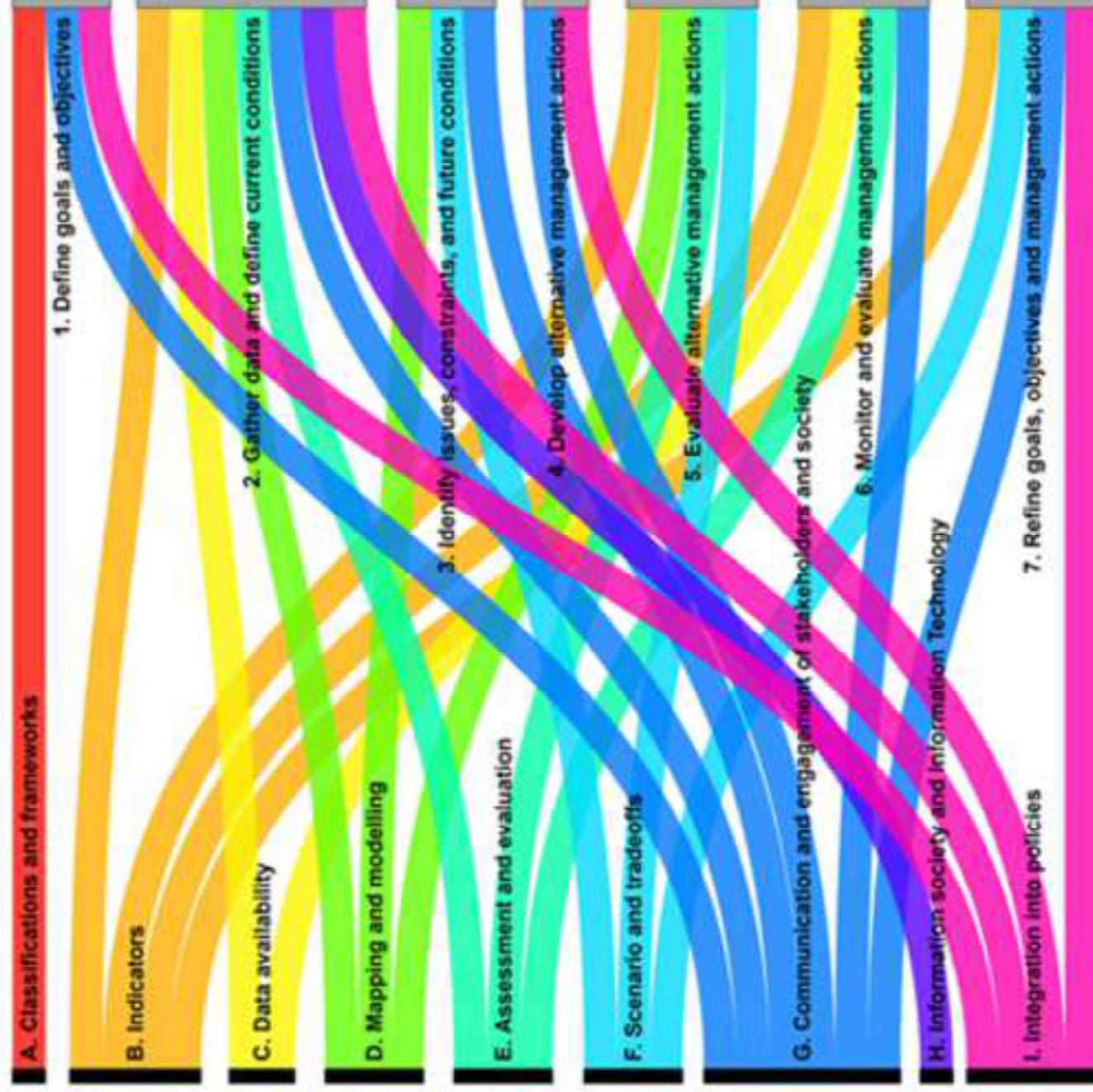
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24 Keywords

25 Maritime Activities, Blue Growth, Maritime Spatial Planning, Management, Natural
26 Capital

Critical needs

MSP steps



1. Introduction

The World's oceans and seas provide ecosystem services that contribute significantly to fulfilling human needs and well-being [1, 2]. Healthy marine ecosystems provide substantial benefits in terms of food production, recreation and tourism, climate change mitigation and adaptation, shoreline dynamics control and disaster prevention. Globally, the demand for coastal and marine ecosystem services is high and continues to grow, resulting in the diversification and intensification of maritime activities, which puts more pressure on marine ecosystems and increases competition for space at sea. If not managed properly, human activities can lead to a deterioration of environmental status and loss of biodiversity, which can have severe effects on ecosystem services supply; and consequently, hinder the sustainable development of marine and coastal activities [3-5].

The integration of ecosystem services into marine spatial planning (MSP) is a promising approach [6-9] with multiple advantages: supporting the sustainable development goals [10], promoting the development of new maritime activities in accordance with the Blue Growth strategy [11-13], and supporting the creation of conservation zones, such as Marine Protected Areas (MPA) [14]. By making nature's value more explicit, the ecosystem services approach can promote better informed discussions about ecosystem services trade-offs between different MSP scenarios and prioritizes sustainable management options [15]. Additionally, the ecosystem services approach fits well within a broader management paradigm known as ecosystem-based management (EBM), which recognizes the multiple interactions within ecosystems where, humans are included as an integrative part [16]. Thus, the adoption of ecosystem-based marine spatial planning (EBMSP) can inform about the spatial distribution of existing and emerging sea uses, use-conflicts reduction, ecosystem health and protection and sustainable use of ecosystem services [17, 18]. Thus, mapping and assessment of ecosystem services can become a framework which links different sectorial and environmental policies [19-23].

The need for operational approaches that integrate ecosystem services into management and decision making has been raised frequently [6, 8, 24-29]. For example, in the European Union (EU), the Marine Strategy Framework Directive (MSFD; Directive 2008/56/EC), seeks the achievement of the Good Environmental Status and the sustainable use of ecosystem services, emphasizing the importance of healthy ecosystems

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32 as a prerequisite for ecosystem services to be provided. Similarly, the Maritime Spatial
33 Planning Directive (MSPD; Directive 2014/89/EU), recognizes that healthy marine
34 ecosystems and their multiple services, if integrated in planning decisions, can deliver
35 substantial benefits. Moreover, the Biodiversity Strategy to 2030 recognises that the
36 global Gross Domestic Product (GDP) depends on nature and the services it provides,
37 and asks member states to improve knowledge by assessing and mapping the state of
38 ecosystems and their services [30].

39 Despite previous research efforts, there is still a considerable lack of basic knowledge and
40 best practices on how to operationalize coastal and marine ecosystem services into
41 decision-making [31]. The adoption of such an approach requires knowledge about how,
42 where and when ecosystem functions deliver ecosystem services and how those functions
43 interact when providing ecosystem services [4]. There is a need to understand how
44 humans benefit from ecosystem services, through their direct or indirect use, how humans
45 influence ecosystem functions, and how this influences ecosystem services supply, and
46 in turn, the effect on human well-being [32-35].

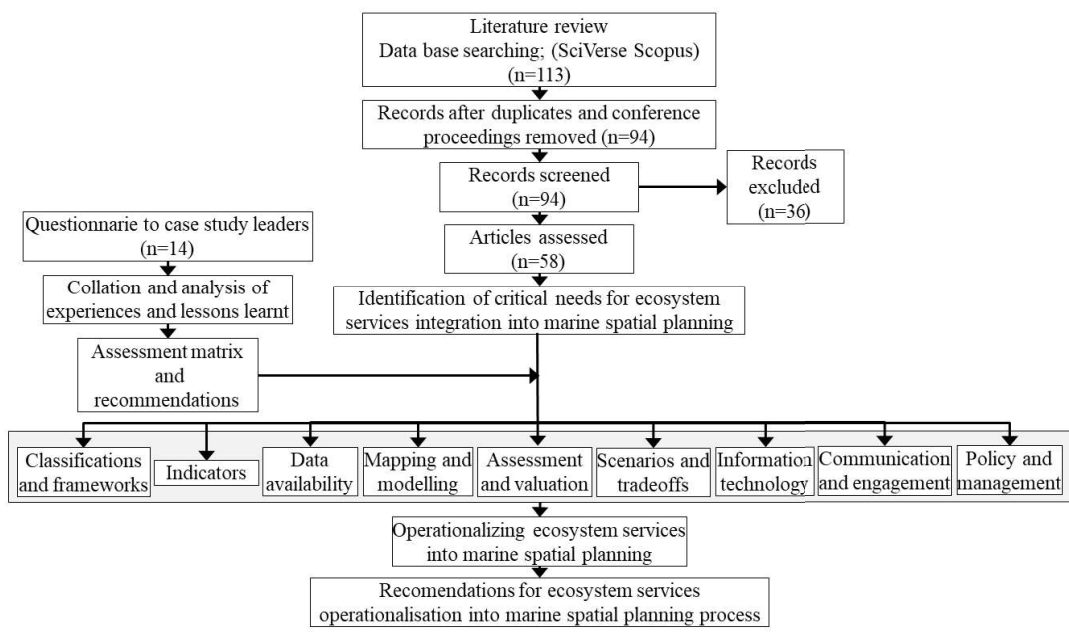
47 Recent research advancements in the field of marine ecosystem services focused on
48 addressing specific theoretical, procedural, and methodological challenges. For instance,
49 the development of marine ecosystem services oriented classifications [11, 36], data
50 availability checks [37], assessment and valuation methods [38, 39] or ecosystem services
51 assimilation through participatory stakeholder engagement [40, 41]. Most studies target
52 very specific aspects of ecosystem services research, whereas only more multifaceted
53 investigation would provide the critical knowledge needs to support the wider scope of
54 EBMS processes.

55 This research aims to define a set of scientific and operational recommendations to
56 advance the integration of the ecosystem services into EBMS. For that purpose, (i) most
57 frequently reported limitations for mapping and assessment of ecosystem services and its
58 operationalisation into MSP were identified by performing a bibliographic review; (ii) 14
59 case studies were investigated to further analyse the limitations in real applications and
60 analyse the strengths and weaknesses of the approaches implemented for overcoming
61 such limitations; (iii) links between the critical needs for operationalisation of ecosystem
62 services and the marine spatial planning implementation phases were defined; and finally,

63 (iv) based on the outcomes obtained, a number of recommendations were derived to
 64 contribute to the integration of ecosystem services assessment into EBMS.

65 **2. Methods**

66 The research approach followed can be summarized into: (1) a literature review to identify
 67 the limitations and critical needs for ecosystem services operationalization into EBMS;
 68 2) a collation of the lessons learnt, elicited via structured questionnaire, from 14 case
 69 studies applying the ecosystem service approach to inform and support MSP; 3)
 70 interpretation and categorization of the responses into most-reported critical needs, in
 71 addition to the strengths and weaknesses of the approaches implemented; and 4)
 72 development of a framework and derivation of recommendations for operationalising
 73 ecosystem services into EBMS (Figure 1). Similar mixed method approaches have been
 74 previously implemented in ecosystem service research [42], as it allows the combination
 75 of different investigation methods into a single framework and contributes to better
 76 understanding of findings compared to using individual approaches [43, 44].



77
 78 Figure 1. Workflow for the identification and analysis of critical needs for the
 79 operationalisation of the ecosystem services approach into marine spatial planning.

80 **2.1. Literature review**

81 The bibliographic search was performed consulting the SciVerse Scopus
 82 (www.scopus.com). The consultation was performed on 30/08/2019. The query applied
 83 a discursive approach with the aim to incorporate explicit and implicit references of the
 84 ecosystem services concept and marine/maritime spatial planning. The search looked for
 85 the following terms within the title, abstract and keywords of the manuscript: “ecosystem
 86 services” AND “marine spatial planning” (ES&MSP; resulting into 85 publications);
 87 “ecosystem services” AND “maritime spatial planning” (ES&MTSP; resulting into 14
 88 publications). In total 113 articles were retrieved. Duplicates and conference proceedings
 89 were removed, leaving 94 manuscripts. The first publication dealing with ES and MSP is
 90 from 2008 (see Figure SM 1, for the temporal evolution of number of papers published).
 91 After a first detailed screening, 58 publications were selected as providing relevant
 92 information for the scope of the present research (see Table SM 1 for the list of the
 93 selected manuscripts and Table SM 2 for the articles excluded in the final selection (n=
 94 36)).

95 Selected publications were analysed and reported shortcomings to operationalizing the
 96 ecosystem services concept into EBMSPP were extracted and classified, resulting in nine
 97 commonly cited critical needs (see Table 1 for the definitions adopted). The critical needs
 98 were grouped into theoretical (i.e., classification and frameworks; development of
 99 indicators); technical and methodological (i.e., data availability; mapping and modelling;
 100 assessment and valuation; scenario and trade-offs) and societal and policy (i.e.,
 101 information society and information technology (IT); communication and engagement of
 102 stakeholders and society; integration into policies).

103

104 Table 1. Critical needs and their definition adopted in this research. Note: T: theoretical;
 105 TM: technical and methodological; and SP: societal and policy.

Critical need (and type)	Definition used in this research
Classifications and frameworks (T)	Schemes and definition of ecosystem services according to international or national designation. They are developed to support standardisation and facilitating comparison (e.g., CICES [27]). They should also facilitate the use of available data to spatially map and explore the pathways between ecosystem services, processes, and the ecological function responsible for ecosystem services provision.

Indicators (T)	Proxy measures derived from empirical data or modelled estimates of ecosystem status, functions and ecosystem services [45].
Data availability (TM)	The products and services that ensure that data are reliable, updated and continuously available.
Mapping and modelling (TM)	<i>Ecosystem services map</i> . Spatially explicit representation of ecosystem services production capacity within a given territory. Ecosystem services maps can be used for different purposes such as: problem identification, synergy trade-off analysis, visualization support and as a communication instrument [46]. <i>Ecosystem services model</i> . A graphical or mathematical representation of concepts or processes that is used to estimate links and quantify the delivery and flow of ecosystem benefits from marine systems under different ecological or socioeconomic scenarios [47].
Assessment and valuation (TM)	Assembling, summarizing, organizing, interpreting, and reconciling pieces of existing knowledge to measure the ecosystem services' economic, ecological, and social values (monetary or non-monetary); that can be used as an estimate of the contribution to human well-being [48].
Scenario and trade-offs (TM)	<i>Scenario</i> . Storyline that describes possible futures. They explore aspects of, and choices about, the future that are uncertain. Scenarios can include qualitative descriptions of changes (i.e., a narrative) and quantitative representations [49] of potential economic, environmental, social or technical developments and their expected consequences on society and environment [50]. <i>Trade-offs</i> . When the provision of one service is reduced as a consequence of increased use of another [45].
Information society and Information Technology (SP)	Post-industrial society which benefits from the application of information technologies (IT) to support production and distribution of all kinds of information.
Communication and engagement of stakeholders and society (SP)	Participatory approaches that foster articulation and elicitation of values allowing the integration of different value dimensions to inform decision-making processes.
Integration into policies (SP)	Process of assimilation of the ecosystem services concept into national and supra-national policymaking.

106 2.2. Case studies

107 A call for contributions dealing with experiences in “Operationalizing Ecosystem
108 Services in Support of Ecosystem-based Maritime Spatial Planning” for a workshop at
109 the European Ecosystem Services Partnership Conference of 2018 (San Sebastian, Spain)
110 was launched [51]. Case studies were selected according to a set of criteria that included
111 the objective of the study, area in which the research was conducted (i.e., coastal,

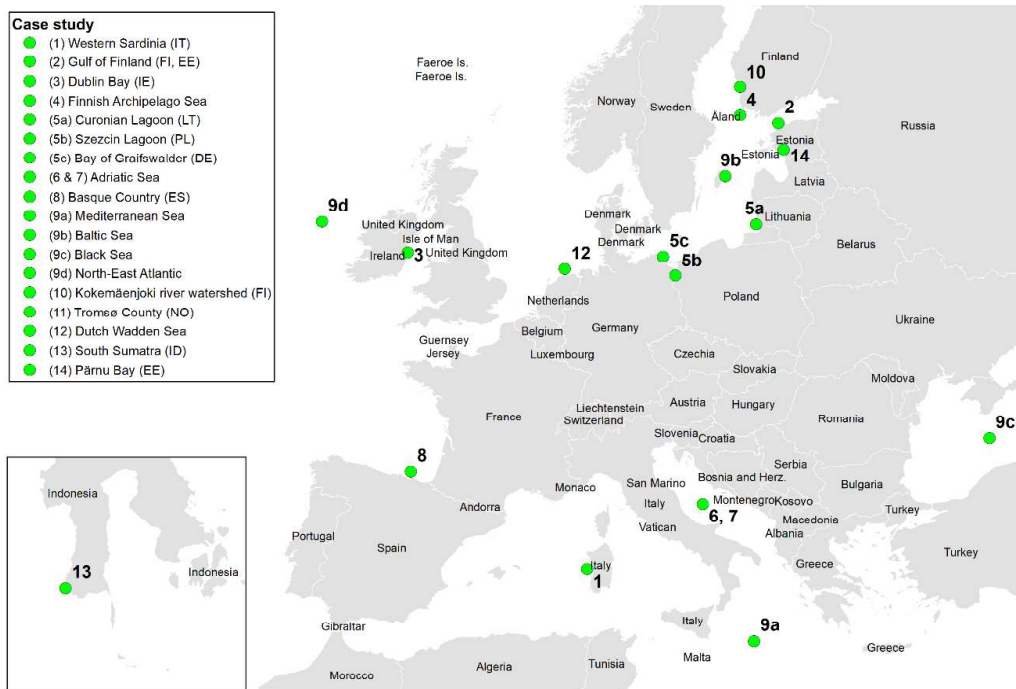
112 offshore), the scale of the analysis (i.e., local, regional, international), the ecosystem
113 services analysed, methods implemented, and the relevant outcomes and lessons learnt
114 during the implementation of ecosystem services in EBMSp. After the workshop, case
115 study leaders were invited to contribute to the present research by sharing and discussing
116 their experiences in integrating ecosystem services approaches into EBMSp.

117 In total, 14 case studies were considered, which were distributed in 13 countries across
118 Europe's four regional seas (Figure 2 and Table 2). Seven case studies were regional, four
119 transnational and three were local. In seven case studies the research considered the
120 integrated assessment of coastal and open sea ecosystems and three were purely open sea
121 and one was a review study, therefore not location specific. To note is that CS5 includes
122 three sub-areas (Greifswald Bay - Germany, Szczecin Lagoon - Poland, Curonian Lagoon
123 - Lithuania), CS9 included four regional seas (i.e., Black Sea, Baltic Sea, Mediterranean
124 Sea and North east Atlantic), CS6 refers to the Italian Adriatic Sea, and CS7 considers
125 the entire Adriatic-Ionian Region (CS7). CS5 and CS9 used the same methodologies for
126 their respective sub-areas, while for CS6 and CS7 distinct ecosystem services assessment
127 methods were applied.

128 With the aim of collecting information on experiences and lessons learnt when
129 operationalizing ecosystem services into EBMSp, a questionnaire was distributed among
130 researchers and experts responsible for the case studies (in June 2019). The questionnaire
131 was composed of the following questions:

- 132 • *In which context was the approach implemented? (i.e., purely research, consultancy,*
133 *under request to inform managers?)*
- 134 • *Have the results obtained in this research been used to assist/inform any MSP*
135 *process? Which one? In which country/region? How?*
- 136 • *Which are the main weaknesses of the approach implemented in terms of its*
137 *applicability in EBMSp?*
- 138 • *Which are the main strengths of the approach implemented in terms of its*
139 *applicability in EBMSp?*

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140 Figure 2. Geographical distribution of case studies (CS). Note: CS5 includes sites a, b, c;
141 CS9 includes site a, b, c and d; the Adriatic Sea has two distinct case studies (i.e., CS6
142 and CS7).
143
144

145 Table 2. Case study overview (see Table SM 3 for detailed description of each case study).
146 MSP: marine spatial planning.

Case study title	Geographic location
1 Operationalizing ecosystem services in support of conservation measures of marine-coastal protected areas in Sardinia Region (Italy).	West Coast of Sardinia Island (IT).
2. Mapping cumulative risk to marine ecosystem services provided by benthic habitats in the Gulf of Finland.	Gulf of Finland (FI, EE).
3. Valuing coastal cultural ecosystem services to inform MSP.	Dublin Bay (IE).
4. Optimizing the management of multiple ecosystem services - case study from the Finnish Archipelago Sea.	Finnish Archipelago (FI).
5 a,b,c. Assessing and mapping changes in ecosystem services provision: examples from Baltic transitional waters bodies.	Graiřswald Bay (GE), Szeecin Lagoon (PL), Curonian Lagoon (LT).
6 The socio-ecological dimension of multi-use sea spaces.	Italian Adriatic Sea (IT).
7 Marine ecosystem services trade-off assessment: a methodological approach to inform MSP.	Adriatic-Ionian Region (AIR).
8 Analysing the dependencies of marine activities and natural capital: a spatially explicit Bayesian Belief Network approach under the MSP framework.	Basque country (ES).

Case study title	Geographic location
9 a-d Linking marine ecosystems with the services they supply: which are the relevant services providing units?	European Regional Seas – North East Atlantic, Baltic Sea, Black Sea, Mediterranean Sea (all countries).
10 Stakeholders’ place-based knowledge supporting ecosystem-based MSP in Kokemäenjoki riverine landscape.	Kokemäenjoki river watershed (FI).
11 Mapping ecosystem services for coastal zone planning.	Troms County (NO).
12 A Bayesian Network Analysis of Trade-Offs between ecosystem services in the Dutch Wadden Sea.	Dutch Wadden Sea (NL).
13 Valuation of ecosystem services for a sustainable aquaculture development.	Southeast Asia - South Sumatra (ID).
14 Knowledge to decision in dynamic seas: novel species are jeopardizing the integrity of vital ecosystems and their functioning.	Gulf of Riga (EE).

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148 2.3. Information integration and analysis

149 Based on the responses received from case studies, general characteristics and objectives
150 together with information on the ecosystem services and implemented approaches were
151 collated. The reported experiences of limitations and needs for the operationalisation of
152 ecosystem services within MSP were classified according to the nine needs most
153 frequently identified during the literature review. The strengths and weaknesses of the
154 implemented approaches to overcome the limitations were also interpreted and classified.

155 3. Results and discussion

156 3.1. Operationalisation of ecosystem services into marine spatial planning

157 The main focus of the research in the reviewed case studies was the development,
158 implementation and testing of ecosystem services assessment and valuation methods for
159 supporting MSP, and the use of such information for communication and engagement
160 with stakeholders during MSP implementation processes. In fact, most of the case studies
161 were research-related projects linked to academia (12 out of 14) and only two were purely
162 consultancy projects (CS1 and CS9) (Table SM 3). Moreover, three case studies reported
163 that the outcomes of the research were already used to inform or support MSP plans,

164 whilst five case studies that the outcomes were planned to be used in MSP plans (Table
165 SM 3).

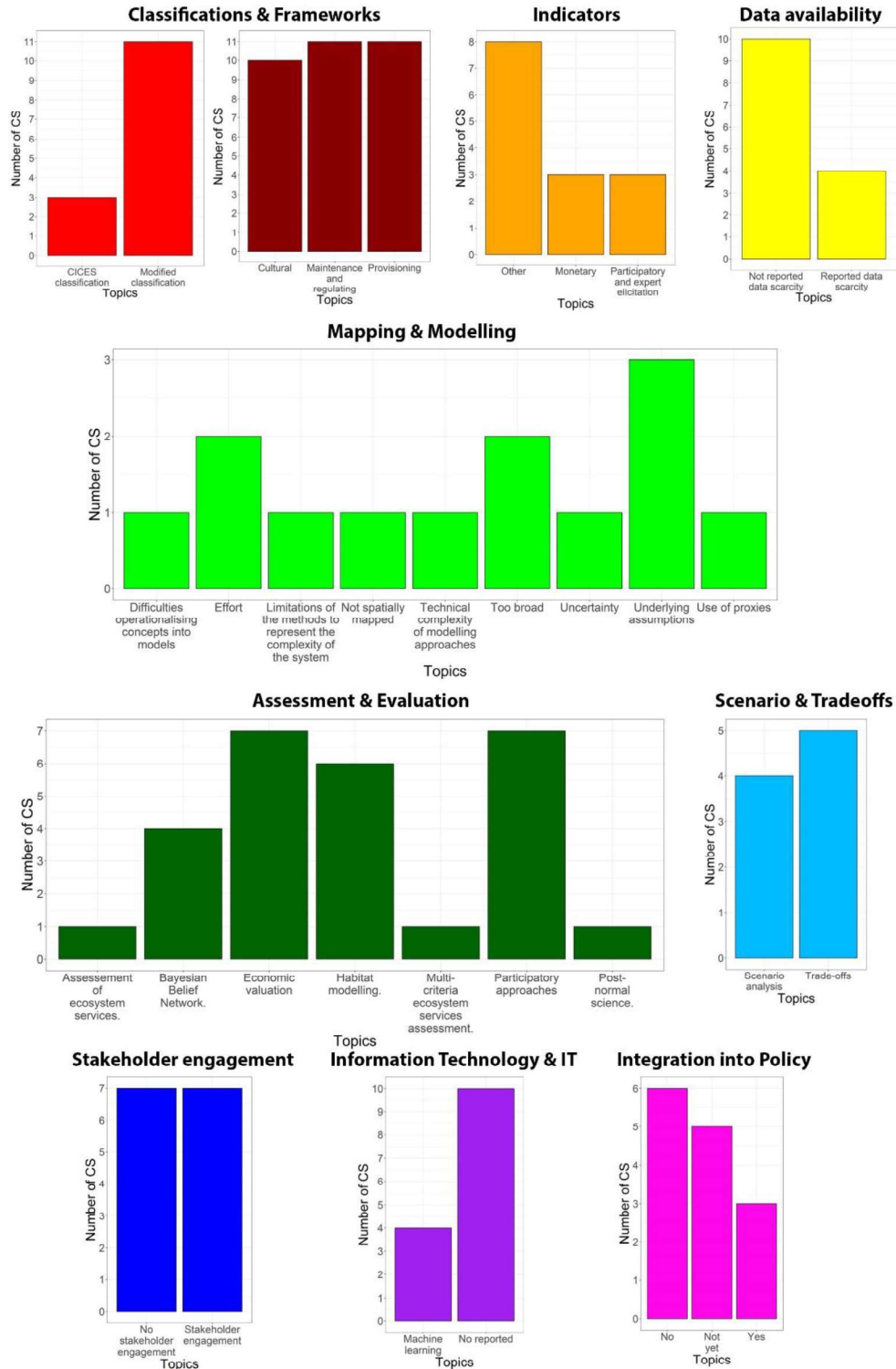
166 The ecosystem services assessed and the methodology implemented in each case study,
167 is shown in Table 3; whereas an overview of the topics assessed are provided in Figure
168 3. The strengths and weaknesses reported by case studies during the development and
169 implementation of approaches for operationalisation of ecosystem services into MSP are
170 provided in Table SM 4. In the subsequent sections, we describe and discuss the outcomes
171 derived from the case studies.

172

173 Table 3. Overview of the ecosystem services assessed and methodology implemented in each case study. Each case study reported the main
174 limitation and associated needs for operationalisation of ecosystem services into marine spatial planning, according to the classification derived
175 from the literature review: A: Classifications and frameworks; B: Indicators; C: Data availability; D: Mapping and modelling; E: Assessment and
176 evaluation; F: Scenario and trade-offs; G: Communication & engagement of stakeholders & society; H: Information society & information
177 technologies; and I: Integration into policies. Note: AM: Assessment method; CE: communication and engagement with stakeholders; I: indicators,
178 SB: scenario-based analysis; T/S: Trade-off/synergy; GIS: Geographic Information System; MSP: marine spatial planning.

Case study	Ecosystem services assessed	Ecosystem service type	Applied methodology	Reported needs																
				A	B	C	D	E	F	G	H	I								
1	Cultural	Cultural	(AM) Market price. (I) Monetary value.																	
2	All	Provisioning Maintenance and regulating Cultural	(AM) Habitat model; cumulative effect assessment. (I) Species distribution index; filtration index; environmental risk index. (CE) Environmental risk tolerability.																	
3	Cultural	Cultural	(AM) Participatory mapping geo-tagged analysis. (I) Number of respondents. (CE) Public survey based on a questionnaire.																	
4	Habitat maintenance Recreational	Provisioning Maintenance and regulating Cultural	(AM) Bayesian Belief Network; stakeholder analysis. (I) Biomass; number of individuals; nutrient load. (SB) Climate scenarios and impact on local stakeholders. (CE) Stakeholder questionnaires for ecosystem effects to recreation.																	
5	All	Provisioning Maintenance and regulating Cultural	(AM) Participatory scenario; expert-based elicitation. (I) Marine Ecosystem Services Assessment Tool (MESAT index). (SB) Management scenarios in MSP.																	
6	Food from fish Habitat maintenance Recreational	Provisioning Maintenance and regulating Cultural	(AM) Multi-criteria ecosystem services assessment; habitat modelling. (I) Suitability index for multi-use development. (T/S) Synergy of ecosystem services in a multi-use context.																	

				(CE) Experts based probability scoring.															
13	Food from fish Ecosystem services in mangroves	Provisioning Maintenance and regulating Cultural		(AM) Cost-Benefit Analysis; market value; replacement cost; benefit-transfer; carbon credits; contingent valuation; Bayesian Belief-Networks; post-normal science. (I) Monetary and non-monetary values. (T/S) Trade-offs between aquaculture and environmental benefits. (CE) Contingent valuation methods.															
14	Food from fish Water filtration Habitat maintenance	Provisioning Maintenance and regulating		(AM) Habitat model; Cumulative Effect Assessment tool.															



180
 181 Figure 3. Overview of the main topics analysed in the case studies with respect to the
 182 critical needs to operationalize ecosystem services into marine spatial planning. Note
 183 MSP: marine (or maritime) spatial planning; CICES: Common International
 184 Classification of Ecosystem Services; IT: information technology.
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186 3.1.1. Ecosystem services classifications and frameworks

187 Categorizing and describing ecosystem services is the basis of any attempt to measure,
188 map or valorisation (Czucz et al., 2018). Moreover, ecosystem service classes are
189 intended to guarantee unequivocal understanding and avoid double accounting. In the
190 present study, only three out of 14 case studies reported the use of the CICES [27]
191 classification, while 11 case studies used modified or adapted ecosystem services
192 classifications. The limited use of existing ecosystem frameworks reinforces the need for
193 the adaptation of existing classifications for marine ecosystems, to better suit policy and
194 management [36], and in particular for the purpose of MSP [31]. In that sense, two of the
195 case studies (i.e., CS2 and CS14), aimed at the development of a single analysis
196 framework and the standardisation of methodologies.

197 In terms of the number of ecosystem services approached, in 13 of the case studies two
198 or more ecosystem services were assessed. Provisioning services (e.g., aquaculture,
199 seafood from wild animals) and maintenance and regulating services (e.g., habitat
200 maintenance, nutrient regulation) were assessed in 11 of the case studies; whereas cultural
201 services (recreation, cultural heritage) were assessed in 10 of the case studies (Table 3
202 and Figure 3).

203 3.1.2. Indicators

204 Indicators are considered as the starting point for ecosystem service assessments within
205 MSP [31, 52]. Indicators directly related to MSP could be those linked to provisioning
206 services (i.e., fisheries and aquaculture) and cultural services (i.e., recreational activities).
207 Nevertheless, the relevance of indicators related to maintenance and regulating services,
208 which are supporting other services, should be highlighted, and indicators linked to
209 environmental status (e.g., MSFD indicators) [53]. Most indicators used in each case
210 study were specific to the main aims or focus of the research (e.g., specific ecosystem
211 services or maritime activities), highlighting the lack of consistency and harmonization
212 of indicators (Table 3). Monetary value indicators were used in three of the case studies,
213 whilst indicators related to public participation and stakeholders engagement were used
214 in three case studies. The rest of the indicators were diverse, related to regulating and
215 maintenance service proxies such as, *inter alia*, environmental risk, filtration, indicators
216 related to the cumulative effect of human activities, habitat maintenance.

217 3.1.3. Data availability

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2 218 The main challenges related to the mapping of coastal and marine ecosystem services are
3
4 219 the lack of geo-referenced data with sufficient resolution [54], quantitative data [55], and
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6 220 bio-physical data on ecosystem functioning over space and time. Data availability was
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8 221 frequently reported by case studies as one of the main barriers when operationalizing
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10 222 ecosystem services into MSP processes (four out of 14) (Table SM4). The approaches
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12 223 implemented in the case studies, especially when modelling techniques were used for
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14 224 ecosystem services assessment and mapping, are very data driven and thus, dependent on
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16 225 environmental and socioeconomic data availability. Moreover, the reliability of the
17
18 226 models' outcomes depends on the amount and accuracy of data. Limitations on data
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20 227 availability are not unique to marine systems, but are a constraint on the application and
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22 228 limit the progress being made in the operationalisation of ecosystem services (Townsend
23
24 229 *et al.*, 2018).

230 3.1.4. Mapping and modelling

231 Modelling was the most used approach for mapping and assessment of ecosystem
232 services. It was applied in nine of the case studies (Table 3) and the strengths and potential
233 of modelling approaches for producing geo-referenced information was highlighted
234 (Table SM 4) (Figure 3). The approaches implemented tried to gain an understanding of
235 the linkages between marine ecosystems and human activities (e.g. CS7 and CS8) [9, 56].
236 When data are available, models are based on empirical evidence; thus, modelling
237 approaches can give analytical support and inform about alternative management options
238 [6]. Multi-ecosystem service models are particularly useful to policy-makers if they can
239 help illustrate potential trade-offs between economic development and ecosystem
240 services provision (e.g. CS9) (Nelson and Daily, 2010). Nevertheless, the most common
241 limitations reported by case studies were commonly related to background assumptions
242 and proxies needed to run models. This includes difficulties operationalising concepts
243 into models and the limitations of the models to represent the complexity of the system.
244 It also includes a lack of knowledge on how to convert different structural elements (e.g.,
245 biophysical components) into the functioning of ecosystems to derive values of
246 ecosystem services. Case studies also reported the effort needed during model
247 development due to technical complexity of modelling approaches (Table SM4).

248 3.1.5. Assessment and valuation

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2 249 The diversity of approaches implemented in the case studies needs to be highlighted
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4 250 (Table 3 and Figure 3). The most common approach used was the participatory mapping
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6 251 (in seven of the case studies), which is useful when there is a lack of scientific data
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8 252 available and when investigating socio-cultural value given by society [40, 57], and
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10 253 economic valuation (e.g. market price, benefit-transfer, carbon credits, contingent
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12 254 valuation, cost-benefit analysis). The second most used approach was habitat modelling
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14 255 (including cumulative effect assessment) (in six of the case studies). Habitat modelling
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16 256 and mapping is a commonly used approach to link the distribution of habitats to the
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18 257 ecosystem services they provide [58, 59]. Bayesian Belief Networks (in four of the case
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20 258 studies) was also reported as a commonly used approach. The strength of the Bayesian
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22 259 approach is that it allows inclusion of data from different sources and can be carried out
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24 260 even if some data are missing (Table SM 4).

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26 261 One of the most important strengths of the information on ecosystem services assessment
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28 262 and spatial distribution reported by case studies (Table SM 4), was its relevance for
29
30 263 management purposes, as it can be used by managers to take environmental, social and
31
32 264 economic factors into consideration (Börger *et al.*, 2014). However, the assessment and
33
34 265 valuation of ecosystem services is also dependant on previously highlighted limitations
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36 266 such as methodological challenges and data availability.

37 38 267 3.1.6. Scenarios and trade-offs

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41 268 Scenario analysis supports the assessment of the potential economic, environmental,
42
43 269 social consequences and trade-offs of management measures. In nine of the case studies
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45 270 a scenario or trade-off analysis was performed. Scenarios were defined for climate change
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47 271 and impact on local stakeholders (CS4), and the definition of management scenarios in
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49 272 MSP (CS5 and CS7). Scenario and trade-off analysis can assist the assessment of
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51 273 activities that benefit from the same resources and allow exploration of different planning
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53 274 and marine activities distribution configurations (e.g., CS7 and CS13) (Coccoli *et al.*,
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55 275 2018). Thus, it is of high relevance for EBMSP and decision making.

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57 276 Five of the case studies mentioned made use of trade-offs for comparison of
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59 277 environmental benefits and potential human activities (e.g., aquaculture facilities or
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61 278 fishery); assessment of agricultural run-offs and coastal ecosystem services; and

279 sustainable fishery management. Thus, the analysis to inform EBMSMP adopts different
280 types of ecosystem services interactions (non-interacting services, direct trade-off, etc.)
281 to find the optimal ocean space which is appropriate for human activities to reduce
282 conflicts and achieve ecological, economic and social objectives [60].

283 **3.1.7. Communication and engagement of stakeholders and society**

284 A key opportunity of ecosystem services research is to facilitate communication with
285 decision makers in a way that can be easily understood and used to make informed
286 decisions (Wright *et al.*, 2017). In seven of the 14 case studies analysed, different types
287 of communication and society engagement actions were adopted. The main engagement
288 instruments were meetings and questionnaires to address, for instance, the effects of
289 ecosystem components on recreational experience and livelihood or to address the
290 feasibility of ocean multi-use [43] (Table 3). Case studies acknowledged that society
291 should have an active role at different stages of the ecosystem services assessment
292 process. In data scarce situations in particular, stakeholder involvement significantly
293 contributes to: (i) data collection (e.g. social media, information on the use patterns,
294 valuation and perceptions); (ii) conceptual model construction (establishing relationships
295 between ecosystem and users); (iii) model validation; (iv) mapping and assessment results
296 validation (critical concepts for the implementation of ecosystem services into EBMSMP)
297 (Table 3). One strength of stakeholder engagement and consultation processes reported
298 by case studies, was that it gives the opportunity to understand non-monetary values of
299 ecosystem services, which are difficult if not impossible, to measure using monetary
300 valuation methods (e.g. aesthetic value, value of existence) (Table SM 4). It enables a
301 comprehensive evaluation of policy impacts, which is dependent on the incorporation of
302 the diversity of stakeholders' perceptions, knowledge and preferences [61, 62]. Moreover,
303 without detailed knowledge of the human dimensions of the marine environment,
304 decision-makers are likely to face continued resistance to forms of management that
305 spatially restrict the use of the marine environment (St. Martin and Hall-Arber, 2008).

306 **3.1.8. Information society and information technology**

307 Advances in information technologies are revolutionizing marine monitoring programs
308 and data processing capabilities, opening up novel opportunities for EBMSMP (St. Martin
309 and Hall-Arber, 2008). New technological advances such as artificial intelligence and

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310 machine learning have increased application in ecosystem service assessment (Villa et
311 al., 2014) including four studies reviewed in this paper (Table 3) to predict ecosystem
312 services flows in a given geographic area. Machine learning algorithms may enable the
313 use of increasingly available ‘big data’ and assist applying ecosystem services models
314 across scales, analysing and predicting the flows of these services to disaggregated
315 beneficiaries (Willcock et al., 2018). An emerging application of big data in ecosystem
316 services assessment is the use of social media data (e.g. Twitter, Flickr, Panoramio) to
317 address cultural values, such as people’s preference for recreational areas (Cornu et al.,
318 2014) and landscape beauty (Wood et al., 2013).

319 **3.1.9. Integration into policies and management**

320 Although the relevance of ecosystem services for the optimal performance and
321 sustainable growth of maritime sectors is recognised, and a substantial part of the
322 scientific literature provides theoretical insights into marine ecosystem services
323 integration into MSP processes [63], the practical integration of ecosystem services into
324 EBMSMP processes is still incipient. In fact, only three case studies informed an official
325 MSP process, but five cases reported that the outcomes of the research were expected to
326 be used in MSP in the near future (Table SM 3).

327 **3.2. Ecosystem services and marine spatial planning framework**

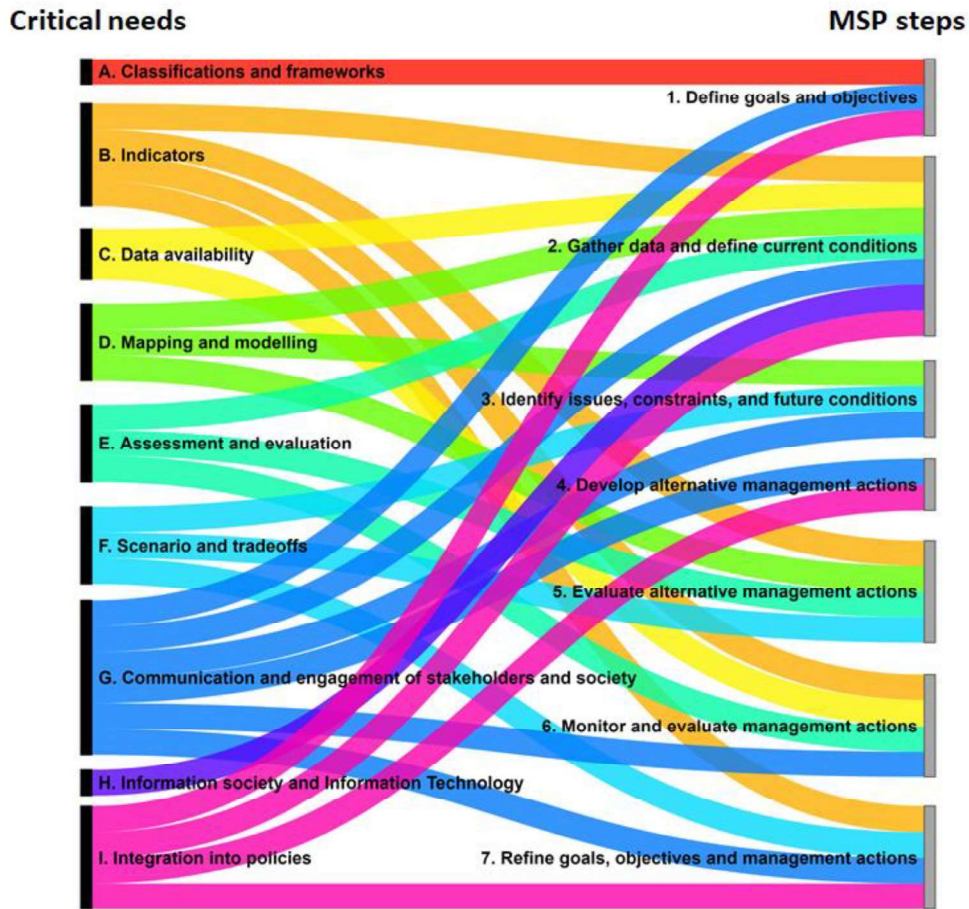
328 Ecosystem services offer an interesting framework for integrating economic,
329 environmental, and social concerns into EBMSMP [64], but successful implementation is
330 limited by the critical needs described in the previous section. The links between the nine
331 critical needs identified during the bibliographic review and further investigated in the
332 case studies analysed (A-I left column) when operationalizing the ecosystem services
333 approach with generic MSP implementation steps (1-7 adopted from Ehler and Douvère
334 [65] are shown in Figure 4.

335 Data, information and knowledge gathering for the definition of current conditions (step
336 2 in MSP implementation process, with seven links to ecosystem services critical needs),
337 together with communication and engagement of stakeholders and society (with six links
338 to MSP implementation steps), are key linkages between ecosystem services and MSP.
339 In an early stage of an MSP implementation process, a clear definition, classification,

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340 understanding and assessment of the ecosystem services present within the planning area
341 is critical when defining the objectives of the whole plan; and when establishing the
342 strategic and specific environmental, social and economic objectives. Moreover,
343 communication and engagement actions also contribute to the identification of users and
344 stakeholder groups according to the benefits they obtain from ecosystem services; as well
345 as their dependency on them, which is necessary for the definition of the MSP objectives.
346 The most relevant (and vulnerable) stakeholders can be involved in the process through
347 participatory approaches, increasing the legitimacy and social impact of the MSP.

348 A clear definition of ecosystem services is a key element that affects the whole MSP
349 implementation process such as avoiding double accounting when assessing the current
350 condition (step 2); as well as when assessing and evaluating alternative management
351 actions (step 5). Linked to each ecosystem service type, the use of environmental,
352 economic and social indicators is an essential requirement for ecosystem services
353 assessment and the MSP implementation process [31, 52] (step 2 in MSP). When defining
354 the current condition, the process of mapping and assessment of ecosystem services can
355 be used to better understand the spatial distribution of the current ecosystem services
356 supply, flow and demand, by linking intensity of human activities and economic benefits
357 obtained [56, 66-68]. The definition of current condition also considers the assessment of
358 environmental status (e.g. as defined by MSFD), as it is linked to ecosystem services
359 provision capacity [53]; and thus, it determines the distribution of maritime activities. The
360 assessment of environmental condition could also determine the adoption of specific
361 conservation and restoration measures, which could also influence the distribution of
362 maritime activities.



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364 Figure 4. Links between the critical needs for operationalisation of ecosystem services
 365 (left) and the marine spatial planning implementation steps (right). Note: MSP - marine
 366 (maritime) spatial planning.

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368 When identifying issues, constraints, and future conditions (step 3 in MSP), ecosystem
 369 services modelling, mapping and assessment approaches can inform and support the
 370 development and evaluation of management actions [60]. Scenario definition and analysis
 371 is of high relevance to identify potential conflicts and competition for space, especially
 372 between existing traditional sea uses, and new ones (e.g. development of offshore
 373 renewable energy production farms). Moreover, resulting outcomes from future scenarios
 374 helps the identification and assessment of trade-offs between different strategic
 375 management alternatives (step 4 in MSP). Currently, future conditions related to climate
 376 change effects are of high relevance [69]; especially when trying to anticipate potential

377 shifts of suitable areas for aquaculture production [70] and species of commercial interest
378 [71, 72].

379 Monitoring and evaluating the adopted management actions (step 5 in MSP), should
380 assess the achievement of environmental, social and economic objectives, for which the
381 ecosystem services assessment could provide highly relevant insights [73] (step 6 in
382 MSP). The information on the assessment or potential changes in the delivery of
383 ecosystem services and environmental status, should be used to support the re-definition
384 of goals, objectives, and management actions (step 7 in MSP) and to communicate to
385 stakeholders the results of the adopted management plan.

386 **4. Conclusions and recommendations**

387 In the last decade, the number of publications referring to ecosystem services and its
388 potential to inform MSP has increased significantly. But most of the published research
389 refers to theoretical frameworks and methodologies, with few of them describing practical
390 examples of consideration of ecosystem services in EBMSp. The complexity of the
391 approach is evident when considering the number of limitations on mapping and
392 assessment of ecosystem services and its operationalisation into MSP. According to our
393 scientific review the limitations could be grouped into nine types, which in turn define
394 the needs for operationalising ecosystem services into EBMSp. Moreover, 14 case studies
395 have been reviewed to further investigate the limitations of implementing ecosystem
396 services mapping, assessment, and valuation to support MSP and to derive
397 recommendations according to experiences, strengths and weaknesses of the approaches
398 implemented, to overcome such limitations.

399 According to the outcomes, the framing of the ecosystem services approach into EBMSp
400 requires further development and adaptation of common ecosystem services classification
401 systems to fully consider biogeographic features of the marine biome and all the
402 ecosystem services supplied. This is stressed by the number of publications and case
403 studies in which adapted classifications are used or proposed. The framework and
404 associated indicators should be agreed between scientists, managers and maritime sectors
405 representatives to reach a common understanding of the links and flows, between
406 ecosystems, maritime uses and beneficiaries. At present, the indicators used are very case
407 specific. The adoption of a common classification would increase transparency, which

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408 would contribute to the reliability and the operationalization of ecosystem service
409 concepts and its real use in policy making and management. Moreover, common
410 classification systems and concepts, would assist the production of comparable
411 assessments between countries and promote regional assessments and contribute to
412 EBMSP. Similarly, regional working groups involving core members of different
413 scientific disciplines and institutions, should be created to develop, discuss and agree on
414 methods and approaches to produce reliable and objective outcomes and
415 recommendations that may be used to inform policy and management. Particular focus
416 should be given to the integration of non-monetary and monetary valuation methods to
417 provide socio-economic indicators for the demand of ecosystem services that can better
418 explain the benefits to society. This is highlighted by the number of case studies that
419 implemented participatory approaches for gathering relevant information for modelling,
420 mapping and assessment of ecosystem services.

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421 The definition of ecosystem services indicators should be linked to environmental status
422 and tailored to an EBMSP relevant spatial scale. Broszeit, Beaumont [53] identified 247
423 biodiversity indicators proposed for the MSFD, as potentially useful ecosystem services
424 indicators. This could be an essential starting point to analyse the benefits of improved
425 environmental status, as well as the costs associated with degradation. Indicators should
426 ideally link ecosystem services supplied by marine ecosystems to socio-economic
427 activities. This is an essential aspect to better understand the potential social, economic
428 and environmental trade-offs among different sectors depending on marine resources and
429 the environmental status.

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430 Geospatial information is one of the main requirements of integrating an ecosystem
431 services approach into EBMSP, and according to the outcomes derived from case studies
432 is one of the main strengths of the approaches implemented. In addition, the graphical
433 representation of the distribution of ecosystem services also facilitates communication
434 and discussion with stakeholders. This communication could be improved by developing
435 visualization tools which should be made available to society. New web-platforms or
436 mobile applications can create opportunities to reach to bigger audience numbers and get
437 information from them. This recommendation is also linked to the fact that planning
438 teams should be interdisciplinary, with sectorial involvement and ensuring public
439 participation oriented to the actual ecosystem services beneficiaries on local and regional

1 440 scales. Stakeholder knowledge and preferences should be elicited to understand the socio-
2 441 ecological and cultural values of marine resources.

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4 442 Data availability is one of the main limitations when assessing and modelling ecosystem
5 services according to the literature and the case studies reviewed. New approaches should
6 443 benefit from recent technological developments in data-driven-modelling (DDM), such
7 444 as Artificial Intelligence to process ‘big data’ that can assist in analysing ecosystem
8 445 services across scales, predict flows and disclose disaggregated ecosystem services
9 446 beneficiaries. Nevertheless, data scarcity should not prevent ecosystem services
10 447 assessments from being carried out and expert judgement approaches should be further
11 448 promoted. As new data are available; they should be used to update the assessment and
12 449 to improve models results to reduce uncertainties. A significant number of case studies
13 450 reviewed reported that it is essential to reduce uncertainty and to increase the reliability
14 451 of assessment and valuation of ecosystem services to be used in real management plans
15 452 development. Institutions should ensure mechanisms that give access to regional but also
16 453 national and often fine scaled ecosystem service data by using existing MSP related
17 454 geospatial data platforms. The interoperability among data storage and processing
18 455 systems should be guaranteed to further facilitate this process. Moreover, updated data
19 456 availability and quality should be ensured to keep models and Decision Support Tools
20 457 operational.
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59 459 The capacity of modelling approaches to produce scenarios is a frequently reported
60 460 strength. Scenario-based models should be implemented to explore EBMSMSP impacts
61 461 and/or benefits to ecosystem services provision, and vice versa. Scenario analysis can be
62 462 used to include society preferences of what future would they prefer and can improve
63 463 transparency in EBMSMSP decision-making processes. Further, the use of trade-off analysis
64 464 techniques should be consolidated to better understand and communicate intra-sectorial
65 465 environmental and socio-economic conflicts of planning decisions. Also, the integration
66 466 of the ecosystem services concepts within global change phenomena such as climate
67 467 change, can provide further advancement in the integration and provide novel insights
68 468 into climate change adaptation strategies.

59 469

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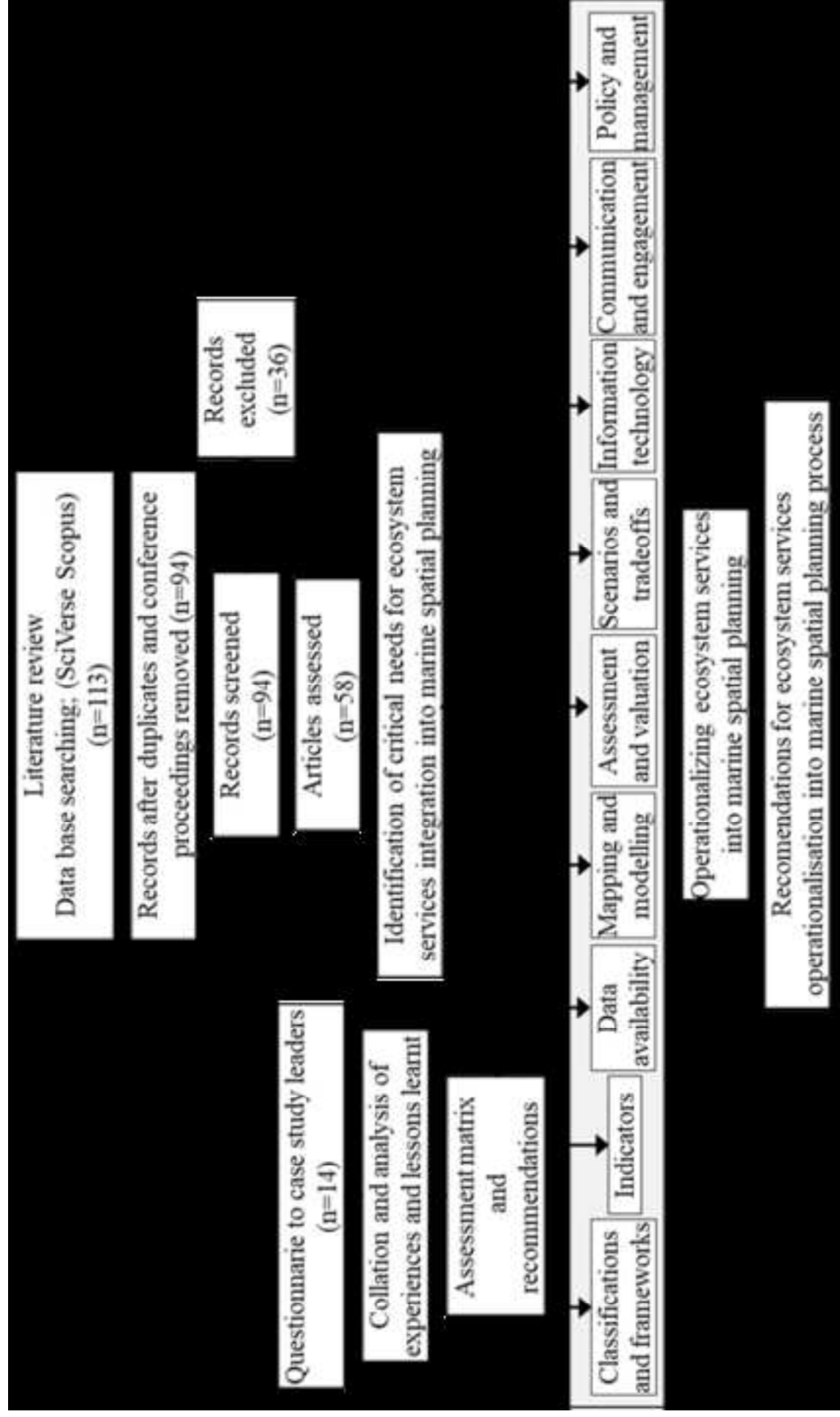


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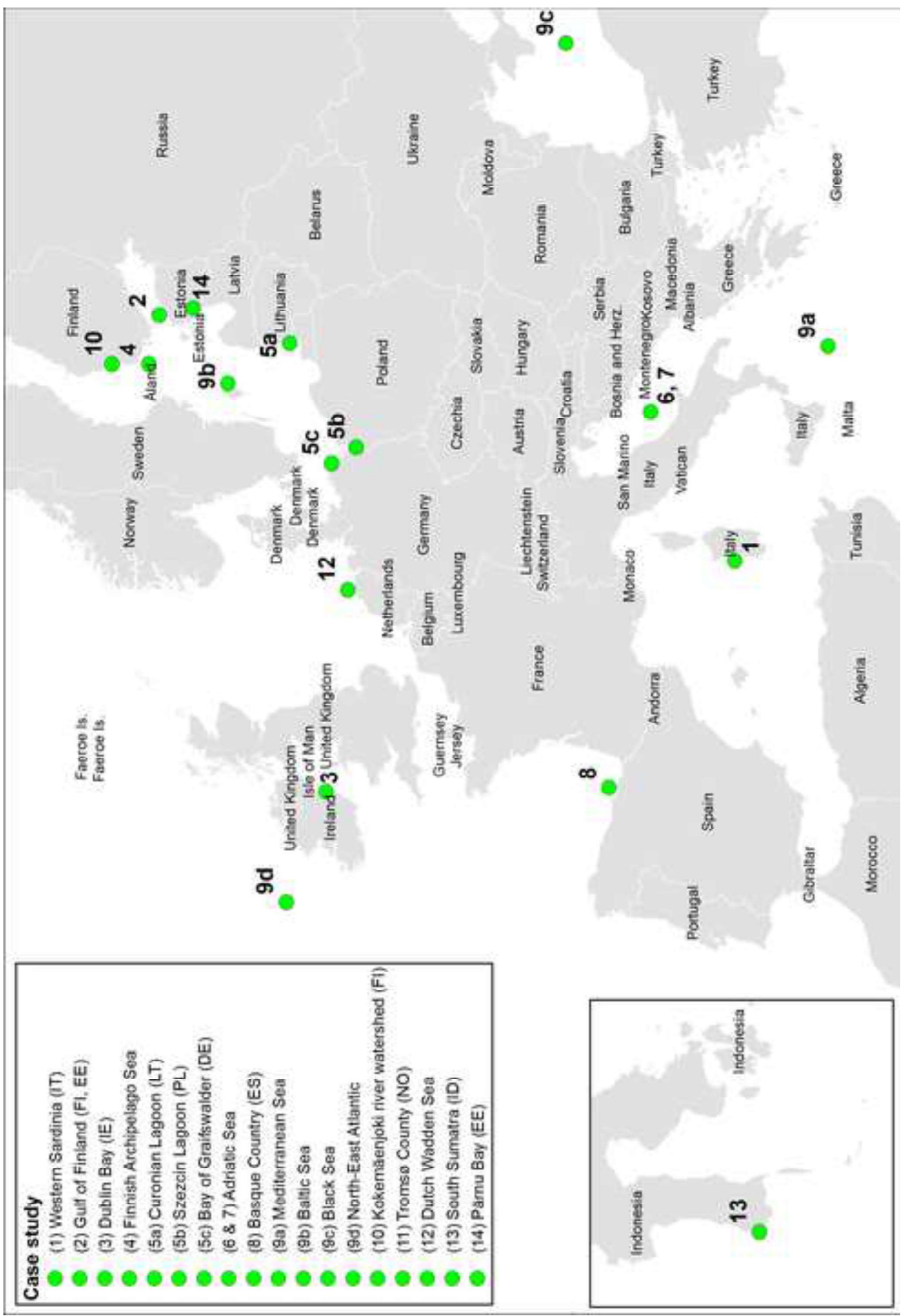


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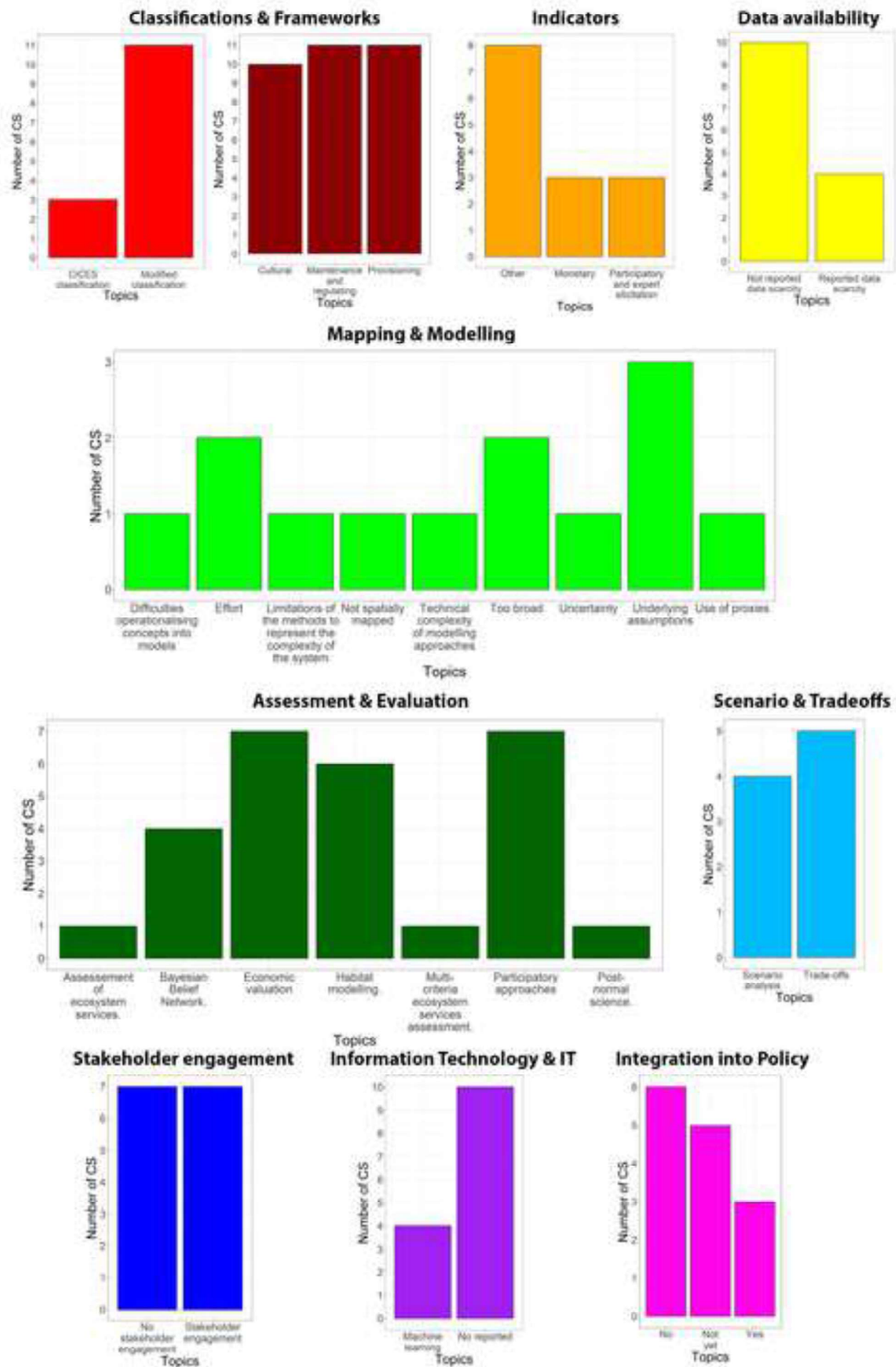


Table 1. Critical needs and their definition adopted in this research. Note: T: theoretical; TM: technical and methodological; and SP: societal and policy.

Critical need (and type)	Definition used in this research
Classifications and frameworks (T)	Schemes and definition of ecosystem services according to international or national designation. They are developed to support standardisation and facilitating comparison (e.g., CICES [27]). They should also facilitate the use of available data to spatially map and explore the pathways between ecosystem services, processes, and the ecological function responsible for ecosystem services provision.
Indicators (T)	Proxy measures derived from empirical data or modelled estimates of ecosystem status, functions and ecosystem services [45].
Data availability (TM)	The products and services that ensure that data are reliable, updated and continuously available.
Mapping and modelling (TM)	<i>Ecosystem services map</i> . Spatially explicit representation of ecosystem services production capacity within a given territory. Ecosystem services maps can be used for different purposes such as: problem identification, synergy trade-off analysis, visualization support and as a communication instrument [46]. <i>Ecosystem services model</i> . A graphical or mathematical representation of concepts or processes that is used to estimate links and quantify the delivery and flow of ecosystem benefits from marine systems under different ecological or socioeconomic scenarios [47].
Assessment and valuation (TM)	Assembling, summarizing, organizing, interpreting, and reconciling pieces of existing knowledge to measure the ecosystem services' economic, ecological, and social values (monetary or non-monetary); that can be used as an estimate of the contribution to human well-being [48].
Scenario and trade-offs (TM)	<i>Scenario</i> . Storyline that describes possible futures. They explore aspects of, and choices about, the future that are uncertain. Scenarios can include qualitative descriptions of changes (i.e., a narrative) and quantitative representations [49] of potential economic, environmental, social or technical developments and their expected consequences on society and environment [50]. <i>Trade-offs</i> . When the provision of one service is reduced as a consequence of increased use of another [45].
Information society and Information Technology (SP)	Post-industrial society which benefits from the application of information technologies (IT) to support production and distribution of all kinds of information.
Communication and engagement of stakeholders and society (SP)	Participatory approaches that foster articulation and elicitation of values allowing the integration of different value dimensions to inform decision-making processes.
Integration into policies (SP)	Process of assimilation of the ecosystem services concept into national and supra-national policymaking.

Table 2. Case study overview (see Table SM 3 for detailed description of each case study).
MSP: marine spatial planning.

Case study title	Geographic location
1 Operationalizing ecosystem services in support of conservation measures of marine-coastal protected areas in Sardinia Region (Italy).	West Coast of Sardinia Island (IT).
2. Mapping cumulative risk to marine ecosystem services provided by benthic habitats in the Gulf of Finland.	Gulf of Finland (FI, EE).
3. Valuing coastal cultural ecosystem services to inform MSP.	Dublin Bay (IE).
4. Optimizing the management of multiple ecosystem services - case study from the Finnish Archipelago Sea.	Finnish Archipelago (FI).
5 a,b,c. Assessing and mapping changes in ecosystem services provision: examples from Baltic transitional waters bodies.	Graifswald Bay (GE), Szczecin Lagoon (PL), Curonian Lagoon (LT).
6 The socio-ecological dimension of multi-use sea spaces.	Italian Adriatic Sea (IT).
7 Marine ecosystem services trade-off assessment: a methodological approach to inform MSP.	Adriatic-Ionian Region (AIR).
8 Analysing the dependencies of marine activities and natural capital: a spatially explicit Bayesian Belief Network approach under the MSP framework.	Basque country (ES).
9 a-d Linking marine ecosystems with the services they supply: which are the relevant services providing units?	European Regional Seas – North East Atlantic, Baltic Sea, Black Sea, Mediterranean Sea (all countries).
10 Stakeholders' place-based knowledge supporting ecosystem-based MSP in Kokemäenjoki riverine landscape.	Kokemäenjoki river watershed (FI).
11 Mapping ecosystem services for coastal zone planning.	Troms County (NO).
12 A Bayesian Network Analysis of Trade-Offs between ecosystem services in the Dutch Wadden Sea.	Dutch Wadden Sea (NL).
13 Valuation of ecosystem services for a sustainable aquaculture development.	Southeast Asia - South Sumatra (ID).
14 Knowledge to decision in dynamic seas: novel species are jeopardizing the integrity of vital ecosystems and their functioning.	Gulf of Riga (EE).

Author statement

Ibon Galparsoro: Conceptualization, Methodology, Formal analysis, Investigation, Visualization, Writing - Original Draft, Review & Editing, Supervision.

Kemal Pınarbaşı: Conceptualization, Methodology, Formal analysis, Investigation, Visualization, Writing - Original Draft, Review & Editing.

Elena Gissi: Formal analysis, Investigation, Review & Editing.

Fiona Culhane: Formal analysis, Investigation, Review & Editing.

Jordan Gacutan: Formal analysis, Investigation, Review & Editing.

Jonne Kotta: Formal analysis, Investigation, Review & Editing.

David Cabana: Formal analysis, Investigation, Review & Editing.

Sonja Wanke: Formal analysis, Investigation, Review & Editing.

Robert Aps: Formal analysis, Investigation, Review & Editing.

Daniel Bazzucchi: Formal analysis, Investigation, Review & Editing.

Giacomo Cozzolino: Formal analysis, Investigation, Review & Editing.

Marco Custodio: Formal analysis, Investigation, Review & Editing.

Mihhail Fetissov: Formal analysis, Investigation, Review & Editing.

Miguel Inácio: Formal analysis, Investigation, Review & Editing.

Susanna Jernberg: Formal analysis, Investigation, Review & Editing.

Alessandro Piazzini: Formal analysis, Investigation, Review & Editing.

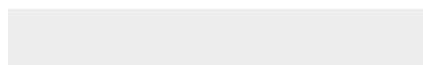
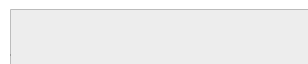
Keshav Prasad Paudel: Formal analysis, Investigation, Review & Editing.

Alex Ziemba: Formal analysis, Investigation, Review & Editing.

Daniel Depellegrin: Conceptualization, Methodology, Formal analysis, Investigation, Visualization, Writing - Original Draft, Review & Editing.

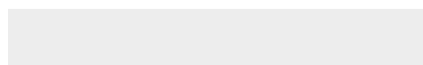
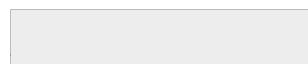


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