Mind-wandering: mechanistic insights from lesion, tDCS, and iEEG

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Abstract

Cognitive neuroscience has witnessed increased interest in investigating the neural correlates of the mind when it drifts away from an ongoing task and the external environment. To that end, functional neuroimaging research has consistently implicated the default mode network and frontoparietal control network in mind-wandering. Yet, it remains unknown which subregions within these networks are necessary and how they facilitate mind-wandering. In this review, we synthesize evidence from lesion, transcranial direct current stimulation and intracranial EEG studies demonstrating the causal relevance of brain regions, and providing insights into the neuronal mechanism underlying mind-wandering. We propose that the integration of complementary approaches is the optimal strategy to establish a comprehensive understanding of the neural basis of mind-wandering.

Keywords: mind-wandering , lesion, transcranial direct current stimulation, intracranial EEG, default mode network, frontoparietal control network

1 Beyond neuroimaging correlates of mind-wandering

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3 An exceptional feature of the human mind is its capacity to wander away from the here and now[1]. This ubiquitous experience predicts wide ranging functional outcomes in 4 5 both the lab and in everyday life[2]. Regardless of how mind-wandering[3-7] is defined 6 (see Glossary), its prevalence and impact has sparked a substantial increase in 7 cognitive neuroscience research investigating the neural correlates of mind-wandering 8 in the past 15 years[8]. Leveraging the superb spatial resolution of functional and 9 structural magnetic resonance imaging (MRI) techniques, these studies revealed 10 multiple brain structures involved in this pervasive cognitive phenomenon[9-14]. 11 However, unanswered questions remain about the necessity of these brain regions and 12 the neuronal processes underlying mind-wandering. By integrating evidence from 13 lesion, transcranial direct current stimulation (tDCS) and intracranial EEG (iEEG) 14 studies, we present a synthesis that establishes the causal relevance of brain regions, 15 and provides insight into the neural mechanisms underlying mind-wandering.

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17 Empirical studies investigating the neural basis of mind-wandering have primarily relied 18 on functional MRI. They have identified a consistent set of brain regions involved in 19 mind-wandering, providing valuable insights on where in the brain the action takes 20 place. Given the correlational nature and limited temporal resolution of functional and 21 structural MRI, other techniques are available to address the causality of brain regions 22 in mind-wandering, the mechanistic relationship between these regions, and the 23 temporal dynamics of mind-wandering. Therefore, the current review examines two 24 important aspects of the neural basis of mind-wandering by highlighting studies 25 involving the lesion, tDCS and intracranial EEG approaches. Box 1 describes the 26 unique insights afforded by each of these approaches in more detail. In this review, we 27 first address the causal relevance of brain regions in mind-wandering and then examine 28 the neural mechanism underlying this ubiquitous experience. Given the increasingly 29 recognized role of context, we also underline the importance of accounting for context in 30 examining the neural basis of mind-wandering.

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2 Neural regions and circuits necessary for mind-wandering

4 Functional MRI (fMRI) evidence has consistently implicated the interaction within and 5 across two major large-scale networks in mind-wandering. One common finding 6 converges on the default mode network (DMN)[10–12,15]. Parcellation of this network 7 has revealed fine-grained differential relationships between its two subsystems and 8 phenomenological experiences that are commonly reported during mind-9 wandering[9,16]. Another prominent network consistently linked to mind-wandering is 10 the frontoparietal control network (FPCN). Based on its role in goal-directed 11 processes[17,18], co-activation of the FPCN and the DMN are linked to the occurrence 12 of mind-wandering and related internal processes[19-21]. Box 2 further elaborates on 13 the differential roles of the DMN and FPCN in mind-wandering, as well as other 14 cognitive processes, highlighting a nuanced context-dependent brain-behavior 15 functional relationship. Although additional regions beyond these networks, including 16 the motor cortex[22], are also recruited during mind-wandering, the current review 17 focuses on the DMN and FPCN as they have been the most extensively examined. 18 Over a decade of fMRI research has laid the foundation for our understanding of neural 19 networks involved in mind-wandering. We now focus on two complementary 20 approaches that build on the knowledge obtained from fMRI by establishing the causal 21 relevance of subregions in these networks: lesion and tDCS.

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23 **Permanent damage versus temporary modulations**

The classic approach to determining the necessity of specific brain regions involves the comparison of behavioral and neural patterns of individuals with and without permanent focal damage to parts of their brain[23]. This lesion methodology was famously exemplified by the discovery of the critical role of the hippocampus in memory[24]. Informed by fMRI findings, lesion studies examining mind-wandering have primarily focused on the DMN.

1 Another approach to determine the causal relevance of cortical regions in mind-2 wandering is tDCS (see [25] for a review). In contrast to the permanent damage 3 resulting from lesions, this type of non-invasive brain stimulation technique enables the 4 temporary and reversible modulation of cortical excitability in targeted brain regions. 5 Importantly, the polarity of the stimulation informs the mechanistic relationship between 6 the stimulated brain region and the cognitive function of interest. Whereas anodal 7 stimulation is proposed to increase excitability of the underlying cortex, cathodal 8 stimulation is thought to inhibit activity[26]. While both the DMN and FPCN have served 9 as targets of stimulation in neurotypical individuals, tDCS studies of mind-wandering 10 have predominantly targeted the dorsolateral prefrontal cortex (PFC) as part of the 11 FPCN based on its established role in the high-level executive control of 12 attention[17,18,27].

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14 While both permanent and temporary approaches inform the causality of a brain region 15 in a given function, evidence suggests they provide unique information about the nature 16 of that brain-behavior relationship (c.f. [23]). Permanent lesions reveal the functions of 17 the damaged region following reorganization of the brain over time[28], whereas 18 temporary modulations of brain regions reveal the effects of not only the region being 19 perturbed in the moment but also the network to which this region belongs[29]. 20 Therefore, the altered mind-wandering experience following temporary tDCS stimulation 21 may mirror the acute phase of a lesion, and the disruption in mind-wandering resulting 22 from chronic lesions in patient populations may reflect the permanency of the damage 23 that has not recovered from neural plasticity. 24

25 Default mode network's role in mind-wandering

26 Given that the DMN is reliably associated with mind-wandering, studies have 27 investigated its role in two prominent aspects of the phenomenon: the frequency of its 28 occurrence and temporal focus of thoughts while mind-wandering. In examining the 29 frequency of mind-wandering, both lesion and tDCS studies have focused on the medial 30 temporal lobe subsystem of the DMN[16]. This subsystem includes the hippocampus,

1 ventromedial PFC, and medial parietal lobe, areas that are implicated in episodic 2 memory retrieval and future thinking (hippocampus), and internal perception 3 (ventromedial PFC and medial parietal lobe). Previous neuroimaging work have 4 specifically implicated this subsystem in the episodic thought content that arise during 5 mind-wandering[30,31]. In lesion studies, patients with lesions in the ventromedial PFC 6 report less mind-wandering (conceptualized as task-unrelated thoughts) compared to 7 neurotypical controls and patients with lesions outside of the DMN[32] (as shown in 8 Figure 1). This was assessed via thought sampling probes across three lab-based 9 tasks varying in cognitive demands. Notably, lesion symptom and network mapping 10 analyses involving these ventromedial PFC patients revealed that some of the regions 11 strongly linked to reduced trait level mind-wandering include the inferior parietal lobule, 12 inferior frontal gyrus as well as the ventromedial PFC[33]. This corroborates the causal 13 relevance of this region in mind-wandering propensity, and highlights the role of 14 connectivity to other regions. In mapping out regions that are functionally connected to 15 the lesioned area[34], this novel analytic approach holds promise in revealing not only 16 the necessary regions but also the circuitry involved in different aspects of mind-17 wandering.

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19 In contrast, patients with lesions in the hippocampus, a critical node of the medial 20 temporal lobe subsystem, report comparable levels of mind-wandering occurrence as 21 observed in neurotypical controls[35]. This is puzzling at first glance given fMRI findings 22 implicating the left hippocampus in the initial occurrence of spontaneous thought and 23 mind-wandering propensity[36,37]. However, a closer examination of these authors' 24 conceptualization of mind-wandering and the context within which mind-wandering was 25 assessed suggests diverging definitions and testing environments may be responsible 26 for the observed differences. Specifically, they defined mind-wandering as stimulus-27 independent thought and strategically placed the thought sampling probes during 28 moments when participants were not performing any task and were minimally engaged 29 with the external environment[35]. Their naturalistic approach contrasts with previous 30 studies that embedded thought probes during an experimental task in a lab setting,

which can capture different aspects of ongoing thoughts[38,39]. Together, this suggests
 the hippocampus is not necessary for perceptually decoupled thought in under stimulated settings with minimal cognitive demands from the external environment.
 However, their role in task-unrelated thoughts, especially during tasks with higher
 cognitive demands, remains unknown.

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7 Expanding beyond these findings, several tDCS studies have assessed the impact of 8 DMN stimulation on mind-wandering propensity. In particular, one group found that 9 anodal stimulation of the right inferior parietal lobule (IPL) as part of the medial temporal 10 lobe subsystem of the DMN, and inhibitory cathodal stimulation of the left dorsolateral 11 PFC (DLPFC), decreased mind-wandering compared to the reversed polarity 12 montage[40]. Replicated in two subsequent studies[41,42], this stimulation effect was 13 uniquely observed in the right but not left IPL[42]. Combining tDCS with resting state 14 fMRI, they found that anodal stimulation of the right IPL decreased its efferent 15 connections with the posterior cingulate cortex, a core node in the DMN, which was 16 linked to reduced mind-wandering propensity[41]. This stimulation montage also 17 decreased medial PFC's efferent connections with the posterior cingulate cortex, which 18 was linked to decreased mind-wandering. Given the facilitative role of the DLPFC in 19 mind-wandering, these findings suggest that simultaneously inhibiting the DLPFC and 20 increasing excitability of the right IPL reduces mind-wandering by perturbing intra-DMN 21 connectivity. By examining functional connectivity patterns following stimulation, this 22 combination of methodological approaches provides unique insights into the neural 23 circuitry of the DMN and its role in mind-wandering propensity. Notably, these results 24 should be considered along with two studies that failed to replicate the effect of reduced 25 mind-wandering frequency when they implemented anodal stimulation of the right IPL 26 and cathodal stimulation of the left DLPFC[43] and left cheek[44] during a less 27 cognitively demanding task. These findings suggest the causal relevance of the right 28 IPL as part of the DMN in mind-wandering may depend on stimulation parameters or 29 the context in which mind-wandering was measured (see Box 3).

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2 The temporal focus of thoughts is another prominent phenomenological feature of mind-3 wandering. In examining the content of mind-wandering thoughts, patients with lesions 4 in both the ventromedial PFC and hippocampus report more present-focused thoughts 5 during mind-wandering than neurotypical controls[32,35]. These findings are in line with 6 the ventromedial PFC's causal role in mental time travel[45] and in thoughts not 7 bounded by external stimuli[46]. This pattern of thoughts was also observed in patients 8 with a behavioral variant of fronto-temporal dementia with atrophy in similar areas within 9 the DMN and other distributed regions[37]. In addition to hippocampal patients' 10 preference for present-focused mind-wandering, their tendency towards semantic 11 versus episodic thoughts and verbal versus visual thoughts[35] are also consistent with 12 the medial temporal lobe's role in mental simulations[9,32,47]. In other words, a 13 damaged hippocampus appears to result in an inability to construct episodic events via 14 visual imagery, which constitutes a major proportion of mind-wandering content[48]. It is 15 possible that a damaged medial temporal lobe subsystem may result in the absence of 16 mental content, causing thoughts to be more present-focused. In line with lesion 17 findings, stimulation of bilateral IPL specifically decreased mind-wandering focused on 18 negatively valenced past oriented thoughts compared to sham stimulation[49]. These 19 results converge on the notion that the medial temporal lobe subsystem is causally 20 involved in mental simulation during mind-wandering, without which our inner 21 phenomenological experience is restricted to the present.

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23 Collectively, notwithstanding the different conceptualizations of mind-wandering, these 24 findings establish the spatial specificity of the medial temporal lobe subsystem of the 25 DMN (as summarized in Figure 2). They reveal the nuanced relationship between each 26 subregion and the frequency of mind-wandering and its phenomenological experience, 27 suggesting they are not uniformly involved in the same aspects of mind-wandering. 28 These patterns are consistent with neuroimaging reports of a differential relationship 29 between the cortical thickness of two regions within the parahippocampus and varying 30 levels of detail and task-focus of our phenomenological experience [39,50]. Moving

beyond individual regions, lesion network mapping and combined tDCS and resting
state fMRI converge on the mechanistic role of intra-DMN connectivity in mindwandering occurrence. These novel approaches provide insights into the mechanistic
circuitry underlying how the DMN is involved in aspects of mind-wandering beyond its
propensity.

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8 Fronto-parietal control network's role in mind-wandering

9 Given the regulatory role of the FPCN in both external and internal cognition[19,51], 10 lesion and tDCS studies have focused on the role of the DLPFC as a core node of the 11 FPCN in mind-wandering. In our study, we compared the electrophysiological patterns 12 observed in individuals with and without lesions in the LPFC[52]. Patients with LPFC 13 lesions showed disrupted regulation of their electrophysiological response (i.e. alpha 14 band activity) during periods when attention was focused internally (otherwise referred 15 to as stimulus-independent thoughts). This regulatory capacity was intact in neurotypical 16 controls and patient controls with lesions outside of the FPCN. These results suggest 17 that the LPFC is critical for stimulus-independent thought; the impact of this lesion is 18 manifested in the disruption of the electrophysiological activity that facilitates mind-19 wandering[53,54]. Notably, lesions in LPFC have also been shown to disrupt contextual 20 processing (ref?)

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22 In line with this finding, studies involving DLPFC stimulation also established the causal 23 relevance of this region in mind-wandering, primarily designed to modulate its 24 propensity. In an initial tDCS study, anodal stimulation of the left DLPFC was found to 25 increase mind-wandering compared to two control conditions involving sham stimulation 26 and stimulation of the occipital region [55]. Subsequent studies have replicated this 27 finding: using stronger intensity stimulation that was independent of the location of 28 cathode over right supraorbital or inferior parietal cortex[28–31] (see Figure 1). The 29 authors also ruled out the possibility that meta-awareness as a result of stimulation 30 contributed to the increased self-report of mind-wandering[57]. Despite the apparent

robustness of this finding, we were unable to replicate the effect of tDCS on mindwandering in a well-powered, pre-registered study[58] using the identical stimulation and task parameters as those reported by the initial study[55]. By reporting Bayesian statistics, we were able to provide evidence for the absence of an effect of anodal DLPFC stimulation on mind-wandering. This finding raises important questions about the impact of methodological differences employed in the tDCS field on the variable outcomes across studies, which we address in more detail in Box 3.

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9 In a subsequent study, we used a high-definition (HD) stimulation montage, consisting 10 of smaller electrodes where one anode was centered over the left DLPFC and 11 surrounded by four cathodes. Computational simulations have shown that such HD-12 montages provide a more focal stimulation compared to the two-electrode montage[59] 13 used in past studies. We found that anodal stimulation of left DLPFC led to a decrease 14 in mind-wandering using the HD-montage in a different task tailored to recruit executive 15 function[60]. One possible explanation rests on the assumption that DLPFC stimulation 16 enhances executive resources availability. If so, these resources may be allocated to 17 the executive function task in this study [59], thereby decreasing mind-wandering during 18 task performance. Since the earlier studies implemented tasks that do not require 19 executive functions [40-43,55,56], the enhanced resources may have been allocated to 20 mind-wandering instead, thereby increasing its occurrence. These findings are 21 consistent with recent work indicating the same region within DLPFC flexibly connects 22 with different networks that are relevant to its current goals, underscoring its context-23 dependent role in attentional allocation[61]. Future studies are needed to clarify the role 24 of context in tDCS modulation of mind-wandering propensity.

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In summary, the heterogeneity in stimulation parameters and task choice likely contributed to these opposing results of DLPFC stimulation; however, they do converge on the critical role of the DLPFC in the occurrence of mind-wandering (as summarized in Figure 2). The contrasting results raise the possibility that the specific nature of its role may depend on whether the enhanced neural resources are delegated to external

or internal cognitive processes, once again underscoring the importance of considering
 task context in accounts of mind-wandering.

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4 Neural mechanisms underlying mind-wandering

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6 Investigations of neural mechanisms underlying mind-wandering have heavily relied on 7 fMRI. Using static and dynamic functional connectivity, these studies revealed 8 correlational relationships within and across regions of the DMN and FPCN during 9 mind-wandering[16,19,20,51]. Nonetheless, the coarse temporal resolution of fMRI 10 restricts its ability to capture transient, fast-acting processes of neuronal assemblies. In 11 contrast, iEEG offers high temporal resolution as well as anatomical precision, 12 rendering it an ideal tool for assessing the transient neuronal processes subserving mind-wandering. In this section, we discuss the neurophysiological mechanism 13 14 underlying mind-wandering and related spontaneous processes occurring at rest as 15 revealed by iEEG.

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17 Neural processes during mind-wandering

In line with neuroimaging studies, iEEG research investigating mind-wandering converges on the role of the DMN and FPCN. We authored an initial iEEG study that examined mind-wandering conceptualized as stimulus-independent thought or internal attention. Our task directs subjects' attention to be focused on the external environment (i.e. external attention) or their internal world (internal attention). Therefore, this experimental paradigm necessitates the recruitment of control regions to successfully direct attention externally or internally.

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Based on the prominent role of the PFC in modulating mind-wandering as informed by the lesion, tDCS, and neuroimaging literature, we first examined the role of the PFC as part of the FPCN. Specifically, our study compared high frequency band activity (HFA; typically quantified as 70-150+ Hz) in response to auditory stimuli during external and internal attentional focus in the lateral PFC as well as the temporal cortex as the control region[62]. While HFA in the PFC was greater during external compared to internal
attention, there was no evidence of such differences in the temporal cortex. These
findings implicate the PFC in the top-down control of mind-wandering. Our results
support the decoupling model[63], and suggests that one mechanism by which the PFC
supports mind-wandering is by withdrawing resources from the external environment as
reflected in the attenuation of HFA responses to external inputs during mind-wandering.

8 Informed by fMRI findings of functional connectivity between DMN and FPCN, we then 9 examined the electrophysiological mechanism underlying the relationship between 10 these two networks during mind-wandering. Given that parcellation of the FPCN has 11 revealed a subsystem A that is broadly implicated in internal cognition[51], we assessed 12 the interaction between electrodes within DMN and FPCN subsystem A. Our results 13 indicate enhanced inter-network connectivity in the theta band (4-7Hz) during internal 14 attention relative to external attention[64] (as shown in Figures 1 and 2). This pattern 15 was selectively observed in the theta band, consistent with studies suggesting the 16 selectivity of theta oscillations during internal attention processes[65] within the DMN 17 and FPCN[66,67]. Remarkably, the magnitude of the inter-network theta connectivity 18 measure predicted attention ratings during the internal attention condition, highlighting 19 its functional role in facilitating mind-wandering. These findings suggest that enhanced 20 functional coupling in the theta band between the DMN and FPCN subsystem A as a 21 potential core mechanism underlying mind-wandering.

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23 Neural processes of mind-wandering related processes during rest

Mind-wandering is often associated with thought processes that occur at **rest**. Since the spontaneous processes at rest are reminiscent of the stimulus-independent thought conceptualization of mind-wandering, we reviewed iEEG studies of resting state focusing on the DMN and FPCN to further delineate the neural underpinnings of mindwandering.

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30 Consistent with the mind-wandering literature, evidence from iEEG studies converges

31 on the role of the DMN during rest[68]. In particular, core hubs of the DMN have shown

increased HFA during sustained periods of rest[69], the magnitude of which was later 1 2 shown to be specifically modulated by the theta phase in the posterior medial 3 cortex[66]. As cross-frequency coupling is proposed to be a neuronal mechanism 4 wherein local activity is coordinated by slower frequencies at different time scales in 5 support of complex cognitive functions[70], these findings suggest cross-frequency 6 coupling within the DMN may be the neurophysiological mechanism underlying 7 spontaneous processes at rest. Implementing a more fine-grained assessment, 8 subsequent reports have revealed unique spatial and temporal patterns of 9 electrophysiological activity within the DMN[71] as well as between the DMN and 10 FPCN[21] during rest. For instance, neighboring electrodes within 5-10 mm of each 11 other often display different temporal profiles[71], potentially reflecting the different 12 stages or processes of spontaneous thoughts in which the DMN is engaged. Afforded 13 by the granularity of iEEG, these findings underscore the heterogeneity of the 14 spatiotemporal characteristics of the DMN during rest. Such observations corroborate 15 lesion and tDCS findings of the nuanced relationship between subregions of the DMN 16 and different aspects of mind-wandering, emphasizing the need to consider mind-17 wandering as a multi-faceted phenomenon in which the DMN plays various roles. 18

19 Taken together, iEEG has offered unique insights into the precise functional 20 neurophysiology of mind-wandering that non-invasive neuroimaging methods are not 21 equipped to address. Leveraging the spatiotemporal resolution of iEEG, these studies 22 have accessed core hubs of the DMN in the medial structures of the brain with the 23 temporal precision necessary to identify neural mechanisms and preferred frequencies. 24 They revealed the importance of theta band in coordinating activity across large-scale 25 neural networks during mind-wandering and in modulating HFA by way of cross-26 frequency coupling. They also established the neurophysiological basis for the 27 connectivity patterns observed in the BOLD signal and provided evidence for variable 28 temporal patterns that map onto spatial heterogeneity within the DMN and FPCN at 29 rest.

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31 Concluding Remarks and Future Perspectives

1 This review aimed to inform on the neural underpinnings of mind-wandering by 2 synthesizing evidence from lesion, tDCS and iEEG research. These findings provide 3 strong evidence that the medial temporal lobe subsystem of the DMN (which includes the hippocampus and ventromedial PFC) and DLPFC of the FPCN are necessary for 4 mind-wandering, albeit for different aspects of the phenomenon. Both lesion and tDCS 5 6 studies converge on the mechanistic role of intra-DMN connectivity in mind-wandering 7 propensity, revealing that perturbation in any major node can disrupt functions of the 8 network. The reviewed studies also demonstrated that mind-wandering relies on the 9 coordinated functioning within and across these large-scale networks at different 10 frequency bands, in particular the theta band and high frequency activity. We argue that 11 achieving an in-depth understanding of the complex neural patterns underlying mind-12 wandering necessitates a shift away from the predominant reliance on one method 13 towards an integration of complementary methodological approaches. Establishing the 14 causal and mechanistic brain-behavior relationships using these different approaches is 15 a prerequisite to successfully pinpoint effective targets for modulating attentional focus 16 and mind-wandering content in healthy and diseased brains. By leveraging the 17 advantages of different methods, the field can move towards achieving the goal of 18 creating a comprehensive understanding of the neural origins of this fundamental and 19 pervasive cognitive phenomenon.

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21 One essential future direction includes recognizing the panoply of thought processes 22 and content that are often jointly characterized as mind-wandering. While behavioral 23 and neuroimaging studies have begun to reveal the heterogeneity in processes and 24 content during mind-wandering, studies using the methodologies described here have 25 primarily focused on the propensity of mind-wandering or the mere presence of this 26 phenomenon, overlooking the variety of thoughts and types of mind-wandering that 27 occupy our everyday mental life. For example, ample evidence points to a distinction 28 between intentional and unintentional mind-wandering, which are linked to contrasting 29 functional correlates[72]. Another feature of mind-wandering are the dynamic 30 characteristics of thoughts[7], which are associated with unique electrophysiological

1 signatures[53]. Accumulating evidence from neuroimaging research have begun to 2 unravel distinct brain profiles of diverse thought processes and content in support of 3 conceptual distinctions[14,53,73]. The implications are two-fold. In terms of achieving a 4 brain-behavior mapping of mind-wandering, recognizing that mind-wandering is not a unitary phenomenon will help reveal the distinct brain regions and circuitry that are 5 6 differentially necessary for these various thought patterns and the neural mechanisms 7 that may underlie them. To that end, recent work has applied principal component 8 analysis on **multi-dimensional experience sampling**[74] data, which effectively 9 guantifies the complex nature of phenomenological experience in the lab and in the real 10 world[50,61,75]. Achieving an accurate and complete understanding of the nuanced and 11 complex landscape of ongoing thought processes during mind-wandering is a critical 12 first step in establishing its neural basis. Another crucial area in which the research 13 reviewed here is relevant concerns the modulation of mind-wandering using brain 14 stimulation: future work may benefit from focusing only on reducing the undesired types 15 and content of mind-wandering. We discuss the practical and clinical applications in Box 16 4. Evidently, the diversity in our thoughts and types of mind-wandering highlight the 17 value of using a finer grain measure of this phenomenon as an important step forward 18 (see Outstanding Questions). A comprehensive account of the neural basis of mind-19 wandering will therefore likely need to consider both the process by which the mind 20 decouples from the external environment to focus on the inner milieu [76–79] as well as 21 the multitude of phenomenological experiences that occur during mind-wandering.

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23 Beyond conceptual expansion, another area for development in establishing the causal 24 relevance of brain regions in mind-wandering involves moving beyond target regions in 25 order to capture the aforementioned diverse processes. Given neuroimaging work has 26 revealed regions beyond the DMN and FPCN implicated in mind-wandering[8], lesion 27 studies expanding the regions of interest beyond the DMN and FPCN may reveal other 28 brain structures necessary for different aspects of mind-wandering. To that end, one 29 recent study recruited patients with lesions across different brain regions[33], and 30 determined the lesion-associated networks linked to mind-wandering by implementing

lesion network mapping analysis. Moving forward, this analytic approach implemented
 on a large sample would be particularly useful in delineating additional regions and their
 related circuitry that are causally involved in mind-wandering.

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5 Stimulation of regions outside of the DLPFC will also likely prove to be informative; 6 equally important for stimulation studies to consider is other non-invasive or invasive 7 methods of stimulation. Thus far, tDCS is the most common approach to study mind-8 wandering and the majority of studies have targeted the DLPFC. Although improved 9 stimulation protocols are being developed to enhance the anatomical precision of tDCS 10 stimulation[59,80], modeling and simulation studies have shown that the stimulation 11 effect of the most commonly used standard bipolar montage tends to spread well 12 beyond the intended brain regions [59] and depends strongly on individual anatomical 13 differences[81] (as discussed in Box 3). Notably, other non-invasive albeit less 14 accessible approaches have been shown to provide more spatially precise stimulation. 15 This includes transcranial magnetic stimulation[82] and transcranial focused 16 ultrasound stimulation[83], an emerging technique in cognitive neuroscience with 17 superior focality. Another way to enhance spatial precision of the targeted region is 18 through intracranial electrical stimulation, which is an invasive neuromodulation 19 technique that involves clinicians stimulating electrodes implanted into the brains of 20 epilepsy patients to determine their corresponding behavioral effects. Although clinical 21 risks must be carefully considered, this method offers excellent spatiotemporal precision 22 important for tracking the transient effects of stimulation on mind-wandering manifested 23 in behavior and neurophysiological activity, and identifying the regions and circuits 24 causally linked to mind-wandering. A recent study summarizing these observed effects 25 of stimulation has reported a range of behavioral responses upon stimulation of the 26 DMN and FPCN[84].

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Finally, the combination of advanced methodological and analytic techniques offers novel ways to provide mechanistic insights into the neural basis of mind-wandering. The aforementioned studies using lesion network mapping analysis[33] and tDCS combined

1 with resting state fMRI functional connectivity analysis[41] exemplify these integrative 2 approaches and demonstrated their effectiveness in addressing mechanistic questions. 3 Other informative approaches include the examination of structural connections within 4 and between networks underlying mind-wandering[85]. In addition, beyond delivering a 5 direct electrical current in tDCS, transcranial alternating current stimulation (tACS) can 6 modulate ongoing brain oscillations by applying oscillating electrical currents at a 7 specific frequency band known to facilitate mind-wandering[86]. Aside from changing 8 mind-wandering occurrence, this method potentially enables one to determine not only 9 the necessary brain region but also the causal mechanism by which that region exerts 10 its influence on cognition[87].

11

The field of cognitive neuroscience is in an unprecedented position equipped with technologically advanced tools to tackle the question of the neural underpinnings of mind-wandering. The next frontier of mind-wandering neuroscience research will likely require the integration of theory-informed, fine-grained conceptualization of mindwandering and advanced methodological and analytical approaches to inform both basic and translational neuroscience efforts.

Box 1. The value of lesion, tDCS and intracranial EEG approaches.

The lesion, transcranial direction current stimulation (tDCS), and intracranial EEG
(iEEG) approaches have uniquely advanced our understanding of the neural basis of
cognitive functions (see Figure I).

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6 For over a century, lesion studies documenting the impact of permanent damage to 7 focal brain regions in humans have revealed important insights into their causal links to 8 fundamental cognitive processes[88]. Changes in one cognitive function but not another 9 in individuals with lesions compared to those with an intact brain provide compelling 10 evidence that the damaged brain region is necessary for the disrupted cognitive 11 function. The outcome of this permanent damage appears to be distinct from that of 12 temporary changes elicited by brain stimulation, underscoring the unique information afforded by the lesion approach[23]. To that end, foundational and contemporary lesion 13 14 studies have revealed subcomponent processes of high-level cognitive 15 functions[24,89,90].

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17 In contrast to the permanency of brain damage, tDCS temporarily modulates a brain 18 region using a portable stimulator that delivers low intensity electrical currents through 19 scalp electrodes. Importantly, changes in stimulation parameters can differentially 20 impact the targeted cognitive function. This includes the stimulation montage (e.g. bipolar versus high-definition) which impacts the spatial precision of the stimulation[23]; 21 22 polarity (e.g. anodal=excitation and cathodal=inhibition) which informs the functional 23 role of brain regions[40,41,43,56]; and intensity (e.g. 1mA versus 2mA) which reveals 24 dosage dependent effects[59,60]. Despite inconsistent findings in part due to 25 methodological differences (see Box 3), the temporary effect of the stimulation enables 26 the examination of causal relevance of brain regions in larger, more representative 27 samples and is a potential avenue for improving cognitive functions. 28

Finally, recordings of iEEG are obtained directly from electrodes implanted in the brains
 of epilepsy patients during surgery for identifying the source of epileptic seizures. Neural

1 activity recorded from non-epileptic sites[91] in patients resemble activity from healthy 2 brains, emphasizing the utility of iEEG for basic research. This type of data offers 3 millimeter anatomical precision and millisecond temporal precision useful for revealing neuronal activity unfolding over time during cognitive processes[92,93]. Moreover, iEEG 4 5 data has a high signal-to-noise ratio allowing for single-trial analyses and provides 6 access to high frequency activity which tracks neuronal firing rates and the BOLD signal[94,95]. Despite these advantages over scalp EEG, iEEG is inherently invasive 7 8 and comes at the cost of low sample size and partial brain coverage. The advantages of 9 iEEG enable us to understand not just where and when, but how, fundamental cognitive 10 processes are implemented in the human brain[92,96].



- 12 Figure I. Lesion, transcranial direct current stimulation (tDCS) and intracranial
- EEG (iEEG) approaches. A) Lesion studies have examined the default mode network
 (DMN), as exemplified in these MRI images. Adapted from Bertossi 2016[32]. B) tDCS
- 15 studies have targeted the DMN and DLPFC in the fronto-parietal control network
- 16 (FPCN). Simulation of left DLPFC stimulation using the two-electrode montage (left
- 17 panels) shows that the impact spreads into medial PFC and beyond whereas the four-
- 18 electrode high-definition montage (right panels) results in focalized electric field intensity
- 19 restricted to the left DLPFC. Adapted from Csifcsak 2018[59]. C) In studies using iEEG,

- 1 grid, strip and steretotactic electrodes have been used to target regions in DMN and
- 2 FPCN.

Box 2. The roles of DMN and FPCN in mind-wandering.

2 Neuroimaging research has long converged on the integral role of the default mode 3 network (DMN) in mind-wandering[9–12]. Based on differential relationships between 4 the two subsystems of the DMN and thought processes that are commonly reported 5 during mind-wandering[9,16], these subsystems presumably contribute to the different 6 aspects of mind-wandering. While the dorsal medial prefrontal cortex subsystem is 7 involved in mentalizing about social situations (e.g. as in theory of mind[97]), the medial 8 temporal lobe subsystem is active during episodic memory recall and constructive 9 mental simulation during memory tasks[16] and during mind-wandering[30,31]. Given 10 the robust finding of DMN's involvement in mind-wandering, a substantial amount of 11 research has almost exclusively examined the DMN. Subsequent work has disputed 12 this widely accepted portrayal of a one-to-one brain-behavior mapping, with a meta-13 analysis demonstrating that mind-wandering reliably recruits regions outside of the DMN 14 including the fronto-parietal control network (FPCN)[8]. Moreover, accumulating 15 evidence suggests that the DMN is not exclusively involved in mind-wandering and its 16 related processes[98]; rather, this network is also recruited during tasks not traditionally 17 associated with DMN [99-103], and linked to specific aspects of task-related 18 thoughts[22]. These findings contest the DMN's singular role as a task-negative 19 network[14,74,102,104], and necessitate the consideration of context to more 20 accurately depict this nuanced relationship between DMN and ongoing thoughts. 21

22 The FPCN has also been consistently linked to mind-wandering[8]. Primarily activated 23 during executive control processes [17,105], this network appears to serve as a gateway 24 to conscious ongoing experience and interacts with the DMN to regulate the occurrence 25 of internally oriented thoughts [16,21,51,106] including episodic memory [19]. Similar to 26 the DMN, subsequent work has revealed that this network is parcellated into two 27 subsystems that are associated with distinct functional roles[51]. The FPCNA is mainly 28 associated with internally oriented processes whereas the FPCN_B is preferentially 29 involved in externally oriented processes.

30

1 Collectively, although neuroimaging studies provide robust evidence of the involvement 2 of the DMN and FPCN, they also demonstrate the heterogeneity of each network and 3 their complex relationship with mind-wandering. Their role in mind-wandering and other 4 cognitive processes further highlights the importance of considering a more nuanced 5 functional account of these networks in explaining our ongoing thought patterns. These 6 separate lines of research set the foundational knowledge, upon which complementary 7 methodological approaches can then build to establish the causal relevance and 8 mechanistic relationship underlying subcomponents within these large-scale neural 9 networks.

1 Box 3. Methodological challenges and suggested solutions for tDCS research.

2 Effects of tDCS on mind-wandering and cognitive functions are variable[107] and have 3 been inconsistent across studies[108]. This suggests that the methodology as currently 4 employed in many tDCS studies is of insufficient guality to provide reliable and 5 consistent estimates of its effect on cognitive functioning. These methodological 6 shortcomings fall into two categories: overlooking important individual factors 7 modulating the effectiveness of tDCS, and poor study design coupled with flexible 8 analysis methods. Regarding the first category, individual anatomy has strong effects on 9 the distribution of the induced electric field as well as the intensity with which underlying 10 areas of the cortex are being stimulated[81]. Another major factor shaping the electric 11 field is the number, spatial location, material and shape of the stimulation 12 electrodes[109]. Even small variations of these parameters can have strong impacts on 13 the electric field. Yet, standards for these parameters are lacking from the scientific 14 literature. Both of these challenges can be overcome by utilizing methods for 15 individualizing both dose[110] and stimulation montages[111] based on computational 16 models of individual structual brain scans[112], which improves standardization of the

17 electric field across participants.

18 The second methodological shortcoming concerns replicability: while this issue have 19 been raised across many scientific fields including cognitive neuroscience[113], tDCS 20 research has been singled out as particularly vulnerable[114]. Many studies on the 21 cognitive effects of tDCS are characterized by under-powered designs and flexible 22 analytical methods[115], both of which have been shown to be key facilitators for non-23 replicable results[116]. Pre-registration (and especially pre-registered reports) has been 24 recently identified as a powerful method to improve scientific rigor and ensure 25 replicability[117]. By enforcing strict adherence to a pre-specified data collection and 26 analysis plan, spurious findings can be effectively reduced. Even though several pre-27 registered reports have been published[56,58], adoption of pre-registration has been 28 slow in the tDCS literature. However, this field is well-suited to benefit from the

advantages of pre-registration due to the relatively low cost for collecting larger samples
 and the abundance of stimulation and analytical parameters.

Finally, the tDCS field has suffered from spurious results due to insufficient blinding procedures in standard protocols[118]. Brain-stimulation devices have been shown to produce powerful placebo effects[119,120], resulting in the possibility that reported findings can be attributed to effects of expectation rather than stimulation. While modern, high-definition tDCS montages have better blinding properties[121], using active control protocols can facilitate estimating the effectiveness and specificity of the primary stimulation protocols[122].

1 Box 4. Heterogeneity of mind-wandering: real world implications.

2 Both theoretical and empirical work points towards the heterogeneity of the 3 phenomenological experience during mind-wandering and the myriad ways in which we 4 engage in this phenomenon. While the definition of mind-wandering has been under 5 debate[6,123], we emphasize on two recent conceptualizations that have relevant 6 practical and clinical implications. One common distinction concerns whether mind-7 wandering is engaged with or without intention[72]. Behavioral work on intentional and 8 unintentional mind-wandering have revealed dissociable outcomes. Intentional mind-9 wandering has been associated with practical benefits, such as creativity[124] and 10 enhanced mood. In contrast, unintentional mind-wandering has been linked to negative 11 affect[125] in healthy individuals. Clinical work has also demonstrated increased 12 unintentional mind-wandering but comparable intentional mind-wandering in individuals 13 with attention deficit-hyperactivity disorder symptoms[126] compared to neurotypical 14 controls. Given that negative outcomes uniquely associated with unintentional mind-15 wandering, future studies aiming to modulate mind-wandering propensity in educational 16 or occupational settings may consider focusing on unintentional mind-wandering.

17

18 Another conceptualization of mind-wandering that is particularly relevant for clinical 19 implications concerns the dynamic nature of thoughts [7,127,128]. Recent empirical work 20 testing this theory revealed the ubiquitous presence of thoughts that dynamically flow 21 from one topic to another [129,130]. Consistent with this growing theoretical recognition 22 that our thoughts are not static, neuroimaging evidence predominantly using dynamic 23 functional connectivity has revealed that neural activity also dynamically fluctuates over 24 time during mind-wandering and other spontaneous thought processes[12,21,131,132], 25 suggesting that just as our thoughts are not static, neither is the brain. These thought 26 dynamics stand in contrast to thoughts that are constrained to one topic. For instance, 27 our thoughts can be constrained by saliency of personal concerns, which makes it very 28 difficult to disengage from, as commonly characterized as rumination. Thoughts can 29 also be constrained by top-down control in service of achieving a goal, as in goal-30 directed processes.

1

2 The clinical implications of these various thought processes are multi-fold. As examples, 3 future work may benefit from selectively 1) reducing undesirable constrained thoughts 4 such as rumination characteristic of major depressive disorder, 2) increasing dynamic 5 thought in individuals with obsessive compulsive disorder, and 3) increasing focused, 6 goal-directed thought in individuals with attention-deficit hyperactive disorder. Although 7 studies using the multi-dimensional experience sampling approach have reported 8 similar thought patterns in the lab and daily life, a primary difference revealed more 9 positive thoughts about ongoing tasks in daily life compared to the lab[39]. Therefore, 10 future studies would benefit from considering the differential impact of performing lab-11 constrained versus naturalistic tasks on our phenomenological experience. 12 13

- 1 Glossary
- 2

3 **Epilepsy:** Epilepsy is a neurological disorder characterized by recurrent and 4 unpredictable seizures, during which brain activity and behavior becomes abnormal. 5 Many individuals' epilepsy can be treated by medication. However, if various types of 6 medication fail, individuals who have a well-defined source may undergo surgery to 7 determine the precise location of their seizures to be subsequently resected. 8 9 **Intracranial electrical stimulation:** By tracking behavioral changes resulting from the 10 stimulation of electrodes implanted in the brains of epilepsy patients, clinicians can 11 define the regions important for critical language and motor functions. This approach 12 also enables researchers to infer causality by mapping brain structure with millimeter

13 precision to behavioral, cognitive, and neural changes.

14

Mind-wandering: Mind-wandering is an umbrella term that encapsulates a variety of phenomenological experiences. The most widely used definition – task-unrelated thought – is narrowly characterized in contrast to an ongoing task. A broader definition is stimulus-independent thought, or internal attention, which characterize thoughts that are not focused on the external environment.

Multi-dimensional experience sampling: A form of thought sampling that asks
 participants to report on multiple dimensions of their ongoing phenomenological
 experience. In mind-wandering studies, this often includes the task-focus, detailedness,
 temporal focus, and valence of thoughts, among others.
 Prefrontal cortex (PFC): The PFC is situated in the frontal part of the cerebral cortex,

and plays a central role in high-level cognitive functions. It can be divided into numerous
subregions, associated with distinct functional roles. This review primarily discussed the
ventromedial PFC as part of the DMN, and the dorsolateral PFC as part of the FPCN.

Rest: Resting state is often characterized by periods of time when participants are asked to simply do nothing and think about whatever comes to mind. Given the lack of task constraints and experimentally relevant external inputs during rest, attention is presumed and shown to be preoccupied by internally focused thoughts unrelated to their immediate environment.

Thought sampling probes: These are question prompts embedded during a task in
the lab (or real life) that ask participants to describe their ongoing mental experience,
which circumvents issues of retrospective bias. In mind-wandering studies, participants
are typically asked whether they were paying attention to the task or not, among other
phenomenological aspects of the mind-wandering experience.

Transcranial focused ultrasound stimulation: This is an emerging non-invasive brain stimulation technique that modulates neuronal activity by way of low intensity acoustic pressure waves. This neuromodulation modality is uniquely characterized by its superior focality (on the order of millimeters) and capacity to target deep brain circuits.

- 18 **Transcranial magnetic stimulation:** This technique involves inducing a pulsed
- 19 magnetic field that creates brief electrical currents to pass in the brain to temporarily
- 20 excite or inhibit a focal brain area (on the order of centimeters) below the magnetic coil.

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Figures

Figure 1. Specific findings on the neural structures and mechanisms underlying mind-wandering. A) Patients with lesions in the ventromedial prefrontal cortex (vmPFC) reported significantly less mind-wandering episodes compared to healthy and patient controls across three tasks (i.e. WM = working memory, CPT = continuous performance task, Passive = rest). Adapted from Bertossi 2016[32]. B) tDCS anodal stimulation of the left prefrontal cortex (+PFC) and cathodal stimulation of the right inferior parietal cortex (-PC) showed increased mind-wandering frequency compared to sham stimulation, whereas the opposite montage (-PFC/+PC) did not show significant differences compared to sham, suggesting a polarity-specific effect. Adapted from Filmer 2020[43]. C) iEEG recordings revealed increased theta connectivity between DMN and FPCN subsystem A during mind-wandering as conceptualized by internal attention (int) compared to external attention (ext). Adapted from Kam 2019[64]. Figure 2. Graphical summary of the role of DMN and FPCN subregions in mindwandering. The effects of lesion on mind-wandering frequency and temporal focus of mind-wanderirng are indexed by star shapes. Darker grey indicates a lesion in that location was shown to increase mind-wandering frequency (primarily in the ventromedial PFC); the medium shade of grey indicates an absence of significant effect on mind-wandering frequency (in the hippocampus); the lightest grey indicates an increase in present-focused thoughts during MW (in the hippocampus). tDCS effects primarily focusing on mind-wandering frequency are indexed by hexagonal shapes: darker yellow indicates stimulation of that region was shown to increase mindwandering (in the DLPFC), lighter yellow indicates a decrease in mind-wandering (in the DLPFC and right IPL), and the medium shade indicates an absence of significant effect (in the DLPFC). The size of the hexagon corresponds to the proportion of papers reporting that effect; however, note the sample size across studies is not reflected in this figure. Connectivity between DMN and FPCN subsystem A during mind-wandering as revealed by iEEG studies are shown in blue circles, which reflects involvement of the entire network in which the circle is located.