# Consumption of ultra-processed foods associated with weight gain and obesity in adults: a multi-national cohort study

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# **Competing Interests**

The authors declare no competing financial interests

#### Abstract

**Background:** There is a worldwide shift towards increased consumption of ultra-processed foods (UPF) with concurrent rising prevalence of obesity. We examined the relationship between the consumption of UPF and weight gain and risk of obesity.

**Methods:** This prospective cohort included 348 748 men and women aged 25-70 years. Participants were recruited between 1992 and 2000 from 9 European countries in the European Prospective Investigation into Cancer and Nutrition (EPIC) study. Two body weight measures were available, at baseline and after a median follow-up time of 5 years. Foods and drinks were assessed at baseline by dietary questionnaires and classified according to their degree of processing using NOVA classification. Multilevel mixed linear regression was used to estimate the association between UPF consumption and body weight change (kg/5 years). To estimate the relative risk of becoming overweight or obese after 5 years we used Poisson regression stratified according to baseline body mass index (BMI).

**Results:** After multivariable adjustment, higher UPF consumption (per 1 SD increment) was positively associated with weight gain (0.12 kg/5 years, 95% CI 0.09 to 0.15). Comparing highest vs. lowest quintile of UPF consumption was associated with a 15% greater risk (95% CI 1.11, 1.19) of becoming overweight or obese in normal weight participants, and with a 16% greater risk (95% CI 1.09, 1.23) of becoming obese in participants who were overweight at baseline.

**Conclusions:** These results are supportive of public health campaigns to substitute UPF for less processed alternatives for obesity prevention and weight management.

#### Keywords

Ultra-processed foods, Obesity, NOVA, Weight gain, Europe, Adults

#### 1 Introduction

In 2016, more than 39% of the world population was affected by overweight or obesity (body 2 mass index, BMI  $\geq 25$  kg/m<sup>2</sup>) and it is projected that the prevalence of obesity will increase 3 further in the years to come<sup>1</sup>. Obesity is defined as a state of excess body fatness and is the 4 consequence of a sustained positive energy balance<sup>2</sup>. Dietary factors are among the many 5 factors that can contribute towards an energy imbalance. Several characteristics of foods and 6 drinks are known to influence the amount consumed, including energy density and portion size 7 8 <sup>2</sup>. Continued efforts are needed to identify additional modifiable factors for the prevention of weight gain and obesity  $^{3}$ . 9

Globally, the consumption of industrially processed foods, so-called ultra-processed foods (UPFs), increased in the last few decades <sup>4</sup> representing nowadays 50%–60% of daily energy intake in some high-income countries <sup>5–7</sup>. In contrast to fresh or minimally processed foods, UPFs tend to have a higher energy density <sup>8</sup>, and they can trigger a higher eating rate/energy intake rate <sup>9</sup>. These properties may result in energy overconsumption and weight gain when consuming a diet with a large proportion of UPFs <sup>8,9</sup>.

16 UPFs are defined by the NOVA food system classification as products formulated mostly or 17 entirely from food constituents, not found in home cooking, and culinary ingredients such as fat, sugar, and salt <sup>10</sup>. During manufacturing they undergo physical and chemical processes. 18 such as extruding, prefrying, or hydrogenation<sup>11</sup>. Typically, UPFs are mass-produced packaged 19 20 breads, sugared breakfast cereals, buns, biscuits, sweet or savoury packaged snacks, instant soups and noodles, processed meat as well as certain industrially pre-prepared meals <sup>12</sup>. These 21 22 foods provide for many people, particularly in urban areas or for those with extensive or unusual working hours, easily accessible and affordable sources of energy $^{13}$ . 23

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Several cross-sectional and four prospective cohort studies, using NOVA, suggested positive 25 associations between a higher consumption of UPFs and excess body weight <sup>14-19</sup>. In a meta-26 analysis of 13 cross-sectional and one prospective observational study, average positive 27 associations between UPF consumption and overweight or obesity were reported <sup>14</sup>. However, 28 there was evidence for publication bias and heterogeneity across studies was substantial 29  $(I^2 \ge 85\%)$ , which hampers the possibility to draw robust conclusions. Different study designs 30 and different level of adjustment for confounders may at least partly explain the large 31 32 heterogeneity in previous studies. Further evaluation whether UPFs promote energy 33 overconsumption and weight gain in diverse populations is therefore warranted.

We investigated relationships between UPF consumption and weight change among adults in a multi-national setting, which allowed assessment of potential heterogeneity across study populations with different underlying dietary habits, while applying a uniform adjustment for confounders. We also investigated associations with risk of developing overweight or obesity.

38 Material & Methods

## **39 Study population**

The EPIC study is an ongoing prospective cohort study across 23 centers in 10 European 40 41 countries: Denmark, France, Germany, Greece, Italy, the Netherlands, Norway, Spain, Sweden, 42 and the United Kingdom (UK). From 1992 to 2000 a total of 521 448 men and women were 43 recruited. In France, Norway, Utrecht (Netherlands) and Naples (Italy), only women were recruited. Individuals were selected from the general population with a few exceptions. In 44 45 France, state-school employees were recruited. The Utrecht and Florence (Italy) centers 46 included women invited for a local population-based breast cancer screening program. Some 47 centers in Italy and Spain included members of local blood donor associations. In Oxford 48 (United Kingdom), one-half of the cohort was recruited from lacto-ovo vegetarians and vegans. The rationale and design of EPIC has been described in detail elsewhere <sup>20,21</sup>. The EPIC study 49

was approved by the Ethical Review Boards of the IARC and the Institutional Review Boardof each participating EPIC center.

For this study, selected centers were combined within countries depending on their follow-up times and/or weight measurement methods, resulting in 16 centers from 23 originally. We excluded pregnant women, participants with missing dietary or lifestyle information, missing data on weight and height or with unreliable anthropometric values at baseline (n=23 713). We further excluded 122 154 individuals with missing weight at follow-up and 2 288 individuals with outlying anthropometry at follow-up: weight change < -5 or > 5 kg/year and BMI at follow up < 16 kg/m<sup>2</sup>.

59 More details on follow-up exclusions are given in Supplementary Material (Supplementary 60 Material Fig. S1) and have been described previously<sup>22</sup>. After excluding Greece (not providing 61 data for this study) and one participant from Bilthoven, who withdrew participation in EPIC, a 62 sample of 348 748 participants was available for analyses.

#### 63 Anthropometric measures and weight change

Two body weight measures were available for each participant: at baseline and after a median 64 follow-up time of 5 years [min.: 2 years for Heidelberg (Germany), max.:11 years for Varese 65 66 (Italy)]. All centers used standardized procedures to measure weight and height at baseline, 67 except, in France, Norway, and Oxford, where subjects self-reported their weight. Follow-up 68 weight was self-reported, except for Cambridge (UK) and Doetinchem (The Netherlands) where it was measured <sup>22</sup>. The accuracy of self-reported anthropometric measures at baseline 69 70 and at follow-up was improved with prediction equations derived from subjects with both 71 measured and self-reported weight at baseline <sup>23</sup>.

72 The main outcome of our study was weight change in kg per 5 years, calculated as weight at 73 follow-up minus weight at baseline divided by the follow-up time in years and multiplied by 5 74 years. With these two available time points of weight assessment, we could not assess whether weight change was non-linear over time, but an overall gain or loss of weight during this period
was captured. This is in line with findings that weight change in humans is quite constant
throughout a period of energy imbalance <sup>24</sup>.

#### 78 Dietary assessment and estimation of UPF consumption

In the EPIC study, usual food intake in the previous 12 months was assessed at baseline using 79 country-specific validated dietary questionnaires <sup>20</sup>. In brief, three types of dietary assessment 80 81 methods were applied to examine the consumed food over the previous 12 months; a) quantitative dietary questionnaires in northern Italy, Ragusa in Italy, The Netherlands, 82 83 Germany, Spain and France, b) semi-quantitative food-frequency questionnaires in Denmark, Norway, Naples in Italy, and Umeå in Sweden, and c) a combination of semi-quantitative food-84 frequency questionnaires and 7- and 14-day records in Malmö (Sweden) and the UK, 85 86 respectively. The food items reported in each dietary questionnaire were classified in respective harmonized food groups common across questionnaires. In addition, the frequency of 87 88 consumption, the portion size consumed on each occasion, and the applied standard portion 89 sizes were stored in a central database at IARC, from which the total quantity of each food was estimated as grams per day <sup>20</sup>. 90

91 To estimate UPF consumption, the NOVA food classification system was incorporated into the 92 EPIC database containing more than 11 000 food items. Generic or multi-ingredient foods were 93 decomposed into ingredients and were then classified according to the NOVA classification. NOVA classifies each food item (or ingredient) into one of four groups: 1) unprocessed or 94 95 minimally processed foods (e.g., fresh, dry or frozen fruits or vegetables, grains, flours and pasta); 2) processed culinary ingredients (e.g., table sugar, oils, salt); 3) processed foods (e.g., 96 97 cheese, simple breads, fruits in syrup, canned fish); and group 4) ultra-processed foods (e.g., 98 soft drinks, sweet or savory packaged snacks, processed meat, and pre-prepared frozen or shelfstable dishes)<sup>12</sup>. Our exposure of interest in this analysis was the NOVA group 4, which 99

includes UPFs without alcoholic drinks. The list of food subgroups included in NOVA group 4is given in Supplementary Material (Supplementary Table S1).

102 Since dietary assessment was conducted in the nineties, three scenarios, labelled as lower, 103 middle, and upper bound, were considered when classifying food items and ingredients 104 according to NOVA to account for potential transition of food processing over time. The 105 middle-bound scenario represents the most likely applicable scenario regarding the past 25 106 years in the different countries of interest and was used in the main analysis. In case a given 107 food or ingredient could have been also less processed compared to the middle-bound scenario, 108 it was assigned into a less processed NOVA group and included in the lower-bound scenario. 109 The same applied to foods or ingredients that could have been more processed, resulting in 110 being classified into the upper-bound. This means that, depending on the foods an individual 111 consumed, the proportion of UPFs in the diet was lower or higher and the ranking of individuals 112 within the study population in terms of UPF consumption was altered accordingly.

#### 113 Assessment of covariates

114 Data on socio-demographic, lifestyle and other factors, including education level, physical 115 activity, alcohol intake and smoking history were collected at baseline through validated 116 questionnaires <sup>20</sup>.

## 117 Statistical analyses

118 Habitual consumption of energy-adjusted UPFs was modelled both on a continuous scale per

119 1 standard deviation (SD)/day increment (corresponding to  $\sim 250$  g/day) and by categories,

120 where energy-adjusted UPF consumption was divided into quintiles and the lowest

121 consumption quintile was used as reference category. We used the residual method for energy

adjustment, where we generated standardized residuals by regressing the consumption of

- 123 UPFs (g/day) on total energy intake and center. These standardized residuals of UPF
- 124 consumption are uncorrelated with total energy intake and account for residual variation of

estimated food consumption across centers that are due to different dietary assessment
instruments used. We corrected for energy intake to reduce measurement error in dietary
intake estimates. Although this is an efficient approach to improve the validity of the energyadjusted dietary intake <sup>25</sup>, energy intake as such cannot be used as an exposure (or mediator)
<sup>26</sup>. Therefore, we argue that despite including energy intake (a potential mediator of the
association between UPF consumption and weight gain) in our regression model, we still
observe the total 'effect' of UPF consumption on weight gain.

132 Multilevel mixed linear regression was used, with center as random effect and UPF 133 consumption and confounders as fixed effects, to estimate the association with body weight 134 change (kg/5 years). Three models were fit. Model 1 was adjusted for age, sex, and body mass 135 index (BMI) (continuous, kg/m<sup>2</sup>) at baseline. Model 2 was further adjusted for educational level 136 (none, primary school, secondary school/technical school, longer education, missing), levels of 137 physical activity (inactive, moderately inactive, moderately active, active, missing), smoking 138 status at baseline (never, former, current, missing), alcohol consumption (continuous, g/day), and an indicator for energy mis-reporting using Goldberg cut-offs <sup>27</sup>. Model 3 was additionally 139 140 adjusted for Mediterranean diet, representing healthy dietary habits, using the modified relative Mediterranean Diet Score (mrMDS)<sup>28</sup>. 141

Participants with missing values for physical activity (n= 5 493, 1.6%), education (n= 4 882, 143 1.4%) and smoking status (n= 6 476, 1.9%) at baseline were classified in a separate category 144 and included in the models. Model assumptions and fit were checked visually by plotting the 145 residuals against each of the categorical covariates.

Heterogeneity across countries/centers was evaluated by using generalized linear models (with adjustments as model 3 above) and pooling results by random effects meta-analysis. We also estimated 95% prediction intervals to not only estimate the average association across countries/centers, but also to appreciate associations within an individual country/center <sup>29</sup>. To assess shape and linearity of associations between consumption of UPFs and weight gain, a
three-knot restricted cubic splines model was used in combination with a Wald-type test. Knots
were placed at percentiles 10, 50, and 90.

We tested *a priori* for effect modification by age (categorised as younger than median age  $\leq 51$ and  $\geq 51$  years), sex, and BMI categories at baseline ( $\leq 25, 25-30, \geq 30$  kg/m<sup>2</sup>). This was done by including interaction terms between each potential effect modifier and the predictor variable UPF (g/day) in the models. *P* values for interaction were calculated using a Likelihood-ratio test.

Food intake was classified into the four NOVA groups, consequently they sum up to a constant and represent compositional data. Therefore, we performed substitution analyses <sup>30</sup>. For example, excluding NOVA group 1, while holding the consumption of the other 3 groups constant, represents the substitution of 1 unit of unprocessed food by 1 unit of UPFs. We repeated the analysis substituting NOVA group 4 for NOVA group 1 (minimally processed foods), expecting an inverse association with weight gain.

We performed a range of sensitivity analyses to assess robustness of our findings and address potential biases (Supplementary Table S2). For example, adjustment for NOVA 4 soft drinks subgroup in our main model, which are known to induce weight gain. Also, associations between UPF consumption and weight gain was additionally tested by using %g/day and %kcal/day instead of g/day as well as using the crude UPF variable without energy adjustment (Supplementary Table S2).

We used a modified Poisson regression approach <sup>31</sup> to estimate the relative risk (RR) and 95% confidence intervals (CI) of becoming overweight in participants with an initial normal weight BMI ( $< 25 \text{ kg/m}^2$ ) or obese with an initial BMI marking overweight ( $\geq 25 \text{ kg/m}^2 < 30$ ) according to the consumption of UPF. RRs were adjusted as described in model 3 above. Furthermore, quintiles of the consumption of UPFs were calculated separately in normal weight andoverweight participants at baseline.

176 All statistical analyses were performed with STATA 16.1 (College Station, Texas, USA).

## 177 Role of the funding source

The funders had no role in the study design, data collection, data analysis, data interpretation, or the writing of the report. The corresponding author had full access to all of the data in the study and had final responsibility for the decision to submit for publication.

181 **Results** 

## 182 *Characteristics of the study population*

Table 1 shows the main characteristics of the study population at baseline by quintiles of UPF consumption. Participants in the highest quintile had greater weight gain, were younger, and consumed more sugar/confectionary, and cakes and biscuits. Furthermore, participants in the highest quintile consumed more soft drinks and less alcohol compared to those in the lowest quintile.

## 188 Consumption of UPFs and 5-year changes in body weight

189 Between baseline and the second weight assessment on average five years later, the mean 190 weight increase in the study population was  $2 \cdot 1$  kg with large variation between participants 191 (SD 5.0 kg). Body weight changes (kg) over an average of 5 years according to energy-adjusted 192 baseline UPF consumption are shown in Table 2. After controlling for confounding, higher 193 consumption of UPFs was associated with greater weight gain (0.120 kg per 1 SD increment/5)vears, 95% CI 0.087 to 0.152). Associations remained nearly unchanged after further 194 195 adjustment for the Mediterranean diet (Table 2). Analyses by quintiles of UPF consumption 196 confirmed these findings, and participants in the highest quintile gained more weight (0.357 197 kg/5 years, 95% CI 0.272 to 0.442) as compared to participants in the lowest quintile (Table 198 2).

In our meta-analytical approach, we found consistent associations across countries/centers with some expected heterogeneity (Figure 1). This heterogeneity can at least partly be explained by differences in UPF consumption (Supplementary Table S3), length of follow-up, and other differences in study populations or methods used. Despite this heterogeneity in associations, the estimated overall prediction intervals (0.0001 to 0.201 kg/5 years) showed that higher UPF consumption was detrimental in at least 95% of the individual study settings.

Evaluating the shape of the association with a restricted cubic spline model, showed a linear
dose-response relationship between higher consumption of UPFs and weight gain (Figure 2).
For example, weight gain associated with UPF consumption corresponding to the ninetieth
percentile was equal to 0.32 kg/5 years (95% CI 0.22 to 0.42).

In substitution models, replacing minimally processed foods by an equal amount of UPFs yielded results close to our main results. Furthermore, replacing UPFs by an equal amount of minimally processed foods was inversely associated with weight gain (-0.280 kg/1 SDreplacement, 95% CI -0.445 to -0.115) (Supplementary Table S4).

Similar positive associations with weight gain were observed by sex, age groups, and among
participants with normal weight and overweight, while among participants with obesity the
association with weight gain was attenuated (Supplementary Table S5).

216 *Sensitivity analysis* 

The main findings were robust to a range of sensitivity analyses. However, associations with weight gain were attenuated by about one third after adjusting for the NOVA 4 soft drink subgroup. Using the proportion of UPFs in the diet in %g/day or %kcal/day were similar to our main analysis. The results of all sensitivity analyses are shown in the Supplementary Material (Supplementary Table S2).

222 Consumption of UPFs and risk of overweight and obesity

223 Adjusted relative risks (95% CI) of becoming overweight or obese after 5 years according to consumption of UPFs and baseline BMI are shown in Table 3. At baseline 191 255 participants 224 225 were normal weight while 103 259 were overweight. Participants with normal weight in the highest vs. lowest quintile of UPF consumption (g/day) had 15% higher risk (95% CI 1·11 226 227 to1.19) of becoming overweight or obese (P trend <0.001) during the follow-up period. 228 Similarly, overweight participants at baseline had a 16% higher risk (95% CI 1.09 to1.23) of 229 becoming obese (P trend <0.001) comparing the highest vs. lowest quintile of UPF 230 consumption.

## 231 Discussion

232 In this large prospective study among adults from 9 European countries, we found that higher 233 consumption of UPFs was associated with significantly higher 5-year body weight gain in a 234 dose-response manner. We further found a 15% higher risk of becoming overweight or obese 235 for normal weight participants at baseline in the highest quintile of UPF consumption compared 236 to the lowest. These findings were robust to sensitivity analyses and largely consistent across 237 countries characterized by heterogeneous study populations in terms of distribution of sex, age, 238 and lifestyle behaviours. Given that virtually the whole population is exposed to UPF to some degree, even the small effect sizes observed in our study could be of concern regarding future 239 240 population obesity prevalence.

Our findings are congruent with a Spanish prospective cohort study, which assessed UPF consumption by servings per day over a median follow-up of 8.9 years and showed a 26% higher risk of developing overweight or obesity for participants in the highest quartile compared to the lowest quartile of UPF consumption <sup>18</sup>. Similarly, Beslay et al. showed a positive association between the proportion of UPFs in the diet and gain in BMI in participants from the French prospective population-based NutriNet-Santé cohort <sup>15</sup>. In the same study, higher consumption of UPFs was associated with higher risk of overweight and obesity, 11% and 9%

respectively for a 10% increment of UPFs <sup>15</sup>. Furthermore, Canhada et al., evaluated in the 248 Brazilian Longitudinal Study of Adult Health (ELSA-Brasil) cohort the association between 249 250 UPF consumption and weight gain, increase in waist circumference, as well as the incidence of overweight and obesity. Participants were followed for an average of 3.8 years and those who 251 252 were in the highest quartile of UPF consumption (percent energy intake) had a 27% greater risk 253 of experiencing larger weight gain than those in the lowest quartile. Similarly, there was a 20% 254 higher risk to develop overweight for participants in the highest quartile who had normal weight at baseline compared to the lowest quartile <sup>16</sup>. These results are in line with ours, as were 255 previous cross-sectional studies <sup>19,32</sup>. Consistently, an inpatient randomized controlled trial 256 showed that an UPF diet caused elevated ad libitum energy intake of ~500 kilocalorie per day 257 and weight gain of  $+1\cdot 1$  kg after 14 days compared to an unprocessed diet <sup>9</sup>. 258

The mechanism by which UPFs may influence energy intake and weight gain are incompletely understood. UPF are widely available and convenient to consume or prepare. While this is not necessarily problematic, UPFs tend to have a high energy density (calories per weight or volume) <sup>33</sup> and can be consumed at a higher energy intake rate (calories per time unit) <sup>9</sup>. The latter is because UPFs are usually less solid and lower in volume compared to unprocessed foods <sup>33</sup>. Either of these characteristics of UPFs – high energy density and eating rate – can promote overconsumption and weight gain <sup>2,9</sup>.

An emerging hypothesis with comparably limited evidence to date relates to the accumulation of advanced glycation endproducts (AGEs) in foods that undergo prolonged dry heat processing to improve aroma and colour such as crackers, biscuits and cereal products, or industrial food preservation such as canned meats <sup>34</sup>. In our study population, we found that dietary intake of AGEs was 1 SD higher in the 5th compared to the 1st quintile of UPF consumption. There is suggestive evidence from animal models that higher dietary intake of AGEs can lead to insulin resistance and weight gain <sup>35–38</sup>. A systematic review of randomized clinical trials reported that the consumption of a low-AGE diet compared to a high-AGE diet was associated with improved insulin sensitivity and reduced body weight, waist circumference, and BMI in overweight and obese men and women <sup>39</sup>. Furthermore, higher AGEs exposure could lead to a hypothalamic inflammatory state, which can compromise the signalling of two key hormones in energy homeostasis, i.e. insulin and leptin. In line with this hypothesis, we found in a previous investigation in the same cohort that higher exposure to dietary AGEs was associated with greater weight gain <sup>40</sup>.

We acknowledge that the NOVA group 4 (i.e. UPF) consists of very heterogeneous foods 280 281 representing virtually all major food groups. Non-exhaustive examples include breakfast 282 cereals, fruit drinks, meat products, milk drinks, instant soups, pastries, and soft drinks. 283 Although UPFs have on average a higher energy-density compared to minimally processed foods <sup>33</sup>, they are not equally high in their energy-density or intake rate and may thus contribute 284 285 differentially to energy overconsumption. To explore this further, we adjusted our main model 286 for soft drink consumption. A high consumption of soft drinks is a well-established risk factor for weight gain and obesity <sup>41</sup>. After accounting for soft drink consumption, the positive 287 288 association between UPF consumption and weight change was attenuated by about one third 289 but remained statistically significant with a difference in body weight gain of +0.075 kg (95% 290 CI 0.024-0.126) over 5 years (Supplementary Table 2). This suggests that part of the 291 associations between UPF consumption and weight gain are driven by soft drink consumption, 292 but other foods (or their properties) in the UPFs category also contribute. Soft drink 293 consumption is likely also correlated with other components of UPFs and could thus be regarded 294 as a mediator of observed associations. Although the NOVA group 4 also contains foods that can be very valuable in various contexts (e.g. ready-to-eat meals for elderly people), it 295 296 nevertheless appears that the overall share of UPFs in a dietary pattern is still a useful indicator 297 to study population health outcomes.

299 The results of our study should be interpreted with the following limitations in mind. First, the dietary questionnaires were not specifically designed to assess UPF consumption. However, 300 301 three scenarios were considered when classifying food items and ingredients according to NOVA to evaluate the impact of possible exposure misclassification. Second, only self-reported 302 303 weight at follow-up was available in most centers. To mitigate this possible source of bias, we applied a prediction equation to improve self-reported weight estimates <sup>23</sup>. Furthermore, in the 304 305 EPIC-Norfolk study (UK Cambridge center of EPIC) a high correlation between self-reported and measured weight data has been shown (r=0.97 in men and r=0.98 women)<sup>42</sup>. Likewise the 306 307 Norway center of EPIC showed that self-reported weight and height provide a valid 308 classification of BMI in their cohort of middle-aged Norwegian women, which means that ranking of participants according to self-reported weight was adequate <sup>43</sup>. Third, we were not 309 310 able to accurately measure changes in body composition (e.g. using dual-energy X ray 311 absorptiometry, DXA); therefore, we had to make the reasonable assumption that encountered 312 weight changes are largely due to changes in body fat mass and not in lean body mass or height. 313 This is supported by a study in a subsample of PREDIMED-Plus (n=1485), where higher UPF 314 consumption was associated with greater fat accumulation as measured with dual-energy X-ray absorptiometry (DXA)<sup>44</sup>. Fourth, we were not able to account for potential changes in diet 315 316 during follow-up; yet dimensions of change in weight appear to be more pronounced and more robust if changes in diet can be accounted for <sup>45</sup>. In order to minimise measurement error bias, 317 318 an inherent limitation of studies using self-reported dietary data, we adjusted for total energy 319 intake and for plausibility of dietary energy reporting; the latter has been shown in the EPIC-320 Potsdam sub-study to improve expected associations between intakes of energy-dense foods and BMI <sup>46</sup>. Apart of energy adjustment, energy intake is less reliable as exposure <sup>26</sup>, which 321

means that our findings can still be interpreted as the total association between UPF and weightgain and obesity risk.

Strengths of our study include its prospective design with a long follow-up, the heterogeneous study population, and the large sample size, which allowed assessment of associations in population sub-groups, and potential real differences in associations across 9 European countries. We also controlled for a Mediterranean diet score <sup>28</sup>, which has been previously shown to be inversely associated with weight gain <sup>47</sup>, suggesting that associations between UPF consumption and weight change are independent of healthy dietary habits.

330 *Conclusion* 

In conclusion, this prospective study of adults from 9 European countries representing populations with heterogeneous diets provides further evidence that a higher proportion of UPFs in the diet is associated with greater weight gain and a greater risk to develop overweight or obesity.

#### **Author Contributions**

Freisling and Cordova had full access to all data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

Concept and design: Freisling, Gunter, Monteiro, Millett

Acquisition, analysis, or interpretation of data: Cordova; Kliemann, Huybrechts; Rauber; Vamos; Levy; Wagner; Viallon; Casagrande; Nicolas; Dahm; Zhang; Halkjær; Tjønneland; Boutron-Ruault; Mancini; Laouali; Katzke; Srour; Jannasch; Schulze; Masala; Grioni; Panico; T. van der Schouw; Derksen; Rylander; Skeie; Jakszyn; Rodriguez-Barranco; Huerta; Barricarte; Brunkwall; Ramne; Bodén; Perez-Cornago; Heath; Vineis; Weiderpass<sup>,</sup>; Monteiro; Gunter; Millett; Freisling

#### Drafting of the manuscript: Cordova, Freisling

*Critical revision of the manuscript for important intellectual content:* 

Cordova, Kliemann, Huybrechts; Rauber; Vamos; Levy; Wagner; Viallon; Casagrande; Nicolas; Dahm; Zhang; Halkjær; Tjønneland; Boutron-Ruault; Mancini; Laouali; Katzke; Srour; Jannasch; Schulze; Masala; Grioni; Panico; T. van der Schouw; Derksen; Rylander; Skeie; Jakszyn; Rodriguez-Barranco; Huerta; Barricarte; Brunkwall; Ramne; Bodén; Perez-Cornago; Heath; Vineis; Weiderpass<sup>,</sup>; Monteiro; Gunter; Millett; Freisling

Statistical analysis: Cordova, Freisling, Viallon

Administrative, technical, or material support: Huybrechts, Rauber, Vamos, Bertazzi Levy, Wagner, Casagrande, Nicolas, Gunter

Supervision: Huybrechts, Rauber, Vamos, Bertazzi Levy, Wagner, Viallon, Monteiro, Gunter, Millett, Freisling

#### **Conflict of interest statement**

None of the authors declared a conflict of interest.

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## Data sharing

Data described in the manuscript, code book, and analytic code will be made available upon request pending application and approval. For information on how to submit an application for gaining access to EPIC data and/or biospecimens, please follow the instructions at: http://epic.iarc.fr/access/index.php.

## Disclaimer

Where authors are identified as personnel of the International Agency for Research on Cancer / World Health Organization, the authors alone are responsible for the views expressed in this article and they do not necessarily represent the decisions, policy or views of the International Agency for Research on Cancer / World Health Organization.

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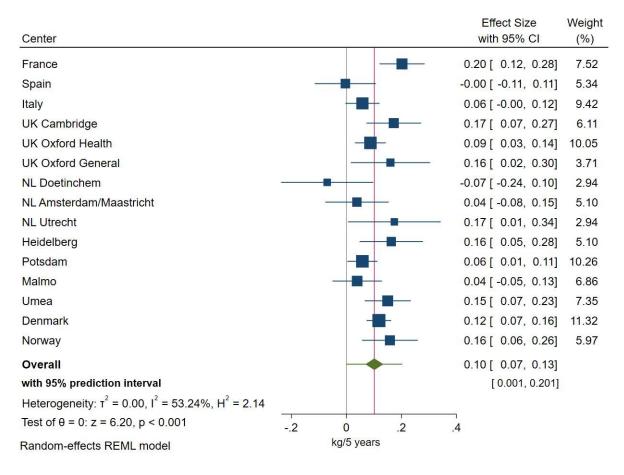
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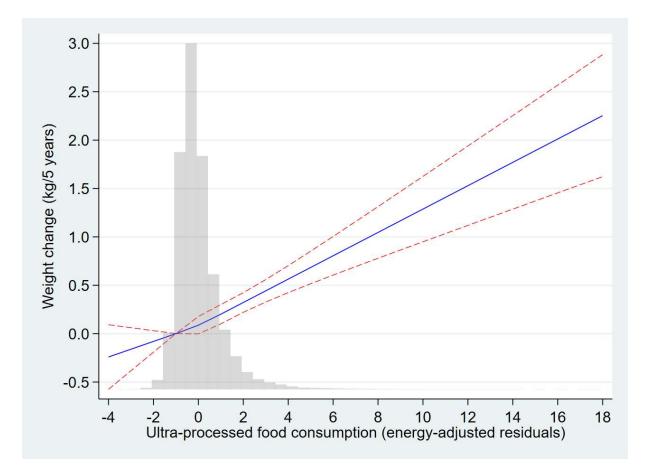
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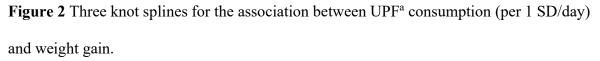
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**Figure 1** Random effects meta-analysis and 95% prediction intervals of associations between UPF<sup>a</sup> consumption (per 1 SD/day) and weight gain in 348 748 men and women.

Center-specific results were estimated using generalized linear models adjusted for age, sex, body mass index (BMI) at baseline, educational level, levels of physical activity, alcohol intake at baseline, smoking status at baseline, and plausibility of dietary energy reporting and modified relative Mediterranean diet score. <sup>a</sup> Energy-adjusted baseline ultra-processed food (UPF) consumption (g/day) using residual methods. Standardized residuals were computed by a linear regression of baseline UPF (g/day) regressed on energy and center. Overall mean 5-year weight gain corresponded to 2.1 kg (SD 5.0) and positive beta values indicate more weight gain (kg) over the same period. Study centers were based on the general adult population, with some exceptions. In France, Norway, Utrecht, and Naples only women were recruited. Furthermore, in France, state-school employees were recruited. The Utrecht and Florence (Italy) centers included women invited for a local population-based breast cancer screening program. Some centers in Italy and Spain included members of local blood donor associations. Oxford Health recruited among subjects who did not eat meat, including lacto-ovo vegetarians, fish eaters and vegans.





Multilevel linear mixed models with random effect on the intercept and slope according to center. Overall mean 5-year weight gain corresponded to 2.1 kg (SD 5.0) and positive beta values indicate more weight gain (kg) over the same period.

<sup>a</sup>Energy-adjusted baseline ultra-processed food (UPF) consumption (g/day) using residual methods. Standardized residuals were computed by a linear regression of baseline UPFs (g/day) regressed on energy and center. Main model (model3) adjusted for age, sex, BMI at baseline, educational level, levels of physical activity, alcohol intake at baseline, smoking status at baseline, and plausibility of dietary energy reporting and modified relative Mediterranean diet score.

Cordova et al, Consumption of ultra-processed foods associated with weight gain and obesity in adults: a multi-national cohort study.

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Figure S1 Flow chart of participants exclusion criteria for the present study

Table S1 Descriptive table of food items included in NOVA group 4 in g/day

**Table S2** Difference in body weight gain (kg) over 5 years per 1 standard deviation (SD)/day increase according to baseline ultra-processed food consumption in men and women after different sensitivity tests

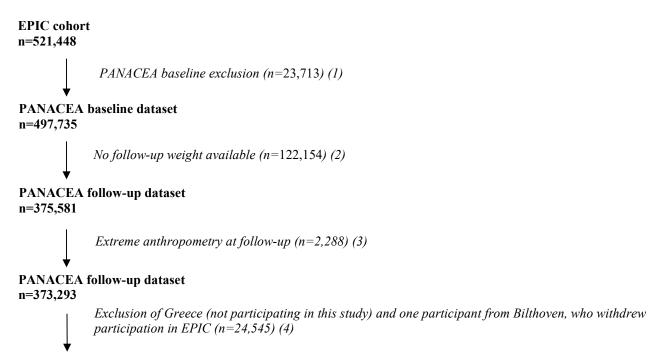
Table S3 Ultra-processed food consumption (g/day) by NOVA 4 group subgroups and by countries

Table S4 Difference in body weight gain (kg) over 5 years according to baseline ultra-processed

foods consumption (g/day) in 348 748 men and women using substitution analyses

**Table S5** Difference in body weight gain (kg) over 5 years according to baseline ultra-processed food<sup>a</sup> consumption (g/day) in different subgroups

## Figure S1 Flow chart of participants exclusion criteria for the present study



- PANACEA follow-up dataset used in the present study n=348,748
  - (1) PANACEA baseline exclusions:
    - 1. Length of follow-up equals to 0 (n=1,517)
    - 2. No dietary data available (n=6,611)
    - 3. Those in the lowest and highest 1% of the ratio of reported total energy intake / energy requirement (EI/ER) (n=10,209)
    - 4. No lifestyle information (n=64)
    - 5. Pregnant women (n=623)
    - Unreliable anthropometry [height <130 cm (n=16), BMI <16.0 kg.m<sup>-2</sup> (n=302), waist circumference <40 cm (n=0) or waist circumference >160 cm (n=16), waist circumference <60 cm if BMI >25 kg.m<sup>-2</sup> (n=42)]
    - 7. Missing information on weight (n=4,079)
    - 8. Missing information on height (n=234)
  - (2) Reasons for missing data on follow-up assessment of body weight:
    - 1. Death before the follow-up body weight assessment (n=8,226)
      - 2. Not yet approached for follow-up body weight assessment (n=23,957)
      - 3. (E)migrated (n=3,991)
      - 4. Non-respondents to the invitation to participate in the second follow-up assessment of body weight (n=85,967)
      - 5. Follow-up time missing (n=13)
  - (3) Extreme anthropometry at follow-up:
    - 1. Weight change < -5 kg/year or > 5 kg/year (n=1,926)
    - 2. BMI at follow-up  $<16 \text{ kg/m}^2$  (n=140)
    - 3. Missing BMI at follow-up (n=222)
  - (4) Not participating:
    - 1. Greece (n=24,544)
    - 2. Participant from Bilthoven (n=1)

Food subgroups	Mean (g/day)	SD (g/day)
Ultra-processed breads	43	67
Pastries, buns, and cakes	23	30
Biscuits	14	19
Breakfast cereals	5	10
Ice cream, ice pops and frozen yogurts	7	12
Industrial desserts	2	11
Packaged salty snacks	2	5
Potato products	8	16
Pizza and focaccia (dough)	6	11
Pasta (filled)	3	7
Instant and canned soups	9	21
Dairy substitute products	3	31
Processed cheese	3	6
Sauces, dressing and gravies also powder, dehydrated, condensed form	11	13
Vegetable spread and products	0	2
Soft drinks	46	116
Dairy desserts and drinks (ultra-processed versions)	45	70
Fruit drinks, iced tea and other sweetened beverages	40	116
Beverages dry weight	1	4
Alcoholic distilled drinks and other alcoholic drinks	9	22
Artificial sweeteners	0	2
Sweet snacks	12	19
Processed meat (beef, pork and fish)	36	33
Meat alternatives	1	3
Nutrition powders and drinks	0	1
Margarine	13	17
Ready meals	6	13
Alcohol-free versions of alcoholic beverages	3	30
Vegetables and legumes in ultra-processed medium	3	12
Rice-based dishes	0	0

Models	N (%)	Beta	Lower 95%	Upper 95%
Model 3	348 748 (100)	0.118	0.085	0.151
Model S1	348 748 (100)	0.062	0.023	0.100
Model S2	348 748 (100)	0.098	0.051	0.145
Model S3	348 748 (100)	0.123	0.087	0.128
Model S4	348 748 (100)	0.096	0.051	0.140
Model S5	334 114 (96)	0.118	0.084	0.152
Model S6	348 748 (100)	0.112	0.087	0.128
Model S7	348 748 (100)	0.118	0.085	0.151
Model S8	150 334 (43)	0.110	0.082	0.137
Model S9	238 828 (68)	0.133	0.095	0.171
Model S10	348 748 (100)	0.078	0.038	0.117
Model S11	276 377 (79)	0.109	0.070	0.148
Model S12	348 748 (100)	0.132	0.096	0.168
Model S13	348 748 (100)	0.075	0.024	0.126

Table S2 Difference in body weight gain (kg) over 5 years per 1 standard deviation (SD)/day increase according to baseline ultra-processed food<sup>a</sup> consumption in men and women after different sensitivity tests

<sup>a</sup> Energy-adjusted baseline ultra-processed food consumption (g/day) using the residual method (1 standard deviation, SD=250g). Standardized residuals were computed by a linear regression of baseline ultra-processed foods (g/day) regressed on energy and center.

Main model 3: adjusted for age, sex, BMI at baseline, educational level, levels of physical activity, alcohol intake at baseline, smoking status at baseline, and plausibility of dietary energy intake reporting and for modified relative Mediterranean diet score.

Model S1 using residuals of the middle-bound scenario variable in % g/day as predictor variable.

Model S2 using the standardized middle-bound scenario variable in % kcal /day as predictor variable.

Model S3 using residuals of the lower-bound scenario variable in g/day as predictor.

Model S4 using residuals of the upper-bound scenario variable in g/day as predictor.

Model S5 excluding subjects with missing values in any of the covariates.

Model S6 using smoking at follow-up instead of smoking status at baseline.

Model S7 adjusting for chronic conditions at recruitment using an indicator for missing values.

Model S8 excluding centers with less than 5 years of weight follow-up.

ModelS9 excluding over-and under reporter of energy intake reports.

Model S10 Model 3 (main model) additional adjusted for weight change at follow-up.

Model S11 Model 3 (main model) adjusted for weight and height instead of baseline BMI.

Model S12 Model 3(main model) with crude ultra-processed food variable (g/day) without adjustment for energy intake.

Table 55 Ultra-processe	<b>u</b> 100	ou con	sump	Table S3 Ultra-processed food consumption (g/day) by NOVA 4 group subgroups and by countries         United       The														
	Fr	ance	]	Italy	S	Spain		Jnited ingdom	Net	The herlands	Ge	rmany	Swe	den	Denn	nark	Norw	ay
Ultra-processed breads	9	±32	0	$\pm 0$	4	$\pm 10$	84	$\pm 59$	0	$\pm 0$	6	±11	2	$\pm 4$	143	±65	128	±59
Pastries, buns, and cakes	26	±26	24	$\pm 33$	25	±40	18	±24	20	$\pm 18$	44	±45	25	±24	13	±15	9	$\pm 8$
Biscuits	14	±16	25	±24	16	±27	14	±17	18	±16	10	±17	18	±19	7	±10	10	±13
Breakfast cereals	3	$\pm 8$	0	$\pm 0$	1	$\pm 8$	13	±14	3	±7	2	$\pm 4$	6	$\pm 10$	8	±13	3	±4
Ice cream, ice pops and frozen yogurts	5	$\pm 6$	17	±20	2	$\pm 8$	10	±15	8	$\pm 8$	6	$\pm 9$	10	±16	4	$\pm 6$	8	±10
Industrial desserts	0	$\pm 0$	1	$\pm 3$	0	$\pm 0$	0	$\pm 1$	2	±2	2	±2	12	±32	0	$\pm 0$	0	$\pm 0$
Packaged salty snacks	0	$\pm 0$	0	$\pm 0$	1	$\pm 3$	6	$\pm 9$	0	$\pm 0$	3	$\pm 8$	2	±4	3	±4	2	±2
Potato products	0	$\pm 0$	0	$\pm 0$	0	$\pm 0$	25	±24	22	±26	10	±11	10	±16	2	±4	0	$\pm 0$
Pizza and focaccia (dough)	7	±7	0	$\pm 0$	1	±3	7	$\pm 9$	9	±14	11	±14	7	±13	0	$\pm 0$	17	±15
Pasta (filled)	1	$\pm 1$	15	±16	0	±2	8	±11	0	$\pm 0$	3	±4	0	±3	0	$\pm 0$	0	$\pm 0$
Instant and canned soups	4	±4	12	±28	4	±20	22	±30	22	±24	11	±17	13	±30	0	$\pm 0$	1	±1
Dairy substitute products	0	$\pm 0$	0	$\pm 0$	0	$\pm 8$	18	$\pm 79$	0	$\pm 0$	0	$\pm 0$	1	$\pm 6$	0	$\pm 0$	0	$\pm 0$
Processed cheese	2	$\pm 5$	6	$\pm 8$	3	±7	0	$\pm 0$	9	±11	2	±4	1	±4	2	$\pm 6$	3	±4
Sauces, dressing and gravies also powder, dehydrated, condensed form	6	±6	1	±1	5	±5	17	±14	19	±16	19	±18	6	±9	17	±14	2	±3
Vegetable spread and products	0	$\pm 0$	0	$\pm 0$	1	±2	0	$\pm 0$	1	±2	0	±2	1	±3	0	$\pm 0$	0	$\pm 0$
Soft drinks	0	$\pm 0$	37	±99	30	±94	66	±143	91	±123	64	±171	39	±81	51	±118	83	±12

61	±82	26	±50	21	±44	48	±47	7	6	±84	75	±100	16	±42		34	±65	29	) =	±30
9	±45	20	±39	1	±4	57	±145	2	8	±40	86	±121	57	±124		88	±206		=	±3
0	$\pm 0$	0	$\pm 0$	1	$\pm 3$	4	$\pm 8$		1	±2	1	±2	0	±2		0	$\pm 0$	(	) =	±0
14	±25	5	±14	5	±17	7	±15	2	0	±44	4	±9	12	±21		10	±18		=	±2
2	$\pm 5$	0	$\pm 0$	0	$\pm 1$	0	$\pm 0$		0	$\pm 0$	0	±2	0	$\pm 0$		0	$\pm 0$	(	) =	±0
10	±17	5	$\pm 9$	3	±11	15	±25	1	5	±17	12	±19	11	±16		23	±24		7 =	±9
24	±19	14	±13	28	±30	24	±24	3	4	±27	59	±41	59	±38		30	±21	6′	7 =	±32
0	$\pm 0$	0	$\pm 0$	0	$\pm 0$	4	$\pm 8$		1	±4	0	$\pm 1$	0	$\pm 1$		0	$\pm 0$	(	) =	±0
0	±0	0	$\pm 0$	0	±0	0	±0		0	$\pm 0$	0	±0	0	±2		0	$\pm 0$	(	) =	±0
3	±5	0	$\pm 1$	2	$\pm 5$	15	±16	1	6	±13	11	±13	38	±23		18	±15	14	⊦ ∃	±12
13	±15	0	$\pm 0$	0	±1	4	±8		2	±5	0	$\pm 0$	1	±5		22	±22		=	±1
2	±23	0	$\pm 0$	5	±37	0	±0		9	±49	11	±63	0	±1		0	$\pm 0$	(	) =	±0
0	$\pm 0$	0	±0	0	±0	20	±25		0	$\pm 0$	0	$\pm 0$	1	±6		0	$\pm 0$	(	) =	±0
0	±0	0	±0	0	±0	0	±0		0	±0	0	$\pm 0$	0	$\pm 0$		0	$\pm 0$	(	) =	±0
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<math>\pm 145</math>       28       <math>\pm 40</math>       86         0       <math>\pm 0</math>       0       <math>\pm 0</math>       1       <math>\pm 3</math>       4       <math>\pm 8</math>       1       <math>\pm 2</math>       1         14       <math>\pm 25</math>       5       <math>\pm 14</math>       5       <math>\pm 17</math>       7       <math>\pm 15</math>       20       <math>\pm 44</math>       4         2       <math>\pm 5</math>       0       <math>\pm 0</math>       0       <math>\pm 1</math>       0       <math>\pm 0</math>       0       <math>\pm 0</math>       0         10       <math>\pm 17</math>       5       <math>\pm 9</math>       3       <math>\pm 11</math>       15       <math>\pm 25</math>       15       <math>\pm 17</math>       12         24       <math>\pm 19</math>       14       <math>\pm 13</math>       28       <math>\pm 30</math>       24       <math>\pm 24</math>       34       <math>\pm 27</math>       59         0       <math>\pm 0</math> 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        14       <math>\pm 25</math>       5       <math>\pm 14</math>       5       <math>\pm 17</math>       7       <math>\pm 15</math>       20       <math>\pm 44</math>       4       <math>\pm 9</math>       12         2       <math>\pm 5</math>       0       <math>\pm 0</math>       0       <math>\pm 1</math>       0       <math>\pm 0</math>       0       <math>\pm 2</math>       0         10       <math>\pm 17</math>       5       <math>\pm 9</math>       3       <math>\pm 11</math>       15       <math>\pm 25</math>       15       <math>\pm 17</math>       12       <math>\pm 19</math>       11         24       <math>\pm 19</math>       14       <math>\pm 13</math>       28       <math>\pm 30</math>       24       <math>\pm 24</math>       34       <math>\pm 27</math>       59       <math>\pm 41</math>       59         0       <math>\pm 0</math>       0       <math>\pm 0</math>       0       <math>\pm 0</math>       0       <math>\pm 0</math> <math>\pm 1</math>       0       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Data are expressed as arithmetic mean  $\pm$  standard deviation (SD) if not stated otherwise.

		Substitution (ultra-proce			Substitution Model 2 (minimally/unprocessed foods)						
a (95%CI) per 1 SD/day		0.093 (0.03	55, 0.131)	-(	-0.280 (-0.445, -0.115)						
	Q	uintiles of ultra-processe	ed food consumption								
	UPF (g/day) mean intake ± standard deviation (SD)										
Lowest	176 (±102)	Refer	ence		Reference						
Q2	221 (±117)	-0.006	(-0.088, 0.076)	-0.081	(-0.172, 0.009)						
Q3	270 (±129)	0.098	(-0.002, 0.198)	-0.108	(-0.246, 0.030)						
Q4	364 (±133)	0.177	(0.091, 0.264)	-0.142	(-0.294, 0.010)						
Q5	686 (±303)	0.294	(0.201, 0.387)	-0.266	(-0.492, -0.041)						
trend (linear)			<0.001		0.027						

Table S4 Difference in body weight gain (kg) over 5 years according to baseline ultra-processed foods<sup>a</sup> consumption (g/day) in 348 748 men and women using substitution analyses

<sup>a</sup> Energy-adjusted baseline ultra-processed food consumption (UPF) (g/day) using the residual method (1 standard deviation, SD=250g). Standardized residuals were computed by a linear regression of baseline ultra-processed foods (g/day) regressed on energy intake and center. Main model (Model 3) adjusted for age, sex, and BMI at baseline, for educational level, levels of physical activity, alcohol intake at baseline, smoking status at baseline, and plausibility of dietary energy reporting and modified relative Mediterranean diet score. Substitution Model 1: Exclusion of NOVA group 1, while keeping the intake of the other NOVA groups (2, 3,4 plus the total of all NOVA groups) constant. Representing the substitution Model 2: Exclusion of NOVA group 4, while keeping the intake of the other NOVA groups (1, 2, 3, plus the total of all NOVA groups) constant. Representing the substitution of 1 SD of ultra-processed foods by 1 SD ultra-processed foods.

Subgroups	Ν	Beta (95%CI) per 1 SD /day	interaction p- value
Sex			< 0.001
female	255 441	0.132 (0.109, 0.155)	
male	93 307	0.087 (0.049, 0.125)	
Age			< 0.001
under/equal 52 years	178 236	0.157 (0.117, 0.197)	
over 52 years	170 512	0.131 (0.085, 0.177)	
BMI			< 0.001
<25	191 255	0.151 (0.114, 0.189)	
25-30	116 744	0.092 (0.044, 0.141)	
≥30	40 749	0.025 (-0.058, 0.109)	

## Table S5 Difference in body weight gain (kg) over 5 years according to baseline ultraprocessed food<sup>a</sup> consumption (g/day) in different subgroups

Multilevel linear mixed models with random effect on the intercept and slope according to center. Overall mean 5- year weight gain corresponded to  $2 \cdot 1 \text{ kg}$  (SD  $5 \cdot 0$ ) and positive beta values indicate more weight gain (kg) over the same period.

<sup>a</sup> Energy-adjusted baseline ultra-processed food consumption (g/day) using the residual method (1 standard deviation, SD=250g). Standardized residuals were computed by a linear regression of baseline ultra-processed foods (g/day) regressed on energy intake and center.

Main model (Model 3) adjusted for age, sex, and BMI at baseline, for educational level, levels of physical activity, alcohol intake at baseline, smoking status at baseline, and plausibility of dietary energy intake reporting and modified relative Mediterranean diet score.