

1           **Adjuvant effects of  $\beta$ -defensin on DNA vaccine OmpC against**  
2           **edwardsiellosis in flounder (*Paralichthys olivaceus*)**

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## 25 **Abstract**

26  $\beta$ -defensin of flounder plays an important role in immunomodulation by recruiting immune cells  
27 and has a potential vaccine adjuvant effect in addition to its bactericidal activity. In this study,  
28 adjuvant effects of  $\beta$ -defensin on DNA vaccine OmpC against edwardsiellosis in flounder  
29 (*Paralichthys olivaceus*) were investigated. The bicistronic eukaryotic expression plasmid  
30 pBudCE4.1 plasmid vector with two independent coding regions was selected to construct DNA  
31 vaccine of p-OmpC which express only the gene for the outer membrane protein of *Edwardsiella*  
32 *tarda* and the vaccine of p-OmpC- $\beta$ defensin which express both the outer membrane protein of the  
33 bacterium and  $\beta$ -defensin of flounder. *In vitro* and *in vivo* studies have shown that the constructed  
34 plasmids can be expressed in flounder embryonic cell lines and injection sites of muscles. After  
35 vaccination by intramuscular injection, both p-OmpC and p-OmpC- $\beta$ defensin groups showed  
36 significant upregulation of immune-response. Compared to the pBudCE4.1 and the p-OmpC  
37 vaccinated groups, the p-OmpC- $\beta$ defensin vaccinated group showed significantly more cell  
38 aggregation at the injection site and intense immune response. The proportion of sIgM<sup>+</sup> cells, as  
39 well as the CD4-1<sup>+</sup> and CD4-2<sup>+</sup> cells in both spleen and kidney was significantly higher in the p-  
40 OmpC- $\beta$ defensin vaccinated group at peak time point than in the control groups. The relative  
41 survival rate of the p-OmpC- $\beta$ defensin vaccine was 74.17%, which was significantly higher than  
42 that of the p-OmpC vaccinated group 48.33%. The results in this study determined that  $\beta$ -defensin  
43 enhances the responses in cellular and humoral immunity and evokes a high degree of protection  
44 against *E. tarda*, which is a promising candidate for vaccine adjuvant.

45 **Keywords:**  $\beta$ -Defensin; DNA Vaccine; Adjuvant; Immunomodulation; Fish

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## 47 **1. Introduction**

48 DNA vaccines are so-called third generation vaccines, which in many instances mimic live virus  
49 infection and responses [1]. Compared to a traditional vaccine such as inactivated and subunit  
50 vaccine, a DNA vaccine has the advantages of safety, ease of production, low cost, and stability  
51 which give them a commercial value as they often show high vaccine efficacy against hard-to-  
52 combat diseases [2, 3]. *Edwardsiella tarda* (*E. tarda*) is an intracellular parasitic Gram-negative  
53 bacterium which infects various aquatic animals, spreads rapidly in water, and causes significant  
54 compromised animal welfare and economic loss to the aquaculture industry [4, 5]. The often-  
55 encountered problem of antibiotic drug resistance often caused by antibiotic spillovers from  
56 treatment for *E. tarda* have led to a search for other ways to control edwardsiellosis [4].

57 In mammals, DNA vaccines have been shown have applications for several diseases, including  
58 infectious diseases, cancer, autoimmune diseases, and allergies [6]. In recent years, the technology  
59 on DNA vaccine of fish has developed rapidly. Puente-Marin et al. detected high specific antibodies  
60 induced by a DNA vaccine constructed with glycoprotein G of viral hemorrhagic septicemia virus  
61 in rainbow trout, suggesting that the DNA vaccine elicits humoral immunity [7]. In flounder, Zhang  
62 et al. showed that DNA vaccines can induce cellular immunity and changes in the T/B lymphocyte  
63 ratios [8]. A DNA vaccine mixed with chemokines (CCL9) showed rise in the proportion of sIgM<sup>+</sup>  
64 cells, up-regulation of MHCII, IFN, and MHCI expression, and increased the relative survival rate  
65 (RPS) 74.1% [9]. As such, a selection of appropriate functional vaccine adjuvants may enhance the  
66 potency and efficacy of DNA vaccines.

67 Antimicrobial peptides (AMPs) are a class of small molecule peptides that play a key role in the  
68 host immunity [10]. They can be considered as a first line of defense against pathogenic  
69 microorganisms and can be rapidly produced and transported to the site of infection [11].  
70 Furthermore, AMPs have broad-spectrum anti- microbial properties, including inhibition of viruses,  
71 bacteria, fungi, and parasites [12]. It has been shown in cattle, that a neutrophil beta-defensin 3 and  
72 glycoprotein D of bovine herpesvirus fused together in a DNA vaccine increased the induction of  
73 glycoprotein D-specific cytotoxic T lymphocytes (CTLs) [13]. In zebrafish,  $\beta$ -defensin 2 has been  
74 shown to possess antiviral and immunomodulatory properties and has thus been suggested to act as

75 an adjuvant for viral DNA vaccines [14].  
76 Our previous studies showed that  $\beta$ -defensin of flounder (*Paralichthys olivaceus*) had broad-  
77 spectrum antimicrobial activity and the ability to attract leukocytes [15]. However, the efficacy of  
78  $\beta$ -defensin as vaccine adjuvant was not addressed. In the present study, the gene encoding flounder  
79  $\beta$ -defensin was co-inserted with a gene encoding membrane C (OmpC) of *E. tarda* in a DNA vaccine  
80 plasmid, in a bicistronic fashion. Following immunization, the regulation of immune response, and  
81 the efficacy of the vaccine were evaluated.

## 82 **2. Materials and Methods**

### 83 **2.1 Fish, cell line, bacteria, and antibodies**

84 Clinically healthy flounders, weighing  $200\text{ g} \pm 50\text{ g}$  and  $35 \pm 5\text{ g}$  were purchased from a marine  
85 farm in Rizhao City of Shandong Province, China. Fish were cultured in aerated seawater tanks at  
86  $20 \pm 0.5\text{ }^\circ\text{C}$  and fed commercial dry feed for one week before immunization. The flounder  
87 embryonic cell lines (HINAE) were kindly provided by Ikuo Hirono, University of Tokyo of Marine  
88 Science and Technology, Japan and cultured in L15 medium (Thermo, Waltham, MA, USA) [16].  
89 *Edwardsiella tarda* strain (HC01090721) was previously isolated from diseased fish and stored in  
90 our laboratory [17]. *E. tarda* was cultured using Luria-Bertani (LB) liquid medium at  $28\text{ }^\circ\text{C}$  for 12  
91 hours. Afterward, the bacterial suspension was adjusted to  $1 \times 10^7$  cfu/ml with PBS, which was used  
92 for the later experiments. The antibodies of OmpC,  $\beta$ -defensin, IgM, CD4-1, and CD4-2 involved  
93 in the experiment were previously produced and stored in our laboratory [15, 18, 19].

### 94 **2.2 Gene cloning and plasmid construction**

95 Total RNA of flounder head kidney was extracted by using the TRIZOL method reported previously  
96 [20]. The purity and quality of RNA were assayed by the NanodropND-8000 spectrophotometer  
97 (Thermo, Waltham, MA, USA). Later, RNA was reverse transcribed to cDNA according to the  
98 instructions of the Hiscript III RT Super Mix kit (Vazyme, Nanjing, China). Then, the cDNA as  
99 template was used to amplify  $\beta$ -defensin (GenBank No. OL631146) by using primers fBD-F/fBD-  
100 R. Based on the genome sequence of *E. tarda* EIB202 (GenBank No. CP001135.1), the gene  
101 sequence encoding OmpC was obtained and the accession number was ETAE3470. The OmpC was  
102 amplified by PCR using *E. tarda* suspension as template and primers OmpC-F/OmpC-R. The

103 sequence of primers used in the experiment are listed in Table 1.

104 In order to construct recombinant plasmids p-OmpC, the OmpC gene was inserted into the  
105 pBudCE4.1 vector at *Xho*I site with Trelief SoSoo Cloning Kit (Tsingke, Qingdao, China). Then,  
106 the OmpC gene and the  $\beta$ -defensin gene were inserted into the pBudCE4.1 vector at *Xho*I site and  
107 *Bam*HI sequentially to produce the recombinant plasmids p-OmpC- $\beta$ defensin. The plasmids p-  
108 OmpC, p-OmpC- $\beta$ defensin and pBudCE4.1 were transformed into *E. coli* DH5 $\alpha$  for a large quantity  
109 production, then the plasmids were extracted by using the Plasmid Extraction Kit (TIANGEN,  
110 Beijing, China) and stored in a 4°C refrigerator for later use.

### 111 **2.3 Plasmid transfection *in vitro***

112 The plasmids p-OmpC, p-OmpC- $\beta$ defensin and pBudCE4.1 were separately transfected into  
113 HINAE cells by using Lipofectamine® 3000 (Thermo Fisher, MA, USA) to investigate expression  
114 of the plasmids. The HINAE cells were seeded in 6-well cell culture plates and cultured with L15  
115 medium with 5% fetal bovine serum (FBS) at 22 °C. The cells were transfected using DNA-  
116 liposomes when the cells grew to cover 70%-80% of the culture well area, according to the  
117 instruction of the manufacturer. Briefly, 125  $\mu$ l Opti-MEM was added to tube A and tube B  
118 respectively, then 5  $\mu$ l p3000 and 5000 ng plasmids were added to tube A and 5  $\mu$ l lipo3000 was  
119 added to tube B. After incubation at RT (15 min), the mixture in tube A was gently mixed with the  
120 solution in B. Then, the final solution was transferred to the corresponding 6-well plate (250  $\mu$ l per  
121 well). After incubation for 6 hours at RT, the transfection cocktail was replaced by L15 medium  
122 with 5% FBS and incubated further for 48 hours. Finally, the cells were washed three times and  
123 fixed with 4% (w/v) paraformaldehyde for subsequent indirect immunofluorescence experiments.

### 124 **2.3 Vaccination and sampling**

125 Three hundred and sixty flounders weighing  $35 \pm 5$ g were randomly divided into three groups (120  
126 fish / group). After two weeks of acclimation, the fish was injected intramuscularly with 100  $\mu$ l  
127 (200 ng/ $\mu$ l) pBudCE4.1, p-OmpC, or p-OmpC- $\beta$ defensin plasmids, respectively. The muscle tissue  
128 at the injection site, spleen, and head kidney samples were obtained at day 7 after immunization for  
129 RNA extraction and for histology to image the expression of the plasmids. In addition, the injection  
130 sites from three fish per treatment groups was sampled on day 3, 5, 7, 21, and 28 for RNA extraction  
131 by using the TRIZOL method for semiquantitative RT-PCR. Head kidney and spleen were sampled

132 at 0 h, 12 h, 24 h, 3 d, 9 d, and 28 d post-immunization for qRT-PCR and flow cytometry.

#### 133 **2.4 Immunofluorescence**

134 Indirect immunofluorescence was done to detect the expression of OmpC and  $\beta$ -defensin by HINAE  
135 cells *in vitro* according to the previous report [21]. In brief, the fixed HINAE cells were washed  
136 three times with PBS and added the rabbit anti-OmpC (1:1000) or mouse anti- $\beta$ -defensin (1:1000)  
137 polyclonal antibody. After incubation at 37 °C for 1.5 h, the cells were washed three times and  
138 incubated with Alexa Fluor 488-conjugated goat anti-rabbit IgG or Fluor 648-conjugated goat anti-  
139 mouse IgG (1:1000, Sigma, Nawa Prefecture, Japan) as a secondary antibody at 37 °C for 1 hour.  
140 After that, 4,6-diamidino-2-phenylindole (DAPI, Bio-Legend, Santiago, Chile) was added to the  
141 cells and incubated at room temperature for 15 mins. After washing three times with PBS, the cells  
142 were assessed using a Zeiss fluorescence microscope (Zeiss, Jena, Germany).

#### 143 **2.5 Histochemical staining**

144 The muscle samples from the injection site obtained from each treatment group 5 days after injection  
145 were fixed in 4% (w/v) paraformaldehyde. After 24 hours, the samples were immersed in ethanol  
146 solution with concentrations of 50%, 75%, 95%, and 100% for one hour respectively – to remove  
147 water, followed by immersion in a 1:1 solution of ethanol and xylene concentration. The samples  
148 were finally dehydrated by immersing the specimens in a pure xylene solution. The samples were  
149 embedded in paraffin wax and sliced with a rotary microtome (Leica, Wetzlar, Germany). After  
150 remove the paraffin by xylene, the sections were rehydrated by sequential immersion in ethanol  
151 solutions at concentrations of 100%, 85%, 75%, 65%, and 50% for 5 min, respectively. The sections  
152 were immersed in hematoxylin solution for 18 minutes and soaked with acid alcohol for 50 s for  
153 color separation. The color-separated sections were sequentially immersed in ethanol solutions at  
154 concentrations of 50%, 65%, 75%, 85%, and 95%, respectively, for 5 min and stained for 10 s using  
155 an eosin solution. After staining, the sections were transferred to 95% ethanol solution for 45 s and  
156 immersed in ethanol and xylene solution for dehydration and transparency. Finally, the sections  
157 stained by hematoxylin and eosin (H&E) were sealed and observed by a light microscope (Zeiss,  
158 Jena, Germany).

#### 159 **2.6 Real time PCR**

160 Semiquantitative RT-PCR was performed to detect of  $\beta$ -defensin and OmpC gene transcripts at the

161 injection site using the primers OmpC-F/OmpC-R and fBD-F/fBD-F on day 3, 4, 5, 7, 14, 21, 28  
162 after immunization. The expression of IFN- $\gamma$ , GATA-3, CD83, MHC I, and MHC II in muscle tissue  
163 at the injection site were assessed on day 5 post immunization. The expression of IL6, TNF $\alpha$ , CD4-  
164 1, and MHC II were also analyzed in the head kidney and spleen at 0 h, 12 h, 24 h, 3 d, 5 d, 9 d, and  
165 28 d after immunization. Briefly, the total RNA was extracted from the muscle tissue at the injection  
166 site, head kidney, and spleen from three fish per treatment groups and reverse-transcribed to cDNA.  
167 The qRT-PCR system was configured according to instructions of manufacture (Abclonal, Qingdao,  
168 China) with three replicates per fish. 18S rRNA transcripts served as the internal reference. The real-  
169 time PCR results were analyzed using the  $2^{-\Delta\Delta Ct}$  method. The following is the qRT-PCR reaction steps:  
170 95 °C, 3 min, (95 °C, 5 s  $\rightarrow$  60 °C, 30 s)  $\times$  40 cycles. The primers used in this experiment are shown  
171 in Table 1.

## 172 **2.7 Flow Cytometry**

173 The leukocytes of spleen and head kidney were isolated at week 0, 1, 2, 3, 4, 5, and 6 after  
174 immunization following our previous experiment [21]. The mouse monoclonal antibodies against  
175 flounder CD4-1, CD4-2, and IgM were added to the leukocytes respectively, and incubated at 22 °C  
176 for 1.5 hours. After washing three times with PBS, the leukocytes were incubated with Alexa Fluor  
177 488/649-labeled goat-anti-mouse IgG antibody at a ratio of 1:1000 at 22 °C for 50 min. After  
178 washing three times with PBS, the concentration of cells was adjusted to  $1 \times 10^6$  for the detection of  
179 the CD4-1<sup>+</sup>, CD4-2<sup>+</sup>, and IgM<sup>+</sup> cells by using flow cytometry (Accuri C6, BD, NJ, USA).

## 180 **2.8 Challenge**

181 Six weeks after vaccination, 90 flounders were randomly selected from each group (3 replicates, 30  
182 fish in each tank). The solution containing *E. tarda* was adjusted to  $1 \times 10^7$  cfu/ml in PBS and injected  
183 intraperitoneally to fish (100  $\mu$ L/ fish). Mortality was recorded over 14 days after the challenge and  
184 the relative percentage survival (RPS) was calculated.

## 185 **2.9 Statistical Analysis**

186 Statistical analyses were performed by using Statistical Product and Service Solution (SPSS) 20.0  
187 software (IBM, Armonk, NY, USA) with one-way analysis of variance (ANOVA) followed by  
188 Duncan's multiple range test. All data are expressed as the mean  $\pm$  standard deviation (SD).  
189 Statistical parameters and details are provided in the figure legends, and exact *p*-values are shown

190 in the figures. GraphPad Prism software version 5.01 (GraphPad 265 Software, San Diego, CA,  
191 USA) was used for plotting graphs.

## 192 **3. Results**

### 193 **3.1 *In vitro* expression of OmpC and $\beta$ -defensin from the bicistronic plasmid**

194 The OmpC from *E. tarda* and  $\beta$ -defensin from flounder were successfully cloned (Figure S1). The  
195 constructed p-OmpC, p-OmpC- $\beta$ defensin, and control plasmids were transfected into HINAE cells  
196 and analyzed by indirect immunofluorescence. Microscopic examination showed that only green  
197 signals (GFP) were detected in the p-OmpC transfection group, but both green and red signals (RFP)  
198 were detected in the p-OmpC- $\beta$ defensin transfection group. No fluorescence signals were seen in  
199 cells transfected by the pBudCE4.1 empty plasmid (Figure 1). The green and red colors represented  
200 expression of OmpC and  $\beta$ -defensin proteins, respectively. The above results showed that the  
201 plasmid expressed recombinant OmpC and  $\beta$ -defensin successfully in the cells as expected.

### 202 **3.2 Expression of bicistronic DNA vaccines in flounder muscle**

203 After total RNA extracted from muscle tissues at the injection site on day 3, 4, 5, 7, 14, 21, and 28  
204 after immunization, the transcription of target genes was examined by RT-PCR using the 18s gene  
205 as an internal reference. The results showed that transcripts of both OmpC and  $\beta$ -defensin genes  
206 were detected in the p-OmpC- $\beta$ defensin plasmid-injected group within two weeks after  
207 immunization, and transcripts of the OmpC gene were detected in the p-OmpC plasmid-injected  
208 group three weeks after immunization. In contrast, the pBudCE4.1 empty plasmid injection group  
209 did not detect transcripts of OmpC and  $\beta$ -defensin genes (Figure 2).

### 210 **3.3 Infiltration of inflammatory cells and expression of immune genes at the injection sites**

211 The results of H&E staining of muscle tissues showed that the injection site of the p-OmpC-  
212  $\beta$ defensin plasmid injected fish had significant infiltration of inflammatory cells. The number of  
213 inflammatory cells in the injection sites of the p-OmpC plasmid injected fish, in general, were less  
214 compared to fish injected with the p-OmpC- $\beta$ defensin plasmid. After injection of pBudCE4.1  
215 (empty plasmid), there was minor infiltration of inflammatory cells (Figure 3).

216 The results of qRT-PCR showed that the expression of GATA-3, CD83, and MHC I genes in fish  
217 from the p-OmpC- $\beta$ defensin group was significantly higher compared to the expression levels in



218 the fish that received the p-OmpC plasmid and the pBudCE4.1 plasmid. The expression of IFN- $\gamma$   
219 and MHC II were not different between the p-OmpC- $\beta$ defensin and the p-OmpC treatments, but  
220 both were slightly higher than the corresponding expressions in the pBudCE4.1 group (Figure 4).

### 221 **3.4 The dynamics of immune gene expression in the spleen and head kidney after** 222 **immunization**

223 To compare the immune response in fish belonging to the three treatment groups, assessment of IL6,  
224 TNF $\alpha$ , CD4-1, and MHC II expression in spleen and head kidney were examined by qRT-PCR at  
225 different time points after vaccination. In spleen, the expression of the TNF $\alpha$ , IL-6, MHC II, CD4-  
226 1, peaked at 12 h, 24 h, 5 d, 9 d post-immunization, respectively (Figure 5). Compared to the p-  
227 OmpC plasmid treatment group, the expression levels of all tested genes in p-OmpC- $\beta$ defensin  
228 vaccine groups were increased at different levels at various time points ( $p < 0.05$ ). Similarly, the  
229 relative expression of IL6 and MHC II genes in head kidney reached their highest level at 24 h and  
230 5 d after immunization, respectively, and the peak level in the p-OmpC- $\beta$ defensin group were higher  
231 than corresponding gene expression levels of the p-OmpC group (Figure 5). The highest TNF $\alpha$  and  
232 CD4-1 expressions were found in fish at 12 h and on day 9 in fish from the p-OmpC- $\beta$ defensin and  
233 p-OmpC plasmid groups. The expression levels of TNF $\alpha$  and CD4-1 in p-OmpC- $\beta$ defensin vaccine  
234 groups were higher than in control fish at all time points.

### 235 **3.5 The proportions of T and B lymphocyte subsets changes in spleen and head kidney**

236 Changes in the proportion of T and B lymphocyte subsets were analyzed by flow cytometry. The  
237 result showed that the proportion of CD4-1<sup>+</sup> and CD4-2<sup>+</sup> T lymphocytes from spleen in the p-OmpC-  
238  $\beta$ defensin plasmid and p-OmpC plasmid injected groups gradually increased from one week after  
239 immunization, peaked at the second week and leveled off after four weeks (Figure 6). The proportion  
240 of CD4-1<sup>+</sup> and CD4-2<sup>+</sup> T lymphocytes in fish from the p-OmpC- $\beta$ defensin group (20.3% and 22.2%)  
241 was significantly higher than those in the p-OmpC group (15.2% and 16.9%) and pBudCE4.1 group  
242 (8.4% and 11.4%) at week 2. The percentage of splenic IgM<sup>+</sup> B lymphocytes in the p-OmpC-  
243  $\beta$ defensin and p-OmpC groups gradually increased one week after immunization and peaked on  
244 week 6 and thereafter declined. The percentage of IgM<sup>+</sup> B lymphocytes at week 6 was significantly  
245 higher in spleens from fish in the p-OmpC- $\beta$ defensin group (24.9%) compared to spleen in fish from  
246 the p-OmpC (18.4%) and the pBudCE4.1 group (10.8%).

247 Such change with respect to the T and B lymphocytes ratio in the head kidney was similar to the  
248 spleen. The proportions of CD4-1<sup>+</sup> and CD4-2<sup>+</sup> T lymphocytes and IgM<sup>+</sup> B lymphocytes from head  
249 kidney cells in the p-OmpC-βdefensin and the p-OmpC group all showed an increasing trend  
250 followed by a decrease. Meanwhile, the percentage of CD4-1<sup>+</sup> and CD4-2<sup>+</sup> T head kidney  
251 lymphocytes and IgM<sup>+</sup> B lymphocytes in fish from the p-OmpC-βdefensin injected group at the  
252 peak time points was significantly higher than the p-OmpC and pBudCE4.1 empty plasmid injection  
253 group (Figure 7).

### 254 **3.6 Vaccine efficacy following experimental challenge with *E. tarda***

255 The mortality rates in pBudCE4.1, p-OmpC and p-OmpC-βdefensin treatment groups within two  
256 weeks after the challenge were 100%, 52.67% and 25.83%, respectively. Fish in the pBudCE4.1  
257 injection group all died on day 9 after the challenge. The RPS in the p-OmpC injection group was  
258 47.33%, and in the p-OmpC-β-defensin group was 74.17% (Figure 8).

## 259 **4. Discussion**

260 DNA vaccines are effective to induce both antibacterial and antiviral response and protection, by  
261 the induction of humoral and cellular immunity [22]. Outer membrane proteins of bacteria are  
262 essential components for functions such as nutrient uptake, cell adhesion, and cell signaling. OMPs  
263 from *Vibrio anguillarum*, *Vibrio harveyi*, and *Edwardsiella ictaluri* have already been shown to have  
264 potential as DNA vaccine or subunit candidates [23-25]. OmpC of *E. tarda* is a surface protein  
265 involved in bacterial invasion and infection. Our previous studies have demonstrated that  
266 immunization of flounder with recombinantly expressed OmpC protein from *E. tarda* induced  
267 humoral immunity [17].

268 A targeted approach to find an optimal molecular adjuvant is needed to increase DNA vaccine  
269 efficacy, especially if the DNA vaccine itself shows poor efficacy [26]. Antimicrobial peptides, such  
270 as defensins, may harbor attractive features to be included as a molecular adjuvant in DNA vaccines  
271 targeting bacterial diseases. Defensins are one of the antimicrobial peptides well conserved during  
272 evolution, as proposed by Ganz et al. who first isolated defensin from human neutrophils [12]. As  
273 antimicrobial and immunomodulatory peptides, defensins have previously been shown to adjuvant  
274 vaccines. Zhang et al. showed that β-defensin-1 cloned from chickens possessed adjuvant activity

275 as co-inserted in the plasmid vaccine together with the IBDV VP2 gene. It was shown that, following  
276 immunization, that the proportion of immune cells in peripheral blood increased [27]. In the present  
277 study, we constructed a p-OmpC- $\beta$ defensin plasmid containing both OmpC and  $\beta$ -defensin genes at  
278 two independent coding regions of pBudCE4.1. After being transfected by the plasmid, HINAE  
279 cells expressed both the OmpC and  $\beta$ -defensin proteins. Similar results were encountered after  
280 intramuscular injection of the plasmid, where the muscle cells expressed both the OmpC and  $\beta$ -  
281 defensin. The vaccine resulted in significant recruitment of cells to the injection site and increased  
282 immune gene expression. The vaccination strategy from OmpC and  $\beta$ -defensin genes as co-inserted  
283 in a DNA plasmid backbone yielded a higher relative protection rate compared to the DNA vaccine  
284 that expressed only OmpC. These findings strongly suggested that  $\beta$ -defensin as adjuvant enhanced  
285 the vaccine efficacy of the present DNA against *E. tarda*.

286 Defensins play important roles in a variety of immune processes. It is reported that defensins  
287 secreted by human neutrophils have the ability of increase chemotaxis of monocytes [28]. Our  
288 previous findings also confirmed that flounder  $\beta$ -defensins were indeed capable to recruit  
289 inflammatory cells [15]. In this study, the inflammatory cell infiltration in the injection site of fish  
290 given the p-OmpC- $\beta$ defensin, was notable on day 5 after immunization. The samples of p-OmpC or  
291 pBudCE4.1 plasmid injected group showed a lower number of inflammatory cells in injection area.  
292 The extra recruitment of immune cells was likely due to the chemotactic properties by the defensin.  
293 A prerequisite of DNA vaccine efficacy, caused by both humoral and cellular responses, is that target  
294 genes in the plasmid of DNA vaccine are transcribed into proteins/peptides in host cells [29, 30]. In  
295 the present study where gene expression was assessed in the injection site, the high expression of  
296 the gene involved in T cell differentiation (GATA-3) and markers of antigen presenting cells, such  
297 as CD83 and MHC I suggested an increased expression and/or increased presence of cells. H&E  
298 stain sections of the muscle tissue at the injection site strengthened this suggestion. TNF $\alpha$  is an  
299 inflammatory cytokine produced by macrophages/monocytes during inflammation and is involved  
300 in many immune pathways including regulation of CD4 T cells, and MHC class I and II expression  
301 [31-33]. The high expression of TNF $\alpha$ , CD4-1, MHC I and MHC II in fish from the p-OmpC-  
302  $\beta$ defensin group suggested a robust immune response. Furthermore, the spleen and head kidney  
303 expression of IL-6 was consistently and significantly higher in the p-OmpC- $\beta$ defensin group than

304 in the p-OmpC group. It is reported that IL-6 in mammals, is promptly and transiently produced in  
305 response to infections and vaccination and contributes to host defense through the stimulation of  
306 acute phase responses and immune reactions [34]. In mammals, it has been shown that  $\beta$ -defensin  
307 can adjuvant Hepatitis B vaccine by enhancing the expression of IL-6 [36]. In grouper,  $\beta$ -defensin  
308 increased the expression of MHC II in the head kidney as an adjuvant [35]. Similarly, a significant  
309 co-increase of IL-6 and MHC II was observed in head kidney and spleen of flounder after vaccination,  
310 and an overexpression of  $\beta$ -defensin induced up-regulated the expression of IL-6 and MHC II.  
311 In contrast to higher animals, some fish species express two CD4 subtypes, namely CD4-1 and CD4-  
312 2 [37]. In this study, an increased expression of CD4-1, CD4-2 and IgM suggested the presence of  
313 a higher number of transcripts (or higher number of T and B cells) which in turn may be translated  
314 to increased adaptive immune capacity. The ratios of CD4-1<sup>+</sup>, CD4-2<sup>+</sup>, and IgM<sup>+</sup> in the spleen and  
315 head kidney of the p-OmpC- $\beta$ defensin group were significantly higher compared to fish given p-  
316 OmpC group. This strongly suggests that  $\beta$ -defensin as vaccine adjuvant is efficient to induce both  
317 T-cell and B-cell response, which likely increased the vaccine efficacy. Furthermore, the RPS for  
318 vaccine was a visual indicator of efficacy and the gap between the p-OmpC- $\beta$ defensin and p-OmpC  
319 groups also showed adjuvant function of  $\beta$ -defensin.

## 320 **5. Conclusion**

321  $\beta$ -defensin, being both an antimicrobial peptide and a chemokine, has also a function of  
322 chemoattractant for inflammatory cells, is an attractive candidate as a vaccine adjuvant. In this study,  
323 we confirmed the adjuvant property of  $\beta$ -defensin possibly by activating both cellular and humoral  
324 defense mechanisms. In a future study, the contribution of the antibody response will be included,  
325 to unveil the importance of antibody-mediated protection compared to protection caused by cellular  
326 immune response.

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328

329 **Declaration of competing interest**

330 The authors declare that they have no known competing financial interests or personal relationships  
331 that could have appeared to influence the work reported in this paper.

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338 **CRedit authorship contribution statement**

339 Heng Chi: Conceptualization, Statistical analyses, Writing - original draft, Funding acquisition.  
340 Qingqing Qin: Methodology, Statistical analyses, Writing - original draft. Xiaokai Hao:  
341 Methodology, Statistical analyses. Roy Ambli Dalmo: Writing - review & editing to the final version.  
342 Xiaoqian Tang: Writing - review & editing, Supervision. Jing Xing: Writing - review & editing,  
343 Supervision. Xiuzhen Sheng: Writing - review & editing, Supervision. Wenbin Zhan: Writing -  
344 review & editing, Supervision.

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447 **Figure legends**

448 **Table 1.** List of primers used in this study.

449

450 **Figure 1. Detection of  $\beta$ -defensin and OmpC expression in transfected HINAE cells.** Indirect  
451 immunofluorescence of HINAE cells after plasmid transfected by p-OmpC, p-OmpC- $\beta$ defensin and  
452 pBudCE4.1. Blue indicates cell nuclei, green indicates OmpC, and red indicates  $\beta$ -defensin. Bar =  
453 20  $\mu$ m.

454 **Figure 2. RT-PCR detection of  $\beta$ -defensin and OmpC gene transcripts in muscle tissue.** (A) p-  
455 OmpC- $\beta$ defensin immune group; (B) p-OmpC immune group; (C) pBudCE4.1 immune group. Lane  
456 M, markers; lane 1, 2, 3, 4, 5, 6, 7, muscle tissue samples on day 3, 4, 5, 7, 14, 21, 28 after  
457 immunization. 18S rRNA transcripts as an internal reference.

458 **Figure 3. Injection of recombinant plasmids leads to local recruitment of inflammatory cells  
459 by using histology analysis.** Injection site muscle sections were obtained on day 5 after  
460 immunization of flounder with p-OmpC- $\beta$ defensin, p-OmpC, and pBudCE4.1, respectively, and  
461 were stained with hematoxylin and eosin. Bar = 40  $\mu$ m.

462 **Figure 4. Expression of immune-related genes at the injection site.** Expression of immune-  
463 related genes at the injection site on the 5 days after immunization. The vertical bar represents the  
464 mean  $\pm$  SD (n=3). Different letters (a, b, and c) above the bars represent the statistical significance  
465 ( $p < 0.05$ ) among different groups of the same gene.

466 **Figure 5. The expression of immune-related genes induced by recombinant plasmids in spleen  
467 and head kidney.** Expression of immune-related genes in the spleen and head kidney at 0, 12, and  
468 24 hours, 3, 5, 9, and 28 days after immunization were tested by qPCR. The mRNA level of each  
469 gene was normalized to that of 18S rRNA. The y-axis represents the mRNA abundance relative to  
470 the expression in fish injected with the pBudCE4.1 at 0 h. Different letters (a, b, and c) on the bars  
471 indicate statistically significant differences ( $p < 0.05$ ) compared to the same gene in each group at  
472 same time, and the vertical bar represents the mean  $\pm$  SD (n=3).

473 **Figure 6. Dynamic changes in the ratio of T and B lymphocyte subpopulations in the spleen.**

474 Flow cytometry results of CD4-1<sup>+</sup>, CD4-2<sup>+</sup> T lymphocytes, and IgM<sup>+</sup> B lymphocytes of the spleen  
475 in the 5 weeks after immunization. As well as, the proportion of CD4-1<sup>+</sup>, CD4-2<sup>+</sup> T lymphocytes,  
476 and IgM<sup>+</sup> B lymphocytes in the spleen at 0, 1, 2, 3, 4, 5, and 6 weeks after immunization. The vertical  
477 bar represents the mean  $\pm$ SD (n=3) and different letters (a, b, and c) above the bars represent the  
478 statistical significance ( $p < 0.05$ ) among different groups at the same time point.

479 **Figure 7. Dynamic changes in the ratio of T and B lymphocyte subpopulations in the head**

480 **kidney.** Flow cytometry results of CD4-1<sup>+</sup>, CD4-2<sup>+</sup> T lymphocytes, and IgM<sup>+</sup> B lymphocytes in  
481 the 5 weeks of the head kidney after immunization. As well as, the proportion of CD4-1<sup>+</sup>, CD4-2<sup>+</sup>  
482 T lymphocytes, and IgM<sup>+</sup> B lymphocytes in the head kidney at 0, 1, 2, 3, 4, 5, and 6 weeks after  
483 immunization. The vertical bar represents the mean  $\pm$ SD (n=3) and different letters (a, b, and c)  
484 above the bars represent the statistical significance ( $p < 0.05$ ).

485 **Figure 8. Immune protection rate of each group post-challenge with live *E. tarda*.** The mortality  
486 of each injection group of flounder within two weeks after the challenge was counted and the  
487 immune protection rate of each injection group was calculated. RPS represents the relative  
488 protection rate. The bar represents the mean  $\pm$ SD (n=3). \*\*\* indicates levels of significance of  $p < 0.001$ .

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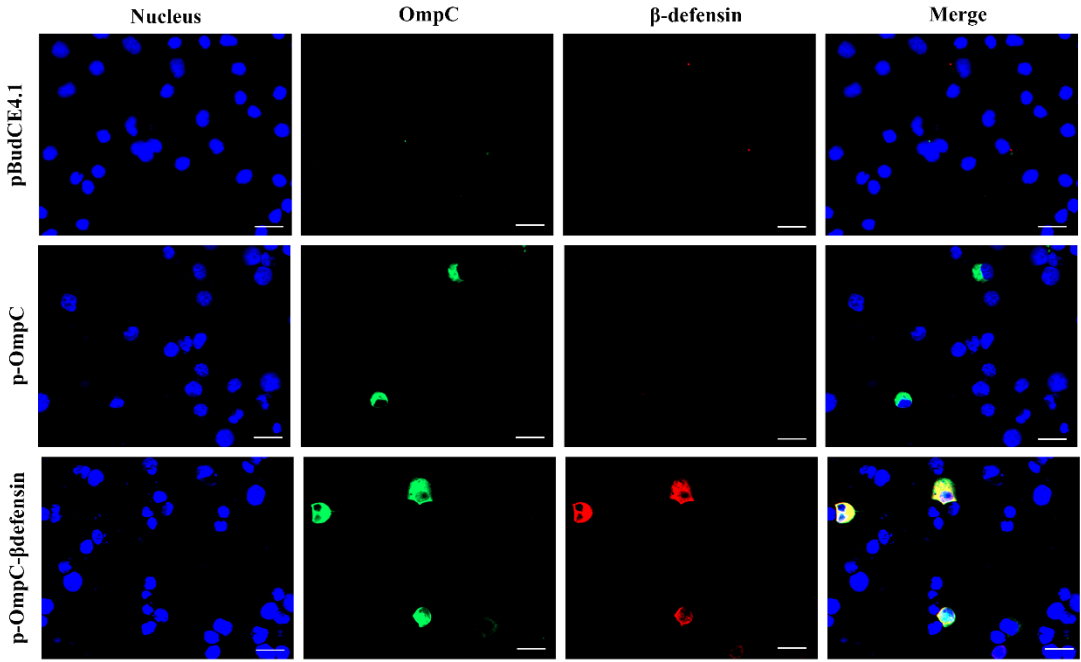
492 **Table 1**

Primer name	Primer sequence	use
OmpC-F	CGAGCTCATGATGAATAAAATCC(XhoI)	Plasmid construction
OmpC-R	CCGCTCGAGTTAGAACTTATAGTTCA(Xho I)	Plasmid construction
fBD-F	CGGGATCCATGTCTCGTTATCGTGTGGCT(BamH I)	Plasmid construction
fBD-R	CCCTCGAGTTATGGTTTGGTTACGCAACATA(BamH I)	Plasmid construction
18S-F	GGTCTGTGATGCCCTTAGATGTC	qRT-PCR
18S-R	AGTGGGGTTCAGCGGGTTAC	qRT-PCR
IFN- $\gamma$ -F	TGTCAGGTCAGAGGATCACACAT	qRT-PCR
IFN- $\gamma$ -R	GCAGGAGGTTCTGGATGGATGGTTT	qRT-PCR
GATA-3-F	CAGGAGGACAAAGAGTGCATAAAGT	qRT-PCR
GATA-3R	GAAGATGACCCACCTATCAGGCTAC	qRT-PCR
CD83-F	CCCAACGGCACGACGCTACAC	qRT-PCR
CD83-R	CCCAAAGGTGCTGCCAGGTGA	qRT-PCR
MHCI-F	AGACCACAGGCTGTTATCACCA	qRT-PCR
MHCI-R	TCTTCCCATGCTCCACGAA	qRT-PCR
MHCII-F	ACAGGGACGGAACCTTATCAACG	qRT-PCR
MHCII-R	TCATCGGACTGGAGGGAGG	qRT-PCR
IL6-F	CTCCGCAATGGGAAGGTG	qRT-PCR
PIL6-R	AGTGGATGGGTGGAATAA	qRT-PCR
TNF $\alpha$ -F	GTCCTGGCGTTTTCTTGTA	qRT-PCR
TNF $\alpha$ -R	CTTGGCTCTGCTGCTGATTT	qRT-PCR
CD4-1-F	CCAGTGGTCCCCACCTAAAA	qRT-PCR
CD4-1-R	CACTTCTGGGACGGTGAGATG	qRT-PCR

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495 Figure 1

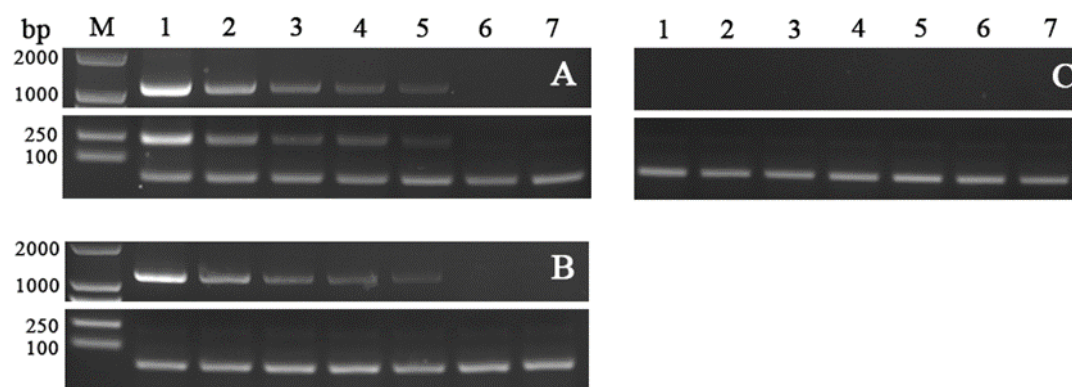


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499 Figure 2

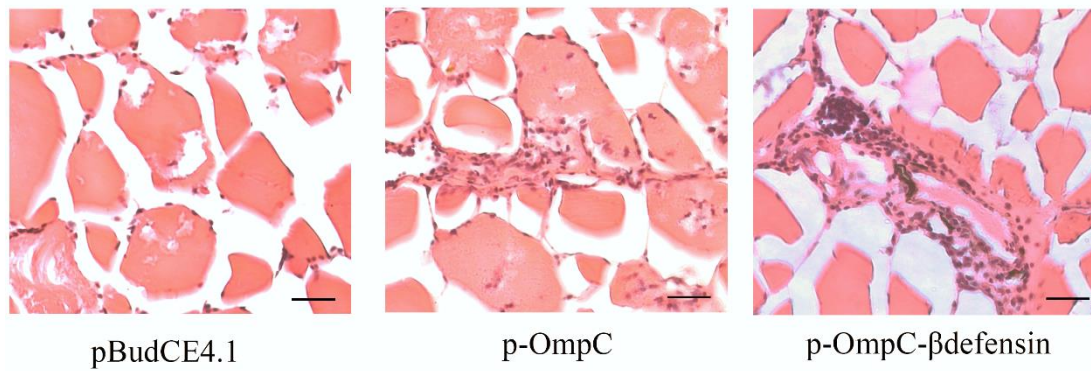


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503 Figure 3



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505 Figure 4

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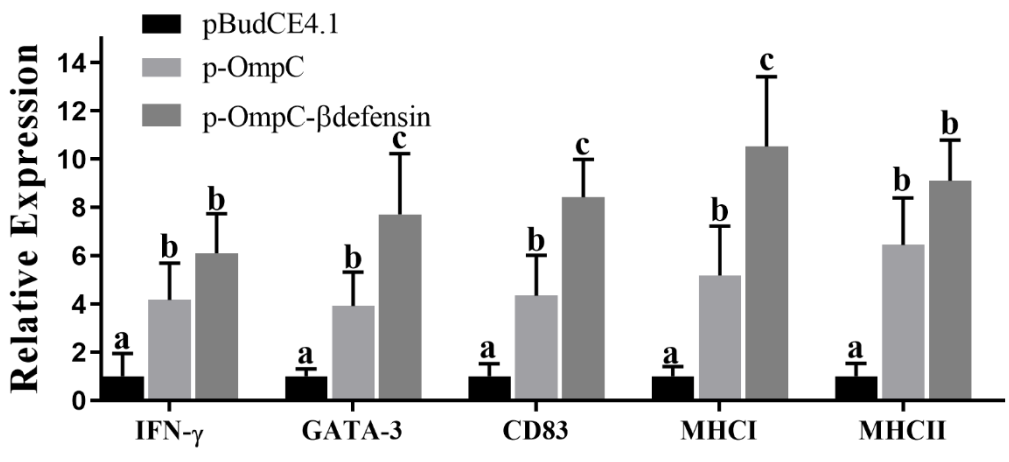
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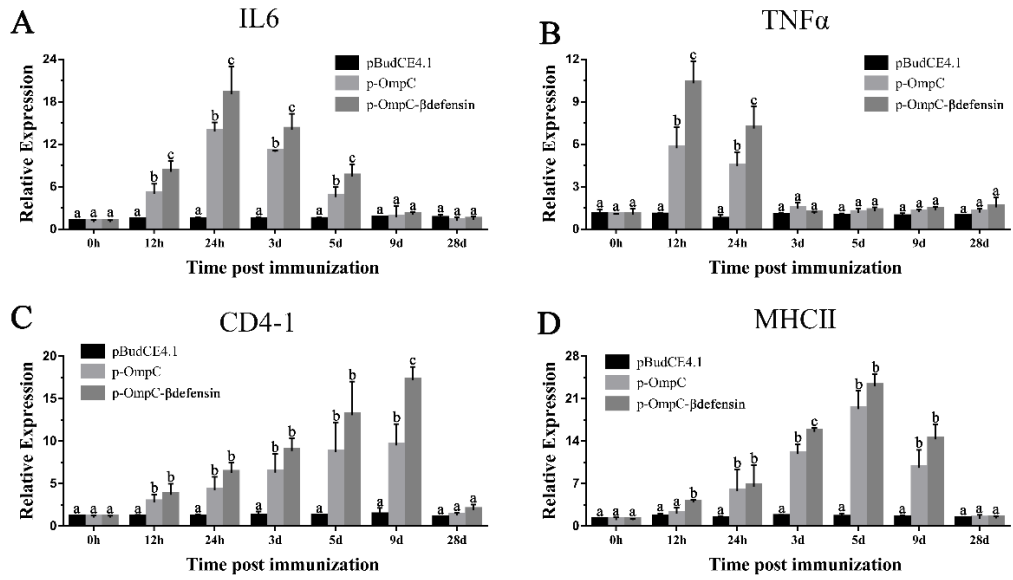
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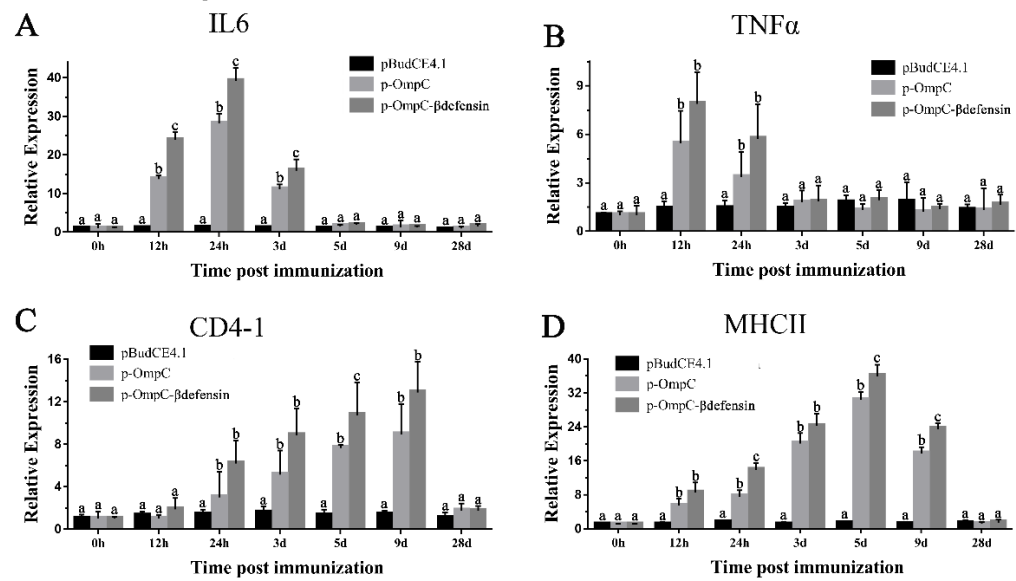
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### Spleen



### Head kidney



517 Figure 6

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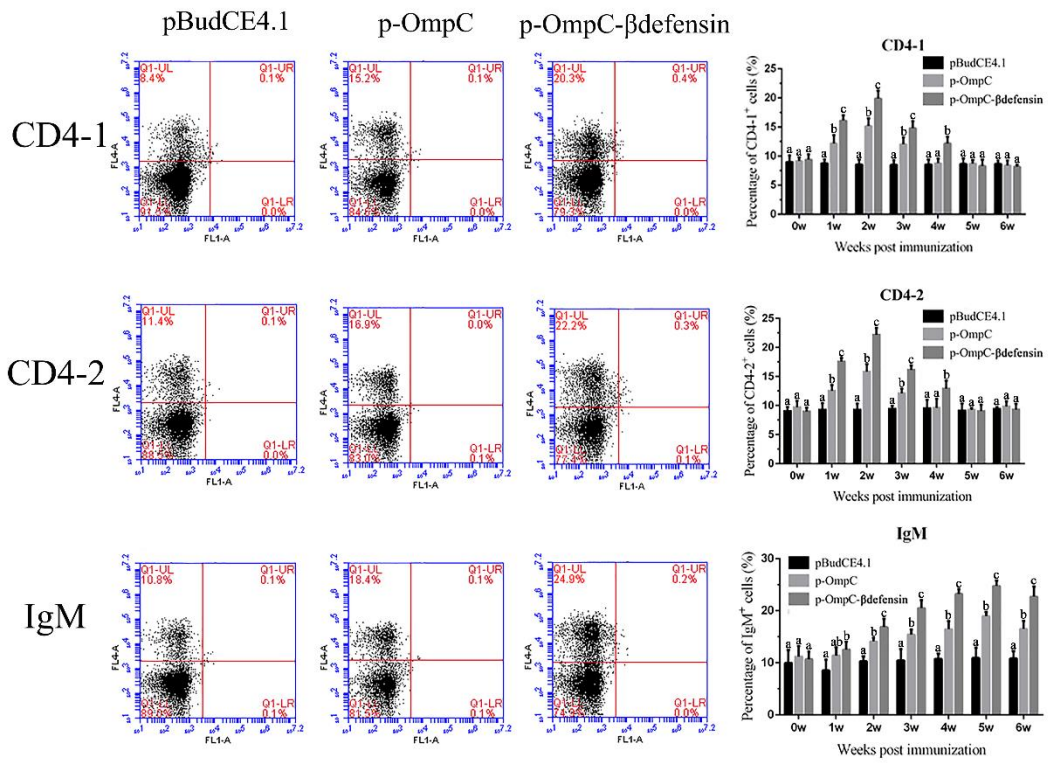
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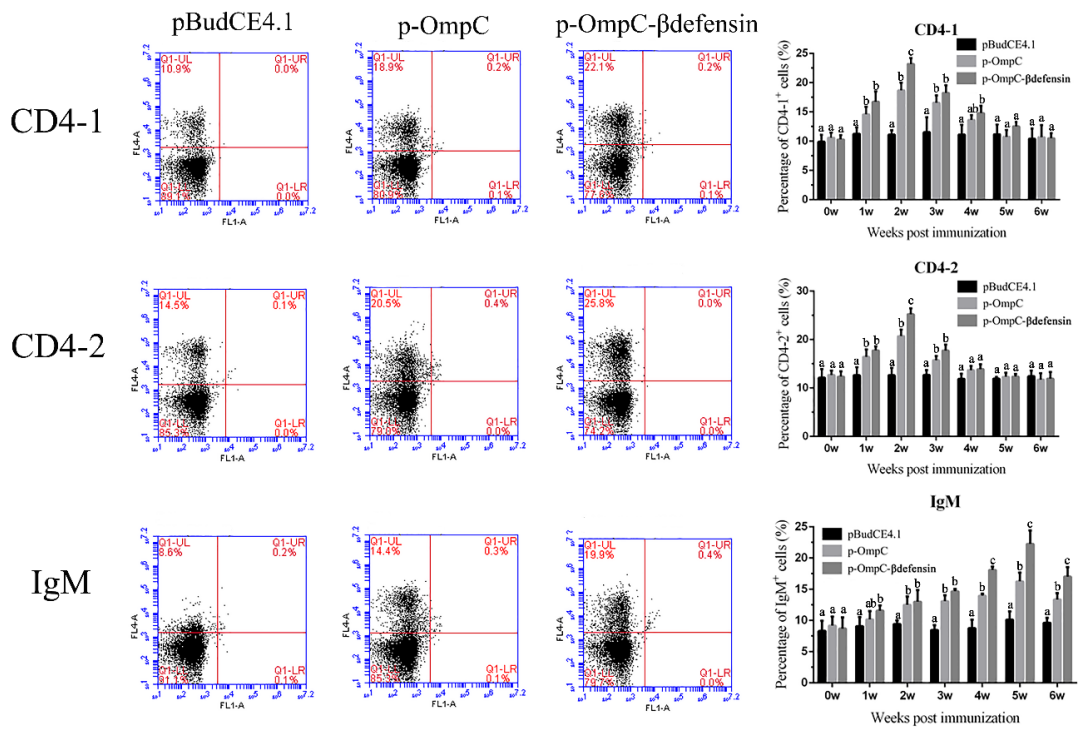
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533 Figure 7



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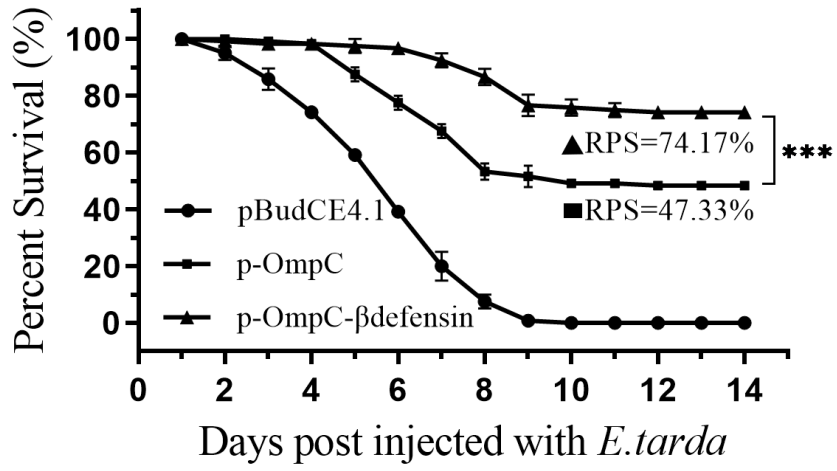
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537 Figure 8

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540 **Highlights:**

541 1.  $\beta$ -defensin is an attractive candidate as a DNA vaccine adjuvant.

542 2.  $\beta$ -defensin enhanced local immunity at the DNA vaccine injected site.

543 3.  $\beta$ -defensin assisted the DNA vaccine to stimulate more intense adaptive immunity.

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549 Figure S1

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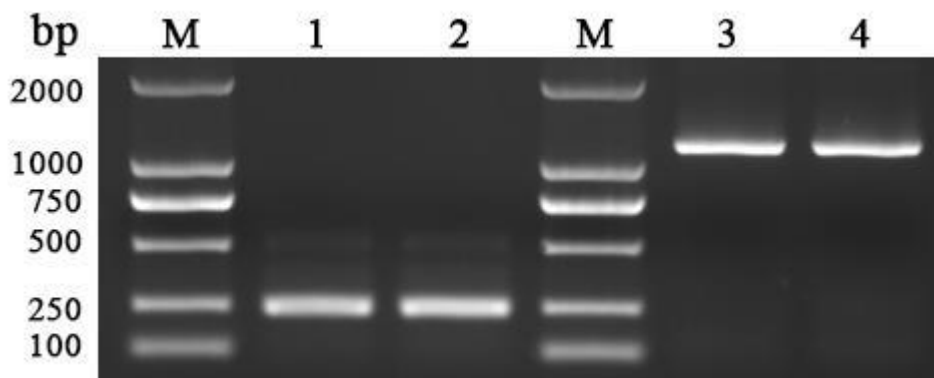
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556 **Figure S1. Agarose electrophoresis analysis of PCR products of  $\beta$ -defensin in flounder and**

557 **OmpC of *Edwardsiella tarda*.** Lane M, DNA marker; lane 1 and 2, PCR products of  $\beta$ -defensin;

558 lane 3 and 4, PCR products of OmpC.

559