# **Microbial Pathogenesis**

# High prevalence of Merkel cell polyomavirus is associated with dysregulation in transcript levels of TLR9 and type I IFNs in a large cohort of CF patients from the Italian (Lazio) Reference Center for Cystic Fibrosis

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Abstract:	Merkel cell polyomavirus (MCPyV) has been detected in respiratory specimens including those from Cystic Fibrosis (CF) patients, raising questions about its immunological and clinical relevance in the respiratory tract. MCPyV might promote an inappropriate antiviral response contributing to a chronic inflammatory response and resulting in detrimental effects in CF. Respiratory samples (n=1138) were randomly collected from respiratory tract of CF patients (n=539) during July 2018 - October 2019. MCPyV-DNA detection was performed by Real Time-PCR and positive samples were characterized by sequencing of the NCCR genomic region. The transcript levels of Toll-like receptor 9 (TLR9) and type I interferon (IFN-I) genes (IFNα, IFNβ and IFNε) were examined by RT/Real Time-PCR assays. MCPyV-DNA was detected in 268 out of 1138 respiratory specimens (23.5%) without any difference in the prevalence of

MCPyV-DNA according to age, gender or bacteriological status of CF individuals. Thirteen out of 137 CF patients remained positive for MCPyV-DNA over the time (a median follow-up period of 8.8 months). Detection of MCPyV-DNA in respiratory specimens was not associated with the occurrence of exacerbation events. Both MCPyV positive adolescents (11-24 years) and adults (>25 years) had lower mRNA levels of TLR9, IFN $\beta$ , IFN $\alpha$  and IFN $\alpha$  than the negative patients of the same age group, while MCPyV positive children produced increased levels of TLR9 and IFN-I genes (p<0.05 for TLR9, IFN $\beta$ , IFN $\epsilon$ ) with respect to the negative ones. There were significant differences in TLR9 levels (p<0.01), but not in those of IFNs, between MCPyV-DNA positive and negative patients with S. aureus , P. aeruginosa or both.

Overall, these results indicate that MCPyV-DNA is frequently detected in the respiratory samples of CF patients and might influence the expression levels of IFN-related genes in an age dependent manner. The concomitant detection of MCPyV together with S. aureus and/or P. aeruginosa correlated with alterations in TLR9 levels suggesting that virus-bacteria coinfections might contribute to affect antiviral immunity in CF patients.

# Highlights

- MCPyV is frequently detected in the respiratory samples of CF patients
- MCPyV prevalence does not vary according to age or gender or microbiology status
- MCPyV reduces TLR9 and IFN-I expression levels in CF adolescents and adults

- High prevalence of Merkel cell polyomavirus is associated with dysregulation in transcript levels of
   TLR9 and type I IFNs in a large cohort of CF patients from the Italian (Lazio) Reference Center for
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# 1 Summary

2 Merkel cell polyomavirus (MCPyV) has been detected in respiratory specimens including those from 3 Cystic Fibrosis (CF) patients, raising questions about its immunological and clinical relevance in the 4 respiratory tract. MCPyV might promote an inappropriate antiviral response contributing to a chronic 5 inflammatory response and resulting in detrimental effects in CF. Respiratory samples (n=1138) 6 were randomly collected from respiratory tract of CF patients (n=539) during July 2018 - October 7 2019. MCPyV-DNA detection was performed by Real Time-PCR and positive samples were 8 characterized by sequencing of the NCCR genomic region. The transcript levels of Toll-like receptor 9 9 (TLR9) and type I interferon (IFN-I) genes (IFNα, IFNβ and IFNε) were examined by RT/Real 10 Time-PCR assays. MCPyV-DNA was detected in 268 out of 1138 respiratory specimens (23.5%) 11 without any difference in the prevalence of MCPyV-DNA according to age, gender or bacteriological 12 status of CF individuals. Thirteen out of 137 CF patients remained positive for MCPyV-DNA over 13 the time (a median follow-up period of 8.8 months). Detection of MCPyV-DNA in respiratory 14 specimens was not associated with the occurrence of exacerbation events. Both MCPyV positive 15 adolescents (11-24 years) and adults (>25 years) had lower mRNA levels of TLR9, IFNβ, IFNε and 16 IFNa than the negative patients of the same age group, while MCPyV positive children produced 17 increased levels of TLR9 and IFN-I genes (p<0.05 for TLR9, IFN $\beta$ , IFN $\epsilon$ ) with respect to the negative 18 ones. There were significant differences in TLR9 levels (p<0.01), but not in those of IFNs, between 19 MCPyV-DNA positive and negative patients with S. aureus, P. aeruginosa or both. 20 Overall, these results indicate that MCPyV-DNA is frequently detected in the respiratory samples of 21 CF patients and might influence the expression levels of IFN-related genes in an age dependent 22 manner. The concomitant detection of MCPyV together with S. aureus and/or P. aeruginosa 23 correlated with alterations in TLR9 levels suggesting that virus-bacteria coinfections might contribute 24 to affect antiviral immunity in CF patients.

# 1 1. Introduction

2 Progressive pulmonary disease is the primary cause of morbidity and mortality in cystic fibrosis (CF) 3 patients. In recurrent pulmonary exacerbation, the role of chronic bacterial infections is well-known, 4 whereas the role of viral infections is still debated [1,2]. Common viral infectious agents in CF 5 individuals include Rhinovirus (HRV) [3, 4], Respiratory syncytial virus (RSV), and Influenza A and 6 B viruses [5,6]. Despite being most studied in association with Merkel cell carcinoma (MCC) [7,8], 7 Merkel cell polyomavirus (MCPyV) has also been detected in respiratory specimens, raising 8 questions about its clinical relevance in the respiratory tract [9-13]. Several studies have reported 9 different prevalence of MCPyV-DNA in the upper and lower respiratory tract samples of 10 immunocompetent and immunosuppressed individuals with variable respiratory symptoms, 11 respectively: 0.8%-0.6% (Australia, 2009) [9]; 3.5%-0.8% (Sweden, 2009) [10]; 2.1%-1.9% 12 (Finland, 2009) [11]; 2.0%-1.3% (UK, 2011) [12]; 6.0%-NA (Bulgaria) [13].

To the best of our knowledge, only two studies examined the prevalence of MCPyV infection in CF patients reporting different frequency values (6.8% [14] and 26% [15]). These results consolidate the hypothesis that the respiratory tract of CF patients might display a greater susceptibility to human polyomaviruses (HPyVs) infections.

Upon infection, HPyVs dsDNA can be sensed by Toll-like receptor 9 (TLR9), a key receptor in the host innate immune response that recognizes viral or bacterial dsDNA in the form of nonmethylated CpG motifs [16]. Upon ligand binding, TLR9 induces the transcription nuclear factor NF-kappaB (NF-kB), leading to increased production of inflammatory mediators and interferon (IFN) [17]. In order to escape from immune recognition responses, MCPyV, and other dsDNA oncogenic viruses such as Epstein-Barr virus, Hepatitis B virus, and the high-risk Human Papillomavirus 16 (HPV16), have developed evasion strategies to alter the production of TLR9 [18-21]. MCPyV possesses a non-enveloped icosahedral capsid that contains a circular double stranded DNA genome divided into the early and the late region [22]. The early and late regions are separated by the non-coding control region (NCCR) that contains the origin of replication (ORI) and bidirectional transcriptional elements that govern early and late viral gene expression [23]. Alternative splicing and alternative use of open reading frames (ORF) generate the proteins large tumor (LT), small T (sT), 57 kT antigens and LT open reading frame [22].

7 The expression of the MCPyV LT can downregulate TLR9 expression in epithelial and MCC-derived 8 cells [21]. Moreover, it has been found that the antiviral and antitumor actions of IFN- $\beta$  can be 9 suppressed in cells transformed by wild-type MCPyV through a JAK1-MCPyV LT antigen 10 interaction [24]. The antagonistic relationship between HPyVs and type I IFN (IFN-I) is also 11 confirmed by studies evaluating HPyVs sensitivity to IFN-I action [25]. In particular, in vitro and in 12 vivo experiments carried out in MCPyV infected MCC cell lines and mice respectively, showed that 13 IFN-I modulated LT activity promoting the expression of promyelocytic leukemia protein, which 14 interferes with the function of the LT [26].

In this study, we estimated the prevalence of MCPyV-DNA in respiratory samples of a large cohort of CF patients (n=539) from a single hospital in Rome, one of the Italian Reference Center for CF, analyzing viral load, and sequencing the viral region NCCR from all MCPyV-DNA positive respiratory samples. In addition, in order to shed light on the potential pathogenic role of MCPyV in CF, demographic, microbiological and clinical data collected from MCPyV-DNA positive and negative patients were compared.

Given that TLR9, through the binding of intracellular viral DNA, plays a major role in promoting the activation of antiviral pathways associated to IFN-I response [17,27], we hypothesized that alterations in the expression of TLR9 in the respiratory tract of MCPyV positive CF patients might lead to attenuated antiviral innate immune responses. Thus, the transcript expression of TLR9 and distinct IFN-I genes (IFNα, IFNβ and IFNε) were examined in respiratory samples of MCPyV positive CF
 patients according to their bacteriological and clinical status.

3 4

#### 5 2. Materials and methods

#### 6 2.1 Study population

7 Respiratory samples [n = 1138 (oropharyngeal aspirate n=619 and sputum samples n=519)] were 8 randomly collected from CF patients (n = 539) attending the Lazio Regional Reference Center for 9 CF, Policlinico Umberto I Hospital, Sapienza University of Rome, for routine visits during July 2018 10 - October 2019. The type of respiratory sample, oropharyngeal aspirate or sputum, did not differ with 11 respect to CF patients' age (age of patients with oropharyngeal aspirate samples: mean/standard 12 deviation, 25.4/16.5 years; age of patients with sputum samples: mean/standard deviation, 25.4/16.5 13 years, p > 0.05). Although follow-up schedules can change depending on the health status of CF 14 patients, most individuals were routinely seen in the hospital every 3 months: the median follow-up 15 period was 2.8 months (range: 0.4-15.4 months). Moreover, one respiratory sample was collected 16 from 173 (173/539, 32.1%), two respiratory samples from 197 (197/539, 36.5%), three respiratory 17 samples from 105 (105/539 19.5%) and four respiratory samples from 64 (64/539, 11.9%) out of 539 18 CF patients, respectively (Table 1).

19 Demographic and clinical data, such as gender, age, genotype, BMI, pulmonary function, exacerbation events were collected from medical records. Following the usual microbiological 20 21 investigation, MCPyV-DNA was tested by Real Time PCR in the residual respiratory samples. Then, 22 the gene expression analysis of TLR9 and IFNα, IFNβ, IFNε was carried out in a subgroup of 95 23 MCPyV-DNA positive samples and 147 negative samples of CF patients for whom the respiratory 24 specimens were enough to allow RT/Real Time PCR assays. The study was approved by the ethics 25 committee of the Policlinico Umberto I Hospital, Sapienza University of Rome, and informed consent 26 was obtained from patients suffering from CF.

#### **1 2.2** Microbiological investigations

Respiratory tract samples were analyzed for common microorganisms by standard methods and procedures for the traditional microbiological investigation (BD *BBLTM* Stacker Plates, Heidelberg, Germany). Matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOF MS) (Bruker Daltonics, Inc., Billerica, MA, USA) was used for bacterial identification where necessary. Since *Pseudomonas aeruginosa* and *Staphylococcus aureus* are the most prevalent bacterial species involved in respiratory tract infection in CF, patients were stratified according with the presence of these bacteria for the viral and immunological analysis.

# 9 2.3 MCPyV DNA Extraction and Quantification by qPCR

10 Total DNA was extracted from respiratory samples using the DNeasy® Blood & Tissue Kit 11 (QIAGEN, Milan, Italy), according to the manufacturer's instructions. Specific qPCR assays were 12 performed using TaqMan-based qPCR, employing primers and probes targeting MCPyV sT, as 13 previously described [15]. All samples were tested in triplicate, and the number of viral copies was 14 calculated from standard curves constructed using a ten-fold dilution series of plasmid pMCV-R17a containing the entire genome of MCPyV (Addgene, #24729) (dilution range: 10<sup>^8</sup>–10 copies/mL). 15 16 The lower detection limit of the assay was 10 DNA copies of the target gene per amplification 17 reaction, corresponding to 10 copies per reaction (10 copies/reaction). The amount of cellular DNA 18 was quantified simultaneously using a SYBR Green PCR for the housekeeping  $\beta$ -globin gene and 19 used to normalize the MCPyV DNA.

## 20 2.4 Nested PCR for NCCR analysis and Sequencing

MCPyV-positive DNA samples were subjected to nested PCR for the amplification of the NCCR region. Two sets of primers, ORIF1/ORIR1 (nucleotide positions 4832–4853 and nucleotide positions 5334–5314) and ORIF2/ORIR2 (nucleotide positions 5077–5100 and nucleotide positions 5280– 5261), were employed to generate an NCCR fragment of 504 and 203 base pair (bp). Numbering of nucleotides was based on the sequence of MCC350, a strain of North American origin (GenBank: EU375803) [28]. In detail, PCR reactions were carried out following a published protocol [29]. PCR products were purified and sequenced in a dedicated facility (Bio-Fab research, Rome, Italy).
DNA sequencing was performed with a Sanger protocol (Big Dye Terminator Sequencing, Life Technologies), using an ABI 3730 System (Life Technologies, BioFab research s.r.l., Rome, Italy). The
obtained sequences were compared to the reference strain (EU375803) and sequence alignment was
performed using ClustalW2 [http://www.ebi.ac.uk/Tools/msa/clustalw2/] available on the EMBLEBI website using default parameters.

# 7 2.5 TaqMan-Based Real-Time RT-PCR Assays for mRNA Expression

8 The mRNA levels of TLR9 (Hs.PT.58.40576968, Integrated DNA Technologies, IDT, Coralville, IA, 9 USA), IFNa (Hs.PT.58.24294810.g, IDT), IFNB (Hs.PT.58.39481063.g, IDT), and IFNE 10 (Hs.PT.58.4812867.g, IDT) were measured by quantitative RT-Real time PCR assays carried out with the LightCycler 480 instrument (Roche, Basel, Switzerland) as previously described [30]. Briefly, 11 12 total RNA was extracted from respiratory samples using the RNeasy Plus Universal Tissue Mini Kit 13 (Invitrogen, Carlsbad, CA, USA) and reverse transcribed using the High Capacity cDNA Reverse 14 Transcription Kit (Applied Biosystems, USA), according to the manufacturer's protocol. Primers and 15 probes for each gene were added to the Probes Master Mix (Roche, Basel, Switzerland) at 500 and 16 250 nM, respectively, in a final volume of 20  $\mu$ L. The housekeeping gene  $\beta$ -glucuronidase/GUS was 17 used as an internal control. Gene expression values were calculated by the comparative cycle 18 threshold value (Ct) method ( $2^{-\Delta Ct}$ ).

#### **19 2.6 Statistical methods**

Data analysis involved a process of indexing, coding, and data categorizing. All statistical analyses were performed with Statistical Package for Social Science (SPSS) version 25, which is a graphical user interface for MATLAB (ver. 2021). Categorical variables were summarized as proportion, absolute frequency (and/or percentage), and continuous variables were summarized as mean and Standard Deviation ( $\pm$  SD) or median and inter-quartile range (IQR: 25th and 75th percentile). Baseline demographic and outcome variables were compared to the presence/absence of MCPyV-DNA and exacerbation using Chi-square ( $\chi$ 2). Differences in the levels of TLR9 and IFNs between

1 MCPyV-DNA positive and negative CF patients were evaluated using the Mann-Whitney test. The 2 same test was used to compare the levels of mRNA of TLR/IFNs between MCPyV-DNA positive 3 and negative CF patients according to the microbiological status. Spearman's rho coefficient (r) was 4 calculated to assess the correlation between TLR9 and IFNs levels, and age. A series of repeated 5 measures analysis of variance (RM-ANOVA) were carried out to assess whether there was a 6 difference in viral load over four assessment time points (baseline, T1, T2 and T3 follow-up period). 7 RM-ANOVA F-scores at p-value less than 0.05 for each subscale were considered significant. 8 Intervention was compared on baseline characteristics as well as baseline measures of the outcomes. 9 Logistic regression analysis was fitted to develop models of risk factors for exacerbation occurrence 10 in MCPyV-DNA positive CF patients.

11

## 12 **3.** Results

## 13 3.1 MCPyV prevalence, viral load and seasonality

One thousand hundred thirty-eight respiratory samples were randomly collected from 539 CF patients
 and analyzed for MCPyV-DNA. Overall, 137/539 (25.4%) CF patients were positive at least one time

16 (Table 1), for a total of 268/1138 MCPyV-DNA positive respiratory specimens (23.5%) (Table 2).

17 Considering CF individuals with at least one follow-up sample (at least two samples), 82/539 (15.2%)

18 patients were MCPyV-DNA positive at least two times; 36/539 (6.7%) patients were MCPyV-DNA

19 positive at least three times; 13/539 patients (2.4%) had four MCPyV-DNA positive samples (Table

20 1).

As far as MCPyV-DNA persistence in respiratory samples was concerned, 50 CF patients were positive in two consecutive samples (median follow-up period: 4.67 months, range: 0.7-10.2), 19 CF patients were positive in three consecutive samples (median follow-up period: 6.8 months, range: 2.8-11.4), 13 CF patients were positive in four consecutive respiratory samples (median follow-up period: 8.8 months, range: 2.2-11.8) (Table 1). Moreover, no statistically significant differences were found in the prevalence of MCPyV infection
 and in the levels of MCPyV-DNA between females and males and also analyzing CF patients
 stratified in three age groups (0-10 years, 11-24 years, >25 years) (Table 1).

4 Analysis by qPCR showed a MCPyV-DNA titer in the respiratory samples ranged from  $9*10^1$  to

5  $1.2*10^5$  copies/mL, mean value of  $8.2*10^3$  copies/mL ( $\pm$  SD  $7.8*10^3$ ) and median Ct value of 30.2

6 (IQR: 28.1–32.2). There was no difference in MCPyV-DNA comparing aspirate and sputum samples

7 in CF patients and also between different age groups (p>0.05 for all the analysis) (Table 2).

8 Considering CF patients who remained positive for MCPyV-DNA detection in four consecutive 9 respiratory specimens (n=13, median follow-up period: 8.8 months, range: 2.2-11.8), by carrying out 10 repeated MCPyV-DNA measures at different time intervals (T0-T1: 3.5 months; T1-T2: 2.3 months; 11 T2-T3: 2.4 months), we found that the number MCPyV-DNA copies/mL decreased over the time 12 (p<0.001).

Then, we evaluated the trend of monthly positivity rates of MCPyV-DNA in CF patients during the year analyzed (July 2018 - October 2019). MCPyV-DNA was detected every month, with the greatest number of MCPyV positive respiratory samples found in February, October and December. The highest MCPyV prevalence was observed in February (18.2% of the month's samples), suggesting a winter seasonality for this DNA virus (data not shown).

## 18 3.2 MCPyV NCCR Analysis

19 Analysis of MCPyV NCCR regions obtained from the total of the positive MCPyV respiratory 20 samples of CF subjects was carried out. The amplified NCCRs, spanning from nucleotide position 21 5077 to 5280, were compared with the reference sequence of the prototype North American strain 22 MCC350 (Figure 1). Results showed a NCCR characterized by a high degree of homology with the 23 prototype strain, despite the presence of some deletions, insertions, or mutations. Overall, a deletion 24 of 3 bp (CCC), 3 TTT, 2 bp (CC) and 2 bp (AA), was frequently observed sited in positions 5163-25 65, 5260–62, 5157–58 and 5178–79, respectively (Figure 1). Interestingly, T to C transitions were 26 recurrently observed in positions 5148, 5162, 5220 and 5280 (Figure 1); instead, A to T transversions

1 were found in position 5171, 5176, 5218 and 5270. Finally, a GTTGA insertion at positions 5210-5211 was identified. Analysis of putative binding sites was also carried. The NCCR sequence of the 2 3 prototype MCC350 [28] contains binding sites transcriptional factors such as non-histone protein 1 4 (NHP-1), forkhead box N2 (FOXN2), basic transcription element binding protein 3 (BTEB3), 5 forkhead box O3a (FOXO3a), nuclear factor of activated T cells 3 (NF-AT3), forkhead box J2 6 (FOXJ2), GATA-binding factor 1 (GATA1), activator protein 1 (AP1) and paired box gene 2 (PAX2) 7 (Figure 1). Comparison of the cellular transcription binding motifs reported above with all the NCCR 8 sequences recovered from MCPyV-DNA positive samples, evidenced that mutations, deletions, and 9 insertions changed some putative binding sites in v-rel avian reticuloendotheliosis viral oncogene 10 homolog A (RELA), signal transducer and activator of transcription 4 (STAT4), transformation-11 specific-1 transcription factor (ETS-1), Androgen Receptor (AR), Hepatic leukemia factor (HLF) and 12 specificity protein-3 (Sp3) as reported in Figure 1.

# 13 3.3 Microbiology in MCPyV positive and negative CF patients

Within the CF patients' respiratory samples (n=1138), the most common bacteria detected were *S*. *aureus* (n=397), *P. aeruginosa* (n=268) or both bacteria (n=227) (Table 2). The concomitant detection
of MCPyV-DNA with *S. aureus* or *P. aeruginosa* was observed in 103/1138 samples (9.1%) and in
61/1138 samples (5.4%) respectively, whereas the co-detection of MCPyV DNA, *S. aureus* and *P. aeruginosa* was found in 48/1138 samples (4.2%). No significant association was found between
MCPyV-DNA detection and the microbiological status of CF patients and also analyzing MCPyV
positive individuals stratified by age (0-10 years, 11-24 years, >25 years; p>0.05) (Table 2).

Given that mucoid *P. aeruginosa* has been associated with more severe pulmonary disease [31], we examined the phenotype of *P. aeruginosa* according to the MCPyV positivity. We did not find any difference in the prevalence of the mucoid phenotype of *P. aeruginosa* between MCPyV positive (26.5%) and negative (24.8%) CF patients and also examining MCPyV positive patients stratified by age (0-10 years, 11-24 years, >25 years; p>0.05) (Table 2).

# 26 **3.4 Gene expression of TLR9 and IFN-I subtypes MCPyV positive and negative CF patients**

Considering that patients' age has an impact on the innate immune response to respiratory viruses
 [32,33], we compared TLR9 and IFN-I gene expression levels between positive and negative MCPyV
 patients stratified in age groups (0-10 years, 11-24 years, >25 years).

4 Demographic and clinical characteristics of CF patients analyzed are reported in Table 3. MCPyV 5 positive children produced increased levels of TLR9 and IFN-I genes compared to the negative ones 6 (p=0.02, p<0.001, p=0.002, p=0.27) (Figure 2, Panel A), whereas both MCPvV positive adolescents 7 and adults had lower mRNA levels of TLR9, IFNβ, IFNε and IFNα than the negative patients 8 (adolescents: p<0.001, p=0.01, p=0.02, p=0.26; adults: p=0.04, p=0.001, p<0.001, p=0.12) (Figure 2, 9 Panel B and C). Moreover, in the MCPyV negative group, IFNβ, IFNε and IFNα mRNA levels were 10 lower in children compared to adolescents and adults (p<0.001, p=0.001, p=0.002), while TLR9 was 11 increased in adolescents and reduced in children and adults (p=0.03, Figure 3, Panel A). On the other 12 hand, analyzing the MCPyV positive group, we found that TLR9 levels were reduced in adolescents 13 and adults compared to children (p<0.001) while there were no significant differences in IFN $\beta$ , IFN $\epsilon$ 14 and IFN $\alpha$  mRNA levels between age groups (p>0.05 for all genes, Figure 3, Panel C). In agreement 15 with these results, transcript levels of TLR9 were found to be inversely correlated with age in MCPyV positive patients (r=-0.34, p=0.001) (Figure 3, Panel D), differently from that observed in MCPvV 16 17 negative patients (r=-0.10, p=0.22) (Figure 3, Panel B).

# 18 3.5 Gene expression in MCPyV positive and negative CF patients according to the19 microbiological status

Given that bacterial colonization might differently activate TLRs and IFN response [30,34], we further explored whether transcript levels of TLR9, IFN $\beta$ , IFN $\epsilon$  and IFN $\alpha$  in MCPyV positive CF patients could be influenced by the microbiology status. Thus, CF patients were stratified according to the detection of *S. aureus*, *P. aeruginosa* or both. We found that TLR9 levels decreased in MCPyV-DNA and *P. aeruginosa* positive CF patients compared to those negative for MCPyV-DNA detection (p=0.009, Figure 4). By contrast, TLR9 levels were higher in *S. aureus* colonized MCPyV positive CF patients than in the negative ones (p=0.006, Figure 4). Differently, when CF patients were infected by *P. aeruginosa* and *S. aureus*, TLR9 levels were higher in those negative for MCPyV-DNA than
 in those positive for MCPyV-DNA (p=0.003, Figure 4). There were no differences in mRNA levels
 of IFNβ, IFNε and IFNα between MCPyV positive and negative CF patients suffering from *S. aureus*,
 *P. aeruginosa* or both bacterial infections (p>0.05, data not shown).

#### **5 3.6** Exacerbation events

6 We assessed whether there was an association between the detection of MCPyV-DNA in respiratory 7 specimens, the alteration in TRL9 and IFN-I levels and the frequency rates of acute exacerbations 8 observed in CF patients. Exacerbation data were available for 1108/1138 respiratory samples (97.4%) 9 collected from 516/539 CF patients (95.7%): 89 CF patients presented exacerbation. The number of 10 CF individuals with respiratory exacerbation was similar between those positive (n=27/266, 10.1%)11 or negative (n=62/842, 7.4%) for MCPyV-DNA (Table 4). Exacerbation events were higher in the 12 adults compared to adolescents and children in MCPyV negative patient's group (p=0.04, Table 4), 13 while they did not differ according to the age of MCPyV positive patients (p=0.12, Table 4). 14 Comparing exacerbated and non-exacerbated CF patients, we found a higher frequency of acute respiratory events in MCPyV negative patients with *P. aeruginosa* infection (p=0.003, Table 4), while 15 16 a similar frequency of exacerbation events was recorded in MCPyV positive individuals with S. 17 *aureus*, *P. aeruginosa* or both bacteria (p=0.20, Table 4).

A logistic regression analysis was carried out to develop a model of risk factors for respiratory exacerbation occurrence in MCPyV positive CF patients. The detection of *S. aureus* (OR 2.45, 95% CI 0.89-6.68; p=0.08) or *P. aeruginosa* (OR 0.69, 95% CI 0.26-1.78; p=0.446) was not associated with a higher frequency of pulmonary exacerbations episodes in MCPyV positive individuals. We also found no difference between exacerbated and non-exacerbated CF patients in both MCPyV positive and negative patients according to TLR9, IFN $\beta$ , IFN $\epsilon$  and IFN $\alpha$  mRNA levels (p>0.05, data not shown).

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#### 26 **4. Discussion**

1 Although MCPyV is known as a critical factor in the development of MCC [28], MCPyV-DNA has been detected in a variety of non-MCC cancers, including chronic lymphocytic leukemia, malignant 2 3 tonsillar tissues, cervical carcinomas, nonmelanoma skin cancers, and lung cancer [35] and recently 4 in diverse specimens, including respiratory tract samples [9-13]. As hypothesized for HPyVs [36,37], 5 MCPyV could be transmitted by inhalation through the respiratory route and then spread to other 6 sites, such as epidermal tissue. The role of MCPyV as a respiratory pathogen remains highly 7 speculative since patients generally remain asymptomatic or exhibit nonspecific upper respiratory 8 tract symptoms. Beside the role as respiratory pathogen, MCPyV infection in the respiratory tract 9 mucosal cells may cause a dysregulation in the innate immune sensing and response to other 10 microorganisms.

The impact of respiratory viruses has been only recently recognized in CF patients [38]. Increased respiratory symptoms, decline of respiratory function, higher frequency and duration of hospitalization have been reported in CF for HRV, RSV and Influenza A/B viruses [39,40]; however microbiological and clinical implication of respiratory viruses in CF remains still a poorly understood issue.

In this study, the prevalence of MCPyV-DNA in respiratory samples collected from CF patients reached 23.5%, a rate higher than those reported in previous studies in non CF individuals [8-13], validating the hypothesis that microenvironment conditions in CF respiratory tract could promote a higher rate of MCPyV infection and/or the frequency of its reactivation from latency [14,15]. We did not observe a statistically significant difference in MCPyV prevalence and viral load stratifying CF patients according to age and gender or microbiology status.

These data confirm what is already hypothesized for CF patients: the rate of positivity for respiratory viruses is similar among CF children and adults [1,41], although data about viral prevalence remain highly under-reported, especially in the adults, because not all CF patients have severe symptoms during viral infections and also for technical difficulties as the use of incomplete PCR panels to routinely detect the respiratory viruses [42]. Adults with CF have a lower prevalence of respiratory

1 viral infections associated with pulmonary exacerbations compared to children and infants [43]. Also, 2 there was no clear seasonal trend for MCPyV-DNA detection in respiratory samples from CF patients. 3 However, in agreement with what was already described in literature [14,15], a higher frequency of 4 MCPyV positivity was reported in February, October and December suggesting that cold weather 5 and low relative humidity could promote MCPyV replication and spreading. Moreover, our data 6 showed that MCPyV could persist for extended periods in the respiratory tract of CF patients: thirteen 7 patients remained MCPyV-DNA positive in four consecutive respiratory samples for a median 8 follow-up period of about 9 months. Although HPyV reactivation in the respiratory tract has been 9 observed in hematology/oncology and AIDS patients [44]; this result suggests that CF patients might 10 have a greater risk of MCPyV reactivation, perhaps as a result of a persistent microbial stimulus in 11 their respiratory tract [14].

12 In order to improve the knowledge of NCCR alterations in MCPyV strains circulating in CF, the 13 NCCR variability was also analyzed. It has been established that modifications in NCCR structure 14 are the main event in the onset of HPyVs-related pathology, as demonstrated for other HPyVs such 15 as JCPyV and BKPyV, in which NCCRs control gene expression and, harboring the origin of DNA 16 replication and transcription factor binding sites, represent a key determinant in viral replication [45]. 17 On the other hand, relatively little is known about the role that NCCR plays in MCPyV infection, and 18 limited data are available on the relationship between MCPyV NCCR variability and pathogenesis. 19 NCCR sequence analysis revealed a high degree of homology with the prototype MCC350 strain, 20 although transitions, transversions, single or double deletions and insertions were randomly observed. 21 Differently to JCPyV and BKPyV, in which the upstream or 5'side of NCCR is highly conserved and 22 the downstream or 3'side undergoes rearrangements [46], we found that mutations occurred both in 23 early and in late proximal side of the MCPyV NCCR.

Rearrangements in MCPyV NCCR have been associated with genotypes that vary with ethnicity [47].
Specifically, two major subtypes, I and II, were identified based on the presence or absence of a 25
bp tandem repeat into nucleotide positions 5177–5178 of the MCPyV NCCR. Based on the

occurrence of two additional insertions (2 bp, TT, and 5 bp insertions, GTTGA, between nucleotide
positions 5199–5200 and 5210–5211, respectively), MCPyV strains have been further assigned to
five genotypes. In our analyzed strains, we found the MCPyV NCCR IIa-2 strain which contains the
5 bp insertion GTTGA (nucleotide positions 5210–5211) and represents the predominant strain
among white persons of European descent, as expected for our cohort of CF patients [47].

In this study, it was evaluated whether the nucleotide changes observed in MCPyV NCCRs involved
the structure of putative binding sites for different cellular transcription factors [45,47-49]. Sequence
analysis showed that the MCC350 NCCR sequence contains multiple binding sites such as NHP-1,
FOXN2, BTEB3, FOXO3A, NF-AT3, FOXJ2, GATA1, AP1 and PAX2, already described within
the NCCRs of other HPyVs [45,47-49]. In several strains obtained from MCPyV-positive samples,
mutations fell within these putative binding sites, changing some of these motifs in RELA, STAT4,
ETS-1 AR, HLF and Sp3.

13 The relevance of different cellular factors, including Tst-1, Neurofibromatosis type 1 (NF-1), 14 Specificity protein 1 (Sp1), NF-kB and Purine element binding protein  $\alpha$  (PUR $\alpha$ ), that specifically 15 determine JCPyV tropism for glial cells and play an important role in favouring efficient HPyVs DNA replication, has been described [50]. Moreover, a potential association, between a C/G mutation 16 17 in the NCCR Sp1 site and increased BKPyV virulence in hemorrhagic cystitis patients, has been 18 proposed [51]. Considering these evidences, further studies are warranted in order to define the 19 importance of NCCR binding sites and understand how these changes may drive MCPyV replication 20 and pathogenicity in vitro and in vivo.

In the attempt to understand whether changes in NCCR sequence could be correlated with an increased MCPyV replicative capacity, the viral load was analyzed considering the occurrence of these mutations. In CF patients who had positive results for MCPyV-DNA detection in four consecutive respiratory samples and in which no mutations were observed, the number of MCPyV-DNA copies/mL decreased over the time. Conversely, a higher number of MCPyV-DNA copies/mL was reported in CF patients with GTTGA insertion. As previously reported for BKPyV and JCPyV, rr-NCCRs conferred a higher replication rate to these viruses, contributing to disease progression
[52]. Consequently, also for MCPyV, it is possible to speculate that the shift from canonical NCCR
to rr-NCCR could determine higher replication capacity. It is also likely that high MCPyV-DNA
levels might enhance the chance of viral integration into the cell genome and then its oncogenic
properties in a context different from that of MCC.

6 Viral infections in combination with colonizing polymicrobial communities may differentially 7 contribute to alteration in the airway inflammation and innate immune response in CF [53]. TLRs, 8 involved in viral detection and microbial recognition, play a key role in orchestrating the 9 inflammatory response in respiratory epithelial cells of healthy individuals and in those with chronic 10 respiratory diseases, including CF individuals [30]. Hence, the presence of an excessive or reduced 11 TLR expression and activation can interfere with inflammatory response [54]. Because the respiratory 12 tract in CF is most frequently colonized by S.aureus and P. aeruginosa that have been both involved in causing alterations in TLR and IFN pathways [55-57] we considered their presence as a relevant 13 14 factor to understand if MCPyV could have a role in influencing IFN response.

15 Remarkably, the results presented here are the first, to our knowledge, to indicate that detection of 16 MCPyV-DNA in respiratory samples of CF patients is associated with alteration in the transcript 17 expression of TLR9. Surprisingly, MCPyV positive children had higher transcript levels of TLR9 and 18 IFNs compared to the negative ones, while a reduction in TLR9 and IFNs mRNAs was found in 19 adolescents and adults with MCPyV. The increased TLR9 and IFNs levels found in children with 20 MCPyV might be caused by the activation of innate immune response as response to the first MCPyV 21 infection occurring at the early ages; on the other hand, CF adolescents and adults might probably 22 experience different MCPyV reactivations, resulting in abundant production of viral early genes able 23 to modulate the innate immune responses [58]. Thus, MCPyV-mediated alteration of TLR9-mRNA 24 production could confer a benefit against the intrinsic dysregulated inflammatory response observed 25 during CF, in which the dysfunctional CFTR is known to trigger high proinflammatory cytokine 26 levels either at basal or as consequence to viral or microbial infections [59]. Moreover, the finding

1 that TLR9 levels were higher in MCPyV positive children compared to those observed in MCPyV 2 positive adolescents and adults could be partially explained by a more frequent detection of *S. aureus* 3 in children: in this regard *in vitro* studies have identified TLR9 as one of the most important pattern 4 recognition receptor involved in the induction of IFN-I signaling in response to S. aureus infection 5 [60,61]. However, on one hand TLR9 was found to be inversely correlated with age in MCPyV 6 positive CF patients, on the other hand TLR9 was higher in MCPyV negative adolescents, suggesting 7 the existence of a potential combined effect of MCPyV and S. aureus co-detection on TLR9 8 expression in children. Indeed, CF adolescents had S. aureus as the most frequent bacterium in their 9 respiratory tract, highlighting the complexity of the phenomenon analyzed. This hypothesis seems to 10 be supported by the fact that TLR9 levels were enhanced in S. aureus colonized MCPyV positive CF 11 patients than in the negative ones, while TLR9 levels decreased in those with P. aeruginosa and 12 MCPyV compared to those negative for MCPyV-DNA detection. Indeed, the role of TLR9 played in 13 response to *P. aeruginosa* remains not yet characterized thoroughly, although its contribution to the 14 inflammatory response, up-regulation of TLRs, and bacterial clearance has been reported [62]. 15 However, neutrophils appear to be capable of responding to P. aeruginosa DNA in a TLR9-16 independent manner [63], highlighting a complex interplay between bacteria, virus and innate 17 immunity.

18 Some studies have reported a low prevalence of HPyV in the respiratory secretions from patients with 19 acute respiratory symptoms [9,37]. Our results point out that the detection of MCPyV-DNA in CF 20 respiratory samples did not affect the frequency of pulmonary exacerbation events. In relation to the 21 microbiology status of CF patients analyzed, exacerbation events in MCPyV negative patients were 22 more frequent in individuals with *P. aeruginosa* infection, while a trend toward a major number of 23 acute respiratory events was found in those positive for both S. aureus and MCPyV. In agreement 24 with this data, while acute respiratory events in MCPyV negative patients were more frequent in 25 adults, who usually present *P. aeruginosa* colonization [64], we did not find a significant difference 26 in the frequency of exacerbation events among the age groups in MCPyV positive patients.

In conclusion, MCPyV-DNA is frequently detected in the respiratory samples of CF patients, with a
 higher prevalence during cold months. Moreover, these results suggest that MCPyV could be
 involved in the reduction of TLR9 and IFNs transcript levels in both adolescents and adults with CF.
 By contrast, the detection of MCPyV-DNA and *S. aureus* is associated to an increase of TLR9 and
 IFNs expression levels in respiratory samples collected from CF children.

6 Overall, these observations indicated that MCPyV might differentially alter the expression of TLR9-7 mRNA in the respiratory tract of CF patients according to their bacteriological status, affecting 8 indirectly the IFN-I production. Because analogous to MCPyV, both KIPyV and WUPyV have been 9 observed in respiratory samples, but clear evidence for a causal association with respiratory illness 10 has yet to be identified [65], further studies examining and comparing the prevalence of these HPyVs 11 in CF and their relationship with IFN pathways are required to better evaluate whether these viruses 12 can promote changes in microbial environment and mucosal immunological response.

13

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15

Fig. 1 Sequence analysis of the MCPyV NCCR PCR products. The alignment is shown between the nucleotide sequence from 5077 (proximal to the early genes) to 5280 (just upstream of the start codon of the VP2 gene) of the published sequence of MCPyV in GenBank (NCBI) (EU375803) [29] and that obtained from the sequencing of positive samples containing the observed mutations and deletions. Putative binding sites for transcriptional factors in the MCC350 are reported as well as the changed-putative binding motifs resulted from the mutated NCCR. Fig. 2 Expression levels of genes encoding TLR9, IFNβ, IFNε and IFNα in respiratory samples
 collected from cystic fibrosis (CF) patients with or without Merkel cell polyomavirus (MCPyV)
 infection. Data for children (Panel A), adolescents (Panel B) and adults (Panel C).

4 \* $p \le 0.05$  \*\* $p \le 0.01$  \*\*\* $p \le 0.001$ 

Fig. 3 Expression levels of genes encoding TLR9, IFNβ, IFNε and IFNα in respiratory samples
collected from cystic fibrosis (CF) patients stratified by age (0-10 years, 11-24 years, >25 years)
without Merkel cell polyomavirus (MCPyV) (Panel A) or with MCPyV (Panel C). Scatterplot showed
the correlation between age (years) and TLR9 mRNA levels expressed as relative expression in
MCPyV negative patients (Panel B) and in MCPyV positive patients (Panel D).

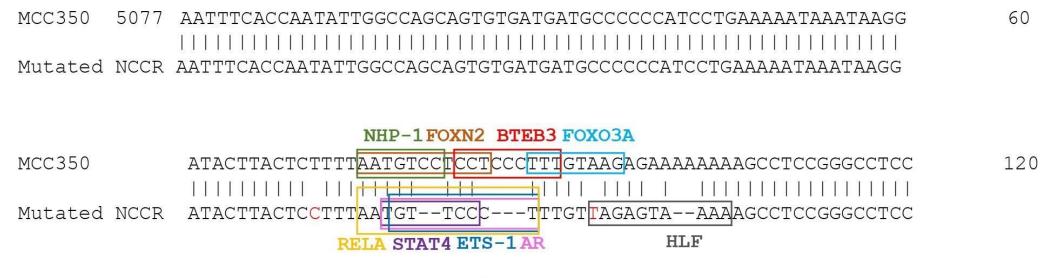
10 \*p≤0.05 \*\*p≤0.01 \*\*\*p≤0.001

Fig. 4 Expression levels of TLR9 mRNA in respiratory samples collected from cystic fibrosis (CF)
patients with or without Merkel cell polyomavirus (MCPyV), stratified according to the
bacteriological status: *Staphylococcus aureus* (*S. aureus*), *Pseudomonas aeruginosa* (*P. aeruginosa*)
and both bacteria.

15 \* $p \le 0.05$  \*\* $p \le 0.01$  \*\*\* $p \le 0.001$ 

Response to Reviewers

Figure 1



NF-AT3

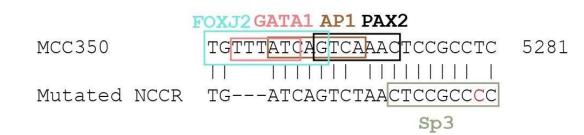


Figure 2 Panel A

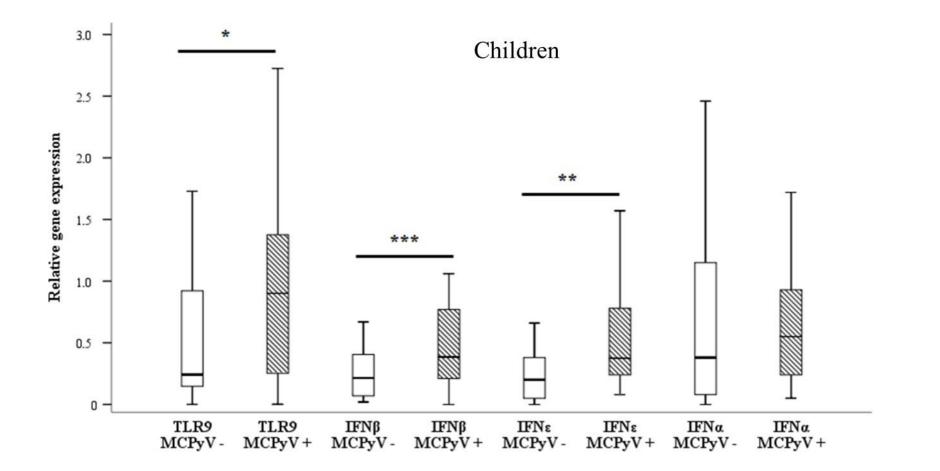


Figure 2 Panel B

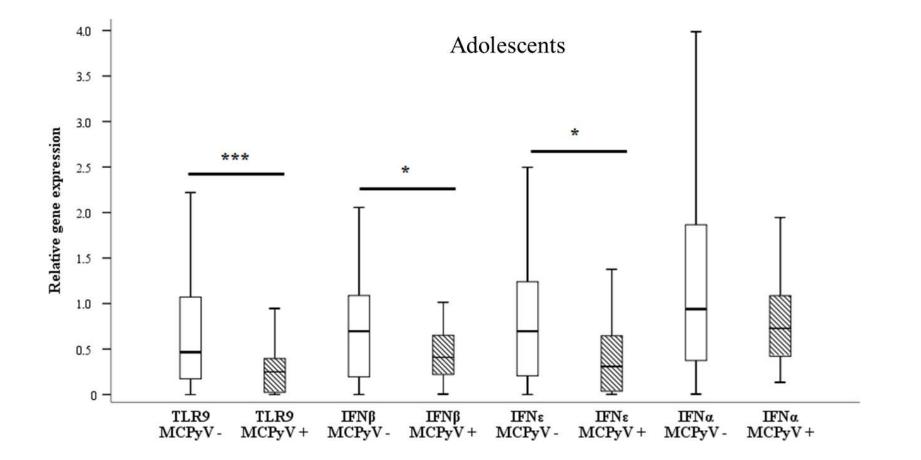


Figure 2 Panel C

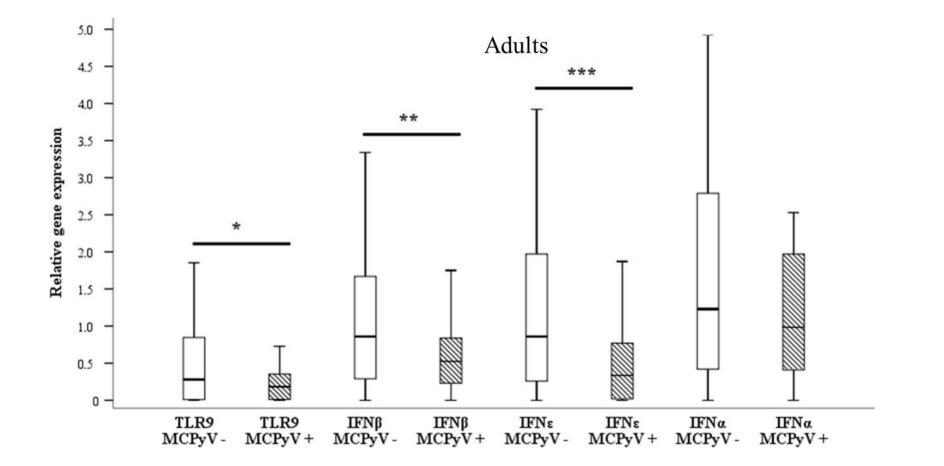


Figure 3 Panel A

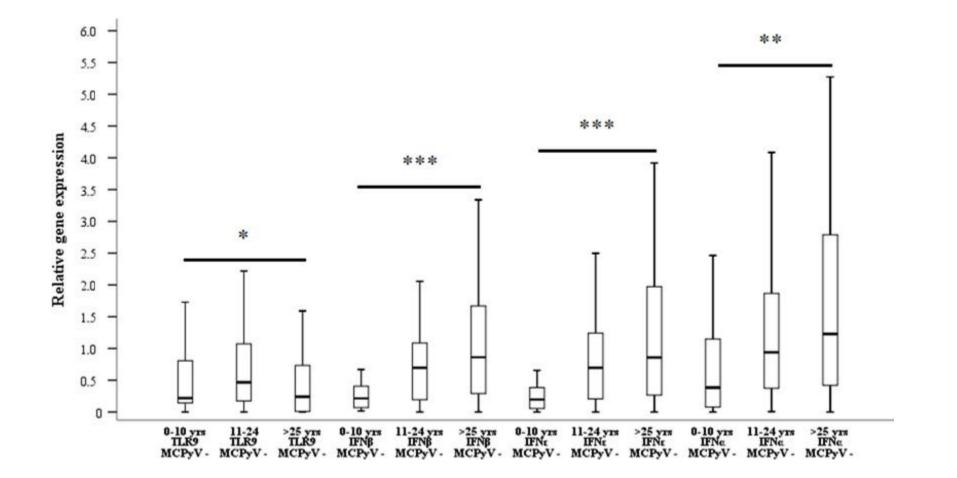


Figure 3 Panel B

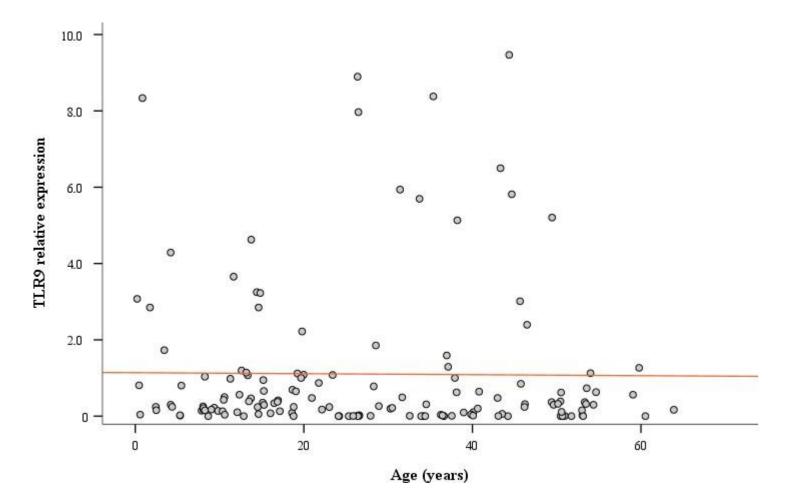


Figure 3 Panel C

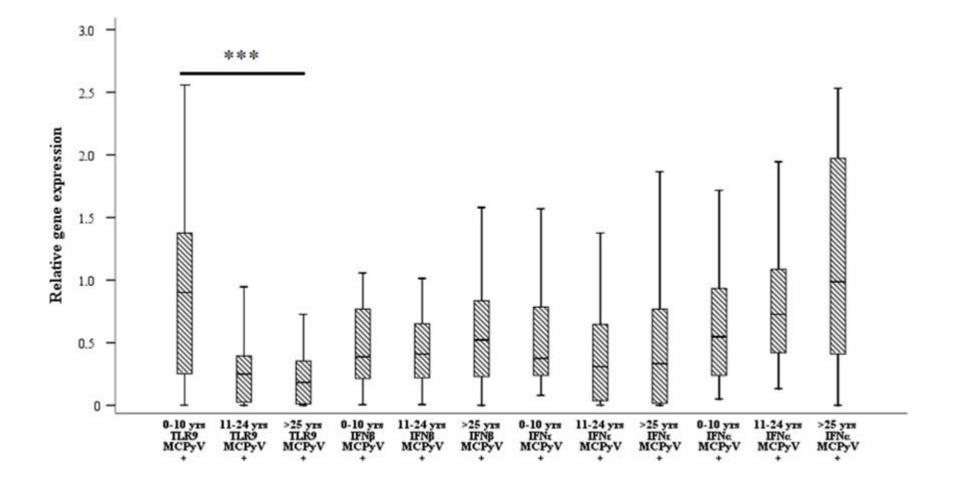


Figure 3 Panel D

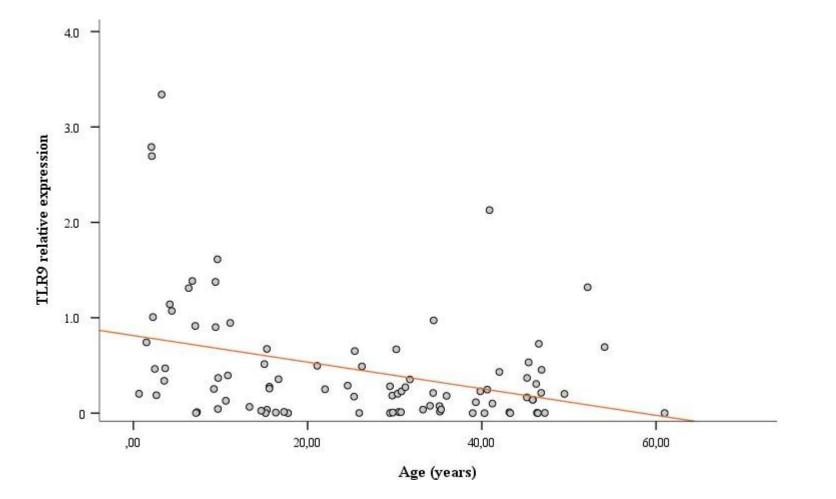
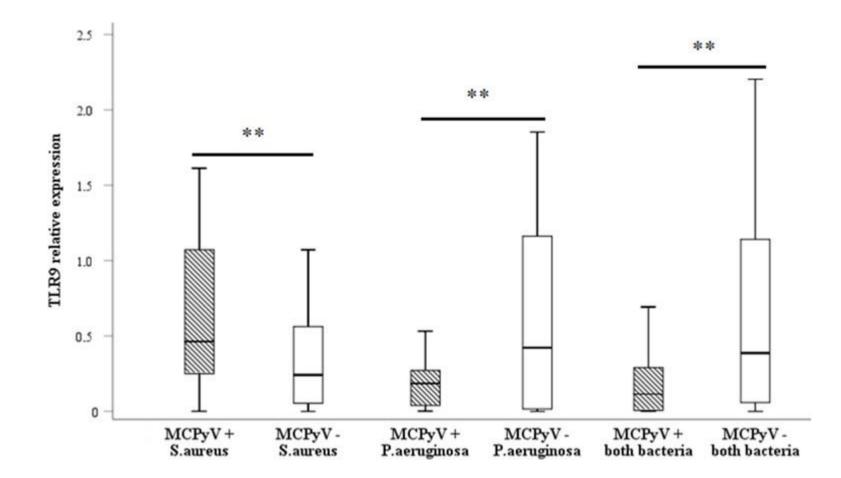


Figure 4



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Table		

Table 1. Merkel cell polyomavirus (MCPyV) prevalence in cystic fibrosis (CF) patients (n=539). Demographic, clinical characteristics of Merkel cell polyomavirus (MCPyV) positive (n=137) and negative (n=402) cystic fibrosis patients.

Items	Total patients n=539	MCPyV-DNA (+) patients n= 137*	MCPyV-DNA (-) patients n=402
Respiratory samples			
One sample (%)	173 (32.1)	17 (12.4)	156 (38.8)
Two samples (%)	197 (36.5)	45 (32.8)	152 (37.8)
Three samples (%)	105 (19.5)	40 (29.2)	65 (16.2)
Four samples (%)	64 (11.9)	35 (25.6)	29 (7.2)
At least one positive sample (%)	137 (25.4)	137 (100)	NA
At least two positive samples (%)	82 (15.2)	82 (59.9)	NA
At least three positive samples (%)	36 (6.7)	36 (26.3)	NA
Four positive samples (%)	13 (2.4)	13 (9.5)	NA
Two consecutive positive samples (%)	50 (9.3)	50 (36.5)	NA
Three consecutive positive samples (%)	19 (3.5)	19 (13.9)	NA
Four consecutive positive samples (%)	13 (2.4)	13 (9.5)	NA
Male patients, n (%)	273 (50.6)	68 (49.6)	205 (50.9)
Age, mean (SD)	25.9 (16.5)	23.7 (15.4)	26.5 (16.8)
0-10 years	114 (21.1)	33 (24.1)	81 (20.2)
11-25 years	167 (31.0)	43 (31.4)	124 (30.8)
>25 years	258 (47.9)	61 (44.5)	197 (49.0)
ΔF508 homozygous/ heterozygous/others patients, n (%)	82 (17.6) / 211 (45.3) / 173 (37.1)	21 (16.7) / 51 (40.5) / 54 (42.8)	61 (17.9) / 160 (47.1) / 119 (35.0)
BMI, mean (SD)	20.5 (4.1)	19.9 (3.2)	20.7 (4.4)

\*Patients positive for MCPyV-DNA detection in respiratory samples at least one time. Data are presented as number or mean. N.A.: not applicable.

Table 2. Demographic, microbiological and clinical features of Merkel cell polyomavirus (MCPyV) positive (n=268) and negative (n=870) cystic fibrosis (CF) samples divided by three age groups (0-10 years, 11-24 years, >25 years).

	MCPyV-DNA (+) samples (n=268)			MCPyV-DNA (-) samples (n=870)		
Items	0-10 years (n=74)	11-24 years (n=75)	>24 years (n=119)	0-10 years (n=182)	11-24 years (n=271)	>24 years (n=417)
Aspirate samples, n (%)	64 (86.5)	44 (58.7)	54 (45.4)	165 (90.7)	177 (65.3)	115 (27.6)
Sputum samples, n (%)	10 (13.5)	31 (41.3)	65 (54.6)	17 (9.3)	94 (34.7)	302 (72.4)
MCPyV titer, mean	7.3*10 <sup>3</sup>	6.9*10 <sup>3</sup>	9.5*10 <sup>3</sup>			
P. aeruginosa, n (%)	2 (2.7)	7 (9.3)	52 (43.7)	13 (7.1)	23 (8.5)	171 (41.0)
S. aureus, n (%)	41 (55.4)	42 (56.0)	20 (16.8)	84 (46.1)	137 (50.5)	73 (17.5)
P. aeruginosa and S. aureus, n (%)	7 (9.45)	9 (12)	32 (26.9)	23 (12.6)	61 (22.5)	95 (22.8)
P. aeruginosa mucoid, n (%)	2 (2.7)	7 (9.3)	62 (52.1)	4 (2.2)	42 (15.5)	170 (40.8

Data are presented as number or mean. MCPyV titer is expressed as number of copies/ml.

Items	Total n=242	MCPyV + samples n= 95*	MCPyV - samples n=147*
Males, n (%)	122 (50.4)	44 (46.3)	78 (53.1)
Age, mean (SD)	26.4 (16.4)	25.1 (15.8)	27.3 (16.8)
$\Delta$ F508 homozygous/ heterozygous/others, n	110/58/62	43/24/25	67/34/37
BMI, mean (SD)	20.2 (3.7)	19.8 (3.5)	20.5 (3.9)
Exacerbation events, n (%)	20 (8.3)	10 (10.5)	10 (6.8)
Aspirate samples, n (%)	139 (57.4)	57 (60)	82 (55.8)
Sputum samples, n (%)	103 (42.6)	38 (40)	65 (44.2)
P. aeruginosa presence, n (%)	59 (24.4)	26 (27.4)	33 (22.5)
S. aureus presence, n (%)	77 (31.8)	29 (30.5)	48 (32.6)
P. aeruginosa and S.aureus presence, n (%)	54 (22.3)	17 (17.9)	37 (25.1)
Mixed microbial flora, n (%)	52 (21.5)	23 (24.2)	29 (19.8)
TLR9 (CV%)	0.27 (36.7)	0.23 (72.3)	0.31 (40.1)
IFN $\alpha$ (CV%)	0.92 (30.7)	0.72 (29.8)	0.98 (31.4)
IFNβ (CV%)	0.53 (37.1)	0.46 (46.6)	0.62 (37.9)
IFNε (CV%)	0.41 (48.8)	0.32 (45.0)	0.64 (52.3)

Table 3. Demographic and clinical characteristics of Merkel cell polyomavirus (MCPyV) positive (n=95) and negative (n=147) samples selected for TLR9 and IFN-I genes (IFN $\alpha$ , IFN $\beta$  and IFN $\epsilon$ ) analysis from the cystic fibrosis (CF) cohort patients.

\*Gene expression was performed in 95 MCPyV positive respiratory samples collected from 72 CF patients and 147 negative respiratory samples collected from115 patients. Data are presented as number or mean. N.A.: not applicable. Transcript levels of TLR9, IFN $\beta$ , IFN $\epsilon$  and IFN $\alpha$  related to  $\beta$ -glucoronidase mRNA were calculated using 2^- $\Delta$ Ct, and indicated as median. CV: coefficient of variation.

Table 4. Demographic and microbiological characteristics of Merkel cell polyomavirus (MCPyV) positive (n=266) and negative (n=842) cystic fibrosis (CF) samples.

Items	•	V-DNA (+) es (n=266)	MCPyV-DNA (-) samples (n=842)		
	Exacerbated (n=27) <sup>D</sup>	Non exacerbated (n=239)	Exacerbated (n=62) <sup>D</sup>	Non exacerbated (n=780)	
0-10 years, n (%)	6 (22.2)	67 (28.0)	11 (17.7)	168 (21.5)	
11-25 years, n (%)	4 (14.9)	71 (29.7)	12 (19.3)	248 (31.8)	
>25 years, n (%)	17 (62.9)♦	101 (42.3)	39 (63.0)•	364 (46.7)	
P. aeruginosa, n (%)	9 (33.3)	52 (21.7)	26 (41.9)	175 (22.5)	
S. aureus, n (%)	10 (37.0)	93 (38.9)	12 (19.3)	274 (35.3)	
<i>P. aeruginosa</i> and <i>S. aureus</i> , n (%)	6 (22.2)	41 (17.1)	15 (24.2)	161 (20.7)	
Mixed microbial flora, n (%)	2 (7.4)	53 (22.2)	9 (14.5)	167 (21.5)	

Data are presented as number. <sup> $\Box$ </sup>Respiratory exacerbation rate was similar between those positive or negative for MCPyV (p=0.16 by Chi-square test); •Exacerbation events were higher in the adult group compared to adolescents and children in MCPyV negative patients (p=0.04 by Chi-square test); •Exacerbation events did not differ according to the age of MCPyV positive patients (p=0.12 by by Chi-square test).

## **Declaration of interests**

□The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

⊠The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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