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Seasonal ecology in ice-covered Arctic seas - Considerations for spill response decision making

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1 Seasonal ecology in ice-covered Arctic seas - considerations for spill  
2 response decision making

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14 **ABSTRACT**

15 Due to retreating sea ice and predictions of undiscovered oil and gas resources, increased  
16 activity in Arctic shelf sea areas associated with shipping and oil and gas exploration is  
17 expected. Such activities may accidentally lead to oil spills in partly ice-covered ocean areas,  
18 which raises issues related to oil spill response. Net Environmental Benefit Analysis (NEBA)  
19 is the process that the response community uses to identify which combination of response  
20 strategies minimises the impact to environment and people. The vulnerability of Valued  
21 Ecosystem Components (VEC's) to oil pollution depends on their sensitivity to oil and the  
22 likelihood that they will be exposed to oil. As such, NEBA requires a good ecological  
23 knowledge base on biodiversity, species' distributions in time and space, and timing of  
24 ecological events. Biological resources found at interfaces (e.g., air/water, ice/water or  
25 water/coastline) are in general vulnerable because that is where oil can accumulate. Here, we  
26 summarize recent information about the seasonal, physical and ecological processes in Arctic  
27 waters and evaluate the importance these processes when considering in oil spill response  
28 decision making through NEBA. In spring-time, many boreal species conduct a lateral  
29 migration northwards in response to sea ice retraction and increased production associated  
30 with the spring bloom. However, many Arctic species, including fish, seabirds and marine  
31 mammals, are present in upper water layers in the Arctic throughout the year, and recent

32 research has demonstrated that bioactivity during the Arctic winter is higher than previously  
33 assumed. Information on the seasonal presence/absence of less resilient VEC's such as  
34 marine mammals and sea birds in combination with the presence/absence of sea ice seems to  
35 be especially crucial to consider in a NEBA. In addition, quantification of the potential impact  
36 of different, realistic spill sizes on the energy cascade following the spring bloom at the ice-  
37 edge would provide important information for assessing ecosystem effects.

38

39 Keywords: Arctic ecosystem, NEBA, oil spill response, seasonal dynamics

## 40 1. INTRODUCTION

41 According to predictions, up to 30% of the worlds' undiscovered gas reserves and 13% of the  
42 worlds' undiscovered oil resources are located in the areas north of the Arctic Circle, mainly  
43 offshore in relatively shallow waters (Gautier et al. 2009). However, major parts of these  
44 areas are covered by sea ice, either permanently or seasonally (Fetterer et al. 2002). Activities  
45 associated with oil exploration and production will always be associated with a certain risk of  
46 oil spills. Oil spills may happen during drilling, production (extraction), transportation in  
47 pipelines or by ships, and from other vessels associated with oil activities (e.g., supply  
48 vessels). The presence of ships in the Arctic is expected to rise, not only as a consequence of  
49 increased oil exploration, but also because the decreasing ice coverage in the Arctic facilitates  
50 increased shipping in these areas (Glickson et al. 2014). An accidental oil spill in the Arctic  
51 may result in oil contamination of ice-covered areas, thereby affecting Valued Ecosystem  
52 Components (VEC's). In the case of an accidental spill, the response community should have  
53 tools available to support Arctic spill response decision making, in order to minimize the  
54 impact on VEC's.

55 Net Environmental Benefit Analysis (NEBA) is a process that is used by the response  
56 community to select the response strategy that minimizes the impact of an oil spill on the  
57 environment and communities and decreases the time needed for recovery (IPECIA, 2015).  
58 This process requires information on the spill (oil type, release rates, duration, trajectory,  
59 etc.), understanding of the relative impacts of oil and spill response actions, and an evaluation  
60 of the relative importance of social, economic and environmental factors. If an accidental oil  
61 spill occurs, physical parameters such as oceanographic and sea ice conditions will determine  
62 the fate of the drifting oil, and therefore have to be taken into account in the NEBA process.  
63 The vulnerability of an ecological feature (e.g., a species) to a certain stress factor (e.g., oil

64 exposure) depends on its sensitivity to that stress factor (i.e., the degree to which the species  
65 responds to the stress factor) and the probability that it will be exposed to that particular stress  
66 factor (Zacharias & Gregr 2005). Furthermore, the probability of being exposed to oil depends  
67 in turn on the species' spatio-temporal distribution, which in the Arctic is affected by the time  
68 of the year and therefore the light conditions and the distribution of the sea ice.

69 Although being structurally much more complex than previously thought, Arctic ecosystems  
70 can be characterized by relatively low biodiversity, relatively simple ecosystem structure, and  
71 a high degree of specialization among species (Post et al. 2009; Kortsch et al. 2015). This lack  
72 of functional redundancy renders them to be more vulnerable than less specialized systems  
73 with a higher biodiversity. Arctic ecosystems also appear to be more strongly dominated by  
74 benthic than pelagic processes as compared to boreal ecosystems (Reigstad et al. 2011;  
75 Wiedmann 2014). We therefore summarize recent information about the dynamics,  
76 seasonality and spatio-temporal distributions of key species in the Arctic, in the light of  
77 prevailing physical processes, and evaluate the importance of this information to oil spill  
78 response decision making through NEBA.

79

## 80 2. RESPONSE OPTIONS

81 In the Arctic, particular environmental conditions (e.g., sea ice, low temperatures, strong  
82 winds, winter darkness, and remote locations) constitute the most important variables  
83 regulating the outcome of accidental oil spills. For instance, the remoteness of most of the  
84 vast Arctic marine areas makes early response challenging. Furthermore, spilled oil may be  
85 trapped by drifting sea ice and transported over long distances, severely complicating visual  
86 tracking as well as cleanup operations. In the case of small spills, natural attenuation (i.e.,  
87 physical, chemical and biological processes) may be sufficient for removing the oil from the  
88 environment. Larger spills, on the other hand, require human action in order to minimize the  
89 potential for environmental damage (Gabrielsen & Sydnes 2009). In order to remove oil slicks  
90 from the sea surface, a number of response methods have been developed, including  
91 mechanical recovery, dispersant treatment, and *in situ* burning. These response methods have  
92 in common that they are all most effective when applied as soon as possible after the spill  
93 (Fingas 2011). Each of the methods have their strengths and weaknesses which are dependent  
94 on factors such as the volume of the spill, the time needed to respond to the spill,  
95 environmental conditions and the proximity to the shoreline or VEC's..

96 Mechanical recovery (e.g., skimmers) may be used to remove thick layers of oil from a calm  
97 sea surface. As such, this method may be used close to shore in order to avoid oil drifting  
98 onshore, though it must be applied before the oil emulsifies (i.e., before the oil mixes with  
99 seawater and forms so-called "chocolate mousse") (Gabrielsen & Sydnes 2009).

100 Dispersant treatment involves the addition of chemicals in order to disperse the oil into  
101 smaller components that will mix with the water masses below the sea surface. Provided a  
102 rapid response (i.e., before the oil emulsifies), dispersants will effectively remove the oil from  
103 the surface, and are therefore more often used if there is a harming risk to VEC's e (e.g.,  
104 seabirds). Recent research has shown that certain dispersants may perform effectively under  
105 wave action and low temperatures (Belore et al. 2009). However, since dispersed oil will still  
106 be present and toxic in the water column, it may continue to harm organisms living in the  
107 vicinity of the spill region (e.g., zooplankton, fish larvae) (Gabrielsen & Sydnes 2009).

108 *In situ* burning is often regarded as the best method to remove oil from Arctic waters (Fritt-  
109 Rasmussen & Brandvik 2011). This method requires a rapid response, before the lightest,  
110 highly flammable oil components (e.g., methane, ethane) evaporate and thereby raise the  
111 flame point of the remaining share of the oil (Gabrielsen & Sydnes 2009). However, the low  
112 Arctic temperatures lead to a slow rate of evaporation of these light oil components as  
113 compared to warmer areas, which makes *in situ* burning in the Arctic comparably efficient  
114 (Gabrielsen & Sydnes 2009). A disadvantage of *in situ* burning is that it creates considerable  
115 amounts of smoke and soot (Sydnes et al. 1994, Fritt-Rasmussen & Brandvik 2011),  
116 potentially increasing the melting rate of sea ice and thereby affecting ice-associated species.

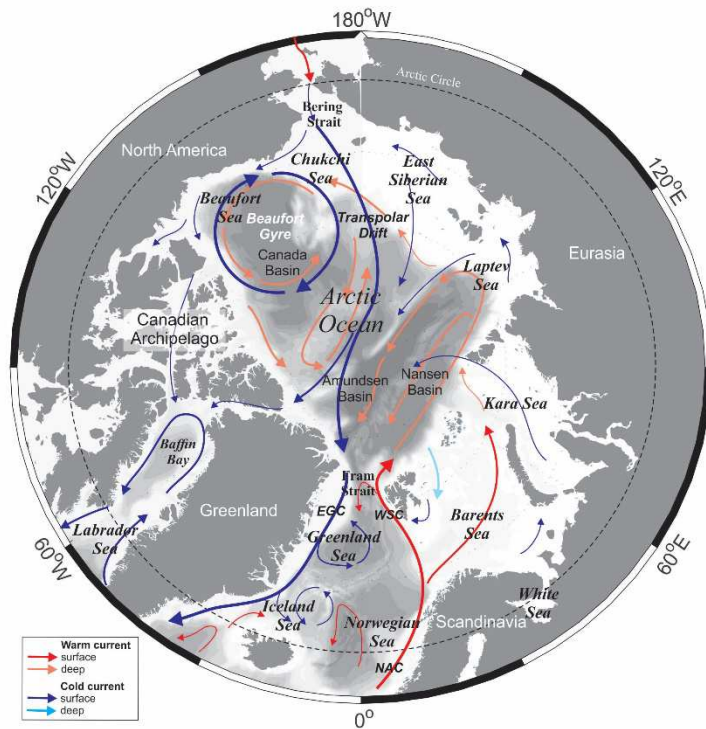
117 As such, the choice of response methods represents a tradeoff between potentially affecting  
118 species at the surface vs. potentially affecting species found elsewhere in the ecosystem (e.g.,  
119 in the water column).

120

### 121 3. THE ARCTIC ECOSYSTEM

#### 122 3.1 OCEANOGRAPHY

123 The deep central Arctic Ocean is surrounded by 16 ocean regions, of which 12 are true Arctic  
124 seas and four are gateways between the Arctic and the Atlantic or the Pacific Ocean  
125 (Christiansen & Reist 2013) (Fig. 1).



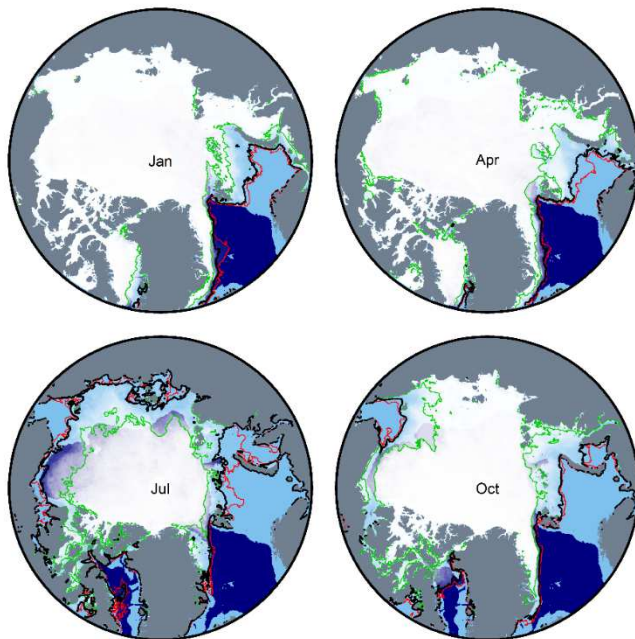
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127 Fig. 1. Overview of the current system of the Arctic Ocean. Dashed line indicates the position  
 128 of the Arctic Circle.

129

130 The spatial distribution of the Arctic sea ice displays high intra- and inter-annual variation. In  
 131 March and April, the sea ice coverage is traditionally highest, in recent years typically  
 132 covering  $\sim 14.5\text{-}16.0$  million  $\text{km}^2$ , whereas in September and October, the sea ice coverage is  
 133 smallest, typically covering  $\sim 3.5\text{-}8.0$  million  $\text{km}^2$  (Fetterer et al. 2002). Thus, the inter-annual  
 134 variation in springtime sea ice coverage is relatively small, whereas the variation in sea ice  
 135 coverage during autumn is relatively large (Fig. 2). The climate in the Arctic is strongly  
 136 affected by the flow of water masses through the corridor between the Fram Strait and the  
 137 Kara Sea (i.e., the “European Arctic corridor”), where  $>80\%$  of the exchange occurs between  
 138 the Arctic Ocean and the adjacent Atlantic and Pacific Oceans (Wassmann & Reigstad 2011).  
 139 Warm, salty water masses flow into the Arctic Ocean from the Atlantic Ocean through the  
 140 Fram Strait and the Barents Sea, whereas Pacific water masses enter the Arctic Ocean through  
 141 the Bering Strait; the former being about 10 times greater in volume than the latter (Woodgate  
 142 2013). As such, warm periods in the Arctic are associated with a northward transport of  
 143 Atlantic water (Smedsrud et al. 2013). Water masses flow out the Arctic Ocean via the Fram  
 144 Strait and through various channels in the Canadian Archipelago (Woodgate 2013).

145



146

147 Fig. 2. Ice conditions in the Arctic in January, April, July and October. The ice shading is the  
148 average situation, the black line is average, green is minimum and red is maximum. Sea ice  
149 concentration data were obtained from the AMSRE2 product (Spreen et al, 2008), and were  
150 combined to create seasonal maps representing average ice conditions over the period 2003–  
151 2011.

152

153

### 154 3.1.1 Sea ice and open water masses

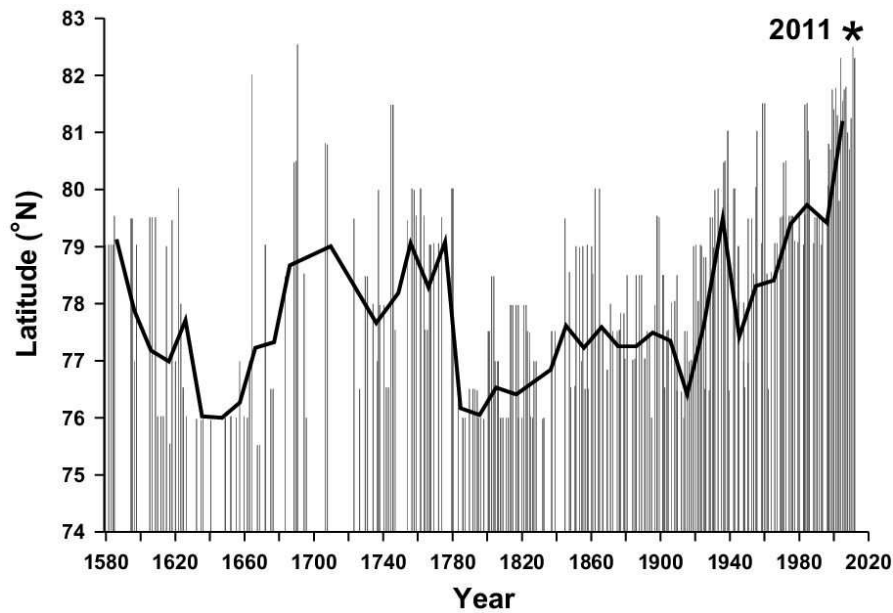
155 In the Arctic, the distribution of sea ice determines, to a large degree, the distribution of  
156 species. Some Arctic shelf seas (e.g. the Barents Sea) are not entirely covered by sea ice at  
157 any time of the year, whereas other areas (e.g. the Bering Sea) display sea ice well beyond the  
158 Arctic Circle (i.e.,  $66^{\circ}33'45.8\text{N}$ ). The summer sea ice extent has declined steadily since  
159 satellite records started in 1979, with a record minimum recorded in 2012. This is observed  
160 particularly in the Marginal Ice Zone (MIZ), defined as that part of the ice cover which is  
161 close enough to the open ocean boundary to be affected by its presence (Wadhams 1986),  
162 often coinciding with the area between the summer minima and winter maxima of the ice  
163 extent. The MIZ covers most of the Arctic shelf and the shelf break. The increase in the area  
164 of open water is not only visible in the MIZ, but also as an increase in leads throughout the  
165 Arctic Ocean (Barber et al. 2015). This has directly affected the area where light is available

166 for primary production, even underneath sea ice. As the ice extent and ice thickness decreases,  
167 the area of open water increases. Between 1990-1995 there was only a moderate increase in  
168 the area of open ocean for the months July to December, whilst since 1979 there has been a  
169 continuous increase for the period March to June. For the period after 1995, the area of open  
170 ocean has increased for all months (Barber et al. 2015).

171 There is a historical record of changes in the sea ice cover in the European Arctic from 1580  
172 until today, based on the logbooks of European whalers and explorers and updated for the  
173 period 1979 to 2011 by data recorded from satellites (Vinje 1999, Falk-Petersen et al. 2015).  
174 During these 430 years, there have been several periods with extensive ice cover. The periods  
175 1625 to 1660 and 1780 to 1920 were especially characterized by heavy ice conditions with  
176 summer ice as far south as  $76^{\circ}$  N. The periods 1670 to 1780 and 1920 until today were  
177 characterized by little ice, with years where the summer ice had retreated to North of  $82^{\circ}$ N.  
178 The period after 1920 was characterized by a period with little ice where the ice edge was as  
179 far north as  $80$  to  $82^{\circ}$  N between 1930 and 1940 (Sverdrup 1933), followed by southward  
180 movement of the ice edge during the 1970s (Smedsrud et al. 2013). The ice cover has been  
181 retreating since the mid-1980s and the summer ice edge has been recorded north of  $82^{\circ}$  N  
182 several years since 2000 (Fig. 3). In combination with modern satellite monitoring of the sea  
183 ice extension, this record shows that the Arctic sea ice conditions are highly dynamic both on  
184 short and long time scales. Modelling and monitoring ice conditions is important to  
185 understand and assess behaviour and fate of oil after a spill. This is crucial information for  
186 spill response planning.

187





188

189 Fig. 3. The position of the ice edge in August between Svalbard and Franz Josef Land for the  
 190 period 1553–2012 given by its mean latitude in the sector 20 – 45°E. Data were modified after  
 191 Vinje (Vinje, 1999, <http://acsys.npolar.no/adis/>) and updated for the period 1979 to 2012  
 192 using satellite data. (Scanning Multichannel Microwave Radiometer (SMMR) and the Special  
 193 Sensor Microwave Imager (SSM/I) daily and monthly mean sea-ice concentrations from  
 194 satellite, gridded with a spatial resolution of 25x25 km. Data were obtained from the National  
 195 Snow and Ice Data Center (NSIDC), see <http://nsidc.org>.

196

### 197 3.2 LIGHT CONDITIONS

198 Light availability is extremely seasonal at high latitudes and is key in controlling crucial  
 199 ecosystem processes, including the timing of primary (and indirectly secondary) production,  
 200 behavioral patterns and vision of animals. The light available for marine plants and animals is  
 201 controlled by the seasonal variability of the solar angle, sea ice cover and snow cover on the  
 202 ice, as well as cloud cover. North of the Arctic Circle, the sun is above the horizon for a 24 h  
 203 cycle during certain periods in summer, and below the horizon for 24 h during parts of the  
 204 winter. The further North, the longer the periods of midnight sun and polar night and at the  
 205 North Pole, there is only one day and one night over the year. During summer time, the light  
 206 available for primary producers is a prime factor controlling the biological energy production  
 207 at the basis of the food web. During winter, low light conditions prevent not only  
 208 photosynthesis, but impair optical foraging of visually oriented predators. During the Polar  
 209 night, moving from south to north the light gradually declines and can be divided into 3 light

210 zones; the *nautical polar night*, where the sun is  $12^{\circ}$  below the horizon (north of  $78^{\circ}$  N)  
211 basically covering the entire Arctic Ocean, the *civil polar night*, where the sun is between  $6^{\circ}$   
212 and  $12^{\circ}$  below the horizon ( $72$  to  $78^{\circ}$  N), and the *civil twilight*, where the sun is between  $0$  and  
213  $6^{\circ}$  below the horizon (Arctic circle to  $72^{\circ}$  N). During the spring equinox (i.e., the 20<sup>th</sup> of  
214 March), the day length is approximately the same everywhere in the world. The return of the  
215 sun initiates spring in the Arctic Seas, when extremely shade-adapted algae start to grow on  
216 the underside of sea ice under extremely low light conditions (Hancke et al., 2018). Light  
217 dynamics modulate seasonal ecosystem dynamics in Arctic areas and explain, to a large  
218 degree, the ecological seasonal variations that are important to consider in NEBA evaluations.  
219 Furthermore, for oil spill response preparation plans, variable light conditions must be  
220 accounted for as clean-up actions may be hampered in the absence of daylight.

### 221 3.3 PRIMARY PRODUCTION AND CARBON FLUX

222 The above-described patterns in physical conditions have strong implications for  
223 photoautotrophic primary production that represents the basis of the entire marine food web.  
224 The bulk of it usually occurs only during one relatively short time window in spring/early  
225 summer, and represents the most important input of high quality food for grazers and higher  
226 trophic level marine animals during the year. Hence, the timing of this production pulse  
227 (relative to the timing of other ecological key processes, such as reproduction) is critical for  
228 the fate of the produced biomass, and the efficiency of trophic pathways.

229 As soon as there is enough light available ( $< 1 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) in springtime, extremely shade-  
230 adapted algae start growing in the lowermost part of the sea ice that contains brine channels in  
231 which they can grow in a protected (though extreme) environment that experiences regular  
232 exchange with sea surface water, replenishing nutrients and inorganic carbon. The bottom sea  
233 ice algal bloom is usually the first vernal algae bloom, and represents the transition from  
234 winter to springtime (see Leu et al., 2015). The timing of its initiation and its development is  
235 controlled primarily by light availability early in the season. For example, under ice algae  
236 production has been recorded in both Rijpfjorden, Svalbard, and the Amundsen Gulf from the  
237 end of March (Figs. 4, 5; Rózańska et al. 2009, Søreide et al. 2010). Since snow absorbs  
238 incoming irradiance much more efficiently than the sea ice, it seems to be the single most  
239 important environmental factor determining sea ice algal bloom phenology (Leu et al. 2015).  
240 Later on, the temperature-controlled melt process that changes the sea ice structure leads to  
241 the termination of this bloom. While it has previously been assumed that pelagic primary

242 production only starts after sea ice retreat, pelagic algae blooms have been repeatedly reported  
243 to already initiate underneath sea ice in the vicinity to leads (Assmy et al., 2017), and  
244 occurring more frequently under degrading ice (Mundy et al. 2014, Arrigo et al. 2012). In  
245 particular this occurs when extensive melt pond formation strongly increases sea ice  
246 transparency. Arctic phytoplankton blooms are usually restricted by nutrient availability, and  
247 new production ends after the available inorganic nutrients are drawn down to the detection  
248 limit. In most cases, nitrate is the nutrient that will be depleted first. After that, regenerated  
249 primary production continues during summertime. Also, depending on the wind regime and  
250 mixing depth, autumn blooms might even occur as late as September (Ardyna et al. 2014,  
251 Falk-Petersen et al. 2008). With regard to response planning, a generally high biodiversity as  
252 well as repeated peak production periods of ice-associated, low trophic level species should  
253 thus be expected from early springtime until autumn.

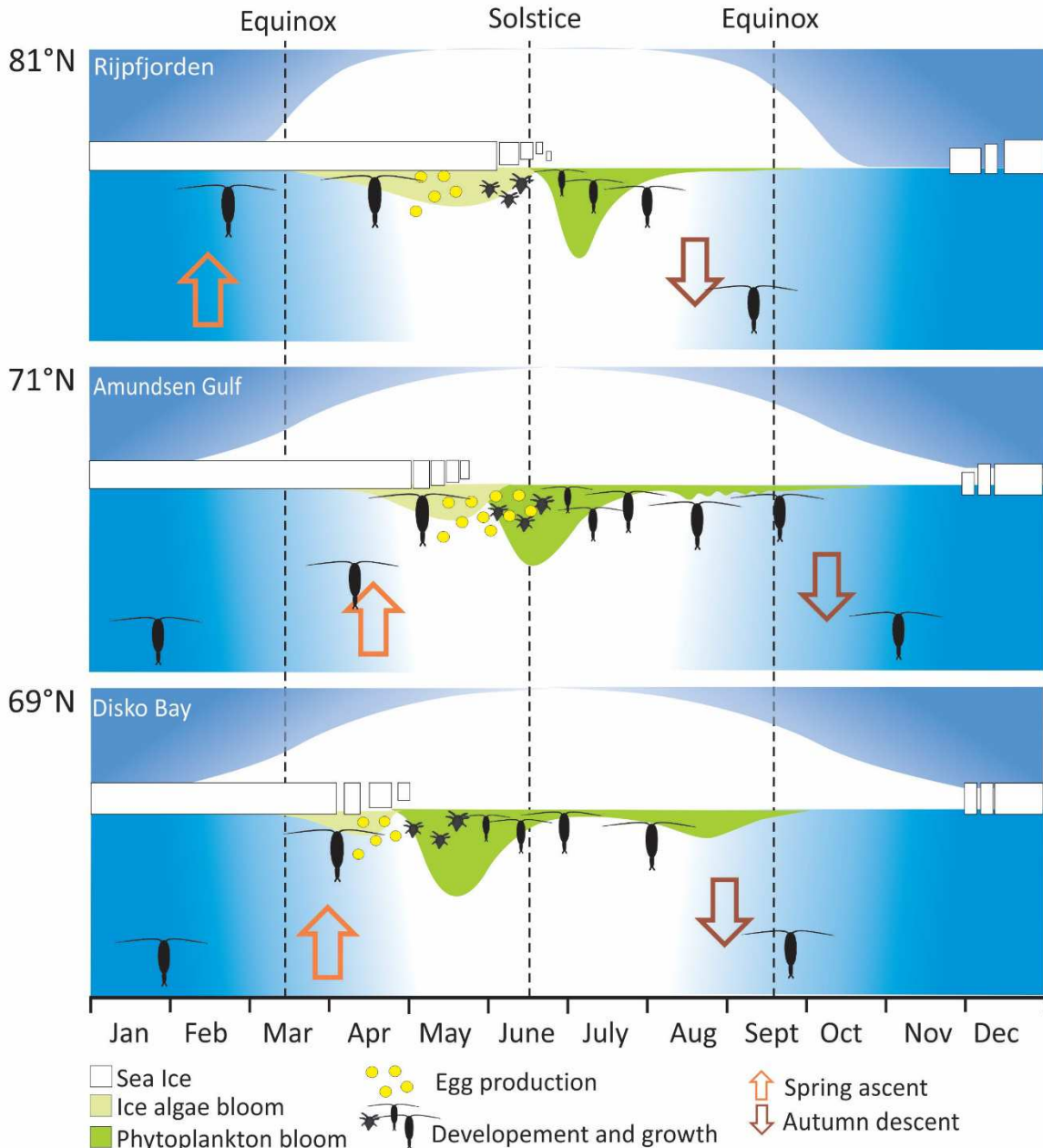
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255

256 Fig. 4. Bottom ice algae bloom 10 April in the Amundsen Gulf, Canadian Arctic. Photo S.  
257 Falk-Petersen.

258



259

260 Fig. 5. Conceptual figure showing phenology of *Calanus glacialis* life history events at  
 261 different locations and latitudes from the Arctic shelf. Modified from Daase et al. (2013).

262

263 The relative contribution of sea ice algal primary production to total production in a given  
 264 area is very variable, and ranges from 1% in coastal areas with limited sea ice cover and  
 265 strong freshwater input, to >50% in the central Arctic ocean (Gosselin et al. 1997). The  
 266 ecological significance of this production is, however, much greater than these numbers  
 267 suggest due to the importance of timing. Sea ice algae represent a high nutritional quality food  
 268 source early in the season in sea ice covered areas. In and below the sea ice, they are grazed

269 upon by meiofauna (Michel et al. 2002), and herbivorous zooplankton, such as the specialized  
270 pelagic grazer *Calanus glacialis*. This calanoid copepod is the key grazer in Arctic shelf sea  
271 areas, and can account for up to 70% of the total mesozooplankton biomass. *C. glacialis*  
272 females stay very close to the underside of the sea ice, where they actively graze upon the sea  
273 ice algae at the ice-water interface (Hop et al. 2011; Wold et al. 2011). Although this species  
274 is not reliant on food intake for reproduction (capital breeder strategy; Sainmont et al. 2014),  
275 it has been shown that maturation time decreases, and egg production increases when these  
276 copepods are fed (Smith, 1990; Hirche & Kattner, 1993; Kosobokova, 1999; Niehoff et al.,  
277 2002). Optimal recruitment of this key grazer is found when adult females are able to take  
278 advantage of the sea ice algal bloom for improving their productivity – and their offspring can  
279 utilize the pelagic bloom later on (Søreide et al. 2010).

280 Ice amphipods constitute another important link between the ice algae and upper trophic  
281 levels. For instance, *Apherusa glacialis* is a typical herbivore, whereas *Gammarus wilkitzkii*,  
282 *Onisimus glacialis* and *O. nanseni* are typical omnivores and carnivores (Melnikov 1997,  
283 Scott et al. 1999, Poltermann et al 2000, Hop et al. 2000).

284 When sea ice algae are released from sea ice, they are partly fed upon by pelagic grazers  
285 (Michel et al. 1996). Since ice algae often form large colonies that sink rapidly, a substantial  
286 amount of this production reaches the sea floor, and represents an important food supply for  
287 benthic organisms (Renaud et al. 2015; Boetius et al. 2013). The efficiency of utilization of  
288 pelagic blooms depends also on the presence and abundance of grazers during the bloom  
289 phase. Ongoing warming of Arctic waters is supposed to favour a size-shift of dominating  
290 phytoplankton species towards smaller species (Li et al., 2009; Rokkan Iversen and Seuthe,  
291 2010). This would strengthen the microbial loop, and regenerated production, thereby  
292 decreasing the direct vertical export of carbon from the euphotic zone. Based on modelling  
293 and fieldwork in the Barents Sea, Reigstad et al. (2011) estimated an annual gross primary  
294 production of  $\sim 160 \text{ g C m}^{-2} \text{ year}^{-1}$  in ice-free, Atlantic water masses in the south west,  
295 whereas the annual gross primary production in seasonal ice covered Arctic waters further  
296 north was  $\sim 60 \text{ g C m}^{-2} \text{ year}^{-1}$ . However, Reigstad et al (2011) estimated that while only  $\sim 27\%$   
297 of the primary production in Atlantic water masses is transported towards the bottom, as much  
298 as  $\sim 53\%$  of the primary production in Arctic water masses is transported towards the bottom.  
299 As such, although the total flux of carbon to the bottom may be higher in Atlantic water  
300 masses, the proportion being transported towards the bottom is higher in the Arctic.  
301 Compared to Atlantic water masses, this may imply that the Arctic waters are more strongly

302 governed by benthic processes than pelagic processes, and that the degree of ice coverage has  
303 a direct influence on the primary production rate in a given area (Reigstad et al. 2011). As  
304 such, inter-annual and long-term variation in ice and water mass conditions will have  
305 consequences for species distributions and ecosystem functioning, and are thereby relevant in  
306 a NEBA perspective.

#### 307 3.4 SECONDARY PRODUCTION

308 The zooplankton community of the Arctic consist of about 300 species that spend their entire  
309 life cycle within the plankton (holoplankton) (Sirenko 2001; Sirenko et al. 2010). In addition,  
310 there are numerous benthic and fish species that have pelagic larval stages which join the  
311 zooplankton community for parts of the year (meroplankton). Brine channels in the sea ice  
312 sustain species-rich food webs throughout the year, but communities are generally most  
313 abundant and diverse in the spring and summer seasons (Arrigo 2014). Whereas many of  
314 these species are unique to the sea ice environment, other species originate from the benthic  
315 or pelagic realms and visit the sea ice in order to feed or hide from predators. These species  
316 include bacteria and protists, as well as species groups at higher trophic levels including  
317 cnidarians, copepods, amphipods, euphausiids and arthropods (Arrigo 2014, and citations  
318 therein). In the Arctic, copepods dominate in terms of species number (>50% of all Arctic  
319 holoplankton), abundance and biomass (Kosobokova and Hirche 2000; Hopcroft et al. 2005;  
320 Kosobokova et al. 2011).

321 Three herbivorous copepod species of the genus *Calanus* (the Arctic *C. glacialis* and *C.*  
322 *hyperboreus*, the Atlantic *C. finmarchicus*) are regarded as key species in Arctic and subarctic  
323 seas. *Calanus* spp. reside in surface waters during spring and summer where they feed on ice  
324 algae and pelagic phytoplankton to build up large lipid reserves (Conover 1988; Falk-Petersen  
325 et al. 2009). The ice algae bloom provides an early food source prior to the pelagic bloom,  
326 that is utilized, in particular, by the Arctic *C. glacialis* and *C. hyperboreus* who have tuned  
327 their life cycle to time reproduction and development with the occurrence of both blooms  
328 (Falk-Petersen et al. 2009; Leu et al. 2011; Daase et al. 2013). The lipid transfer from primary  
329 producers to secondary producers is very efficient with lipid levels increasing from 10-20% of  
330 dry mass in phytoplankton to 50 - 70% in the herbivorous grazers (Falk-Petersen et al. 1990)  
331 and the high lipid content makes these herbivores a rich energy source for higher trophic  
332 levels (Falk-Petersen et al. 2009; Wold et al. 2011). At the end of the productive season,

333 *Calanus* descend to deeper waters to overwinter in a non-feeding state with reduced  
334 metabolism (Falk-Petersen et al. 2009).

335 The energy reserves sustain the organisms during periods of low food supply and may fuel  
336 gonad maturation and egg production to initiate reproduction prior to the spring bloom  
337 (Hirche 1997; Søreide et al. 2010). Such storage of energy rich lipids is generally considered  
338 an adaptation towards a strongly seasonal polar environment. They occur also in non-  
339 overwintering zooplankton species, such as krill species of the genus *Thysanoessa* (Sargent  
340 and Falk-Petersen 1981; Falk-Petersen et al. 1982), carnivorous hyperiid amphipods of the  
341 genus *Themisto* (Dale et al. 2006) and pteropods (Boer et al. 2005; Gannefors et al. 2005),  
342 which also make these species important food sources for fish, seabirds and marine mammals.  
343 Krill carry out typical zooplankton vertical migrations, being close to the seabed in daytime  
344 and in the upper water layers (20-60 m depth) during the night (Falk-Petersen and Kristensen  
345 1985). Although net avoidance tends to make biomass assessment of krill demanding, it is  
346 assumed that they move towards deeper water masses (i.e., away from the potentially oil  
347 exposed surface layers) in wintertime (Orlova et al. 2011).

348 While surface waters are not entirely depleted of zooplankton species during winter, with  
349 many species being active all year round, NEBA should account for high densities of  
350 conspicuous and lipid rich zooplankton species in surface water masses and in association  
351 with the sea ice during the summer time, whereas lower densities may be expected in  
352 wintertime.

### 353 3.5 FISH

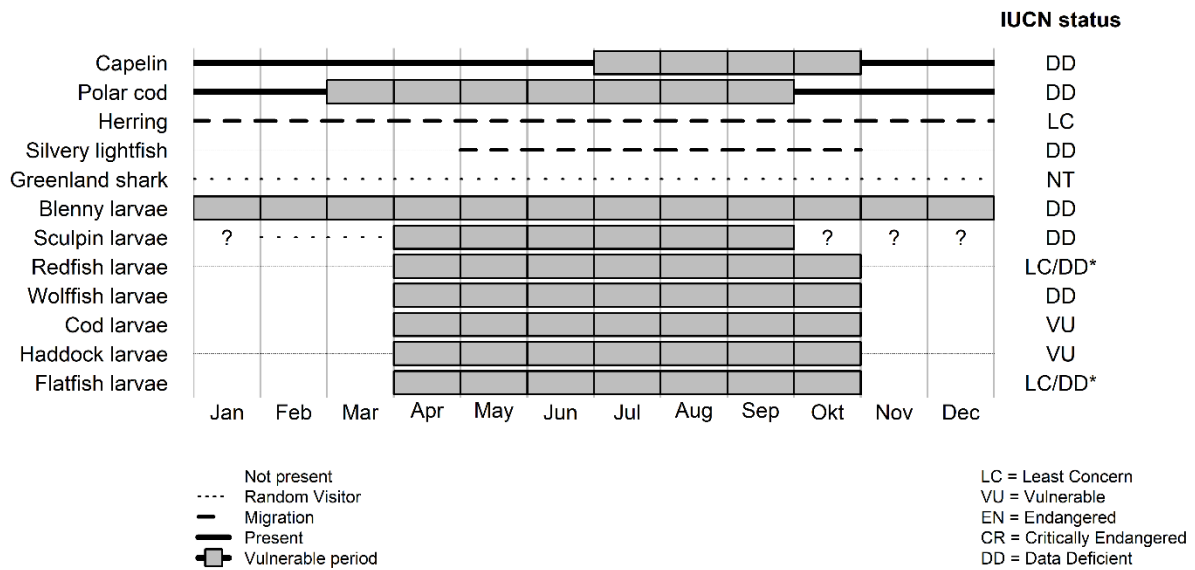
354 Marine fish diversity in the Arctic was recently reviewed (Mecklenburg et al. 2011;  
355 Christiansen & Reist 2013). Mecklenburg et al. (2011) identified 242 fish species in the  
356 Arctic. Most of these species are associated with the Arctic shelves. In the deep, central Arctic  
357 basin (average depth 2418 m), only 13 fish species have been recorded (Christiansen & Reist  
358 2013). While 10% of the fish species present in the Arctic are being harvested and therefore to  
359 degree certain extent being assessed and monitored, the distribution, abundance, ecology and  
360 life history of the remaining 90% is poorly understood (Christiansen & Reist 2013). The three  
361 most species-rich families are the snailfish (Liparidae), eelpout (Zoarcidae) and sculpins  
362 (Cottidae) (Christiansen & Reist 2013). Ongoing phylogenetic studies suggest that eelpout,  
363 sculpins and several other groups of Arctic fish are taxonomically more strongly associated

364 than previously thought. Updated taxonomies for these species may thus be expected  
365 (Imamura & Yabe 2002).

366 With regard to NEBA for Arctic seas, it is necessary to be aware of the species' presence in  
367 surface water masses and around sea ice. Two cryopelagic (i.e., living and spawning in  
368 association with sea ice) fish species live in the Arctic: the polar cod (*Boreogadus saida*) and  
369 the ice cod (*Arctogadus glacialis*) (Christiansen & Reist 2013). Both species have a  
370 circumpolar distribution and are endemic to the Arctic, but while the former is a highly  
371 abundant key species in the Arctic ecosystem, the latter is seldom recorded and less coupled  
372 to the sea ice (Aschan et al. 2009; Christiansen & Reist 2013). Young polar cod are  
373 commonly observed both underneath Arctic sea ice and in the pelagic (Lønne and Gulliksen  
374 1989; Gradinger and Bluhm 2004; Geoffroy et al. 2011; David et al. 2016). Young age classes  
375 remaining close to the ice and are separated vertically from the larger congeners who reside in  
376 the pelagic (Geoffroy et al. 2016). In the Barents Sea, the polar cod spawn under or close to  
377 the ice edge during the period November-March, either in the southeastern Barents Sea or in  
378 the Svalbard area, and from these areas, the larvae drift along with the ocean currents in the  
379 surface layers (Ajiad et al. 2013). Graham & Hop (1995) showed that healthy polar cod larvae  
380 stayed in the upper 15 cm of the water column, whereas larvae that did not stay close to the  
381 surface did not mature.

382 Apart from the two above-mentioned Arctic pelagic species, most Arctic fish species have a  
383 typical demersal affiliation as adults. However, many of these Arctic demersal fish species,  
384 such as the shannies (Stichaeidae) and the sculpins, do have prolonged pelagic stages, thus are  
385 regularly present in the upper water masses (Fig. 6).





386

387 Fig. 6. Presence of fish in the upper water layers of the Arctic marginal ice zone. References  
 388 for distribution: capelin, *Mallotus villosus* (Wienerroither et al. 2011, 2013; Prozorkevich &  
 389 Sunnanå 2017); polar cod, *Boreogadus saida* (Wienerroither et al. 2011, 2013; Prozorkevich  
 390 & Sunnanå 2017); herring, *Clupea harengus* (Wienerroither et al. 2011, 2013; Prozorkevich  
 391 & Sunnanå 2017); silvery lightfish, *Maurolicus muelleri* (Wienerroither et al. 2011, 2013);  
 392 Greenland shark, *Somniosus microcephalus* (Wienerroither et al. 2011, 2013); blenny,  
 393 *Leptoclinius maculatus*, *Anisarchus medius* and *Lumpenus fabricii* (Ottesen et al. 2011; own  
 394 data); sculpin, *Myoxocephalus scorpius*, *Icelus* spp. and *Triglops* spp. (own data); redfish,  
 395 *Sebastes* spp. (Prozorkevich & Sunnanå 2017; own data); wolffish, *Anarhichas* spp.  
 396 (Prozorkevich & Sunnanå 2017; own data); cod, *Gadus morhua* (Prozorkevich & Sunnanå  
 397 2017; own data); haddock, *Melanogrammus aeglefinus* (Prozorkevich & Sunnanå 2017; own  
 398 data); flatfish, *Hippoglossoides platessoides* and *Reinhardtius hippoglossoides* (Prozorkevich  
 399 & Sunnanå 2017; own data); snailfish, *Careproctus* spp., *Liparis* spp. (Prozorkevich &  
 400 Sunnanå 2017; own data). \*Species of these groups are either listed as "LC" or "DD". ? =  
 401 unknown information.

402

403 In general, many Arctic demersal species fish have pelagic juveniles (i.e., be past the larvae  
 404 and post larvae stages) before they are ready for a demersal life style (Ottesen et al. 2011).  
 405 The larvae are pelagic in order to make use of the elevated biological production in the  
 406 summer season. However, in the Barents Sea some species have prolonged pelagic larvae  
 407 stages that may last for several year cycles, including wintertime. This is probably an

408 adaptation to the particular physical conditions. The bottom of the Barents Sea generally  
409 consists of sand, mud, clay and silt (Wassmann et al. 2006) and such flat bottom conditions  
410 provide little shelter. Most of the species in the northern Barents Sea have a benthic affiliation  
411 as adults. For many fish larvae, the pelagic zone is therefore probably a less exposed and  
412 therefore safer habitat, with fewer predators and higher food availability, however in the case  
413 of an oil spill the larvae will more likely be exposed to oil. One such species is the daubed  
414 shanny (*Leptoclinus maculatus*), a fish species which is distributed across most of the Barents  
415 Sea, including the northernmost areas (Fig. 7, Ottesen et al 2011). The daubed shanny is  
416 pelagic for 2-3 years before they settle at the sea floor (Ottesen et al. 2011). Due to its  
417 presence close to the surface in early life stages, and due to its high abundance and high fat  
418 content, this species may constitute a valuable food source for species at higher trophic levels  
419 (e.g. seabirds) in times when the abundance of the important capelin (*Mallotus villosus*) is  
420 low.



421  
422 Fig. 7. Larvae of the daubed shanny, *Leptoclinus maculatus*. The daubed shanny has a pelagic  
423 life stage lasting up to 3 years. This specimen is approximately 65 mm in length. Note the red  
424 lipid sac. © Camilla A. M. Ottesen.

425  
426  
427 Other examples of demersal fish species with prolonged pelagic phases include the shorthorn  
428 sculpin (*Myoxocephalus scorpius*), twohorn sculpin (*Icelus bicornis*), the stout eelblenny  
429 (*Anisarchus medius*), and species of the genera *Triglops* and *Liparis*. Several flatfish species  
430 and wolfish also have pelagic larval stages.

431 Eelpouts (*Zoarchidae* spp), a very abundant and diverse group of Arctic fish, probably do not  
432 have pelagic stages. When hatched, the larvae are often well developed. Furthermore,  
433 eelpouts display parental guarding of their eggs and larvae until these become juveniles (i.e.  
434 past the post-larvae stage) and less vulnerable to predation (Silverberg & Bossé 1994).

435 Several boreal, pelagic fish species migrate into the northernmost areas in summer time in  
436 search for food and favorable current and light conditions (Nøttestad et al. 1999). Seasonal  
437 migrations are often carried out by larger, planktivorous species, since smaller specimens  
438 spend relatively more energy than larger ones on long migrations, particularly if they must  
439 swim against currents (Nøttestad et al. 1999). The most important among these boreal, pelagic  
440 species is probably the capelin, a short-lived key species with a circumpolar distribution. The  
441 capelin is represented by different stocks in different areas, and life histories differ between  
442 the various stocks. Most notably, capelin stocks in the Pacific and Newfoundland areas spawn  
443 on beaches in summer (June-July), whereas the capelin stock in coastal areas in the Barents  
444 Sea spawn in late winter/early spring (March-April) and in Greenland between April-July  
445 (Rose 2005). In the Barents Sea, where there is a strong flow of Atlantic water masses  
446 towards the Arctic, the eggs of several boreal fish species are spawned along the coasts of  
447 Norway and Russia and carried northwards into the Barents Sea with the currents; this  
448 includes the eggs and larvae of species such as the Northeast Arctic cod and the Northeast  
449 Arctic haddock. The eggs and larvae are largely retained in Atlantic water masses, far from  
450 the ice zone (Sundby and Nakken 2008, Olsen et al. 2010), whereas adult individuals may  
451 conduct summer feeding migrations further north, mainly in deeper waters where they are less  
452 likely to be exposed to oil in the case of an accidental spill.

453

### 454 3.6 BIRDS

455 Seabirds are important components of the marine ecosystem inhabiting both offshore and  
456 inshore ecosystem. They forage on a great diversity of food items from zooplankton to fish,  
457 and some species also scavenge mammal carcasses. They are adapted to a life at sea and a  
458 great variety of feeding strategies are observed. However, two main foraging strategies are  
459 found; divers and surface feeders. Surface feeders are good flyers, have longer wings and can  
460 forage over huge areas of sea. Divers have shorter wings and some groups have the ability to  
461 fly underwater by using their wings for propulsion. Divers spend more time on the sea surface  
462 and therefore are more susceptible to encounter an oil slick, making them more vulnerable to  
463 an oil spill. Alternatively, a more comprehensive approach is to choose the six trophic  
464 assemblages suggested by the Circumpolar Seabird Expert Group. These are surface  
465 piscivores, surface planktivores, diving piscivores, diving planktivores, benthic feeders and

466 omnivores (Irons et al., 2015). NEBA should at least consider four functional seabird groups:  
467 offshore divers, offshore surface feeders, inshore divers and inshore surface feeders.

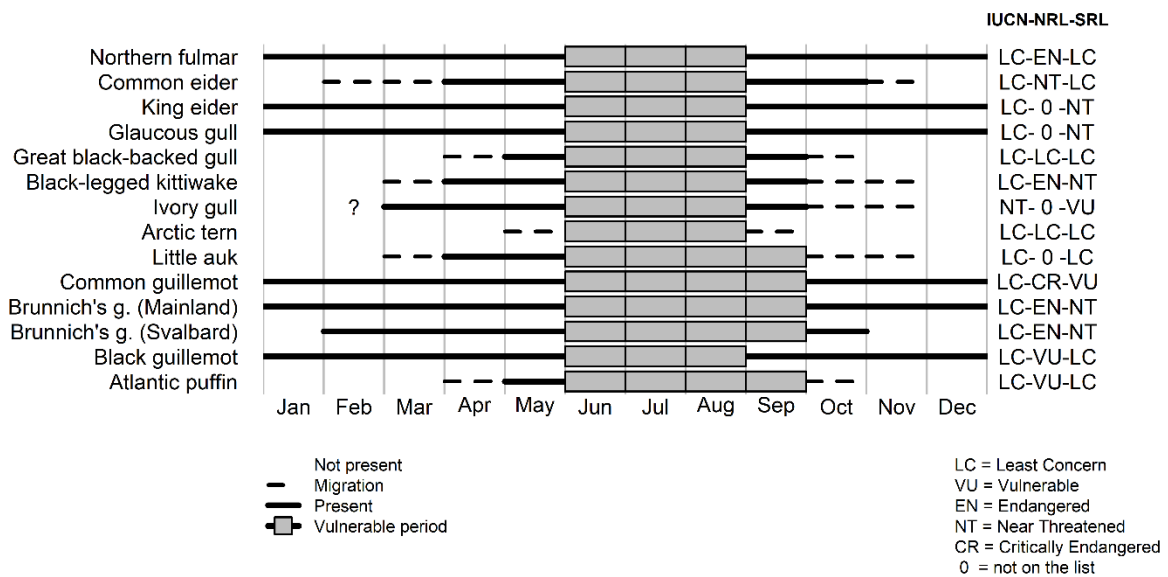
468 Globally, seabird populations are on the decline. The overall decline in 19 % of the worlds  
469 monitored seabird populations was almost 70 % between 1950 and 2010 (Paleczny et al.,  
470 2015). As seabirds consume large quantities of seafood (Barrett et al., 2002), fishing and fish  
471 stock variation will ultimately affect seabird populations (Barrett et al., 2006b; Cury et al.,  
472 2011; Erikstad et al., 2013). However, the coupling of seabird populations and fish stock  
473 models is challenging as seabirds forage on small fishes and early life stages, while the fish  
474 stock models focus on fish of commercially catchable size (Cairns, 1992). Other threats to  
475 seabird populations include oil spills, global warming, coastal development and contaminants  
476 (Dickson and Gilchrist, 2002).

477 It is natural to expect a relationship between the size of an oil spill and numbers of oiled and  
478 dead seabirds, but a review of 45 oil spills from shipping accidents conclude that no  
479 correlation between volume of oil spilled and numbers of injured and killed seabirds exists  
480 (Burger, 1993). The prerequisite for a seabird to come into contact with oil after a spill is an  
481 overlap in space and time. Therefore, population size, density and geographical distribution  
482 are critical parameters to consider in a NEBA evaluation. These parameters depend on  
483 seasonal movements, life history traits and the availability of food. Together with ecological  
484 parameters, factors that determine the fate and distribution of oil, e.g. amount of oil on water,  
485 oil type, air and water temperature, wave height, wind velocity and ocean currents (Fingas,  
486 2011) are also crucial to consider. Therefore, assessing the risk to seabirds depends on the  
487 distribution and density of birds at a specific spill location and the distribution and behavior of  
488 oil at that location.

489 The number of breeding seabirds of the North Atlantic is approximately 68 million (Barrett et  
490 al., 2006a). Within the North Atlantic, the Barents Sea holds about 16-20 million individual  
491 birds during the summer (Gabrielsen, 2009). The Lancaster Sound region of eastern Canada  
492 holds about 1.7 million seabirds (Welch et al., 1992), while the guillemot population is  
493 estimated to be about 7 million adult breeding birds (mostly Brünnich's guillemots, *Uria*  
494 *lomvia*) in the Eastern Canadian Arctic (Gaston and Jones, 1998; Nettleship and Evans, 1985).  
495 Data from the Beaufort Sea is missing as few colonial seabirds breed there (Gaston et al.,  
496 2009). The NEBA process preferably needs data on the actual presence of birds from  
497 overflights, and recent monitoring activities. Availability of online monitoring databases can  
498 be beneficial to get a first indication of the potential presence of birds. The level of

499 organization differs between countries and areas, but through the Arctic Council working  
 500 group Conservation of Arctic Flora and Fauna (CAFF), the Arctic Biodiversity Data Service  
 501 was established and the Circumpolar Seabird Data Portal is running (Irons et al., 2015). This  
 502 is a publicly accessible platform for information that has the potential for a good quality  
 503 circumpolar data for modelling. Information about presence for 13 seabird species is shown in  
 504 Fig. 8.

505



506

507 Fig. 8. Presence and vulnerability plot for 13 seabird species of the north Atlantic (Svalbard  
 508 area). The vulnerability period is defined as breeding and for auks also the moulting period.  
 509 The red list status is given for IUCN (International Union for Conservation of Nature) and the  
 510 Norwegian red list for the mainland (NRL) and Svalbard (SRL) (Kålås et al., 2010).  
 511 References for distribution: northern fulmar, *Fulmarus glacialis* (Fauchald, 2011); common  
 512 eider, *Somateria mollissima* (Isaksen and Bakken, 1995); king eider, *Somateria spectabilis*  
 513 (Mosbech et al., 2015); glaucous gull, *Larus hyperboreus* (Fauchald, 2011); great black-  
 514 backed gull, *Larus marinus* (Isaksen and Bakken, 1995); black-backed kittiwake, *Rissa*  
 515 *tridactyla* (Frederiksen et al., 2011); ivory gull, *Pagophila eburnea* (Gilg et al., 2010); arctic  
 516 terns, *Sterna paradisaea* (Egevang et al., 2010); Common guillemot, *Uria aalge* (Steen et al.,  
 517 2013); Little auk, *Alle alle* (Fort et al., 2013); Brünnich's guillemot, *Uria lomvia* (Steen et al.,  
 518 2013); Black guillemot, *Cephus grylle* (Bakken and Mehlum, 1988); Atlantic puffin,  
 519 *Fratercula arctica* (Fauchald, 2011). ? = unknown information.

520

## 3.7 MARINE MAMMALS

521  
522 The rich ecosystem of the Arctic Ocean and adjacent seas, with large populations of  
523 zooplankton and fish, are an important resource for a variety of marine mammals. Among the  
524 approximate 10 pinniped species and 20 cetacean species that are regularly observed in these  
525 waters, some remain there year-round (e.g. white-beaked dolphins (*Lagenorhynchus*  
526 *albirostris*), beluga whales (*Delphinapterus leucas*), ringed seals (*Phoca hispida*) and bearded  
527 seals (*Erignathus barbatus*). Others undertake annual migrations to northern latitude feeding  
528 grounds during the productive summer months (e.g. minke whales (*Balaenoptera*  
529 *acutorostrata*), humpback whales (*Megaptera novaeangliae*) and fin whales (*Balaenoptera*  
530 *physalus*). Some species are distinctly coastal, such as bearded seals, harbour seals (*Phoca*  
531 *vitulina*), and beluga whales, while others reside primarily in the open ocean (e.g. most  
532 cetaceans, harp seals (*Pagophilus groenlandicus*) and hooded seals (*Cystophora cristata*).

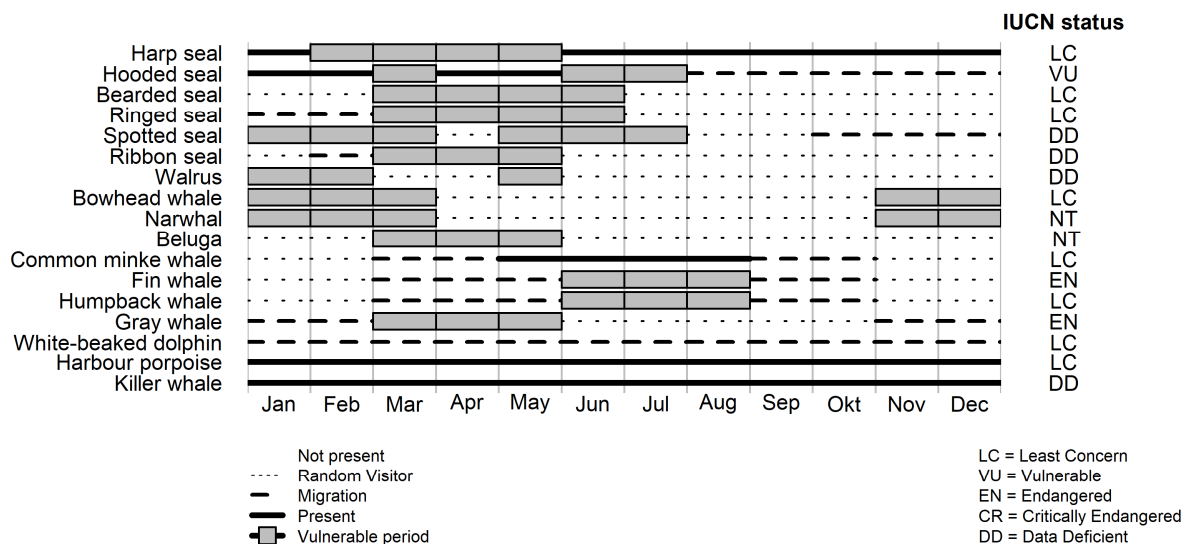
533 Similar to other species, for marine mammals to be impacted by spilled oil, there must be an  
534 overlap between the species distribution and the spreading of the oil spill in both time and  
535 space. In addition to the exposure level, the degree to which specific species are impacted by  
536 exposure to oil also depends on their population status, local density within the impacted area,  
537 and their geographical distribution outside of these areas. The distribution of marine mammals  
538 is generally driven by the distribution and abundance of their main prey, but also depends  
539 seasonally on the migration timing and routes between feeding and breeding grounds.  
540 Detailed knowledge of such processes is considered to be of crucial importance for  
541 assessment of the ecological consequences in a NEBA process. Not much is known about  
542 how whales are affected by oil, but their feeding strategy will likely determine, to a large  
543 degree, their risk of being impacted by oil at the surface. Right whales, such as the North  
544 Atlantic right whale (*Eubalaena glacialis*) and the bowhead whale (*Balaena mysticetus*), are  
545 skim feeders, which means that they often swim in the surface with the mouth open, filtering  
546 zooplankton from the upper water masses. This feeding pattern obviously makes them more  
547 vulnerable to surface oil. On the other hand, baleen whales, such as the humpback whale  
548 (*Megaptera novaeangliae*), feed both at the surface and at depth, probably making them  
549 moderately vulnerable to drifting oil.

550

551 A recent review by Laidre et al. (2015) summarized the state of knowledge regarding 11  
552 species (3 cetaceans, 7 pinnipeds and polar bears), which are referred to as truly Arctic  
553 Marine Mammals (AMMs). These include species that remain above the Arctic Circle for

554 most of the year, and in addition some selected species that inhabit the Arctic on a seasonal  
 555 basis, e.g. during summer feeding periods. Among these AMMs a distinction is made between  
 556 species that are ice obligates (i.e. depend on sea ice for important life history events such as  
 557 reproduction, moulting, resting) and species that are associated with the ice edge during parts  
 558 of the year but do not depend on it directly for critical life history events. An important  
 559 finding from Laidre et al. (2015) is the fact that for most species, abundance estimates are  
 560 based on a single point estimate, often associated with very large uncertainty. For some  
 561 species, abundance estimates are simply based on expert opinion with no uncertainty  
 562 estimates. Fig. 9 summarizes the findings by Laidre et al. (2015) for subpopulations in the  
 563 Northeast Atlantic sector.

564



565

566 Fig. 9. Presence of sea mammals in the upper water layers of the Arctic marginal ice zone of  
 567 the Arctic. References for distribution: Harp seal, *Pagophilus groenlandicus* (Lavigne and  
 568 Kovacs, 1988); hooded seal, *Cystophora cristata* (ICES, 2014; Kovacs and Lydersen, 2008);  
 569 bearded seal, *Erignathus barbatus* (Kovacs et al., 2004); ringed seal, *Phoca hispida* (Frost and  
 570 Lowry, 1981; Reeves, 1998); spotted seal, *Phoca largha* (Quakenbush, 1988; Burkanov,  
 571 1990; Lowry et al., 2000); ribbon seal, *Histiophoca fasciata* (Burkanov and Lowry, 2008);  
 572 walrus, *Odobenus rosmarus* (Lowry et al., 2008); bowhead whale, *Balaena mysticetus* (Laidre  
 573 et al., 2008); narwhal, *Monodon monoceros* (Laidre et al., 2008; Laidre and Heide-Jørgensen  
 574 2005); beluga, *Delphinapterus leucas* (Laidre et al., 2008); common minke whale,  
 575 *Balaenoptera acutorostrata* (Skaug et al., 2004); fin whale, *Balaenoptera physalus* (Øien,

576 2009); humpback whale, *Megaptera novaeangliae* (Øien, 2009); gray whale, *Eschrichtius*  
577 *robustus* (Moore and Huntington, 2008); white-beaked dolphin, *Lagenorhynchus albirostris*  
578 (Hammond et al., 2012); harbour porpoise, *Phocoena phocoena* (Bjørge and Øien, 1995);  
579 killer whale, *Orcinus orca* (Lawson and Stevens, 2014).

580

581

582 While the review of Laidre et al. (2015) is as comprehensive as current information permits, it  
583 highlights the knowledge limitations for the 11 species they consider, and it does not provide  
584 any knowledge updates for the large number of species (mostly cetaceans) which visit the  
585 Arctic on a seasonal basis, and which depend critically on resources in these waters to cover  
586 the energetic costs of growth, maintenance and reproduction. Many of these species spend  
587 several months during the spring and summer feeding in close proximity to the ice edge.

588

589 In general, there is limited information about the main migratory pathways and the timing of  
590 seasonal migrations of most species. Data from historical whaling records suggest that areas  
591 along the shelf edge in the Barents Sea are key feeding areas during the early summer season  
592 (Institute of Marine Research, 2012). Therefore, there is a need for updated information on  
593 migration patterns for marine mammals in general and in regions of interest for oil and gas  
594 exploration in specific. The availability and organization of data differs between countries,  
595 but there has been a strong effort from the Arctic Council to develop the Arctic Biodiversity  
596 Data Service (ABDS). This platform aims at increasing the access to arctic biodiversity data  
597 at different scales (spatial, temporal and taxonomical). It has also been combined with the  
598 Ocean Biogeographic Information System (OBIS) as its Arctic node, and can become a  
599 valuable source of information for future modelling initiatives and management decisions.  
600 Also, various large-scale research programmes have been set up with the specific aim to study  
601 the ecology and distribution of marine mammals and other ecosystem components. These  
602 programmes include the Chukchi Sea Environmental Studies Program  
603 (<https://www.chukchiscience.com>) and the Joint Norwegian-Russian Ecosystem Survey  
604 (Michalsen et al. 2013) which provide regional information as an input to Environmental  
605 Impact Assessments e.g. conducted as a part of NEBA.

606

607 3.8 UNIQUENESS AND PARTICULAR PROPERTIES OF ARCTIC ECOSYSTEMS



608 Arctic ecosystems differ from boreal ones, and the uniqueness of an ecosystem can be  
609 assessed by focusing on food web properties. Recently, analyses of a food-web matrix for the  
610 Barents Sea including 244 taxa from all trophic levels (Planque et al. 2014), suggest that there  
611 are major structural differences between boreal and Arctic communities (Kortsch et al. 2015).  
612 In the arctic part of the Barents Sea, phytoplankton and polar cod were the components with  
613 the highest number of feeding links to other taxa (i.e., the highest degree of centrality in the  
614 food web). Consequently, perturbation of these two ice-associated taxa would potentially  
615 affect a high number of other ecosystem components. However, compared to typical boreal  
616 generalist such as the cod, polar cod can be regarded as a specialist, and in general the Arctic  
617 was indeed characterized by a lower than average number of feeding links per species as  
618 compared to members of the boreal community (Kortsch et al. 2015). In general, Arctic  
619 species tend to display particular adaptations to a life in the polar environment, where the  
620 food availability is highly seasonal. As such, Arctic species differ from boreal species with  
621 regard to their life history strategies and in the ways in which they contribute to ecosystem  
622 functioning.

623  
624 With regard to fish, such adaptations include small, elongated bodies, large eggs and low  
625 fecundity. Species being present along broad latitudinal ranges may show differing life  
626 histories depending on where a particular specimen resides. For instance, two shannies (the  
627 daubed shanny and the stout eelblenny), which are present both in UK waters and in the  
628 Arctic parts of the Barents Sea, display a lipid sac in the Barents Sea, but not in UK waters.  
629 This may be an adaptation to a life in the Arctic, where prolonged periods of low food  
630 availability are likely. As such, Arctic ecosystem management plans and NEBAs should be  
631 based on trait data from field studies carried out in Arctic environments, in order to convey  
632 realistic ecosystem information.

633

### 634 3.9 LIFE IN THE ARCTIC DURING THE POLAR NIGHT

635 Ecological processes in the Arctic are largely governed by sea ice and light dynamics. As  
636 such, low light intensity and accordingly low photosynthetic activity in wintertime has led to  
637 the general perception that there is very little biological activity in Arctic marine surface  
638 layers during this time of the year. However, recent studies conducted in the Svalbard area in  
639 January 2012-2015 revealed that the biological activity in the Arctic in wintertime is higher  
640 than previously assumed (Berge et al. 2015a, b; Falk-Petersen et al. 2015). For instance,

641 omnivorous and carnivorous zooplankton (including copepod nauplii) were present in the  
642 entire water column, with the highest density in the upper water layers. Interestingly,  
643 herbivorous *Calanus* copepods were also found to migrate up from overwintering depth  
644 earlier than previously recorded and were already found in the upper water masses in late  
645 January (Blachwiach-Samolyk et al. 2015; Daase et al. 2014). Large boreal gadoids such as  
646 cod and haddock were able to feed during the polar night, while the boreal, pelagic herring  
647 were present but not feeding, which may indicate that the herring is not sufficiently adapted  
648 for an entire year cycle in the Arctic (Berge et al. 2015a). Although the fish community in the  
649 Arctic is dominated by small, demersal species, with few pelagic fish species being present in  
650 the Arctic in wintertime, larvae of several demersal fish species are present in the upper water  
651 layers throughout the year. As noted in the fish section, this appears to be particularly true for  
652 a typical demersal species, the daubed shanny, which possesses post-larvae that live  
653 pelagically in the upper water masses for up to 3 years before they settle at the bottom  
654 (Ottesen et al. 2011). These new data suggest that species wintertime distributions are highly  
655 relevant in a NEBA perspective, and therefore warrant further investigation.

656

### 657 3.10 FUTURE SPECIES DISTRIBUTIONS

658 Environmental change induces changes in sea ice distribution and water mass composition.  
659 The distribution of species depends on the environmental conditions. Thus, such  
660 environmental changes are reflected at all trophic levels of the ecosystem, and are for example  
661 associated with alterations in species compositions and distributions. In the Barents Sea, a  
662 clear shift in the water mass composition has been evident in recent years (Johannesen et al.  
663 2012), as well as an associated north-eastwards shift in the distribution of many boreal fish  
664 species (Fossheim et al. 2015). Many boreal species now appear to be established in areas  
665 previously considered as Arctic, at least in summer time. For instance, this applies to the  
666 North-east Arctic cod (Johansen et al. 2013) and the mackerel, the latter now being regularly  
667 caught in Svalbard (Berge et al. 2015b). It is important that as part of the NEBA process all  
668 relevant valued ecosystem components (VEC's) are properly identified and included in the  
669 evaluation.

670

## 671 4. DISCUSSION

672 The information presented herein on species distributions is based on various sources,  
673 including published books and papers, grey literature and unpublished data. Focusing on all  
674 trophic levels, the overall intention was to restrict the scope to the most important species  
675 present in upper water layers in seasonally ice-covered Arctic seas, in order to identify data  
676 needs for NEBA and provide suggestions for input. An important point concerning such  
677 distributions is that the resolution of species distributional data is generally low. Whereas  
678 some commercial fish species (e.g., cod and haddock) are being monitored twice every year in  
679 some areas (e.g., the Barents Sea), information on the distribution of most other species is  
680 based on annual surveys, or even less frequently. Surveys are usually conducted in summer,  
681 when the weather at high latitudes is most stable and the ice coverage is at a minimum. We  
682 therefore have a much better understanding of species distributions during the Arctic during  
683 summer than in wintertime, and this represents a substantial challenge to the response  
684 community since operations in the Arctic occur to an increasing extent throughout the year.

685 The vulnerability of a species to oil spills depends on the overlap in time and space between  
686 the species and the oil, as well as the sensitivity of the species to oil exposure. Furthermore,  
687 the vulnerability of the population also depends on factors such as the biodensity, the fraction  
688 impacted, the population resilience and recovery potential. As these latter factors are  
689 governed by the seasonal variability in the ecosystem, they are particularly dynamic in the  
690 highly seasonal Arctic. As such, seasonal variation is considered to be a key issue that needs  
691 to be accounted for in a NEBA process when executed for the Arctic. In this paper, we  
692 highlight the seasonal variation in the presence of key ecological components in Arctic  
693 surface waters (Figs. 5, 6, 8 and 9). This presence is species dependent; it can be highly  
694 variable throughout the seasons, and it can be of regular, migratory or random nature. In order  
695 to properly execute a NEBA, data on the spatial and temporal distributions of species need to  
696 be compared to the distribution of oil and should ideally include temporal, horizontal and  
697 vertical dimensions, especially because spill response options will have an influence on the  
698 distribution of oil in all these dimensions.

699 Whereas drifting oil slicks may affect species associated with the water surface, treatment of  
700 the oil, such as the application of dispersants, will move oil from the surface layer towards the  
701 water masses below the surface, and thereby temporary increase the oil concentration in the  
702 water column. Depending on the scale and timing of the spill, the use of dispersants may  
703 therefore increase the risk of exposing groups of species found in the pelagic zone to oil

704 components as compared to a scenario where oil is left as a slick at the water surface.  
705 Organisms with low mobility, such as phytoplankton, zooplankton and fish eggs and larvae,  
706 may not be able to avoid exposed areas. On the other hand, some groups of species (e.g.,  
707 larger fish, krill and marine mammals) are possibly capable to swim away from exposed areas  
708 (Sydnes et al. 1994), whereas others (e.g., seabirds) may be attracted.

709 Species groups such as phytoplankton and zooplankton typically constitute the base of the  
710 food web. Experimental exposure studies indicate that lipid rich species such as *Calanus* may  
711 potentially bioaccumulate oil compounds (Nørregaard et al 2015, Agersted 2018). However,  
712 little is known how these groups are affected by oil exposure in the long term, or if such  
713 effects propagate through the food chain. The long-term impact on plankton and the potential  
714 cascading effects on higher trophic levels would certainly depend on the size of the spill, and  
715 this could for instance be assessed and quantified by means of numerical modelling in a future  
716 model study. Such numerical information would be highly valuable when executing a proper  
717 NEBA.

718 Although different Arctic shelf areas display slight variations in the timing of low trophic  
719 level biological events, which are dependent upon the ice and light conditions, the succession  
720 of such biological events is rather similar among regions (Fig. 5; Daase et al. 2013). As such,  
721 this succession governs the likelihood of oil affecting the various low trophic level ecosystem  
722 components. Many species are most sensitive to oil toxicity and oil related damage during  
723 early life stages (e.g., Kennish, 1997). In peak production situations, on the other hand, large  
724 proportions of a given population may potentially be at risk. For instance, an oil spill in early  
725 spring would have a higher risk of affecting the copepod *Calanus glacialis*, which migrates  
726 towards the surface in February-March and stays in these upper water layers until the autumn  
727 (in August-October, depending on the region). The ice algae bloom, which lasts for 1-2  
728 months, starts around mid-March, with a peak just after the sea ice starts to break up. When  
729 the sea ice is about to disappear, the phytoplankton bloom in the upper water layers takes  
730 place, with a main peak occurring less than a month later. In *C. glacialis*, the egg production  
731 normally lasts for more than 2 months and peaks about the time when the ice breaks up. The  
732 subsequent peak in copepodite stage CI abundance occurs towards the end of the main  
733 phytoplankton bloom. As such, there are consecutive blooms of lower trophic level species  
734 during the entire summer season, from early spring until late autumn. Whereas many species  
735 complete these events well before sea ice starts to form again in October-November, many  
736 species are still active in autumn and winter and reproduce all year round.

737 Ecosystem surveys currently reveal an ongoing borealization of Arctic marine areas, with  
738 many boreal species extending their northern distribution limits into Arctic shelf areas (e.g.,  
739 Fossheim et al. 2015). This is likely a result of the ongoing environmental change, with higher  
740 water temperatures and associated enhanced possibilities for boreal species to survive in the  
741 Arctic. Furthermore, recent wintertime field studies unexpectedly show a presence of species  
742 at most trophic levels close to the surface (e.g., Berge et al. 2015a). For instance, several  
743 abundant fish species (e.g., the daubed shanny) display pelagic juvenile stages that may  
744 persist continuously for several years (e.g. Ottesen et al. 2011). As such, a continued  
745 monitoring of the Arctic plankton community, with surveys that cover both the summer and  
746 the winter seasons, may be necessary in order to obtain a comprehensive understanding of this  
747 ecosystem component.

748 Provided that there is a spatio-temporal overlap between the species and oil, behavior may for  
749 some species determine the degree to which they are harmed by the oil. With regard to  
750 seabirds, which are present in the Arctic throughout the year, we suggest that the species  
751 should be assessed in the light of at least four functional groups: offshore divers, offshore  
752 surface feeders, inshore divers and inshore surface feeders. The divers spend most time at the  
753 surface, and are therefore probably most vulnerable to oil spills, and seabirds in general are  
754 most vulnerable in summer time when they are breeding and moulting. Unlike seabirds,  
755 marine mammals do not have a particular period of the year when most of the species are  
756 present in the Arctic: some species are present and vulnerable in summer time, whereas others  
757 are present and vulnerable at other times of the year. However, in general the number of  
758 marine mammal species, as well as the proportion of their populations present in arctic waters  
759 increases during summer feeding periods and decreases as seasonally migrating species again  
760 leave the high-latitude feeding grounds for winter breeding periods at more southerly  
761 latitudes. Seals have fur that may be exposed to oil fouling in the same way as birds, while  
762 whales may be less vulnerable to such fouling of their skin. Fur is important for insulation of  
763 seals, in water and even more in air (Kvadsheim and Aarseth 2002). For pups in particular, fur  
764 is the main contributor for thermal insulation and exposure to oil will be detrimental.  
765 Fouling of the fur in adults will likely increase energy expenditure due to reduced  
766 thermoinsulation and partly through affecting hydrodynamics, cause discomfort, and increase  
767 the risk of ingestion by suckling pups. When it comes to whales, their behavior (e.g., their  
768 foraging strategy) appears to be an important modulator of their vulnerability to oil pollution.  
769 Skim feeders, such as right whales and bowhead whales, often swim in the surface with the

770 mouth open, and are thereby likely more vulnerable to surface oil than whale species that to a  
771 larger extent feed in deeper water masses. This illustrates that not only the species'  
772 distributions, but also their behavior is important to consider when assessing the potential  
773 impact as part of a NEBA for the Arctic.

774

## 775 5. CONCLUSIONS

776 In an attempt to identify parameters and processes that are crucial to consider in an Arctic  
777 NEBA for oil spill response decision making, this paper described key ecological features in  
778 the surface waters of seasonally ice-covered Arctic shelf seas. We provide recommendations  
779 that will address current knowledge gaps, and which can be used to identify the best response  
780 options in the case of an accidental oil spill in the Arctic. It is important that as part of the  
781 NEBA process the horizontal, vertical and temporal distributions all relevant VEC's, and in  
782 some cases their behavioral traits, are properly identified and included in the evaluation.  
783 Special focus should be on higher level, less resilient, species such as marine mammals and  
784 sea birds, whose spatio-temporal distributions are generally more challenging to model as  
785 compared to those of organisms found at lower trophic levels (e.g., phytoplankton).

786

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**Highlights**

- Net Environmental Benefit Analysis (NEBA) is a process used to identify which combination of response strategies minimises the impact of oil spills to environment and people.
- Biological resources found at marine interfaces are in general vulnerable because that is where oil can accumulate.
- In spring-time, many boreal species migrate northwards in response to sea ice retraction and increased production associated with the spring bloom.
- Some Arctic species are present in upper water layers in the Arctic throughout the year.