

## **6 PAPER 1**

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## Visualizing and interpreting surface displacement patterns on unstable slopes using multi-geometry satellite SAR interferometry (2D InSAR)



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### ARTICLE INFO

#### Article history:

Received 15 December 2015

Received in revised form 15 December 2016

Accepted 30 December 2016

Available online 5 February 2017

### ABSTRACT

It is well known that satellite radar interferometry (InSAR) is capable of measuring surface displacement with a typical accuracy on the order of millimeters to centimeters. However, when the true deformation vector differs from the satellite line-of-sight (LOS), the sensitivity decreases and interpretation of InSAR deformation measurements becomes challenging.

By combining displacement data from extensive ascending and descending TerraSAR-X datasets collected during the summer seasons of 2009–2014, we estimate two-dimensional (2D) InSAR surface displacement. Displacement data are decomposed into vertical and west/east deformation, dip and combined deformation vector, and validated using Global Navigation Satellite System (GNSS) data. We use the decomposed dataset to visualize variations in surface velocity and direction on unstable slopes in a periglacial environment with sporadic permafrost in northern Norway. By identifying areas with uplift and subsidence, and detecting velocity changes (down-slope acceleration/deceleration) and related areas of extension and compression, we are able to explain driving and controlling mechanisms and geomorphology in two rockslides and one area with solifluction landforms.

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### 1. Introduction

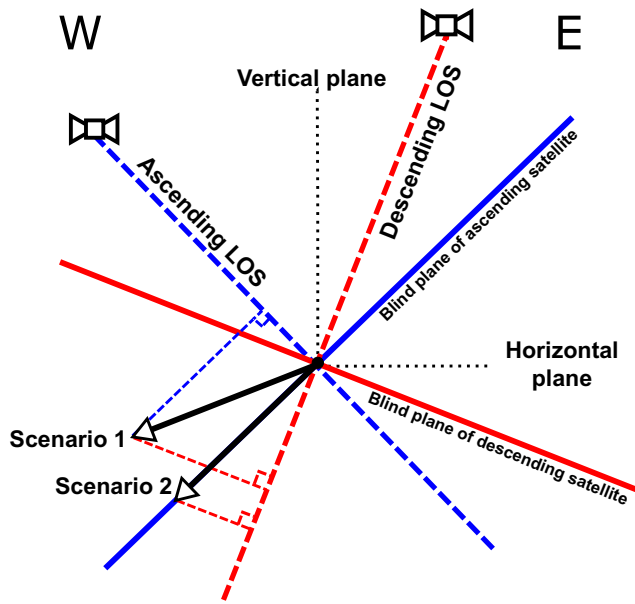
Measurement of surface displacement gives unique insights into a variety of active processes related to slope instability, subsidence, degradation of permafrost, earthquakes and volcanic activity. Many methods have been used for mapping and monitoring ground surface displacement. These include interpretation and comparison of aerial photographs (Krainer and Mostler, 2000), photogrammetry (Kaufmann, 1998), ground-based surveys using triangulation and laser ranging (Konrad et al., 1999), differential Global Navigation Satellite System (dGNSS) (Krainer and He, 2006), terrestrial laser scanning (Bauer et al., 2003), and comparison of digital elevation models (Kääb, 2002). These techniques are restricted to provide point measurements, track features moving with high velocity, or are based on an assumption that flow is parallel to the surface.

During the last few decades, satellite-based interferometric synthetic aperture radar (InSAR) has become an important tool for mapping and monitoring a variety of displacement processes (Gabriel et al., 1989; Massonnet and Feigl, 1998). Satellite InSAR covers large

areas, with no restriction as to weather or light conditions. Depending on the revisit period of the SAR satellite, InSAR can monitor relative ground displacement on a scale of millimeters to centimeters. InSAR data have, in particular, been used for monitoring slope instability (Berardino et al., 2003; Hilley et al., 2004; Lauknes et al., 2010; Henderson et al., 2011), land subsidence related to groundwater extraction (Chaussard et al., 2014), glacier flow, volcanic activity (Massonnet et al., 1995), active layer thickness (Liu et al., 2012), rock glaciers (Liu et al., 2013) and deformation related to earthquakes (Massonnet et al., 1993; Fialko et al., 2005). The satellite InSAR technique allows us to measure surface displacement by repeatedly illuminating the ground with microwave electromagnetic energy, exploiting the phase part of the complex signal.

However, the radar is only sensitive to displacement along the satellite line-of-sight (LOS) direction. Displacement orthogonal to the LOS direction (blind plane) cannot be detected. To illustrate this, the LOS vectors for the ascending and descending satellite orbits are plotted in a west-east cross-section together with two different displacement scenarios (Fig. 1). The displacement in scenario 1 is possible to detect from both satellite orbits, though the sensitivity is best from the descending satellite orbit. In scenario 2 the displacement is parallel to the blind plane of the radar in ascending satellite orbit and cannot be measured.

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**Fig. 1.** West-east cross-section showing sensitivity to displacement for InSAR data in ascending and descending satellite orbits. Solid red and blue lines indicate blind planes. Arrows show direction and magnitude of two displacement scenarios having different sensitivity from ascending and descending satellite orbits.

Relating InSAR displacement maps to ongoing surface displacement processes can be difficult. A knowledge of the LOS direction for the applied satellite geometry as well as factors controlling the direction of displacement (gradient and aspect of the terrain, orientation of controlling geological structures) is required to understand how much of the true three-dimensional (3D) displacement can be observed.

However, combining InSAR displacement data from ascending and descending satellite orbits can help increase sensitivity for displacement close to the blind plane. There are a number of ways to exploit and combine several InSAR datasets (Hu et al., 2014). Some studies assume a surface-parallel displacement direction (Joughin et al., 1998; Gourmelen et al., 2007). This is not always feasible when studying features such as rockslides displaying complex displacement patterns. Others studies exploit the amplitude part (feature-tracking) of the complex radar backscatter for studying areas with displacement on the order of decimeters (Fialko et al., 2001; Funning et al., 2005; González et al., 2009; Erten et al., 2010). This is not useful for studying creeping processes with displacement on the order of centimeters per year (Hu et al., 2014).

By combining InSAR displacement data from two different satellite orbits, we have produced a 2D displacement vector surface. This dataset has enhanced sensitivity to displacement in the plane spanned by the two LOS vectors (LOS-plane). This is referred to as the 2D InSAR method. It was first used to study surface deformation related to earthquakes (Fujiwara et al., 2000). Later work applied this method to studying horizontal and vertical deformations related to volcanic activity (Manzo et al., 2006), mining, ground water extraction and CO<sub>2</sub> injection (Gourmelen et al., 2007; Rucci et al., 2011; Chaussard et al., 2014), displacement of buildings (Gernhardt and Bamler, 2012) and earthquakes (Wright et al., 2004). However, except for Fujiwara et al. (2000), no studies using the spatial variations in 2D InSAR dip and combined velocity to investigate surface displacement have been made.

In this study, we apply the 2D InSAR method in a new setting. By exploiting displacement data from overlapping areas in two high-resolution TerraSAR-X datasets acquired from ascending and descending orbits, we create maps and cross-sections showing dip angles, magnitude and spatial variation of surface displacement for rockslides and

solifluction in a periglacial environment in northern Norway. The observed surface displacement is explained with reference to geological structures and landforms. For validation of the 2D InSAR method we use Global Navigation Satellite Systems (GNSS) data from the Jettan rockslide, where displacement patterns have been monitored since 2010 (Braathen et al., 2004; Henderson et al., 2008; Blikra et al., 2009; Blikra et al., 2015).

## 2. Study area

The study area is located in the municipality of Kåfjord, Troms County, northern Norway. The area includes the Nordnes Peninsula and the valleys of Kåfjorddalen and Manndalen (Fig. 2). The topography is alpine with steep mountains surrounded by fjords and valleys. The climate is subarctic, and the local permafrost limit is 600–700 m above sea level (a.s.l.) for the Nordnes Peninsula (Blikra and Christiansen, 2014).

The landscape has been formed and is being actively reshaped by ongoing mass-wasting processes, some controlled by diurnal and seasonal freeze-thaw cycles (Hjort et al., 2014; Eckerstorfer et al., submitted). The study area includes several active and inactive rockslides (Lauknes et al., 2010; Henderson et al., 2011; Bunkholt et al., 2013b; Hermanns et al., 2013), a high density of rock glaciers (Lilleøren & Etzelmüller, 2011) and widespread solifluction landforms (Hjort et al., 2014).

We selected areas with active displacement to validate and show applications of the 2D InSAR method. These are: (1) the GNSS-network at the Jettan rockslide on the Nordnes Peninsula - used for validation; (2) the Gámanjunni 3 rockslide in Manndalen; (3) the Njårgavárri rockslide in Kåfjorddalen; and (4) solifluction landforms at the tip of the Nordnes Peninsula (Fig. 2). The areas are located at altitudes between about 600 and 1100 m a.s.l., and are thus expected to be within the regional permafrost zone.

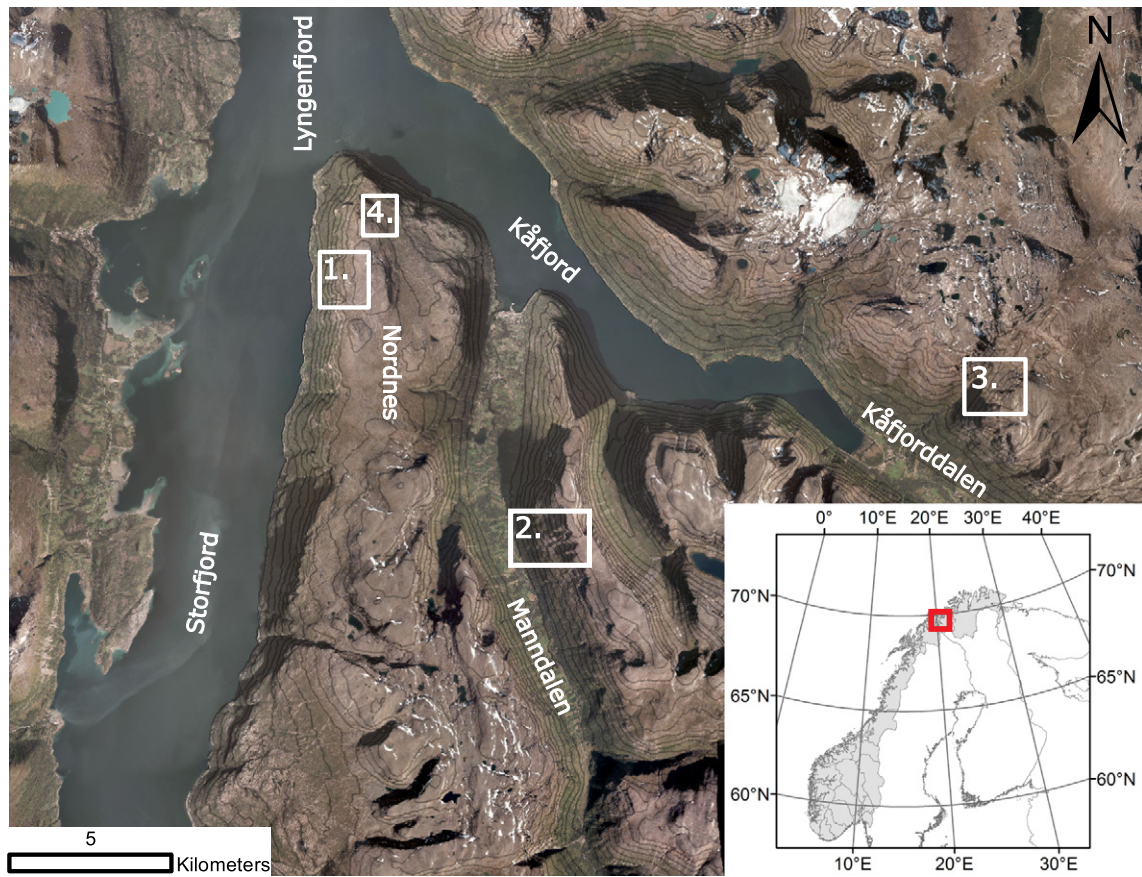
## 3. Materials and methods

### 3.1. InSAR processing

We produced InSAR data from ascending and descending satellite orbits covering all of the localities using the Norut GSAR software (Larsen et al., 2005). We used snow-free scenes from 2009 to 2014 acquired by the TerraSAR-X radar satellite, which has a wavelength of 3.10 cm and a revisit period of 11 days. Single-look complex images from ascending and descending orbits were co-registered and multi-looked,  $6 \times 6$  and  $8 \times 6$ , respectively, producing two stacks of interferograms with ground pixel sizes of approximately  $12 \times 12$  m. Each stack contained approximately 160 interferograms, spanning annually from June until October (Table 1). We selected interferograms having a temporal baseline <55 days, which made it possible to follow high velocity displacement in the study area. We removed interferograms from early spring and late fall because of low coherence due to different surface scattering characteristics in snow-covered to snow-free scenes.

We reduced the noise-level in all the interferograms by applying a Goldstein filtering technique (Goldstein and Werner, 1998). Pixels with layover were masked out. Noisy interferograms were removed by manual quality control, and only pixels with coherence above 0.2 in 35% of the interferograms were kept for the phase unwrapping. After that, the contribution from stratified atmosphere was removed by estimating a phase delay elevation profile for each interferogram (Cavalié et al., 2007). We unwrapped all the interferograms using SNAPHU (Chen and Zebker, 2001). We also performed a manual quality control of the unwrapped interferograms, removing the ones having obvious errors.

Because persistent scatterer interferometric (PSI) processing produces sparse spatial coverage and Small Baseline Subset (SBAS) processing of individual years gave a low signal-to-noise ratio, the mean yearly velocity for each pixel for the ascending and descending datasets was



**Fig. 2.** Location of the study area including the Jettan rockslide (1), the Gámanjuni 3 rockslide (2), the Njárgavárri rockslide (3), and solifluction landforms at the Nordnes Peninsula (4). Contour interval is 100 m.

computed by a weighted averaging (stacking) of all the interferograms from the entire time period using all interferograms simultaneously. (Lyons and Sandwell, 2003). In this way, interferograms from the snow-free season were used to give a mean yearly velocity, assuming the same velocity for the snow-free and snow-covered period. Interferogram stacking is a method that reduces the atmospheric effects on InSAR by averaging independent SAR interferograms (Peltzer et al., 2001). Averaging of independent interferograms assumes an uncorrelated tropospheric effect between interferograms ( $N$ ) and will reduce the atmospheric signal proportional to the square root of  $N$ . We only computed interferograms spanning the period from June to September for each year, using a maximal temporal baseline of 55 days. This minimizes the risk of phase ambiguities due to fast displacement and low temporal sampling. Finally, the resulting phase was converted to

velocity in millimeters per year and geocoded from radar geometry to map geometry, using a digital elevation model (DEM). LOS-vectors for the resulting ascending and descending InSAR dataset were 78/45 and 283/52 (azimuth/dip), respectively.

3.2. Calibration and constraining input to the 2D InSAR procedure

InSAR is a relative technique, meaning that it must be calibrated to a point having a known velocity. The output for the 2D InSAR technique is sensitive to how accurate the input datasets are calibrated. Displacement of the common reference point will cause a shift in dip angles and velocities of the resulting 2D InSAR data. Therefore, we calibrated the ascending and descending InSAR datasets using a two-step routine. First, both datasets were calibrated to an initial common reference point. We used an area ( $3 \times 3$  pixels) covering the reference GNSS station (GNSS 1) outside the unstable area of the Jettan rockslide. Then we compared the calibrated InSAR datasets to the GNSS stations by projecting GNSS velocity vectors into the InSAR ascending and descending LOS directions. The mean difference between the two measuring techniques ( $\Delta Asc$  and  $\Delta Desc$ ), based on data from all GNSS stations, was used to re-calibrate the ascending and descending InSAR datasets. By constraining the input to the 2D InSAR procedure to the GNSS-network, we ensure a more reliable outcome. After processing and a two-step calibration, the TerraSAR-X ascending and descending datasets were used as input to the 2D InSAR algorithm.

**Table 1**

Time interval and number of interferograms used to produce mean yearly velocity for the InSAR data from ascending and descending satellite orbits.

Year	Geometry	Start date DD/MM	End date DD/MM	Days	Number of interferograms
2009	Ascending	16.06	23.09	100	23
2009	Descending	10.06	28.09	111	37
2010	Ascending	03.06	10.09	100	27
2010	Descending	08.06	15.09	100	18
2011	Ascending	12.06	30.09	111	29
2011	Descending	17.06	05.10	111	40
2012	Ascending	01.07	27.09	89	19
2012	Descending	03.06	13.10	133	45
2013	Ascending	07.06	25.09	112	35
2013	Descending	01.06	30.09	122	24
2014	Ascending	19.07	23.09	67	20
2014	Descending	10.06	09.10	122	2

3.3. Combination of ascending and descending datasets (2D InSAR)

Combined annual velocity vector  $\underline{T}$  (mm/yr) was calculated using the ascending LOS unit vector  $\underline{u}_a$ , its unit normal vector in the LOS-

plane  $u_d^\perp$ , the angle  $\alpha$  between ascending and descending LOS vectors and the estimated velocities from ascending and descending satellite orbits ( $d_a$  and  $d_d$  (mm/yr)) solving the geometrical problem in (Fig. 3) and equation 1 and 2:

$$\begin{aligned} \underline{T} &= d_a \underline{u}_a + u_d^\perp \quad (1) \\ \underline{T} &= d_a \underline{u}_a + \frac{d_d - d_a \cos \alpha}{\sin \alpha} u_d^\perp \quad (2) \end{aligned}$$

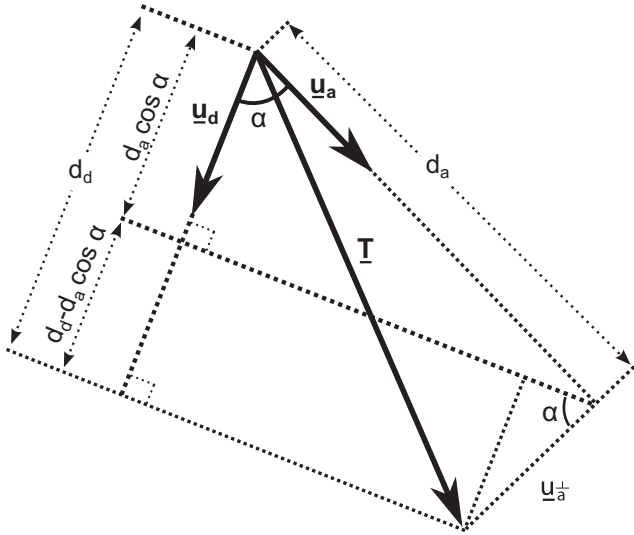


Fig. 3. Geometric problem raised in order to find combined annual velocity vector  $\underline{T}$ , given two non-parallel LOS measurements.

Vector  $\underline{T}$  gives velocity and dip of displacement for each pixel that is common to both the ascending and descending datasets. The orientation of the LOS-plane is close to vertical, striking close to west-east (Fig. 4a). Thus, vector  $\underline{T}$  contains the projection of the true surface velocity in this plane. Note that in terrains having a large velocity component towards north or south, this component will be underestimated since it is perpendicular to the LOS-plane. To simplify, we calculated the projection of vector  $\underline{T}$  in the west-east, up-down plane; vector  $\underline{T}_{\text{WEP}}$ . From  $\underline{T}_{\text{WEP}}$  we calculated horizontal ( $h$ ) and vertical ( $v$ ) yearly velocities, and then dip of  $\underline{T}_{\text{WEP}}$  ( $\Theta$ ) based on  $h$  and  $v$  (Fig. 4b). The angle  $\Theta$  will be referred to as the dip of displacement, and is represented by a color palette divided into sectors of  $15^\circ$  (Fig. 4c). We use positive dip for areas moving down, negative for areas moving up. Dip values are given as E or W, indicating displacement towards east or west. In a terrain with varying topography, horizontal and vertical components from 2D InSAR can be difficult to interpret. It is easier to relate and compare variations in 2D InSAR dip in cross-sections that spans geological- and geomorphological elements, than to evaluate variations in both the 2D InSAR horizontal and vertical component. We did this for all sites. Moreover, for the Gámanjuni 3 rockslide, we calculated the difference between 2D InSAR dip and the slope gradient to identify areas where displacement is moving out of the slope (uplift) or into the slope (subsidence).

An important aspect of the 2D InSAR technique is that it is constrained by the input datasets' sensitivity to displacement. For datasets captured by earth observing satellites in near-polar orbits, surface processes having a large horizontal deformation component in the N-S direction will result in dip of displacement converging towards vertical down ( $90^\circ$ ) or vertical up ( $-90^\circ$ ), plus an underestimation of magnitude values.

### 3.4. Geological and morphological interpretation

For the geological and geomorphological interpretation, we used a DEM ( $10 \times 10$  m resolution, <http://data.kartverket.no/download/>

[content/digital-terrengmodell-10-m-utm-33](http://data.kartverket.no/download/)), Light Detection and Ranging (LIDAR) data from 2014 ( $1 \times 1$  m resolution) and orthophotos Finnmark-Troms 2006 ( $0.5 \times 0.5$  m resolution) and Troms 2011 ( $1 \times 1$  m resolution, <http://www.norgebilder.no/>), all supplied by the Norwegian Mapping Authority. In addition, for the Gámanjuni 3 rockslide, we used an orthophoto ( $0.1 \times 0.1$  m resolution) and a DEM ( $0.25 \times 0.25$  m), both from 2011, provided by Geological Survey of Norway (NGU). We used DEM-data to produce cross-sections, and DEM and LiDAR data to produce slope maps. The slope maps are used in two ways: (1) for interpretation of morphology (back scarps, slide scarps, slide fronts, lateral borders); and (2) for comparing 2D InSAR dip of displacement to slope gradient in cross-sections for finding areas having subsidence and uplift.

Synthesizing different datasets (e.g. GNSS, 2D InSAR, geological structures and geomorphological elements) in map view and cross-section enable discussion of the processes responsible for the displacement both spatially and underground. For all localities, we selected cross-sections based on the 2D InSAR LOS-plane orientation and geological structures and landforms. 2D InSAR data was plotted as vectors on the terrain surface to identify and as a basis for discussing subtle spatial variations in ongoing displacement processes. Where the orientations of the cross-sections differ from the LOS-plane, the combined annual velocity vector ( $\underline{T}$ ) has been projected into the cross-section before plotted on the terrain surface.

## 4. Results

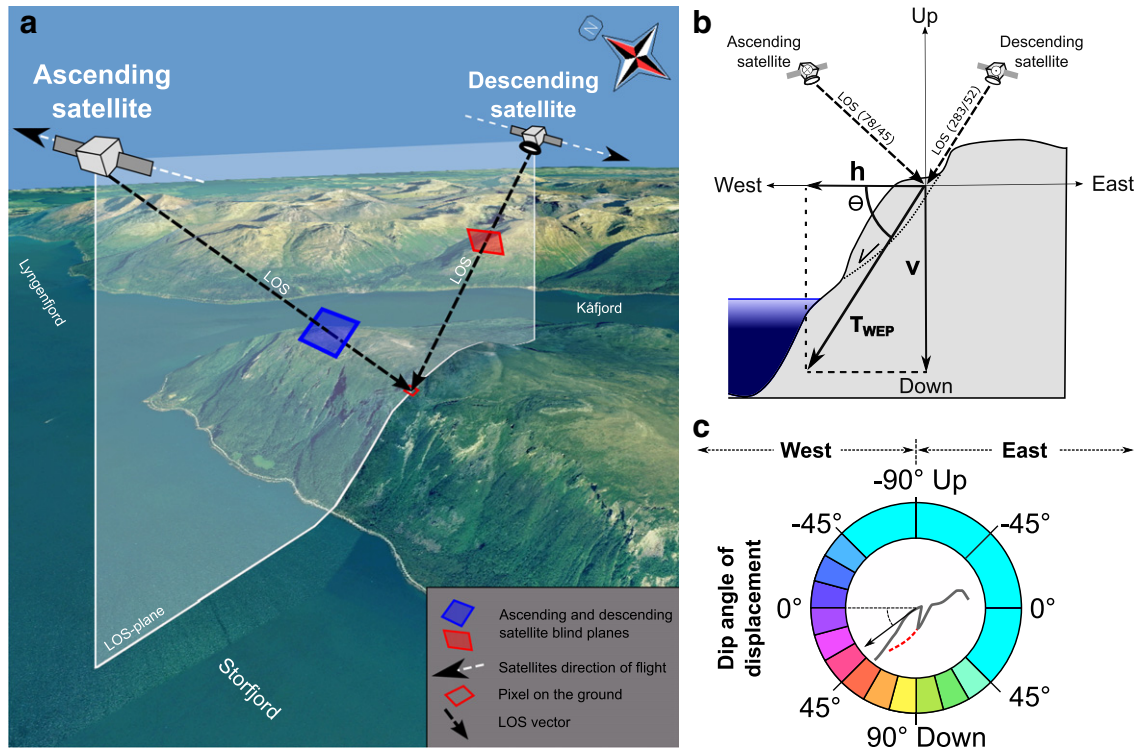
Before presenting the results from each locality, we validate the use of the 2D InSAR method by comparing InSAR derived displacement vectors with GNSS derived vectors from six permanent GNSS-stations located at the Jettan rockslide (Fig. 5).

### 4.1. Validation of InSAR with GNSS data

The Jettan rockslide extends almost from sea level to 800 m a.s.l., with parts of the active deforming area ( $\sim 5-6 \text{ Mm}^3$ ) moving  $> 50$  mm/yr (Blikra et al., 2015) (Fig. 5). The rockslide has been classified as an unstable, complex rockslide/field area (Braathen et al., 2004), controlled by permafrost (Blikra and Christiansen, 2014).

From 2D InSAR data, three areas showing particularly high rates of movement are identified in the unstable upper part of the slide: (1) a northern; (2) a southern; and (3) an upper area, all having different displacement patterns (Fig. 6). The northern area (1) has a terrace-slope-terrace topography and the highest velocity in the Jettan rockslide (Fig. 6a). We observe a heterogeneous displacement pattern, with dip of displacement varying between down-slope, out-of-slope and into-slope. This may indicate that displacement is controlled by a complex fault geometry at depth, including several stepped and discontinuous fault planes. Moreover, the varying dip of displacement, indicated by 2D InSAR data, show a chaotic displacement pattern, rotation of fault blocks, and varying zones of compression and extension (Fig. 6b), substantiating work by Braathen et al. (2004); Nordvik et al. (2010); Skrede (2013); Blikra et al. (2015). The southern (2) and upper (3) areas show different displacement patterns separated by the main active fracture. From 2D InSAR data, the southern (2) area has a steeper dip and shows a homogeneous displacement pattern, indicating one or more continuous fault planes at depth controlling masses moving as one unit. The upper area (3) has been interpreted by Blikra and Christiansen (2014) to be a rock glacier with most of the original bedrock structures still preserved. 2D InSAR displacement patterns support this theory suggesting a flowing motion out onto the bench formation below, controlled by the sub-horizontal foliation.

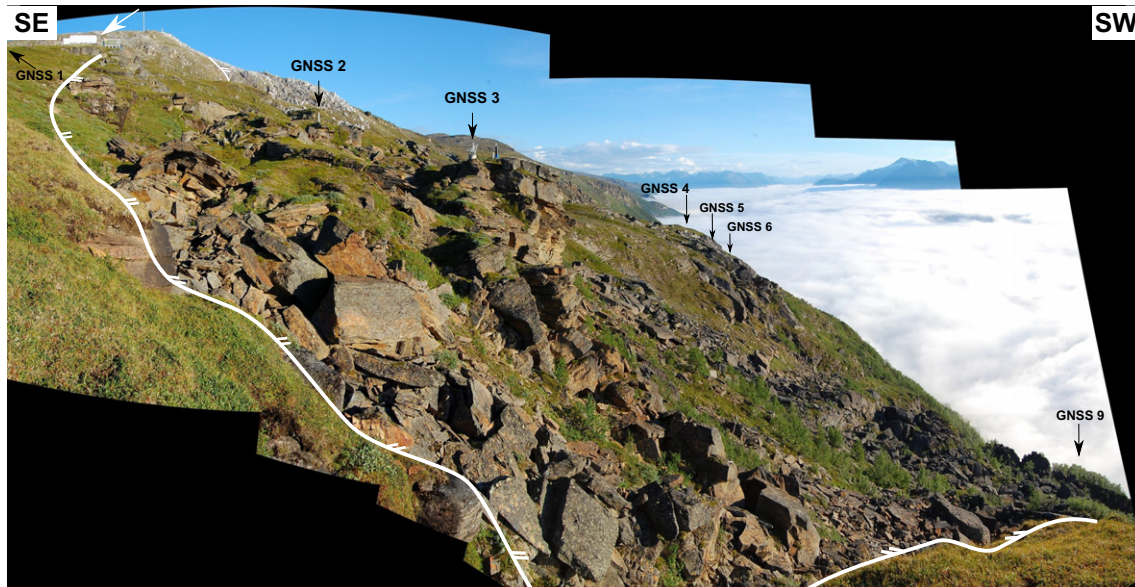
For validation of 2D InSAR displacement patterns, we used GNSS data from six stations at the Jettan rockslide, maintained by the Norwegian Water Resources and Energy Directorate (NVE).



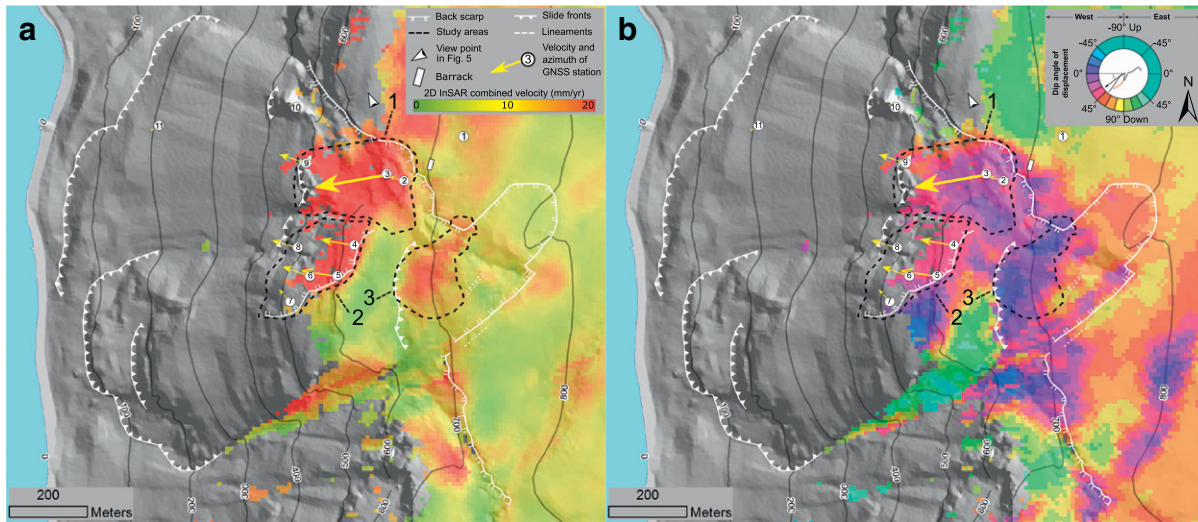
**Fig. 4.** a) The Jettan rockslide pinpointed by the ascending and descending satellite LOS vectors. LOS-plane defined by LOS vectors is illustrated with white transparent color. b) West-east cross-section with displacement vector  $T$  component in the west-east plane ( $T_{WEP}$ ), horizontal displacement vector ( $h$ ), vertical displacement vector ( $v$ ) together with dip of displacement ( $\theta$ ). LOS direction is given in azimuth and dip. c) Color convention used to describe dip of displacement in map view.

The GNSS data were analyzed using the geodetic GNSS software GAMIT/GLOBK. To ensure a long-term, stable reference frame, measurements from the Jettan stations were combined with results from the Norwegian Permanent GNSS network (Kierulf et al., 2014). It is well known that GNSS time-series have a more complex noise structure than only white noise (Williams, 2003). This fact has little consequence for the velocity estimates, but has significant impact on the estimated velocity rate uncertainties. We have used a combination of white noise and power law noise, where we also

estimate the spectral index. The velocities, spectral index and uncertainties are estimated using the software Cheetah (Bos et al., 2008). Some of the Jettan GNSS stations show large inter and intra annual variations. This is also seen in other observations (Blikra and Christiansen, 2014). To ensure a consistent comparison of the input to the 2D InSAR procedure, InSAR data captured in ascending ( $d_a$ ) and descending ( $d_d$ ) LOS direction and GNSS data, we computed the mean yearly velocity vectors for each GNSS-station based on data from the same time interval as covered by the interferograms



**Fig. 5.** Overview of the Jettan rockslide with back scarp (white line) separating stable from unstable ground. Location of GNSS-stations used in verification is marked with black arrows. Note the white barrack (~8 m long) marked with a white arrow in the upper left corner for scale.



**Fig. 6.** Mean yearly velocity from 2D InSAR data and GNSS from the Jettan rockslide. The northern (1), southern (2) and upper (3) study areas are indicated. a) Combined velocity from 2D InSAR data. b) 2D InSAR dip of displacement. GNSS stations are numbered as referred in the text.

(Table 1). GNSS-vectors were projected into the InSAR ascending and descending LOS direction, with a standard deviation of 0.03 and 0.53 mm/yr (Table 2). For the ascending and descending InSAR data ( $d_a$ ,  $d_d$ ), we assume a conservative standard deviation of 5 mm per interferogram due to the atmosphere. By using Eq. (11) from Emardson et al. (2003), with 153 interferograms and a maximum temporal baseline of 55 days, we estimate the standard deviation of the retrieved mean velocity to 2.7 mm/yr. The difference between the two measuring techniques,  $\Delta Asc$  and  $\Delta Desc$ , has a mean of 6.1 and 5.9 mm/yr, respectively. Compared with the rates based on the complete GNSS coordinate time series, only a few rate estimates deviate more than the rate uncertainties. Data from GNSS station 3 show large intra and inter annual variations and is only station where rate estimates based on the restricted time intervals (also covered by the interferograms) deviate from the complete time series by several mm/yr. 2D InSAR compared to the GNSS data show good agreement for the horizontal and combined displacement and some deviation in the vertical component and dip of displacement (Fig. 7).

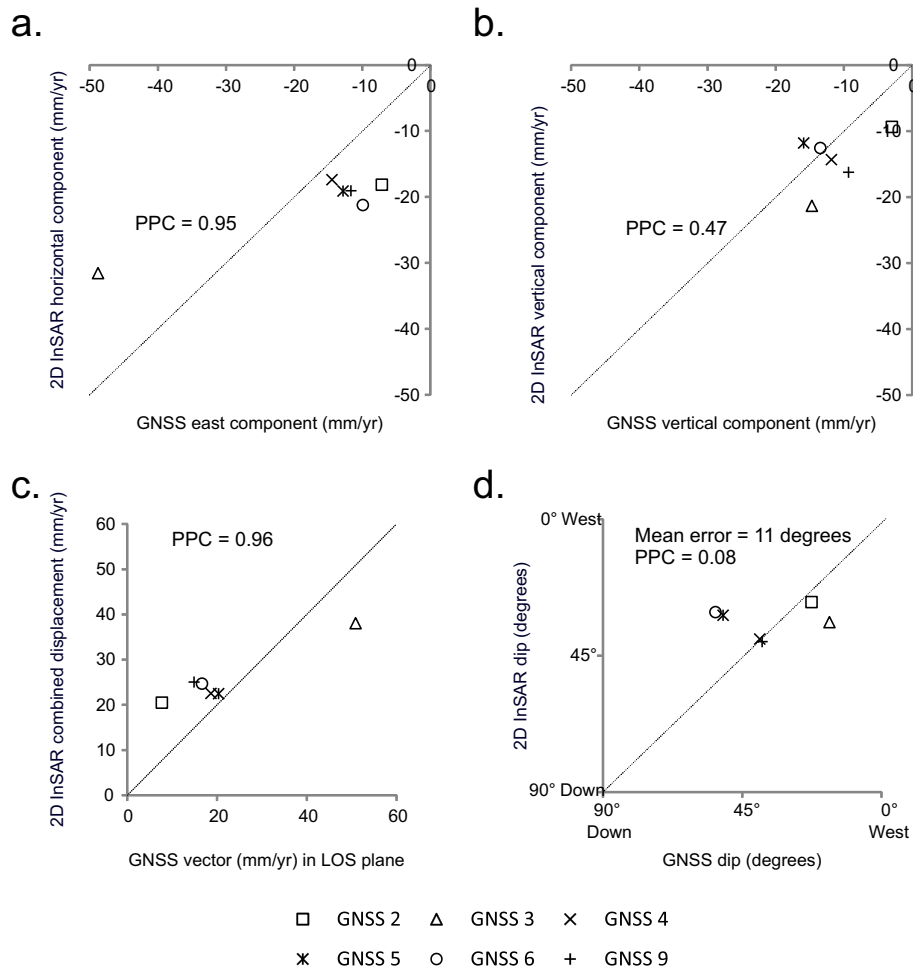
Some clarifications regarding the difference between the GNSS and 2D InSAR data is needed. First, velocity from GNSS data is calculated based on a point measurement (block), while the 2D InSAR data give a mean velocity calculated from all natural reflectors inside an area on the ground. This difference (representation error) is to be expected in an area having complex displacement patterns like Jettan (Fig. 5). An example is shown by GNSS 3, the station with the highest velocity, which is underestimated with regard to horizontal and 2D InSAR

combined velocity (Fig. 7a, c). This means that the contribution from all natural reflectors in the pixel containing GNSS 3 gives a lower InSAR velocity than the point measurement registered by GNSS 3. A contributing factor to deviation between mean yearly velocities from 2D InSAR and lower altitude GNSS stations, is their vicinity to lower elevated areas along the slope, affected by foreshortening, which reduces the effective resolution. Moreover, some of the deviation could be explained by the constellation of GNSS-satellites, which result in lower accuracy of the vertical component at higher latitudes (Langley, 1999). Lastly, the mean direction of displacement for the GNSS stations (279/36) is close to the blind plane of the ascending InSAR geometry. Thus, highly reduced sensitivity to displacement is expected for the ascending InSAR geometry. The GNSS dip compared to 2D InSAR dip have a mean deviation from GNSS dip (mean error) of 11 degrees (Fig. 7d). With the above discussed deviations in mind, we find a low Pearson product-moment correlation coefficient (PPC), indicating low linear correlation between GNSS and 2D InSAR dip data. We think the comparison are a result of measuring techniques used (point vs. area) in an area with a complex displacement pattern, and consider the 2D InSAR dip data to be acceptable.

It should be added that the stacking algorithm relies on the assumption that by averaging all interferograms, the atmospheric contribution will cancel out. This is most likely not the case everywhere and local variations should be expected. This is especially important when working with 2D InSAR data covering areas with large differences in elevation, and with a stratified atmosphere.

**Table 2**  
Velocity based on measurement from snow-free seasons (2009–2014) measured by the InSAR-technique and GNSS stations, showing standard deviation ( $\sigma$ ) and representation error ( $\Delta Asc$ ,  $\Delta Desc$ ), all in millimetres per year in satellite line-of-sight.

GNSS station	Data projected into TSX-ascending LOS					Data projected into TSX-descending LOS				
	InSAR ( $d_a$ )	$\sigma$ , InSAR ( $d_a$ )	GNSS	$\sigma$ , GNSS	$\Delta Asc$	InSAR ( $d_d$ )	$\sigma$ , InSAR ( $d_d$ )	GNSS	$\sigma$ , GNSS	$\Delta Desc$
2	5.2	2.7	2.6	0.1	2.6	−18.1	2.7	−6.8	0.2	11.3
3	5.2	2.7	23.9	−0.8	18.7	−33.6	2.7	−39.6	0.9	6.0
4	0.9	2.7	1.0	0.0	0.1	−21.7	2.7	−18.3	0.4	3.4
5	4.0	2.7	−3.0	0.4	7.0	−20.6	2.7	−20.6	0.7	0.0
6	4.5	2.7	−3.5	0.3	8.0	−22.4	2.7	−17.2	0.6	5.3
9	0.6	2.7	0.9	0.1	0.3	−24.2	2.7	−14.8	0.3	9.5
Mean				0.03	6.1				0.53	5.9



**Fig. 7.** Surface velocity from 2D InSAR compared to GNSS stations with Pearson product-moment correlation coefficient (PPC). a) GNSS horizontal component compared to 2D InSAR horizontal component. b) GNSS vertical component compared to 2D InSAR vertical component. c) GNSS vector in LOS-plane compared to 2D InSAR combined velocity. d) GNSS dip compared to 2D InSAR dip.

To summarize, the comparison of 2D InSAR and GNSS data show that 2D InSAR horizontal and combined velocity agree better with the GNSS data than the vertical 2D InSAR data. The results achieved using the 2D InSAR method are considered as reliable for interpretation of displacement patterns.

#### 4.2. Gámanjinni 3 rockslide

Gámanjinni 3 is located on the east side of Manndalen and is one of several actively deforming rockslides in this area (Henderson et al., 2011; Bunkholt et al., 2013a; Böhme et al., 2016) (Fig. 2). It is located on a steep west-dipping valley slope and ranges in elevation from ca. 600 to 1220 m a.s.l., from toe to back scarp (Fig. 8). The average gradient is  $\sim 33^\circ$  from the valley bottom to the back scarp. The bedrock is mainly fractured along two steeply dipping fracture sets and the foliation is sub-horizontal (metamorphic planar sheeting) (Böhme et al., 2016).

Gámanjinni 3 can be defined as a complex field rockslide (Braathen et al., 2004) comprised of an undulating slope containing steep slide scarps, benches, ridges and distinct slide front formed by ongoing sliding, block rotation and direct fall. The upper part consists of a  $\sim 300 \times 200$  m wedge-shaped block controlled by two back scarps, that has moved  $\sim 150$  m downslope with a dip of  $45^\circ$  (Böhme et al., 2016).

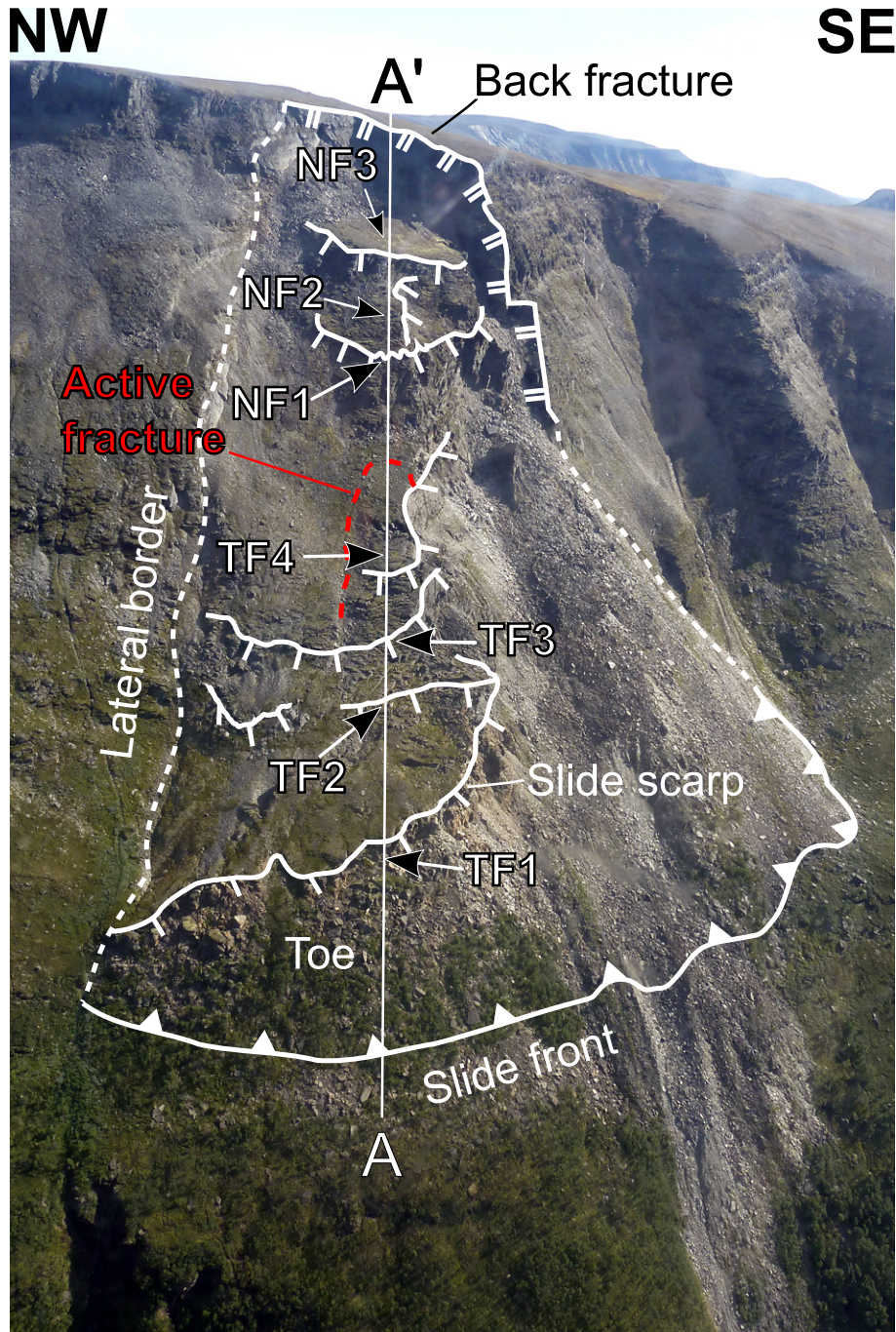
In the 2D InSAR combined velocity data, the active deforming area is well delimited from the surroundings, with velocities above 60 mm/yr

(Fig. 9a). Dip of displacement is steeper in the upper part of the rockslide than in the lower part (Fig. 9b). Combined velocities show increasing values from the top to the middle part ( $\sim 600$  m), and a decrease from the middle part to the toe (Fig. 10a). We observe steeper dip of displacement from the top to the middle part, followed by shallower dip from the middle part to the toe (Fig. 10b).

From the variations in 2D InSAR combined velocity and dip of displacement, we identify zones of downslope acceleration and deceleration (velocity change) that may possibly be related to an active stress regime with extension and compression (Fig. 10c). We have also compared dip of displacement to slope gradient along the cross-section A–A' in order to identify areas where the surface is uplifting or subsiding (Fig. 10d). We see from this that parts of the rockslide are subsiding in the upper parts and uplifted in the lower parts, with a pivot point in the middle ( $\sim 400$  m). These relationships show a clear overlap between areas with uplift and subsidence and outcropping compressional (thrust) faults and extensional (normal) faults (Fig. 10).

From the data, we propose a geological (slope process) model for the Gámanjinni 3 rockslide (Fig. 10e). In the upper- to the middle-part of the rockslide, subsidence, slightly increasing velocity and successively steeper dip of displacement, indicates a stress regime with ongoing extension and sliding on normal faults. From the middle part towards the toe, shallower dip of displacement and decreasing velocities suggest a





**Fig. 8.** The Gámanjuni 3 rockslide with cross-section A–A'. The toe-zone rests on more gently dipping terrain with sub-horizontal foliation. Interpreted thrust faults (TF) and normal faults (NF) marked with arrows. (Photo courtesy Geological Survey of Norway).

change towards a compressional stress regime with increased frictional resistance, coinciding with more gently dipping terrain.

The 2D InSAR data with distinct offsets along the Gámanjuni 3 cross-section A–A', also reveal variations on a smaller scale that can be interpreted as being due to uplift along thrust faults (TF) and subsidence by normal faulting (NF) (arrows in Fig. 10a, b). This is supported in the rockslide toe by a stepped pattern in combined velocity and dip of displacement values, that correspond well with internal observed slide scarps in the field (arrows TF1 to TF4 in Fig. 10a, b). These scarps may be the surface signature of outcropping thrust faults, with repeated imbricated slide blocks (marked with gray stippled lines in Fig. 10d, e)

thrust over each other, producing large boulders in front (Fig. 10e). In the upper part of the rockslide, we identify increasing velocity (Fig. 10a) and discrete steps in the dip of displacement (arrows NF1 to NF3 in Fig. 10a, b), and some of these steps are observed as slide scarps in the field. We interpret these steps as being due to active normal faulting of internal slide blocks.

As discussed in Section 3.3, caution is required when interpreting 2D InSAR data in areas having large displacement components in a N–S direction (orthogonal to the LOS-plane). Overestimation of dip of displacement (too steep) and underestimation of velocity can be expected. Active fractures observed in the field as ruptured soil/

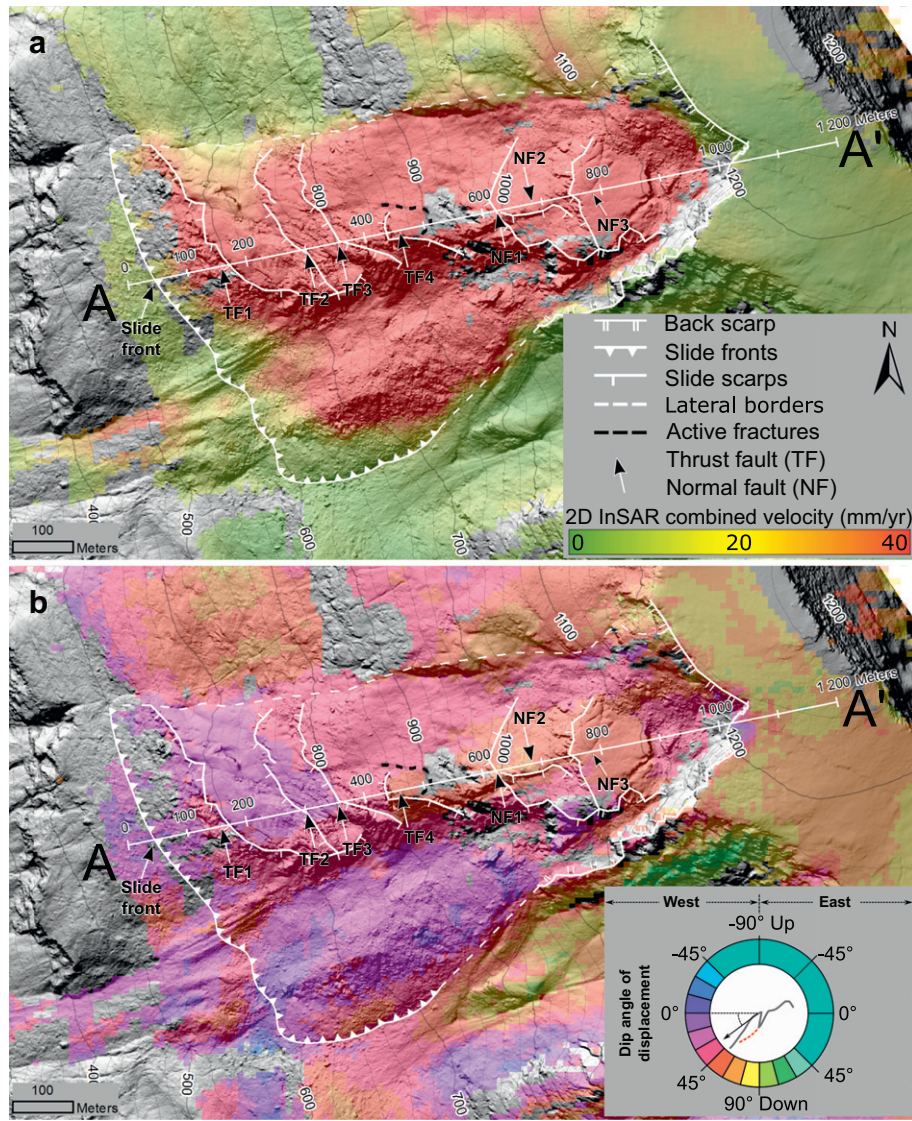


Fig. 9. Gámanjuni 3 rockslide showing 2D InSAR data and morphological elements. a) Combined velocity. b) Dip of displacement.

vegetation delimiting the area north of TF4, is one example of this (Figs. 9a, b and 10a, b, d, e).

Even though we avoided interferograms from early spring and late fall in order to reduce the influence of the seasonal freeze-thaw cycle in the active layer, we see displacement almost vertically down in the upper part of cross-section A–A' (~1000–1200 m). This displacement is probably related to summer thaw subsidence. If we assume the same seasonal displacement everywhere, the 2D InSAR mean yearly vertical velocity is overestimated and the dip of displacement is too steep. Although this effect could be avoided using interannual interferograms, we have used a short baseline (55 days) in order to avoid unwrapping problems in the high-velocity areas. Furthermore, we consider this influence from summer thaw to be most prominent in the upper parts of the cross-section due to a shorter summer season there.

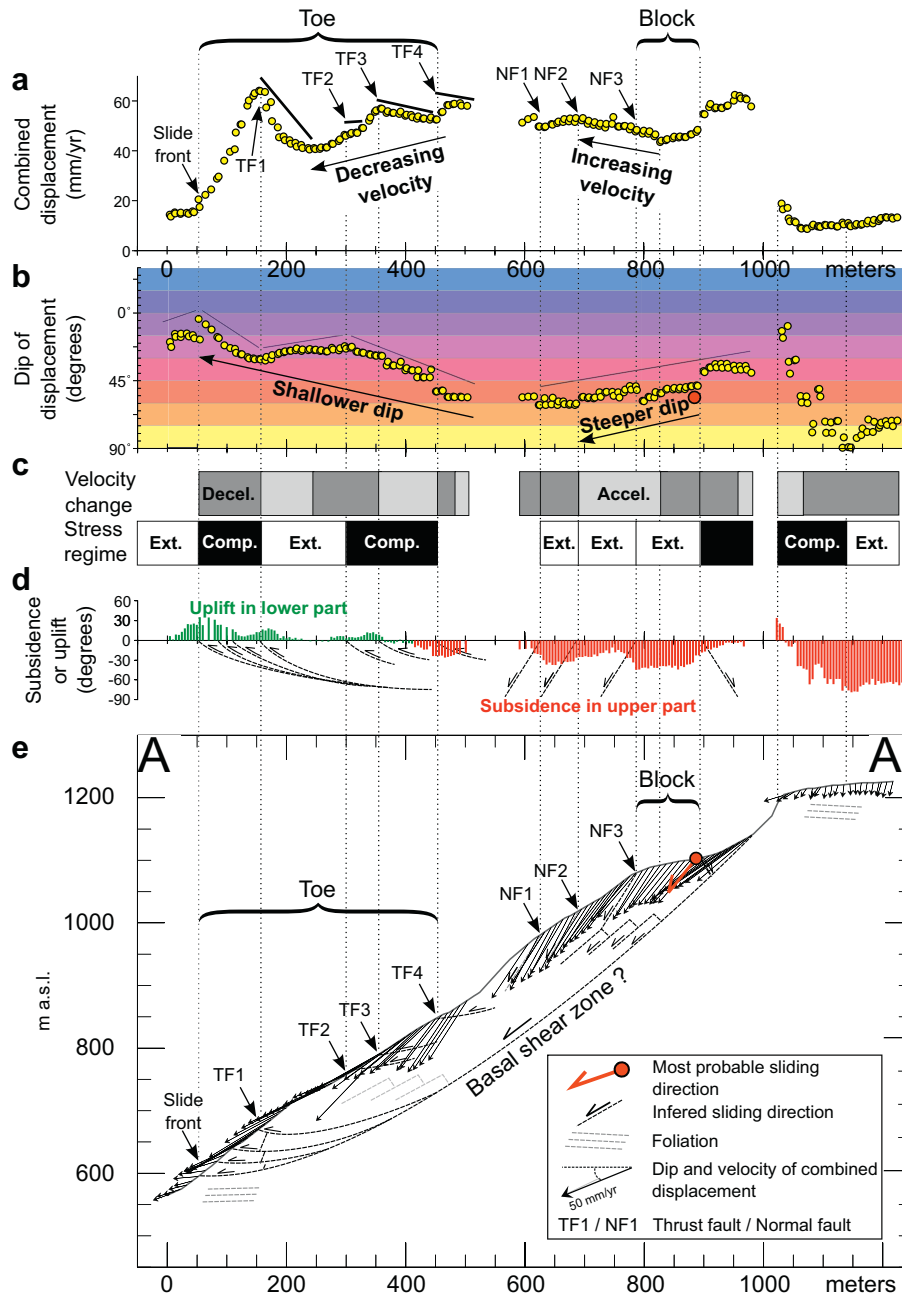
Böhme et al. (2016) used kinematic stability tests to determine that the most likely movement direction of Gámanjuni 3 is towards WSW (253°), dipping ~45°. Their results are supported by GNSS data from 2011 to 2014. Our results show a good match between dip of displacement estimated by 2D InSAR data from the upper part of the rockslide

and the most probable sliding direction found by Böhme et al. (2016). When the movement direction of Böhme et al. (2016) is projected into the A–A' cross-section, it has a dip of 56° towards W (see red dot and arrow in Fig. 10b, e).

Based on our field observations and variations in velocity, dip of displacement and stress regime from 2D InSAR data along cross-section A–A', we suggest a geological model connecting ongoing surface displacement at the Gámanjuni 3 rockslide to faults at depth. We suggest a stress regime with overall downslope acceleration and extension in the upper part, and compression and deceleration in the lower part. The moving masses pushing from behind are being decelerated in the toe-zone along sub-horizontal thrust planes (Fig. 11).

#### 4.3. Njårgavárri rockslide

The mountain of Njårgavárri comprises a major rockslide covering an area of 640,000 m<sup>2</sup> (Fig. 12). The unstable and deforming area is located in a side valley to Kálfjorddalen (location 3 in Fig. 2) at an altitude of 540 to 1100 m a.s.l. The upper parts are located above the regional permafrost limit (800–1000 m a.s.l.). The mean gradient of the rockslide



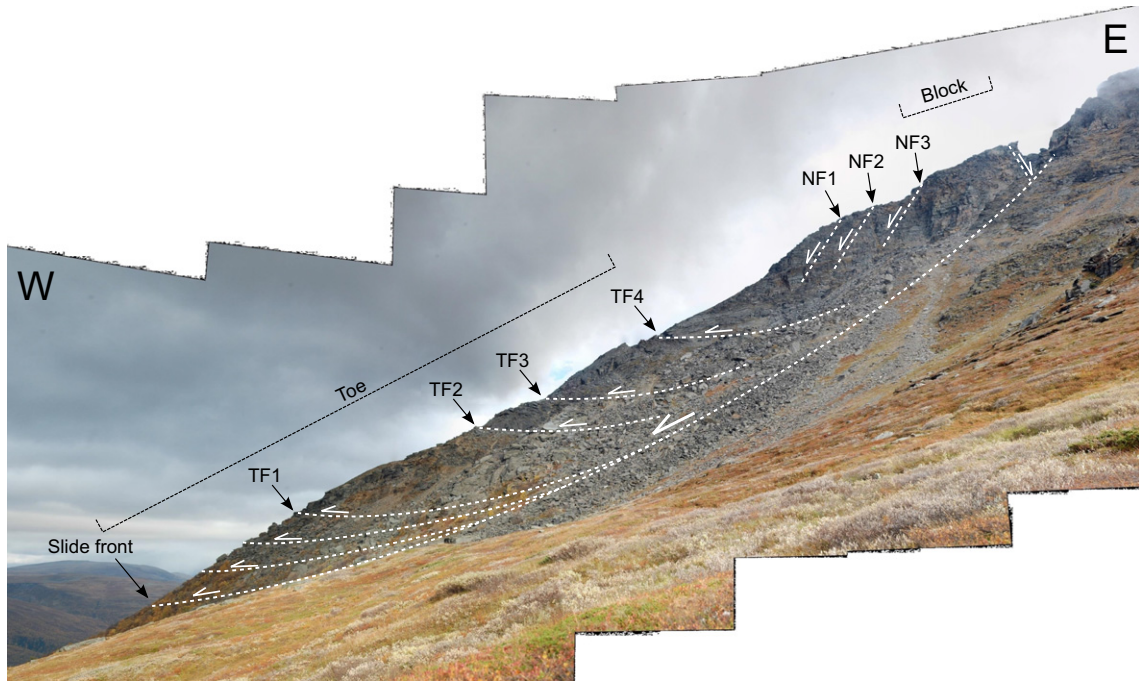
**Fig. 10.** 2D InSAR data with tentative interpretations in cross-section A–A'. a) 2D InSAR combined velocities along the Gámanjuni 3 rockslide, interpreted rockslide blocks (solid lines), and potential normal (NF) and thrust faults (TF). b) Dip of displacement with approximate general trends. Note red dot for most probable sliding direction. c) Zones of downslope acceleration and deceleration, with inferred stress regime (extension versus compression) interpreted from Fig. 10a and b. d) Relationship between dip of displacement and slope gradient of rockslide surface indicating areas with uplift and subsidence. Note interpreted location of outcropping compressional (thrust) and extensional (normal) faults. e) Geological interpretation of cross-section A–A' with black arrows showing dip and velocity 2D InSAR data. Trends of foliation, and inferred normal- and thrust-faults are indicated. Note red dot and arrow for most probable sliding direction.

from the toe area to back scarp is  $\sim 26^\circ$ . The back scarp itself dips  $30\text{--}40^\circ$  towards NW and is bounded by steep to sub-vertical cross/transverse scarps (Fig. 12). The internal morphology of the rockslide varies, from steep scarps to moderately dipping terraces and lobate landforms.

The active part of the rockslide is well delimited from the surroundings both in the 2D InSAR combined velocity data (Fig. 13a) and dip of displacement (Fig. 13b). Calculated velocities vary spatially between 0 and 100 mm/yr in most of the deforming area, with a maximum of  $\sim 200$  mm/yr in the northern part. In the cross-section B–B', velocities are highest in the middle part (Fig. 13c). Dip of displacement shows a general spatial trend from into the slope in the upper part, parallel to

the slope in the middle part and out of the slope in the lower part of the rockslide. In cross-section B–B', the dip of displacement is getting shallower from the upper limit of the deforming area to the lowermost slide front (Fig. 13d), although, internal variations related to slide scarps do exist.

We interpret the general velocity characteristics and dip of displacement out of the slope in the lower part (below  $\sim 750$  m) of cross-section B–B' to indicate an overall deceleration linked to internal compressive stresses, and/or rotational movements of blocks (Fig. 13d). Lobes or slide blocks stacked on top of each other could explain the observed internal variations in dip of displacement. By comparing these internal

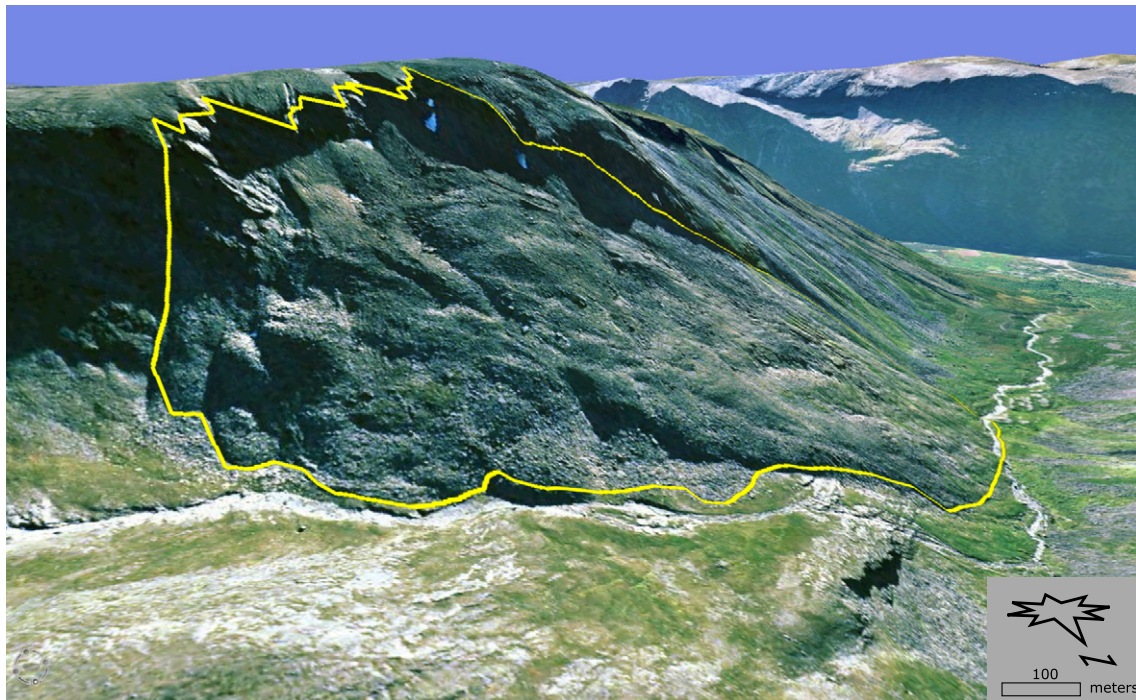


**Fig. 11.** Photo of the Gámanjinni 3 rockslide and cross-section A-A', showing rockslide toe, upper block, normal- and thrust-faults and the basal shear zone indicated. Normal faults (NF) and Thrust faults (TF) observed in the field and interpreted from 2D InSAR data are marked. Viewpoint from 600 m a.s.l.

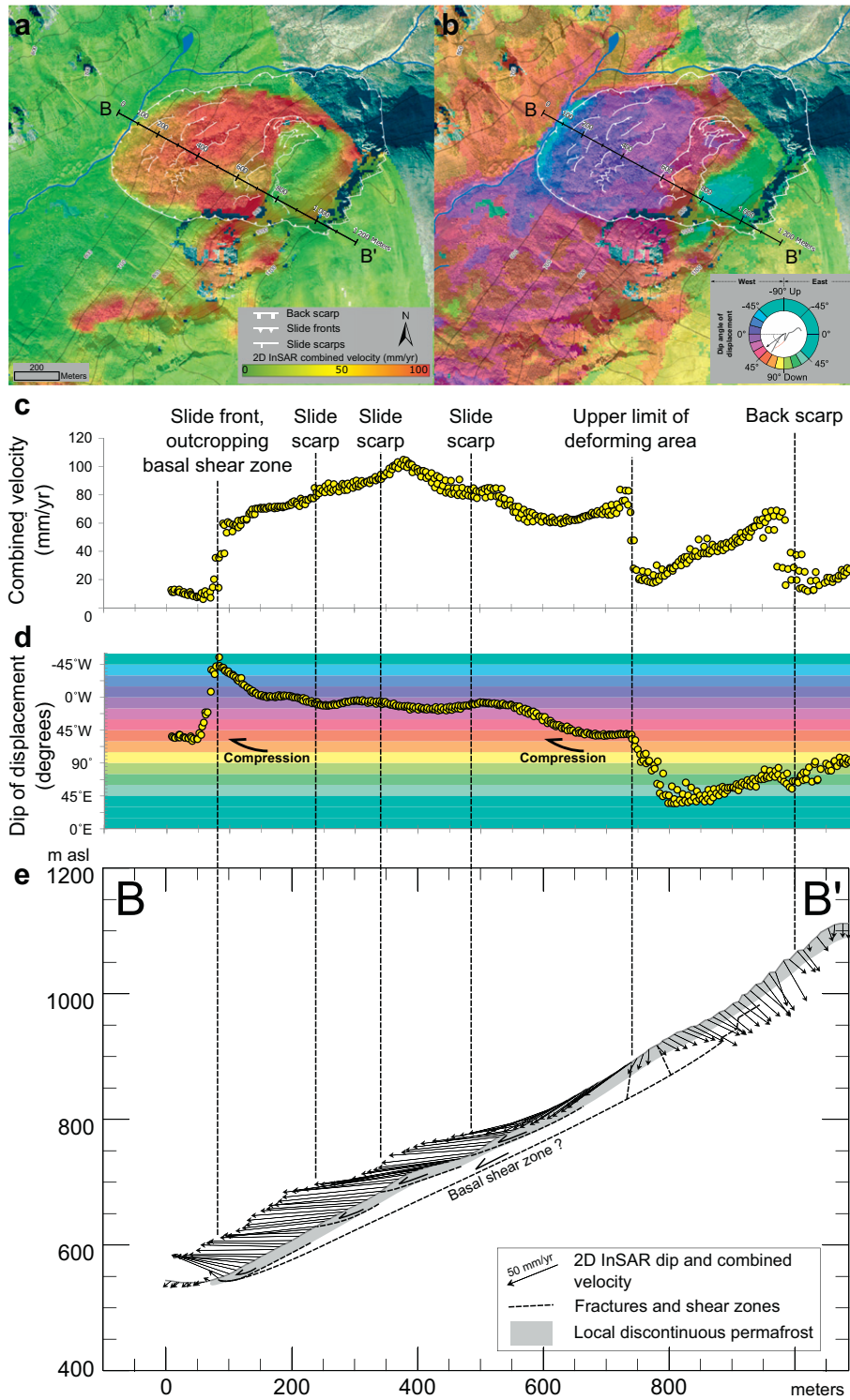
variations in surficial morphology (e.g. slide scarps and fronts), we may infer the position of outcropping sliding surfaces or shear zones (Fig. 13e). Judging from the lower velocities in the upper part of the rockslide, basal sliding or deep movement, if active, could be taking place along a discontinuous basal shear zone (Fig. 13e). In this area, the observed dip of displacement is into the surface, and is not easy to explain. We speculate that this may be the result of a favorable orientation of the main foliation in the bedrock, which is rotated inward and may form slide planes

within the rockslide. This could also be an effect of the seasonal thaw subsidence, as discussed for the upper part of the Gámanjinni 3 rockslide. More detailed investigations are needed to resolve this.

The lobate landforms in the Njårgavárri rockslide may have been formed by rock glaciers. This is supported by a displacement pattern highly coherent in space (Fig. 13a, b), and thus is an indicator for the presence of stress-transferring ice in the ground (Kääb and Vollmer, 2000). Solifluction processes may also be involved.



**Fig. 12.** The Njårgavárri rockslide from [www.norgei3d.no](http://www.norgei3d.no). The yellow line outlines the unstable actively deforming area. The advancing slide front may be causing migration of the river towards the north-west.

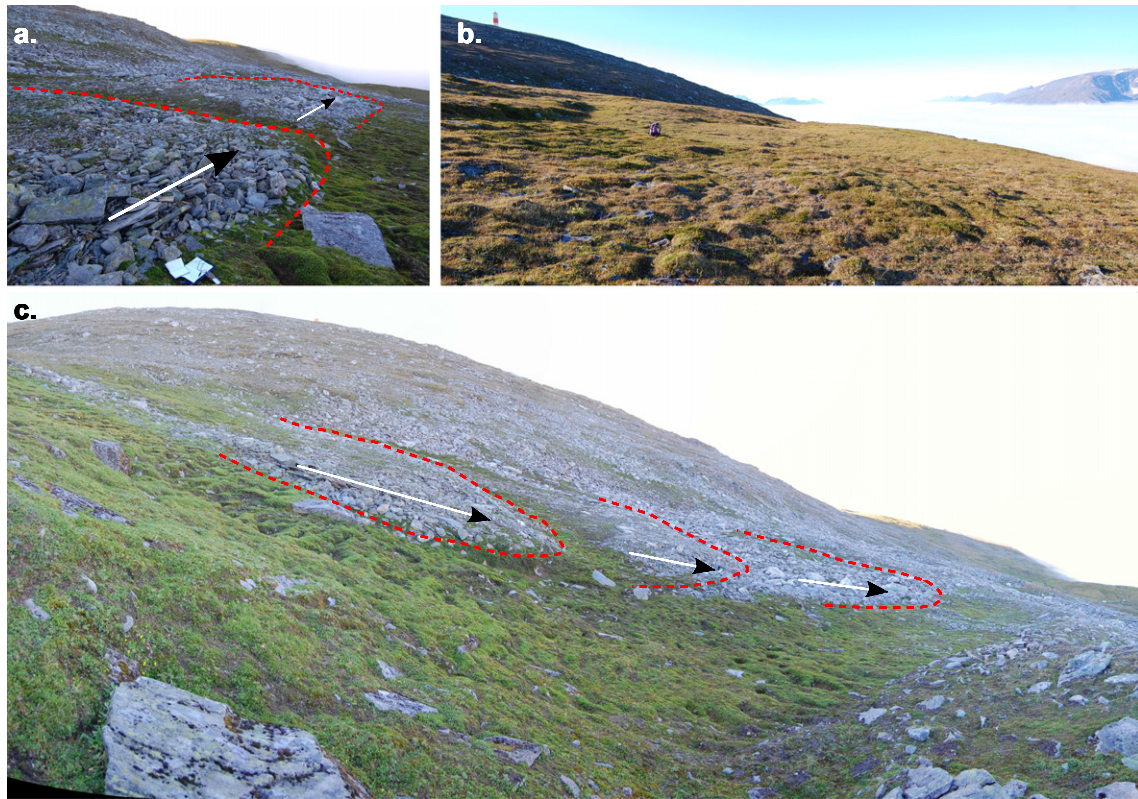


**Fig. 13.** Displacement patterns from 2D InSAR data with suggested relations to geological structures and morphological elements at the Njårgavári rockslide. a) Combined velocity and b) dip of displacement from 2D InSAR data. c) 2D InSAR combined velocity and d) dip of displacement from cross-section B–B'. e) Geological interpretation of cross-section B–B' with 2D InSAR data marked as arrows.

4.4. Solifluction landforms on the Nordnes Peninsula

Solifluction is a slow gravitational down slope movement of water saturated, seasonally thawed materials (Thomas and Goudie, 2000). This process develops on low gradient slopes sufficiently gentle to retain water, and yet sufficiently steep to allow downslope gravitational

movement of materials. Freeze-thaw processes move the sediments up orthogonal to the slope gradient when freezing and sub-parallel to the slope gradient when thawing. Thus, solifluction is an ongoing process in many periglacial areas and can produce complex lobe-shaped landforms in unconsolidated materials (Matsuoka, 1998, 2001; Harris et al., 2008).



**Fig. 14.** Examples of solifluction morphologies characterizing the deforming slope of Nordnes Peninsula. a) Close up view of coarse material tongue-shaped feature in cross-section C–C' (see location of cross-section in Fig. 14. Notebook in the foreground is for scale. b) Outline of a heathery terrace. See rucksack for scale. c) Lobe-shaped tongues of blocky material in a depression in the deforming slope. Arrows indicate movement of the separate tongues.

The solifluction lobes studied at the tip of the Nordnes Peninsula are located in an area covered with glacial till and organic- and boulder-rich material (Fig. 15a, c). They form features ranging in size from decimeters to tens of meters. The slope varies between 0 and 31°. The morphology is characterized by heathery terraces (Fig. 15b) and steeper slopes.

Based on orthophotos and field mapping, we estimate the general displacement direction for the tongue-shaped features to be towards N-E. The cross-section C–C' is 1200 m long, located between ~500 m and 800 m a.s.l. (Fig. 15a), and is parallel to a depression (gully) in the terrain. In the center of the depression, both coarse- and fine-grained tongue-shaped features are repeated in sets down the fall line. The cross-section D–D' is located in an area with high density of solifluction landforms.

2D InSAR data in both cross-sections reveal high vertical velocity components resulting in steep dip of displacement into the slope (Fig. 15c, f). In cross-section C–C' we notice an increase in velocity and steeper dip of displacement connected to the more gentle terraces (marked with yellow brackets and columns in Fig. 15a, b, c). We think that drainage from higher ground and consequent accumulation of water in terraces result in more moist conditions. Due to subsidence in thawing sediments in spring and summer, water saturated ground will settle more than dryer areas, explaining the steeper dip of displacement and higher velocities on the terraces. As discussed for the Gámanjunni 3 and Njårgavárri rockslide, we suspect that the 2D InSAR data capture more of the thawing than the freezing part of the seasonal displacement.

In cross-section D–D' the 2D InSAR horizontal component shows variation, with peak values up to ~7 mm/yr towards the east. Areas with a high density of solifluction landforms correspond well to areas with high horizontal velocity, where the most active parts of the landforms are visible as peaks in the horizontal data (Fig. 15e, circled data). These variations are hard to see by only looking at ascending and descending data in the cross-section (Fig. 15e).

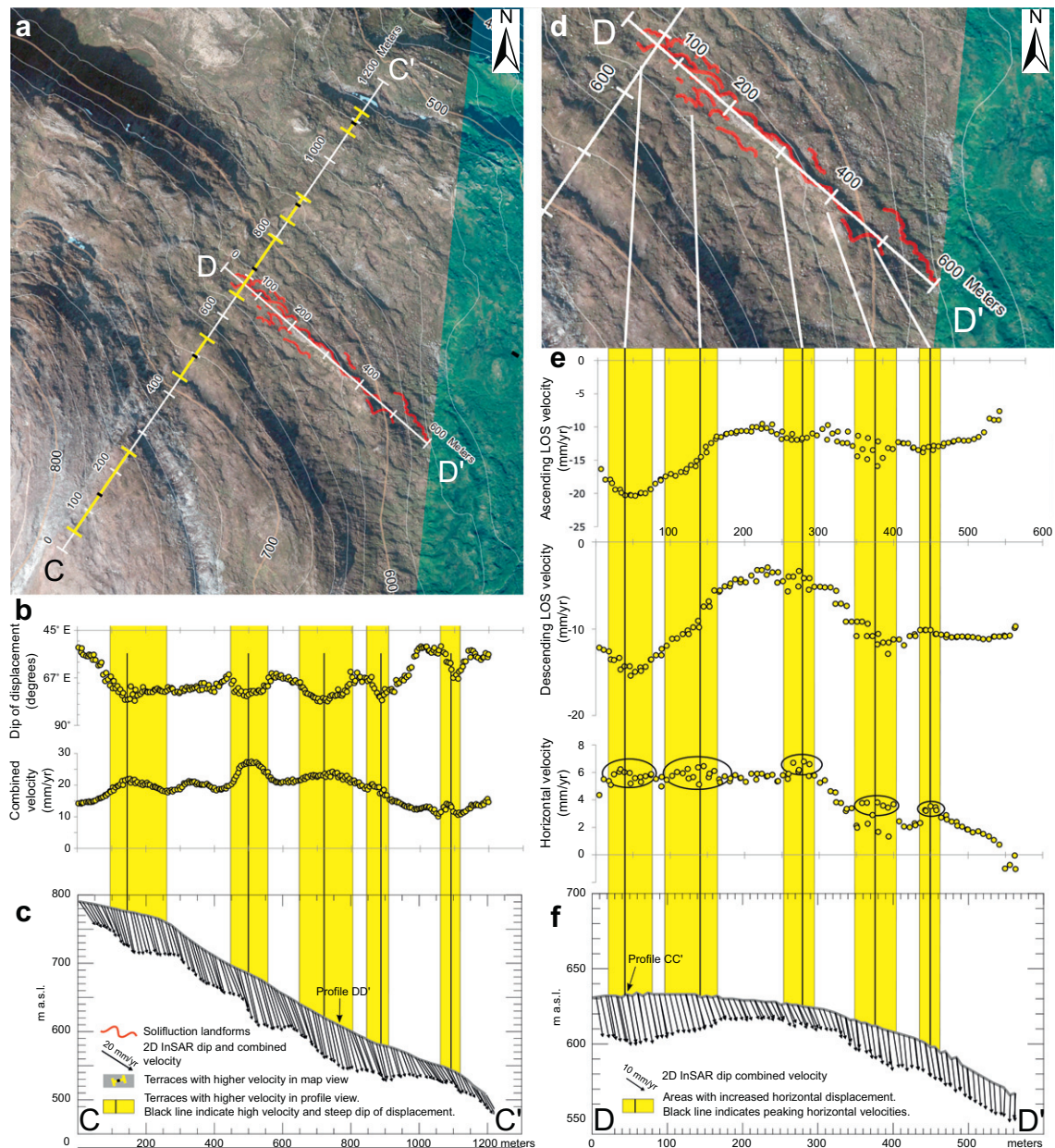
The mean dip of displacement for cross-section C–C' is 33°. This is steeper than the mean surface gradient in the cross-section (14°). We expect this subsidence to be related to seasonal thaw processes. This is consistent with studies of solifluction landforms elsewhere, e.g. at Dovrefjell in southern Norway, where displacement patterns show heave/uplift in the autumn and thaw/subsidence in the spring and summer (Harris et al., 2008).

## 5. Summarizing discussion and conclusion

In this study, we have demonstrated that combining surface displacement data from two geometries (2D InSAR) provides a powerful tool for visualizing detailed surface displacement patterns. This is shown using three examples: (1) the Gámanjunni 3 rockslide in Manndalen with internal zones of compression and extension and their relation to underlying thrust- and normal-faults, (2) the Njårgavárri rockslide in Kåfjorddalen susceptible to permafrost creep and/or advancing solifluction lobes; and (3) solifluction landforms at the tip of the Nordnes Peninsula.

Contrary to using InSAR displacement data from ascending and descending satellite orbits separately, the 2D InSAR technique has the advantage that it gives the vertical and horizontal component, dip and combined surface displacement. Furthermore, surface displacement patterns from 2D InSAR data in maps and cross-sections show spatial details related to geological structures and geomorphological elements.

This technique shows promising results when trying to correlate displacement patterns with internal structures of rockslides. We show how areas with spatial variations in dip of displacement, often with subtle variations in surface velocity, may give information about the location of potential gliding surfaces (e.g. faults) at depth. More specifically, we give examples of how variations in such 2D InSAR data are used as a tool to explain velocity changes (downslope acceleration/deceleration) that divide rockslides into different compartments, due to outcropping



**Fig. 15.** a) Solifluction landforms on the Nordnes Peninsula. Map view of cross-section C–C' and D–D'. Areas with higher velocity are marked with yellow brackets. b) Variation in 2D InSAR dip and velocity in cross-section C–C'. c) 2D InSAR data related to surface slope in cross-section C–C'. d) Detailed map view of cross-section D–D'. Prominent solifluction tongues close to cross-section line C–C' are marked with red lines. e) Surface velocity from TerraSAR-X ascending and descending LOS and 2D InSAR data horizontal velocity. Note how the 2D InSAR horizontal velocity point out the most active solifluction landforms hard to spot in the ascending and descending data (rings). f) 2D InSAR data related to surface slope in cross-section D–D'. Vertical exaggeration for both surfaces slopes are 2.

thrust- and normal-faults indicating varying stress conditions (compression/extension).

However, the technique has little sensitivity to displacement orthogonal to the LOS plane. For the TerraSAR-X datasets used in this study, surface processes having a large horizontal deformation component in the N–S direction will therefore have too steep a dip and underestimated velocity. Because the input datasets are referenced to a common point, a-priori knowledge of velocity at this point is important. In addition, change in vegetation or snow coverage and geometrical effects such as layover and shadow in either of the input datasets, will limit the extent of the produced 2D InSAR data.

2D InSAR makes it possible to postulate overall extension in the upper part and compression in the lower part of rockslides. Furthermore, we found areas with uplift and subsidence by comparing 2D InSAR data to slope gradients. Moreover, we identify the displacement

patterns of individual rockslide blocks and their relation to neighbor blocks along outcropping thrust- or normal-fault. The method is also capable of studying velocity variations and degree of activity in solifluction landforms as shown from the Nordnes Peninsula.

2D InSAR data measure the total surface displacement with a velocity component in the LOS-plane (deep movement along basal shear zones and faults; surface movement related to seasonal thawing and permafrost creep). To be able to give exact estimates differentiating between deep and surface movement, a combination of in-situ instrumentations, detailed mapping of surficial deposits, landforms and geological structures together with approaches for quantifying seasonal displacement (freeze/thaw) as presented by Liu et al. (2010); Liu et al. (2012), is needed.

When interpreting 2D InSAR data for sites having varied topography, the user does not, to the same extent as with the single LOS InSAR

datasets, have to consider the sensors' LOS and how much of the surface displacement component that is possible to measure. Instead, the combination of data from different geometries captured with different LOS supplement each other, increasing the sensitivity to displacement and reducing the complexity related to interpretation of InSAR data.

As with all InSAR studies, the sensors' wavelength and the interferograms' temporal baseline, control the range of velocities that can be measured. In this study we use short (55 day) temporal baseline interferograms from TerraSAR-X data spanning June until October in an alpine subarctic study area with seasonal displacement related to freeze-thaw. By selecting interferograms from the snow-free season, the calculated 2D InSAR data are expected to contain a larger component from thaw than freeze, and adjacent overestimated 2D InSAR mean yearly vertical velocity and steeper dip of displacement values. However, we expect this effect to be most active in the colder upper parts of the study sites. By comparing 2D InSAR data to GNSS data from six GNSS stations at the Jettan rockslide, we find good agreement between the horizontal and combined mean yearly velocity. There is more deviation, but still acceptable agreement, between the vertical component and dip of direction. Standard deviation in the InSAR input data (ascending and descending satellite orbit) and GNSS data is small, <2.7 and <0.53 mm/yr, respectively. Therefore, we explain the differences between 2D InSAR and GNSS data as being due to the different nature of the measuring techniques (point vs. area) in an area having a complex displacement pattern.

In this study, we have combined two satellite-based datasets producing 2D InSAR, but it is also possible to combine any two InSAR datasets (satellite- or ground based) as long as the LOS vectors differ. Mapping and monitoring of displacement related to landslides/rockslides, dams, embankments or subsidence from groundwater or oil/gas exploration, are examples of future applications for this method. Future studies should be focused on space/time behavior of displacement phenomena and processes in unstable slope areas by using the 2D InSAR technique on specific time-series data. The technique could also be used in categorizing different slope processes together with their driving and controlling factors.

## Acknowledgements

This research was supported, as part of a PhD program by, Troms County Council, project number 217720 and grant number RDA12/165. TerraSAR-X satellite data was provided through the German Aerospace Centre (DLR) TerraSAR-X AO projects #GEO0565 and GEO0764. We are grateful to the two anonymous reviewers for constructive comments and suggestions. We thank Lars Harald Blikra (NVE), Martina Böhme (NGU) and Markus Eckerstorfer (Norut) for their comments on an earlier version of the manuscript. GNSS data originate from NVE. Thanks to the people at NVE in Manndalen for help with logistics and accommodation during fieldwork. We thank NGU for helping out with logistics during fieldwork, and for sharing DEM and orthophoto from the Gámanjunni 3 rockslide.

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