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Corresponding Author: Prof. Purusotam Basnet, Ph.D.

Corresponding Author's Institution: University Hospital of North Norway

First Author: May Wenche Jøraholmen, Master

Order of Authors: May Wenche Jøraholmen, Master; Natasa Skalko-Basnet, PhD; Ganesh Acharya, MD, PhD; Purusotam Basnet, Ph.D.

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Abstract: Resveratrol (RES), chemically known as 3,5,4'-trihydroxy-trans-stilbene, is a promising multi-targeted anti-oxidative and anti-inflammatory natural polyphenol. Preclinical studies showed its biological activities against the pathogens of sexually transmitted diseases causing vaginal inflammation and infections. Due to its low solubility and poor bioavailability, the optimal therapeutic uses are limited. Therefore, a clinically acceptable topical vaginal formulation of RES exhibiting optimal therapeutic effects is highly desirable. For this purpose, we prepared and optimized chitosan-coated liposomes with RES. The coated vesicles (mean diameter 200 nm) entrapped up to 77% of RES, a sufficient load to assure required therapeutic outcome. In vitro drug release study showed the ability of liposomes to provide sustained release of RES. In vitro anti-oxidative activities of RES, namely DPPH and ABTS^{•+} radicals scavenging assays, confirmed RES to be as potent as standard anti-oxidants, vitamins C and E. The anti-oxidative activities of RES and its corresponding liposomal formulation were also compared by measuring enhanced superoxide dismutase (SOD) activities in lipopolysaccharide (LPS)-induced J774A.1 cells. In vitro anti-inflammatory activities were compared by measuring nitric oxide (NO), tumor necrosis factor (TNF)- α and interleukin (IL)-1 β production in LPS-induced J774A.1 cells. Liposomal RES was found to exhibit stronger anti-oxidative and anti-inflammatory activities than RES solution.

1 **Letter to Editor:**

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August 28, 2015

7 Ref.: Ms. No. **EJPS-D-15-00611** (Resveratrol-loaded liposomes for topical treatment of the
8 vaginal inflammation and infections)

9

10 Prof. Jelena Filipovic-Grcic, Ph. D.
11 Section Editor
12 *European Journal of Pharmaceutical Sciences*

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14
15

16 Dear Editor,

17 Please find enclosed the revised version of our manuscript "*Resveratrol-loaded liposomes for*
18 *topical treatment of the vaginal inflammation and infections*". We highly appreciate valuable
19 comments provided by the Reviewers which helped us to improve our manuscript. We
20 corresponded to all comments and revised the manuscript accordingly. The changes in the
21 revised version of the manuscript are highlighted. In addition, a subheading, "Chemical
22 compounds studied in this article" was added according to your suggestion (page 2, Lines 42-
23 46).

24

25 We hope that our response will fulfill the Reviewers' concern (*see the separate page*) and our
26 manuscript will be publishable in the *European Journal of Pharmaceutical Sciences*,

27

28 With our best regards

29



30
31

32 Purusotam Basnet, Ph. D.,
33 Head of IVF Laboratory,
34 Department of Obstetrics and Gynecology,
35 University Hospital of North Norway,
36 and
37 Professor of Reproductive Biology,
38 Department of Clinical Medicine,
39 University of Tromsø,
40 Tromsø, Norway

1 **Resveratrol-loaded liposomes for topical treatment of the vaginal**
2 **inflammation and infections**

3
4 **May Wenche Jøraholmen^a, Nataša Škalko-Basnet^a, Ganesh Acharya^{b,c}, Purusotam**
5 **Basnet^{b,c,*}**

6 ^aDrug Transport and Delivery Research Group, Department of Pharmacy, Faculty of Health
7 Sciences, University of Tromsø-The Arctic University of Norway, Tromsø, Norway, Emails:
8 may.w.joraholmen@uit.no; natasa.skalko-basnet@uit.no

9 ^bDepartment of Obstetrics and Gynecology, University Hospital of North Norway, Tromsø,
10 Norway.

11 ^cWomen's Health and Perinatology Research Group, Department of Clinical Medicine,
12 University of Tromsø-The Arctic University of Norway, Tromsø, Norway, Emails:
13 ganesh.acharya@uit.no; purusotam.basnet@uit.no

14 *Correspondence: Purusotam Basnet, IVF Unit, Department of Obstetrics and Gynecology,
15 University Hospital of North Norway, Sykehusveien 38, 9019 Tromsø, Norway
16 E-mail: purusotam.basnet@uit.no

17 **Abstract**

18 Resveratrol (RES), **chemically known as 3,5,4'-trihydroxy-trans-stilbene**, is a
19 promising multi-targeted anti-oxidative and anti-inflammatory natural polyphenol. Preclinical
20 studies showed its biological activities against the pathogens of sexually transmitted diseases
21 causing vaginal inflammation and infections. Due to its low solubility and poor
22 bioavailability, the optimal therapeutic uses are limited. Therefore, a clinically acceptable
23 topical vaginal formulation of RES exhibiting optimal therapeutic effects is highly desirable.
24 For this purpose, we prepared and optimized chitosan-coated liposomes with RES. The coated
25 vesicles (mean diameter 200 nm) entrapped up to 77% of RES, a sufficient load to assure
26 required therapeutic outcome. *In vitro* drug release study showed the ability of liposomes to
27 provide sustained release of RES. *In vitro* anti-oxidative activities of RES, namely DPPH and
28 ABTS^{•+} radicals scavenging assays, confirmed RES to be as potent as standard anti-oxidants,
29 vitamins C and E. The anti-oxidative activities of RES and its corresponding liposomal
30 formulation were also compared by measuring enhanced superoxide dismutase (SOD)
31 activities in lipopolysaccharide (LPS)-induced J774A.1 cells. *In vitro* anti-inflammatory
32 activities were compared by measuring nitric oxide (NO), tumor necrosis factor (TNF)- α and
33 interleukin (IL)-1 β production in LPS-induced J774A.1 cells. Liposomal RES was found to
34 exhibit stronger anti-oxidative and anti-inflammatory activities than RES solution.

1 **Response to the Reviewers:**

2

3 **Reviewer #2:**

4 **Please add the conditions at which the zeta potential measurements were performed**
5 **and check for the proper set up regarding Malvern Zetasizer Nano Z (?Malvern,**
6 **Oxford, UK).**

7

8 Corrections were made accordingly as mentioned on page 8, lines 210-217.

9

10 **Please discuss the possibility for using other polymers instead of chitosan.**

11

12 Some discussion was added on page 18, lines 551-555

13

14 **Spelling error - page 7, section 2.7., should be "Characterization..." instead of**
15 **"characterization..."**

16

17 It was corrected on page 8, line 201.

18

19

20 **Reviewer #3:**

21

22 **I will suggest to include the chemical name of Resveratrol for the pharmaceutical (not**
23 **phytomedical) audience and to stress the purity of the used compound. This is a**
24 **typical drawback of natural active compounds. For this reason I found quite odd the**
25 **choice of Fig.9 as chemical structure of RES and VIT C, E. I would present it as Fig. 1.**

26

27 The chemical name of resveratrol is added in the manuscript showing on page 2, lines 24, 43
28 and 48, line 43, page 4, lines 64-65; page 5, lines 115-116.

29

30 Figure 9 is changed to Figure 1 (page 12, lines 328-333). Other figure numbers were changed
31 accordingly and changes were highlighted in yellow color in the manuscript.

32

33 **In my opinion, the subdivision in paragraphs is not respecting Journal format (e.g. 2.7)**

34

35 Changes were made according to the journal format (lines: 150, 164, 202, 209, 219, 228,)

36

37 **I would suggest to explain the choice of the 2 marker proinflammatory cytokines, that**
38 **is not clear in my opinion.**

39

40 The reason for selecting pro-inflammatory cytokines IL-1 β and TNF- α and NO is explained
41 on page 16, lines 487-494.

42

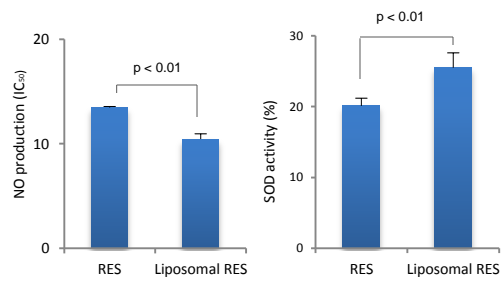
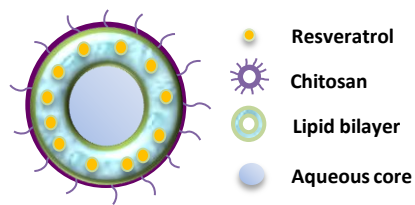
43 **I would suggest to reduce a little bit the discussion session, especially in the first**
44 **pages, somehow repeating the introduction.**

45

46 A paragraph in the original version of manuscript was deleted as recommended (pages 17,
47 lines 495-506).

48

49 In order to fulfill the Reviewers' comments, we inserted two new references (page 26, line
50 796 and page 29, lines 891-893) and one original reference was deleted (page 24, lines 743-
51 748).



Decreased NO production and increased SOD activity of Liposomal RES comparing to RES. Results are expressed as mean \pm SD (n = 4 or 3). Controls for RES and liposomal RES were 0.2% DMSO and empty liposomes, respectively.

1 **Resveratrol-loaded liposomes for topical treatment of the vaginal**
2 **inflammation and infections**

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4 **May Wenche Jøraholmen^a, Nataša Škalko-Basnet^a, Ganesh Acharya^{b,c}, Purusotam**
5 **Basnet^{b,c,*}**

6
7 ^aDrug Transport and Delivery Research Group, Department of Pharmacy, Faculty of Health
8 Sciences, University of Tromsø-The Arctic University of Norway, Tromsø, Norway, Emails:
9 may.w.joraholmen@uit.no; natasa.skalko-basnet@uit.no

10

11 ^bDepartment of Obstetrics and Gynecology, University Hospital of North Norway, Tromsø,
12 Norway.

13

14 ^cWomen's Health and Perinatology Research Group, Department of Clinical Medicine,
15 University of Tromsø-The Arctic University of Norway, Tromsø, Norway, Emails:
16 ganesh.acharya@uit.no; purusotam.basnet@uit.no

17

18 *Correspondence: Purusotam Basnet, IVF Unit, Department of Obstetrics and Gynecology,
19 University Hospital of North Norway, Sykehusveien 38, 9019 Tromsø, Norway

20 E-mail: purusotam.basnet@uit.no

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22

23 Abstract

24 Resveratrol (RES), chemically known as 3,5,4'-trihydroxy-*trans*-stilbene, is a
25 promising multi-targeted anti-oxidative and anti-inflammatory natural polyphenol. Preclinical
26 studies showed its biological activities against the pathogens of sexually transmitted diseases
27 causing vaginal inflammation and infections. Due to its low solubility and poor
28 bioavailability, the optimal therapeutic uses are limited. Therefore, a clinically acceptable
29 topical vaginal formulation of RES exhibiting optimal therapeutic effects is highly desirable.
30 For this purpose, we prepared and optimized chitosan-coated liposomes with RES. The coated
31 vesicles (mean diameter 200 nm) entrapped up to 77% of RES, a sufficient load to assure
32 required therapeutic outcome. *In vitro* drug release study showed the ability of liposomes to
33 provide sustained release of RES. *In vitro* anti-oxidative activities of RES, namely DPPH and
34 ABTS⁺ radicals scavenging assays, confirmed RES to be as potent as standard anti-oxidants,
35 vitamins C and E. The anti-oxidative activities of RES and its corresponding liposomal
36 formulation were also compared by measuring enhanced superoxide dismutase (SOD)
37 activities in lipopolysaccharide (LPS)-induced J774A.1 cells. *In vitro* anti-inflammatory
38 activities were compared by measuring nitric oxide (NO), tumor necrosis factor (TNF)- α and
39 interleukin (IL)-1 β production in LPS-induced J774A.1 cells. Liposomal RES was found to
40 exhibit stronger anti-oxidative and anti-inflammatory activities than RES solution.

41

42 Chemical compound studied in the article:

43 Resveratrol (3,5,4'-trihydroxy-*trans*-stilbene), Vitamin C (ascorbic acid), Vitamin E (α -
44 tocopherol), 2,2'-azino-bis(3-ethyl benzothiazoline)-6-sulfonic acid diammonium salt
45 (ABTS), 1,1-diphenyl-2-picrylhydrazyl (DPPH), Phosphatidylcholine, Chitosan, L-nitro-
46 arginine methyl ester (NAME)

47

48 **Keywords:** Resveratrol; 3,5,4'-trihydroxy-*trans*-stilbene; Liposomes; Anti-inflammatory;
49 Anti-oxidant; Mucoadhesive; Vaginal infections.

50

51 Abbreviation:

52 ABTS, 2,2'-azino-bis(3-ethyl benzothiazoline)-6-sulfonic acid diammonium salt; CAT,
53 catalase; DPPH, 1,1-diphenyl-2-picrylhydrazyl; DMSO, dimethyl sulfoxide; DMEM,
54 Dulbecco's modified eagle medium; GPX, glutathione peroxidase; HIV-1, human
55 immunodeficiency virus-1; HPV, human papilloma virus; HSV-2, human simplex virus type
56 2; IL-1 β . interleukin-1 β ; *i*NOS, inducible nitric oxide synthase; LPS, lipopolysaccharide; L-

57 NAME, L-nitro-arginine methyl ester; MDZ, metronidazole; NO, nitric oxide; PBS,
58 phosphate buffer solution; PM, pig mucin; PC, phosphatidylcholine; PI, polydispersity index;
59 ROS, reactive oxygen species; RES, resveratrol; STD, sexually transmitted diseases; SOD,
60 superoxide dismutase; TH1, T-helper cell type 1; TNF- α , tumor necrosis factor- α ;
61

62 **1. Introduction**

63 Resveratrol (RES), a common natural compound produced by several plants in
64 response to pathogenic infection (Houille et al., 2014), is identified chemically as 3,5,4'-
65 trihydroxy-trans-stilbene. RES and its derivatives were reported to exhibit fungicidal and
66 anti-microbial activities (Chan, 2002; Baur and Sinclair, 2006; Adrian and Jeandet, 2012;
67 Houille et al., 2014). It also showed anti-viral effect (Docherty et al., 2005). In addition to
68 this, diverse pharmacological activities such as anti-oxidative, anti-inflammatory, neuro-
69 protective, anti-aging, anti-cancer and cardio-protective effects of RES have been reported
70 which can carry potential therapeutic application to humans (Bhat et al., 2001; Smoliga et al.,
71 2011; Vang et al., 2011; Lu et al., 2013). Due to the multi-targeted microbicide activities and
72 significantly low toxic effects, RES might be the potential candidate in safe topical treatment
73 of vaginal inflammation and infection especially in pregnant women. The vaginal
74 environment and structure are highly vulnerable towards the pathogens such as various
75 bacteria, fungi, viruses or protozoa (*Trichomonas*) which cause vaginal inflammation and
76 infection and are often transmitted easily during sexual intercourse. Contamination and rapid
77 growth of these pathogens lead not only to inflammation and infection but also facilitate
78 human immunodeficiency virus (HIV-1), human simplex virus type 2 (HSV-2) and human
79 papilloma virus (HPV) infections (Nikolic and Piguet, 2010). Therefore the understanding on
80 the cross-links between various diseases and microbicides together with the link between
81 HPV and cervical cancer was recognized by the award of the 2008 Physiology and Medicine
82 Nobel Prize (Abbott and Brumfiel, 2008). Particularly, it is interesting that RES uses different
83 mechanisms to induce cell death in cervical cancer cell lines (Garcia-Zepeda et al., 2013). In
84 addition, pregnant women are vulnerable to vaginal infection because of the reduced T-helper
85 cell type 1 (TH1) activities due to the development of protective mode towards the growing
86 fetus. If they are not treated in time, the pregnancy might result in impaired fetal growth and
87 development or even termination. Although anti-microbial agents are commonly used in the
88 treatment of pathogenic vaginal infection, contemporary normal course of anti-microbial
89 therapy cannot be applied during pregnancy. Moreover, the problem of anti-microbial
90 resistance such as the one linked to metronidazole (MDZ) and other 5-nitroimidazoles
91 (tinidazole, ornidazole, and secnidazole) used against trichomoniasis needs to be taken into
92 consideration (van de Wijgert and Shattock, 2007).

93

94 Regarding the pathogen resistance and serious side effects linked to current anti-
95 microbial options in vaginal therapy, especially in pregnant patients, a multi-targeted, less
96 toxic and potential candidate, such as RES could be an ideal molecule. However, due to its
97 low solubility and poor bioavailability, the possible clinical uses against vaginal inflammation
98 and infection remain limited. Clinically applicable and safe formulation of RES assuring its
99 optimal therapeutic value in the treatment of vaginal inflammation and infection in pregnancy
100 is needed. By applying the chitosan-coated liposomal carrier for RES, we aimed to utilize the
101 ability of chitosan not only as microbicide target but also to disrupt bacterial biofilms, which
102 is of great importance in the treatment of vaginal bacterial inflammation and infections
103 (Kandimalla et al., 2013). This paper describes the nanomedicine-based topical formulation of
104 liposomal RES targeted to vaginal inflammation and infection. *In vitro* anti-oxidative and
105 anti-inflammatory effects of free RES were compared with that of the corresponding
106 liposomal formulation.

107
108

109 **2. Materials and Methods**

110 *2.1. Materials*

111 Lipoid S 100 (PC, >94% phosphatidylcholine) was a gift from Lipoid GmbH,
112 Ludwigshafen, Germany. Vitamin C (ascorbic acid), chitosan [low MW, Brookfield viscosity
113 20.000 cps, degree of deacetylation (DD) of 92], 1,1-diphenyl-2-picrylhydrazyl (DPPH),
114 mucin from porcine stomach (type III, bound sialic acid 0.5%-1.5%, partially purified),
115 phosphorus standard solution (0.65 mM), sodium chloride, resveratrol (RES: 3,5,4'-
116 trihydroxy-*trans*-stilbene, purity \geq 99%), vitamin E, 2,2'-azino-bis(3-ethyl benzothiazoline)-
117 6-sulfonic acid diammonium salt (ABTS) and potassium peroxodisulphate were the products
118 of Sigma-Aldrich, Chemie GmbH, Steinheim, Germany. Acetic acid (glacial), anhydrous
119 potassium phosphate, and sodium hydrogen phosphate were purchased from Merck KGaA,
120 Darmstadt, Germany. Ammonium acetate was obtained from BHD Prolab, Leuven, Belgium.
121 Cibacron brilliant red 3B-A was purchased from Santa Cruz Biotech, Dallas, TX, USA.
122 Glycine hydrochloride Plusone® was obtained from Pharmacia Biotec, Uppsala, Sweden.
123 Dulbecco's modified eagle medium (DMEM), trypsin-ethylenediaminetetraacetic acid,
124 lipopolysaccharide (LPS; *Escherichia coli*, 055:B5), L-nitro-arginine methyl ester (L-NAME),
125 sulfanilamide, naphthylethylenediamine dihydrochloride, and phosphoric acid were purchased
126 from Sigma Life Science (Sigma-Aldrich Norway AS, Oslo). Assay kit for SOD activity
127 measurement was from Abnova GmbH EMBLEM, Heidelberg, Germany, and TNF- α , and

128 IL-1 β measured spectrophotometrically with the assay kits were from Cell Biolabs, Inc., San
129 Diego, CA, USA. All chemicals and solvents used were of analytical grade.

130

131 2.2. Cell culture

132 Murine macrophage, J774A.1 (ATCC® TIB67™) cells were purchased from ATCC
133 and used in the *in vitro* anti-oxidative and anti-inflammatory studies. Cells (1×10^5 cells/ml)
134 were cultured in 24-well plates with DMEM medium containing glutamine and 10% calf
135 serum by incubating at 37 °C in 5% CO₂ for 24 h to stabilize and adhere on the plate. After 24
136 h the cell medium was replaced with the LPS (1 μ g/ml) and/or test samples
137 (RES/corresponding liposomal formulations) containing medium. The effects of the test
138 samples on the LPS-induced pro-inflammatory cytokines (TNF- α , IL-1 β) and NO expressed
139 in the medium and SOD activity were measured after 24 h according to the instruction
140 provided in the commercial kits.

141

142 2.3. Preparation of stock solutions and application to cells

143 Stock solutions (10 mg/mL) of RES and vitamin E were prepared by dissolving with
144 dimethyl sulfoxide (DMSO). They were diluted with DMEM medium to the desired
145 concentration before the treatment with the cells. In case of DMSO solution (for vitamin E
146 and RES), maximum concentrations of DMSO were not more than 0.2% (v/v). All other
147 samples were prepared and with the medium and applied directly into the cells.

148

149 2.4. Anti-oxidative assays

150 2.4.1. Measurement of DPPH radical scavenging activity

151 Effect of RES on DPPH free radical was determined by the similar method as reported
152 previously (Basnet et al., 2012). In brief, DPPH solution (60 μ M, 0.3 ml) in ethanol was
153 mixed with an equal volume of each sample solution (1, 5, 10 or 20 μ g/ml as the final
154 concentrations). The reaction mixture was thoroughly mixed and kept in the dark for 30 min
155 at room temperature. The anti-oxidative activity of RES was expressed by measuring the
156 decreased absorbance intensity at 519 nm with UV spectrophotometer (Agilent Technologies,
157 Santa Clara, CA, USA) using following formula: Radical scavenging activity (%) = 100 x (A-
158 B)/A, where A is the control (absorbance of DPPH radicals without sample) and B is the
159 absorbance of radicals after reacting with the sample. The anti-oxidative activity of RES was
160 also compared to that of vitamin C and vitamin E under the same experimental conditions.
161 The results are expressed as mean \pm S.D. of three experiments.

162

163

164 2.4.2. Measurement of ABTS^{•+} radical scavenging activity

165 ABTS^{•+} radicals were generated by mixing equal volumes (3 ml) from each of the
166 stock solutions of ABTS (7.4 μM) and potassium peroxodisulphate (2.6 μM) in distilled
167 water. The reaction mixture was allowed to stabilize for 3 h at room temperature and then
168 diluted with ethanol to 100 ml as the ABTS^{•+} radicals working solution. The green colour
169 ABTS^{•+} radicals working solution (0.3 ml) was mixed with an equal volume of sample
170 solutions at the 1, 5, 10 and 20 μg/ml concentration. After mixing, it was kept in the dark at
171 room temperature. After 30 min, optical density was measured with UV spectrophotometer at
172 731 nm. As the number of ABTS^{•+} radicals decreases, the intensity of green colour reduces.
173 Results were expressed as described for DPPH radical assay. The anti-oxidative activity of
174 RES was compared to that of the vitamin C and vitamin E under the same experimental
175 conditions.

176

177 2.5. Preparation of liposomes

178 Liposomes were prepared by the film hydration method as described earlier
179 (Jøraholmen et al., 2014). Briefly, RES (10 or 20 mg) was dissolved in methanol and mixed
180 with phosphatidylcholine (PC, 200 or 400 mg) in methanol and solvents were evaporated on
181 Büchi rotavapor R-124 (with vacuum controller B-721, Büchi Vac® V-500, Büchi
182 Labortechnik, Flawil, Switzerland) for at least 3 h at 50 mm Hg and 50 °C. The remaining
183 film was then re-suspended in distilled water (10 ml). Throughout the preparation RES
184 solution was kept protected from light. Empty liposomes were prepared by similar method.
185 Liposomal suspensions were stored in refrigerator (4-8 °C) for at least 12 h prior to further
186 use.

187

188 Liposomal size was reduced through the extrusion through polycarbonate membranes
189 with defined pore sizes (Nuclepore Track-Etch Membran, Whatman House, Maidstone, UK).
190 The extrusion was performed stepwise through the 0.8, 0.4 and 0.2 μm pore size filters and 5
191 extrusions were executed for each step.

192

193 2.6. Coating of liposomes

194 Coating of liposomes was performed in the presence of untrapped RES. In brief,
195 chitosan solutions (0.1, 0.3 and 0.6%, w/v) were prepared in 0.1% and 0.5% (v/v) glacial

196 acetic acid, respectively. The chitosan solution (2 ml) was added drop wise to an equal
197 volume of liposomal dispersion under controlled magnetic stirring at room temperature for 1
198 h, followed by the incubation in the refrigerator (4-8 °C) overnight. The rate of stirring was
199 kept constant for all preparations (Jøraholmen et al., 2014).

200

201 2.7. *Characterization of liposomes*

202 2.7.1. *Size*

203 The liposomal size distributions were determined by photon correlation spectroscopy
204 (Submicron particle sizer model 370, Nicomp, Santa Barbara, CA, USA) as reported
205 previously (Jøraholmen et al., 2014). The particle intensity was approximately 250-350 kHz
206 the analyses run in vesicle mode and intensity-weight distribution. Three parallels (with a run
207 time of 10 min for each parallel) were determined for each sample measurement.

208

209 2.7.2. *Zeta potential measurements*

210 Zeta potential measurements were performed on a Malvern Zetasizer Nano ZS
211 (Malvern, Oxford, UK) according to Jøraholmen et al (2014). To assure accuracy, the
212 instrument was calibrated throughout the measurements using the Malvern Zeta Potential
213 Transfer Standard (-50 ± 5 mV). Measurement cell (DTS1060) was cleaned with ethanol and
214 filtrated water (0.2 μ m), respectively, prior to loading of sample. The liposomal suspensions
215 were diluted with filtrated water to appropriate concentrations (typically 1:20) before the
216 measurements, to achieve the proper count rate. All measurements were performed at 25 °C
217 and three parallels were measured.

218

219 2.7.3. *Entrapment efficiency determination*

220 RES liposomes (2 ml) were dialyzed (Mw cutoff: 12–14,000 Da, Medicell
221 International Ltd., London, UK) against distilled water (500 ml) for 4 h at room temperature.
222 The volume of medium was adjusted to assure the solubility of RES. Aliquots of sample and
223 medium were diluted in methanol, and the amount of liposome-associated RES was
224 determined by UV spectrophotometer (Agilent Technologies, Santa Clara, CA, USA) at 306
225 nm. The correlation coefficient for standard curve was 0.9958 and the minimum detectable
226 amount for RES was 1 μ g/ml.

227

228 2.7.4. *Phospholipid assay*

229 The content of PC was measured using the modified Bartlett method as reported
230 previously (Andersen et al., 2015). Phospholipid content was measured by UV
231 spectrophotometer (Agilent Technologies, Santa Clara, CA, USA) at 830 nm. The
232 phosphorous standard solution was used to prepare standard curve in concentration range of
233 1-10 µg/ml.

234

235 *2.8. Chitosan determination*

236 The surface-available chitosan was determined by a modified colorimetric method
237 (Andersen et al., 2015). In brief, glycine buffer (pH 3.2) was prepared by dissolving glycine
238 (1.87 g) and NaCl (1.46 g) in 250 ml of distilled water; an aliquot of 81 ml was further diluted
239 with 0.1 M HCl to a final volume of 100 ml. Cibacron Brilliant Red 3B-A (150 mg) was
240 dissolved in 100 ml of distilled water. The dye solution (5 ml) was further diluted to 100 ml
241 with the glycine buffer. Vesicle suspensions were diluted with distilled water to desirable
242 concentration (1:6, v/v) before 3 mL of the final dye solution was added. UV-Vis absorbance
243 was measured at 575 nm with a spectrophotometer (Agilent Technologies, Santa Clara, CA,
244 USA). The percentage of surface-available chitosan was calculated using the following
245 equation:

$$\text{Percentage of surface available chitosan} = \frac{C_s}{C_c} \times 100$$

246 Where, C_s is the concentration of chitosan in the sample and C_c is the concentration of
247 chitosan used to prepare the liposomal formulations.

248

249 A chitosan standard solution (0.05 % w/v) was prepared in 0.05 % (v/v) glacial acetic
250 acid. The standard solution was diluted in glycine buffer to desired concentrations. The
251 correlation coefficient for the standard curve was 0.9997 and the minimum detectable amount
252 of chitosan was 2.27 µg/ml.

253

254 *2.9. In vitro mucoadhesive properties*

255 The mucoadhesive properties were determined by measuring liposomes binding to the
256 pig mucin (PM) as described earlier (Jøraholmen et al., 2014). Briefly, non-coated and
257 chitosan-coated liposomes (1 ml) in original vesicle size were mixed with equal volume of
258 PM suspension (400 µg/ml) in 0.05 M phosphate buffer saline and incubated at room
259 temperature for 2 h, followed by ultracentrifugation (216000 x g) for 1 h at 10 °C (Optima
260 LE-80; Beckman Instruments, Palo Alto, CA, USA). Aliquots of 200 µl (4 from each sample)

261 of the supernatants were transferred to a microtitre plate (Costar® UV 96-well plate with UV
262 transparent flat bottom, Acrylic, Costar®, Corning, NY, USA) and free PM was measured
263 spectrophotometrically at 251 nm (Microtitre plate reader; Spectra Max 190 Microplate,
264 Spectrophotometer Molecular devices, Sunnyvale, CA, USA). The PM binding efficiency was
265 calculated according to Naderkhani *et al.* (2014).

266

267 *2.10. In vitro RES release*

268 The *in vitro* RES release experiment was performed by the method described earlier
269 (Jøraholmen *et al.*, 2014). The Franz cell manual diffusion system (Perme Gear Ink, Diffusion
270 Cells and Systems, Hellertown, PA, USA) and the heating circulator (Julabo Laboratechnik,
271 F12-ED, Seelback, Germany) was set to 37 °C. The acceptor chamber (12 ml) was completely
272 filled with acetate buffer (pH 4.6) containing CH₃COONH₄ (77.1 g) and glacial acetic acid
273 (70 ml) and distilled water up to 1000 ml. Cellophane membrane, cut to appropriate size, was
274 pre-soaked in the same buffer prior to fixation. Liposomal samples and controls (RES in
275 propylene glycol) (600 µl) were added to the donor chambers and the system was properly
276 sealed. The RES content in all tested samples was determined spectrophotometrically prior to
277 the experiment to assure the same concentration gradient in the samples and controls, and to
278 assure sink conditions. Samples (500 µl) were withdrawn from acceptor chamber after 1, 2, 3,
279 4, 6 and 8 h. The collected samples were replaced by an equal volume of buffer in the
280 acceptor chamber. All collected samples, the remaining suspension on and retained in the
281 cellophane membrane were dissolved in methanol and measured spectrophotometrically
282 (Agilent Technologies, Santa Clara, CA, USA) at 306 nm.

283

284 *2.11. Measurement of SOD activity*

285 The effects of RES and liposomal RES on SOD activity were measured in LPS-
286 induced J774A.1 cells by the similar method as reported previously (Basnet *et al.*, 2012).
287 Cells were cultured by the method as described above. The controls for RES and liposomal
288 formulations were medium containing 0.2% DMSO and empty liposomes, respectively. In
289 both controls, SOD activity induced with LPS (1 µg/ml) after 24 h, were expressed as basal
290 level. The SOD activities (%) exhibited by the LPS-induced macrophages in the presence of
291 test samples (RES, and liposomal RES) at 10 µg/ml were assayed according to the protocol
292 for colorimetric assay kit. The measurements were performed in triplicates.

293

294 *2.12. Measurement of NO production*

295 The effects of RES and liposomal RES on production of NO in the LPS-induced
296 J774A.1 cells were measured as reported previously (Basnet et al., 2012). The cells were
297 treated with LPS (1 µg/ml) and/or samples at various concentrations. After 24 h, the effects of
298 the samples (RES, and liposomal RES) on the production of NO released in the medium were
299 measured in terms of nitrite formation by Griess reagent (1% sulfanilamide, 0.1%
300 naphthylethylenediamine dihydrochloride, 2.5% H₃PO₄) at 550 nm using NaNO₂ as the
301 standard. The measurements were performed in quadruplets. The effects of the RES on the
302 production of NO were also compared to some well-known anti-oxidants (vitamin C and
303 vitamin E) and *i*NOS inhibitor (L-NAME). The controls for RES and liposomal RES were
304 0.2% DMSO and empty liposomes, respectively. The inhibitory activities of RES and
305 liposomal-RES on NO production were expressed as IC₅₀ (µg/ml).

306

307 *2.13. Effect on IL-1β and TNF-α production*

308 The effects of RES and liposomal-RES on the production of TNF-α and IL-1β in the
309 LPS-induced J774A.1 cells were measured as reported previously (Basnet et al., 2012). The
310 cell medium were replaced with the medium containing LPS (1 µg/ml) and/or samples (10
311 µg/mL). After 24 h, the medium (500 µL) was removed and stored at -70 °C until cytokine
312 assays were carried out. Controls for RES and liposomal RES were 0.2% DMSO and empty
313 liposomes, respectively. The production of TNF-α or IL-1β in the medium by the LPS-
314 induced cells were measured and expressed as 100%. Both pro-inflammatory cytokines (TNF-
315 α and IL-1β) were measured in the same set of experiments by the assay kits as described in
316 the manufacturer's protocols. Measurements were performed in triplicates.

317

318 *2.14. Statistical analyses*

319 Data were analyzed using the SPSS 19.0 software (SPSS Inc. Chicago, IL, USA).
320 Continuous variables are expressed as mean and categorical variables are reported as
321 percentage. Statistical significance of difference between the control and test groups or
322 corresponding groups was determined by one-way ANOVA, and *P* < 0.05 was considered
323 statically significant. Results are expressed as mean ± S.D., where n = 3 or 4.

324

325

326 **3. Results**

327 *3.1. The effect on DPPH / ABTS^{•+} radicals*

328 Although RES, vitamin C and vitamin E are structurally different (Figure 1) and differ
329 significantly in their physical properties, they show similar chemical reaction towards free
330 radicals and anti-oxidative potentials.

331

332

Figure 1

333

334 Anti-oxidative activity is expressed as DPPH or ABTS⁺ radicals scavenging capacity
335 of RES. We examined the radical scavenging activity of RES at 1, 5, 10 and 20 µg/ml
336 concentrations for DPPH or ABTS⁺ radicals. The anti-oxidative activities of RES were
337 compared to those of vitamin C and vitamin E under the similar conditions. All samples
338 showed concentration-dependent radical scavenging activity and the results are shown in
339 Figure 2 (A and B).

340

341 In a separate experiment, the anti-oxidative activities of RES were compared with that
342 of vitamin C or vitamin E by measuring their effective concentrations required for the 50%
343 decrease of radicals (EC₅₀) and the results are shown in Figure 3. EC₅₀ for RES were 17.15
344 and 3.05 µM against DPPH and ABTS⁺ radicals, respectively. While vitamin C and vitamin
345 E showed 10.25 and 7.38 µM against DPPH radicals and 7.77 and 6.64 µM against ABTS⁺
346 radicals, respectively. Comparing to vitamin C and vitamin E, RES showed stronger reaction
347 towards ABTS⁺ radicals rather than DPPH (Figure 3).

348

349

Figure 2

350

351

Figure 3

352

3.2. Liposomal characteristics

354 The vesicle sizes, polydispersity index (PI), zeta potential and entrapment efficiency of
355 coated and non-coated liposomes are presented in Table 1. Considering the optimal vesicle
356 size for topical vaginal administration (Vanic and Skalko-Basnet, 2013) and to assure the
357 sufficient entrapment efficiency, the liposomal sizes with the mean diameter of 200 nm were
358 targeted. The coating resulted in an increase in the mean liposomal size; the increase in the
359 vesicle size corresponded to the increasing polymer concentration, as expected. All liposomal
360 dispersions exhibited low PI, indicating a rather monodisperse size distribution. The low PI

361 confirmed that the extrusion could be a suitable method to obtain liposomes of desired vesicle
362 size with limited polydispersity.

363

364

Table 1

365

366 The differences in zeta potential on liposomal surface between non-coated and
367 chitosan-coated liposomes also confirmed that liposomes were indeed coated (Table 1). We
368 also observed the differences between liposomes coated with 0.1 and 0.3% (w/v) chitosan,
369 respectively. Moreover, an entrapment efficiency of over 70% of the starting amount of RES
370 was obtained which is sufficient to assure the required RES concentrations for therapeutic
371 effects (Table 1). Although the chitosan-coating was performed in the presence of
372 untrapped RES, no significant increase in RES incorporation was seen for the chitosan-
373 coated liposomes. A phospholipid assay was applied to assure that the vesicle size reduction
374 via extrusion and the chitosan-coating did not result in a loss of lipids. The loss of lipid was
375 found to be less than 5% and accepted as satisfactory.

376

377 3.3. Mucoadhesive properties of liposomal formulation

378 The increased concentration of polymer is expected to lead to more efficient coating of
379 liposomes (Li et al., 2009). A colorimetric assay with the anionic reactive dye (Cibacron
380 Brilliant Red) was performed in the presence of free chitosan, thus the binding efficiency of
381 chitosan to liposomal surface could not directly be measured. However, for the lower chitosan
382 concentration (0.1%, w/v), 84% of chitosan was found to be surface available, whereas 54%
383 of chitosan was detected on liposomes coated with higher polymer-concentration (0.3%, w/v).
384 The results are in agreement with the findings in the literature (Li et al., 2009, Andersen et al.,
385 2015).

386

387 We tested the *in vitro* mucin-binding potential for both coated and non-coated
388 liposomes to confirm the mucoadhesive properties of chitosan-coated liposomal delivery
389 system (Figure 4). Since the vaginal environment varies in pH, the experiments were
390 performed at pH corresponding to healthy vaginal conditions (4.6) and vaginal bacterial
391 infections conditions (7.4). The results indicate that PM-binding properties are significantly
392 ($P < 0.001$) improved for chitosan-coated liposomes compared to non-coated liposomes
393 which are in accordance with the literature (Jøraholmen et al., 2014; Naderkhani et al., 2014).
394 The superior mucin-binding potential of liposomes coated with low chitosan concentration

395 (0.1%, w/v) was confirmed (Jøraholmen et al., 2014), compared to all other formulations.
396 Importantly, the superiority was confirmed at both pH, suggesting that mucoadhesiveness will
397 be assured regardless of the vaginal pH conditions. Non-coated liposomes are not expected to
398 exhibit mucin-binding activity, however a noticeable binding efficiency was also observed for
399 non-coated liposomes. One possible explanation for observed PM-binding to plain liposomes
400 can be by physical interactions occurring during the ultracentrifugation and not an actual
401 electrostatic interaction between liposomes and mucin. However, the binding was
402 significantly lower than PM-binding of chitosan coated liposomes (0.1 and 0.3%, w/v).

403 **Figure 4**

404 *3.4. In vitro release of RES from coated and non-coated liposomes*

405
406 The Franz diffusion system was employed to assess the RES release from liposomal
407 formulations comparing the release to free RES in propylene glycol solution serving as a
408 control. Liposomes coated with the 0.6% of chitosan showed poor mucoadhesive properties
409 (Figure 4), therefore were not included in the RES release study. An apparent sustained
410 release from all liposomal formulations was determined (Figure 5) and the *in vitro* release
411 studies confirmed prolonged release of RES from both the non-coated and chitosan-coated
412 liposomes as compared to the control. Further, the release of RES was slower from the
413 chitosan-coated liposomes as compared to the non-coated liposomes. This indicates that
414 chitosan-coated liposomes have the ability to prolong the release of RES to a greater extent
415 than non-coated liposomes.
416

417 **Figure 5**

418 *3.5. Effect of RES and liposomal RES on SOD activity*

419
420 The effects of RES and liposomal RES on SOD activity in LPS-induced J774A.1 cells
421 were evaluated and results are shown in Figure 6. Comparing to the basal SOD activity of the
422 controls, RES increased the SOD activity by 20%. Under a similar condition, liposomal
423 formulation of RES increased the SOD activity by 26%. Liposomal formulations significantly
424 increased ($P = 0.009$) SOD activity as compared to RES solution.
425

426 **Figure 6**

429 *3.6. Effect of RES and liposomal RES on NO production*

430 LPS treatment induces macrophages to increased amount of NO production by the
431 conversion of L-arginine to L-citrulline. Thus produced unstable NO radical, is rapidly
432 converted to NO_2^- or NO_3^- . Therefore the amount of NO_2^- measured quantitatively by the
433 Griess reagent can be directly correlated to the NO production by the macrophages. We
434 measured the NO_2^- produced by LPS and RES (1, 5 and 10 $\mu\text{g}/\text{ml}$) treated cells and expressed
435 the activity as percentage of NO production and results are shown in figure 7. RES showed a
436 concentration-dependent NO production inhibition. The activities of RES were also compared
437 to that of vitamin C, vitamin E and L-NAME under similar conditions. Vitamin C and vitamin
438 E showed only a weak inhibitory activity at higher concentrations (10 $\mu\text{g}/\text{ml}$).

439
440 **Figure 7**

441
442 L-NAME (*i*NOS inhibitor) showed, as expected, concentration-dependent activity at 1,
443 5, and 10 $\mu\text{g}/\text{ml}$. Under similar conditions, RES was found to be more potent than L-NAME
444 (Figure 7). In another similar experiment, the inhibitory activity of RES and corresponding
445 liposomal formulations against NO production was measured at 1, 2, 5, 10, 15, 20, 25, and 30
446 $\mu\text{g}/\text{ml}$ RES concentrations. The results were expressed in 50% inhibitory concentration (IC50)
447 as $\mu\text{g}/\text{ml}$ (Figure 8). RES and corresponding liposomal formulation showed IC50 as 13.5 and
448 9.6 $\mu\text{g}/\text{ml}$, respectively. Liposomal RES was found to be more potent than the corresponding
449 RES solution ($P = 0.003$), confirming the need for delivery system (Figure 8).

450
451 **Figure 8**

452
453 *3.7. Effect of RES and liposomal RES on TNF- α and IL-1 β production*

454 We measured the TNF- α and IL-1 β production by the LPS-induced macrophages in
455 the presence of RES or liposomal RES. As compared to the control group, RES at a
456 concentration of 10 $\mu\text{g}/\text{ml}$ inhibited 52% of TNF- α production. Under the similar condition,
457 liposomal RES inhibited 70% of TNF- α production which were significantly different ($P =$
458 0.004). We also measured the effects of RES and liposomal RES on the production of IL-1 β .
459 Compared to the control group, RES and liposomal RES at a concentration of 10 $\mu\text{g}/\text{ml}$
460 inhibited 60% and 62% of IL-1 β production, respectively (Figure 9).

461
462 **Figure 9**

463

464 **4. Discussion**

465 RES acts as a potent defensive anti-oxidant by inhibiting reactive oxygen species
466 (ROS) mainly by activating AMPK (Pangeni et al., 2014). It exhibits stronger anti-radical
467 activity than α -tocopherol, catechin, myricetin and naringenin. RES, vitamin C and vitamin
468 E used in our experiments were supplied commercially (99.0% pure). Their structures are
469 given in Figure 1. Vitamin C is a hydrophilic and vitamin E a lipophilic anti-oxidant with
470 well-defined bioavailability. However, RES remains to be a biopharmaceutical challenge with
471 regard to its solubility limitations (Das et al., 2008). Taken orally RES is relatively well
472 absorbed, rapidly metabolized and generally well tolerated, although limited long-term
473 toxicity studies have also been performed (Cottart et al., 2010). Extensive intestinal and
474 hepatic metabolism is the rate limiting step for the systemic bioavailability resulting in a half-
475 life of only 8-14 min (Das et al., 2008). With $\log P > 3.1$ it is classified as a class-II compound
476 in the Biopharmaceutical Classification System. *Trans*-RES is a pharmacologically active
477 isomer. RES is a photosensitive compound and becomes converted into *cis*-RES after
478 exposure to light for just 1 h in solution (Singh and Pai, 2014). This is an additional reason to
479 incorporate RES in liposomal delivery system enabling the protection against light (Coimbra
480 et al., 2011).

481

482 Our interests were in anti-microbial potential of RES, as increasing number of *in vitro*
483 and *in vivo* studies suggest that RES exhibits anti-parasitic (*Trichomonas vaginalis*) (Mallo et
484 al., 2013), anti-fungal (*Candida* species) (Houille et al., 2014), anti-viral (Docherty et al.,
485 2005) and anti-bacterial (Nawrocki et al., 2013) activities. Prior to evaluating the anti-viral
486 potential of liposomal RES, we have focused on the anti-oxidative and anti-inflammatory
487 activities of RES and corresponding formulation. Inflammation is one of the first responses of
488 the immune system to infection. The symptoms of inflammation include redness and swelling,
489 which are caused by increased blood flow into the tissue. Inflammation is caused by
490 eicosanoids and cytokines, which are released by injured or infected cells. There is abundant
491 evidence that certain pro-inflammatory cytokines such as IL-1 β , IL-6, and TNF- α are
492 involved in the process of pathological infection (Dinarello, 2000). Therefore, to express the
493 anti-inflammatory activity, we focused on effect of RES on the production of nitric oxide, IL-
494 1 β , and TNF- α .

~~Genital herpes simplex virus (HSV) infection has increasingly burden the sexually active population in spite of the novel synthetic anti viral agents such as acyclovir. Once infected, the virus remains in the sacral ganglia serving as a source of recurring infections in the afflicted individuals (Docherty et al., 2005). RES was found to inhibit or reduce the HSV replication in the vagina of mice (Docherty et al., 2005), however the dosage regimen used in mice experiments (5 times daily administration) is not applicable in human due to expected low compliance. Viral infection during pregnancy can lead to adverse effects on the developing fetus often resulting in preterm delivery, low birth weight, fetal anomalies or even fetal/infant death. The early treatment with anti viral agents can reduce the complications and improve outcome, assuming that the anti viral treatment is safe. Despite the increasing prevalence of use of anti viral agents, relatively little research was performed focusing on their safety and risk-benefit profiles in pregnant patients (Avalos et al., 2014).~~

4.1. Liposomal RES

In the past ten years, an increasing number of publications dealing with RES in nanosystems have been reported (Amri et al., 2012). Moreover, an extensive list of patents on the use of RES in diverse formulations indicates increasing interest of academia and industry for its commercialization (Singh and Pai, 2014). The limitation of the solubility of RES can be overcome by incorporating RES into delivery system which acts as a solubilizer and improve the delivery of the drug candidate. Several novel delivery systems were proposed in recent years as means to improve its bioavailability, including polyethylene glycol-poly(lactic acid) polymeric nanoparticles (Jung et al., 2014), solid lipid nanoparticles (Teskac and Kristl, 2010; Gokce et al., 2012), polymeric micelles (Lu et al., 2009), RES-loaded poly(*N*-vinylpyrrolidone)-*b*-poly(ϵ -caprolactone) nanoparticles (Lu et al., 2013). RES self-emulsifying system was shown to increase the uptake by endothelial cells and improve protection against oxidative stress-mediated death (Amri et al., 2014). Phospholipids offer means to improve the poor solubility and consequently low bioavailability of various active compounds (Fricker et al., 2010). In addition, liposomes improved the chemical instability of RES by preventing its inactivation through *cis-trans* isomerization (Coimbra et al., 2011; Scognamiglio et al., 2013). Kristl and co-workers confirmed that liposome-mediated uptake of RES improved the cell-stress response in comparison to free RES (Kristl et al., 2009). The same group (Caddeo et al., 2008) proved the enhanced efficacy of RES incorporated in liposomes on proliferation and UV-B protection of cells. Elastic liposomes incorporating RES and quercetin injected subcutaneously offered a new strategy for reducing the subcutaneous

529 fat (Cadena et al., 2013). In spite of improving delivery and solubility of RES, its wider
530 therapeutic application is still limited because of the required dose needed to assure
531 therapeutic outcome (Augustin et al., 2013).

532

533 Considering localized vaginal therapy, relatively little is known about the effect of
534 vesicle size on the delivery of drugs intended for vaginal mucosal targeting. However, it was
535 suggested that the nanocarriers in the size range of 200-500 nm are superior to both much
536 smaller and also larger nanosystems (das Neves et al., 2011; das Neves et al., 2011).
537 Additionally, it was reported that the number of liposomes penetrating the mucous layer
538 increased when the size of the liposomes was reduced to approximately 100 nm for both non-
539 and chitosan-coated liposomes (Takeuchi et al., 2001). There is usually a correlation between
540 the particle size and drug entrapment efficiency; small vesicles are expected to offer greater
541 surface area to interact with the mucus, but bearing less drug load, whereas larger vesicles
542 enable increased drug loading, nevertheless, reduced mucoadhesion due to less surface
543 contact (Vanic and Skalko-Basnet, 2013).

544

545 Liposomes are well-established delivery systems able to incorporate poorly soluble
546 drugs and enable their aqueous medium-based vaginal administration (Pavelic et al., 1999;
547 2005). Liposomes as carriers for RES were also studied by several groups targeting various
548 routes of administration. For example, transferosomes and ethanol-containing vesicles were
549 used to deliver RES through porcine skin (Scognamiglio et al., 2013).

550

551 Chitosan is one of the mucoadhesive polymers recommended for the vaginal delivery
552 (Valenta, 2005). Several other potential mucoadhesive polymers were reported as vaginal
553 delivery systems, such as for example Carbopol (Pavelic et al., 2005), however the known
554 anti-microbial activities were the main reason to focus on chitosan in the present work.
555 Moreover, chitosan is a natural polymer and considered to be biocompatible, biodegradable
556 and bioadhesive. The cationic properties of chitosan contribute to its mucoadhesiveness.
557 When chitosan is used as a coating material for neutral PC liposomes, it is expected that
558 hydrogen bonds be created between the phospholipid head groups and the cationic
559 polysaccharide. In addition to required mucoadhesive properties, chitosan-coating of
560 liposomes may present improved controlled drug delivery and stabilization of liposomes
561 (Joraholmen et al., 2014). Moreover, chitosan also exhibits anti-microbial activity against
562 vaginal pathogens (Kim et al., 2003) and its ability to disrupt vaginal bacterial biofilms makes

563 chitosan superior polymer in localized vaginal therapy (Kandimalla et al., 2013). Interactions
564 between the cationic chitosan and the negatively charged mucosal surface are shown to render
565 excellent mucoadhesive properties, making this polymer a well suited coating material for
566 drug delivery systems intended for mucosal tissues (Meng et al., 2011). Our results are in
567 agreement with the findings of Meng *et al.* who reported that chitosan-coated nanoparticles in
568 sizes of about 200 and 300 nm exhibited significantly enhanced mucoadhesive properties on
569 porcine tissue (Meng et al., 2011).

570

571 The only other report, to the best of our knowledge, on chitosan-coated liposomes
572 containing RES is by Park *et al* who tested potential of this system to provide transdermal
573 delivery of RES as delaying skin-aging substance (Park et al., 2014). They also observed that
574 liposomes coated with lower concentration of polymer maintained their size integrity better
575 than those coated with higher concentrations of polymer (Park et al., 2014).

576

577 During the process of chitosan-coating of liposomes, the amount of polymer
578 interacting with the liposomes is expected to increase with an increase in the starting
579 concentration of chitosan (Li et al., 2009). Our findings that lower chitosan concentrations
580 result in more surface-available chitosan are in agreement with Guo *et al.* who reported that
581 chitosan-coating of liposomes reached a saturation state when exceeded 0.1% concentration
582 (Guo et al., 2003).

583

584 The effectiveness of drug delivery at the mucosal site is dependent on a series of
585 interconnected actions including i) distribution and retention of nanosystems on the mucosal
586 surface, ii) their penetration into/through the mucus mesh, and iii) release profile of the drug
587 (Vanic and Skalko-Basnet, 2013). The RES release (Figure 5) indicates that chitosan-coated
588 liposomes enabled sustained release. Chitosan-coated liposomes were superior to non-coated
589 liposomes regarding the ability to sustained RES release, confirming our aim that chitosan-
590 coating assures not only the mucoadhesivness but also the prolonged RES release. This is of
591 great importance considering the vaginal administration, as reduced frequency in need for
592 repeated administration correlates with better patient compliance.

593

594 4.2. Anti-oxidative activity

595 Invading pathogens create oxidative stress because of over production of ROS during
596 increased metabolic activities and immune cell reaction. A number of cellular processes

597 including aerobic metabolism can easily supply one electron to cytoplasmic oxygen to
598 generate $O_2^{\bullet-}$ which is also an essential step for the cellular communication and signalling
599 (Afanas'ev, 2007). Normally when the concentration of $O_2^{\bullet-}$ increases the activity of
600 superoxide dismutase (SOD) and catalase (CAT) increase to dismutate the toxic amount of
601 $O_2^{\bullet-}$ to non-toxic molecules such as water and oxygen. However, as the production of $O_2^{\bullet-}$ and
602 dismutation process are not balanced, there will be the over production of $O_2^{\bullet-}$ or H_2O_2 which
603 can either easily be transformed into the $ONOO^-$ by reacting with NO^{\bullet} , the OH^{\bullet} by Fenton
604 reaction (catalysed by Fe^{+++}/Fe^{++}) or the R^{\bullet} by increased peroxidase activity. The free
605 radicals-ROS, especially $ONOO^-$, OH^{\bullet} , and R^{\bullet} are very powerful oxidants as compared to $O_2^{\bullet-}$
606 and H_2O_2 . The pathogen-induced ROS and/or free radicals generated *in vivo* together with the
607 pro-inflammatory cytokines can cause significant damage to cells hasten the inflammation
608 and infection. The anti-oxidants can attenuate the direct effect of radicals by deleting or
609 scavenging which may inhibit the inflammatory processes.

610

611 RES was exhibited strong reaction to free radicals comparable with those of vitamin C
612 and vitamin E. In addition, its effects on enhancing the SOD activity would make it a stronger
613 candidate as an anti-oxidant. RES showed stronger radical scavenging activity for $ABTS^{+\bullet}$
614 radicals, comparing to DPPH free radicals (Figures 2 and 3). The superior activity of RES
615 (EC50 of 3.05 μM) compared to the other anti-oxidants in case of $ABTS^{+\bullet}$ radicals, is in
616 agreement with literature (Stojanovic et al., 2001). The reaction with $ABTS^{+\bullet}$ radicals
617 involves an electron transfer process while H-atom transfer mechanism involves in DPPH
618 radical reaction therefore, $ABTS^{+\bullet}$ radicals are more reactive with the RES type polyphenols
619 (Gülçin, 2010). Vanaja and colleagues reported on the enhanced anti-oxidative activities of
620 liposomal RES as compared to free RES based on the inhibition of 2,2'-azobis(2-
621 amidinopropane)dihydrochloride (AAPH)-induced luminol enhanced chemiluminescence
622 assay (Vanaja et al., 2013). Only one report found so far describes DPPH radical assay
623 directly used for liposomal suspensions measuring with the UV-Vis spectrophotometer
624 (Caddeo et al., 2013). It might be possible that the alcoholic solution of DPPH radical
625 solubilizes the liposomal suspension by the destructing of liposomal vesicles to release free
626 RES. We do not see any advantage of measuring direct radical scavenging activity for anti-
627 oxidants-associated liposomal suspension unless used lipid is itself anti-oxidants. RES also
628 showed pro-oxidant properties at higher concentration like other common anti-oxidants since
629 it is a redox-chemistry and clinical application depends on the drug concentration (de la
630 Lastra and Villegas, 2007).

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RES is reported to exhibit anti-oxidative effect directly either by donating an electron to free radicals and/or providing hydrogen or indirectly by enhancing the SOD activity (Gülçin, 2010; Zheng et al., 2010). We also compared the *in vitro* SOD activities of RES and the corresponding liposomal formulation. RES and corresponding liposomal delivery system significantly enhanced the *in vitro* SOD activities comparing to controls. Moreover, liposomal RES, under similar conditions, was found superior to RES (Figure 6).

4.3. Anti-inflammatory activity

Vaginal inflammation and infection are very common and every woman experiences them at least once in her life. They can be caused by various pathogens such as virus, bacteria, fungi, or parasite (*Trichimonas*). Contamination of such pathogens initiates inflammation and their rapid growth lead to infection. Inflammation is the root cause of severe metabolic dysfunction including loss of cell integrity, enzyme function, genomic stability etc. (Hanahan and Weinberg, 2000). Nitric oxide is known not only as a free radical and vasodilator; it also plays a very important role in the pathways of inflammation and as an immunomodulator (Coleman, 2001). Some free radicals mainly such as $O_2^{\cdot-}$, OH^{\cdot} , and NO^{\cdot} radicals along with non-free radical species such as H_2O_2 and HNO_2 are responsible for mediating the inflammation (Khan et al., 2008). In addition, cytokines such as $TNF-\alpha$ and $IL-1\beta$ are playing important roles in chronic inflammation processes and persistent inflammatory tissue damage leading to each stage of infection. Moreover, pro-inflammatory molecules such as $NF-\kappa B$ and non-steroidal anti-inflammatory gene-1 dominating over the effect of SOD, CAT and glutathione peroxidase (GPX), together with a non-enzymatic system such as glutathione and vitamins (A, C, and E) constitute the defense to overreaction of free radicals (Finkel and Holbrook, 2000). RES showed anti-inflammatory activities by the inhibition of $NF-\kappa B$ activity via multiple mechanisms (Surh and Na, 2008). RES inhibited HSV replication by suppressing $NF-\kappa B$ activity (Faith et al., 2006). $NF-\kappa B$ is a host nuclear transcription factor, activated by multiple stimuli, including inflammatory cytokines, growth factors and bacterial or viral infections (Santoro et al., 2003). RES also showed anti-inflammatory activity by interfering both transcription (Subbaramaiah et al., 1998) and catalytic (Jang et al., 1997) activities of the COX2 enzyme. Therefore, the anti-inflammatory activities of RES can be suggested through ostensibly independent effects on $NF-\kappa B$, cyclooxygenase and $IL-1\beta$ (Baur and Sinclair, 2006).

665 In our experiments, RES was found to be more potent than vitamin C or vitamin E in
666 scavenging ABTS⁺ radicals (Figure 3). RES was also found to be a stronger inhibitor of NO
667 production as compared to *i*NOS inhibitor L-NAME, which strengthens its potential as an
668 anti-inflammatory agent (Figure 7). In addition, liposomal RES showed superior inhibitory
669 properties on NO production (Figure 8). RES significantly inhibited the production of
670 cytokines TNF- α and IL-1 β in the LPS-activated macrophages. In all those set of experiments,
671 liposomal RES was found to be more potent as compared with the corresponding RES
672 especially for TNF- α (Figure 9). The increased inhibitory activity of liposomal RES might be
673 the consequence of enhanced cellular uptake and/or maximized effect of RES. It requires
674 further investigation at cellular and sub-cellular levels. If the finding reported here can be
675 directly correlated to the normal epithelial cells in human, liposomal formulation of RES for
676 topical anti-inflammatory treatment would be an optimal formulation.

677

678 Moreover, trichomoniasis is the most common non-viral STD in the worlds. Currently,
679 metronidazole (MDZ) and other 5-nitroimidazoles (tinidazole, ornidazole, and secnidazole),
680 the potent drugs against infections caused by anaerobic or microaerophilic microorganisms,
681 are the only recommended drugs for standard treatment of *T. vaginalis* infection. However,
682 resistance of *T. vaginalis* to MDZ, allergic reactions, and failure to remedy the infection with
683 two consecutive courses of treatment have been reported (Cudmore et al., 2004; Das et al.
684 2005; Harp and Chowdhury, 2011; Muzny et al., 2012). Studies have shown that at least 5%
685 of clinical cases of trichomoniasis are caused by parasites that are resistant to the above-
686 mentioned drugs. Because of the lack of approved alternative treatments, the only option for
687 patients with resistant infections is to use higher and sometimes toxic doses of MDZ, which
688 leads to an increase in the occurrence of side effects (Cudmore et al., 2004). On the other
689 hand, RES showed *in vitro* anti-parasitic effect against *T. vaginalis* by altering
690 hydrogenosomal dysfunction (Mallo et al., 2013). Therefore, regarding to the potent anti-
691 oxidative and anti-inflammatory activities and multi-targeted mechanisms, RES could be a
692 potential therapeutic candidate especially for pregnant women against common inflammations
693 and infections caused by diverse type pathogens. Although we have not tested the newly
694 developed systems against *T. vaginalis* or particular pathogens, it is to be expected that the
695 developed system can assure the necessary concentration of RES at vaginal site enabling
696 sufficient interaction time and consequent anti-microbial action.

697

698

699 **5. Conclusions**

700 Our findings further confirmed RES as a strong anti-oxidant as well as anti-
701 inflammatory compound. The liposomal formulation solubilized RES and enhanced its anti-
702 oxidative and anti-inflammatory properties. With a combination of liposomal carrier as a
703 solubilizer for RES and the mucoadhesive properties of chitosan in chitosan-coated
704 liposomes, development of an optimal vaginal drug delivery system with specific, prolonged
705 and controlled drug release properties might be possible to enable a controlled delivery as
706 well as provide chemical stability for RES. Moreover, anti-microbial properties of chitosan
707 will provide additional advantage against vaginal pathogens. However, further *in vivo* and
708 clinical studies are needed to obtain the direct evidences.

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710

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715

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717 **Conflict of Interest**

718 Authors do not have any conflict of interests.

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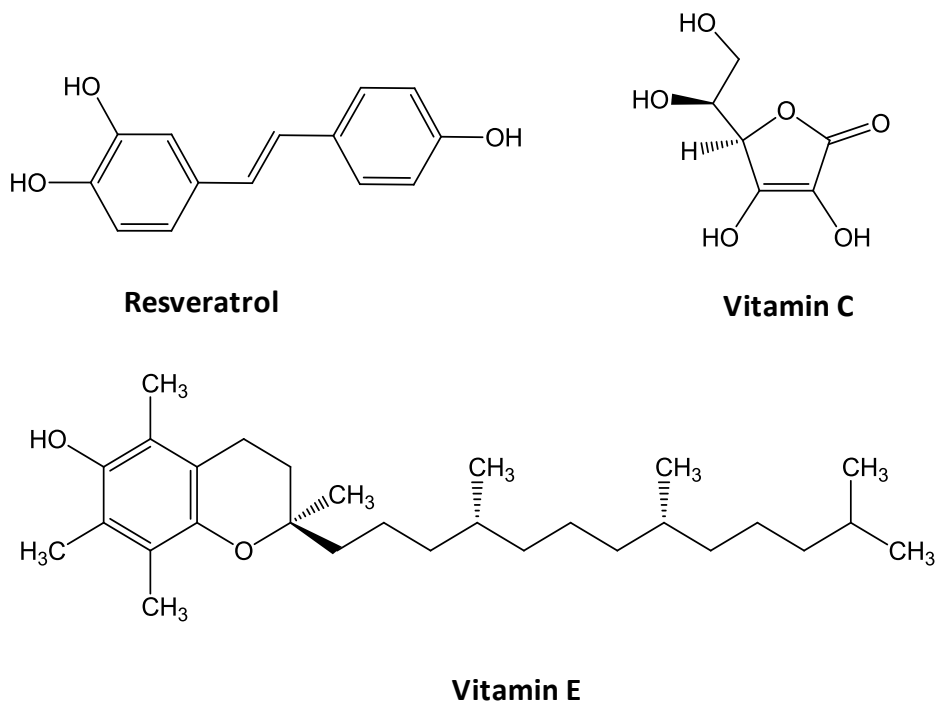


Fig. 1. Chemical structures of resveratrol (*trans*-3,5,4'-trihydroxystilbene), vitamin C (ascorbic acid) and vitamin E (α -tocopherol).

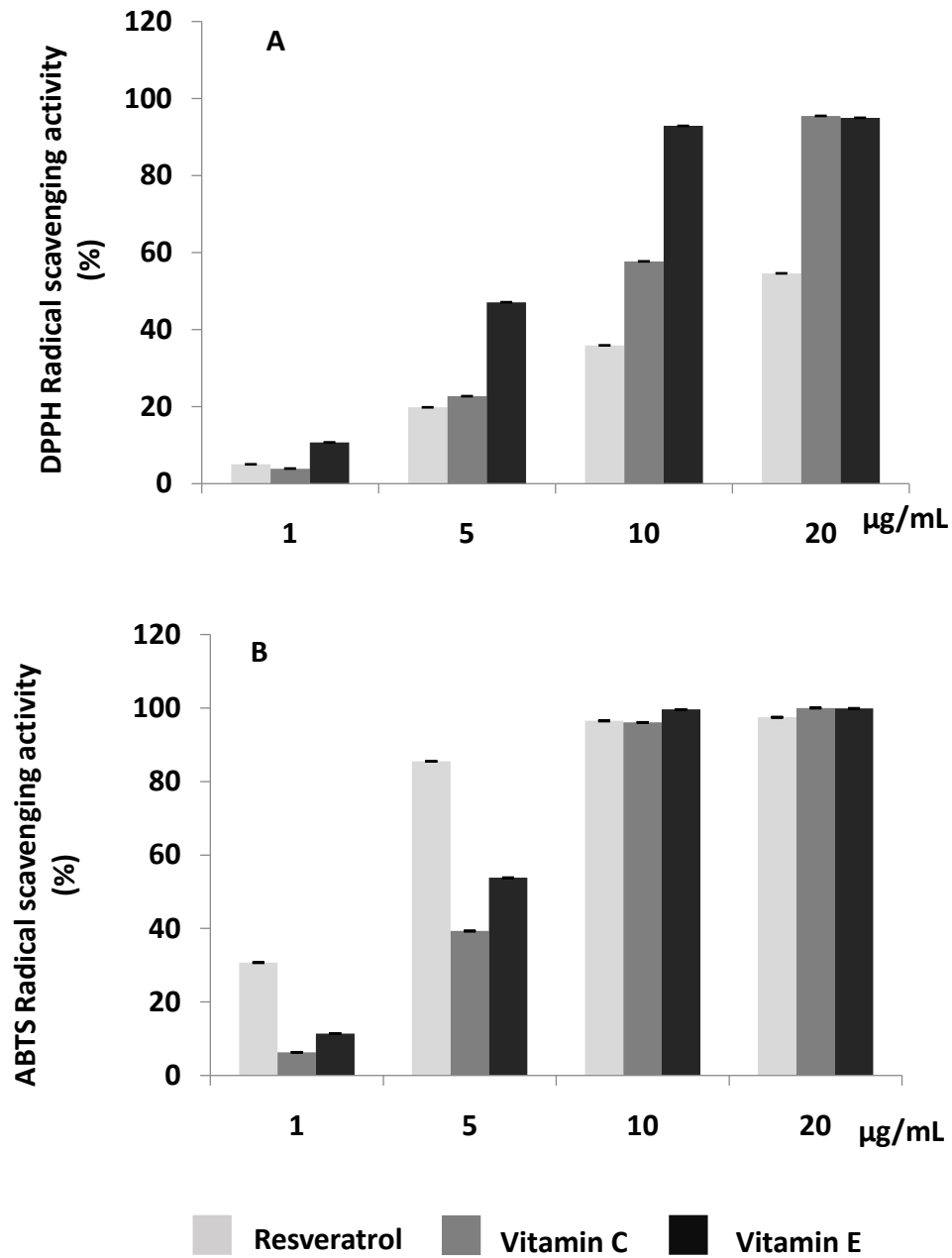


Fig. 2. Anti-oxidative activities of resveratrol, vitamin C and vitamin E.
A) DPPH radical scavenging activity B) ABTS⁺ radical scavenging activity
Results are expressed as percentage mean \pm SD (n = 3)

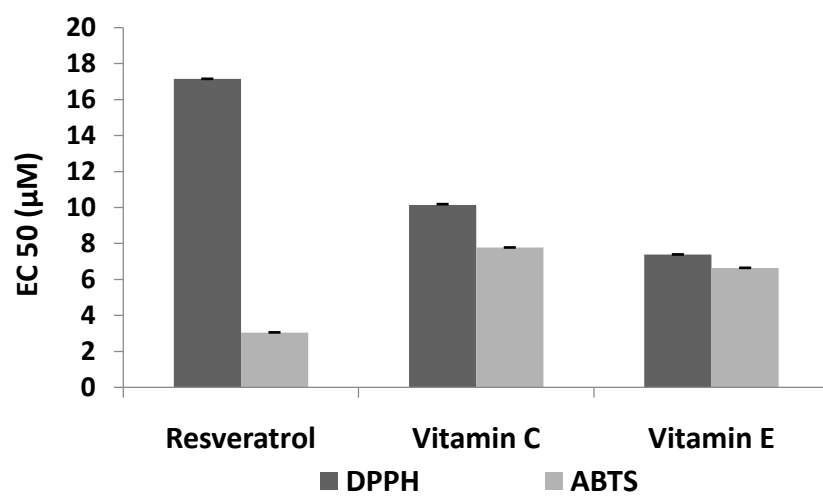


Fig. 3. Anti-oxidative activity of resveratrol, vitamin C and vitamin E expressed as EC₅₀ in μM.

Results are expressed as mean ± SD (n = 3).

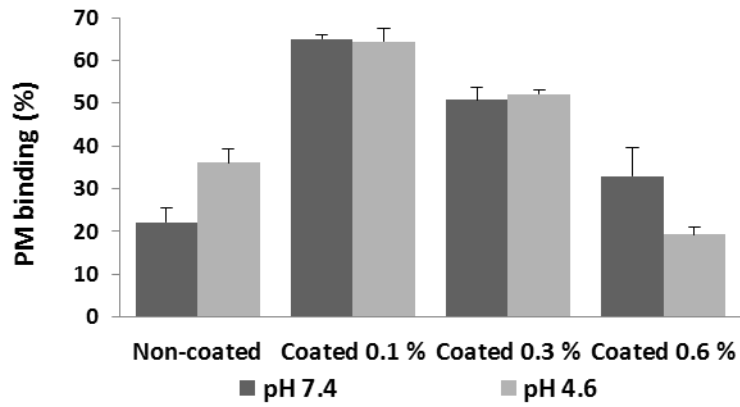


Fig. 4. Pig mucin (PM) binding (%) of non-coated and chitosan-coated liposomes. The values represents the percentage mean \pm SD (n = 3).

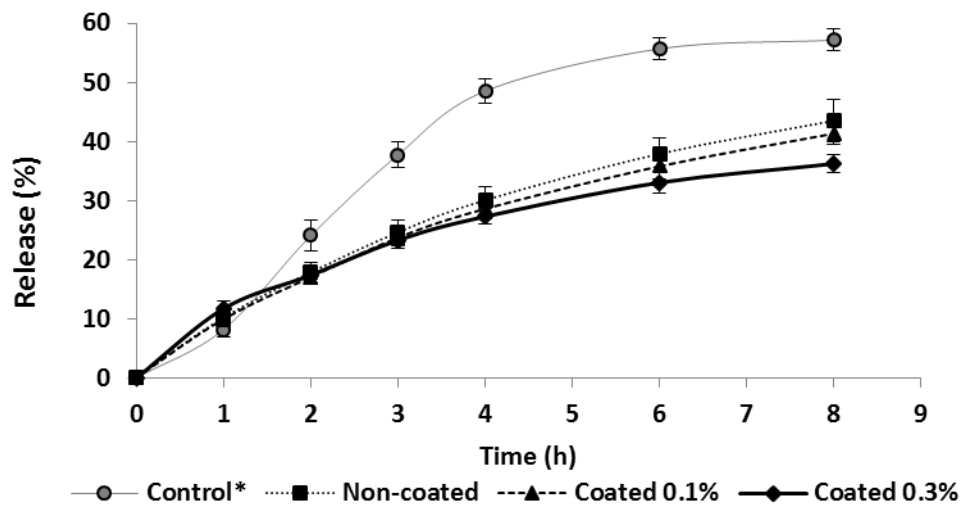


Fig. 5. *In vitro* resveratrol release from non-coated and chitosan-coated liposomes.

*As a control, resveratrol in the same concentration as in liposomes was dissolved in propylene glycol.

Results are expressed as percentage mean \pm SD (n = 3).

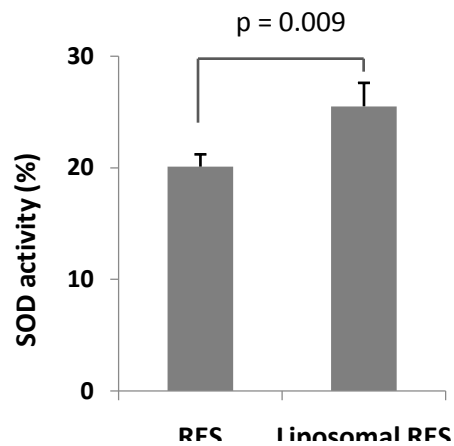


Fig. 6. The effects of resveratrol (RES) and liposomal RES on SOD activity in LPS-induced macrophages.

Stock solution of RES in DMSO was diluted with the cell culture medium equivalent of RES present in liposomal RES and applied same volume containing 10 $\mu\text{g}/\text{mL}$ as the final concentration to cells. Results are expressed as mean \pm SD (n=3). Control for RES and liposomal RES were 0.2% DMSO and empty liposomes, respectively.

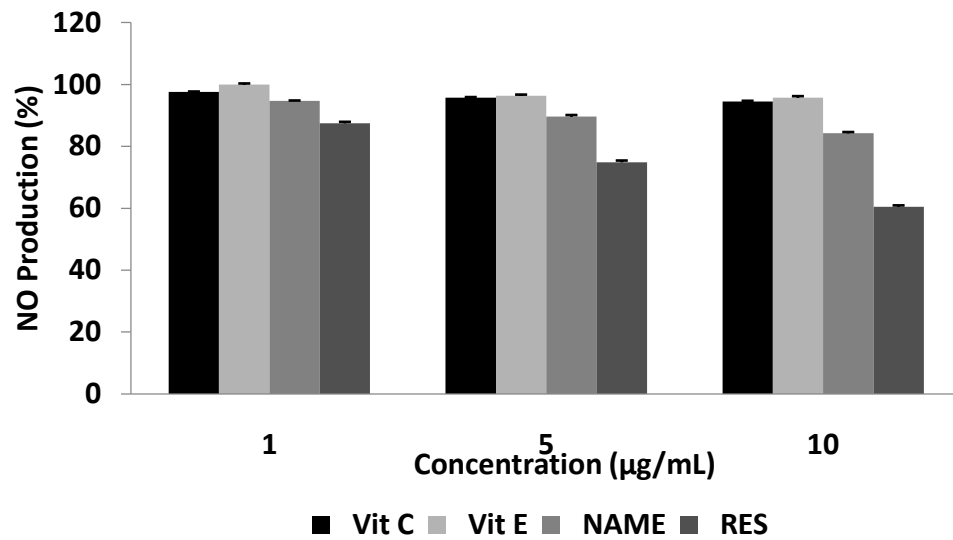


Fig. 7. The effects of resveratrol, vitamin C, vitamin E and NAME on NO production in LPS-induced macrophages.

RES: resveratrol; NAME: L-nitro-arginine methyl ester

Results are expressed as mean \pm SD (n = 4).

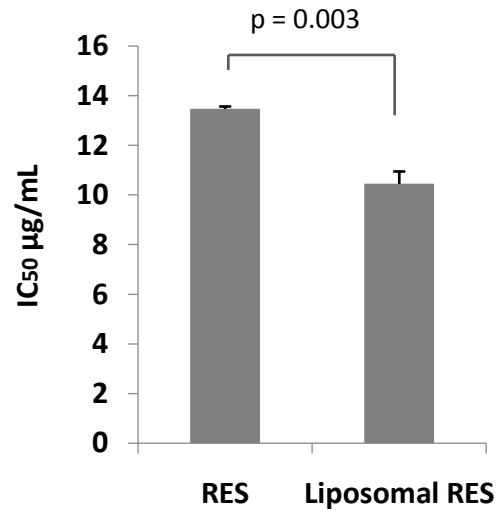


Fig. 8. The effect of resveratrol (RES) and liposomal RES on NO production in LPS-induced macrophages.

Results are mean \pm SD (n = 4) showing the amount RES required to show 50% inhibition of NO production (IC₅₀ µg/mL) in J774A.1 cells induced by LPS (1 µg/mL)

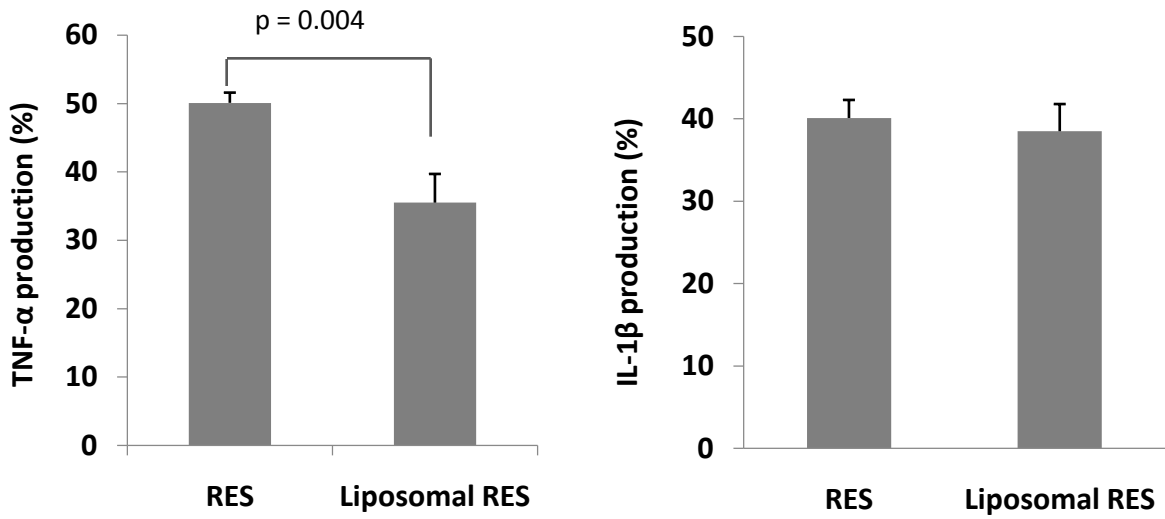


Fig. 9. The effects of resveratrol (RES) and liposomal RES on IL-1 β and TNF- α production in LPS-induced macrophages.

Results are expressed as percentage mean \pm SD (n = 3)

Table 1

Liposomal size, zeta potential and entrapment efficiency of non-coated and chitosan-coated liposomal resveratrol

Resveratrol-loaded liposomes				
Chitosan (%)	Vesicle size (nm)	PI*	Zeta potential (mv)	Entrapment (%)
-	206 ± 10	0.142	-3.17 ± 2.57	80 ± 4
0.1	212 ± 11	0.172	4.15 ± 0.59	77 ± 4
0.3	225 ± 10	0.122	14.77 ± 1.85	74 ± 6

Results are expressed as mean±S.D. (n = 3). Data for chitosan solution (0.1 and 0.3 %, w/v) are presented.

*PI: polydispersity index.