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29

#### 30 ABSTRACT

Aim: Distribution patterns on lineages alone do not explain the processes underlying phylogenetic differentiation in fishes observed around the Arabian Peninsula, whose hypotheses traditionally rely on either (i) Pleistocene vicariance events, (ii) successive bottlenecks, (iii) recent founder effect, (iv) and large spatial gradients in physical conditions. In this study, we test the hypothesis that phylogeographic patterns of coraldependent fish species inhabiting the peninsula may be driven by a combination of ocean circulation, larval behavior and seascape features.

38 **Location:** Arabian Peninsula.

39 **Taxa:** Multitaxa.

40 **Methods:** A biophysical modeling system that relies on stochastic Lagrangian framework 41 and Individual-Based Model was used to simulate larval dispersal through three putative 42 barriers, by tracking three-dimensional movements of virtual particles in ocean circulation 43 scenarios. We explored the range of dispersal capabilities across reef fish species by 44 creating 72 hypothetical strategies, each representing a unique combination of five 45 biological traits, namely: pelagic larval duration, spawning periodicity, mortality rate, 46 reproductive output and vertical migration. 47 Results: The strength of the barriers was highly variable as a function of all biological 48 traits (except reproductive output) and indicated high asymmetry of connectivity, and 49 hence gene flow, between adjacent areas. In addition, direction and distance travelled by 50 the virtual larvae varied according to both the geographic position of releasing site and 51 biannual monsoonal winds. On average, larvae released during the summer exhibited a 52 higher potential for dispersal than larvae released during the winter.

53 **Main conclusions:** Our biophysical models showed that in the Arabian Peninsula, the 54 combination of hydrodynamic, seascape features and larval traits likely affect the 55 distribution of genetic lineages due to the interruption, reduction or asymmetry of larval 56 movements through the putative barriers.

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58 KEYWORDS: Biophysical modeling, Connectivity modeling system, Larval dispersal,
59 Oceanographic barriers, Phylogeography.

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61

# 62 **INTRODUCTION**

For coral-dependent organisms, whose populations inhabit discrete habitats, inter patch dispersal occurs mainly during early larval stages when broad spawning sedentary adults release in the water column tiny planktonic propagules, which disperse in association with oceanic current systems. As such, the direction and magnitude of prevailing currents can result in two general scenarios; spread out lineage distribution by aiding the dispersal of the propagules to future patches or hindrance of lineage distribution by disrupting propagules movement. Thus, dispersal mechanisms play a primary role in determining 10 levels of gene flow in populations, where the first scenario suggests species with high 11 genetic homogeneity across vast areas (i.e., panmintic unit), while the second scenario 12 explains either genetic discontinuities (Bowen et al., 2016) or, ultimately, the existence of 13 endemic species (Cowen et al., 2000).

74 However, the dispersal in the marine realm is not controlled by ocean circulation 75 alone. Propagules behavioral capabilities create a wide variety of physical-biological 76 interactions that may also maximize or minimize the larval dispersal (Leis, 2020; North et 77 al., 2009). For instance, ontogenetic vertical shift may place the larvae under the influence 78 of different water masses (Stenevik et al., 2003; Torquato & Muelbert 2014), and longer 79 time from hatching to settlement may confer greater dispersal ability (Leis, 2021). In 80 addition, mesoscale oceanographic processes such as fronts (Galarza et al., 2009), river 81 runoffs (Rocha, 2003) and upwelling (Lett et al., 2007), act as semi-permeable barriers to 82 marine faunal connectivity and their permeability are affected by biological traits (Ayre et 83 al., 2009).

84 In the Arabian Peninsula, zoogeographic and population genetic studies on coral-85 dependent fishes have shown discontinuities (i.e., barriers) in both species and genetic 86 distributions, respectively, along the seas bordering the peninsula (Berumen et al. 2017; 87 Burt et al., 2011; DiBattista, Roberts, et al., 2016). The most remarkable of these 88 discontinuities is due to the upwelling off Oman, which distinguishes the fish fauna from 89 each side of the peninsula (DiBattista, Choat, et al., 2016). Two other discontinuities in 90 species compositions are observed, one between the Red Sea and the adjacent Gulf of 91 Aden, through the Bab el-Mandeb Strait, and another between the Arabian/Persian Gulf 92 (henceforth referred as the Gulf) and the adjacent Sea of Oman, through the Strait of Hormuz (DiBattista, Roberts, et al., 2016). These boundaries described by multispecies
distribution records, are also logical places to present-day barriers for gene flow between
populations (Baums et al., 2006). Indeed, population genetic studies carried out in the
Northwestern Indian Ocean (NIO) have revealed similarities in the geographic position of
barriers previously proposed (DiBattista et al., 2013, 2015, 2017; Nanninga et al., 2014;
Priest et al., 2016; Saenz-Agudelo et al., 2015; Torquato et al., 2019).

99 Hypotheses to explain the distribution of genetic diversity in the Arabian Peninsula 100 usually rely on either parapatric speciation pattern, which resulted from repeated historical 101 vicariance events or ecological speciation which is due to the large spatial gradients and 102 temporal fluctuations in physical conditions across the peninsula (DiBattista, Roberts, et 103 al., 2016; DiBattista, Choat, et al., 2016; Nanninga et al., 2014). An alternative hypothesis 104 has been attributed to the seasonal upwelling in the Arabian Sea off Oman, which creates 105 unsuitable condition for discrete coral-habitat growth along southern Omani coast 106 (Sheppard & Salm, 1988) and hence potentially restricting stepping-stone connectivity 107 between both sides of the Arabian Peninsula. In turn, little attention has been given to test 108 hypotheses where the combination of seascape features, ocean circulation and larval traits 109 underling the genetic patterns observed among coral-dependent fishes inhabiting the 110 Arabian Peninsula.

Although a more comprehensive picture is emerging in the Arabian Peninsula with respect to marine phylogeographic patterns (Berumen et al., 2017; DiBattista, Roberts, et al., 2016; DiBattista, Choat, et al., 2016), the processes affecting larval dispersal across the putative barriers are not yet fully understood due to the paucity of empirical studies (Berumen et al., 2017; Kemp, 1998). However, in many cases, hypotheses in marine

116 ecology are prohibitively time-consuming and expensive to be empirically tested, largely 117 due to the impossibility of capturing the full range of temporal and spatial fine-scale 118 resolution required for making inferences (Cowen & Sponaugle, 2009). In addition, 119 political realities of some countries bordering the Western Indian Ocean (WIO) have 120 limited access to scientists, hindering a more thorough investigation of phylogeography 121 pattern in the region (Berumen et al., 2017). In such cases, if limited empirical data are 122 available, reliable computational models can be used to make field predictions and advance 123 our knowledge of designing future experiments for hypothesis testing (Cowen & 124 Sponaugle, 2009).

125 Advances in physical circulation models have enabled the investigation of 126 population connectivity by running semi-realistic simulations of virtual particles. Here we 127 used high-resolution ocean circulation model (Hybrid Coordinate Ocean Model -128 HYCOM) to design a biophysical model in a Lagrangian stochastic scheme (Paris et al., 129 2013). The main goal of this study is to simulate multitaxon larval dispersal through the 130 putative marine barriers, and thus providing insights into processes and patterns of 131 connectivity leading to the distribution of genetic diversity of coral-dependent fishes 132 around the Arabian Peninsula. Specifically, we shed light on three questions: (1) what 133 biological attributes affect the larval dispersal through the Bab el-Mandeb Strait and Strait 134 of Hormuz? (2) What is the impact of the upwelling off Oman on the larval connectivity 135 between both sides of the peninsula? (3) How does oceanographic variability, due to the 136 seasonal monsoon, affects larval dispersal pattern (i.e. direction and magnitude travelled 137 by the particle)? Our results provide detailed predictions that can be compared to previous 138 and future empirical studies on the distribution of biodiversity in the Arabian Peninsula.

## 139 MATERIAL AND METHODS

#### 140 Study area

141 The Arabian Peninsula lies on a hyper-arid region in Southwest Asia at the junction 142 of this continent with Africa (Figure 1). The water-mass distribution and upper-ocean 143 circulation surrounding the peninsula change in correspondence with biannual wind 144 reversals, creating seasonality in oceanographic conditions (Cutler & Swallow, 1984; 145 Shetye et al., 1994). During the NE monsoon in the winter (November - March), the wind 146 blows away from the Asian continent, and the ocean surface circulation in the Arabian Sea 147 is approximately counter-clockwise. On the other hand, during the summer SW monsoon 148 (May-September), the wind reverses and blows strongly, such that the circulation in the 149 Arabian Sea becomes clockwise. March-April and October, in turn, are transition periods 150 and the winds are weak (see Cutler & Swallow, 1984).

151

# 152 Biophysical model and larval dispersal simulation

Idealized dispersal of fish larvae was modeled using an open-source program, Connectivity Modeling System (CMS v. 2.0; Paris et al., 2013), which is a biophysical modeling system based on stochastic Lagrangian framework and Individual-Based Model (IBM) that couple ocean current, GIS-based habitat, and biological traits. In brief, CMS uses information on currents and environmental conditions to simulate both deterministic fourth-order Runge-Kutta and/or stochastic displacements of numerous virtual particles (hereafter called larvae), through space and time.

160 The Lagrangian three-dimensional method is a more realistic approach to simulate161 larval dispersal in comparison to the Eulerian advection-diffusion methods. Additionally,

162 the Lagrangian approach is more suitable for this study, which aims to assess biological-163 physical interactions and hydrographic variability conditions (e.g., extreme events, 164 perturbation, instability) on larval dispersal. Nevertheless, although more realistic, 165 Langragian models have computational requirements that make it impossible to release a 166 real number of larvae per species. Alternatively, Eulerian advection-diffusion methods, 167 though not spatially realistic, have a large impact on dispersal kernel and hence are suitable 168 in quantifying evolutionarily significant tails in evolutionary connectivity studies (Treml 169 et al., 2012).

170

171 *Hydrodynamic model* 

172 We used the CMS package getdata to download three-hourly ocean current 173 velocities from the three-dimensional and eddy-resolving Hybrid Coordinate Ocean Model 174 (HYCOM, GLBv0.08) from 2018 to 2019. The model had a horizontal resolution of ca. 9 175 km grid  $(1/12^{\circ})$ , and was set up in a nested domain  $(0^{\circ} - 30^{\circ} \text{ N}, 31^{\circ} - 70^{\circ} \text{ E})$ , comprising 176 twenty layers from the surface up to 100 meters depth (see Figure S1.1 - S1.3 in Supporting 177 Information). The HYCOM model has been extensively used in the study area, including 178 the Arabian Sea (McClean, 2015), the Gulf (Yao & Johns, 2010) and the Red Sea (Chang 179 et al., 2008), and is in agreement with other hydrodynamic models (e.g., MIKE 3 FM; 180 Cavalcante et al., 2020).

181

182 GIS-based Seascape module

183 The GIS served to delineate the source and recruitment habitats. Suitable releasing
184 (source habitat) and settlement (sink habitat) locations were delineated in QGIS v.2.18 by

185 creating a vector grid that overlies the distribution of coral reefs data from the UNEP-186 WCMC (2010) across the nested domain. Thus, a total of 181 polygons (~ 18km x 18km) 187 representing coral reef habitats were placed along coastal areas within our model domain. 188 It should be noted that we included all the reef areas from the Gulf of Aden, Arabian Sea 189 and Sea of Oman; however, within the Red Sea and the Gulf, only those reefs surrounding 190 the Bab el-Mandeb Strait and the Strait of Hormuz were included, respectively. Reefs 191 positioned too far from the straits were excluded from the model, as the larvae released 192 from them did not reach the putative barriers (see Figure S1.4 in Supporting Information).

193

## 194 Particle-tracking module

Stochastic IBM Lagrangian model tracked offline over 157 million larvae around
the Arabian Peninsula for 72 hypothetical strategies (see below). A total of 86,011,200
larvae were released to mimic taxa spawning throughout year, whereas 35,838,000 larvae
were tracked to simulate taxa spawning on either winter monsoon (November 2018 –
March 2019) or summer monsoon (May 2019 – September 2019).

200 Preliminary sensitive analysis showed no significant difference in the settlement 201 proportion when seeding 100 larvae from the center of the 181 polygons every 3, 6, 12 or 202 24 hours (see Appendix S2 in Supporting Information). Therefore, the 100 larvae were 203 released from each reef at every 24 hours, which represented a spawning event. This 204 uniform temporal distribution of larvae allowed us to assess the effects of the hydrographic 205 variability conditions on larval dispersal (e.g., extreme events, perturbation, and 206 instability). The position of each larva was updated every 3 h time-step, and the trajectory 207 information (i.e. longitude, latitude, depth) was saved to output every time-step. We accounted for diffusive turbulent motion by adding a horizontal diffusion coefficient. The value of 50 m<sup>2</sup>.s<sup>-1</sup> was chosen from a sensitivity test where 100 larvae were released from the 181 polygons at every 24 hours (see Appendix S2).

211

212 Biological module

In order to explore the range of dispersal capabilities across reef fishes, we created hypothetical strategies each of those representing a unique combination of five biological traits that may influence the connectivity, namely: pelagic larval duration (PLD), spawning periodicity, mortality rate, reproductive output and vertical migration (Table 1):

i) Pelagic larval duration (PLD): Herein PLD is defined as the time from larval release to
the settlement. After being released, the larvae were left to drift for over a period of 20, 30
or 40 days, corresponding to the typical PLD of most coral reef fish species/families
(Lindeman et al., 2005; Thresher & Brothers, 1985). In this study, the PLD was equally
divided into the three ontogenetic larval stages, namely: preflexion, flexion and
postflexion. During the postflexion stage, the larvae were considered competent to settle if
they were inside one of the 181 reef sites.

225

ii) Larval mortality: Little is known regarding larval mortality in the oceans. To
accommodate this uncertainty, our study included two levels of mortality based on the halflife, such that approximately 50% of unsettled larvae would be surviving after half the
maximum PLD (Holstein et al., 2014; Paris et al., 2013). Thus, we determined that in high

mortality scenarios, about half of the larvae died by the end of the preflexion stage, whilein low mortality condition half of the larvae died by the end of the postflexion stage.

232

233 iii) Vertical migration: Due to the paucity of basic data regarding fish larvae distribution 234 within our study area, the model incorporated an idealized pattern of ontogenetic vertical 235 migration for the three larval stages (see Figure S1.5 in Supporting Information). This 236 idealization assumed the existence of a global trend of the fish larvae to display downward 237 ontogenetic shift in vertical distribution (Irisson et al., 2010). In order to assess the 238 importance of vertical migration, we contrasted both epipelagic ichthyoplankton moving 239 only horizontally along the sub-surface layer and larvae that, besides the horizontal 240 displacement, also moved vertically according to its ontogeny.

241

iv) Reproductive output: We assumed spatial homogenous reproductive output among the
habitats, whereby all the 181 polygons were set to release the same number of larvae per
'spawning' event. However, we contrasted scenarios where reproductive output varies
among species. In scenarios of high reproductive output, a hundred larvae were released
from each polygon at every spawning event, whereas in low reproductive output
conditions, only ten larvae were released per event.

248

v) Spawning periodicity: Three seasonal spawning preferences were accounted by
simulating the larval dispersal annually and according to the two dominant seasonal
oceanographic conditions around the Arabian Peninsula, namely, winter (November March) and summer (May - September).

#### 253 Analyses

The proportion of survivor larvae that were released from each region *i* and successfully settled in a downstream habitat patch (i.e., sink habitat) at region *j*, was plotted as a connectivity matrix. The diagonal of the matrix represents the local retention, i.e., the fraction of all larvae produced at a focal patch returning to that patch (Botsford et al., 2009). In order to evaluate the strength and direction of the potential connections on regional scales (e.g., between Red Sea and Gulf of Aden), all cells from each region were merged.

261

# 262 Biological traits vs. biogeographic barriers

263 The effects of both Bab-el-Mandeb and Hormuz strait were measured in terms 264 of permeability, which herein is considered as the proportion of surviving larvae that 265 were released from a source habitat, passed through the strait, and successfully 266 settled on the other side. In turn, the effect of the upwelling off Oman was measured 267 in terms of local retention proportion along the Arabian Sea. At this region, we 268 hypothesized that the upwelling acts as a barrier because the Ekman transport tends 269 to displace the larvae toward offshore, where suitable habitats for settlement are not 270 available. Therefore, we assumed that a higher local retention represents a greater 271 chance of connectivity since the larvae moving along the coast will be retained on the 272 continental shelf where the suitable reef habitat is found (but see Morgan et al., 2012). 273 In contrast, a lower local retention decreases the chance of connectivity, as the larvae 274 moving offshore will not settle before their critical period.

275 To evaluate the effect of the biological traits highlighted in Table 1 on the 276 strength of the putative barriers, we relied on an extended approach of Generalized 277 Linear Models (GLMs) in R-studio v.4.1.0 (R Development Core Team, 2008). Given 278 that the above mentioned proportion is a continuous variable taking on values 279 restricted to the interval between 0 and 1, we used a beta regression model as 280 proposed by Ferrari & Cribari-Neto (2004) through the 'betareg' R-package (Cribari-281 Neto & Zeilis, 2010). The beta regression shares many similarities with GLMs, yet 282 differ with respect to how parameters are estimated (Douma & Weedon, 2019). The 283 model essentially describes the relationship between the response variables  $Y_i$ 284 (hereby, proportions), and the predictors  $X_i$  (Table 1) through a linear predictor  $\eta_i$ . 285 This linear predictor is then linked to the mean of the response  $E(Y_i) = \mu_i$  by using a link 286 function g, such that  $g(\mu_i) = \eta_i$ . Thus, the applied model can be summarized as:

287

288 
$$Y_i \sim B(\mu_i, \phi)$$

289  $logit(\mu_i) = \eta_i$ 

290 
$$\eta_{i} = \beta_{0} + \beta_{1} PLD + \beta_{2} SP + \beta_{3} M + \beta_{4} R + \beta_{5} VM + \varepsilon$$

291

Where  $\phi$  is the precision parameter;  $\eta_i$  the linear predictor expressed on a logit scale;  $\beta 0$ the intercept;  $\beta 1$ -5 the repressors related to each explanatory variable (PLD=Pelagic larval duration, SP = Spawning periodicity, M = Mortality, R = Reproductive output, VM = Vertical migration); and  $\epsilon$  represents the error term.

Pairwise comparison of significant factor levels (p < 0.05) containing more than two levels was then applied through the Tukey's post-hoc test. To do so, we used the *glht*  function from the 'multcomp' R-package (Hothorn et al., 2008). Model quality was assessed through residual diagnostic plots as suggested by Zuur et al. (2010). Normality was evaluated by means of Quantile-Quantile plots, while homogeneity was assessed by contrasting the residuals against the predicted values. Finally, the coefficient of determination (R<sup>2</sup>) was used to check for model fit, where values close to 1 are indicative of good predictive quality.

304

## 305 Larval dispersal pattern and oceanographic conditions

The maximum distances travelled by the larvae around the Arabian Peninsula were calculated by setting up a model with the lowest mortality rate and40-day PLD. We used the program QGIS to measure this distance and compare the results between summer and winter, as well as in each of the regions within the model domain.

310

## 311 **RESULTS**

#### 312 Effects of the biological traits on the permeability of biogeographic barriers

Five different Beta regressions were conducted to evaluate the effects of the biological traits on the permeability of the biogeographic barriers highlighted in Figure 1. However, for both Bab-el-Mandeb and Hormuz straits, two models were fit to evaluate the effects in each direction, only one model was fit for the barrier off Oman as a means of testing for local retention.

The graphical evaluation of the model assumptions revealed that all tested models fit well to the simulated data, with residuals following both homoscedasticity and normality assumptions, and with  $R^2$ -values ranging between 0.73 and 0.94. Tables S3.1-S3.3 in 321 Supporting Information summarize the numerical outputs of all Beta regressions, which
322 also corroborate with our simulations. Below we discuss in more detail the obtained results
323 according to the evaluated biological traits.

324

325 i) Pelagic larval duration (PLD): In both directions through the Bab el-Mandeb Strait, the 326 permeability was not altered as the PLD changed (Figure 2). On the other hand, in the Strait 327 of Hormuz, the chances of the larvae released in the Gulf to successfully settle on the reefs 328 in the Sea of Oman, and vice-versa, was higher when the larvae drifted for 40 days 329 compared to when it drifted for 20 days. No difference though was found between 30 days 330 and 20 days, or between 30 days and 40 days. In the Arabian Sea, the highest larval 331 retention, and hence the highest chance of connectivity, occurred with the lowest PLD (i.e., 332 20 days). In addition, the proportion of larval retention in this region did not change 333 between 30- and 40-day-PLD (Figure 4).

334

ii) Larval mortality: The mortality rate demonstrated a negative relationship with
permeability across both straits. Therefore, the resistance to larval movement through the
Bab el-Mandeb Strait and the Strait of Hormuz increased as the mortality rate decreased
(Figures 2 and 3). In the same vein, in the Arabian Sea, the local retention of larvae was
higher when the mortality rate had its lowest value (Figure 4).

340

341 iii) Vertical migration: The ontogenetic vertical movement influenced the permeability of
342 the barriers along the Arabian Peninsula. In both directions across the Bab el-Mandeb
343 Strait, the larvae significantly decreased their permeability when they performed vertical

344	migration (Figure 2). In the Strait of Hormuz, the vertical migration increased the larval
345	movement from the Gulf to the Sea of Oman, but constrained the movement in the opposite
346	direction (Figure 3). In the Arabian Sea, this biological trait had no impact on the local
347	retention of larvae (Figure 4).

348

iv) Reproductive output: No significant difference was observed for distinct reproductive
outputs. Varying the number of larvae released from a source region during each spawning
event, had no effect on the proportion of larvae that crossed either straits (Bab el-Mandeb
Strait or Strait of Hormuz) and successfully settled on the adjacent sea (Figures 2 and 3).
In the same vein, distinct reproductive outputs did not change significantly the proportion
of larvae that was retained onshore along the Arabian Sea (Figure 4).

355

356 v) Spawning periodicity: The spawning season influenced the permeability of the barriers. 357 The larvae that were released from the Gulf of Aden and settled on the Red Sea increased 358 their success of connection during the winter relative to the summer. On the contrary, in 359 the opposite direction, the permeability was higher in the summer compared to winter 360 (Figure 2). In the Strait of Hormuz, neither the proportion of larvae leaving the Gulf nor 361 the proportion of larvae settling in the Sea of Oman changed throughout the year. However, 362 in the opposite direction, this proportion was significantly higher during the winter 363 compared to the summer (Figure 3). In the Arabian Sea, the seasonal spawning did not 364 affect the proportion of larval retention on the continental shelf (Figure 4).

365

#### 367 Connectivity matrix

From a total of 72 matrices representing the hypothetical strategies, 70 matrices revealed that connectivity occurred exclusively between neighboring regions, while for two strategies, the larvae released from the Arabian Sea reached the reefs in the Red Sea. No interruptions were found between neighboring regions when the larvae were left to drift for 40 days (see Figure S3.1 – S3.3 in Supporting Information).

373 In our simulations, the hardest putative barrier was observed in the region off 374 Oman, which separates both sides of the Arabian Peninsula. For 10 strategies, the 375 connection was completely interrupted from the Gulf of Aden to the Arabian Sea, mainly 376 in the summer and when including the vertical migration. For 11 strategies, the larvae 377 released from the Arabian Sea did not reach the Gulf of Aden. It occurred exclusively 378 during the summer and especially for those scenarios without vertical migration. For all 379 strategies, the larvae released from the Sea of Oman always settled on the reefs in the 380 Arabian Sea. However, for 9 strategies, the connection was interrupted from the Gulf of 381 Aden to the Arabian Sea, predominantly during the summer and when the larvae performed 382 vertical migration (Figure S3.1 - S3.3).

383

#### 384 Particles trajectories: seasonal variability of spatial scale and direction

The direction and distance travelled by the larvae were highly variable as a function of both the geographic position of releasing site and the biannual monsoonal winds (Figures 5 and 6). On average, larvae released during the summer exhibited a higher potential for dispersal, especially in areas that are not enclosed (Figures 5f and 6f). It was in the summer that the southward movement of larvae from the Red Sea was more pronounced, and it was

also in this season that numerous larvae released in the Gulf of Aden reached Omani waters
in the Arabian Sea. In turn, during the winter, the vast majority of larvae originating in the
Red Sea were retained within this area, while larvae released within the Gulf of Aden either
moved eastward, though rarely reaching the Omani coast, or moved southward along the
Somali coast.

In the Arabian Sea, surface circulation exhibited a strong seasonal cycle. During the summer, larvae released along the Omani coast between 54.6° E and 56.1° E, either moved eastward alongshore toward the Sea of Oman or sharply turned toward the open ocean. However, larvae released between 57.8° E and 59° E were exclusively transported alongshore toward the Sea of Oman (Figure 5c). In the winter, even though the larvae along the entire Omani coast moved toward offshore, on average, they travelled shorter distances relative to the summer (Figures 5f and 6f).

402 On the east side of the Arabian Peninsula, the temporal variability of both the 403 direction and the distance travelled by the larvae was less evident. In the Sea of Oman, 404 larvae released from the eastern Omani coast tended to move southward in both seasons. 405 However, especially in the summer, those larvae originating from the top of the Sea of 406 Oman were able to pass through the Strait of Hormuz. In the Gulf, the patterns of dispersal 407 observed in both seasons were quite similar, larvae tended not to move far away and hence 408 most of them remained in the Gulf.

409

#### 410 **DISCUSSION**

411 Measuring the strength of barriers has been a great challenge to the understanding412 of phylo- and bio-geographic patterns (Treml et al., 2015). In the present study, a series of

413 individual-based simulations were performed to assess the permeability of larvae of 72 414 different combinations of five key biological parameters through three putative barriers. 415 This 'multitaxa' comparison demonstrated how physical-biological interactions determine 416 the success of propagules being transported through the Bab el-Mandeb Strait and the Strait 417 of Hormuz, as well as of those being retained on the continental shelf off Oman. Here, we 418 hypothesized that coupling hydrodynamic, seascape features and larval traits, potentially 419 contribute to the connectivity/gene flow and hence to the distribution of genetic lineages. 420 Therefore, we assumed that the absence, strong reduction or asymmetry of larval exchange 421 through the putative barriers, affect the phylogeographic pattern around the Arabian 422 Peninsula.

Unfortunately, there is a paucity of data on the biological traits for the species inhabiting the study area, especially those data related to vertical distribution of larvae, mortality rate and reproductive output. Thus, the hypotheses presented in our study will be mainly discussed according to the PLD and the spawning time (based on either ichthyoplankton survey or gonadal maturity stage), when this information exists for the species whose phylogeographic study was carried out in the Arabian Peninsula.

429

#### 430 **Biological traits and empirical (genetic) evidences**

The hardest putative barrier observed in the connectivity matrices was that positioned off Oman, with scenarios exhibiting weak or no connectivity between the Arabian Sea and its adjacent areas. This result corroborates with previous empirical population genetic studies. For example, phylogeographic investigations on fish species, such as *Scomberomorus commerson* (van Herwerden et al., 2006); *Cephalopholis* 

*hemistiktos* (Priest et al., 2016); *Pomacanthus maculosus* (Torquato et al., 2019); *Ctenochaetus striatus* and *Chaetodon trifascialis* (DiBattista et al., 2020), using different
molecular methods, found a sharp genetic discontinuity positioned in southern Oman,
although *S. commerson* is not a coral-dependent species.

440 Although the beta regression model did not exhibit a significant effect on the 441 spawning time on the local retention, the matrices showed that the connectivity between 442 the Arabian Sea to the Gulf of Aden, and vice-versa, as well as from the Arabian Sea to the 443 Sea of Oman were completely interrupted in some scenarios during the summer monsoon. 444 This prediction is in line with the gonado-somatic index (GSI) values for the three first 445 species mentioned above, i.e., S. commerson (Kaymaram et al., 2010); C. hemistiktos 446 (Priest et al., 2016) and *P. maculosus* (Grandcourt et al., 2010), which indicate that they 447 spawn mainly in the summertime. Regarding the PLD, the connectivity between the 448 Arabian Sea and adjacent regions was interrupted in some scenarios of both 20 and 30-449 PLD, but never for those that the larvae drifted for 40 days. Among the coral-dependent 450 species, the PLD for Cephalopholis has not been determined, but a 40-day average is 451 proposed for the subfamily Epinephelinae (Lindeman et al., 2001). However, *Pomacanthus* 452 species usually have a short (~ 17–24 days) PLD (Thresher & Brothers, 1985).

All the 72 combinations used in our simulations showed connectivity from the Red Sea to the Gulf of Aden, and vice-versa. Although there is high level of endemism within the Red Sea (DiBattista, Roberts, et al., 2016), investigations carried out hitherto have not shown genetic discontinuities for fish coral-dependent species through the Bab el-Mandeb Strait. The genetic studies include *Amphiprion bicinctus* (Saenz-Agudelo et al., 2015), *Mulloidichthys f. flavolineatus* (Fernandez-Silva et al., 2016), *C. hemistiktos* (Priest et al.,

459 2016), P. maculosus (Torquato et al., 2019), Ctenochaetus striatus and five Chaetodon spp. 460 (DiBattista et al., 2020), as well as sea anemones (Emms et al., 2019). Our biophysical 461 models indicated that the chance of the larvae being released in the Red Sea and settle in 462 the Gulf of Aden increases during the summer. Ichthyoplankton surveys carried out in the 463 Red Sea showed that the vast majority of fish taxa inhabiting the region, such as A. 464 *bicinctus* (El-Regal, 2013), spawn mainly during spring and summer. In addition, the GSI 465 of *M. flavolineatus* is higher during this season, and its larval peak densities occur in 466 August (El-Regal, 2018). The models also revealed that the PLD did not impact the 467 permeability through the Bab el-Mandeb Strait, what can explain the lack of genetic 468 discontinuity even for species with short PLD such as A. bicinctus (Saenz-Agudelo et al., 469 2015).

In fact, the strength of the Bab el-Mandeb Strait has been mainly supported by the species distribution studies, and Kemp (1998) suggested that the strait does not act as a present-day barrier. According to the author, the paucity of information about the reef fish assemblage inhabiting the southern Red Sea and the adjacent Gulf of Aden is the main reason for the hypothesis supporting that the strait acts as a present-day barrier (see Berumen et al., 2017).

On the other side of the peninsula, genetic data have shown that for coral-dependent
fish species, such as *C. hemistiktos* (Priest et al., 2016), *Nemipterus japonicus* (Farivar et
al., 2017), *P. maculosus* (Torquato et al., 2019) and *Istiblennius pox* (Mehraban et al.,
2020), the Gulf and the Sea of Oman represent a single phylogeographic province.
However, a phylogeographic study of *Periophthalmus waltoni*, a marine amphibious fish
with terrestrial adaptations, revealed two distinct genetic clusters, one represented by the

Gulf individuals and the other by individuals from the Sea of Oman. Likewise, genetic
investigations involving corals (Howells et al., 2016; Torquato et al., 2021) and sea urchin
(Ketchum et al., 2020) showed a genetic discontinuity through the Strait of Hormuz.
Indeed, biogeographic survey indicated higher levels of endemism for invertebrates within
the Gulf compared to fishes (DiBattista, Roberts, et al., 2016).

487 Our models showed that the strongest connectivity from the Sea of Oman to the 488 Gulf occurred during the winter, but the spawning time does not impact the connectivity 489 from the Gulf to the Sea of Oman. Investigations on the temporal patterns of reproduction 490 of *Platygyra daedalea* and *Acropora downingi* revealed that both species spawn mainly 491 between March and May (Bauman et al., 2011), during the transition periods when the 492 winds are weak (Cutler & Swallow, 1984). Additionally, our model showed that high 493 mortality rate in the first third of the PLD increases the strength of the barrier. Experiments 494 with Acropora showed that its larval survival strongly declined after the first few days after 495 spawning, with half-life shorter than 5 days (Graham et al., 2008). Nevertheless, the 496 population differentiation of *P. daedalea* and *A. downingi* through the Strait of Hormuz, 497 have also been explained by natural selection (Howells et al., 2016) and recent bottlenecks 498 (Torquato et al., 2021), respectively. In turn, the sea urchin (*Echinometra* sp.), which also 499 exhibited the genetic discontinuity through the strait, spawns in June (Alsaffar & Lone, 500 2000) and the PLD within the genus varies from 18 to 30 days (McClanahan & Muthiga, 501 2007). This combination agrees with the biophysical models since the connectivity from 502 the Sea of Oman to the Gulf decreases during the summer, especially with low PLD. 503

#### 505 **Putative barriers: our finds and previous hypotheses**

506 The main hypotheses to explain genetic differentiation between both sides of the 507 peninsula usually involve seascape features and/or ocean circulation off Oman (Torquato 508 et al., 2019). In the southern Omani coast, the seascape is characterized by weakly 509 developed coral colonies that are represented by a reduced number of species (Burt et al., 510 2016; Sheppard & Salm, 1988). Hence, the lack of coral-habitat creates an unbridgeable 511 gap for coral-dependent species moving through the continental shelf of the Arabian Sea 512 (Priest et al., 2016). In turn, the ocean circulation explanation relies on studies carried out 513 in other regions, which suggest that upwelling systems hinder connectivity along the 514 continental shelf by displacing larvae offshore due to the Ekman transport (Parrish et al., 515 1981; but see Morgan et al., 2012). For coral-dependent species, this is particularly relevant 516 since reef habitats are solely located in onshore areas. Therefore, the larvae moving to 517 offshore areas will not find suitable habitats for settlement before the end of their critical 518 period. Another hypothesis involving the ocean circulation in the Omani coast is an intense 519 offshore jet formed during the summer monsoon near the Ras al Hadd cape, between the 520 Sea of Oman and the Arabian Sea (Ayouche et al., 2021).

Besides the seascape features and the ocean circulation, cold water displaced from the sea bottom to the surface during upwelling events may also affect the survival of larvae and alter their behavior. At peak upwelling in summer, sea surface temperatures off Oman is often below 20°C (Elliott & Savidge, 1990; Claereboudt, 2019). Furthermore, the coldwater upwelling in the Arabian Sea and the warm waters of the Sea of Oman create a thermal front between both seas, off Ras al Hadd cape (Ayouche et al., 2021). Additionally, the cold water along southern Oman may hinder the dispersal of larvae intolerant to low

temperatures (Fleminger, 1986). Furthermore, larvae in colder water grow slower (Rankin & Sponaugle, 2011), and according to the growth-mortality hypothesis (Anderson, 1988),
the chances of the larvae escaping attack by predators increase with increasing growth rate.
Therefore, the connectivity between both sides of the peninsula may be affected by cold
water as mortality increases.

533 Regarding the straits, our biophysical models showed an asymmetric movement 534 through both the Bab el-Mandeb Strait and the Strait of Hormuz. The asymmetry of the 535 former is in accordance with a combination of the seasonal water exchange pattern between 536 the Red Sea and Gulf of Aden, and vertical movements. The water flowing through the 537 Bab el-Mandeb Strait changes from a two-layer surface flow in the winter to a three-layer 538 flow in the summer (Smeed, 2004). Thus, the connectivity is affected if the larvae are 539 positioned in one or another prevailing current. A second hypothesis that supports the Bab 540 el-Mandeb Strait as a barrier is related to sea level variation during the Pleistocene 541 glaciation (DiBattista, Choat, et al., 2016), when the water exchange between the Red Sea 542 and the Gulf of Aden was repeatedly interrupted. Besides the period of allopatry, the 543 interruption of water exchange leads to hypersaline condition within the Red Sea, which 544 could favor a differentiation (DiBattista, Choat, et al., 2016).

545 On the other side of the peninsula, the relatively high evaporation rate over the Gulf 546 combined with the limited water exchange with the open ocean drives an inverse estuarine 547 system at the Strait of Hormuz, with a shallow inflow of the Indian Ocean Surface Water 548 (IOSW) and a deep outflow of the Persian Gulf Water (PGW; Swift & Bower, 2003). The 549 currents in the surface layers are highly variable and exhibit large amplitude variation, 550 mainly in the wintertime (Johns et al., 2003). However, in the spring, the inflow is wider

and fills the entire width of the strait (Chao et al., 1992). The outflow in deep layers, in turn, is relatively steady throughout the year with a little seasonal variance (Johns et al., 2003, Swift & Bower, 2003). This pattern in water circulation explains, for example, that the proportion of larvae leaving the Gulf did not change seasonally, and increased when the larvae performed vertical migration. In the opposite direction, epipelagic larvae released in the Gulf of Oman had a higher chance to reach the Gulf, especially during the winter when the inflow surface is highly variable.

558 The limited water exchange with open-ocean and the high evaporation rate also 559 create extreme environment conditions within the Gulf region. Besides the hypersaline 560 water (often > 42 ppt; Swift & Bower, 2003), during the summer, the sea surface 561 temperature is usually > 35 °C and makes this body of water the warmest sea on the Earth 562 (Vaughan et al., 2019). This extreme condition presumes intense natural selection, such 563 that strong signatures (i.e., high frequency) of heat tolerant alleles, for example, are 564 predicted across the genome. Therefore, both reduction and asymmetry of migration rate 565 through the Strait of Hormuz and the strong natural selection due to the extreme 566 environment conditions are indeed expected to be observed along the peninsula.

The Pleistocene also created conditions to differentiate populations between the Gulf and the Sea of Oman (Torquato et al., 2021). During the glacial maxima, when the sea level lowered at 120 m than the present, the Gulf had its ground almost completely espoused. Nevertheless, 15000 years ago, this basin started receiving IOSW through the Strait of Hormuz such that the modern shorelines in the Gulf developed ca. 3000–6000 years ago (Lambeck, 1996; Vaughan et al., 2019). Thus, the populations currently inhabiting this sea were established only in the last few millennia. This scenario of recent

- 574 founded effect combined with the restricted gene flow between the Gulf and its adjacent
- 575 Sea of Oman, is one of the hypothesis to explain the phylogeographic pattern observed in
- the region (Torquato et al., 2021).
- 577

### 578 Data availability statement

- 579 The model output data yielded in this manuscript are available from the Zenodo repository
- the style of the s
- 581 GIS-based seascape module were obtained from UNEP-WCMC (2010), available at http://
- 582 https://data.unep-wcmc.org/datasets/1. The oceanographic data (u-velocity and v-velocity)
- 583 used in the biophysical dispersal model were downloaded from the HYCOM+NCODA
- 584 Global 1/12° Analysis available at https://www.hycom.org/data/glbv0pt08/expt-93pt0.
- 585

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- 825 826

# 827 **BIOSKETCH**

- 828 Felipe Torquato is interested in the origin and distribution of biodiversity at all
- 829 ecological levels. This work represents a component of his PhD work at the Natural
- 830 History Museum of Denmark under the supervision of Peter R. Møller.

- **Author contributions:** F. T. and P. R. M. conceived the idea; F. T. designed and ran the
- biophysical models, and led the writing with assistance from P. R. M.
- 834

# 835 TABLES

836

837

TABLE 1. Range in biological parameter values to characterize the 72 hypotheticalmodel taxa.

840

PLD (days)	Spawning periodicity	Larval mortality	Vertical migration	Reproductive output
20	Annual	High	Yes	High
30	Summer	Low	No	Low
40	Winter			

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# 844 FIGURES

845

# 846 Legends

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FIGURE 1. Study region. Northwestern Indian Ocean with previously described barriers
depicted as shaded line. (a) Bab-el-Mandeb Strait, (b) Upwelling off Oman and (c) Strait
of Hormuz.

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FIGURE 2. Results of the simulation study showing the effects of the biological traits on
the permeability of the Bab-el-Mandeb Strait in both directions (in and out). The red
triangles represent the mean value. Diverging letters above boxplots indicate significant
differences according to Tukey's post-hoc analysis, with capital and lowercase letters
distinguishing the permeability direction.

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FIGURE 3. Results of the simulation study showing the effects of the biological traits on
the permeability of the Strait of Hormuz in both directions (in and out). The red triangles
represent the mean value. Diverging letters above boxplots indicate significant
differences according to Tukey's post-hoc analysis, with capital and lowercase letters
distinguishing the permeability direction.

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FIGURE 4. Results of the simulation study showing the effects of the biological traits on
local retention along the Omani coast. Diverging letters above boxplots indicate
significant differences according to Tukey's post-hoc analysis.

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FIGURE 5. Modeled dispersal paths of epipelagic virtual larvae with larval duration of
40 days released in the wintertime from (a) the Red Sea, (b) Gulf of Aden, (c) Arabian
Sea, (d) Sea of Oman and (e) Arabian/Persian Gulf. For sake of visualization, one larva
was released from each habitat cell (total of 181, represented by the red dots) per day in
2019. The distances travelled by the larvae are represented in (f).

FIGURE 6. Modeled dispersal paths of epipelagic virtual larvae with larval duration of
40 days released in the summertime from (a) the Red Sea, (b) Gulf of Aden, (c) Arabian
Sea, (d) Sea of Oman and (e) Arabian/Persian Gulf. For sake of visualization, one larva
was released from each habitat cell (total of 181, represented by the red dots) per day in
2019. The distances travelled by the larvae are represented in (f).



882883 FIGURE 1.





**FIGURE 2**.



**FIGURE 3**.



- FIGURE 4.



**FIGURE 5.** 





FIGURE 6.







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# SUPPORTING INFORMATION

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Appendix S1. Visualization of hydrodynamic model, GIS-based seascape module and idealized vertical migration.



Fig. S1.1. Ocean circulation around the Arabian Peninsula in January 2019 and July 2019.



Fig. S1.2. Ocean circulation across the Bab-el-Mandeb strait (between the Red Sea and the Gulf of Aden) in January 2019 and July 2019.



Fig. S1.3. Ocean circulation across the Strait of Hormuz (between the Arabian/Persian Gulf and the Sea of Oman) in January 2019 and July 2019.



Fig. S1.4. The 181 polygons (in red) representing the sites of releasing and settlement along the Arabian Peninsula.



**Fig. S1.5.** Idealized vertical distribution of fish larvae at three ontogenetic stages: pre-flexion, flexion and post-flexion.

#### Appendix S2. Assessing model saturation

#### Methods

Sensitivity analyses of some parameters can potentially improve the accuracy of the model (North et al., 2009). Here, the releasing frequency was computed to saturate all possibilities of dispersal based on the connectivity matrix. To determine the release frequency, we conducted a numerical experiment over five months in 2019 (January, March, June, September and December). In this experiment particles were release from the 181 polygons, and the number of released particles remained constant (one hundred) while the releasing frequency varied from 3 hours (baseline) to 24 hours (6 h, 12 h and 24 h).

All values were extracted from the average matrix, vectored and used to calculate the fraction of unexplained variance (FUV) (Simons et al., 2013). To do so, the simulation that released 100 particles/3h over the five months was used as the baseline and compared to their peers in a linear model. The coefficient of determination ( $r^2$ ) was then calculated between each baseline/increment pairing. A 0.05 threshold FUV variance was used to define the point where variance in FUV was minimal (Ross et al., 2016; Simons et al., 2013).

The same experiment was conducted to determine the value of horizontal diffusion by comparing three values, namely:  $5 \text{ m.s}^{-1}$ ,  $50 \text{ m.s}^{-1}$  and  $100 \text{ m.s}^{-1}$ .

# Results

Release frequency showed no significant differences whether 100 particles were released every 3 or 24 hours. The second experiment, in turn showed that 50 m.s<sup>-1</sup> was significantly different compared to 5 m.s<sup>-1</sup>, but not different compared to 100 m.s<sup>-1</sup>.



# **Release Frequency**











# Appendix S3. Results

# **TABLES**

**Table S3.1.** Summary statistics of the tested beta regression models, where (\*) highlights significant explanatory variables at a level of 5% of significance.

Bab-el-Mandeb Strait (Red Sea : Gulf of Aden)						
	Estimate	Std. Error	z-value	p-value	<b>R-squared</b>	
Intercept	-7.2269011	0.1128531	-64.038	<2e-16 ***		
PLD: 30	0.2411450	0.089063	2.708	0.00678 **		
PLD: 40	0.2862904	0.0882429	3.244	0.00118 **		
Mortality: low	0.9075848	0.0772678	11.746	<2e-16 ***	0 7216	
Vertical migration	-0.4222897	0.0718519	-5.877	4.17e-09 ***	0.7310	
Spawning: winter	-2.1813041	0.0765547	-12.652	< 2e-16 ***		
Spawning: summer	0.6981031	0.1724094	9.119	<2e-16 ***		
Producitivity: high	-0.0006879	0.0007823	-0.879	0.3792		
Bab-el-Mandeb Strait (Gulf of Aden : Red Sea)						
Intercept	-4.3080607	0.1238068	-34.797	<2e-16 ***		
PLD: 30	0.3973543	0.1012779	3.923	8.73e-05 ***		
PLD: 40	0.5788425	0.0983284	5.887	3.94e-09 ***		
Mortality: low	1.0002121	0.0847535	11.801	<2e-16 ***	0 7969	
Vertical migration	-2.1100997	0.1145143	-18.427	<2e-16 ***	0.7868	
Spawning: winter	0.5609861	0.0855140	6.56	5.37e-11 ***		
Spawning: summer	-1.0141382	0.1238914	-8.186	2.71e-16 ***		
Producitivity: high	0.0000449	0.0008555	0.052	0.958		

Strait of Hormuz (Arabian Gulf : Gulf of Oman)						
	Estimate	Std. Error	z-value	p-value	<b>R-squared</b>	
Intercept	-4.9124546	0.1505193	-32.637	<2e-16 ***		
PLD: 30	0.2364959	0.1036752	2.281	0.02254 *		
PLD: 40	0.4163682	0.1006201	4.138	3.5e-05 ***		
Mortality: low	0.9828239	0.0878447	11.188	<2e-16 ***	0.9111	
Vertical migration	1.6024823	0.1009071	15.881	<2e-16 ***	0.8111	
Spawning: winter	0.2730849	0.0973578	2.805	0.00503 **		
Spawning: summer	-0.042459	0.103221	-0.411	0.68082		
Producitivity: high	-0.0011347	0.0008993	-1.262	0.20704		
Strait of Hormuz (Gulf of Oman : Arabian Gulf)						
Intercept	-4.5225190	0.1126374	-40.151	<2e-16 ***		
PLD: 30	0.4369342	0.0918442	4.757	1.96e-06 ***		
PLD: 40	0.5859257	0.0896038	6.539	6.19e-11 ***		
Mortality: low	0.9839256	0.0767302	12.823	<2e-16 ***	0.0400	
Vertical migration	-3.2631946	0.1573465	-20.739	<2e-16 ***	0.9409	
Spawning: winter	0.3496437	0.0819124	4.269	1.97e-05 ***		
Spawning: summer	-0.2114086	0.0922269	-2.292	0.0219 *		
Producitivity: high	-0.0001485	0.0007732	-0.192	0.8476		

**Table S3.2.** Summary statistics of the tested beta regression models, where (\*) highlights significant explanatory variables at a level of 5% of significance.

**Table S3.3.** Summary statistics of the tested beta regression models, where (\*) highlights significant explanatory variables at a level of 5% of significance.

Upwelling off Oman (Arabian Sea : Arabian Sea)					
	Estimate	Std. Error	z-value	p-value	<b>R-squared</b>
Intercept	-2.6539714	0.099316	-26.722	<2e-16 ***	
PLD: 30	-0.2057318	0.0772098	-2.665	0.00771 **	
PLD: 40	-0.3572552	0.0796759	-4.484	7.33e-06 ***	
Mortality: low	1.0406014	0.0703126	14.800	<2e-16 ***	0 8002
Vertical migration	0.1369907	0.0648438	2.113	0.03463 *	0.8092
Spawning: winter	-0.0412799	0.0791164	-0.522	0.60184	
Spawning: summer	-0.0419393	0.0791261	-0.530	0.59609	
Producitivity: high	-0.0004301	0.0007196	-0.598	0.55002	

# **FIGURES**



**Fig. S3.1.** Potential connectivity matrix with larval duration of 20 days. The colorbar shows the root square of the proportion of survived larvae exchanged between each release (y-axis) and settlement (x-axis) region.



**Fig. S3.1 cont.** Potential connectivity matrix with larval duration of 20 days. The colorbar shows the root square of the proportion of survived larvae exchanged between each release (y-axis) and settlement (x-axis) region.



**Fig. S3.2.** Potential connectivity matrix with larval duration of 30 days. The colorbar shows the root square of the proportion of survived larvae exchanged between each release (y-axis) and settlement (x-axis) region.



**Fig. S3.2 cont.** Potential connectivity matrix with larval duration of 30 days. The colorbar shows the root square of the proportion of survived larvae exchanged between each release (y-axis) and settlement (x-axis) region.



**Fig. S3.3.** Potential connectivity matrix with larval duration of 40 days. The colorbar shows the root square of the proportion of survived larvae exchanged between each release (y-axis) and settlement (x-axis) region.



**Fig. S3.3 cont.** Potential connectivity matrix with larval duration of 40 days. The colorbar shows the root square of the proportion of survived larvae exchanged between each release (y-axis) and settlement (x-axis) region.



**Fig. S3.4.** Modeled dispersal paths of epipelagic virtual larvae with larval duration of 40 days released in the summertime from the Arabian Sea between (a)  $54.6^{\circ}$  E and  $56.1^{\circ}$  E, and (b)  $57.8^{\circ}$  E and  $59^{\circ}$  E.

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