

G OPEN ACCESS

Citation: Smith P, Rosell A, Farm M, Bruzelius M, Aguilera Gatica K, Mackman N, et al. (2022) Markers of neutrophil activation and neutrophil extracellular traps in diagnosing patients with acute venous thromboembolism: A feasibility study based on two VTE cohorts. PLoS ONE 17(7): e0270865. https://doi.org/10.1371/journal. pone.0270865

Editor: Christian Schulz, Ludwig-Maximilians-Universitat Munchen, GERMANY

Received: October 1, 2021

Accepted: June 19, 2022

Published: July 28, 2022

Copyright: © 2022 Smith et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: Data cannot be shared publicly because of the decision made by the regional Ethics Committee. Data are available from Karolinska Institutet (contact via maja. mansson@ki.se) for researchers who meet the criteria for access to confidential data.

Funding: This work was supported by grants from Karolinska Institutet research internship (AR), the Jochnick Foundation (CT), Region Stockholm

RESEARCH ARTICLE

Markers of neutrophil activation and neutrophil extracellular traps in diagnosing patients with acute venous thromboembolism: A feasibility study based on two VTE cohorts

Philip Smith^{1,2}, Axel Rosell³, Maria Farm^{4,5}, Maria Bruzelius^{1,6}, Katherina Aguilera Gatica³, Nigel Mackman⁷, Jacob Odeberg^{1,6,8,9,10}, Charlotte Thålin³*

 Department of Medicine, Karolinska Institutet, Solna, Stockholm, Sweden, 2 Theme of Emergency and Reparative Medicine, Karolinska University Hospital, Stockholm, Sweden, 3 Division of Internal Medicine, Department of Clinical Sciences, Danderyd Hospital, Karolinska Institutet, Stockholm, Sweden,
Department of Molecular Medicine and Surgery, Karolinska Institutet, Stockholm, Sweden, 5 Department of Clinical Chemistry, Karolinska University Hospital, Stockholm, Sweden, 6 Coagulation Unit, Department of Hematology, Karolinska University Hospital, Stockholm, Sweden, 7 UNC Blood Research Center, Division of Hematology, Department of Medicine, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, United States of America, 8 Department of Protein Science, Science for Life Laboratory, KTH-Royal Institute of Technology, Solna, Sweden, 9 Department of Clinical Medicine, UiT The Arctic University of Norway, Tromsø, Norway, 10 Division of Internal Medicine, University Hospital of North Norway (UNN), Tromsø, Norway

• These authors contributed equally to this work.

* charlotte.thalin@regionstockholm.se

Abstract

Background

Venous thromboembolism (VTE) diagnosis would greatly benefit from the identification of novel biomarkers to complement D-dimer, a marker limited by low specificity. Neutrophil extracellular traps (NETs) have been shown to promote thrombosis and could hypothetically be used for diagnosis of acute VTE.

Objectives

To assess the levels of specific markers of neutrophil activation and NETs and compare their diagnostic accuracy to D-dimer.

Methods

We measured plasma levels of neutrophil activation marker neutrophil elastase (NE), the NET marker nucleosomal citrullinated histone H3 (H3Cit-DNA) and cell-free DNA in patients (n = 294) with suspected VTE (pulmonary embolism and deep vein thrombosis) as well as healthy controls (n = 30). A total of 112 VTE positive and 182 VTE negative patients from two prospective cohort studies were included.

clinical postdoctorial appointment (CT) and Stockholm County Council (MB and JO (grant numbers FoUI-954024, FoUI-952912, FoUI-952641, FoUI-948982, FoUI-950776)). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Competing interests: The authors have declared that no competing interests exist.

Results

Higher levels of H3Cit-DNA and NE, but not cell-free DNA, were associated with VTE. Area under receiver operating curves (AUC) were 0.90 and 0.93 for D-dimer, 0.65 and 0.68 for NE and 0.60 and 0.67 for H3Cit-DNA in the respective cohorts. Adding NE and H3Cit-DNA to a D-dimer based risk model did not improve AUC.

Conclusions

Our study demonstrates the presence of neutrophil activation and NET formation in VTE using specific markers. However, the addition of NE or H3Cit-DNA to D-dimer did not improve the discrimination compared to D-dimer alone. This study provides information on the feasibility of using markers of NETs as diagnostic tools in acute VTE. Based on our findings, we believe the potential of these markers are limited in this setting.

Introduction

Venous thromboembolism (VTE), comprising deep vein thrombosis (DVT) and pulmonary embolism (PE), is a common and potentially fatal condition [1]. An extensive list of predisposing factors and a clinical presentation often mimicking other diseases provides for a diagnostic challenge. The clinical approach in the outpatient setting has essentially remained unchanged for almost two decades. First, the clinical or pre-test probability (PTP) of VTE is assessed using clinical decision rules (CDR), e.g. Wells score or Geneva score [2–4]. Second, VTE is ruled out in low probability cases with a normal D-dimer test. Finally, VTE is confirmed or dismissed in high-probability cases through diagnostic imaging [5, 6].

The strength of D-dimer as a VTE biomarker lies in its high sensitivity and negative predictive value [7]. However, elevated D-dimer levels are not only seen in thrombosis but also in cancer, pregnancy, inflammation, infection, trauma [8] and with increasing age [9]. Despite the introduction of age-adjusted cut-offs [10], its specificity for VTE is at best around 70% with a false positive rate of around 25–30% [11]. This limitation, in combination with Wells score being applicable only to the outpatient setting, brings considerable draw-backs to the current diagnostic strategy including an over-utilization of computer tomography pulmonary angiography (CTPA) [12].

A novel biomarker-based confirmatory test would thereby provide a long sought-after complement in VTE diagnostics and has therefore been the objective of extensive research. Several candidates have been proposed: soluble P-selectin, factor VIII, microparticles (extracellular vesicles), different adhesion molecules (ICAM-1, VCAM-1), C-reactive protein, protein C and S, fibrinogen and cytokines (IL-6, IL-10) [7]. None of these biomarkers have, however, met requirements for clinical implementation.

Neutrophils can externalize decondensed chromatin as a response to strong stimuli in a process referred to as neutrophil extracellular trap (NET) formation [13]. Although first described in response to microbial pathogens, several stimuli have been shown to induce NET formation including soluble P-selectin [14], interleukin-8 [15], and granulocyte-colony stimulating factor [16] and NETs have been implicated in a variety of non-infectious disease settings. In vitro studies have demonstrated that NETs have many different prothrombotic effects on the intravascular micro-environment. This includes platelet adhesion, activation and aggregation as well as promoting thrombin formation [17]. Numerous animal studies have established

a role of NET formation in thrombotic disorders [16-18], and NET components have been found in human venous and arterial thrombi [19, 20]. Markers of NET formation have thereby been proposed novel candidate markers in VTE diagnostics [17, 19, 21-23].

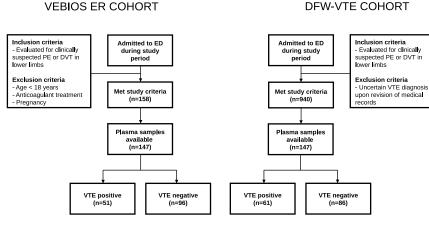
Being core components of the mesh-like NET structures, increased circulating levels of cellfree DNA (cfDNA), granular proteins, e.g. neutrophil elastase (NE) and myeloperoxidase (MPO) and nucleosomes have often been used as markers of NET formation. Diaz et al. demonstrated an association between increased levels of plasma DNA, plasma MPO and DVT [21]. Similarly, increased levels of circulating nucleosomes and neutrophil elastase- α 1-antitrypsin complexes were observed in DVT patients in a study by van Montfoort et al. [23]. However, the interpretation of these markers as NET markers has been strongly questioned as these markers do not solely reflect NET formation but also general tissue damage and neutrophil activation [24, 25]. Prior to NET release, the enzyme peptidylarginine deiminase 4 citrullinates histone H3 in the nucleus contributing to chromatin decondensation, hence citrullinated histone H3 (H3Cit) is regarded a NET-specific marker [26]. Nonetheless, the association between H3Cit and acute VTE has not been studied.

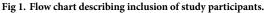
The aim of the study was to investigate the potential of markers of neutrophil activation and NETs as diagnostic biomarkers in VTE, compared to and in combination with D-dimer. We therefore measured levels of nucleosomal H3Cit (H3Cit-DNA), in addition to cfDNA and NE, in two clinical cohorts of patients with confirmed symptomatic VTE and patients with a clinical suspicion of VTE but in whom VTE was ruled out as well as in healthy controls.

Materials and methods

Study participants

Study participants were recruited from two different VTE cohorts, VEBIOS ER and DFW-VTE (Fig 1). In short, all patients were included based on clinical suspicion of VTE (PE and/or DVT in a lower limb). VTE was objectively confirmed using diagnostic imaging and ruled out either by diagnostic imaging or a negative D-dimer test in combination with Wells score in accordance with current guidelines. All blood samples were collected upon admission to the emergency room (ER), before a VTE diagnosis was determined and before any administration of anticoagulant treatment. Time from symptom onset to ER admission varied between the study participants, from hours to days. Similarly, there was some variability regarding the time to blood draw based on individual patient triage level but in general samples were





https://doi.org/10.1371/journal.pone.0270865.g001

collected within a few hours from admission. All participants with available plasma samples were included from both cohorts.

VEBIOS ER

VEnous thromboembolism BIOmarker Study (VEBIOS) has been previously described by Bruzelius et al. [27]. As part of this prospective cohort study, a subgroup of patients was included at the ER at Karolinska University Hospital in Solna, Sweden, between December 2010 to September 2013. All patients were over 18 years of age at time of inclusion. DVT was confirmed using ultrasonography and PE using CTPA. A total of 158 patients (52 VTE positive) were included. Blood samples were collected in EDTA and 0.109 mol/L (3.2%) sodiumcitrate vacutainer tubes by direct venipuncture and sent within 30 minutes to the Karolinska University Laboratory for further processing. After centrifugation at 3000 × g for 10 minutes, plasma aliquots were snap frozen and stored at -80°C. Data was collected from questionnaires filled out by the attending doctor at the ER as well as extracted from electronic medical records (EMR). The extractor was blinded to levels of H3Cit-DNA, NE and cfDNA. The study protocol was approved by the regional Ethical Review Agency in Stockholm, Sweden (Dnr 2010/636-31/4). Informed written consent was obtained from all study participants in accordance with the Declaration of Helsinki.

DFW-VTE

DFW-VTE (D-dimer, Fibrin monomer and Wells score in VTE study) is a prospective singlecenter study and has previously been described by Farm et al. [11]. All patients were included at admittance to the ER of Karolinska University Hospital in Huddinge, Sweden, between April 2014 and May 2015. DVT was confirmed by either doppler ultrasonography or CUS whereas PE was confirmed by either CTPA or ventilation/perfusion lung scintigraphy. A total of 954 patients (125 VTE positive) were included. In a subset of 174 patients, plasma samples were stored for secondary analyses. Out of these, plasma samples were available from 147 patients (61 VTE positive) for the current study. All relevant data was extracted from EMR. The extractor was blinded to levels of H3Cit-DNA, NE and cfDNA. Blood was collected in 0.109 mol/L (3.2%) sodiumcitrate vacutainer tubes by direct venipuncture. After centrifugation at 3000 × g for 10 minutes the samples were frozen at -80°C within one hour. The samples were thawed once in 37°C water-bath and re-frozen at -80°C within 20 minutes after aliquotation. The study protocol was approved by the regional Ethical Review Agency in Stockholm, Sweden (Dnr 2013-2143-31-2). Informed written consent was obtained from all participants in accordance with the Declaration of Helsinki.

Plasma analyses

NE was measured using the PMN Elastase Human ELISA Kit (Abcam, Cambridge, MA, USA, Cat# ab119553) and cfDNA using the Quant-iT PicoGreen dsDNA assay (Invitrogen, Carlsbad, CA, USA, Cat# P7589). These analyses were performed in EDTA plasma in the VEBIOS ER cohort and in citrated plasma in the DFW-VTE cohort. H3Cit-DNA was quantified in citrated plasma using an in-house capture ELISA as previously described [28] in both cohorts. D-dimer levels were measured using TinaQuant FEU (Roche, Basel, Switzerland) in citrated plasma in both cohorts. CRP levels were obtained from EMR. In DFW-VTE, D-dimer data was available for all patients as a result of it being one of the primary endpoints for the study. In VEBIOS ER, D-dimer was only available in patients where testing was considered clinically motivated, i.e. patients with low PTP.

Statistics

To test for normal distribution, Shapiro-Wilk normality test was used. Standard deviations (SD) are reported for parametric data, medians and interquartile ranges (IQR) for nonparametric data. The unpaired student t test was used for parametric data, and the Mann Whitney U test for non-parametric data. Categorical variables are presented as proportions and compared using Fisher's exact test. Discriminatory accuracy of the biomarkers, alone and in combination, for VTE was assessed using logistic regression analysis and presented as Area Under the Receiver Operating Characteristics curve (AUC). AUCs were compared using the Stata command roccomp. Complete case analysis was used and outliers were not excluded. Statistical analyses were performed using GraphPad Prism Software (GraphPad Prism 8, GraphPad Software, Inc., La Jolla, CA, USA) and R version 4.0.3. ROC curves for the different biomarker-based risk models were compared using the function roc.test in the RStudio attachment. All tests were two-tailed and a *P*-value < 0.05 was considered statistically significant.

Results

Patient characteristics

A total of 147 patients were included from each of the cohorts. VTE was confirmed in 51 patients in the VEBIOS ER cohort and in 61 in the DFW-VTE cohort. There were no significant differences in age or sex between VTE positive and VTE negative individuals within either of the cohorts. Among the healthy controls, the median age was 60 (30–69) years and 53% were males. The most common type of VTE was PE (61%) in the VEBIOS ER cohort and DVT (74%) in the DFW-VTE cohort. VTE positive patients in the DFW-VTE cohort more frequently had a history of VTE as well as recent trauma or surgery (p = 0.008 and p = 0.002 respectively). In both cohorts, increased plasma levels of CRP and D-dimer were associated with a confirmed VTE diagnosis (p < 0.0001). Demographic, clinical, and laboratory data are presented in Table 1.

Plasma levels of investigated markers and D-dimer

Plasma levels of investigated markers are presented in <u>Table 2</u> and information on missing data can be found in <u>S1 Table</u>.

Circulating H3Cit-DNA and NE were elevated in patients with VTE. H3Cit-DNA was elevated in VTE positive cases compared to VTE negative cases in both the VEBIOS ER cohort (p = 0.040, Fig 2A) and the DFW-VTE cohort (p = 0.003, Fig 2D). Median levels in VTE cases were similar in both cohorts (p = 0.51). A similar difference was observed for NE in both the VEBIOS ER cohort (p = 0.002, Fig 2B) and the DFW-VTE cohort (p = 0.001, Fig 2E). Median concentrations of NE were generally higher in the DFW-VTE cohort, both when comparing VTE positive cases (p < 0.0001) and VTE negative cases (p = 0.0004) than in the VEBIOS ER cohort. In both cohorts, H3Cit-DNA levels were higher in VTE negative cases compared to healthy controls (Fig 2G), however only reaching significance in VEBIOS ER (p = 0.033). For NE (Fig 2H), a similar pattern was observed with higher levels in VTE negative patients in both VEBIOS ER (p = 0.014) and DFW-VTE (p < 0.0001).

No association between plasma levels of cfDNA and VTE. Notably, there were no differences in the levels of plasma cfDNA between VTE positive and VTE negative cases in any of the cohorts (p = 0.28 for VEBIOS ER (Fig 2C) and p = 0.71 for DFW-VTE (Fig 2F). Further, no difference in the levels of plasma cfDNA was observed between the two cohorts (VTE positive p = 0.34; VTE negative p = 0.60). To allow comparison with prior studies, subgroup analyses were performed within each cohort. However, this did not change the results showing no differences between PE positive and PE negative cases (VEBIOS ER p = 0.37; DFW-VTE

	VEBIOS	VEBIOS ER Cohort		DFW-VTE Cohort		
	VTE positive	VTE negative	VTE positive	VTE negative		
	(n = 51)	(n = 96)	(n = 61)	(n = 86)		
Age, median (IQR), years	57 (48–74)	61 (44–70)	67 (52–75)	68 (49–77)		
Male sex, no. (%)	27 (53)	44 (46)	33 (54)	44 (51)		
VTE type						
DVT confirmed, no. (%)	20 (39)		45 (74)			
Proximal, no. (%)	17 (85)		26 (58)			
Distal, no. (%)	3 (15)		19 (42)			
PE confirmed, no. (%)	31 (61)		16 (26)			
VTE risk factors						
Previous VTE, no. (%)	14 (27)	18 (19)	20 (33)	12 (14)		
Active cancer, no. (%)	5 (9.8)	12 (12.5)	6 (9.8)	8 (9.3)		
Trauma or surgery, no. (%)	3 (5.9)	4 (4.2)	7 (11)	0 (0)		
Pregnancy, no. (%)	0 (0)	0 (0)	2 (3.3)	2 (2.3)		
Medications						
Platelet inhibitors, no. (%)	3 (5.9)	16 (17)	8 (13)	20 (23)		
Estrogen therapy, no. (%)	5 (9.8)	7 (7.3)	3 (4.9)	0 (0)		
Laboratory results						
CRP, median (IQR), mg/L	17 (8–58)	5 (2–18)	12 (6–52)	4 (1-10)		
D-dimer, median (IQR), mg/L FEU	1.7 (1.3-6.4)	0.52 (0.49-0.93)	3.4 (1.7–13)	0.46 (0.26-0.84)		

Table 1. Demographic data, clinical characteristics and routine laboratory results of study participants.

VTE, venous thromboembolism; DVT, deep vein thrombosis; PE, pulmonary embolism; CRP, C-reactive protein; H3Cit-DNA; citrullinated histone H3; NE, neutrophil elastase; cfDNA, cell free DNA; IQR, interquartile range.

https://doi.org/10.1371/journal.pone.0270865.t001

p = 0.90) or DVT positive and DVT negative cases (VEBIOS ER p = 0.99; DFW-VTE p = 0.75). Finally, no significant differences regarding cfDNA levels in healthy controls were observed when compared to the VTE cohorts, regardless of VTE status.

D-dimer was strongly associated with VTE. D-dimer was associated with VTE in both cohorts (p<0.0001). A difference was observed between the cohorts amongst VTE negative patients (p = 0.0007) with higher levels in the VEBIOS ER cohort. An opposite trend was observed in VTE positive cases with higher levels in the DFW-VTE cohort but without reaching statistical significance (p = 0.05). Using age-adjusted cut-offs [10] we calculated study specific sensitivity, 1.00 and 0.97, and specificity, 0.60 and 0.67, for VTE in VEBIOS ER and

Table 2. Plasma concentration of markers of neutrophil activation and NETs.

	VEBIOS ER		DFW-VTE		Healthy
Biomarker	VTE positive	VTE negative	VTE positive	VTE negative	
H3Cit-DNA, median (IQR), ng/ml	110 (61–174)*	73 (32–147)	102 (46-173)**	54 (21-99)	38 (15-88)
NE, median (IQR), ng/ml	31 (24-40)**	24 (20-35)	49 (35-85)**	38 (23–54)	21 (16–27)
cfDNA, median (IQR), ng/ml	423 (377-478)	405 (361-461)	396 (353–459)	392 (350-466)	421 (396-445)

VTE, venous thromboembolism; H3Cit-DNA; citrullinated histone H3; NE, neutrophil elastase; cfDNA, cell free DNA; IQR, interquartile range. NS P > 0.05 * P < 0.05

 ** P < 0.01

***P < 0.001

**** P < 0.0001.

https://doi.org/10.1371/journal.pone.0270865.t002

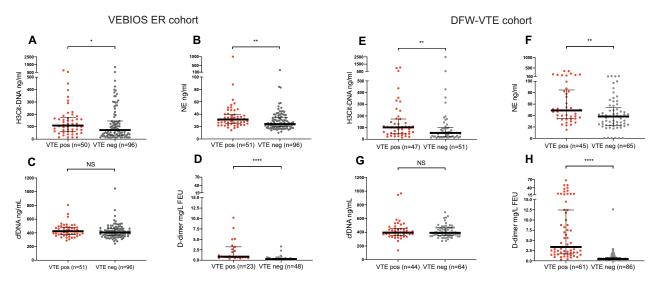


Fig 2. Plasma levels of investigated markers. Increased plasma levels of H3Cit-DNA and NE but not cfDNA was observed in VTE positive study particants compared to VTE negative study participants in both the VEBIOS ER cohort (A-C) and the DFW-VTE cohort (D-F). In the healthy control group (G-I), H3Cit-DNA and NE levels were generally lower compared to the VTE cohorts. However, no difference in plasma levels of cfDNA was observed when compared to VTE negative or VTE positive participants. Lines represent medians with IQR. NS P > 0.05, * P < 0.05, ** P < 0.01, **** P < 0.001.

https://doi.org/10.1371/journal.pone.0270865.g002

DFW-VTE respectively. These findings are in line with the results from prior studies in similar settings [29].

The predictive performance of D-dimer alone is superior to risk models based on H3Cit-DNA and/or NE. In both cohorts, risk models consisting of H3Cit-DNA, NE and D-dimer were all able to discriminate VTE positive from VTE negative cases (Table 3). Upon comparison, the D-dimer based model was superior to both NE (p = 0.008 and p < 0.0001 in VEBIOS ER and DFW-VTE, respectively) and H3Cit-DNA (p < 0.0001 in VEBIOS ER and DFW-VTE, respectively) and H3Cit-DNA (p < 0.0001 in VEBIOS ER and DFW-VTE, respectively). A risk model based on both NE and H3Cit-DNA was still inferior to D-dimer alone (p = 0.003 and p = 0.004 for VEBIOS ER and DFW-VTE respectively). Finally, combining D-dimer with NE and/or H3Cit-DNA did not further increase the accuracy to predict VTE.

We also performed subgroup analysis, evaluating the discriminatory accuracy of NE and H3Cit-DNA based risk models in patients with a positive age-adjusted, D-dimer test (S2 Table). A combination of H3Cit-DNA and NE was able to distinguish VTE positive from VTE

Table 3. Area under receiver operating characteristics curve ((AUC) for D-dimer, H3Cit-DNA and NE.
----------------------------------------------------------------	--------------------------------------

		VEBIOS ER		DFW-VTE	
Blood biomarker	n	AUC (95% CI)	n	AUC (95% CI)	
D-Dimer	71	0.90 (0.84-0.97)	147	0.93 (0.89-0.97)	
NE	147	0.65 (0.56-0.74)	110	0.68 (0.58-0.78)	
H3Cit-DNA	146	0.60 (0.51-0.70)	98	0.67 (0.57-0.78)	
H3Cit-DNA + NE	146	0.65 (0.54-0.73)	61	0.76 (0.64-0.88)	
H3Cit-DNA + D-dimer	71	0.90 (0.84-0.97)	98	0.93 (0.89-0.98)	
NE + D-dimer	71	0.90 (0.84-0.97)	110	0.92 (0.87-0.97)	
H3Cit-DNA + NE + D-dimer	71	0.90 (0.84-0.97)	61	0.94 (0.88-0.99)	

CI; confidence interval; H3Cit-DNA, citrullinated histone H3 DNA; NE, neutrophil elastase

https://doi.org/10.1371/journal.pone.0270865.t003

negative cases in both cohorts as opposed to H3Cit-DNA alone. The results for NE was inconsistent between the cohorts.

Finally, predictive performance of NE and H3Cit-DNA in combination with D-dimer was also evaluated by difference in continuous net reclassification improvement (cNRI) and integrated discrimination improvement (IDI) compared to a base model of D-dimer alone (<u>S3 Table</u>). These analyses did not indicate any added value of NE or H3Cit-DNA to D-dimer alone.

Discussion

This is the first study demonstrating an association between plasma levels of NET specific marker H3Cit-DNA and VTE. In addition, increased levels of the neutrophil activation marker NE were also observed in VTE positive cases. These findings are in line with prior data on the role of NET formation in VTE. However, neither H3Cit-DNA nor NE provided any added value to D-dimer in assessing the clinical probability of VTE suggesting a limited role as diagnostic biomarkers.

Prior studies investigating the role of NETs in clinical VTE diagnostics have employed surrogate NET markers, such as cfDNA, nucleosomes and MPO [21, 23]. There are five studies showing elevations of cfDNA in PE patients [30–34] and three studies showing no elevations in this patient population [35–37]. More recently, granular proteins have been examined as potential diagnostic markers in DVT patients. van Montfoort et al. assessed plasma levels of circulating nucleosomes and NE in 345 patients; 150 patients with confirmed symptomatic DVT and 195 patients with a clinical suspicion of DVT but in whom DVT was excluded [23]. High levels of both markers were associated with an increased probability of DVT. Similar results were obtained in another study investigating cfDNA and MPO in 47 patients with DVT compared to 28 patients with a clinical suspicion of DVT but in whom DVT was excluded [21]. A study investigating a biomarker panel of fifty diagnostic candidates in PE revealed a high discriminatory performance of MPO, only outperformed by D-dimer [38]. Although some of these markers are clearly associated with VTE, their clinical utility remains unclear. We found no elevations of cfDNA in VTE patients in either of the cohorts used in this study.

Notably, elevations of circulating H3Cit have been observed in several conditions associated with an increased risk of VTE, including infection [39], cancer [40], autoimmune disease [41] and arterial thrombosis [42]. The increased plasma levels of H3Cit-DNA and NE observed in VTE patients in both of our study cohorts could therefore also be associated with the underlying cause of VTE in addition to be a consequence of VTE itself. We believe that these circumstances are reflected in the limited diagnostic value of the investigated markers as indicated by the AUC when compared to D-dimer.

In theory, novel markers with low correlation to D-dimer but nonetheless strong association to VTE have a better potential to complement the current diagnostic strategy. From a pathophysiological perspective, tentative markers include those reflecting activation and/or dysfunction of endothelial cells and platelets, hypercoagulation as well as thrombus formation and stabilization, rather than fibrinolysis. Historically, markers of inflammation, for example CRP and IL-6, have been evaluated and associated with occurrence and extent of VTE [43– 45]. However, in a clinical context distinguishing thromboinflammation from other inflammatory processes may be a challenging task and this perhaps explains why none of the proposed markers have reached clinical implementation. Thanks to omics-based research, a potential way to address the often complex etiology of VTE, for example including both infection and inflammation, is to use a panel of proteins in combination with clinical risk factors to improve diagnostics. Savchenko et al. [19] found that H3Cit and other NET components predominantly were localized in organizing venous thrombi, as opposed to unorganized and organized thrombi. Similarly, De Boer et al. [46] identified the presence of NETs in fresh but not older coronary artery thrombi. Taken together, these findings could suggest that NETs primarily play a role in early thrombus formation and possibly degrades over time. Therefore, it is reasonable to assume that thrombus age also affects the plasma concentration of circulating NETs components. Even though all research subjects were sampled upon ER admission, the time from clot formation and symptom onset to blood draw can be assumed to vary considerably. With stricter inclusion criteria in regard to time from symptom onset to sample collection it is possible that the investigated markers would have shown a stronger association and higher specificity to VTE. This could perhaps therefor be considered a limitation in our study. However, we do not believe it has serious effects on the possibility to answer the main question of the study, i.e. the potential role of NET markers in VTE diagnostics. Biomarkers with very specific requirements in regard to duration of symptoms and preanalytical handling have a much smaller chance to reach clinical implementation.

There are also some other limitations to consider in this study, for example the post-hoc design, as well as a varying degree of missing laboratory data between the cohorts. We acknowledge a systematic difference in NE levels between the cohorts and attribute this to differences in preanalytical handling and preparation of the plasma.

This study is strengthened by the consistency of results across two large, independent cohorts of VTE patients, indicating a high generalizability and external validity. Furthermore, both cohorts comprise of both PE and DVT patients. The inclusion of patients prior to establishing VTE diagnosis and initiation of anticoagulant treatment, as well as the control group of patients with initially suspected VTE where the diagnosis was ruled out, further strengthens the result. The results were consistent across cohorts despite a higher rate of DVT in DFW-VTE, supporting our decision to analyze VTE positive patients on an aggregated level instead of subcategorized into PE and DVT.

Conclusions

Neutrophil activation marker NE and NET specific marker H3Cit-DNA are elevated in patients with acute VTE. However, the diagnostic accuracy of these markers does not exceed that of the clinically used D-dimer. Furthermore, the addition of NE or H3Cit-DNA to D-dimer did not improve the diagnostic accuracy of D-dimer, suggesting a limited use in a clinical VTE setting.

Supporting information

S1 Table. Missing data for investigated markers and D-dimer. (DOCX)

S2 Table. Predictive performance for H3Cit-DNA and NE in D-dimer positive subgroups in each cohort.

(DOCX)

S3 Table. Predictive performance for H3Cit-DNA and NE in combination with D-dimer compared to a base model of D-dimer alone by difference in continuous net reclassification improvement (cNRI) and integrated discrimination improvement (IDI) analysis. (DOCX)

Acknowledgments

The authors thank the nurses and physicians at the Emergency Department, Karolinska University Hospital Solna, for their assistance in including study participants as well as research nurse Anna Fahlén for her work with the biobank for VEBIOS ER.

Author Contributions

Conceptualization: Philip Smith, Axel Rosell, Jacob Odeberg, Charlotte Thålin.

Data curation: Philip Smith, Maria Farm, Maria Bruzelius, Jacob Odeberg.

Formal analysis: Philip Smith, Axel Rosell.

Investigation: Axel Rosell, Katherina Aguilera Gatica.

Supervision: Jacob Odeberg, Charlotte Thålin.

Writing - original draft: Philip Smith, Axel Rosell.

Writing – review & editing: Philip Smith, Axel Rosell, Maria Farm, Maria Bruzelius, Katherina Aguilera Gatica, Nigel Mackman, Jacob Odeberg, Charlotte Thålin.

References

- Heit JA, Spencer FA, White RH. The epidemiology of venous thromboembolism. J Thromb Thrombolysis. 2016; 41(1):3–14. https://doi.org/10.1007/s11239-015-1311-6 PMID: 26780736
- Wells PS, Anderson DR, Rodger M, Stiell I, Dreyer JF, Barnes D, et al. Excluding pulmonary embolism at the bedside without diagnostic imaging: management of patients with suspected pulmonary embolism presenting to the emergency department by using a simple clinical model and d-dimer. Ann Intern Med. 2001; 135(2):98–107. https://doi.org/10.7326/0003-4819-135-2-200107170-00010 PMID: 11453709
- Wells PS, Anderson DR, Rodger M, Forgie M, Kearon C, Dreyer J, et al. Evaluation of D-dimer in the diagnosis of suspected deep-vein thrombosis. N Engl J Med. 2003; 349(13):1227–35. https://doi.org/ 10.1056/NEJMoa023153 PMID: 14507948
- Le Gal G, Righini M, Roy PM, Sanchez O, Aujesky D, Bounameaux H, et al. Prediction of pulmonary embolism in the emergency department: the revised Geneva score. Ann Intern Med. 2006; 144(3):165– 71. https://doi.org/10.7326/0003-4819-144-3-200602070-00004 PMID: 16461960
- Kline JA, Mitchell AM, Kabrhel C, Richman PB, Courtney DM. Clinical criteria to prevent unnecessary diagnostic testing in emergency department patients with suspected pulmonary embolism. J Thromb Haemost. 2004; 2(8):1247–55. https://doi.org/10.1111/j.1538-7836.2004.00790.x PMID: 15304025
- Konstantinides SV, Meyer G, Becattini C, Bueno H, Geersing GJ, Harjola VP, et al. 2019 ESC Guidelines for the diagnosis and management of acute pulmonary embolism developed in collaboration with the European Respiratory Society (ERS). Eur Heart J. 2020; 41(4):543–603. https://doi.org/10.1093/ eurheartj/ehz405 PMID: 31504429
- Jacobs B, Obi A, Wakefield T. Diagnostic biomarkers in venous thromboembolic disease. J Vasc Surg Venous Lymphat Disord. 2016; 4(4):508–17. https://doi.org/10.1016/j.jvsv.2016.02.005 PMID: 27639008
- Kabrhel C, Mark Courtney D, Camargo CA Jr., Plewa MC, Nordenholz KE, Moore CL, et al. Factors associated with positive D-dimer results in patients evaluated for pulmonary embolism. Acad Emerg Med. 2010; 17(6):589–97. https://doi.org/10.1111/j.1553-2712.2010.00765.x PMID: 20624138
- Harper PL, Theakston E, Ahmed J, Ockelford P. D-dimer concentration increases with age reducing the clinical value of the D-dimer assay in the elderly. Intern Med J. 2007; 37(9):607–13. https://doi.org/10. 1111/j.1445-5994.2007.01388.x PMID: 17543005
- Douma RA, le Gal G, Söhne M, Righini M, Kamphuisen PW, Perrier A, et al. Potential of an age adjusted D-dimer cut-off value to improve the exclusion of pulmonary embolism in older patients: a retrospective analysis of three large cohorts. Bmj. 2010; 340:c1475. https://doi.org/10.1136/bmj.c1475 PMID: 20354012
- 11. Farm M, Siddiqui AJ, Onelöv L, Järnberg I, Eintrei J, Maskovic F, et al. Age-adjusted D-dimer cut-off leads to more efficient diagnosis of venous thromboembolism in the emergency department: a

comparison of four assays. Journal of Thrombosis and Haemostasis. 2018; 16(5):866–75. https://doi.org/10.1111/jth.13994 PMID: 29505695

- Perera M, Aggarwal L, Scott IA, Cocks N. Underuse of risk assessment and overuse of computed tomography pulmonary angiography in patients with suspected pulmonary thromboembolism. Intern Med J. 2017; 47(10):1154–60. https://doi.org/10.1111/imj.13524 PMID: 28635149
- Brinkmann V, Reichard U, Goosmann C, Fauler B, Uhlemann Y, Weiss DS, et al. Neutrophil extracellular traps kill bacteria. Science. 2004; 303(5663):1532–5. https://doi.org/10.1126/science.1092385 PMID: 15001782
- Etulain J, Martinod K, Wong SL, Cifuni SM, Schattner M, Wagner DD. P-selectin promotes neutrophil extracellular trap formation in mice. Blood. 2015; 126(2):242–6. https://doi.org/10.1182/blood-2015-01-624023 PMID: 25979951
- Alfaro C, Teijeira A, Oñate C, Pérez G, Sanmamed MF, Andueza MP, et al. Tumor-Produced Interleukin-8 Attracts Human Myeloid-Derived Suppressor Cells and Elicits Extrusion of Neutrophil Extracellular Traps (NETs). Clin Cancer Res. 2016; 22(15):3924–36. <u>https://doi.org/10.1158/1078-0432.CCR-15-</u> 2463 PMID: 26957562
- Demers M, Krause DS, Schatzberg D, Martinod K, Voorhees JR, Fuchs TA, et al. Cancers predispose neutrophils to release extracellular DNA traps that contribute to cancer-associated thrombosis. Proc Natl Acad Sci U S A. 2012; 109(32):13076–81. <u>https://doi.org/10.1073/pnas.1200419109</u> PMID: 22826226
- Fuchs TA, Brill A, Duerschmied D, Schatzberg D, Monestier M, Myers DD Jr., et al. Extracellular DNA traps promote thrombosis. Proc Natl Acad Sci U S A. 2010; 107(36):15880–5. https://doi.org/10.1073/ pnas.1005743107 PMID: 20798043
- Jiménez-Alcázar M, Rangaswamy C, Panda R, Bitterling J, Simsek YJ, Long AT, et al. Host DNases prevent vascular occlusion by neutrophil extracellular traps. Science. 2017; 358(6367):1202–6. <u>https:// doi.org/10.1126/science.aam8897 PMID: 29191910</u>
- Savchenko AS, Martinod K, Seidman MA, Wong SL, Borissoff JI, Piazza G, et al. Neutrophil extracellular traps form predominantly during the organizing stage of human venous thromboembolism development. J Thromb Haemost. 2014; 12(6):860–70. https://doi.org/10.1111/jth.12571 PMID: 24674135
- Arenas de Larriva AP, Alonso A, Norby FL, Roetker NS, Folsom AR. Circulating ceruloplasmin, ceruloplasmin-associated genes and the incidence of venous thromboembolism in the Atherosclerosis Risk in Communities study. J Thromb Haemost. 2019; 17(5):818–26. <u>https://doi.org/10.1111/jth.14420</u> PMID: 30803124
- Diaz JA, Fuchs TA, Jackson TO, Kremer Hovinga JA, Lämmle B, Henke PK, et al. Plasma DNA is Elevated in Patients with Deep Vein Thrombosis. J Vasc Surg Venous Lymphat Disord. 2013; 1(4):341–8. e1. https://doi.org/10.1016/j.jvsv.2012.12.002 PMID: 24187669
- Kimball AS, Obi AT, Diaz JA, Henke PK. The Emerging Role of NETs in Venous Thrombosis and Immunothrombosis. Front Immunol. 2016; 7:236. https://doi.org/10.3389/fimmu.2016.00236 PMID: 27446071
- van Montfoort ML, Stephan F, Lauw MN, Hutten BA, Van Mierlo GJ, Solati S, et al. Circulating nucleosomes and neutrophil activation as risk factors for deep vein thrombosis. Arterioscler Thromb Vasc Biol. 2013; 33(1):147–51. https://doi.org/10.1161/ATVBAHA.112.300498 PMID: 23104849
- Laridan E, Martinod K, De Meyer SF. Neutrophil Extracellular Traps in Arterial and Venous Thrombosis. Semin Thromb Hemost. 2019; 45(1):86–93. https://doi.org/10.1055/s-0038-1677040 PMID: 30634198
- Thålin C, Hisada Y, Lundström S, Mackman N, Wallén H. Neutrophil Extracellular Traps: Villains and Targets in Arterial, Venous, and Cancer-Associated Thrombosis. Arterioscler Thromb Vasc Biol. 2019; 39(9):1724–38. https://doi.org/10.1161/ATVBAHA.119.312463 PMID: 31315434
- Leshner M, Wang S, Lewis C, Zheng H, Chen XA, Santy L, et al. PAD4 mediated histone hypercitrullination induces heterochromatin decondensation and chromatin unfolding to form neutrophil extracellular trap-like structures. Front Immunol. 2012; 3:307. https://doi.org/10.3389/fimmu.2012.00307 PMID: 23060885
- Bruzelius M, Iglesias MJ, Hong MG, Sanchez-Rivera L, Gyorgy B, Souto JC, et al. PDGFB, a new candidate plasma biomarker for venous thromboembolism: results from the VEREMA affinity proteomics study. Blood. 2016; 128(23):e59–e66. https://doi.org/10.1182/blood-2016-05-711846 PMID: 27742707
- 28. Thålin C, Aguilera K, Hall NW, Marunde MR, Burg JM, Rosell A, et al. Quantification of citrullinated histones: Development of an improved assay to reliably quantify nucleosomal H3Cit in human plasma. J Thromb Haemost. 2020; 18(10):2732–43. https://doi.org/10.1111/jth.15003 PMID: 32654410
- 29. De Pooter N, Brionne-François M, Smahi M, Abecassis L, Toulon P. Age-adjusted D-dimer cut-off levels to rule out venous thromboembolism in patients with non-high pre-test probability: Clinical performance and cost-effectiveness analysis. J Thromb Haemost. 2021; 19(5):1271–82. <u>https://doi.org/10.1111/jth.15278 PMID: 33638267</u>

- Sipes JN, Suratt PM, Teates CD, Barada FA, Davis JS, Tegtmeyer CJ. A prospective study of plasma DNA in the diagnosis of pulmonary embolism. Am Rev Respir Dis. 1978; 118(3):475–8. https://doi.org/ 10.1164/arrd.1978.118.3.475 PMID: 707876
- Barada FA Jr., Suratt PM, Davis JSt, Sipes JN, Castle CA, Taylor RP, et al. Free plasma DNA in patients with pulmonary embolism. South Med J. 1980; 73(3):345–6, 50. <u>https://doi.org/10.1097/</u> 00007611-198003000-00021 PMID: 7361141
- Lippmann ML, Morgan L, Fein A, Shapiro B, Leon SA. Plasma and serum concentrations of DNA in pulmonary thromboembolism. Am Rev Respir Dis. 1982; 125(4):416–9. <u>https://doi.org/10.1164/arrd.1982</u>. 125.4.416 PMID: 7073111
- Riboldi P, Asero R, Marazzini L, Longhini E, Cherubini P, Pacetti M, et al. Detection of circulating DNA in plasma of patients with pulmonary embolism by counterimmunoelectrophoresis. Respiration. 1984; 45(1):45–9. https://doi.org/10.1159/000194596 PMID: 6689797
- Vargo JS, Becker DM, Philbrick JT, Schoonover FW, Davis JS. Plasma DNA. A simple, rapid test for aiding the diagnosis of pulmonary embolism. Chest. 1990; 97(1):63–8. https://doi.org/10.1378/chest. 97.1.63 PMID: 2295262
- Goldhaber SZ, Hennekens CH, Markisz JA, McNeil BJ, Glynn MA, Bettmann MA, et al. Low sensitivity of plasma DNA in screening for pulmonary embolism. Am Rev Respir Dis. 1982; 126(2):360–1. https://doi.org/10.1164/arrd.1982.126.2.360 PMID: 7103265
- Breitwieser WR, Hartman CW, Morris WS, Fleetwood MK, Baxter JA, Albertini RE. Plasma DNA in the diagnosis of pulmonary embolism. Thorax. 1983; 38(3):209–11. https://doi.org/10.1136/thx.38.3.209
 PMID: 6857586
- Cooper TJ, Prothero DL, Gillett MG, Pallister CJ, Mitchelmore AE, Goddard PR, et al. Laboratory investigation in the diagnosis of pulmonary thromboembolism. Q J Med. 1992; 83(301):369–79. PMID: 1438672
- Nordenholz KE, Mitchell AM, Kline JA. Direct comparison of the diagnostic accuracy of fifty protein biological markers of pulmonary embolism for use in the emergency department. Acad Emerg Med. 2008; 15(9):795–9. https://doi.org/10.1111/j.1553-2712.2008.00203.x PMID: 19244629
- Jackson Chornenki NL, Coke R, Kwong AC, Dwivedi DJ, Xu MK, McDonald E, et al. Comparison of the source and prognostic utility of cfDNA in trauma and sepsis. Intensive Care Med Exp. 2019; 7(1):29. https://doi.org/10.1186/s40635-019-0251-4 PMID: 31119471
- 40. Thålin C, Lundström S, Seignez C, Daleskog M, Lundström A, Henriksson P, et al. Citrullinated histone H3 as a novel prognostic blood marker in patients with advanced cancer. PLoS One. 2018; 13(1): e0191231. https://doi.org/10.1371/journal.pone.0191231 PMID: 29324871
- 41. Garabet L, Henriksson CE, Lozano ML, Ghanima W, Bussel J, Brodin E, et al. Markers of endothelial cell activation and neutrophil extracellular traps are elevated in immune thrombocytopenia but are not enhanced by thrombopoietin receptor agonists. Thromb Res. 2020; 185:119–24. <u>https://doi.org/10.1016/j.thromres.2019.11.031</u> PMID: 31805421
- Vallés J, Lago A, Santos MT, Latorre AM, Tembl JI, Salom JB, et al. Neutrophil extracellular traps are increased in patients with acute ischemic stroke: prognostic significance. Thromb Haemost. 2017; 117 (10):1919–29. https://doi.org/10.1160/TH17-02-0130 PMID: 28837206
- Ramacciotti E, Blackburn S, Hawley AE, Vandy F, Ballard-Lipka N, Stabler C, et al. Evaluation of soluble P-selectin as a marker for the diagnosis of deep venous thrombosis. Clin Appl Thromb Hemost. 2011; 17(4):425–31. https://doi.org/10.1177/1076029611405032 PMID: 21593019
- Thomas EA, Cobby MJ, Rhys Davies E, Jeans WD, Whicher JT. Liquid crystal thermography and C reactive protein in the detection of deep venous thrombosis. Bmj. 1989; 299(6705):951–2. https://doi. org/10.1136/bmj.299.6705.951 PMID: 2508949
- 45. Rabinovich A, Cohen JM, Cushman M, Kahn SR. Association between inflammation biomarkers, anatomic extent of deep venous thrombosis, and venous symptoms after deep venous thrombosis. J Vasc Surg Venous Lymphat Disord. 2015; 3(4):347–53.e1. https://doi.org/10.1016/j.jvsv.2015.04.005 PMID: 26992609
- 46. de Boer OJ, Li X, Teeling P, Mackaay C, Ploegmakers HJ, van der Loos CM, et al. Neutrophils, neutrophil extracellular traps and interleukin-17 associate with the organisation of thrombi in acute myocardial infarction. Thromb Haemost. 2013; 109(2):290–7. https://doi.org/10.1160/TH12-06-0425 PMID: 23238559