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## **CRedit authorship contribution statement**

Dominik Ausbacher: Conceptualization, Methodology, Investigation, Formal analysis, Writing – Initial draft, review & editing, Funding acquisition, Project administration.

Lindsey A. Miller: Methodology, Investigation, Writing – review & editing.

Darla M. Goeres: Conceptualization, Methodology, Resources, Supervision, Validation, Writing review & editing.

Philip S. Stewart: Conceptualization, Methodology, Resources, Supervision, Writing – review & editing

Morten B. Strøm: Conceptualization, Resources, Supervision, Writing – review & editing, Funding acquisition, Project administration

Adyary Fallarero: Conceptualization, Methodology, Resources, Supervision, Validation, Writing review & editing

# **$\alpha,\alpha$ -disubstituted $\beta$ -amino amides eliminate *Staphylococcus aureus* biofilms by membrane disruption and biomass removal**

Running title:  **$\alpha,\alpha$ -disubstituted  $\beta$ -amino amides eliminate *S. aureus* biofilms**

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## 1 Abstract

2 Bacterial biofilms account for up to 80% of all infections and complicate successful therapies  
3 due to their intrinsic tolerance to antibiotics. Biofilms also cause serious problems in the  
4 industrial sectors, for instance due to the deterioration of metals or microbial contamination of  
5 products. Efforts are put in finding novel strategies in both avoiding and fighting biofilms.  
6 Biofilm control is achieved by killing and/or removing biofilm or preventing transition to the  
7 biofilm lifestyle. Previous research reported on the anti-biofilm potency of  $\alpha,\alpha$ -disubstituted  
8  $\beta$ -amino amides **A1**, **A2** and **A3**, which are small antimicrobial peptidomimetics with a  
9 molecular weight below 500 Dalton. In the current study it was investigated if these  
10 derivatives cause a fast disintegration of biofilm bacteria and removal of *Staphylococcus*  
11 *aureus* biofilms. One hour incubation of biofilms with all three derivatives resulted in  
12 reduced metabolic activity and membrane permeabilization in *S. aureus* (ATCC 25923)  
13 biofilms. Bactericidal properties of these derivatives were attributed to a direct effect on  
14 membranes of biofilm bacteria. The green fluorescence protein expressing *Staphylococcus*  
15 *aureus* strain AH2547 was cultivated in a CDC biofilm reactor and utilized for disinfectant  
16 efficacy testing of **A3**, following the single-tube method (American Society for Testing and  
17 Materials designation number E2871). **A3** at a concentration of 90  $\mu$ M acted as fast as 100  
18  $\mu$ M chlorhexidine and was equally effective. Confocal laser scanning microscopy studies  
19 showed that chlorhexidine treatment lead to fluorescence fading indicating membrane  
20 permeabilization but did not cause biomass removal. In contrast, **A3** treatment caused a  
21 simultaneous biofilm fluorescence loss and biomass removal. These dual anti-biofilm  
22 properties make  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides promising scaffolds in finding new control  
23 strategies against recalcitrant biofilms.

## 24 Introduction

25 The lack of innovative antibiotics poses a serious threat to human health [1]. Antimicrobial  
26 resistance leads to more than 35 000 deaths in the EU each year, according to estimates  
27 presented in a recently released report [2]. Not just resistant bacteria, but also bacterial  
28 biofilms with an intrinsic tolerance to antibiotics complicate successful treatment of infections  
29 [3]. On the other hand, industrial installations in sectors such as oil and gas, water supply and  
30 food processing suffer of biocorrosion and contamination due to biofilms. Countermeasures  
31 are costly and require expenses of many hundred billions of dollars [4]. Biofilms develop  
32 when planktonic bacteria form agglomerates that can adhere to a surface [5]. In patients,  
33 catheters, artificial heart valves or prosthetic joints are prone to bacterial attachment and  
34 biofilm formation [6]. In addition, biofilms can also be the cause of faulty wound healing and  
35 wound chronicity [7]. Biofouling on shipping vessels or leaks in oil and gas pipelines due to  
36 microbially influenced corrosion cause not just undesired investments but have a heavy  
37 environmental impact, too [4]. Besides bacteria, these agglomerates consist of an extracellular  
38 biofilm matrix, which contributes to the formation of three-dimensional biofilm structures and  
39 microenvironments [8]. Specialized bacteria exist in a biofilm, such as slow metabolizing  
40 persisters, or bacteria with high mutation rates, that promote environmental adaption of  
41 biofilms and high tolerance against antimicrobial treatments [8,9]. These features make  
42 biofilms a challenging target for treatment, especially in health care settings where harsh  
43 physical and chemical treatments are not an option. New approaches, including effective anti-  
44 biofilm compounds, are needed in order to develop new strategies against these recalcitrant  
45 pathogen formations [10]. Biofilms can be controlled by killing and/or removing the biofilm  
46 or preventing transition to the biofilm lifestyle [11,12] High-throughput methods are  
47 commonly used for screening of libraries consisting of natural products or synthetic  
48 molecules in order to identify compounds with anti-biofilm properties [13,14]. Besides

49 identifying potential hits and development of lead compounds, substantial efforts have been  
50 invested in determining the mode-of-action of new compounds [15,16]. These studies are  
51 crucial for improvements regarding potency and toxicity, drug formulation, and  
52 administration, but also regarding utilizing synergism and avoiding antagonism in  
53 combination treatments [17,18]. In the medical community, anti-biofilm compounds approved  
54 by the regulatory agencies do not exist and the only chance to fight microbial biofilms is by  
55 using antibiotics or surgical removal of biofilm infected tissues or replacement of medical  
56 devices. One of most recent and innovative antibiotics introduced to the market is the  
57 antimicrobial depsipeptide daptomycin. This drug was approved by the Food and Drug  
58 Administration back in 2003 but innovative antimicrobial drugs or compound classes with a  
59 clearly novel mechanism of action are needed now and will be needed in the future [19]. A  
60 promising compound is the natural product teixobactin. This antimicrobial peptide is in late-  
61 stage preclinical development and potent against multi-resistant gram-positive bacteria  
62 [20,21]. Both, daptomycin and teixobactin have rather complex structures with potential  
63 attack points for degradation. Hydrolases in actinomycete WAC4713, for instance, have been  
64 reported to confer resistance by being able to hydrolyze the depsipeptide ester-bond in  
65 daptomycin [22]. Of note, the same structural element is present in teixobactin, however, no  
66 resistance development could be observed *in vitro*, yet [20,21]. Therefore, many groups  
67 investigate how to utilize the mode of action of natural products and/or potent antimicrobial  
68 peptides while equipping them with drug-like properties [23]. The group of Strøm has shown  
69 that it is possible to create novel and potent compounds by simplifying and condensing  
70 complex structures of natural products [24,25,26]. Hansen *et al.* for instance was able to  
71 transfer the antimicrobial motif of larger antimicrobial peptides to small molecules with  
72 enzymatic stability [27]. Furthermore, the group developed so called  $\alpha,\alpha$ -disubstituted  $\beta$ -  
73 amino amides which are peptidomimetics showing potency in the same range as larger

74 antimicrobial and anticancer peptides [28,29] . These derivatives are easily synthesized, have  
75 a preference for gram-positive bacteria, including antibiotic resistant strains like MRSA and  
76 MRSE and have drug-like properties [28,30]. The activity spectrum also includes  
77 *Staphylococcus aureus* (*S. aureus*) biofilms, and both *in vitro* and microscopy studies have  
78 suggested that these derivatives possess microbicidal and biofilm removal properties [31]. In  
79 the present study, we investigated if these derivatives act on the bacterial membrane in *S.*  
80 *aureus* biofilms. This would suggest membrane related effects, like for instance  
81 permeabilization, would occur relatively fast. Quantitative and qualitative assays on biofilms  
82 formed in 96-well plates were conducted similar to a previously used screening setup,  
83 however using much shorter incubation times [31]. The control compounds chlorhexidine  
84 (CHX) and cetylpyridinium bromide (CTAB) have been included for comparison since both  
85 compounds have similarities with the  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides like cationic charge  
86 and an amphipathic structure. In addition, CHX and CTAB have a membrane perturbing  
87 mechanism of action [32] . Both, CHX and **A3**, were further challenged with *S. aureus*  
88 biofilms that were cultivated in a CDC biofilm reactor. Disinfectant efficacy testing by using  
89 an adaption of American Society for Testing and Materials (ASTM) standard method E2871  
90 [33] guided the confocal laser scanning microscopy studies using a treatment-flow-cell. A  
91 reporter system consisting of green fluorescent protein (GFP) expressing biofilm bacteria  
92 together with calcein red-orange fluorescence staining was used in order to determine if and  
93 to which extent bacterial membranes, and the biofilm as a whole, were affected during  
94 treatment with **A3**.

95 This knowledge will help to tune derivative potency in future and to further explore derivative  
96 properties in suitable formulations and applications for tackling biofilm challenges in health  
97 care and/or industrial settings.

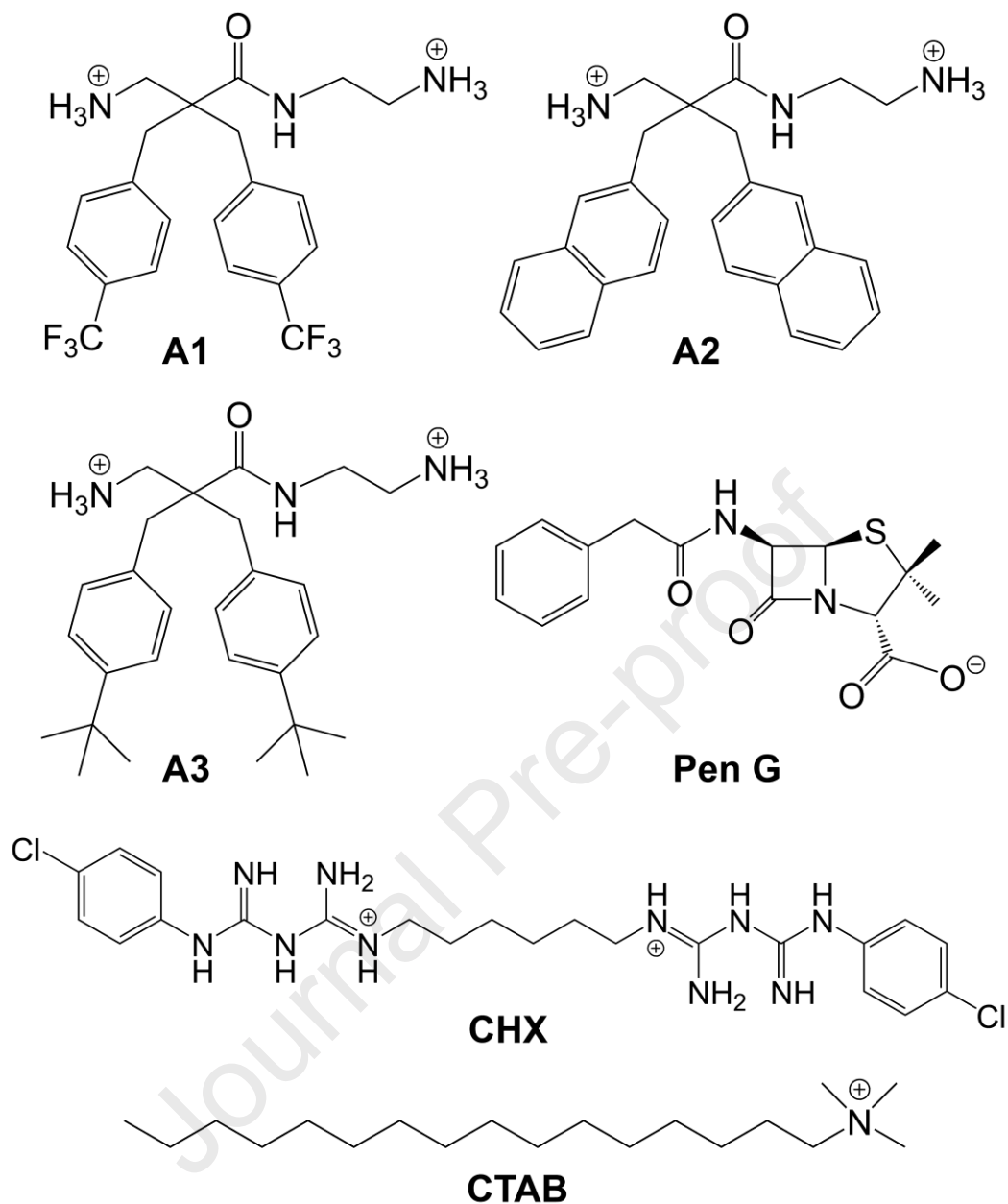
98

## 99 **Material and methods**

### 100 **The $\alpha,\alpha$ -disubstituted $\beta$ -amino amides A1 - A3 and chemicals**

101 The  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides (A1 - A3, FIG. 1) were synthesized according to a  
102 previously published procedure [28]. The derivatives were isolated as di-trifluoroacetate salts  
103 and purity above 95% was determined with an analytical RP-HPLC C<sub>18</sub>-column and UV  
104 detection at 214 and 254 nm. Prior to the experiments stock solutions of all derivatives were  
105 prepared in DMSO. DMSO concentrations did not exceed 2% and were well tolerated by *S.*  
106 *aureus* biofilms as reported earlier [34]. Chlorhexidine dichloride (CHX),  
107 cetyltrimethylammonium bromide (CTAB) and penicillin G sodium (Pen G) (all Sigma  
108 Aldrich, Schnelldorf, Germany) were used as treatment controls (FIG 1). Autoclaved Milli-Q  
109 water was used to prepare stock solutions of CTAB and Pen G whereas DMSO was used for  
110 CHX.





111

112 **FIG 1. Compounds with antimicrobial properties used for mechanistic**  
 113 **investigations on *S. aureus* biofilms.** Representation of amphipathic  $\alpha,\alpha$ -disubstituted  
 114  $\beta$ -amino amides lead structures **A1 - A3** with anti-biofilm properties and the disinfectants  
 115 chlorhexidine (**CHX**) and cetylpyridinium bromide (**CTAB**) in cationic state. Penicillin G was  
 116 used as control antibiotic represented as anion.

117

**118 Bacterial strains and growth condition**

119 The reference strain *S. aureus* ATCC25923 and the green fluorescent protein (GFP)  
120 expressing *S. aureus* strain AH2547, kindly provided by Dr. Alex Horswill, were used for the  
121 experiments. AH2547 contains the plasmid pCM29 [35]. For AH2547 tryptic soy broth (TSB)  
122 and tryptic soy agar (TSA) were supplemented with 10 µg/ml chloramphenicol for plasmid  
123 retention.

124 All strains were stored as glycerol stocks at -70°C and TSA streak plates were prepared prior  
125 to the experiments. Three colonies were picked, inoculated in TSB and incubated at 37°C and  
126 200 rpm, overnight. For well-plate based assays the liquid cultures were prepared by diluting  
127 the overnight cultures 1000 times in fresh TSB. The inoculum was incubated under aerobic  
128 conditions at 37°C, 250 rpm until late exponential growth was reached (4 h), corresponding to  
129 a bacterial concentration of 10<sup>8</sup> CFU/ml. Biofilms were formed by transferring 200 µl of  
130 exponentially grown suspensions (10<sup>6</sup> CFU/ml) to 96-well plates (Nunclon™ Δ surface;  
131 Thermo Fisher Scientific, Vantaa, Finland). Subsequently, the cultures were incubated for 18  
132 h under equivalent conditions as stated above and described earlier [34].

133 For experiments involving the CDC biofilm reactor, biofilms were formed on glass coupons  
134 according to ASTM Method E2562 [36] and Buckingham-Meyer *et al* [37]. A CDC reactor  
135 containing 500 ml full strength TSB and chloramphenicol (10 µg/ml) was inoculated with 1  
136 ml of a 10<sup>9</sup> CFU/ml overnight GFP expressing *S. aureus*. The biofilms were grown in batch  
137 conditions at 37°C, 125 rpm for 24 h. Subsequently, continuous flow with one-tenth TSB was  
138 applied for another 24 h at 37°C and 125 rpm until start of sampling.

139

140

141 **Assessment of metabolic activity and biomass after 1 h treatment of *S. aureus***  
142 **ATCC 25329 biofilms.**

143 The impact of  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides on biofilms of *S. aureus* ATCC 25923  
144 formed in 96-well plates was investigated by two different staining methods as described  
145 earlier [31]. To investigate the immediate response of biofilms after exposure to the  
146 derivatives, biofilms were formed for 18 h, as described above. The planktonic phase was  
147 replaced with fresh TSB or compound containing TSB. Based on previous studies, derivatives  
148 at concentrations of  $2 \times \text{IC}_{50}$  (50  $\mu\text{M}$  for **A1** and **A2**; 45  $\mu\text{M}$  for **A3**) and 50  $\mu\text{M}$  of CHX and  
149 CTAB as well as 400  $\mu\text{M}$  of Pen G were added. Untreated controls were treated with an  
150 equivalent of DMSO in TSB and the plates were incubated for 1 h. At the end of the exposure  
151 periods, the planktonic phase was carefully replaced with 20  $\mu\text{M}$  resazurin in PBS (Lonza  
152 Walkersville Inc., Walkersville, USA) and the 96-well plate was incubated (200 rpm, RT, 40  
153 min, darkness). Fluorescence was measured ( $\lambda_{\text{ex}}$  570 nm,  $\lambda_{\text{em}}$  590 nm) with a Varioskan  
154 Multimode reader (Thermo Fisher Scientific, Vantaa, Finland). Metabolic activity was  
155 determined as percentage of untreated control. Subsequently, the supernatant was gently  
156 removed and 170  $\mu\text{l}$  of the crystal violet solution was added and incubated for 5 min. The dye  
157 was removed and wells washed twice with deionized water. The dye was dissolved in 96%  
158 ethanol (200  $\mu\text{l}$ /well). After 1 h the photometric absorbance ( $\lambda$  590 nm) was measured using a  
159 Varioskan Multimode reader. Susceptibility of the *S. aureus* strain AH2547 to our derivatives  
160 was determined by using a similar 96-well setup. GFP production of the strain was exploited  
161 and loss of GFP fluorescence was recorded after exposure to biofilm treatments, further  
162 described in the supplementary information to this article.

163

164

165 **Qualitative and quantitative detection of membrane integrity by SYTO9 and**  
166 **propidium iodide staining**

167 A membrane integrity assay and fluorescence microscopy studies (LIVE/DEAD BacLight  
168 Bacterial Viability Kit; EVOS FL imaging system, Thermo Fisher Scientific, Vantaa, Finland)  
169 were performed according to the manufacturer's instructions. Formed biofilms were exposed  
170 to treatments as described above for 1 h and staining was subsequently applied. Fluorescence  
171 of green SYTO9 and red propidium iodide (PI) was determined using a Varioskan Multimode  
172 reader and the EVOS FL imaging system was used for fluorescence microscopy.

173  
174 **Detection of intracellular ATP leakage from *S. aureus* ATCC 25329 biofilms**

175 The ATP leakage assay was adapted from Manner *et al.* [38]. In brief, *S. aureus* ATCC 25923  
176 biofilms were formed and treated as described above. Untreated biofilms and negative control  
177 wells were incubated with TSB. After 1h, the planktonic suspensions were removed and  
178 collected for each treatment. The suspensions were filtered using 0.22  $\mu\text{m}$  syringe filters.  
179 Subsequently, 4x100  $\mu\text{L}$  of each filtrate were transferred to a clear-bottom 96-well plate  
180 (Isoplate-TC; Perkin Elmer, Waltham, MA, US). The CellTiter-Glo® reagent (Promega,  
181 Madison, WI, US) was prepared according to the manufacturer instructions. Luciferin  
182 luminescence was measured using Varioskan Flash Multimode Plate Reader.

183  
184 **Disinfectant efficacy testing on CDC reactor cultivated *S. aureus* biofilms**

185 A modification of ASTM method E2871 (single-tube-method) was used to gain a better  
186 knowledge of derivative efficacy. Log reduction in viable biofilm cells exposed to 90  $\mu\text{M}$  (4 x  
187  $\text{IC}_{50}$ ) of **A3**, 100  $\mu\text{M}$  CHX and 400  $\mu\text{M}$  of penicillin G was measured for 1 h, 2 h and 3 h [33].  
188 Briefly, coupons containing biofilms of *S. aureus* AH2547 were removed from the CDC

189 reactor, rinsed and then transferred to 50 ml conical tubes with tweezers. Subsequently, 4 ml  
190 of TSB solution or compound containing TSB solutions were carefully added to the tubes,  
191 and the tubes were incubated at 37°C under static conditions. At each specific time point, 36  
192 ml D/E broth for compound neutralization purposes were added and biofilms were  
193 disaggregated by sonication and vortexing according to ASTM E2871. The diluted samples  
194 were drop plated on TSA plates, incubated overnight at 37°C and enumerated. D/E broth was  
195 validated to neutralize **A3** to concentrations up to 200 µM according to the procedure in the  
196 standard test method for evaluation of inactivators of antimicrobial agents (ASTM E1054)  
197 (data not shown).

198

### 199 **Treatment-flow-cell assay with detection of membrane integrity and biomass** 200 **removal by confocal microscopy**

201 A dual dye leakage indicator system was established by using the FilmTracer™ Calcein red-  
202 orange biofilm stain and bacterial expression of GFP. Fading due to diffusion of the small  
203 calcein dye (Mw 789.55 Da) from the biofilm was intended to indicate small pore formation  
204 which would to a lower extent affect the leakage of the intracellular, large GFP (238 amino  
205 acids, 27 kDa). Fading of both calcein-red-orange and GFP, would thus indicate formation of  
206 large pores or membrane collapse. Biofilms were stained with the FilmTracer™ Calcein red-  
207 orange dye according to the manufacturer's instructions. After rinsing the coupons for  
208 removing unbound stain, the coupons were transferred to the treatment-flow-cell (model  
209 FC310; Biosurface Technologies, Bozeman, Mt, USA) and video microscopy experiments  
210 were conducted as described previously [39]. In brief, untreated controls were treated with  
211 full strength TSB supplemented with DMSO as vehicle control. Images were acquired of the

212 bright field, GFP, and RFP channel using a Leica SP5 confocal laser-scanning microscope.  
213 The z-stack step size was set to 10  $\mu\text{m}$ .

214

## 215 **Software and statistical analysis**

216 SigmaPlot 14.5, (Systat Inc., Chicago, IL, USA) was used for plotting of graphs and statistical  
217 analysis (Student's t-test). Included asterisks in figures indicate significant differences with \*  
218  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ . A p-value of  $< 0.05$  was considered statistically  
219 significant.

220 Overlay images of treatment-flow-cell results were created with Adobe Photoshop CS6  
221 (Adobe Systems Inc., San Jose, CA, USA) whereas movie generation was carried out with  
222 IMARIS® (Bitplane AG, Zurich, Switzerland).

223

## 224 **Results**

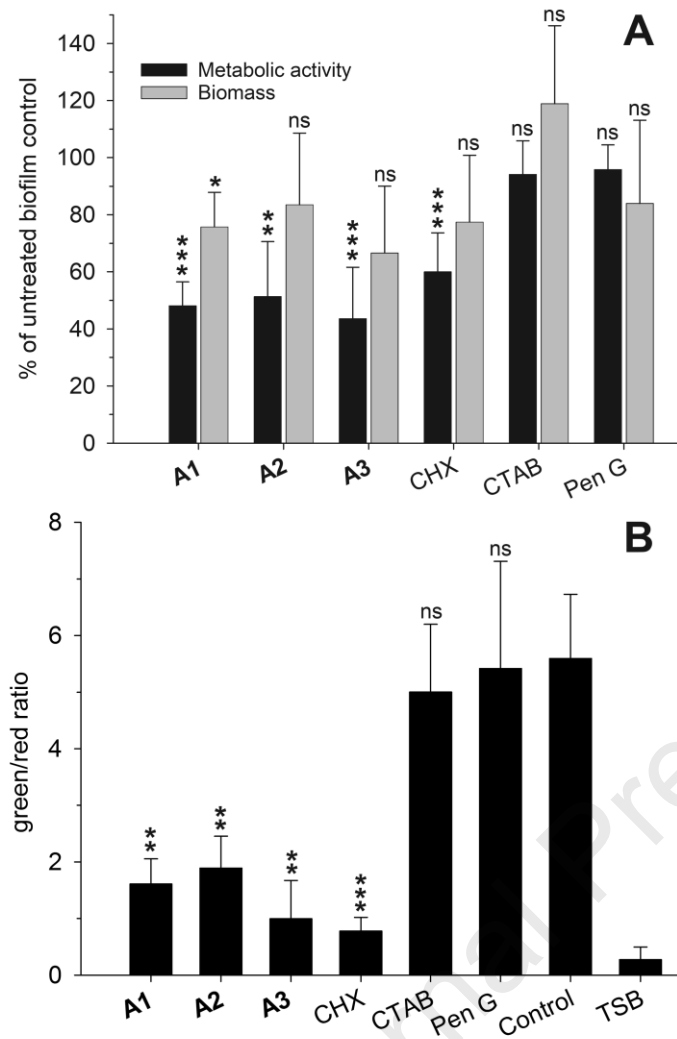
### 225 **A1, A2 and A3 affect *S. aureus* biofilm viability within 1 hour**

226 The initial experiments investigated the immediate impact of different treatments on *S. aureus*  
227 (ATCC 25329) biofilms. The  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides **A1** - **A3** reduced biofilm  
228 viability to approximately 50% after 1 h of treatment at concentration of 45 to 50  $\mu\text{M}$  (FIG. 2  
229 A). We observed comparable effects after treatment with 50  $\mu\text{M}$  of the disinfectant CHX. In  
230 contrast, treatment with 50  $\mu\text{M}$  of the quaternary ammonium compound CTAB or 400  $\mu\text{M}$  of  
231 Pen G did not reduce biofilm viability even though these compounds inhibited planktonic *S.*  
232 *aureus* ATCC25329 at 2.5  $\mu\text{M}$  and 0.12  $\mu\text{M}$  (TAB S1). Substantial biofilm removal was not  
233 observed for any of the treatments, however, derivative **A3** yielded approximately 30%  
234 removal. CHX seemed to act similarly to the  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides and appeared

235 as a suitable control for further studies. The presence of human serum albumin did not  
236 significantly lower the potency of the derivatives **A1 - A3** or CHX (FIG S1). However, CTAB  
237 and Pen G suffered loss of activity under the presence of the plasma protein (FIG S1).

### 238 **A1, A2 and A3 cause membrane permeabilization in *S. aureus* biofilms**

239 The SYTO9/propidium iodide assay is useful for assessment of bacterial cell membrane  
240 integrity. Intact bacteria are stained green with the membrane permeable dye SYTO9.  
241 Bacteria with compromised membranes are additionally susceptible to staining with the red  
242 fluorescent dye PI, which is otherwise excluded from viable cells due to its positive charge.  
243 Derivatives **A1 - A3** as well as CHX showed increased PI staining, i.e., absence of any barrier  
244 function of the bacterial membrane within 1 h of treatment with 45 – 50  $\mu\text{M}$ , compared to 50  
245  $\mu\text{M}$  CTAB, 400  $\mu\text{M}$  Pen G or untreated controls (FIG 2B).



246

247

248 **FIG 2. Metabolic activity, biomass and membrane integrity assays with *S.***249 ***aureus* ATCC 25329.** (A) Resazurin based metabolic activity assessment and crystal violet

250 based biomass staining. (B) Detection of the green/red ratio in TSB containing wells,

251 untreated control biofilms and treated biofilms bacteria for assessment of membrane integrity

252 assessment based on the green SYTO9 and red PI dye. (Results shown under (A) and (B)

253 display the mean with standard deviation of at least three independent experiments).

254 The SYTO9/propidium iodide staining is additionally suitable for fluorescence microscopy

255 studies. Imaging of treated and subsequently stained biofilms (FIG 3) showed similar staining

256 patterns as observed in the quantitative well-plate based fluorescence measurements (FIG

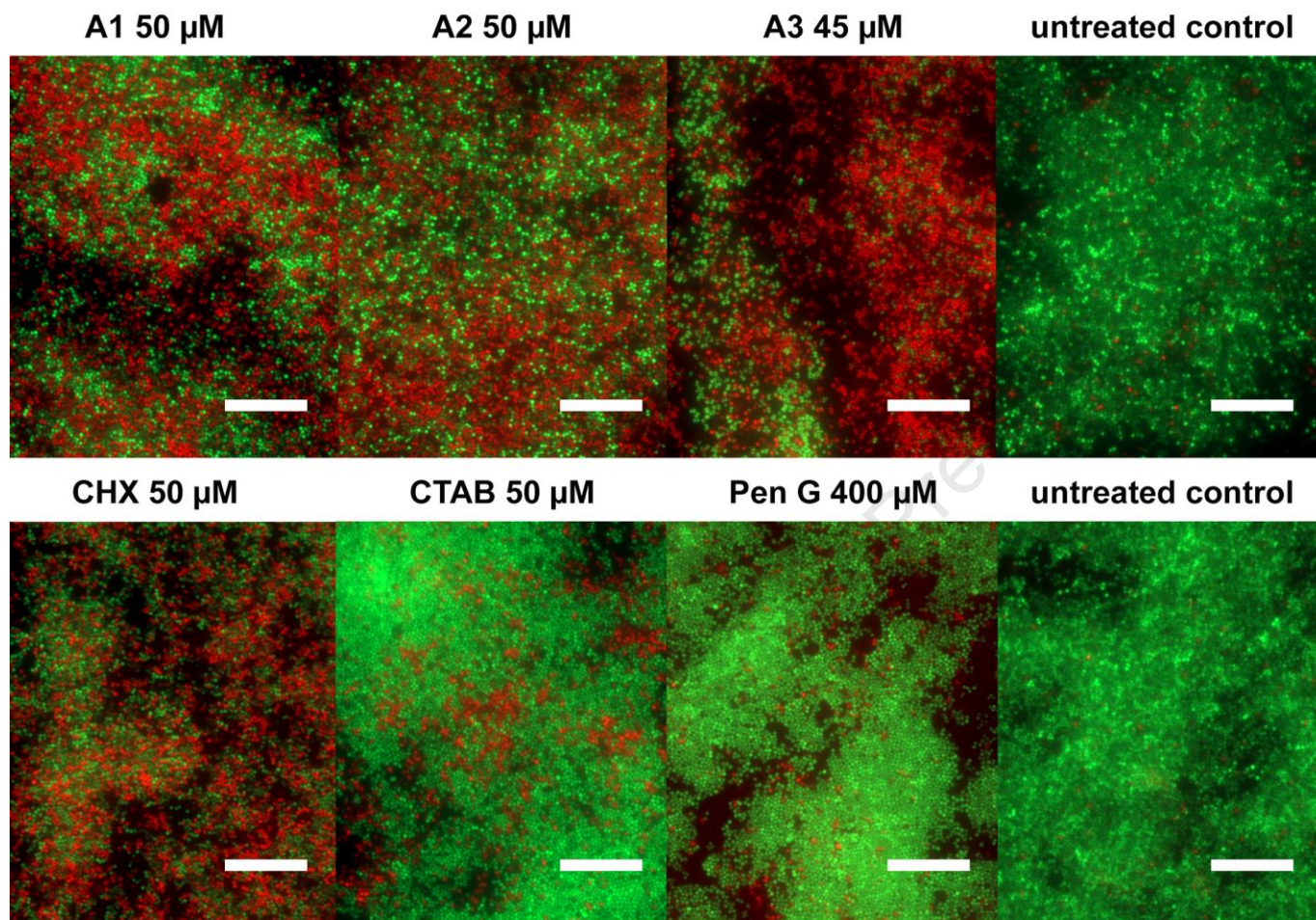
257 2B). The most pronounced PI staining was noted when the biofilm was treated with **A3** and



258 CHX, and to a slightly lower extent for the **A1** and **A2** treated biofilms. In contrast, only a  
259 few bacteria were PI positive in the CTAB and Pen G treated biofilms. Minimal PI staining  
260 was detected in the untreated control biofilms.

261

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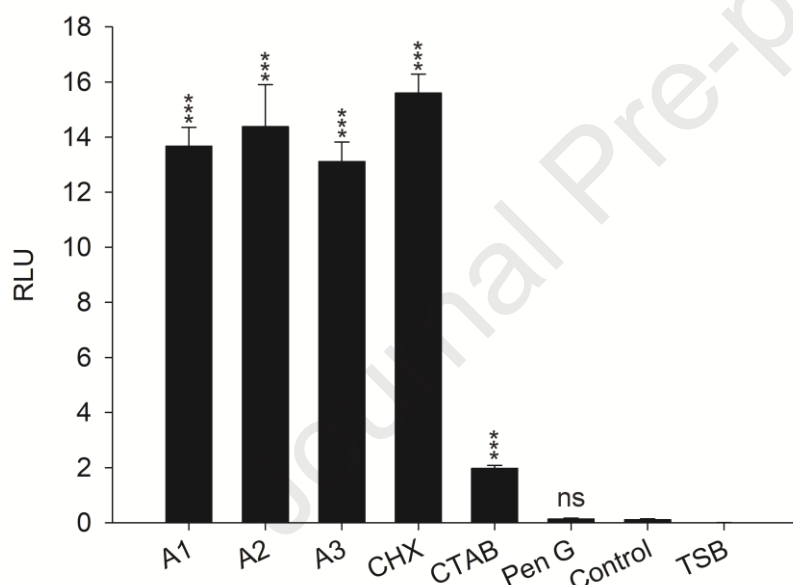


262

263 **FIG 3. Fluorescence microscopy of *S. aureus* ATCC 25329.** Biofilms were treated 1 h with derivatives and controls and stained with the  
264 green SYTO 9 and red PI dye staining kit. Green represents intact cells whereas red indicates bacteria with compromised membrane integrity.  
265 Scale bars represent 200  $\mu\text{m}$ .

266  **$\alpha,\alpha$ -disubstituted  $\beta$ -amino amides cause ATP efflux from *S. aureus* biofilms**

267 The ATP dependent luciferin-luciferase reaction was utilized to investigate membrane  
 268 damage and ATP leakage upon incubation with the test compounds. The assay showed that  
 269  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides as well as CHX caused a pronounced release of ATP and  
 270 supported our findings regarding disrupted cell integrity of derivative or CHX treated biofilm  
 271 bacteria (FIG 4). The surface-active compound CTAB showed ATP release to a much lower  
 272 extent compared to the derivatives and CHX. Released ATP after Pen G treatment was  
 273 negligible and comparably low as observed for untreated controls.



274

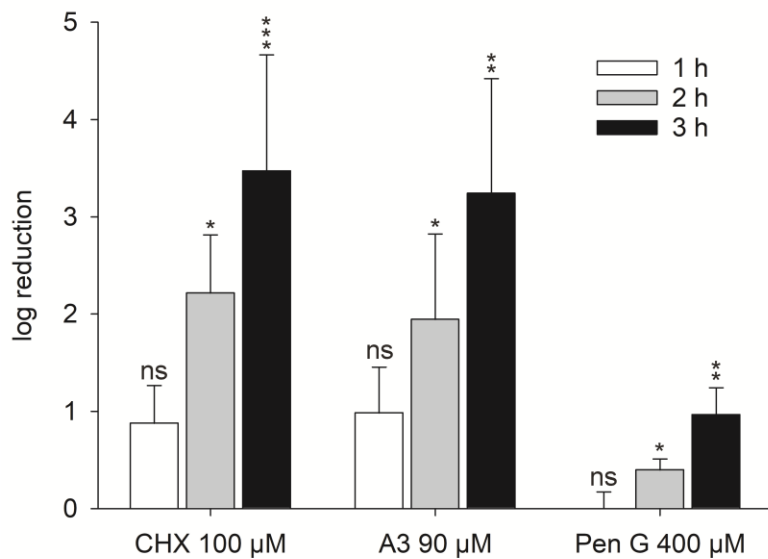
275 **FIG 4. ATP release assays with *S. aureus* ATCC 25329.** ATP release as measure of  
 276 membrane integrity of biofilm bacteria by ATP - luciferin/luciferase-based luminescence  
 277 assay. (Results display the mean with standard deviation of at least three independent  
 278 experiments).

279

280 **Log reduction on CDC reactor cultivated *S. aureus* AH2547 biofilms by A3, CHX**  
281 **and Pen G**

282 Preliminary experiments in 96-well plates indicated that **A3** was the most potent of our  
283 derivatives against planktonic *S. aureus* AH2547 and able to prevent biofilm formation (FIG  
284 S2). Additionally, susceptibility of preformed *S. aureus* AH2547 biofilm was assessed in 96-  
285 well plates and showed it was necessary to increase the concentration of the derivatives to  
286  $4 \times \text{IC}_{50}$  to achieve a biofilm reduction of 40% or higher. A concentration of 90  $\mu\text{M}$  of **A3** for 3  
287 h reduced preformed biofilms to the same extent as was achieved after a 3 h of treatment with  
288 CHX. CHX with a concentration of 100  $\mu\text{M}$  was used as control based on doubling the  
289 concentration of **A3**. These assay parameters were selected for the single-tube-method  
290 experiments. The single-tube-method is a validated standard test method well suited for  
291 determination of anti-biofilm efficacy testing [40]. Derivative **A3** at 90  $\mu\text{M}$  and CHX at 100  
292  $\mu\text{M}$  killed biofilm cells at a rate of approximately 1 log unit per hour (FIG 5). After 3 h, both  
293 compounds showed a 3.5 log reduction (99.97% reduction of biofilm) of viable cell counts  
294 whereas Pen G showed a reduction of only 1 log unit.

295



296

297 **FIG 5. Determination of log-reduction in viable cells of *S. aureus* AH2547**298 **biofilms by single tube method.** Coupons were sampled from the CDC biofilm reactor

299 and subsequently exposed to treatments for 1 h, 2 h and 3 h. After enumeration, the log

300 (colony counts) of treated biofilms were subtracted from log (control counts) resulting in log

301 reduction. At least two coupons for each treatment were used and a minimum of three

302 independent experiments performed (results display the mean with standard deviation).

303

304 **A3 causes membrane permeabilization and biomass removal of *S. aureus***305 **biofilms under flow conditions**306 The effect of **A3** and CHX under flow conditions was assessed using the treatment-flow-cell.

307 The treatment-flow-cell is a useful tool to investigate removal properties of biofilm treatments

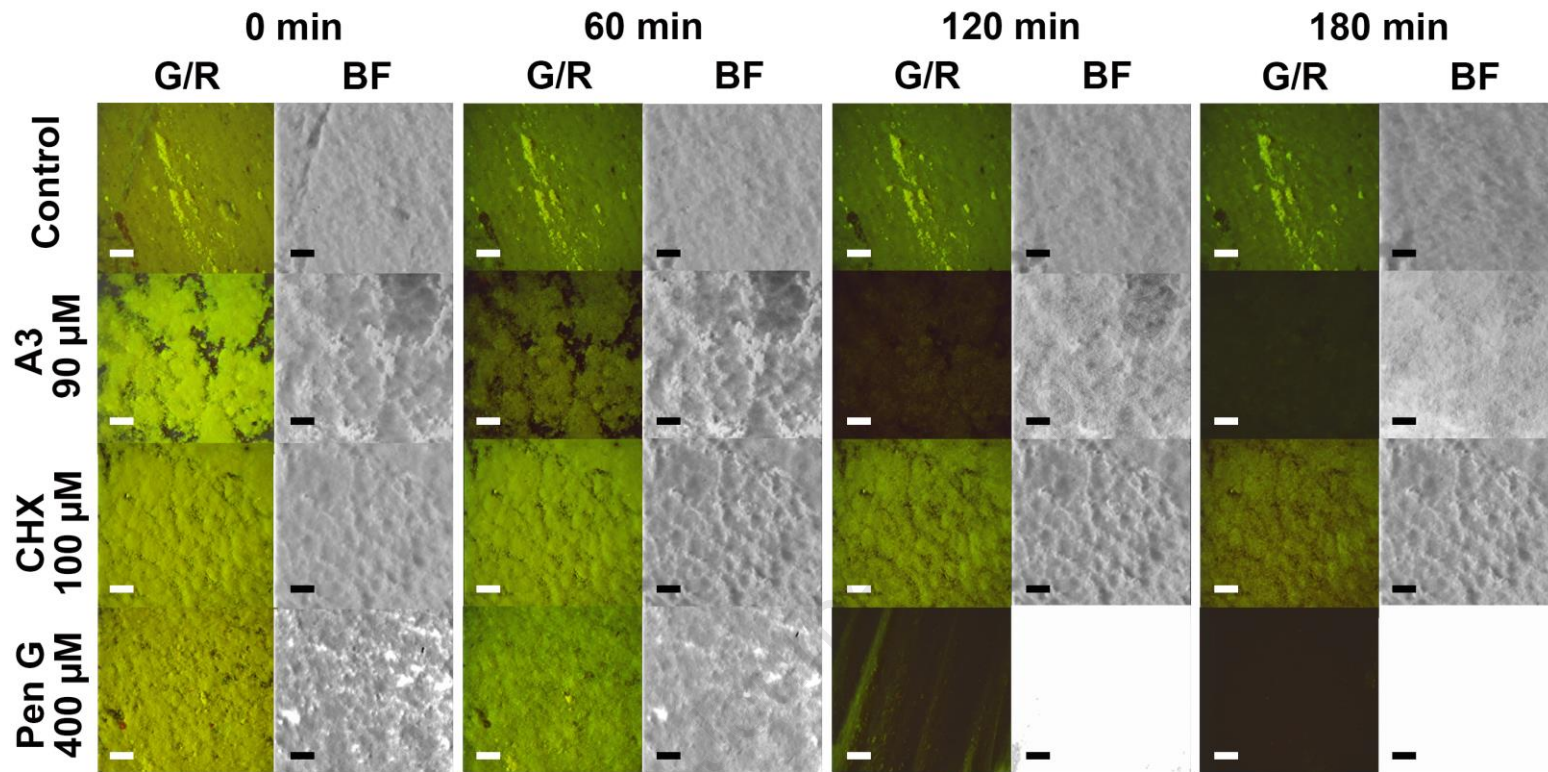
308 and is engineered to fit coupons sampled from a CDC biofilm reactor. The assessment of

309 biofilm killing efficacy and removal events using coupons collected from a single CDC

310 biofilm reactor experiment can be performed, as described previously [39]. No morphology

311 changes were noted in the bright-field or fluorescence images when the biofilm was treated

312 with full-strength TSB (FIG 6 and Fig S4). In contrast, treatment with 90  $\mu$ M **A3** resulted in a  
313 decrease of fluorescence intensity after 60 min and fluorescence loss was additionally  
314 intensified by removal of biofilm over the remaining 120 min. The biofilm bacteria  
315 membranes seemed to disintegrate after 60 min since both GFP and calcein red-orange faded  
316 simultaneously before dispersal of the biofilm. CHX (100  $\mu$ M) showed a similar effect on  
317 AH2547 biofilms, however, fluorescence loss was less pronounced compared to the **A3**  
318 treated biofilms. In addition, the biofilm appeared to develop a rougher topography in the  
319 bright-field images, and did not disperse as promoted by **A3**. Treatment with Pen G (400  $\mu$ M)  
320 started with erosion and resulted in complete dispersal of the biofilm indicated by removal of  
321 visible structures in both the fluorescent and bright-field images.



322

323 **FIG 6. Treatment-flow-cell/CLSM video microscopy experiments of *S. aureus* AH2547 biofilms.** GFP expressing and calcein red-  
 324 orange stained biofilms as overlay images with green/red (G/R) fluorescence. Bright field images reveal the presence of biofilm (dark)  
 325 independent of fluorescence and facilitate interpretation of the impact on membrane integrity and biofilm removal during a period of 3 h. Dark  
 326 G/R images indicate loss of fluorescence and/or non-fluorescent biofilm structures whereas white bright field images indicate absence of biofilm.  
 327 Scale bar represents 100  $\mu\text{m}$ .

## 328 Discussion

329 The mode-of-action studies showed that  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides are capable of  
330 efficiently both killing and removing biofilms. Easily cultivable biofilms in 96-well plates and  
331 use of known assay parameters from our previous antibiofilm screening studies facilitated our  
332 approach [31]. The resazurin based metabolic activity assays showed that the most potent  
333 derivatives **A1** - **A3** were able to decrease biofilm viability at 45 – 50  $\mu\text{M}$  within 1 h, similar  
334 to the cationic control disinfectant CHX (FIG 2A). Surprisingly, CTAB did not affect biofilm  
335 viability to the same extent as CHX even though we measured similar susceptibility of  
336 planktonic *S. aureus* (TAB S1). Similar to values reported by Manner *et al.*, Pen G at 400  $\mu\text{M}$   
337 was not active against the biofilms [38]. In microtiter plate assays, little biofilm removal was  
338 observed for any treatment, which suggested that biofilm removal was time dependent/flow  
339 dependent for these compounds or a downstream event after killing of biofilm bacteria. To  
340 our surprise, the presence of 300  $\mu\text{M}$  human serum albumin (HSA), which is present in  
341 wound exudates [41], did not inhibit the potency of the derivatives because tetra- and  
342 hexapeptides which contained  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides as building blocks showed  
343 decreased activity against lymphoma cells, when co-incubated with HSA for 6 hours [42].  
344 Binding of lipophilic groups to HSA was located on drug site II. Similar results were obtained  
345 for other small synthetic mimics of antimicrobial peptides when HSA (550  $\mu\text{M}$ ) was present  
346 during MIC assays with various bacterial strains [43]. Of note, Sivertsen *et al.* suggested that  
347 lipophilic side chains larger than a benzyl group may reduce binding to HSA [44]. That  $\alpha,\alpha$ -  
348 disubstituted  $\beta$ -amino amides were not affected by HSA may be attributed to their bulky  
349 lipophilic groups. In addition, the derivatives were used in high concentrations over 24 h  
350 against adherent biofilms compared to shorter treatment of cells/bacteria in suspension  
351 [42,43]. Due to their fast mechanism of action, release of biofilm constituents may have  
352 interacted with HSA during the 24 h incubation time and thus reduced HSA binding



353 properties. In contrast, potency of CTAB and Pen G decreased which may be due to the  
354 reported high affinity of HSA to bind acidic drug molecules and molecules with long aliphatic  
355 chains [45,46].

356 The quantitative and qualitative green SYTO9 and red PI dye uptake analyses (FIG 2B and  
357 FIG 3) confirmed the viability data. During these experiments approximately 50% of *S.*  
358 *aureus* biofilms showed signs of membrane damage within 1 h of treatment with the  
359 derivatives. Similar results were obtained with CHX even though incorporation of the  
360 hydrophobic moiety in microbial membranes plays only a minor role in the proposed mode-  
361 of-action for CHX [32]. Treatment with the cationic derivatives **A1** – **A3** resulted in similar  
362 membrane damaging effects as seen with CHX, whereas CTAB or Pen G did not show any  
363 membrane damaging properties during the incubation period. Thus, CTAB appeared to be  
364 unable to perturb microbial membranes under the experimental conditions, which also  
365 explains its low impact on biofilm viability. It has been reported that staining with SYTO9  
366 and PI can result in mixed states, which make differentiation between live and dead bacteria  
367 challenging [47]. Therefore, we additionally performed a membrane disintegration study  
368 based on the leakage of intracellular ATP. The ATP assay confirmed the SYTO9 and PI  
369 staining experiments. Treatment with derivatives **A1** - **A3** as well as CHX resulted in a  
370 considerable leakage of ATP. Similar observations of ATP leakage due to pore formation  
371 were reported for small, dehydroabiatic acid derived compounds and the bacteriocin Nisin A  
372 [38,48]. We observed only a minor ATP leakage after CTAB treatment and no effect after  
373 incubation with Pen G.

374 Biofilms of GFP expressing *S. aureus* strain AH2547 grown in a CDC biofilm reactor  
375 allowed us to challenge the  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides in a different assay system. We  
376 adapted the standardized ASTM method 2562 [36] to grow CDC reactor biofilms under  
377 higher shear forces and for a longer time compared to the 96-well plate setup. Susceptibility

378 of planktonic *S. aureus* AH2547 against our derivatives was in the same range as previously  
379 described for *S. aureus* ATCC25923 [31]. However, *S. aureus* AH2547 biofilms seemed more  
380 tolerant to **A3** treatment, similar to our previous observations with the *S. aureus* Newman  
381 strain [31]. Treatment of harvested *S. aureus* AH2547 biofilms with 90  $\mu\text{M}$  **A3** or 100  $\mu\text{M}$   
382 CHX resulted still in equally high log reductions and kill rates of over 99.99% (FIG. 5). These  
383 findings in combination with our results from our 96-well plate assays suggested a similar  
384 mode-of-action of **A3** and CHX. These two compounds have an equal number of covalent  
385 bonds between the two positively charged moieties. The two (p-chlorophenyl)guanide units in  
386 CHX are linked by a six carbon hexamethylene bridge, which are important for its activity by  
387 bridging two neighboring phospholipid head groups [32]. This results in inhibition of  
388 metabolic functions of the membrane and can ultimately lead to structural integrity loss,  
389 which may also be the case for our derivatives. However, the treatment-flow-cell experiments  
390 revealed a notable difference between CHX and **A3** regarding their biofilm removal  
391 properties (FIG 6). We found indications that **A3** was able to additionally remove biofilm. *In*  
392 *silico* simulations suggest that **A2** and **A3** only partly incorporate the hydrophobic moiety into  
393 bacterial membranes (“can-can” pose which also correlates with greater anti-biofilm activity) in  
394 contrast to the proposed mode-of-action of CHX [49,32]. Koivuniemi *et al.* suggested that the  
395 insertion of only one hydrophobic side chain may lead to local aggregation of the compounds driven  
396 by the hydrophobic effect which results in a collective behavior of these compounds that disrupts the  
397 bacterial membrane [49]. Additionally, an exposed hydrophobic arm may impact the protective  
398 peptidoglycan macronet outside of the bacterial membrane. The observed effects of membrane  
399 disintegration as well as decreased biofilm cohesion in the treatment flow cell experiments would fit  
400 with the proposed behavior for **A2** and **A3**. However, further studies are needed to pinpoint  
401 localization and interaction of  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides in biofilms. Simultaneous killing  
402 and removal of biofilm is a favorable outcome for anti-biofilm treatments because of  
403 maintenance of tissue/medical device functionality and limiting the chance of biofilm re-

404 establishment on a preconditioned surface. Therefore, biofilm removal is an equally important  
405 biofilm controlling strategy in addition to killing the biofilm as highlighted by Gloag *et al.*  
406 [50]. For instance, the non-biocidal peptide A has been shown to effectively disperse and  
407 prevent biofilms also after grating to surfaces [11,51]. Various antimicrobial treatments such  
408 as glutaraldehyde, the antimicrobial peptide Nisin, or quaternary ammonium compounds are  
409 able to kill biofilm bacteria, however, they all lack the capability to remove the biofilm [52].  
410 Even though the bactericidal impact of CHX can contribute to biofilm erosion, a considerable  
411 amount of biomass remained in comparison to treatment with **A3** or Pen G. Crosslinking of  
412 anionic matrix components has been suggested for CHX's lack of removal properties, and a  
413 decrease of biofilm deformability after CHX treatment has been observed [53,54]. Even  
414 though **A3** is di-cationic, it did not share the inability of reported cationic compounds of  
415 removing biofilm biomass.

416 Our results show that  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides affect *S. aureus* biofilms as fast as the  
417 antiseptic CHX. Biofilm treatment leads to membrane permeabilization and application of  
418 these derivatives under flow conditions causes biofilm removal. These properties make  $\alpha,\alpha$ -  
419 disubstituted  $\beta$ -amino amides attractive candidates for the development of new anti-biofilm  
420 control strategies.

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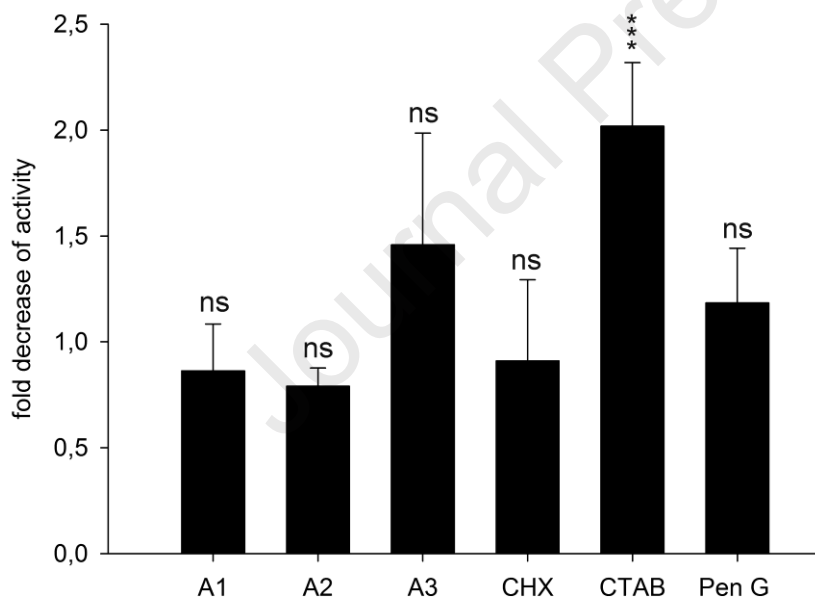
**Supplementary information**

570 **Table S1. MIC data of chlorhexidine (CHX), cetyltrimethylammonium bromide**  
 571 **(CTAB) and penicillin G (Pen G) against planktonic *Staphylococcus aureus***  
 572 **ATCC 25329**

MIC of control compounds [ $\mu\text{M}$ ]		
CHX	CTAB	Pen G
2.25	2.50	0.12

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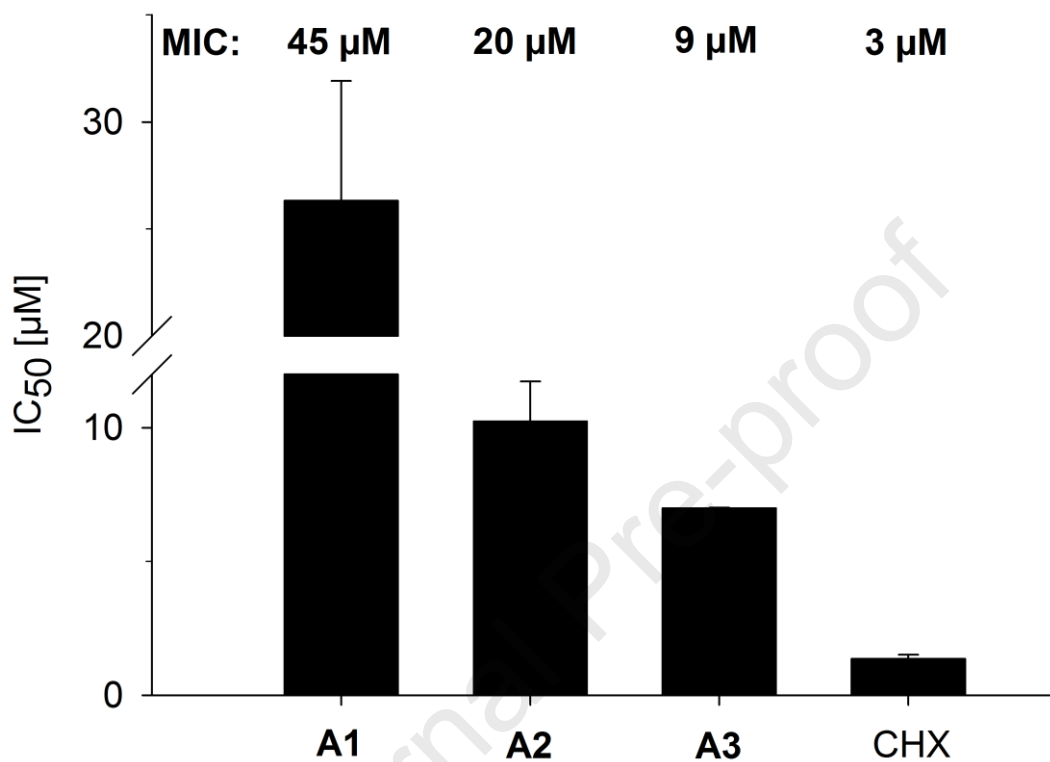


575

576 **FIG S1. Impact of human serum albumin on anti-biofilm potency by resazurin**  
 577 **based metabolic activity assessment.** *S. aureus* ATCC 25923 biofilms were formed in  
 578 96-well plates for 18 h. The biofilms were concurrently treated with the  $\alpha,\alpha$ -disubstituted  $\beta$ -  
 579 amino amides and control substances in the absence and presence of 300  $\mu\text{M}$  HSA (>99%,  
 580 Sigma-Aldrich, Schnelldorf, Germany), e.g. representing HSA levels in wound exudate [1],

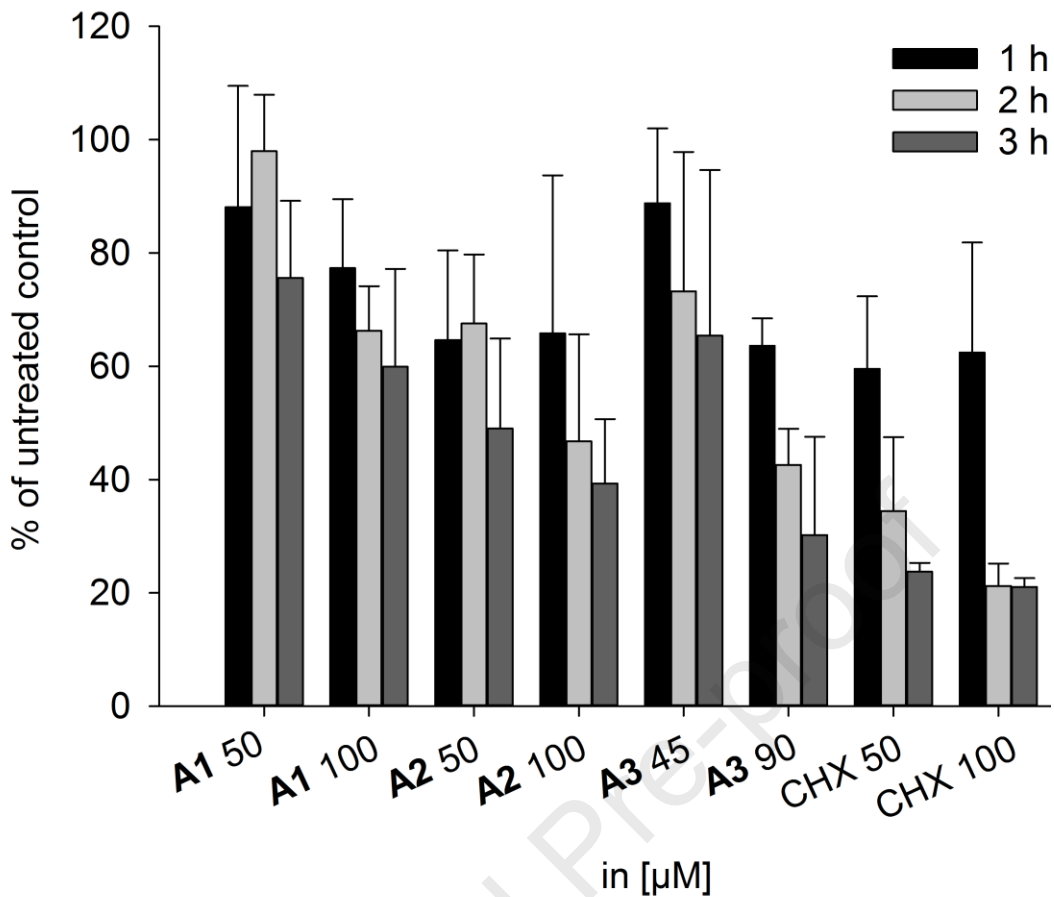
581 for 24 h. After applied resazurin staining, treatment efficacies were compared. (Results  
582 display the mean with standard deviation of three independent experiments).

583



584

585 **FIG S2. Susceptibility of planktonic *S. aureus* AH2547.** MIC data of A1 - A3 and  
586 CHX and biofilm prevention after replacement of the planktonic phase with pure TSB. (Bars  
587 represent the mean of three independent experiments and error bars indicate the 95%  
588 confidence interval).



589

590 **FIG S3. Susceptibility assessment of *S. aureus* AH2547 biofilms cultivated in**  
 591 **96-well plates over 18 h.** The pre-formed biofilms were treated with chlorhexidine and  
 592  $\alpha,\alpha$ -disubstituted  $\beta$ -amino amides for 1 - 3 h. After exchange of the planktonic phase, GFP  
 593 fluorescence of the remaining biofilm was detected, and compared with untreated controls  
 594 (results display the mean  $\pm$  SD of three independent experiments).

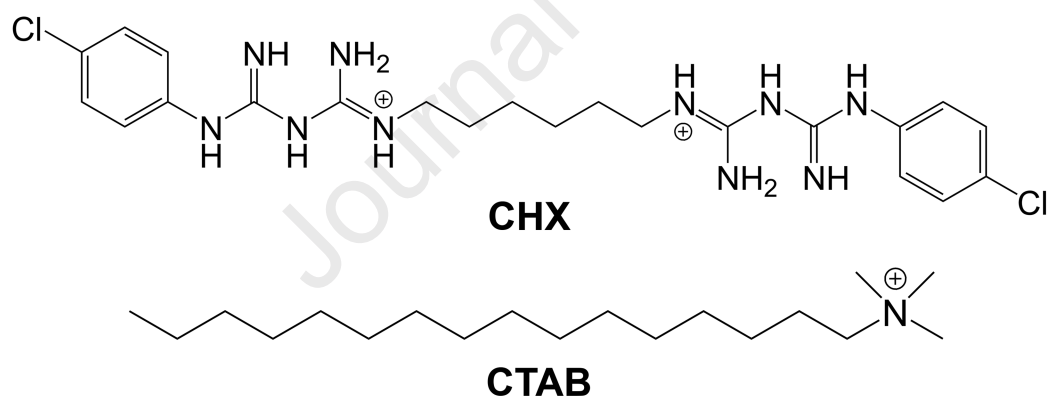
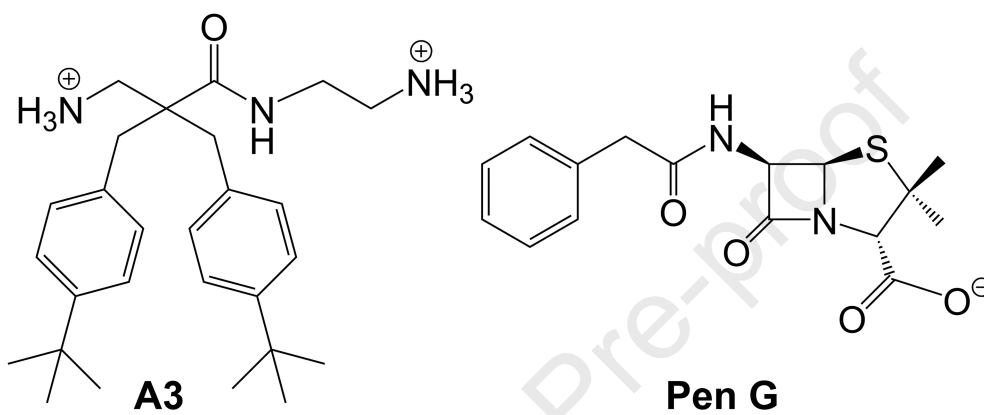
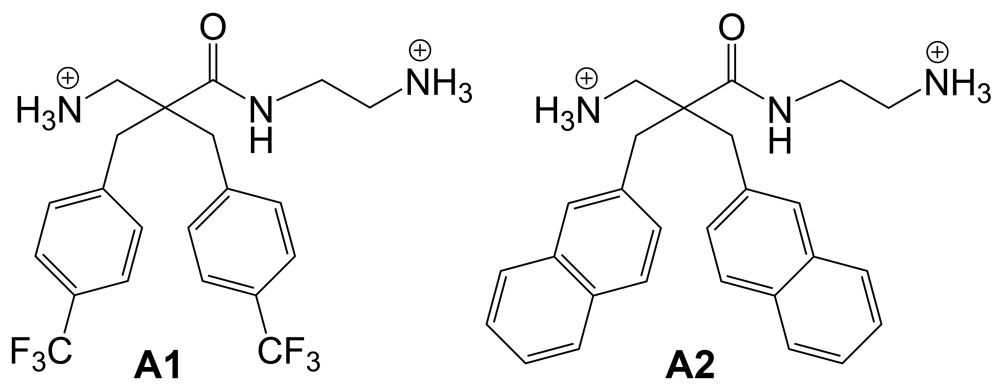
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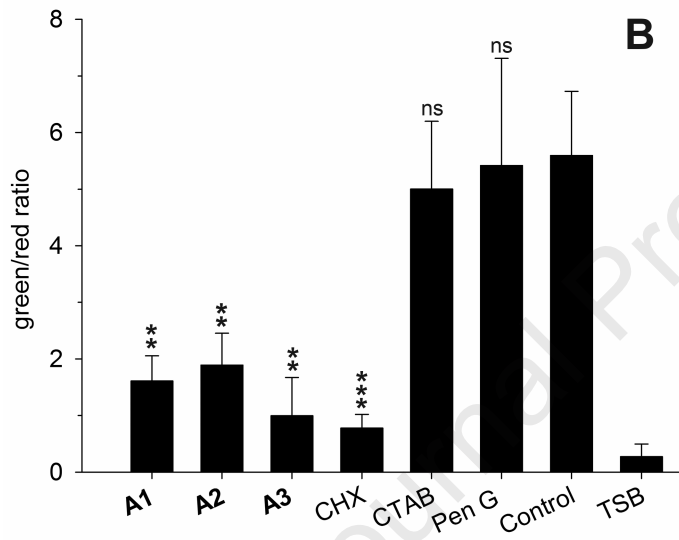
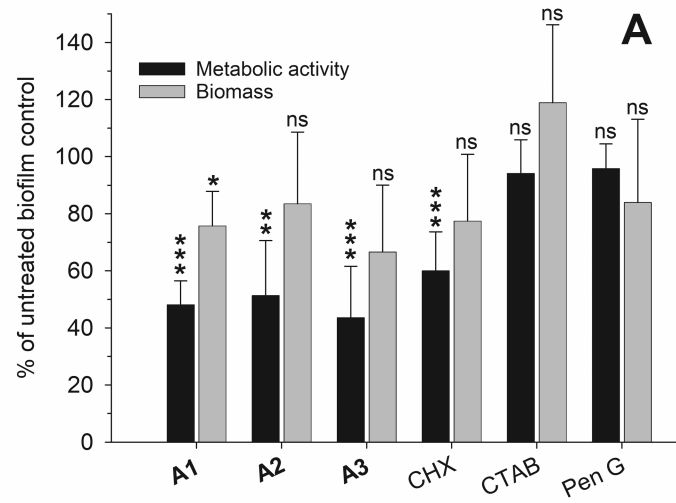
596 **Figure S4 (online version of the article). Representative time-lapse video of**  
597 **treatment-flow-cell experiments displaying the GFP, RFP and bright field**  
598 **channels.** (A) Exposure to full-strength TSB for 3h and 3 min. (B) Exposure to full  
599 strength TSB (3 min) and subsequently to **A3** (90  $\mu$ M) for 3h. (C) Exposure to full  
600 strength TSB (3 min) and subsequently to CHX (100  $\mu$ M) for 3h. (D) Exposure to full  
601 strength TSB (3 min) and subsequently to Pen G (400  $\mu$ M) for 3h.

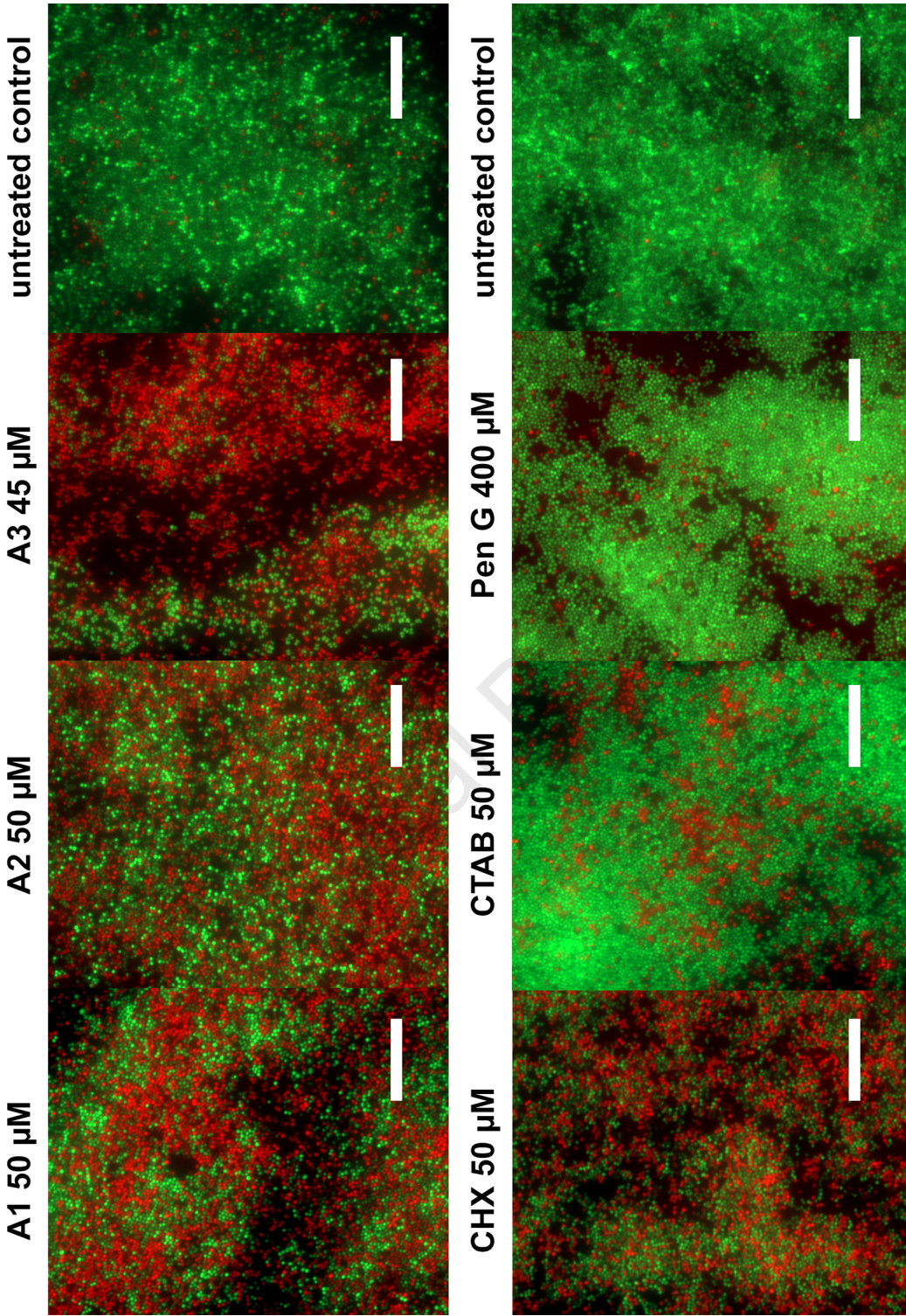
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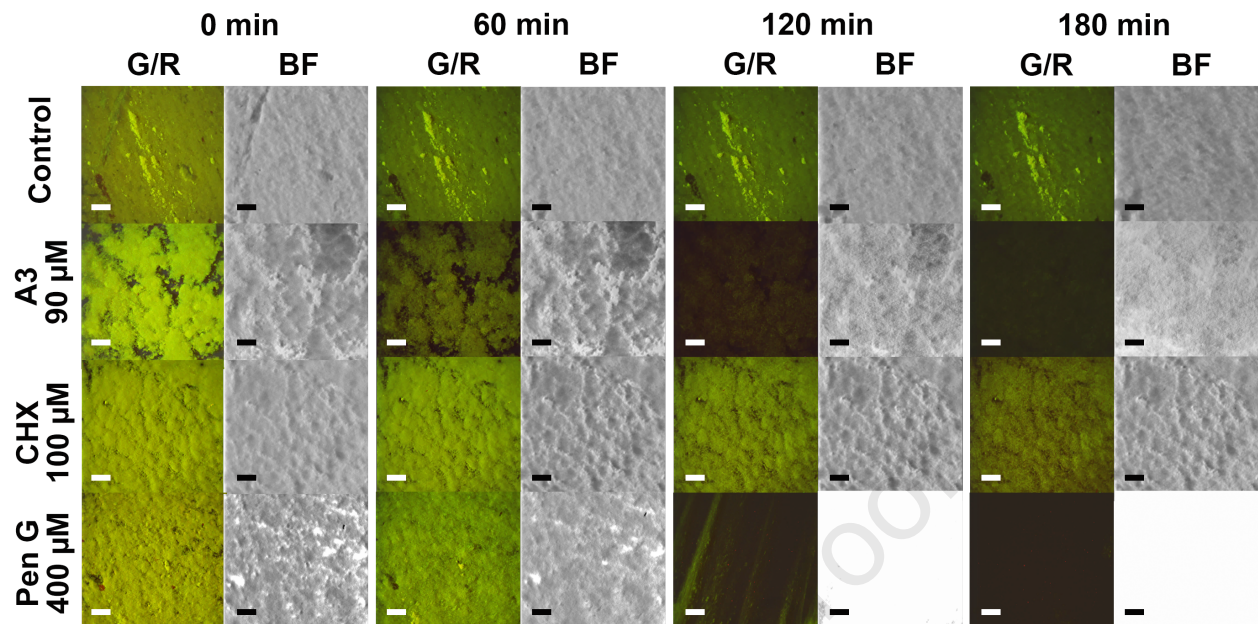
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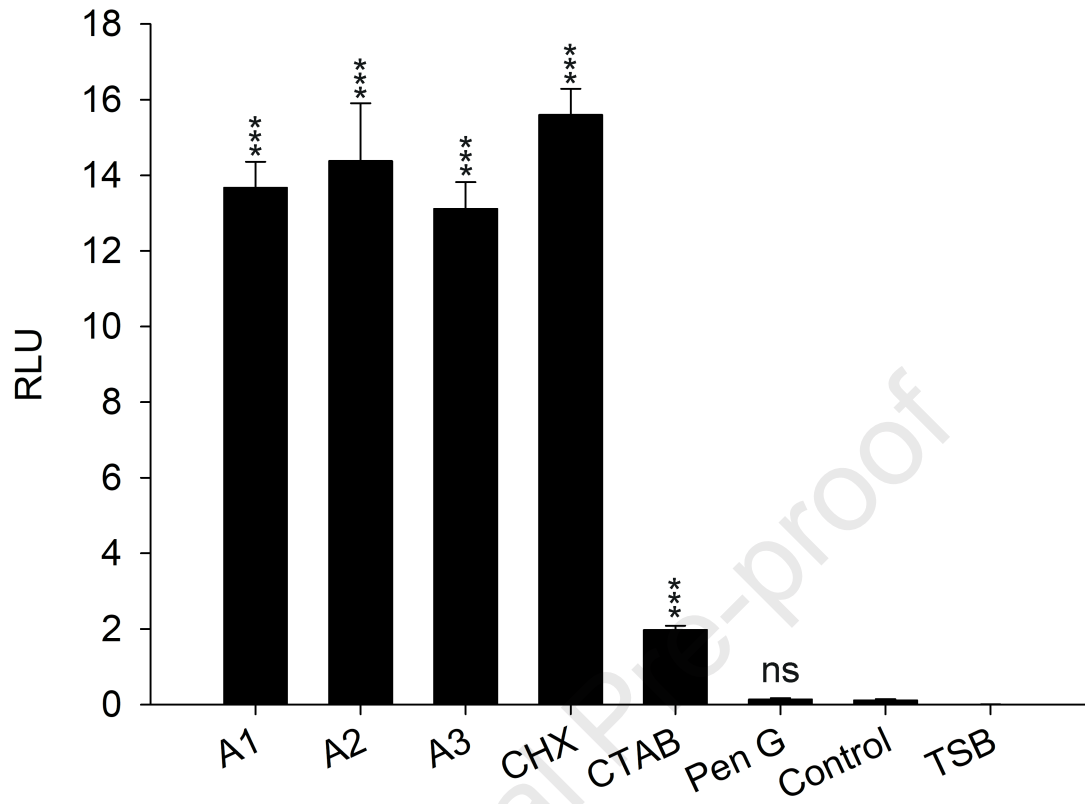


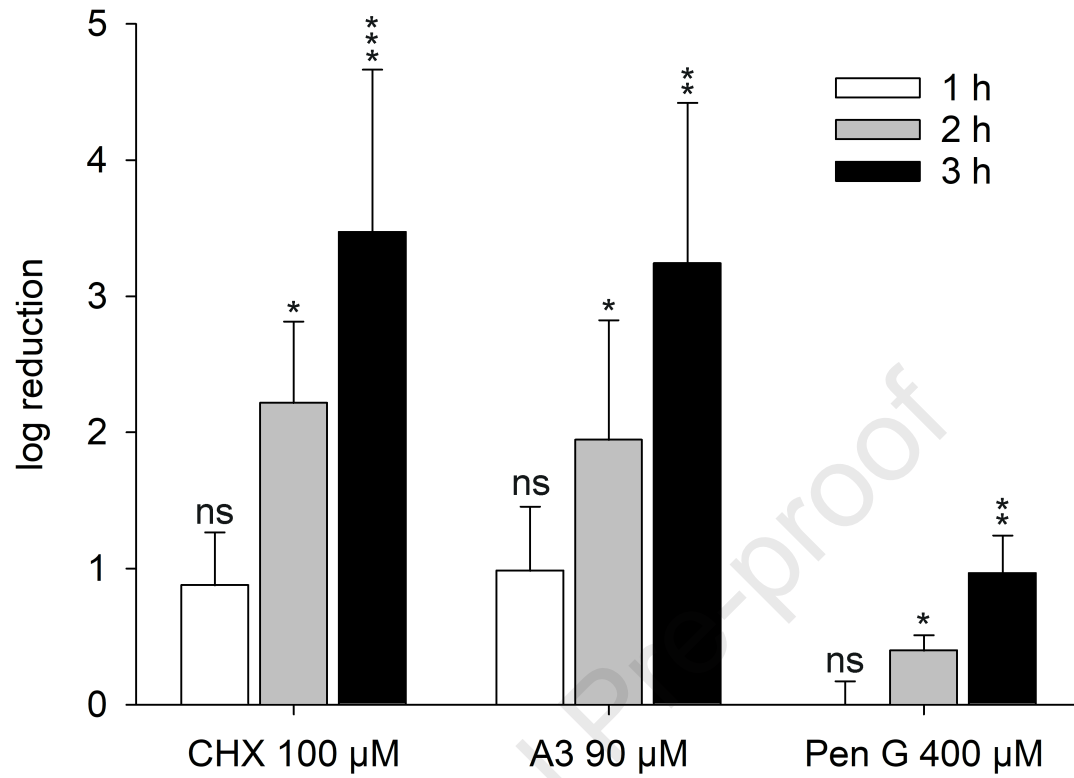












### Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Given her roles as an Editor, D.M. Goeres had no involvement in the peer review of this article and had no access to information regarding its peer review. Full responsibility for the editorial process for this article was delegated to a different editor. A. Fallarero is currently employed by Thermo Fisher Scientific, but this work has no association with her current employment relationship. The other authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.