

The effect of everolimus initiation and calcineurin inhibitor elimination on cardiac allograft vasculopathy in *de-novo* heart transplant recipients– three year results of a Scandinavian randomized trial

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1 **WHAT IS NEW ?**

- 2 • The intravascular ultrasound results of the SCHEDULE trial demonstrate that
3 everolimus initiation and total early cyclosporine elimination significantly reduces the
4 progression of cardiac allograft vasculopathy (CAV) at 12 and 36 months compared
5 to patients treated with standard cyclosporine therapy.
- 6 • The early use of everolimus with total elimination of cyclosporine is safe as
7 demonstrated by similar cardiac function and low mortality in both treatment groups.
- 8 • Virtual Histology analysis confirmed that this immunosuppressive approach is not
9 associated with any significant increase in inflammatory tissue components (calcified
10 and necrotic tissue) or systemic inflammatory markers.

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12 **WHAT ARE THE CLINICAL IMPLICATIONS ?**

- 13 • There is currently no effective medical therapy for CAV after HTx. Everolimus and
14 total early cyclosporine elimination had a beneficial effect on CAV progression after
15 HTx and such therapy could potentially improve long-term outcome after HTx.
- 16 • Everolimus initiation and total early cyclosporine elimination does not appear to have
17 any detrimental effect on CAV morphology or immune marker activity. This
18 immunosuppressive protocol also has an additional beneficial effect on renal function
19 highlighting the advantage of considering such therapy early after HTx.

20

1 **ABSTRACT**

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3 *Background:* Cardiac allograft vasculopathy (CAV) limits survival after heart transplantation
4 (HTx) and the effect of different immunosuppressive regimens on CAV is not fully
5 understood. The randomized SCHEDULE (Scandinavian heart transplant everolimus de-
6 novo study with early calcineurin inhibitors avoidance) trial evaluated whether initiation of the
7 proliferation signal inhibitor everolimus and early cyclosporine elimination can reduce CAV
8 development.

9 *Methods and Results:* The SCHEDULE trial was a multicenter Scandinavian trial where 115
10 de-novo HTx recipients were randomized to everolimus with complete cyclosporine
11 withdrawal 7-11 weeks after HTx or standard cyclosporine-based immunosuppression. 76
12 (66%) patients had matched intravascular ultrasound (IVUS) examinations at baseline, 12
13 months and 36 months. IVUS analysis evaluated maximal intimal thickness (MIT), percent
14 atheroma volume (PAV) and total atheroma volume (TAV). Qualitative plaque analysis using
15 Virtual Histology assessed fibrous, fibrofatty and calcified tissue as well as necrotic core.
16 Serum inflammatory markers were measured in parallel.

17 The everolimus group (n=37) demonstrated significantly reduced CAV progression as
18 compared to the cyclosporine group (n=39) at 36 months [Δ MIT 0.09 ± 0.05 versus 0.15 ± 0.16
19 mm ($p=0.03$), Δ PAV $5.3 \pm 2.8\%$ versus 7.6 ± 5.9 ($p=0.03$), Δ TAV 33.9 ± 71.2 mm³ versus
20 54.2 ± 96.0 mm³ ($p=0.34$), respectively]. At 36 months the number of everolimus patients with
21 rejection graded $\geq 2R$ was 15 (41%) as compared to 5 (13%) in the cyclosporine group
22 ($p=0.01$). Everolimus did not affect CAV morphology or immune marker activity during the
23 follow-up period.

24 *Conclusions:* The SCHEDULE trial demonstrates that everolimus initiation and early
25 cyclosporine elimination significantly reduces CAV progression at 12 months and this
26 beneficial effect is clearly sustained at 36 months.

27 **Clinical trial registration:** ClinicalTrials.gov (NCT01266148) at <http://clinicaltrials.gov/>

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1 INTRODUCTION

2 Cardiac allograft vasculopathy (CAV) is reported to affect 50% of heart transplant (HTx)
3 recipients within 5 years after transplantation ¹ and is the second leading cause of post-HTx
4 mortality ¹. Prevention of CAV remains a significant clinical challenge as the widespread use
5 of powerful immunosuppressive agents including calcineurin inhibitors (CNI), such as
6 cyclosporine A (CsA) or tacrolimus, do not appear to ameliorate CAV development ². In fact,
7 the use of CNI agents is associated with adverse effects including metabolic disturbances
8 (e.g. new onset diabetes) and renal toxicity ³ which may negatively influence CAV
9 development ⁴. An alternative immunosuppressive protocol providing sufficient immune
10 modulation without such adverse effects and with potential attenuating effects on CAV
11 development is, therefore, an ideal but elusive goal in HTx.

12 During the last decade, immunosuppressive drugs inhibiting the mammalian target of
13 rapamycin (mTOR) signaling pathway have received considerable attention. Everolimus is an
14 mTOR inhibitor that arrests the cell cycle of lymphocytes and vascular smooth cells in the G1
15 phase ⁵ and this combined immunosuppressive and antiproliferative effect is relevant to CAV
16 development. It has previously been demonstrated that everolimus initiation in *de-novo* HTx
17 recipients with standard or reduced CNI therapy can attenuate intimal thickening of coronary
18 arteries ⁶⁻⁸. We demonstrated in the 12-month SCHEDULE study that *de-novo* everolimus
19 therapy and early elimination of CNI therapy is also feasible and reduces the early
20 progression (i.e. 12 months) of CAV ⁹. Furthermore, such a CNI-free strategy is associated
21 with improved renal function at 12 months ¹⁰ and a beneficial effect on renal function and
22 coronary artery intimal thickness is maintained at 36 months¹¹.

23 The purpose of the current report of the SCHEDULE trial is to provide an in-depth
24 evaluation of the effect of everolimus initiation and CNI elimination on CAV at 36 months by
25 utilizing percent atheroma volume (PAV) and total atheroma volume (TAV) as alternative
26 endpoints to MIT as well as angiographic data. Inflammatory marker measurement was also
27 performed in parallel to evaluate underlying inflammatory pathways that could potentially

1 mediate any observed intimal changes associated with everolimus therapy. Finally, the
2 current report also provides qualitative assessment of plaque morphology by Virtual
3 Histology (VH) analysis at 12 and 36 months after randomization.

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1 **METHODS**

2 *Patient population*

3 A detailed description of the SCHEDULE trial has been reported previously ¹⁰). In brief, the
4 SCHEDULE trial was a 12-month prospective, open-label, multicenter, randomized controlled
5 study undertaken at five HTx centers in Scandinavia. Adult *de-novo* HTx recipients were
6 randomized in a 1:1 ratio to: (i) low dose everolimus, low-dose cyclosporine, mycophenolate
7 mofetil (MMF) and corticosteroids with elimination of cyclosporine and step up to full-dose
8 everolimus after 7-11 weeks or (ii) conventional treatment with cyclosporine, MMF and
9 corticosteroids. All patients received the first dose of everolimus, cyclosporine, MMF, and
10 corticosteroids no later than the fifth postoperative day. *The current report is 36-month*
11 *continuation of the original SCHEDULE study evaluating CAV progression.* The first
12 SCHEDULE visit was performed in December 2009 and the final month 36 follow-up visit
13 took place in November 2014. Written informed consent was obtained from all patients. The
14 study was approved by the regional ethical authority in each country and was carried out in
15 accordance with the ICH Harmonized Tripartite Guidelines for Good Clinical Practice,
16 applicable local regulations and the Declaration of Helsinki and was registered with
17 ClinicalTrials.gov (NCT01266148). Because of the sensitive nature of the data collected for
18 this study, requests to access the dataset from qualified researchers trained in human
19 subject confidentiality protocols may be sent to the corresponding author and will be subject
20 to approval from the steering committee.

21 **IMMUNOSUPPRESSIVE MEDICATION**

22 All patients received induction treatment with antithymocyte globulin (ATG, Thymoglobulin[®],
23 Genzyme Corporation, Cambridge, MA) within 12 hours of HTx and this continued for up to
24 five days. In the everolimus group, everolimus was initiated at a dose of 0.75 mg twice daily
25 no later than the fifth postoperative day and cyclosporine elimination took place at week 7
26 unless there was ongoing rejection at that time, in which case discontinuation was allowed to

1 be postponed up to week 11. All patients received statin therapy and patients who were
2 seronegative for cytomegalovirus (CMV) and received a graft from a CMV-positive donor
3 were given prophylaxis with oral valganciclovir for at least three months according to the
4 local protocol.

5 *IVUS imaging*

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7 The SCHEDULE trial protocol specified IVUS examination of the same major epicardial
8 coronary artery (preferentially the left-anterior descending coronary artery) and this was
9 conducted while performing coronary angiography at week 7–11, 12 months and 36 months
10 post-HTx using a 20 MHz, 2.9F, monorail electronic Eagle Eye Gold IVUS catheter (Volcano
11 Corporation Inc, CA, USA). Patients with severe renal impairment (as judged by the principal
12 investigator but generally considered as $GFR < 30 \text{ ml/min/1.72 m}^2$) were to be excluded from
13 IVUS study (due to the risk of angiographic contrast) but no patients had this level of renal
14 impairment and, hence, this exclusion criterion did not apply to any of the randomized
15 patients. IVUS images were acquired at a rate of 30 frames/sec and pullback speed of 0.5
16 mm/sec. Images were stored digitally for off-line analysis conducted after trial closure by a
17 core laboratory (Oslo University Hospital, Rikshospitalet, Oslo, Norway) blinded to patient
18 treatment. IVUS analysis was performed according to the guidelines for acquisition and
19 analysis of IVUS images by the American College of Cardiology and European Society of
20 Cardiology¹². Precise matching of the IVUS recordings was performed followed by contour
21 detection of both the lumen and external elastic membrane (EEM) at approximately 1 mm
22 intervals using validated software (QIVUS, v.3.0, Medis medical imaging systems, Leiden,
23 the Netherlands).

24 25 *Greyscale IVUS analysis*

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27 Maximal intimal thickness (MIT) is an established predictor of all-cause mortality, myocardial
28 infarction, and angiographic abnormalities amongst HTx recipients^{13,14}. Hence, change in

1 MIT between matching segments at baseline and 12 and 36 months was utilized as the
2 primary greyscale IVUS efficacy variable. Other secondary IVUS variables were: (i) percent
3 atheroma volume (PAV) which expresses the summation of atheroma areas in proportion to
4 the EEM area using the equation: $PAV = \sum (EEM_{area} - Lumen_{area}) / \sum EEM_{area} \times 100$ (ii)
5 normalized total atheroma volume (TAV) and (iii) incidence of CAV (defined as mean MIT
6 ≥ 0.5 mm over the entire matched segment). The mean length of analyzed segments at
7 baseline, 12 months and 36 months was 36.7 ± 7.3 mm, 36.4 ± 8.1 and 36.8 ± 10.5 mm,
8 respectively.

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10 *Angiographic assessment of CAV*

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12 Coronary artery angiogram data was evaluated by experienced local staff blinded to
13 treatment and was reported according to the International Society for Heart and Lung
14 Transplantation standardized nomenclature for CAV¹⁵.

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16 *Virtual histology analysis*

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19 Virtual Histology (Volcano Corporation Inc, Rancho Cordova, CA) is a technological tool that
20 utilizes backscatter radiofrequency data obtained during IVUS pullback for qualitative plaque
21 assessment. VH-IVUS possesses 94–97% *ex-vivo* and 87–97% *in-vivo* accuracy for
22 characterization of basic tissue components^{16,17}. VH-IVUS data obtained at baseline, 12 and
23 36 months was analyzed with validated software (QIVUS, v.3.0, Medis medical imaging
24 systems, Leiden, the Netherlands) that reconstructs tissue maps with four identifiable major
25 components (fibrous, fibrofatty, dense calcified and necrotic core components) based on
26 mathematical autoregressive spectral analysis of backscattered radiofrequency data. The
27 various tissue components are expressed as a percentage of total intima and media area.

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1 *Inflammatory marker analysis*

2 In total, 65 patients (from two centers), underwent plasma sampling by standard
3 venipuncture at baseline, 12 and 36 months. Plasma levels of vascular cell adhesion
4 molecule 1 (VCAM-1), intercellular adhesion molecule 1(ICAM-1), the CXC chemokine
5 CXCL16 and soluble tumor necrosis factor receptor (sTNFR)-1 were measured by enzyme
6 immunoassays (EIA) (R&D Systems, Minneapolis, MN). Plasma levels of C-reactive protein
7 (CRP) and von Willebrand factor (vWf) were measured by EIAs as previously described ¹⁸.
8 All intra-assay and inter-assay coefficients of variance were <10%.

9
10 *Statistical analysis*

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12 Analyses were performed with the SPSS v 22.0 statistical software (SPSS Inc. Chicago, IL).
13 Data is expressed as mean±SD and a two-tailed p-value <0.05 was considered statistically
14 significant. Baseline characteristics were compared using Student's t-test, Mann-Whitney test
15 and Pearson's chi-square test as appropriate. Pearsons' chi-square test was used to
16 compare the incidence of acute rejection and Cochran–Mantel–Haenszel test was utilized to
17 compare the 36-month incidence of CAV in the treatment groups (adjusted for baseline
18 CAV). Changes in IVUS endpoints were compared between treatment groups by performing
19 analysis of covariance (ANCOVA) with the baseline IVUS value included as a covariate and
20 treatment group as a fixed factor. ANCOVA p-values represent between group contrast.
21 Multivariate regression analysis was performed to identify predictors of CAV progression
22 following an initial exploratory univariate analysis that selected potentially relevant covariates
23 (p-value <0.05). Multivariable regression analysis was performed using the forward stepwise
24 method with criteria for entry and exit at p <0.05 and <0.10, respectively. Change in
25 inflammatory marker values were compared between treatment groups by ANCOVA analysis
26 of log-transformed data.

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1 **RESULTS**

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3 **PATIENT POPULATION**

4 Of the 115 patients randomized in the SCHEDULE study, 76 (66%) patients had matching
5 IVUS recordings at baseline, 12 and 36 months with a similar number between both
6 treatment groups (39 everolimus versus 37 controls, Figure 1). Fifty-one (44%) patients had
7 matching VH-IVUS recordings at these three timepoints. Overall, 10 (9%) patients died
8 during the 36 month follow-up period. There were 7 deaths in the cyclosporine group with
9 cause of death recorded as cerebrovascular accident, sepsis, cardiac arrest, sudden death,
10 graft loss and 2 cases of malignancy. There were 3 deaths in the everolimus group with
11 cause of death recorded as graft failure, pleural hemorrhage and malignancy.

12 Mean age of patients in the 36-month IVUS study population was 50.8 ± 12.1 years
13 and there was no significant difference in baseline characteristics between the treatment
14 groups (Table 1). There was no significant difference in baseline characteristics when
15 comparing the 76 IVUS patients with the total SCHEDULE population ($n=115$) (GFR in IVUS
16 population was $59.7 \text{ mL/min/1.73 m}^2$ as compared to $60.7 \text{ mL/min/1.73 m}^2$ in the total
17 SCHEDULE population ($p=0.41$) - remaining data not shown).

18 **IMMUNOSUPPRESSION**

19 All patients in the everolimus group discontinued cyclosporine at week 7–11 according to the
20 trial protocol. The mean everolimus trough level at 12 and 36 months was 7.9 ± 3.8 ng/mL and
21 6.9 ± 2.1 ng/mL, respectively. In the everolimus group, 6 (16%) patients resumed low-dose
22 cyclosporine in combination with everolimus while 6 (16%) patients discontinued everolimus
23 permanently due to adverse effects. Among the 39 control patients, 5 (13%) patients were
24 switched from cyclosporine to everolimus therapy due to deteriorating renal function. There
25 were, therefore, 59 patients in the per-protocol population.

26

1 *Acute rejection*

2 The incidence of biopsy proven acute rejection (BPAR) during the first 12 months was 78.4%
3 in the everolimus group versus 56.4% in the cyclosporine group ($p=0.14$). The vast majority
4 of rejection episodes $\geq 2R$ occurred during the first 12 months with only 7 episodes occurring
5 in the 12-36 month period. These episodes occurred in 6 patients of whom 5 had previously
6 experienced similar rejection episodes ($\geq 2R$) during the first 12 months. Overall, at 36
7 months the number of patients with rejection graded $\geq 2R$ was 15 (41%) in the everolimus
8 group as compared to 5 (13%) in the cyclosporine group ($p=0.01$). Rejection treatment
9 consisted of corticosteroids in all cases without the need for cytolytic agents. No cases of
10 humoral rejection or rejection with hemodynamic compromise were observed in the 36-
11 month IVUS study population.

12 **GREYSCALE IVUS OUTCOME**

13 Only patients with exact matching IVUS recordings at baseline, 12 and 36 months ($n=76$) are
14 considered in the current IVUS report. As reported previously, greyscale IVUS at 12 months
15 demonstrated that everolimus patients had a mean increase in MIT of 0.02 ± 0.05 as
16 compared to 0.08 ± 0.11 mm amongst cyclosporine patients ($p < 0.01$) (Figure 2). This effect of
17 everolimus persisted at 36 months with a total mean increase in MIT of 0.09 ± 0.05 as
18 compared to 0.15 ± 0.16 mm amongst cyclosporine patients ($p=0.03$ (36-month values
19 compared to baseline), Figure 2). In the current study, a similar pattern was seen for PAV
20 with a significantly smaller mean increase amongst everolimus patients (1.2 ± 2.0 and
21 $5.3 \pm 2.8\%$) as compared to the cyclosporine group (3.7 ± 4.1 and $7.6 \pm 5.9\%$) (12 [$p < 0.01$] and
22 36 [$p=0.03$] months, respectively) (Figure 2). In contrast, when considering normalized TAV
23 the differences between the everolimus and cyclosporine group did not reach statistical
24 significance. Thus the increase in total atheroma burden in everolimus patients was -
25 0.13 ± 20.5 mm³ and 33.9 ± 71.2 mm³ at 12 and 36 months, respectively, as compared to

1 11.1±27.6 mm³ and 54.2±96.0 mm³ in the cyclosporine group (p=0.08 and p=0.34,
2 respectively) (Figure 2).

3 The number of everolimus patients defined as having CAV (mean MIT ≥0.05 mm)
4 increased from 12 (32%) at baseline to 16 (43.2%) at 36 months as compared to an increase
5 from 10 (26%) to 21 (53.8%) patients in the cyclosporine arm (p=0.10 when analyzing
6 differences in changes). Multivariable stepwise regression analysis was performed with the
7 following five candidate variables: recipient age, donor age, everolimus, gender and diabetes
8 mellitus. Of these variables recipient gender, donor age and treatment with everolimus were
9 selected as independent predictors of CAV progression with everolimus having an
10 independent adjusted treatment effect of $B = -0.04$ (95% CI -0.10-0.01) mm (p=0.03) (Table
11 2).

12 CMV infection/viremia did not influence CAV progression as evidenced by nearly
13 identical disease progression in patients with and without CMV infection/viremia (Δ MIT, PAV
14 and TAV at 36 months 0.12±0.13, 6.4±5.0 and 41.9±89.2 versus 0.12±0.13 mm, 6.5±5.0%
15 and 59.2±65.4 mm³, respectively (all p-values >0.05).

16 *Per protocol greyscale IVUS analysis*

17 Analysis of greyscale IVUS recordings was also performed in the per protocol population,
18 which excluded 17 patients (12 in the everolimus and 5 in cyclosporine arm) where treatment
19 according to randomization arm was not followed. Mean increase in MIT at 36 months in the
20 everolimus group was 0.09±0.05 mm as compared to 0.16±0.17 mm in the cyclosporine arm
21 (p=0.04). Δ PAV and Δ TAV in everolimus patients was 4.9±2.8% and 15.9±47.3 mm³ as
22 compared to 8.0±6.0% (p=0.02) and 59.3±101.0 mm³ (p=0.05) in the cyclosporine group,
23 respectively.

24 *Angiographic assessment of CAV*

25 The number of everolimus patients with angiographic CAV increased from 4 (10.8%) (all
26 CAV₁) to 10 (27.0%) (6, 3 and 1 patient with CAV₁, CAV₂ and CAV₃) as compared to an

1 increase from 5 (12.8%) at baseline (all CAV₁) to 8 (20.5%) at 36 months (5 and 3 patients
2 with CAV₁ and CAV₂) in the cyclosporine arm (p=0.32).

3 *CAV progression at 36 months according to presence of donor disease*

4 When utilizing baseline mean MIT ≥ 0.50 mm as the threshold for defining donor disease ¹⁹
5 (i.e. atherosclerosis) we noted that 54 patients (25 everolimus, 29 cyclosporine) did not have
6 donor disease. There was a beneficial effect of everolimus in this subgroup of patients and
7 mean Δ MIT at 36 months was 0.08 ± 0.05 and 0.15 ± 0.16 mm in the everolimus and
8 cyclosporine group, respectively (p=0.04) (Figure 3). The alternative endpoint PAV confirmed
9 a significant beneficial between the two treatment arms (Δ PAV at 36 months 4.5 ± 2.7 and
10 7.7 ± 6.1 mm in the everolimus and cyclosporine group, respectively), whereas TAV did not
11 show a significant difference between the two groups (Figure 3). Amongst 22 patients with
12 donor disease (12 everolimus and 10 cyclosporine) the three independent IVUS endpoints
13 MIT, PAV and TAV demonstrated similar disease progression in both treatment groups
14 (Figure 3).

15 *Virtual Histology analysis*

16 Virtual histology analysis of matched VH-IVUS recordings at baseline and 12 and 36 months
17 revealed no significant difference in change in plaque morphology according to treatment
18 group. Overall, the increase in fibrotic, fibrofatty, calcified and necrotic tissue during the 36
19 month period everolimus group was $-7.4 \pm 12.8\%$, $9.6 \pm 10.9\%$, $0.0 \pm 8.4\%$ and $-2.6 \pm 9.1\%$ in the
20 everolimus group, as compared to $0.3 \pm 12.9\%$, $7.4 \pm 14.7\%$, $-3.5 \pm 8.3\%$ and $-4.5 \pm 9.8\%$ in the
21 cyclosporine group, respectively (all p-values >0.05 ; Figure 4).

22 *Immune marker profile*

23 There was a significant decline in levels of all measured inflammatory markers from baseline
24 to 36 months, but there was no significant difference between the two treatment groups

1 (Table 3). A *post-hoc* analysis was performed to evaluate the change in inflammatory marker
2 levels according to rapid CAV progression (Δ MIT ≥ 0.10 mm), but there was no evidence of a
3 relationship between these biomarkers and increased CAV (data not shown). A separate
4 *post-hoc* analysis found no significant difference in change in levels of inflammatory amongst
5 everolimus patients with and without 2R rejection episodes (all p-values >0.05).

6

1 DISCUSSION

2 The current follow-up of *de-novo* HTx recipients in the SCHEDULE trial has demonstrated
3 that everolimus initiation and early CNI elimination reduces CAV progression at 12 months
4 and this beneficial effect is sustained at 36 months. This immunosuppressive approach is
5 safe as demonstrated by similar cardiac function and low mortality in both treatment groups,
6 despite the difference in biopsy verified acute rejection rate ^{10,11}. Furthermore, Virtual
7 Histology analysis confirmed that everolimus initiation and CNI withdrawal is not associated
8 with any significant increase in inflammatory tissue components (calcified and necrotic
9 tissue) or systemic inflammatory markers.

10 CAV is an important complication following HTx. Although CNI therapy is a pillar of
11 current immunosuppressive protocols, the effect of such agents on CAV is limited and may
12 potentially have a detrimental effect on disease progression ²⁰. The mTOR inhibitor
13 everolimus is an immunosuppressive agent with additional effects that could be of interest in
14 relation to CAV (i.e., anti-proliferative and anti-fibrotic). Indeed, a beneficial effect of
15 everolimus on CAV has been demonstrated in *de-novo* HTx recipients but not in
16 maintenance recipients. The study by Eisen et al. ⁶ demonstrated that everolimus instead of
17 azathioprine together with background CNI therapy reduces CAV amongst *de-novo* HTx
18 recipients. Similarly, another trial evaluating CAV in *the de-novo* setting demonstrated a
19 beneficial effect of everolimus and reduced CNI as compared to MMF and standard CNI
20 therapy ⁷. In contrast, the NOCTET trial ²¹ amongst maintenance HTx recipients failed to
21 demonstrate a beneficial effect of everolimus and reduced CNI therapy on CAV, although a
22 beneficial effect was seen in those who received azathioprine but not in those receiving
23 MMF.

24 The SCHEDULE trial is the first to investigate the use of everolimus with *early* CNI
25 elimination showing that this immunosuppressive approach significantly reduces CAV
26 progression at 12 and 36 months. As reported previously, everolimus initiation and CNI
27 elimination markedly improved renal function with a significant increase in measured GFR in

1 the everolimus group ^{10,11}. The association between early intimal thickening and adverse
2 prognosis has previously been established amongst HTx recipients ^{13,14}. Similarly, there is
3 sizeable data indicating that declining renal function following HTx is associated with
4 increased morbidity and mortality ^{4,22}. Hence, everolimus initiation and early CNI elimination
5 in *de-novo* HTx recipients seems to have a dual beneficial advantage on CAV and renal
6 function that may have a positive and potential synergistic impact on long-term clinical
7 outcome, although this remains to be demonstrated.

8 Our post-hoc analysis of CAV progression according to the presence of donor
9 disease revealed that everolimus only attenuates intimal thickening in patients without
10 underlying donor disease. Everolimus was unable to influence progression of CAV in the
11 setting of pre-existing donor disease. However, it should be noted that our study had a
12 relatively younger donor age as compared to previous studies¹⁴ and, hence, this negative
13 finding is based on a relatively small cohort of patients with donor disease. Despite the
14 possibility of a type 2 error our results indicate the need to consider everolimus at the earliest
15 possible timepoint following HTx. Our results suggest that a certain window of opportunity is
16 likely to exist where everolimus has a beneficial effect on intimal thickening as supported by
17 the NOCTET results ²¹ where everolimus did not influence CAV progression amongst
18 maintenance recipients.

19 Everolimus is a mTOR inhibitor that has been shown to possess both anti-proliferative
20 and anti-fibrotic effect in addition to its immunomodulatory properties ²³ and, hence, may
21 have a qualitative effect on intimal thickening. The current study, however, demonstrated that
22 everolimus does not significantly influence intimal tissue composition as compared with
23 traditional immunosuppression. The proportion of inflammatory tissue components remained
24 unchanged in both treatment groups and a similar pattern was also seen in markers of
25 systemic inflammation. This neutral finding is in contrast to the previous NOCTET reporting
26 increased inflammatory tissue in maintenance recipients treated with everolimus ²¹. Hence,
27 the effect of everolimus on CAV in the current study is primarily manifested by the observed
28 quantitative decrease in intimal tissue (measured by greyscale IVUS) without any significant

1 morphological changes (measured by Virtual Histology). Moreover, the beneficial effects of
2 everolimus on CAV seem unrelated to its anti-inflammatory properties as a similar anti-
3 inflammatory effect was seen during CNI treatment both within the lesion and systemically.

4 According to the SCHEDULE trial patients in the everolimus arm received both CNI
5 and everolimus until week 7. We found no association between the measured systemic
6 inflammatory markers and CAV progression. Nevertheless, it remains possible that the
7 increased intensity of immunosuppression coupled with anti-lymphocyte induction during the
8 early period had a positive influence on inflammation and CAV and should be explored
9 further. The everolimus arm was noted to experience a significantly greater number of grade
10 2R rejections and this could potentially mitigate a reduction in systemic inflammation
11 attributable to everolimus. With reference to this, a post-hoc analysis found no significant
12 difference in change in levels of inflammatory amongst everolimus patients with and without
13 2R rejection episodes (all p-values >0.05) indicating that cellular rejection did not influence
14 systemic markers of inflammation measured at 36 months.

15 The current immune marker results are in contrast to previously reported studies²⁴⁻²⁷
16 demonstrating a clear association between these diverse makers and traditional
17 atherosclerosis further supporting the notion that the pathophysiology of CAV is distinctly
18 different from native atherosclerosis²⁸. Nonetheless, the neutral effect of everolimus in this
19 study (*de novo* recipients) as compared with the increased inflammatory effect in the
20 NOCTET study (maintenance HTx) further suggest that early intervention with everolimus is
21 preferable.

22 The present study has some limitations. Imaging of the left ascending artery was
23 utilized used as a surrogate for all potential CAV that may be present. The number of
24 patients was relatively small in certain sub-analyses including the evaluation of everolimus
25 according to presence/absence of donor disease as well as assessment of systemic
26 inflammatory markers particularly amongst patients with and without 2R rejection.
27 Angiographic assessment of CAV did not reveal any benefit of everolimus but it should be
28 noted that a 3-year follow-up may be too short a time to observe such a benefit.

1 The relative homogeneous study population in this Scandinavian cohort of predominantly
2 male Caucasian patients should also be noted. Data regarding cause of death was
3 available for all mortality cases but histology of the coronary arteries of these patients
4 would have been beneficial. Despite these limitations, the authors believe the data is
5 robust and particularly relevant to clinical practice as it provides the longest CAV follow-up
6 period to date amongst *de-novo* HTx patients treated with everolimus.

7 In conclusion, this 36 month follow-up of the SCHEDULE trial has confirmed that the
8 beneficial effect of everolimus on CAV at 12 months is maintained at 36 months. The benefit
9 of everolimus was not evident amongst a small cohort of patients with established donor-
10 transmitted disease suggesting that early use of this agent is likely to be more effective.
11 There is no evidence of everolimus having a detrimental effect on CAV morphology or
12 immune marker activity during this follow-up period even if the number of grade 2R rejections
13 was increased in the everolimus group. Given these positive findings, coupled with the
14 beneficial effect of everolimus on renal function during the same follow-up period, it appears
15 that everolimus and early CNI withdrawal is an attractive alternative immunosuppressive
16 protocol that may improve long-term outcome after HTx. The beneficial effect of everolimus
17 on CAV may involve anti-fibrotic and anti-proliferative effect, but at present its mechanisms of
18 action on CAV development seem elusive.

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8 **Disclosures**

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FIGURE LEGENDS

Figure 1. Patient disposition for the 36-month IVUS analysis.

Figure 2. Progression of cardiac allograft vasculopathy in the two treatment arms as assessed by change in Maximal Intimal Thickness (MIT), Percent Atheroma Volume (PAV), and Total Atheroma Volume (TAV) at 12 and 36 months (values shown are change from baseline with statistical testing by ANCOVA analysis and p-value represents between group contrast).

Figure 3. Progression of cardiac allograft vasculopathy in the two treatment arms stratified according to absence or presence of donor disease defined as baseline Maximal Intimal Thickness ≥ 0.5 mm (values shown are change from baseline with statistical testing by ANCOVA analysis and p-value represents between group contrast).

Figure 4. Virtual histology tissue analysis with change in composition of fibrous-, fibrofatty-, calcified- and necrotic tissue in the two treatment arms at 12 and 36 months.

1 Table.1. Study population characteristics according to treatment group.

	Everolimus (n=37)	Controls (n=39)	p-value
Recipient characteristics			
Recipient age (years)	51.2±11.6	50.4±12.6	0.76
Female gender (%)	10 (26)	12 (32)	0.52
BMI (kg/m ²)	24.9±3.1	24.0±3.9	0.22
Systolic blood pressure (mmHg)	107.5±18.6	66.7±13.5	0.97
Diastolic blood pressure (mmHg)	107.3±20.8	66.5±12.6	0.94
Medical history			
Hypertension (%)	4 (10)	5 (14)	0.66
Diabetes mellitus (%)	9 (23)	4 (11)	0.16
Left ventricular assist device (%)	9 (23)	10 (27)	0.69
Previous smoking history (%)	21 (54)	19 (51)	0.83
Primary reason for HTx			
Idiopathic cardiomyopathy	30 (77)	27 (73)	0.69
Coronary artery disease	6 (15)	5 (14)	0.82
Donor Characteristics			
Donor age (years)	45.7±12.3	41.4±14.0	0.16
Female donor gender (%)	12 (31)	16 (43)	0.26
Cold ischemia time (min)	187.5±73.2	185.3±74.7	0.91
Recipient CMV negative/donor CMV positive (%)	8 (21)	3 (8)	0.12
Renal function and lipid profile*			
mGFR (mL/min)	61.8±15.0	64.7±14.9	0.39
Serum creatinine (mmol/L)	103.4±31.5	99.0±29.7	0.53
Total cholesterol (mmol/L)	3.5±2.0	3.3±1.7	0.64
HDL (mmol/L)	0.9±0.6	0.7±0.4	0.22
LDL (mmol/L)	2.0±1.3	2.0±1.2	0.89
Triglycerides (mmol/L)	1.3±1.3	1.1±0.7	0.38
Rejection episodes			
Number of patients with rejection ≥2R (%) within 12 months	5 (13)	14 (38)	0.01
Number of patients with rejection ≥2R (%) within 36 months	5 (13)	15 (41)	0.01
CMV infection/viremia	11 (28)	3 (8)	0.02
Baseline IVUS			
MIT (mm)	0.45±0.18	0.44±0.19	0.82
PAV (%)	20.1±7.0	20.2±8.0	0.94
TAV (mm ³)	133.2±107.9	144.6±113.1	0.66

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3 Data reported as mean±SD or absolute number (percentage) as appropriate. *Data at time of transplantation (V1).
4 BMI = body mass index, LDL = low-density lipoprotein, HDL = high-density lipoprotein, mGFR = measured
5 glomerular filtration rate, CMV = cytomegalovirus, LVAD = left ventricular assist device, MIT=maximal intimal
6 thickness, PAV= percent atheroma volume, TAV=total atheroma volume.

Table 2. Regression analysis evaluating variables predicting progression of cardiac allograft vasculopathy at 36 months utilizing the endpoint maximal intimal thickness as a continuous variable.

Variable	B	95% CI	β	p-value
Recipient age	0.001	-0.002 – 0.003	0.06	0.61*
Donor age	0.002	0.000 – 0.004	0.23	0.05
Treatment with everolimus	-0.04	-0.10 – 0.01	-0.18	0.03
Male recipient	0.07	0.007 – 0.13	0.29	0.03
Diabetes mellitus	-0.04	-0.11 – 0.04	-0.11	0.32*

B=unstandardized coefficient. **β** =standardized coefficient

*variables significant on univariate analysis and selected as covariates for the multivariate analysis but excluded in final multivariate model (non-significant p-value)

Table 3. Change in inflammatory biomarker profile from baseline to 36 months in the two treatment groups (n=65).

Variable	Cyclosporine group	Everolimus group	p-value
C-reactive protein (mg/L)	-1.13 (-4.85 – 1.32)	-2.74 (-4.86 – 0.58)	0.92
von Willebrand factor (AU)	-4.78 (-7.35 – -0.12)	-2.16 (-7.34 – 2.26)	0.15
Vascular cell adhesion molecule (ng/mL)	-86.4 (-188.1 – 32.1)	-61.9 (-131.2 – 0.6)	0.58
Soluble tumor-necrosis factor receptor-1 (pg/L)	-0.61 (-1.34 – -0.12)	-0.36 (-1.21 – 0.14)	0.11
Intercellular adhesion molecule-1 (pg/L)	-0.03 (-86.8 – 36.2)	-4.03 (-77.2 – 61.2)	0.17
Chemokine ligand 16 (ng/mL)	-0.16 (-0.56 – 0.18)	-0.23 (-0.59 – 0.12)	0.26

Values shown are median values with IQ range in parentheses.

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