Regular Article - Ms 2019-2373 R1 1 Hearing in Schoolchildren after Neonatal Exposure to a High-Dose 2 **Gentamicin Regimen** 3 Dagny Hemmingsen, MD a,b, Camilla Mikalsen a, Alexander Rydland Hansen a, Jon Widding 4 5 Fjalstad, MD, PhDb, Niels Christian Stenklev, MD, PhDc Claus Klingenberg, MD, PhDb, PhDb, Claus Klingenberg, MD, PhDb, PhDb, Claus Klingenberg, MD, PhDb, PhDb, Claus Klingenberg, MD, PhDb, Claus Klingenberg, MD, PhDb, PhDb, Claus Klingenberg, MD, Claus Klingenberg, MD, Claus Klingenberg, MD, Claus Klingen 6 7 **Affiliations (all in Norway):** 8 <sup>a</sup> Department of Otorhinolaryngology and Head and Neck Surgery, University Hospital of 9 North Norway; b Paediatric Research Group, Faculty of Health Sciences, University of Tromsø-Arctic University of Norway, Tromsø; <sup>c</sup> Ear-Nose-Throat Unit, Ishavsklinikken, 10 Tromsø; <sup>d</sup> Department of Paediatrics and Adolescence Medicine, University Hospital of North 11 Norway, Tromsø 12 13 14 Address correspondence to: Claus Klingenberg. Dept. of Paediatrics, University Hospital of North Norway, N-9038 Tromsø, Norway. Phone +47 77669845. Fax: +47 77626369 15 Email: claus.klingenberg@unn.no 16 17 18 **Short title:** Hearing after Exposure to High-Dose Gentamicin 19 20 Funding Source: All phases of this study were supported by Northern Norway Regional Health Authority and by the Research Department at University Hospital of North-Norway. A 21 grant from Eckbo's legat supported presentation of preliminary data. 22 23 24 **Financial Disclosure:** The authors have no financial relationships relevant to this article to 25 disclose. 26 27 **Conflict of Interest:** The authors have no conflicts of interest to disclose. 28 29 Clinical Trial Registration: NCT03253614 30 **Data sharing statement:** The raw data supporting the conclusion of this manuscript will be 31 made available by the authors, without undue reservation, to any qualified researcher. 32 33 34 **Abbreviations:** NICU: Neonatal Intensive Care Unit; OAE, Otoacoustic Emissions; GA, Gestational age; TPC, Trough Plasma Concentration; EHF, Extended High Frequency; PTA, 35 36 Pure tone average; EHFA, Extended High Frequency Average 37 38 **Table of Contents Summary**: We performed pure tone audiometry, including the extended 39 high-frequency range, in schoolchildren exposed to a high-dose gentamicin regimen in the 40 neonatal period to assess ototoxicity. 41 42 What's known on this subject: Evidence for ototoxic hearing loss after gentamicin exposure 43 is mainly from studies in adults and older children. Neonatal studies report low rates of 44 ototoxicity, but have commonly used only moderate sensitive hearing tests. 45 What this study adds: We performed pure tone audiometry, including the extended high-46 47 frequency range, in 219 schoolchildren (median age 9 years) exposed to a high-dose gentamicin regimen in the neonatal period. We found no association between exposure to 48 49 gentamicin and hearing levels. 50

# **Contributors' Statement Page** Dagny Hemmingsen conceptualized and designed the study, carried out the initial analysis and wrote the first draft of the manuscript. Camilla Mikalsen collected data and reviewed and revised the manuscript. Alexander Rydland Hansen collected data, carried out initial analysis and reviewed and revised the manuscript. Jon Widding Fjalstad reviewed all gentamicin data and established the cohort from the neonatal period. He also contributed to statistical analyses and revised the manuscript. Niels Christian Stenklev provided substantial contribution to study design and interpretation of the data, and reviewed and revised the manuscript. Claus Klingenberg conceptualized and designed the study, coordinated and supervised data collection, directed all phases of the study, and revised the final manuscript. Hemmingsen and Klingenberg had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. All authors approved the final manuscript as submitted and agree to be accountable for all aspects of the work.

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**ABSTRACT** 

**OBJECTIVES:** To assess the association between gentamicin exposure in the neonatal period and hearing in school age.

**METHODS:** This study included children exposed to a high-dose (6 mg/kg) gentamicin regimen as neonates (2004-2012), invited for follow-up in school age, and a healthy age-matched control group. We assessed hearing with pure tone audiometry including the extended high-frequency range. Outcomes were average hearing thresholds in the mid-frequencies (0.5-4 kHz) and the extended high-frequencies (9-16 kHz). The measures of gentamicin exposure were cumulative dose and highest trough plasma concentration (TPC). We used linear regression models to assess the impact of gentamicin exposure, and other periand postnatal morbidities, on hearing thresholds.

 **RESULTS:** A total of 219 gentamicin-exposed and 33 healthy control children were included in the audiological analysis. In the gentamicin cohort, 39 (17%) had a birth weight < 1500 g. Median (interquartile range) cumulative dose and TPC were 30 (24-42) mg/kg and 1.0 (0.7-1.2) mg/L, respectively. Median hearing thresholds (decibel hearing level) for the mid- and extended high-frequencies were 2.5 (0 - 6.3) and -1.7 (-5.0 - 5.0), both within normal range. In adjusted analysis, increasing hearing thresholds were associated with lower birth weight and postnatal middle ear disease, but not with level of gentamicin exposure. After adjusting for birth weight there was no difference in hearing thresholds between the gentamicin-exposed cohort and healthy controls.

**CONCLUSIONS:** Exposure to a gentamic in high-dose regimen in the neonatal period was not associated with an increase in hearing thresholds in schoolchildren being able to complete audiometry.

# INTRODUCTION

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2	Gentamicin is widely used for treatment of neonatal sepsis. <sup>1,2</sup> Extended-interval dosing
3	regimens are currently recommended. <sup>3</sup> To ensure effective therapy it is necessary to attain a
4	high circulating dose and some experts suggest that each dose should be as high as 7.5 mg/kg
5	due to the large distribution volume in neonates. <sup>4</sup> There is still uncertainty about the optimal
6	dosing regimen and safety, in particular regarding potential ototoxicity.
7	Ototoxic hearing loss typically first affects the high frequencies (> 8 kHz), may then
8	progress to involve lower frequencies and is usually bilateral and irreversible. <sup>5,6</sup> Neonates
9	admitted to neonatal intensive care units (NICUs) have up to 10-fold increase in prevalence of
10	hearing loss. <sup>7,8</sup> Prolonged gentamicin treatment and high trough plasma concentrations (TPC)
11	have been suggested to increase the risk of ototoxicity. <sup>3,9,10</sup> Prematurity and low birth weight,
12	severe perinatal morbidities, other ototoxic drugs and environmental noise are also risk factors
13	for hearing loss.8,11-13 These factors will often co-exist with gentamicin treatment making it
14	difficult to delineate which risk factor is of greatest clinical importance.
15	Current evidence indicates a low risk of hearing loss after gentamicin treatment in
16	neonates. <sup>5,14,15</sup> However, data are limited by several factors. The objective testing methods
17	used in newborn hearing screening (otoacoustic emissions (OAE) or automated brain stem
18	audiometry) evaluate hearing at frequencies between 2-6 kHz and do not detect mild hearing
19	loss or early signs of ototoxicity. Moreover, most studies have evaluated hearing shortly after
20	exposure to gentamicin and could not identify late-onset or progressive hearing loss.
21	Pure tone audiometry in the extended high-frequency (EHF) range is the most
22	sensitive subjective testing method to detect ototoxic hearing loss, even before it becomes
23	evident in the conventional hearing range. 16,17 For this method children must be able to
24	cooperate. 18,19 In this study we performed hearing assessment of schoolchildren exposed to a

high-dose gentamicin regimen in the neonatal period in order to assess the long-term safety.

#### PATIENTS AND METHODS

2 Setting, study design and participants Children included in this study had been admitted to the NICU at the University Hospital of 3 North Norway (UNN) and received gentamic in therapy between 2004 and 2012. This NICU 4 is the only unit offering care for infants born before 32 weeks gestation, and for all other 5 6 newborn infants (> 32 weeks) in need for mechanical ventilation or intensive care, in the two 7 northernmost counties in Norway. We previously validated our extended-interval, high-dose 8 (6 mg/kg) gentamicin dosing regimen in 440 neonates who were exposed to at least three doses of gentamicin between 2004 and 2012.<sup>20</sup> The vast majority of TPCs (94%) were within 9 10 the normal range, there was a low rate of prescription errors and we found no evidence of 11 early-onset ototoxicity using a transient evoked OAE screening test before hospital discharge.<sup>20</sup> 12 13 For the current study (Figure 1), 357 children from the original cohort were invited for a detailed hearing assessment at age 6-14 years. We also, from public primary schools, 14 15 recruited a control group of 33 healthy children with no history of previous use of aminoglycosides, and no prior hearing problems or tympanostomy tubes. Parents of all 16 17 children filled out a questionnaire including any history of middle ear infections, treatment 18 with tympanostomy tubes and use of intravenous antibiotics after the neonatal period. **Neonatal characteristics** 19 For the gentamicin-exposed cohort, we collected data on birth weight, gestational age (GA), 20 21 Apgar scores, neurological abnormalities, mechanical ventilation and any phototherapy for jaundice. Preterm neonates are more susceptible to bilirubin-induced neurologic damage, 22 23 suffer adverse effects at lower total serum bilirubin (TSB) levels and receive more phototherapy than term infants.<sup>21,22</sup> We recorded the peak TSB level within the first 2 weeks 24 of life and divided this value by GA in weeks; creating an age-adjusted variable of possible 25

bilirubin toxicity instead of using crude peak TSB levels. To assess level of gentamicin 1 2 exposure during hospitalization we recorded two variables; the highest measured gentamicin 3 TPC (mg/L) and the cumulative gentamic dose (mg/kg). For the healthy control group we collected data on birth weight, admission to a NICU for other reasons than infection and any 4 phototherapy for jaundice. 5 6 **Base line investigations** 7 Participants attended one study visit between September 2017 and September 2018. We did otoscopy and tympanometry at 226 Hz (Otometrics, Zodiac, Taastrup, Denmark) prior to pure 8 9 tone audiometry. Tympanogram results were classified as Type A (normal), B (flat) and C 10 (negative pressure). We collected a urine sample for analysis of the mitochondrial 1555A>G gene mutation in all gentamicin-exposed children. DNA was extracted using the Quick-DNA 11 12 Urine Kit (Zymo Research, Irvine, CA). The m.1555A>G gene mutation was analyzed using 13 PCR amplification and melting curve analysis (LightCycler 480, Roche, Basel, Switzerland). Audiometric data acquisition 14 15 Pure tone audiometry thresholds were measured with the Equinox 2.0 clinical audiometer using Equinox suite version 2.9.0 software (Interacoustics A/S, Middelfart, Denmark). The 16 audiometer was calibrated according to the manufacturer's specifications and in accordance to 17 ISO references. <sup>23,24</sup> We used the DD45 supra-aural earphones (Radioear Co, Midelfart, 18 Denmark) for the conventional frequencies (0.125-8 kHz) and Sennheiser HDA200 closed 19 circum-aural earphones (Sennheiser electronics, Wedemark, Germany) for the EHF's (9-16 20 kHz). Testing was done first in the conventional frequency range prior to the EHF range. We 21 used the ascending method to acquire thresholds.<sup>25</sup> Special care was taken for each child to 22

avoid fatigue and loss of concentration. The first ear tested (left or right) was randomized by

the survey management software (REDCap®). Audiometry testing was done by a trained

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audiologist or an audiology trained ear-nose-throat physician. The hearing thresholds are 1 2 expressed as decibel (dB) hearing levels (HL). 3 **Audiological outcomes** 4 The main audiological outcomes were average hearing thresholds in the conventional frequencies and the EHF range. We calculated the established pure tone average (PTA), 5 6 representing the mean of the conventional mid-frequencies 0.5, 1, 2 and 4 kHz, according to an established reference method.<sup>26</sup> There is no established equivalent to PTA in the EHF 7 range. We chose to use the average of all six EHFs (9, 10, 11.2, 12.5, 14 and 16 kHz), 8 9 hereafter coined EHFA. Middle ear problems can be unilateral, but ototoxic hearing losses are 10 most often bilateral. Thus, we used the PTA and EHFA for the best ear in the final analysis. A relevant clinical hearing loss was defined as PTA and/or EHFA threshold > 20 dB in the best 11 12 ear. We report tympanogram results corresponding to the best ear result. 13 Ethics and trial registration The study was approved by the committee for human medical research ethics, Region North 14 15 in Norway. All parents signed a written informed consent and all participating children 16 received age-appropriate written information about the study. The study was in August 2017 17 registered with ClinicalTrials.gov, number NCT03253614. 18 Sample size and power calculation Based on previous studies <sup>27,28</sup> we estimated that mean EHFA threshold would be around 5-10 19 dB in the healthy control group. We realistically hoped to include 60-70% of the 357 invited 20 gentamicin-exposed children. We considered that a 10 dB difference in the EHFA hearing 21 22 threshold would represent a clinically relevant difference between healthy controls and the 23 gentamicin-exposed group. By including around 30 healthy controls and around 250

significance to detect a differences of 4-5 dB between the groups. Moreover, within the group

gentamicin-exposed children we would have 80% power, with a two-sided 5% level of

- of gentamicin-exposed children we knew that around half of them had a gentamic  $TPC \ge 1$
- 2 1.0 mg/l and the rest < 1.0 mg/l. With 125 children in each group we would have 80% power,
- 3 with a two-sided 5% level of significance to detect a difference of 3-4 dB between the groups.

### 4 Data analysis and statistics

- 5 All clinical data were first entered into REDCap®, a secure, web-based software platform
- 6 designed to support data capture for research studies (Vanderbilt University, Nashville, USA).
- 7 Clinical and audiometry data were analyzed using IBM-SPSS statistical software version 23
- 8 (IBM, New York, USA). Descriptive results are expressed as median (interquartile range-
- 9 IQR). We used a univariable linear regression model to analyze level of gentamicin exposure
- and other predictors that may affect hearing thresholds.<sup>29</sup> We then plotted all predictors in a
- directed acyclic graph, and based on clinical and biological knowledge we identified birth
- weight being the central confounder of both the outcome and other predictor variables.
- Finally, we therefore adjusted each predictor separately for birth weight. Results from
- univariable and adjusted analysis are presented as regression coefficients with 95%
- confidence intervals. We defined p values < 0.05 as significant.

#### 17 RESULTS

- After parental consent, 226/357 (63%) of gentamicin-exposed children were included. Eight
- children had a relevant hearing loss (Table 1). Five of these had known etiology (3 with
- 20 ongoing middle ear disease and 2 with developmental delay and genetic hearing loss), and
- 21 were therefore not included in the main audiological analysis. The 3 remaining children had
- hearing loss of uncertain etiology and were included in the main audiological analysis. Two
- 23 more children were excluded from the main audiological analysis due to obvious lack of
- 24 concentration during testing with uncertain validity of audiometry results.

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High-quality audiometry results were obtained for 219 children exposed to gentamicin in the neonatal period and for 33 healthy controls (Table 2). In the gentamicin cohort, thirtynine (17%) had a very low birth weight (VLBW, < 1500 g birth weight) and forty-six (20%) had been treated with mechanical ventilation. One child was diagnosed with a m.1555A>G gene mutation. This child had a culture-confirmed group B streptococcal early-onset sepsis, received gentamicin for 12 days, but had normal audiometry results (best ear thresholds PTA 6 dB and EHFA 8 dB). Three term born children who underwent therapeutic hypothermia due to severe perinatal asphyxia also received gentamicin; all three had later a normal psychomotor development and no hearing loss. Overall, the gentamicin-exposed cohort and the control group had normal hearing thresholds for the whole frequency range (Table 2, Figure 2). Unadjusted statistical analysis showed a 2.5 dB absolute difference in median EHF hearing thresholds between the gentamicin-exposed and the healthy controls, which is not of clinical significance. After adjusting for birth weight the statistical difference was lost (Table 2). No international ISOreferences exist for the EHF range in children. We compared our results with data from the hitherto largest published reference study, including 90 healthy children and adolescents aged 5-19 years.<sup>30</sup> EHF hearing thresholds between groups from the current study and the reference study were comparable (Figure 2). Table 3 displays the linear regression analysis of predictors for hearing thresholds in the conventional mid-frequencies and the EHFs. In the conventional mid-frequencies, we found that birth weight, mechanical ventilation and tympanometry results were all significant predictors in the unadjusted analysis. After adjusting each predictor for the birth weight, only birth weight and tympanometry result remained significant predictors. In the EHFs, we found that cumulative gentamicin dose, birth weight, phototherapy, being small for gestational age, mechanical ventilation and tympanostomy tubes were significant predictors in the unadjusted

1 analysis. After adjusting each predictor for the birth weight, only birth weight and

tympanostomy tubes remained significant predictors.

We compared data from the population-based original study cohort, including all gentamicin-exposed neonates during the 8-year study period (n=440), with data from the follow-up cohort (n=226), in order to assess representativeness of the follow-up cohort. There were no differences in birth weight, the proportion of VLBW infants, the cumulative gentamicin doses, the highest median gentamicin TPCs and the proportion of children with gentamicin TPC > 2.0 mg/L between the two cohorts (Online Table 1).

#### **DISCUSSION**

The main objective of this study was to perform a detailed hearing assessment of schoolchildren exposed to a high-dose gentamicin regimen in the neonatal period in order to assess potential clinical or subclinical signs of ototoxic hearing loss as markers of long-term harm or safety. We tested hearing in both the conventional frequencies and the EHFs, we adjusted findings for other potential peri- and postnatal risk factors for hearing loss, and we compared audiological data with a healthy control cohort. We found no association between level of gentamicin exposure in the neonatal period and hearing thresholds after 9 years median follow-up time.

Previous studies and reviews indicate a low risk of gentamicin-induced ototoxicity in the newborn period, regardless of dosing regimen. However, there is a paucity of long-term, detailed follow-up studies. One recent case-control study compared level of gentamicin exposure in 25 VLBW infants who presented with hearing loss during first 5 years of life and a matched control group without hearing loss, and found no differences in gentamicin exposure between groups.<sup>31</sup> One study from the 1970s reported 4 year follow-up hearing results after newborn aminoglycoside therapy, using play audiometry (0.5-4 kHz). Only 25%

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of their original cohort were assessed at 4 years, but the authors did not identify any substantial aminoglycoside-attributable hearing loss.<sup>32</sup> Our study is the first long-term followup study performing high quality pure tone audiometry, including the EHFs, of children exposed to gentamic in the newborn period. A delay between exposure and hearing loss is well known from platinum-induced hearing loss in children.<sup>33,34</sup> This has also been suggested in sporadic cases after neonatal treatment with gentamicin. 35,36 We found no indication of late-onset gentamicin-induced ototoxicity in our study. The mechanisms behind gentamicin-induced ototoxicity are not fully understood.<sup>37</sup> Currently, there is stronger evidence for aminoglycoside ototoxicity in older children than in neonates. 6,18,19 A possible explanation is that older children, e.g. with cystic fibrosis or cancer, receive larger cumulative doses than those commonly administered in neonates. 6,18,19 Alternatively, the newborn inner ear is less vulnerable to ototoxicity or gentamicin-induced ototoxicity may be partly reversible. Indeed, reversible ototoxic effects from aminoglycosides have been demonstrated in animal models.<sup>38</sup> Moreover, transient hearing loss in neonates is reported and could be explained by a transient cochlear dysfunction due to inflammation <sup>39</sup> or a delayed maturation of the auditory system. 40 However, in our study cohort there were neither signs of ototoxicity at NICU discharge nor at follow-up in children exposed to gentamicin. Hearing loss in infants admitted to NICUs has a prevalence of around 2-4 % compared to 0.1-0.3 % in the general newborn population.<sup>7,29,41,42</sup> Low gestational age, VLBW, mechanical ventilation, perinatal infections, hyperbilirubinemia and severe asphyxia are all identified as risk factors for hearing loss. 8,11,13 In line with others, we found a strong association between decreasing birth weight and increasing hearing thresholds. 14 Some authors argue that low birth weight itself does not cause hearing loss, 43 but is rather associated with other perinatal factors that more directly affects hearing. We evaluated other possible

predictors for hearing such as Apgar scores, hyperbilirubinemia/phototherapy and mechanical ventilation, but none of these were associated with increasing hearing thresholds after adjusting for birth weight. The m.1555A>G mutation is associated with hearing loss, in particular after exposure to aminoglycoside antibiotics.<sup>44</sup> In our cohort only one patient (0.44%) had this mitochondrial mutation, and this patient had normal hearing despite a cumulative gentamicin dose of 72 mg/kg. In another cohort of infants treated with gentamicin, 4/436 (0.9%) had a mitochondrial 12sRNA mutation, but only one showed evidence of possible hearing loss. 45 Some authors suggest testing for mitochondrial mutations prior to neonatal aminoglycoside treatment.<sup>46</sup> A clinical study is planning to assess rapid pharmacogenetic testing of the m.1555A>G mutation in order to avoid aminoglycoside therapy in "at risk" neonates. 47 However, given the low and variable prevalence of this mutation in different ethnic populations combined with a variable penetrance, this approach may not be justified or cost-effective in all settings. 44,48,49 Middle ear disease in childhood may cause mechanical hearing loss because of permanent inflammatory damage and/or sensorineural hearing loss secondary to toxic effects to the inner ear. 50,51 Isolated sensorineural hearing loss in the EHFs after otitis media is also reported in children.<sup>52</sup> We found a significant association between previous tympanostomy tubes, a marker for more severe middle ear disease, and EHF hearing thresholds. We also found increased hearing thresholds in the conventional mid-frequencies in children with negative middle ear pressure. The latter may reflect a subtle mechanical hearing loss caused by ongoing middle ear pathology. The strength of our study is the unique long-term audiological data sensitive enough to detect subtle and subclinical hearing loss. We also present data on different levels of gentamicin exposure, with cumulative dose being the most important proxy for exposure, but found only a weak correlation between cumulative dose and EHF-thresholds, which was not

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significant after adjusting for birth weight (Table 3A and Supplementary Figure 1). It is a paradox that most neonatal gentamicin dosing regimens recommend lower gentamicin doses (4-5 mg/kg) than in older children (7 mg/kg), despite a proportionally higher distribution volume in neonates. We have since 2004 used a dosing regimen with a fixed gentamicin dose (6 mg/kg) for all neonates, and a variable dosing interval (24-48 h) depending on GA and postnatal age.<sup>20</sup> This dosing regimen has a low risk of prescription errors.<sup>20</sup> Our study also has limitations. Children from the original cohort with the most severe comorbidities were not included in our follow-up, due to clinical conditions that made them unable to complete audiometric testing. Some of these may have hearing problems in addition to other disabilities. However, we are only aware of one child from the original cohort, diagnosed with a congenital cytomegalovirus infection, who has a cochlea implant. Since 2009, our unit has avoided routine use of gentamicin in children with severe asphyxia who undergo therapeutic hypothermia. Only 3 children with this condition were therefore included in the follow-up cohort, all three with normal hearing. There are conflicting results on a possible association between gentamicin exposure and hearing loss in children with severe perinatal asphyxia who have undergone therapeutic hypothermia.53,54 Only 10% of the children in our study received more than 10 doses (> 60 mg/kg) gentamicin, and we cannot exclude that very long courses of gentamicin have a greater ototoxic potential, also in the neonatal period. Finally, a response rate of 63% adds a potential selection bias. Still, the gentamicin exposure data and the proportion of VLBW infants were similar in the original and the follow-up cohort. Conclusion In schoolchildren who were not severely disabled and therefore able to complete a detailed hearing assessment, we found no association between neonatal exposure to a gentamicin highdose, extended-interval regimen and increased risk of hearing loss in the conventional midfrequencies and the EHFs. Increasing hearing thresholds were associated with lower birth

weight and middle ear disease in childhood, but the vast majority of children had normal 1 2 hearing. Potential damage to hearing early in life is of great concern because childhood hearing loss, and prelingual hearing loss in particular, may affect both language and general 3 development.<sup>55</sup> It is therefore important to provide high-quality, long-term follow up data on 4 5 hearing after gentamicin exposure in neonates, since this drug is widely used in neonates and 6 safety therefore is of paramount importance. 7 8 **ACKNOWLEDGMENTS** 9 We greatly appreciate the professional work of the staff at the clinical research department, 10 University Hospital of North Norway, Tromsø. We are also grateful to Marthe Larsen, at the 11 clinical research department, University Hospital of North-Norway, Tromsø for statistical 12 advice and to Bo Engdahl, Norwegian Institute of Public Health, Oslo for advice on 13 audiological methods and analyses. Finally, we thank all children and parents for participating in the study, without their voluntarily contribution this study would not have been possible. 14 15 16 17

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Table 1. Children with hearing loss defined as PTA threshold > 20 dB HL (n=3) and/or
 EHFA threshold > 20 dB HL (n=5)

Age	PTA Best ear (dB HL)	EHFA Best ear (dB HL)	Clinical characteristics	Gentamicin TPC (mg/L)	Gentamicin cumulative dose (mg/kg)	Included main audiological analysis
12 years	14	22	GA 41 weeks Middle ear effusion	3.5	66	NO
14 years	14	38	GA 32 weeks Middle ear effusion	1.2	30	NO
7 years	21	NA	GA 39 weeks Middle ear effusion	1.8	24	NO
9 years	18	41	Twin, GA 28 weeks Mild psychomotor delay of unknown cause. Genetic hearing loss; diagnosed at school age	0.3	72	NO
9 years	58	55	Twin, GA 28 weeks Mild psychomotor delay of unknown cause. Genetic hearing loss; diagnosed at school age	0.3	54	NO
12 years	46	49	Twin, GA 24 weeks Long respiratory support. Hearing loss diagnosed at age 8 years.	0.6	72	YES
9 years	6	28	GA 26 weeks  Normal middle ear  No mechanical ventilation	0.7	108	YES
6 years	15	21	GA 41 weeks Admitted NICU for observation, no perinatal complications. Normal middle ear, but previous tympanostomy tubes.	0.9	18	YES

<sup>4</sup> 

<sup>5</sup> NA, not available: TPC, through plasma concentration; PTA, pure tone average; EHFA, extended high

<sup>6</sup> frequency average; GA, gestational age; dB HL, decibel hearing level

## Table 2. Background characteristics, gentamicin exposure data and audiometry results

	Gentamicin cohort	Control cohort	
Variables and results	(n=219)	(n= 33)	
Age at study visit (years)	9 (7-11)	10 (9-12)	
Female	84/219 (38.4%)	17/33 (51.5%)	
Birth weight (grams)	3360 (2154-3896)	3500 (3239-3816)	
• < 1500 g	• 39/219 (17.8%)		
• 1500-2499 g	• 25/219 (11.4%)		
• ≥ 2500 g	• 155/219 (70.8%)		
Gestational age (weeks)	39 (33-41)	No information	
• ≤31	• 47/219 (21.5%)		
• 32-36	• 28/219 (12.8%)		
• ≥ 37	• 144/219 (65.8%)		
Small for gestational age (< 10 <sup>th</sup> centile)	19/219 (8.7%)		
Mechanical ventilation	46/219 (21%)	0/33 (0%)	
Apgar score - 5 min	9 (7-10)	No information	
Phototherapy	71/219 (32.4 %)	3/33 (10 %)	
Neurological abnormalities as neonates	13/219 (5.9 %)	0/33 (0%)	
<ul> <li>Intracranial hemorrhage</li> </ul>	• 8/219 (3.7%)		
Cystic periventricular leukomalacia	• 3/219 (1.4%)		
<ul> <li>Meningitis</li> </ul>	• 3/219 (1.4%)		
Gentamicin trough plasma concentration (mg/L)	1.0 (0.7-1.2)	NR	
• Trough plasma concentration < 1 mg/L	• 128/219 (58.4%)		
• Trough plasma concentration ≥ 1 mg/L	• 91/219 (41.6%)		
Gentamicin cumulative dose (mg/kg)	30 (24-42)	NR	
• Receiving ≤ 30 mg/kg (3 - 5 doses)	• 111/219 (50.7%)		
• Receiving ≥ 36 mg/kg (6 doses or more)	• 108/219 (49.3)		
Mitochondrial 1555 G>A mutation	1/219 (0.5%)	Not tested	
Tympanostomy tubes, any	19/219 (8.7%)	0/33 (0%)	
PTA threshold (dB HL) - best ear *†	2.5 (0 to 6.25)	2.5 (-0.6 to 3.8)	
EHFA threshold (dB HL) - best ear **††	-1.7 (-5.0 to 5.0)	-4.2 (-5.9 to 0)	

2

<sup>3</sup> All data are median and interquartile range (IQR) or number and percentage (%).

<sup>4</sup> EHFA, extended high-frequency average; PTA, pure tone average; NR, not relevant; dB HL, decibel hearing

<sup>5</sup> level.

<sup>6</sup> Unadjusted analysis, gentamicin-exposed vs. healthy control cohort, \*P=0.10 and \*\*P<0.02.

<sup>7</sup> Adjusted analysis for birth weight, gentamicin-exposed vs healthy control cohort, †P=0.33 and ††P=0.10

Table 3A. Regression analysis of gentamicin exposure and other predictors for hearing thresholds in the conventional mid-frequencies in the gentamicin-exposed cohort (n=219)

	Univariable		Adjusted for birth we	eight
PTA threshold (dB HL) - best ear	Beta (95% CI)	P value	Beta (95% CI)	P value
Gentamicin - cumulative dose	0.01 (-0.01 to 0.03)	0.35	-0.002 (-0.03 to 0.02)	0.83
Gentamicin - highest TPC	-0.17 (-1.4 to 1.1)	0.78	-0.03 (-1.2 to 1.1)	0.96
Birth weight - per 500 g	-0.4 (-0.7 to -0.1)	0.004		< 0.02*
Mechanical ventilation	2.3 (0.7 to 3.9)	0.004	1.5 (-0.4 to 3.4)	0.13
Phototherapy	1.2 (-0.2 to 2.6)	0.10	0.02 (-1.6 to 1.7)	0.98
Peak bilirubin (n=161)	0.08 (-0.3 to 0.5)	0.68	-0.07 (-0.5 to 0.3)	0.72
Apgar 5 min < 6	0.7 (-1.1 to 2.5)	0.43	-1.1 (-2.9 to 0.7)	0.22
Small for gestational age	1.2 (-1.2 to 3.5)	0.33	0.4 (-2.0 to 2.7)	0.76
Age at study visit	-0.2 (-0.5 to 0.1)	0.17	-0.3 (-0.6 to 0.02)	0.07
Tympanostomy tubes	1.6 (-0.7 to 3.9)	0.18	1.4 (-0.9 to 3.7)	0.22
Tympanometry – best ear	-4.4 (-7.1 to -1.6)	0.002	-4.1 (-6.8 to -1.4)	0.003

CI, confidence interval; PTA, pure tone average; TPC, trough plasma concentration. \* the P value for birth weight remained < 0.02 when adjusting for all predictors, except for a strong correlation between birth weight and mechanical ventilation and thus a P value of 0.13 for this adjusted analysis

**Table 3B.** Regression analysis of gentamicin exposure and other predictors for hearing thresholds in the extended high-frequencies in the gentamicin-exposed cohort (n=219)

	Univariable		Adjusted for birth w	eight
EHFA threshold (dB HL) - best ear	Beta (95% CI)	P value	Beta (95% CI)	P value
Gentamicin - cumulative dose	0.05 (0.01 to 0.08)	0.007	0.02 (-0.01 to 0.06)	0.21
Gentamicin - highest TPC	-0.6 (-2.5 to 1.3)	0.538	-0.29 (-2.2 to 1.6)	0.76
Birth weight - per 500 g	-0.9 (-1.3 to -0.5)	< 0.001		< 0.02*
Mechanical ventilation	4.6 (2.1 to 7.2)	< 0.001	0.41 (-s0.6 to 5.5)	0.12
Phototherapy	3.6 (1.3 to 5.8)	0.002	1.5 (-1.2 to 4.1)	0.28
Peak bilirubin (n=161)	0.3 (-0.3 to 0.9)	0.38	-0.02 (-1.5 to 0.6)	0.96
Apgar 5 min < 6	1.7 (-1.2 to 4.6)	0.25	-2.5 (-5.4 to 0.3)	0.08
Small for gestational age	3.8 (0.01 to 7.5)	0.049	2.1 (-1.7 to 5.9)	0.28
Age at study visit	0.4 (-0.06 to 0.9)	0.08	0.3 (-0.2 to 0.8)	0.22
Tympanostomy tubes	9.1 (5.5 to 12.7)	< 0.001	8.8 (5.3 to 12.2)	< 0.001
Tympanometry – best ear	-3.0 (-7.9 to 1.8)	0.22	-2.1 (-6.8 to 2.7)	0.39

CI, confidence interval; EHFA, extended high-frequency average; TPC, trough plasma concentration.

<sup>\*</sup> the P value for birth weight remained < 0.02 when adjusting for all predictors, except for a strong correlation between birth weight and mechanical ventilation and thus a P value of 0.12 for this adjusted analysis

- 1 Supplementary Table 1. Comparison of gestational age, birth weight and gentamicin
- 2 exposure in the original gentamicin cohort and the follow-up cohort 6-14 years later.

	Original cohort	Follow-up cohort	
	(n= 440)	(n=219)	
Gestational age (weeks)	39 (32-40)	39 (33-41)	
Birth weight (gram)	3281 (1850 to 3815)	3360 (2154-3896)	
• < 1500 g	84/440 (19%)	39/219 (18%)	
Gentamicin TPC (mg/L)	1.0 (0.7 to 1.3 mg/L)	1.0 (0.7 to 1.2 mg/L)	
• TPC $> 2.0 \text{ mg/L}$	26 (6.0%)	11/219 (5.0%)	
Gentamicin cumulative dose (mg/kg)	30 (24 to 36)	30 (24 to 42)	

- 4 All data are median and interquartile range (IQR) or number and percentage (%).
- 5 TPC, through plasma concentration

# Figure legends

1

- 2 Figure 1: Participant flow diagram. This figure displays the final study populations, from the
- 3 original cohort through exclusions.

4

- 5 Figure 2: Hearing thresholds in dB HL (mean and standard deviation) in the conventional and
- 6 extended high-frequency range in gentamicin-exposed cohort, healthy controls and a
- 7 reference population.<sup>30</sup>
- 8 dB HL, decibel hearing level

9

- 10 Supplementary Figure 1: Scatter plot showing the correlation between cumulative
- gentamicin dose (mg/kg) in all infants and the hearing threshold in the extended high-
- 12 frequencies (9-16 kHz).  $R^2 = 0.033$
- 13 P = 0.007 using linear regression statistics.
- 14 P = 0.15 using Spearman's non-parametric correlation.
- 15 EHFA, extended high-frequency average
- dB HL, decibel hearing level

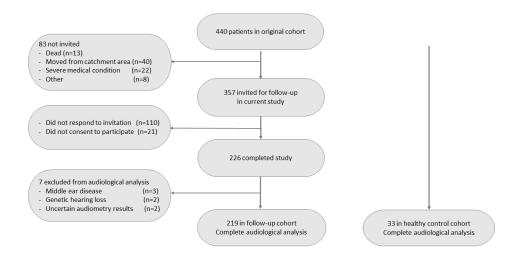


Figure 1
338x190mm (96 x 96 DPI)

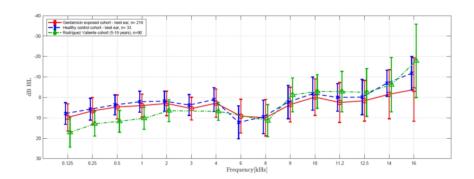
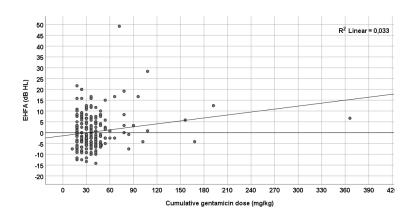


Figure 2 338x190mm (96 x 96 DPI)



338x190mm (96 x 96 DPI)