

1 **Microbial risk factors for treatment failure of pivmecillinam in community-acquired**
2 **urinary tract infections caused by ESBL-producing *Escherichia coli***

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14 Running title: Pivmecillinam in UTIs caused by ESBL-*E. coli*.

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25 **Summary**

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30 **Microbial risk factors for treatment failure of pivmecillinam in community-acquired**
31 **urinary tract infections caused by ESBL-producing *Escherichia coli***

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33 Objectives: The aim of this study was to identify microbial phenotypic and/or molecular risk
34 factors for treatment failure of pivmecillinam in community acquired urinary tract infections
35 (ca-UTIs) caused by ESBL-producing *E. coli*.

36

37 Methods: Eighty-nine ESBL-producing *E. coli* isolated from women suffering from ca-UTIs
38 were included. The susceptibilities to mecillinam were determined using MIC gradient strip.
39 Whole genome sequencing was performed on a Miseq platform and genome assembly was
40 performed using SPAdes v3.11.0.

41

42 Results: Neither mecillinam MICs nor ESBL-genotypes were associated with treatment
43 outcome of patients treated with pivmecillinam. Specific STs, however, showed significant
44 differences in treatment outcome. Patients infected with *E. coli* ST131 were more likely to
45 experience treatment failure compared to patients infected with non-ST131 (p 0.02) when
46 adjusted for pivmecillinam dose, mecillinam MIC and severity of infection. Patients infected
47 with *E. coli* ST69 were more often successfully treated compared to patients infected with
48 non-ST69 (p 0.04). Patients infected with *bla*_{CTX-M-15} ST131 strains were more likely to
49 experience treatment failure than those infected with non-*bla*_{CTX-M-15} ST131 strains (p 0.02).

50

51 Conclusions: The results suggest that specific STs are associated with the clinical efficacy of
52 pivmecillinam. Further studies with a larger number of strains, including a larger number of
53 mecillinam resistant strains, are needed to confirm these results.

54

55 Key words: Molecular microbiology, ESBL, *Escherichia coli*, urinary tract infection,
56 pivmecillinam.

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72 **Introduction**

73 Extended-spectrum beta-lactamase (ESBL)-producing *Escherichia coli* is an emerging cause
74 of community-acquired urinary tract infections (ca-UTIs) in Europe (1). Many ESBL-
75 producing *E. coli* are resistant not only to beta-lactam antibiotics, but also aminoglycosides,

76 fluoroquinolones and trimethoprim/sulfamethoxazole, resulting in limited oral treatment
77 options. Pivmecillinam is an amidinopenicillin with bactericidal activity against Gram-
78 negative bacteria and is one of three antibiotics used for the empirical treatment of ca-UTIs in
79 Norway (2), and is also recommended internationally for the treatment of uncomplicated
80 UTIs in women (3). Pivmecillinam is administered orally as a prodrug and converted to its
81 active form, mecillinam, after absorption. Mecillinam specifically binds to and inhibits the
82 transpeptidase activity of penicillin-binding protein 2 (4), in contrast to most beta-lactam
83 antibiotics that bind to multiple PBPs. This mechanism leads to a higher stability against beta-
84 lactamase hydrolysis compared to other penicillins (5). Mecillinam is excreted unchanged in
85 the urine, leading to high urinary concentration with limited negative effect on the commensal
86 gut flora (6).

87

88 Despite widespread use of pivmecillinam in Norway and Sweden for decades, resistance to
89 mecillinam in *E. coli* remains low (1, 7-8). Mutations associated with reduced sensitivity to
90 mecillinam may result in reduced fitness and could explain the low frequency of mecillinam
91 resistance (9). ESBL-producing *E. coli* are frequently found susceptible to mecillinam (10-17)
92 when tested *in vitro* (85-100%). However, the evidence for clinical efficacy when prescribed
93 to patients with UTIs caused by ESBL-producing *E. coli* is limited. The results are
94 conflicting, with treatment failure rates ranging from 0 to 44% (18-20). Most of the isolates
95 included in these studies were susceptible to mecillinam when tested *in vitro*.

96

97 Recently, a prospective, observational multicentre cohort study evaluating the clinical
98 efficacy of pivmecillinam in women with ca-UTIs caused by *E. coli* was performed in
99 Norway (21). The proportion of women with treatment failure was significantly higher among
100 ESBL-infected patients compared to non-ESBL infected patients (30/88 versus 10/72, $P <$

101 0.01). Pivmecillinam doses of 200 mg given three times daily were associated with treatment
102 failure in ESBL-infected patients, but for the subgroup of patients treated with 400 mg
103 pivmecillinam three times daily, there were no differences between ESBL and non-ESBL-
104 infected patients. No laboratory determinates have so far been identified to reliably predict the
105 clinical outcomes for patients treated with pivmecillinam in ca-UTIs caused by ESBL-
106 producing *E. coli*. Thus, the aim of this study was to identify phenotypic and/or molecular risk
107 factors for treatment failure of pivmecillinam in the treatment of ca-UTIs caused by ESBL-
108 producing *E. coli*.

109

110 **Materials and methods**

111 *Patients and bacterial isolates*

112 Eighty-nine isolates from a prospective, observational multicentre cohort study (21), where
113 ESBL-producing *E.coli* isolates from urine were collected between March 2013 and August
114 2016, were included in this study. Criteria for inclusion were monobacterial growth of ≥ 1000
115 ESBL-producing *E. coli* per mL urine isolated from women ≥ 16 years old suffering from ca-
116 UTIs and treated with pivmecillinam. Patients with self-reported fever, reduced general
117 condition or back pain evaluated after end of treatment were defined as complicated UTIs.
118 The patients were treated with high (400 mg given three times daily) or low (200 mg given
119 three times daily) doses of pivmecillinam. Treatment duration was ≤ 5 days or > 5 days.
120 Patient outcome measures were treatment failure and treatment success. Treatment failure was
121 defined as persistent symptoms leading to a second antibiotic prescription within two weeks
122 after the end of pivmecillinam treatment. Treatment success was defined as persistent relief of
123 symptoms at two weeks after end of treatment. Table 1 describes treatment outcomes,
124 pivmecillinam doses and self-reported severity of infections in the 89 patients included.

125 *Strain identification and antimicrobial susceptibility testing*

126 Identification to species level was done by MALDI-TOF MS (Bruker Daltonics, Bremen,
127 Germany). The susceptibilities for mecillinam were determined by MIC gradient tests
128 (Liofilchem®, Roseto degli Abruzzi, Italy). The epidemiological cut-off value (ECOFF) of >
129 1 mg/L mecillinam and the clinical breakpoint for resistance of > 8 mg/L were considered
130 (22). ESBL-production was confirmed using the double disc synergy test with cefotaxime and
131 ceftazidime with and without clavulanic acid (Becton Dickinson, Franklin Lakes, NJ, USA),
132 as recommended by EUCAST (23).

133

134 *Whole genome sequencing and bioinformatic analyses*

135 Whole genome sequencing (WGS) was performed on a MiSeq system (Illumina, San Diego,
136 CA, USA), using the Nextera XT DNA sample preparation kit and MiSeq® Reagent Kit v2
137 (500-cycles; Illumina, San Diego, CA, USA). Sequence read files for these strains are
138 publicly available at BioProject PRJEB31090 (see details in Supplementary table 1). The raw
139 data were trimmed with Trimmomatic v0.36 (24) and genome assembly was performed using
140 SPAdes v3.11.0 (25). BLAST v2.6.0 (26) was used to identify known antimicrobial resistance
141 genes associated with mecillinam resistance (9, 27) in the assembled genomes, and single
142 nucleotide variants (SNVs) in these genes were identified in the positions that were conserved
143 across 20 reference genomes (Genbank accessions: AE014075, CP000819, CP000946,
144 CP000970, CP001164, CP001509, CP001637, CP001665, CP001671, CP001855, CP001969,
145 CP002167, CP002516, CP009072, HG941718, NC_000913, NC_002695, NC_004431,
146 NC_013353, NC_013361). Sequence types (STs), beta-lactamase resistance genes and
147 virulence genes were identified from the raw data using SRST2 v.0.2.0 (28). STs were
148 classified according to the Achtman *E. coli* scheme (29). STs that showed uncertain allele-
149 matches or were not found by SRST2 were identified with mlst v2.9

150 (<https://github.com/tseemann/mlst>) using the assembled genome data. To identify ST131
151 clades, *fimH* types were determined using FimTyper v1.0 (30).
152
153 To assess clonal relatedness and to identify SNVs in the core genome, the RedDog v1b.10.3
154 pipeline (<https://github.com/katholt/reddog>) was used to generate core chromosomal SNV
155 alignments, with GenBank accessions HG941718.1 and CU928163.1 as references for ST131
156 and ST69, respectively. To place the ST131 isolates in context with existing ST131 genomes
157 from other studies, a comparative genomic analysis was performed with the 35 ST131
158 genomes from this study and 165 publicly available genome sequences downloaded from the
159 Sequence Read Archive (SRA). The SRA run accessions and references are listed in
160 Supplementary table 2. The public genomes were confirmed ST131 and ESBL-encoding
161 genes identified with SRST2. For each alignment of ST69 and ST131 isolates in this study
162 and ST131 isolates in the global setting, the reference sequence was passed through
163 Mummer's nucmer (31) to identify large inexact repeats within the genome and through
164 Phaster (32) to identify any prophage sequences, and the identified regions were filtered from
165 the core SNV alignment produced by RedDog. The alignment was further filtered for
166 recombinant regions using Gubbins v2.3.4 (33). The resulting filtered alignment was passed
167 to RAxML v8.2.10 (34) to infer a core genome maximum likelihood (ML) phylogeny, using a
168 rapid bootstrap analysis searching for the best-scoring ML tree (option `-f a`) and a GTR-
169 model and GAMMA distribution of rate heterogeneity (option `GTRGAMMA`).

170

171 *Statistical calculations*

172 The statistical analyses were conducted using IBM SPSS 24 (IBM, Armonk, NY, USA).
173 Univariate analyses for continuous data were performed using Mann-Whitney U test, while
174 frequency counts were compared using Fisher exact test. Odds ratio (OR) and 95%

175 confidence intervals (CI) were estimated using univariable and multivariable binary logistic
176 regression analyses. All p -values were two-tailed, and $p < 0.05$ was considered statistically
177 significant.

178

179 *Ethic approval*

180 The study was approved by the Regional Committee for Medical and Health Research Ethics
181 in Norway (reference no. 2011/2214).

182

183 **Results**

184 *Mecillinam MICs*

185 Mecillinam MICs ranged from 0.125 to 256 mg/L (Figure 1) with a MIC₅₀ value of 0.5 mg/L.
186 There were no differences in MIC₅₀ values of mecillinam in strains isolated from patients with
187 treatment success and treatment failure (p 0.60 in the total strain collection and p 0.32 in
188 strains isolated from patients treated with high doses of pivmecillinam). One strain was
189 resistant to mecillinam (MIC 256 mg/L). The patient reported symptoms of uncomplicated
190 UTI and was successfully treated with pivmecillinam 400 mg given three times daily. Eight of
191 11 (73%) patients with mecillinam MICs above ECOFF and 48 of 78 (61.5%) patients with
192 mecillinam MICs below ECOFF were successfully treated with pivmecillinam. Mecillinam
193 MICs below ECOFF were not associated with better patient outcome (p 0.24).

194

195 *Genes associated with mecillinam resistance*

196 Supplementary table 3 shows the distributions of non-synonymous mutations in 35 genes
197 associated with mecillinam resistance in the 89 ESBL-producing *E. coli*. *lon* was the only
198 gene in which mutations were found more frequently in strains isolated from patients with
199 treatment failure than in patients with treatment success (6 of 33 and 0 of 56 strains,

200 respectively; p 0.00). Contrarily, mutations in *ftsZ*, *gltX* and *mreC* were more often found in
201 strains isolated from patients with treatment success than with treatment failure. None of the
202 genes examined had mutations more frequently found in ST131 than in non-ST131 strains.

203

204 *ESBL-encoding genes*

205 The 89 *E. coli* strains harboured 10 different ESBL-encoding genes (Supplementary table 1).
206 Table 2 shows the distributions of mecillinam MICs, MIC₅₀ values of mecillinam and
207 treatment outcomes of the isolates harbouring different ESBL-encoding genes. *bla*_{CTX-M-15} was
208 the most frequent ESBL-encoding gene (44/89; 49.4%), including two strains which
209 contained both *bla*_{CTX-M-15} and *bla*_{TEM-33}. Twenty-five of 44 (56.8%) patients infected with
210 *bla*_{CTX-M-15}-producing *E. coli* were successfully treated with pivmecillinam. There were no
211 differences in the odds for treatment success among patients infected with strains harbouring
212 a specific ESBL-encoding gene and patients harbouring strains without these specific genes.
213 Similarly, no differences were found in strains isolated from patients treated with high doses
214 of pivmecillinam.

215

216 *Sequence types and phylogeny*

217 There was a high diversity of STs among the 89 ESBL-producing *E. coli* isolates ($n = 28$;
218 Supplementary table 1). The most prevalent ST was ST131, followed by ST38 and ST69
219 (Table 3). Patients infected with isolates of ST131 were more likely to experience treatment
220 failure when treated with pivmecillinam compared to patients infected with non-ST131
221 isolates (p 0.03). Conversely, it was more likely that patients infected with ST69 isolates were
222 successfully treated compared to patients infected with non-ST69 isolates (p 0.04). Treatment
223 outcomes, pivmecillinam doses and self-reported severity of UTIs for ST131 and ST69 are
224 summarized in Table 4a and 4b, respectively. A logistic regression analysis supported the

225 higher odds ratio for treatment success for non-ST131 strains than for ST131 strains, odds
226 ratio unadjusted 2.75 (95% CI: 1.13-6.71, p 0.03) and odds ratio 3.12 (95% CI: 1.20-8.12, p
227 0.02) when adjusted for pivmecillinam dose, mecillinam MIC and severity of infection. In the
228 adjusted model, severity of infection had significant negative effect on the treatment outcome
229 with odds ratio 0.36 (95% CI: 0.14-0.93, p 0.04). In the subgroup of patients infected with *E.*
230 *coli* ST131, patients infected with a non-*bla*_{CTX-M-15} encoding strain ($n = 15$) were more likely
231 to be successfully treated with pivmecillinam compared to those infected with a *bla*_{CTX-M-15}
232 encoding strain ($n = 20$) with odds ratio of 6.42 (95% CI: 1.4-28.5; p 0.02; unadjusted model).
233

234 The seven ST69 isolates were not closely related, sharing $< 90\%$ SNVs with each other and
235 with the ST69 reference strain. In the ST131 isolates, however, 25 (71.4 %) isolates showed \geq
236 96.7% nucleotide identity to the ST131 reference strain (clade C, Figure 2A) and nine
237 (25.7%) isolates showed 21.5-27.5% nucleotide identity to the ST131 reference strain, but
238 shared $\geq 88.2\%$ of SNVs within the subpopulation (clade A, Figure 2A), indicating two
239 sublineages of ST131. Both sublineages of ST131 harboured different *bla*_{CTX-M}-encoding
240 genes (*bla*_{CTX-M-14}, *bla*_{CTX-M-15} and *bla*_{CTX-M-27}). Most of the clade A strains contained the
241 *fimH41* allele, whereas most of the clade C strains contained the *fimH30* allele. Clade C was
242 further subdivided to mainly C1 and C2, with C2 being defined as harbouring *fimH30* and
243 *bla*_{CTX-M-15} (Figure 2A). Complicated UTIs and treatment success were equally distributed in
244 clade A and C (Figure 2A). When compared to the 165 publicly available ST131 genomes,
245 the ST131 strains from this study did not cluster together, but were spread throughout the
246 global phylogeny (Figure 2B). The global phylogeny further illustrates the subdivide of
247 ST131 into clades A (*fimH41*), B (*fimH22*), and C1 (*fimH30*) and C2 (*fimH30* + *bla*_{CTX-M-15}).

248

249 *Genes encoding virulence factors*

250 Supplementary table 4 shows the frequencies of 25 genes encoding virulence factors present
251 in the 89 ESBL-producing *E. coli*. Eight of the genes (*papA*, *papC*, *papEFG*, *sfa/foc*, *agn43*,
252 *hma*, *usp*, *espC*) were found more frequently in strains isolated from patients with treatment
253 failure than in patients with treatment success, 12 genes (*fimH*, *papA*, *agn43*, *upaG*, *sat*, *iutA*,
254 *chuA*, *hma*, *iroN*, *usp*, *espC*, *senB*) were found more frequently in ST131 strains than in non-
255 ST131 strains, and 14 genes (*fimH*, *papA*, *papEFG*, *agn43*, *upaG*, *sat*, *iutA*, *chuA*, *hma*, *iroN*,
256 *kpsM*, *usp*, *asfA*, *espC*) were found more frequently in ST131 strains with *bla*_{CTX-M-15} than in
257 the rest of the strain collection. None of the 25 genes encoding virulence factors were found
258 more frequently in strains isolated from patients with self-reported symptoms of complicated
259 UTIs compared to uncomplicated UTIs (Supplementary table 4).

260

261 **Discussion**

262 In this study, neither mecillinam MICs nor ESBL-genotypes were associated with treatment
263 outcome when pivmecillinam were given to patients suffering from ca-UTIs caused by
264 ESBL-producing *E. coli*. However, our results suggest that specific STs are associated with
265 the clinical efficacy of pivmecillinam. Patients infected with ESBL-producing *E. coli* ST131,
266 and especially patients infected with ST131 harbouring *bla*_{CTX-M-15}, were more prone to
267 treatment failures than patients infected with non-ST131. Conversely, patients infected with
268 ST69 were more often successfully treated with pivmecillinam than patients infected with
269 non-ST69.

270

271 To the best of our knowledge, this is the first report identifying an association between STs
272 and treatment outcomes of pivmecillinam in UTIs caused by ESBL-producing *E. coli*. ST131
273 is the predominant lineage among extraintestinal pathogenic *E. coli* worldwide and contains
274 genes encoding virulence factors including toxins, siderophore receptors, outer membrane

275 proteins promoting biofilm formation, and fimbriae required for adherence to and invasion of
276 human urothelial cells (35, 36). ST131 is strongly associated with *bla*_{CTX-M-15} causing
277 resistance to extended-spectrum betalactams and is frequently co-resistant to
278 trimethoprim/sulfamethoxazole, aminoglycosides and fluoroquinolones (36), thereby limiting
279 therapeutic options. In this study, patients infected with *E. coli* non-ST131 were
280 approximately three times more likely to be successfully treated with pivmecillinam than
281 patients infected with ST131 when adjusting for pivmecillinam doses, mecillinam MICs and
282 severity of infection. Patients infected with ST131 strains harbouring other ESBL-enzymes
283 than *bla*_{CTX-M-15} were over six times more likely to be successfully treated with pivmecillinam
284 than ST131 harbouring *bla*_{CTX-M-15}. The results could be used to design ST-specific PCRs for
285 clinical settings to guide treatment, e.g., when empirical treatment fails. The high frequency
286 of virulence genes, especially genes encoding siderophore receptors and adhesins associated
287 with biofilm formation, autoaggregation and attachment to urothelial cells present in *E. coli*
288 ST131, may explain the more frequent treatment failure in patients infected with ST131
289 strains compared to non-ST131 strains. The distributions of complicated UTIs were similar in
290 the ST131 and non-ST131 groups (*p* 0.66), suggesting that ST131 strains did not cause more
291 serious infections compared to the non-ST131 strains. Furthermore, strains from patients with
292 complicated UTIs did not have more genes encoding virulence factors than strains isolated
293 from patients with uncomplicated UTIs.

294

295 ST131 clade C is known to be a successful clone, and is defined by the *fimH30* allele and
296 fluoroquinolone resistance caused by mutations in *gyrA* and *parC*. Clade C is further divided
297 into the subclades C1 and C2, and C2 is associated with *bla*_{CTX-M-15} (35). In this study, 14
298 strains in clade C harboured *bla*_{CTX-M-15}, and ten of these were isolated from patients with
299 treatment failure (Figure 2A). Six of the ten patients with treatment failure reported symptoms

300 of complicated UTI. The clade C strains harbouring *bla*_{CTX-M-15} (subclade C2) also had the
301 highest number of virulence genes present. Clade C was the most prevalent clade in this
302 study, and supports that clade C is a successful clone. Figure 2B shows that the ST131 strains
303 were spread throughout the global phylogeny and disproves a ST131 outbreak.

304

305 To our knowledge, only one previous study has aimed to identify microbial risk factors for
306 treatment failure of pivmecillinam in ca-UTIs caused by ESBL-producing *E. coli* (20). In
307 contrast to our results, Søråas and colleagues found that each doubling of mecillinam MICs
308 from ≤ 1 mg/L was independently associated with a two-fold increased risk of treatment
309 failure when testing 41 ESBL-producing *E. coli*. Most of the patients included in the study
310 (both complicated and uncomplicated UTIs) were treated with pivmecillinam 200 mg given
311 three times daily. In this study, we did not find the same association between mecillinam
312 MICs and clinical outcomes. The low number of mecillinam resistant strains included in this
313 study may explain why the same association was not found. In accordance with our results,
314 Søråas and colleagues found that treatment outcomes for patients treated with pivmecillinam
315 were independent of ESBL genotypes, and they found no correlation between ESBL
316 genotypes and mecillinam MICs. Søråas and colleagues did not investigate the relationship
317 between clinical outcomes of patients and different STs.

318

319 To see if there was a relation between known resistance mechanisms other than ESBL-genes
320 and treatment outcomes, whole genome sequences of the 89 ESBL-producing *E. coli* strains
321 were analysed for the presence of known acquired resistance mechanisms associated with
322 mecillinam resistance, as described by Thulin *et al* (9) and Bousquet *et al* (27). *lon* was the
323 only gene in which non-synonymous mutations were associated with treatment failures. *lon*
324 encodes an ATP-dependent protease involved in the regulation of capsular synthesis in *E. coli*

325 (37). Contrarily, mutations in *ftsZ*, *gltX*, and *mreC* were associated with treatment success,
326 and the ST131 strains contained a lower frequency of non-synonymous mutations in 14 of the
327 genes examined than the non-ST131 strains. Non-synonymous mutations do not always affect
328 the mechanism of action on antibiotics and do not necessarily lead to treatment failures. It has
329 previously been shown that inactivation of the *cysB* gene, resulting in a loss of cysteine
330 biosynthesis and usually mecillinam MICs 16-32 mg/l, is one of several mechanisms causing
331 mecillinam resistance in clinical isolates of *E. coli* (9). The strain with mecillinam MIC 256
332 contained a mutation in *cysB*, which may contribute to the high MIC. However, the patient
333 infected with this mecillinam resistant strain reported clinical effect of 400 mg pivmecillinam
334 three times daily. Mecillinam is concentrated in the kidneys and reaches high concentrations
335 in the urine, which can explain successful treatment outcome even when the mecillinam MIC
336 is high. Patient conditions may also affect the treatment outcome, and low urine osmolality or
337 high concentrations of cysteine in the urine can result in treatment success even when the
338 isolate has been tested resistant *in vitro* (38). Moreover, UTIs are sometimes self-limiting and
339 patients may recover without antibiotics (39).

340

341 A limitation of this multicentre study is the low number of ESBL-producing *E. coli* with
342 mecillinam MICs above the clinical breakpoint or ECOFF. Another limitation is the
343 observational design, and patients were not randomized to receive a specific pivmecillinam
344 dose. The pivmecillinam doses were decided by the prescribing doctors following an
345 evaluation of the patient, including severity of the symptoms, at treatment start. According to
346 Norwegian guidelines, the recommended doses of pivmecillinam in uncomplicated and
347 complicated UTIs are 200 mg and 400 mg given three times daily, respectively. It is assumed
348 that in complicated UTIs, pivmecillinam 200 mg three times daily is too low to keep the
349 pivmecillinam concentration in the infection site above MIC in the necessary proportion of

350 time (> 40% for β -lactam antibiotics) for bactericidal effect. In this study, 20 patients with
351 complicated UTIs were treated with 200 mg three times daily, ten of these experienced
352 treatment failure. Discrepancy between self-reported symptoms after end of treatment and the
353 prescribing doctor's assessment of severity before treatment start is a possible explanation for
354 the deviation from national guidelines. This may have affected the treatment outcome, and
355 treatment failures could be due to low pivmecillinam doses and not due to bacterial
356 characteristics. However, the results showed that patients infected with ST131 were more
357 prone to treatment failure than patients infected with non-ST131 after adjusting for self-
358 reported severity of infection. Patient characteristics such as immunosuppression, other
359 medications, bacterial load and drug compliance may have affected the prescribed dose and/or
360 treatment outcome.

361
362 Other limitations of the study are that the non-ESBL *E. coli* strains from the patient control
363 group in the clinical study (21) were not kept, and could therefore not be analysed, and that
364 this study includes only patients treated with pivmecillinam. The association between STs and
365 treatment outcome of pivmecillinam may not be specific to pivmecillinam. It would be
366 interesting to see if treatment with trimethoprim/sulfamethoxazole, ciprofloxacin or
367 nitrofurantoin gave the same association. However, this study includes prospectively collected
368 strains from eight laboratories in Norway, and contains a higher number of ESBL-producing
369 *E. coli* strains from patients suffering from ca-UTIs treated with pivmecillinam than previous
370 studies. To our knowledge, this is the first study with WGS data from ESBL-producing *E. coli*
371 isolated from this patient group, which enabled the investigation of multiple possible risk
372 factors for treatment failure.

373

374 In conclusions, neither mecillinam MICs nor ESBL-genotypes were associated with
375 treatment outcome when pivmecillinam was given to patients suffering from ca-UTIs caused
376 by ESBL-producing *E. coli*. However, our results suggest that STs are associated with the
377 clinical efficacy of pivmecillinam. ST131 was associated with treatment failure and ST69 was
378 associated with treatment success. The ST131 strains were spread throughout the global
379 phylogeny that disproves a ST131 outbreak. Further studies with a larger number of strains,
380 including a larger number of mecillinam resistant strains, would be needed to confirm these
381 results and to further search for risk factors for treatment failure of pivmecillinam in patients
382 suffering from ca-UTIs caused by ESBL-producing *E. coli*.

383

384 **Acknowledgements**

385 We want to thank members of the Norwegian ESBL UTI study group (Sigrid Solhaug and
386 Niclas Raffelsberger University Hospital of North Norway; Nina Handal, Akershus
387 University Hospital; Hans-Johnny Schielderup Nilsen, St Olavs University Hospital; Monica
388 Regine Romstad, Stavanger University Hospital; Andreas Emmert, Unilabs Telelab AS;
389 Yngvar Tveten, Telemark Hospital; Arne Søråas, Pål A. Jenum, Synne Jenum, Janne Møller-
390 Stray and Einar Tollaksen Weme, Vestre Viken Hospital Trust and Morten Lindbaek,
391 University of Oslo) for the ESBL-producing *E. coli* strains, Ragna-Johanne Bakksjø
392 (Department of Medical Microbiology, Stavanger University Hospital) for technical support
393 and Anastasia Ushakova (Department of research, Stavanger University Hospital) for
394 statistical support. Parts of the results were presented as an oral presentation at ECCMID
395 2018.

396

397 **Funding**

398 This work was supported by the Norwegian surveillance system for antimicrobial drug
399 resistance (NORM).

400

401 **Transparency declarations**

402 None.

403

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525 **Tables and Figures**

526 **Table 1.** Treatment outcomes, pivmecillinam doses and self-reported severity of infections in
 527 89 patients with ca-UTIs caused by ESBL-producing *E. coli* (adapted from reference 21).

Treatment outcome	Pivmecillinam 200 mg 3 times daily		Pivmecillinam 400 mg 3 times daily			Pivmecillinam dose not given		Total strains (%)
	cUTI	uUTI	cUTI	uUTI	Infection severity not given	cUTI	uUTI	
Treatment success	10	15	11	19	1	0	0	56/89 (62.9)
Treatment failure	10	4	10	7	1	0	1	33/89 (37.1)

528 cUTI: complicated urinary tract infection. uUTI: uncomplicated urinary tract infection.

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Table 2. Mecillinam MICs, mecillinam MIC₅₀, treatment outcomes and odds ratios for treatment success related to ESBL-encoding genes found in the 89 ESBL-producing *E. coli*.

ESBL encoding gene	Strains no.	Mecillinam MIC			Mecillinam MIC ₅₀	Treatment* success (%)	Odds ratio	
		<2 mg/L	2-8 mg/L	>8 mg/L			for treatment success	<i>p</i> -value (Fisher's test)
<i>bla</i> _{CTX-M-15} **	44	38	6	0	0.5	25/44 (56.8)	0.59	0.28
<i>bla</i> _{CTX-M-27}	18	17	1	0	0.25	13/18 (72.2)	1.69	0.42
<i>bla</i> _{CTX-M-14}	15	12	2	1	0.25	10/15 (66.7)	1.22	1.00
<i>bla</i> _{CTX-M-1}	4	4	0	0	0.5	3/4 (75.0)	-	-
<i>bla</i> _{SHV12}	3	3	0	0	0.5	3/3 (100.0)	-	-
Other***	5	4	1	0	0.5	2/5 (40.0)	0.37	0.36

*Pivmecillinam 200 mg or 400 mg given three times daily.

**Including two strains encoding *bla*_{CTX-M-15} + *bla*_{TEM-33}

***One strain each encoding *bla*_{CTX-M-55}, *bla*_{CTX-M-8}, *bla*_{CTX-M-3} and *bla*_{CTX-M-3} + *bla*_{TEM-33}. One strain encoded a *bla*_{TEM} gene where the precise allele could not be determined.

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Table 3. Mecillinam MICs, mecillinam MIC₅₀, treatment outcomes and odds ratios for treatment success related to sequence types in 89 ESBL-producing *E. coli*.

Sequence type	Strains no.	Mecillinam MIC			Mecillinam MIC ₅₀	Treatment* success (%)	Odds ratio	
		<2 mg/L	2-8 mg/L	>8 mg/L			for treatment success	<i>p</i> -value (Fisher's test)
ST131	35	30	4	1	0.5	17/35 (48.6)	0.36	0.03
ST38	10	10	0	0	0.38	5/10 (50.0)	0.55	0.49
ST69	7	7	0	0	0.25	7/7 (100.0)	∞	0.04
Other	37	31	6	0	0.5	27/37 (73.0)	2.14	0.12

*Pivmecillinam 200 mg or 400 mg given three times daily.

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Table 4a. Treatment outcomes, pivmecillinam doses and self-reported severity of infections for ST131 in 89 patients with ca-UTIs caused by EBSL-producing *E. coli*.

Sequence type	Treatment outcome	Pivmecillinam 200 mg 3 times daily		Pivmecillinam 400 mg 3 times daily			Pivmecillinam dose not given		Total str (%)
		cUTI	uUTI	cUTI	uUTI	Infection severity not given	cUTI	uUTI	
	Treatment success	5	2	2	7	1	0	0	17/89 (19%)
ST131	Treatment failure	2	4	8	3	1	0	0	18/89 (20%)
	Treatment success	5	13	9	12	0	0	0	39/89 (44%)
Non-ST131	Treatment failure	8	0	2	4	0	0	1	15/89 (17%)

cUTI: complicated urinary tract infection. uUTI: uncomplicated urinary tract infection.

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Table 4b. Treatment outcomes, pivmecillinam doses and self-reported severity of infections for ST69 in 89 patients with ca-UTIs caused by EBSL-producing *E. coli*.

Sequence type	Treatment outcome	Pivmecillinam 200 mg 3 times daily		Pivmecillinam 400 mg 3 times daily			Pivmecillinam dose not given		Total str (%)
		cUTI	uUTI	cUTI	uUTI	Infection severity not given	cUTI	uUTI	
ST69	Treatment success	0	2	2	3	0	0	0	7/89 (7%)
	Treatment failure	0	0	0	0	0	0	0	0/89 (0%)
Non-ST69	Treatment success	10	13	9	16	1	0	0	49/89 (55%)
	Treatment failure	10	4	10	7	1	0	1	33/89 (37%)

cUTI: complicated urinary tract infection. uUTI: uncomplicated urinary tract infection.

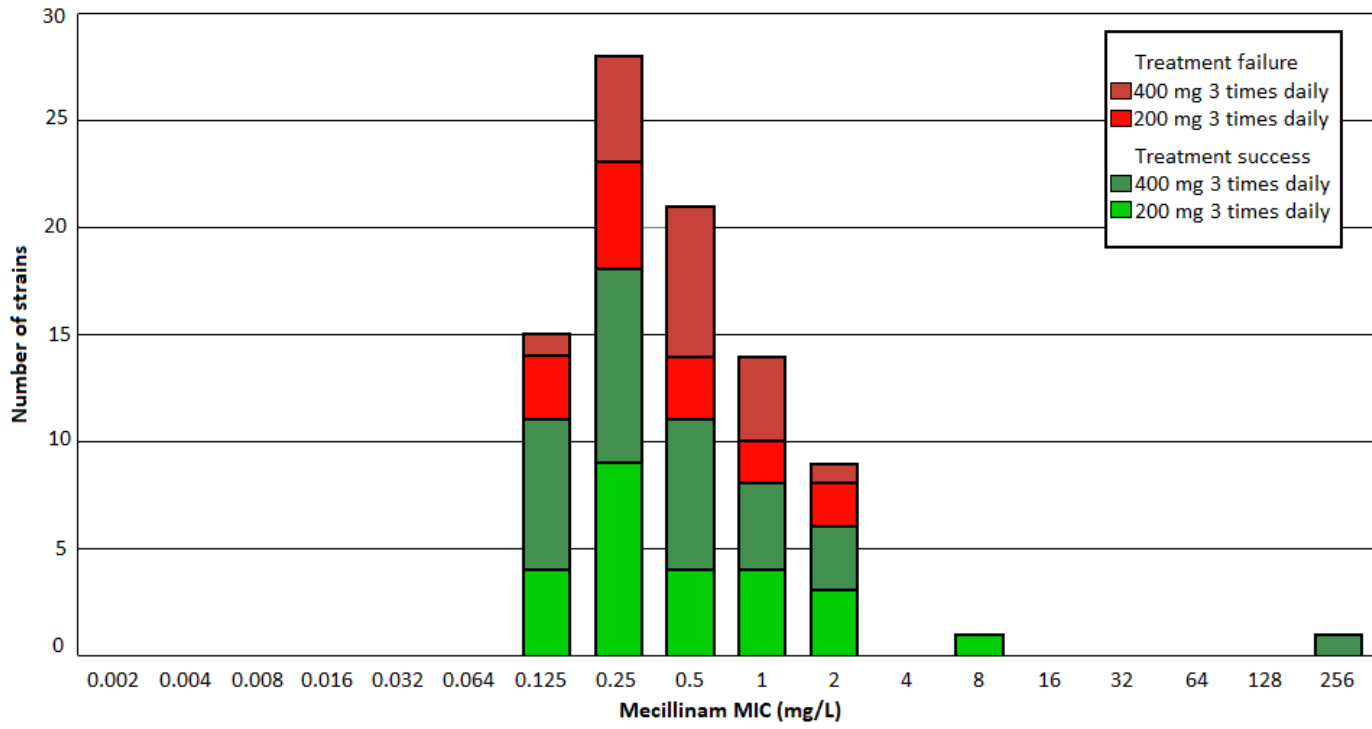
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604 **Figure 1.**



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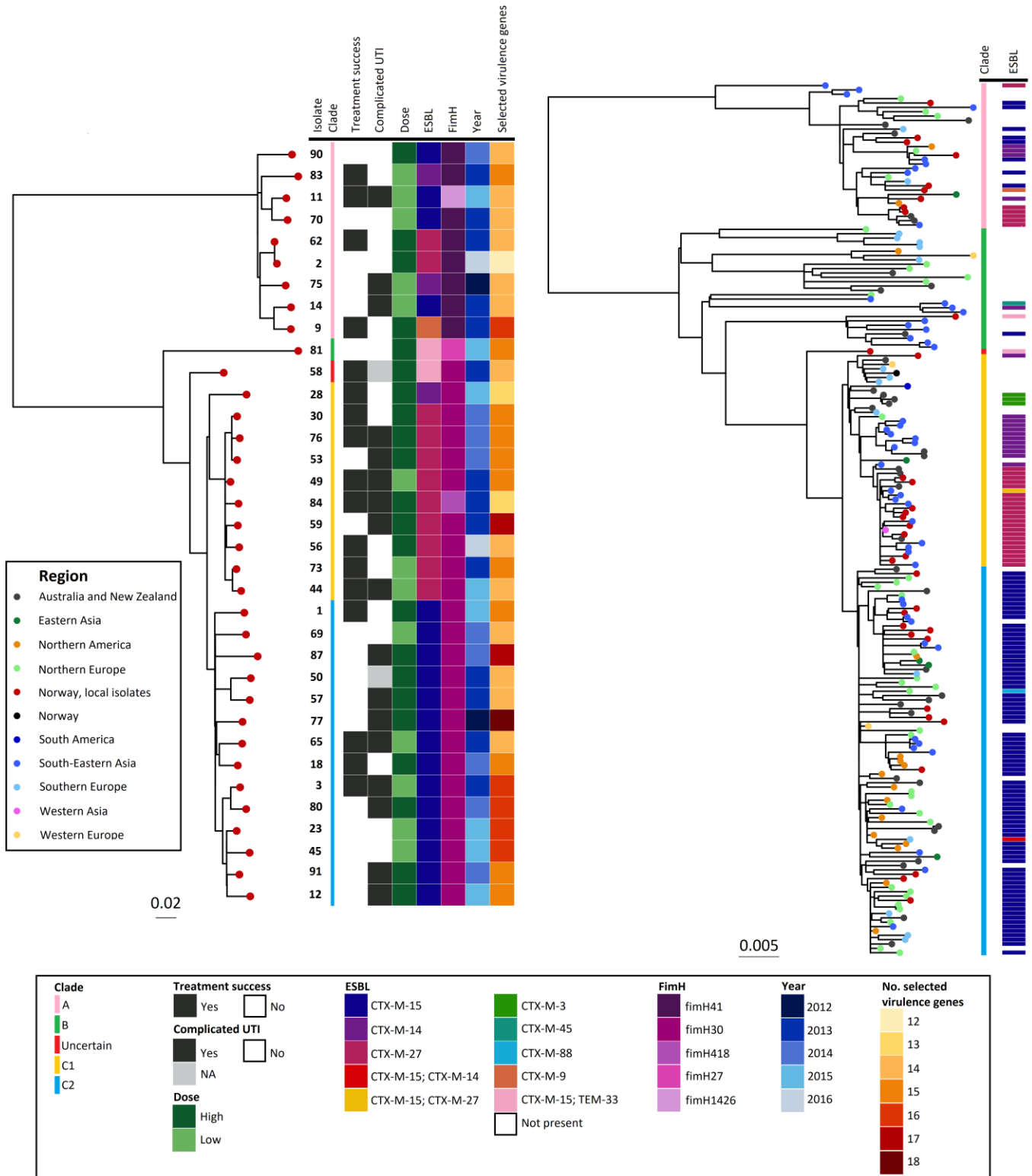
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619 **Figure 2.**

A: Local phylogeny

B: Global phylogeny



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622 **Figure legends**

623 Figure 1. Mecillinam MIC distribution in 89 ESBL-producing *E. coli* isolated from patients
624 suffering from ca-UTIs treated with pivmecillinam (200 mg or 400 mg given three times
625 daily). Treatment failures are indicated with dark red (high doses of pivmecillinam) and light
626 red colour (low doses of pivmecillinam). Treatment successes are indicated with dark green
627 (high doses of pivmecillinam) and light green colour (low doses of pivmecillinam).

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629 Figure 2. A) Core genomes maximum likelihood phylogeny of local ST131 isolates (n=35)
630 indicating clade, treatment success, complicated UTI, pivmecillinam dose, ESBL-encoding
631 genes, *fimH* type, year of collection, and virulence genes present for each isolate. B) Core
632 genome maximum likelihood phylogeny of local and publicly available ST131 genomes
633 (n=200) showing ESBL-encoding genes.

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