



## Article

# Utilizing Alike Neighbor Influenced Similarity Metric for Efficient Prediction in Collaborative Filter-Approach-Based Recommendation System

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**Abstract:** The most popular method collaborative filter approach is primarily used to handle the information overloading problem in E-Commerce. Traditionally, collaborative filtering uses ratings of similar users for predicting the target item. Similarity calculation in the sparse dataset greatly influences the predicted rating, as less count of co-rated items may degrade the performance of the collaborative filtering. However, consideration of item features to find the nearest neighbor can be a more judicious approach to increase the proportion of similar users. In this study, we offer a new paradigm for raising the rating prediction accuracy in collaborative filtering. The proposed framework uses rated items of the similar feature of the 'most' similar individuals, instead of using the wisdom of the crowd. The reliability of the proposed framework is evaluated on the static MovieLens datasets and the experimental results corroborate our anticipations.

**Keywords:** recommender system; collaborative filtering; similarity function; prediction approach; Top-N



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## 1. Introduction

The excessive increase of data on various web applications has made the process of extracting useful information very difficult because of the information overload. Various information retrieval techniques are proposed to handle this overwhelming amount of data [1]. Collaborative filtering (CF) has become the vital tool of information retrieval, due to its efficiency and simplicity. Memory-based (MeB) CF is the most prevalent approach to predict the interests of a user automatically and more precisely. For that, it collects preferences or tastes findings from an extensive number of users. Given a set of users' likes or dislikes about different movies, a CF-based recommendation system (RS) for movie preferences could infer assumptions regarding which movie a user would like [2,3]. MeB CF utilizes a user-item rating dataset to determine how similar users or items are. An example of MeB CF is item-based and user-based Top-N recommendations. However, in practise, consumers typically assess a small number of things, making the datasets used in many commercial RS sparse. In a sparse circumstance where there are fewer co-rated items, prevalent similarity metrics/measures (SeMs) have significant accuracy problems. Only a few studies address the aforementioned problem, despite the fact that numerous works have been done to increase the CF's accuracy in sparse datasets.

Patra et al. proposed a novel SeM to address the above issue by exploiting the Bhattacharyya coefficient (BC) [4]. When calculating similarity, the ratings produced by the two users are taken into account. The final similarity value is calculated using both local and global information. However, this approach failed to compute the similarity between two disjoint rating vectors, as Jaccard similarity in such cases will be zero. The following

table explains the conditions when SeM using BC is also unable to determine the similarity value between users as with in similar fashion.

Table 1 signifies the rating information of 3 users on six movies, whereas all related genres of each movie are represented in Table 2. As mentioned in Table 1, all widely used SeMs are unable to find the similarity between users in such a situation. Furthermore, categorical attributes of the item, suggested by Ye et al., have been used to obtain the similarity [5]. The performance of CF using categorical attributes can be improved by using a modified prediction approach. The categorical attributes of items are utilized in the proposed methodology since they naturally stimulate users' interest [6–8]. A user's bias for a certain attribute of an item is possible. For instance, if a user gave an action movie a rating 'of 5', we can infer from the categorical attributes that users are interested in an action movie.

**Table 1.** User-Movie rating dataset.

User	Movie	$Obj_1$	$Obj_2$	$Obj_3$	$Obj_4$	$Obj_5$	$Obj_6$
	$US_1$	1	1	0	0	0	0
	$US_2$	0	0	4	0	2	0
	$US_3$	0	0	0	5	0	5

**Table 2.** Details of Movies.

Movies	Genre	Action	Romantic	Thriller	Horror
	$Obj_1$	Y	Y		
	$Obj_2$	Y	Y	Y	
	$Obj_3$		Y	Y	Y
	$Obj_4$		Y	Y	Y
	$Obj_5$	Y		Y	Y
	$Obj_6$			Y	Y

Therefore, we consider a reasonable approach to alleviate the aforementioned issue. The proposed methodology is based on the following assumptions: (i) If two users' tastes/rating patterns are identical, they will enjoy items with same features, (ii) a user may like the product liked by the 'most' similar neighbor. The motivation behind the above assumptions is twofold: (i) it does not require a co-rated item to obtain the closest neighbors and (ii) the prediction result will be more accurate in a situation where the similarity value of Top-N neighbors varies significantly. The important contributions to this manuscript are listed below.

- Utilizing the item's categorical features, a modified similarity measure has been employed.
- The most similar neighbor is used to predict the rating in the prediction approach.
- The comparison between the proposed method utilizing the modified similarity measure and prediction approach and the traditional collaborative filtering algorithms has been done based on MAE and RMSE.

The remaining structure of this paper is as follows: The Background and Literature Survey is represented in Section 2, where we provide the background of RS and some prevalent works of CF-based RS. The proposed recommendation approach is discussed in Section 3, where we illustrate the proposed framework of CF-based RS. The main subsections used in Section 3 are Data Collection (user feedback extraction), Similarity Calculation (Exploring Top-k Co-Related Neighbors), Predicting the Rating (Computing the Rating Predicted for an Item), Recommending Top-N Item to the Target User, and Illustrative Example. Additionally, Section 4 offers a comparative examination of this work, and Section 5 concludes the paper.

## 2. Background and Literature Survey

### 2.1. Recommendation Systems

Goldberg et al. throw light on CF-based RS in 1992 [9]. Several RS approaches have emerged due to the inspiration provided by their recent practical implementation. These techniques have often been divided into four broad categories:

- Based on the presumption that people with comparable physical and personal traits, such as age, geography, gender, etc., possibly have common interests, the demographic filtering technique gives suggestions to the active user [10].
- An item is suggested and recommended to a user in the content-based filtering approach by examining the specifications of the item that they have previously selected [11,12]. These systems do not care about the ratings customers offer the products.
- The recommendations in the CF approach are based on the items' user ratings [11,13]. In order to estimate user ratings for unrated products, users having similar ratings are used.
- The benefits of collaborative filtered approach and content based are combined in the hybrid filtering technique to address the issues with overspecialization, sparsity, cold start [14,15], and other issues in MeB CF [16].

CF, the most commonly used RS approach, can be categorized into neighborhood-based (memory-based) CF and model-based CF. In neighborhood-based CF, a user-item rating matrix is utilized to compute co-related users and rating predictions. In model-based CF approaches, a user-item rating database generates a learning, or statistical model [17]. Subsequently, it is used for predictions and does not require whole rating data when the model is completely built [1,18]. Some approaches of model-based CF are more efficient than neighborhood-based CF in the prediction of rating [19,20]. Neighborhood-based CF is a popular strategy in the e-commerce industry because: (i) It is easy to use, intuitive, and doesn't require any training [21]. (ii) It uses one parameter, namely the neighborhood's K-number, whereas a model-based approach requires various factors, including regularisation and learning parameters, etc. [22].

#### Related Work of CF-Based ReS

Traditionally SeMs, including the Pearson correlation coefficient, and cosine similarity, are vital measures to calculate user similarities [23,24]. Numerous SeMs variations are introduced to enhance the functionality of current CF-based RS. Examples include Constrained Pearson Correlation Coefficient and Adjusted Cosine similarity [25]. However, they are restricted by a sparse dataset that includes few or no co-rated items [26]. For the said metrics, the other drawback that can be identified includes frequently revealing high user similarity when there are fewer items [27]. Additionally, these computations exclude all user ratings for the specific pair, which are taken into consideration by the Jaccard SeM. Hence, it is affected with a few co-rated item problems when the dataset is highly sparse.

To resolve the aforementioned problem and enhance the functionality of CF-based RS, different SeMs have been proposed in the literature. Among these traditional SeMs, PIP is the prominent favored measure in RS. The three key factors are popularity, impact, and proximity within two rating patterns, used in PIP measure [28]. The proximity factor is computed as the intra-arithmetic difference between two rating patterns of an item. The depiction of the impact factor indicates the strongness of how a preference is held or disliked by a user, whereas a rating is given importance by the popularity factor.

To address the drawbacks of the conventional SeMs, Bobadilla et al. presented some of SeMs [29,30]. They integrated Jaccard and Mean squared difference in their proposed technique (JMDS) [24]. They demonstrated that the JMDS-based CF surpassed the PIP-based CF on the basis of mean absolute error. A similar method, known as the Cosine-Jaccard-Mean Measure of Divergence (CJacMMD), has been produced by Suryakant et al. It combines the Mean Measure of Divergence, Jaccard, and Cosine [31]. All three said measures lack some co-rated items problem in a sparse rating dataset. In such a scenario,

Patra et al. have introduced a SeM using the Bhattacharyya coefficient when few or no items are co-rated [4]. Their proposed SeM has been utilized to attain the overall co-relations among users, and local and global rating data are used.

In literature, there are many prominent SeMs and prediction methodologies applied in the CF-based RS. The following Tables 3 and 4 represent the vital references and computational equations of SeMs and prediction approaches.

**Table 3.** Co-relation Metric.

Co-Relation Metric	Reference	Equation
(BC)	[4]	$Cor(u, v) = Jacc(u, v) + \sum_{i \in I_u} \sum_{j \in I_v} BC(i, j) loc(r_{u_i}, r_{v_j})$
(CS)	[32]	$Cor(u, v) = \frac{\sum_{i \in I} (R_{u,i})(R_{v,i})}{\sqrt{\sum_{i \in I} (R_{u,i})^2} \sqrt{\sum_{i \in I} (R_{v,i})^2}}$
(ED)	[33]	$Cor(u, v) = \frac{1}{1 + \sqrt{\sum_{i \in I} (R_{u,i} - \bar{R}_u)^2}}$
(ACS)	[32]	$Cor(u, v) = \frac{\sum_{i \in I} (R_{u,i} - \bar{R}_u)(R_{v,i} - \bar{R}_v)}{\sqrt{\sum_{i \in I} (R_{u,i} - \bar{R}_u)^2} \sqrt{\sum_{i \in I} (R_{v,i} - \bar{R}_v)^2}}$
(SC)	[29,34]	$Cor(u, v) = \frac{\sum_{i \in I} (k_{u,i} - \bar{k}_u)(k_{v,i} - \bar{k}_v)}{\sqrt{\sum_{i \in I} (k_{u,i} - \bar{k}_u)^2} \sqrt{\sum_{i \in I} (k_{v,i} - \bar{k}_v)^2}}$
(PC)	[32]	$Cor(u, v) = \frac{\sum_{i \in I} (R_{u,i} - \bar{R}_u)(R_{v,i} - \bar{R}_v)}{\sqrt{\sum_{i \in I} (R_{u,i} - \bar{R}_u)^2} \sqrt{\sum_{i \in I} (R_{v,i} - \bar{R}_v)^2}}$

Here, BC, CS, ED, ACS, SC, and PC denote Bhattacharyya Coefficient, Cosine Similarity, Euclidean Distance, Adjusted Cosine Similarity, Spearman Correlation, and Pearson Correlation respectively. The similarity of users  $u$  and  $v$  is determined by  $Cor(u, v)$ .  $Jacc(u, v)$  notify the similarity of users  $u$  and  $v$  by Jaccard Similarity. The Bhattacharyya coefficient is used by  $BC(i, j)$  to determine how similar two items  $i$  and  $j$  are, whereas  $loc(r_{u_i}, r_{v_j})$  identifies the local similarity between users  $u$  and  $v$  with regard to items  $i$  and  $j$ . Users  $u$  and  $v$ 's ratings on item  $i$  are shown by  $R_{u,i}$  and  $R_{v,i}$  respectively, whereas,  $\bar{R}_u$  and  $\bar{R}_v$  indicate, accordingly, the average rating of users  $u$  and  $v$ .  $k_{u,i}$  and  $k_{v,i}$  indicate the order in which users  $u$  and  $v$  rated item  $i$ , whereas,  $\bar{k}_u$  and  $\bar{k}_v$  represent accordingly, the average rank based on the ratings of users  $u$  and  $v$ .

**Table 4.** Prediction Approach.

Prediction Approach and References	Equation
<b>Mean Centering (MC)</b> [4,5,28,33,35–43]	$\hat{r}_{ui} = \bar{r}_u + \frac{\sum_{v \in N_i(u)} sim(u, v)(r_{vi} - \bar{r}_v)}{\sum_{v \in N_i(u)}  sim(u, v) }$
<b>Weighted Average (WA)</b> [12,32,38,44–48]	$\hat{r}_{ui} = \frac{\sum_{v \in N_i(u)} sim(u, v)r_{vi}}{\sum_{v \in N_i(u)}  sim(u, v) }$
<b>Z-Score (ZS)</b> [49–52]	$\hat{r}_{ui} = \bar{r}_u + \sigma_u \frac{\sum_{v \in N_i(u)} sim(u, v)(r_{vi} - \bar{r}_v) / \sigma_v}{\sum_{v \in N_i(u)}  sim(u, v) }$

Here,  $\hat{r}_{ui}$  represents the predicted value of item  $i$  for active user  $u$ .  $\sigma_i$  and  $\sigma_j$  are the standard deviation of rating of item  $i$  &  $j$  respectively. In this paper, we use BC for the comparative analysis because similarity measure using BC provides a more accurate recommendation, as discussed earlier. Table 5 represents a list of notable literature that discusses modified SeM.

**Table 5.** A list of notable references on the improvement of CF-based RS via a new SeM.

Reference	Proposed a New Similarity Measure	Used Prediction Approach
[22,31,53–55]	✓	MC
[56,57]	✓	WA

### 3. Proposed Recommendation Approach (MSMPPA)

Although CF is the most reliable and effective recommendation method for movies, music, news, e-commerce, etc., it is unable to identify correlation in an extremely sparse dataset. The accuracy of CF-based RS decreases in a sparse dataset due to the unavailability of co-rated items in similarity computation. To mitigate this problem, a SeM using BC has been introduced. But, still, there is a scope for improvement as similarity computation using the Bhattacharya coefficient is not suitable when rating vectors are disjoint. In this paper, we are motivated to utilize the benefits of collaborative filtering. When rating vectors are disjoint, the difficulty of similarity computation using BC can be mitigated if a categorical attribute (ICA) of items is considered. To begin, user centric-attribute matrix is converted from user centric-item matrix into a, where the columns and rows, respectively, reflect the user along with their interest in a particular feature’s item. Figure 1 represents the general framework of the proposed approach, which can be viewed as follows:

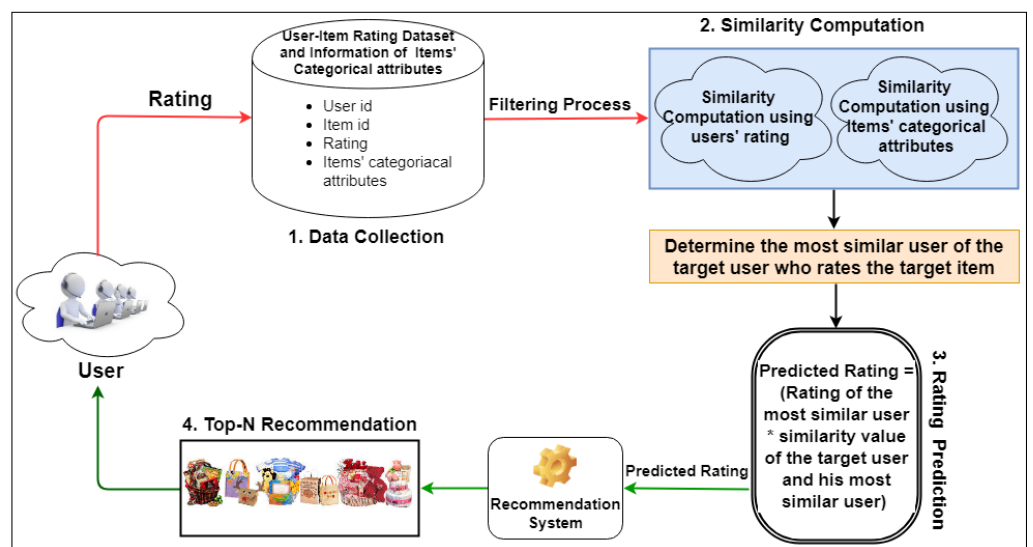


Figure 1. The Systematic Flow Diagram of the Proposed Recommender System.

#### 3.1. Data Collection (User Feedback Extraction)

The key component of CF is user feedback or rating. A rating value is inherited from the users’ preferences and interests (UI) toward the specific item. If two users have identical rating patterns for items they have in common, they are considered to be ‘most’ similar neighbors. In CF-based RS, two methods—implicit and explicit—are employed to gather user input or ratings. The system aims to gather data from user activity in an implicit rating gathering. These actions include how long visitors spend looking for something, how many times they click on anything, how they move the mouse, etc. Contrarily, with the collection of explicit ratings, every user offers feedback by directly rating a specific item in the range of numerical values.

#### 3.2. Similarity Calculation (Exploring Top-k Co-Related Neighbors)

The proposed methodology follows several stages while computing similarity, i.e.,

- Calculating similarity based on explicit user ratings, i.e.,  $Cor_1$ .
- Calculating similarity based on user interest in categorical attributes of items, i.e.,  $Cor_2$ .
- Combine  $Cor_1$  and  $Cor_2$  making use of the equilibrium factor. The final similarity equation becomes:

$$Cor_{user}(u, v) = \omega Cor_1(u, v) + (1 - \omega) Cor_2(u, v). \quad (1)$$

Here,  $\omega$  displays the balance factor that regulates the import of  $Cor_1$  and  $Cor_2$ .

In the proposed Correlated similarity computation,  $Cor_1$  is calculated using at least one of the traditional SeMs, whereas the proposed approach uses the below-mentioned procedures to calculate  $Cor_2$ .

### 3.2.1. Items' Categorical Attribute (ICA)

Numerous categories/attributes can be applied to an item. All parametric attributes that define a specific item are contained within the item's categorical attribute set. For instance, the category attribute set of a movie can take actors, directors, and genre into account. Table 6 depicts a collection of  $n$  items, each of which has  $k$  category attributes. The value of  $A_{x,k}$  will be considered as 1 if a particular item  $i$  exists in the  $k$ th parametric attribute else the value will be considered as 0.

**Table 6.** A binary matrix with k categorical attributes and x items.

Item	Attribute						
	<i>Att</i> <sub>1</sub>	...	...	<i>Att</i> <sub><i>j</i></sub>	...	...	<i>Att</i> <sub><i>k</i></sub>
<i>Item</i> <sub>1</sub>	<i>A</i> <sub>1,1</sub>	...	...	<i>A</i> <sub>1,<i>j</i></sub>	...	...	<i>A</i> <sub>1,<i>k</i></sub>
...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...
<i>Item</i> <sub><i>p</i></sub>	<i>A</i> <sub><i>p</i>,1</sub>	...	...	<i>A</i> <sub><i>p</i>,<i>j</i></sub>	...	...	<i>A</i> <sub><i>p</i>,<i>k</i></sub>
...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...
<i>Item</i> <sub><i>x</i></sub>	<i>A</i> <sub><i>x</i>,1</sub>	...	...	<i>A</i> <sub><i>x</i>,<i>j</i></sub>	...	...	<i>A</i> <sub><i>x</i>,<i>k</i></sub>

### 3.2.2. Findings of User's Interest on Items' Categorical Attributes

More ICA occurrences indicate that a user is more interested in this category trait. A matrix of size  $z \times k$  is represented in Table 7, where  $z$  and  $k$  identify the total number of users and ICA, respectively.

**Table 7.** User's interest on items' categorical attributes.

User	Attribute						
	<i>Att</i> <sub>1</sub>	...	...	<i>Att</i> <sub><i>j</i></sub>	...	...	<i>Att</i> <sub><i>k</i></sub>
<i>User</i> <sub>1</sub>	<i>UI</i> <sub>1,1</sub>	...	...	<i>UI</i> <sub>1,<i>j</i></sub>	...	...	<i>UI</i> <sub>1,<i>k</i></sub>
...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...
<i>User</i> <sub><i>q</i></sub>	<i>UI</i> <sub><i>q</i>,1</sub>	...	...	<i>UI</i> <sub><i>q</i>,<i>j</i></sub>	...	...	<i>UI</i> <sub><i>q</i>,<i>k</i></sub>
...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...
<i>User</i> <sub><i>z</i></sub>	<i>UI</i> <sub><i>z</i>,1</sub>	...	...	<i>UI</i> <sub><i>z</i>,<i>j</i></sub>	...	...	<i>UI</i> <sub><i>z</i>,<i>k</i></sub>

Here,  $UI_{q,k}$  defines how the  $q$ th user is dependent on  $k$ th parametric attribute of an item.  $UI_{q,k}$  is obtained by  $\frac{Nu_{q,k}}{Nu_q}$ .  $Nu_{q,k}$  signifies the total ratings count the  $k$ th parametric attribute by user  $q$ , whereas  $Nu_q$  shows the overall ratings provided by user  $q$ . The proposed approach uses Table 7 in the calculation of  $Cor_2$  value.

### 3.3. Predicting the Rating (Compute the Rating Predicted for an Item)

The prediction approach signifies a major role in attaining accuracy in the recommendation of CF-based RS. Therefore, a novel equation is also introduced to obtain the estimated rating for the desired item. The aforesaid equation becomes:

$$r_{u,i}^{\hat{}} = Cor_M(i, j) * r_{u,j} \tag{2}$$

Here,  $r_{u,i}^{\hat{}}$  represents the predicted rating of target user  $u$  on item  $i$ .  $Cor_M(i, j)$  denotes the 'most' similar item  $j$  of target item  $i$ , and  $r_{u,j}$  shows the rating of target user  $u$  on item  $j$ .

### 3.4. Recommending Top-N Item to the Target User

The final section of the conceptual framework offers top-n best items to the targeted user. Considering the expected rating of items determined by the preceding component of the framework, the system creates a list of the top-n best items and recommends these items to the targetted user. Due to the expected rating, the RS has solely relied on this created list to provide recommendations. Algorithm 1 defines the algorithmic design of the proposed approach.

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#### Algorithm 1 Recommending Top-N Items to the Target User.

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- 1: **Input:** User–Item rating dataset (UI), a list of users (U), a list of items (I), a list of items' attributes k, and the equilibrium factor  $\omega$ .
  - 2: **Output:** A list of Top-N items that are recommended to target user u.
  - 3: For  $\forall i \in I, \forall j \in k$ , compute a matrix of items' categorical attribute
  - 4: For  $\forall u \in U, \forall v \in U, u \neq v$ , calculate the similarity of users' u, vs. ( $Cor_1$ ) by one of the traditional SeMs.
  - 5: For  $\forall u \in U, \forall v \in U, u \neq v$ , calculate correlated similarity within users u and vs. ( $Cor_2$ ) by user's interest of items' categorical attributes.
  - 6: Combine  $Cor_1$  and  $Cor_2$  on a specific value of balance factor  $\omega$ .
  - 7: Apply the proposed prediction approach and calculate the predicted rating of each target item.
  - 8: Making a list of Top-N best items based on the rating predicted for each targeted user.
- 

### 3.5. Illustrative Example

The proposed approach uses the following procedures to address the shortcomings of conventional SMs.

- **Step1:** The similarity value has been calculated on users' explicit rating from Table 1. Table 8 represents the value of  $Cor_1$  calculated by traditional BC.

**Table 8.** Computed Correlated Value of the user obtained from explicit rating.

User	User <sub>1</sub>	User <sub>2</sub>	User <sub>3</sub>
User <sub>1</sub>	One	Nil	Nil
User <sub>2</sub>	Nil	One	Nil
User <sub>3</sub>	Nil	Nil	One

- **Step2:** Table 1 can be converted to Table 9 by utilizing the Sections 3.2.1 and 3.2.2. Table 9 shows the users' interest in items' categorical attributes. Furthermore, Table 10 represents the similarity value on the user's interest of items' categorical attributes ( $Cor_2$ ), i.e., computed using Table 9.

**Table 9.** User’s interest on items’ categorical attributes.

User \ Attribute	Action	Romantic	Thriller	Horror	Animated	Children
$U_1$	0.4	0.4	0.2	0	0	0
$U_2$	0	0.2	0.4	0.4	0	0
$U_3$	0	0.2	0.4	0.4	0	0

**Table 10.** User’s correlated value on items’ categorical attributes.

User	$User_1$	$User_2$	$User_3$
$User_1$	1	0.5194	0.5194
$User_2$	0.5194	1	1.1861
$User_3$	0.5194	1.1861	1

- **Step3:** The final correlated value within users is displayed in Table 11 with the balance factor  $\omega = 0.5$ .

**Table 11.** User’s similarity value.

User	$User_1$	$User_2$	$User_3$
$User_1$	1	0.2597	0.2597
$User_2$	0.2597	1	0.5931
$User_3$	0.2597	0.5931	1

With the help of the illustrative example of this section, We notice that it’s simple to determine how similar two users are using items’ categorical attributes when the ratings of co-rated items are disjoint, few, or zero. Table 12 represents the complete user-movie rating dataset, where ratings (highlighted in blue) show the predicted rating using the proposed RS.

**Table 12.** User-Movie rating dataset.

User \ Movie	$Mo_1$	$Mo_2$	$Mo_3$	$Mo_4$	$Mo_5$	$Mo_6$
$User_1$	3	3	$\approx 1$	$\approx 1.5$	$\approx 0.5$	$\approx 1.5$
$User_2$	$\approx 1$	$\approx 1$	4	$\approx 3$	2	$\approx 3$
$User_3$	$\approx 1$	$\approx 1$	$\approx 2.5$	5	$\approx 1$	5

#### 4. Comparative Analysis

We have collected the Movielens datasets for the comparisons of this study makes [58]. Table 13 shows the descriptions of these collected datasets.

**Table 13.** Descriptons of the collected datasets.

Dataset	Description	Domain	User Count	Item Count	Rating Count	Sparse (%)	Rating Range
Dataset1	MovieLens <i>ml-1m</i>	Movie	6040	3952	1,000,209	95.809	1 to 5.0 with one increments
Dataset2	MovieLens <i>ml-100k</i>	Movie	943	1682	100,000	93.695	1 to 5.0 with one increments

To represent the effectiveness of the proposed recommendation algorithm, the collected datasets are further divided into various subsets of different sparsity levels by removing



20%, 30%, and 40% given ratings [58,59]. Detailed explanations of these subsets are shown in Table 14.

**Table 14.** Details of the subsets used in the experiments.

Dataset	# Users (U)	# Items (I)	Subset	Density Index $\frac{\# R * 100}{\# U * \# I}$	$\frac{\# Ratings}{\# Users}$	$\frac{\# Ratings}{\# Items}$
Dataset1	6040	3706	ML <sub>1</sub>	3.57	132.47	215.911
			ML <sub>2</sub>	3.12	115.91	188.922
Dataset2	943	1682	ML <sub>3</sub>	5.04	84.835	47.56
			ML <sub>4</sub>	4.41	74.23	41.61
			ML <sub>5</sub>	3.78	63.62	35.67

Furthermore, These removed ratings are predicted using various CF algorithms, and This section’s comparative findings can be separated into two subsections, where  $\omega = 0.5$ , the equilibrium factor, has been taken into account.

- Comparison to justify the need for UI or ICA in SeM over traditional CF algorithms.
- Comparison to show the effectiveness of the categorical attributes of the item in a SeM and the ‘most’ similar neighbor in the prediction approach.

ICA can be utilized to determine how similar users are when there is no co-rated items exist. In the following analysis, we conduct the comparative results of traditional and proposed SM in all traditional prediction approaches, i.e., MC, WA, and ZS. In the following Table 15, BC represents the traditional SeM computed on explicit ratings, and UIBC shows the SeM computed on both ICA and explicit ratings using BC. Furthermore, other notations used in this analysis are shown in Table 15.

**Table 15.** Details of used similarity metrics and prediction approach.

CF Algorithm		Used Similarity Measure	Used Prediction Approach
Traditional	CF_TMC	Traditional (BC)	MC
	CF_TWA	Traditional (BC)	WA
	CF_TZS	Traditional (BC)	ZS
Proposed	CF_UIMC	UIBC	MC
	CF_UIWA	UIBC	WA
	CF_UIZS	UIBC	ZS

The computational equations of performance metrics, i.e., MAE, and root mean squared error (RMSE) as follows [59–61].

$$MAE = \frac{\sum_{i=1}^N |p_i - \hat{q}_i|}{N} \tag{3}$$

$$RMSE = \sqrt{\frac{\sum_{i=1}^N (p_i - \hat{q}_i)^2}{N}} \tag{4}$$

Here, the predicted and actual rating of item  $i$  are denoted by  $p_i$  and  $\hat{q}_i$  respectively, whereas,  $N$  is the total number of items that were expected.

#### 4.1. Comparison of Traditional CF Algorithms and CF Algorithm Which Includes Items’ Categorical Attributes

MAE and RMSE of proposed CF algorithm and other traditional CF algorithms are shown in Figures 2–9.

#### 4.1.1. When Top-k Nearest Neighbor Is Applied

The Figure 2 shows the comparison between traditional CF algorithms (CF\_TMC, CF\_TWA, and CF\_TZS) and CF algorithms using items' categorical attributes (CF\_UIMC, CF\_UIWA and CF\_UIZS), based on MAE value at various datasets ML<sub>1</sub> and ML<sub>2</sub>. In the graph CF algorithms using items' categorical attributes attain low prediction error than traditional CF algorithms for all considerable values of k in top-k neighbors in all traditional prediction approaches. Therefore, CF\_UIMC, CF\_UIWA, and CF\_UIZS provide more accurate recommendation results than CF\_TMC, CF\_TWA, and CF\_TZS respectively.

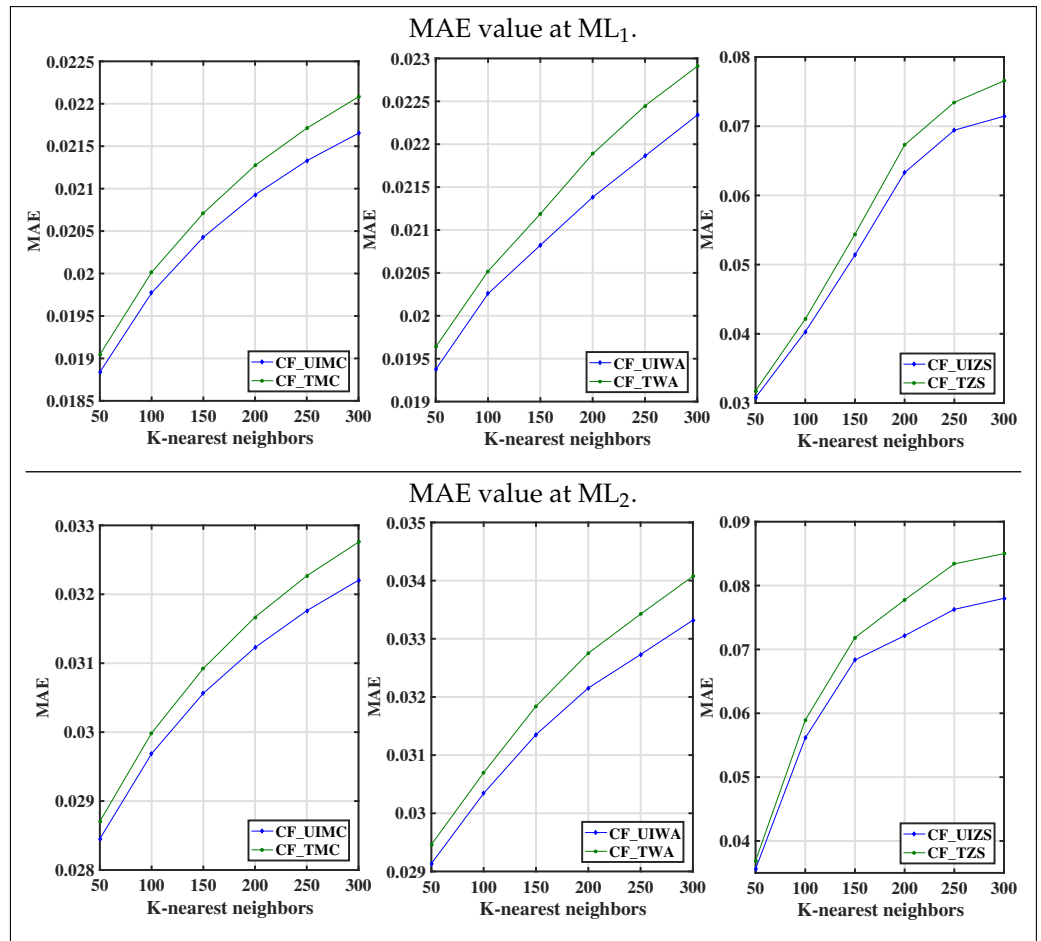


Figure 2. MAE Value at Dataset1.

The Figure 3 depicts the comparison between traditional CF algorithms and CF algorithms using items' categorical attributes, based on MAE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. We can notice that CF algorithms using items' categorical attributes provide less prediction error in all traditional prediction approaches (i.e., MC, WA, and ZS) at all considerable values of k in top-k neighbors. Therefore, CF\_UIMC, CF\_UIWA and CF\_UIZS outperform CF\_TMC, CF\_TWA and CF\_TZS respectively.

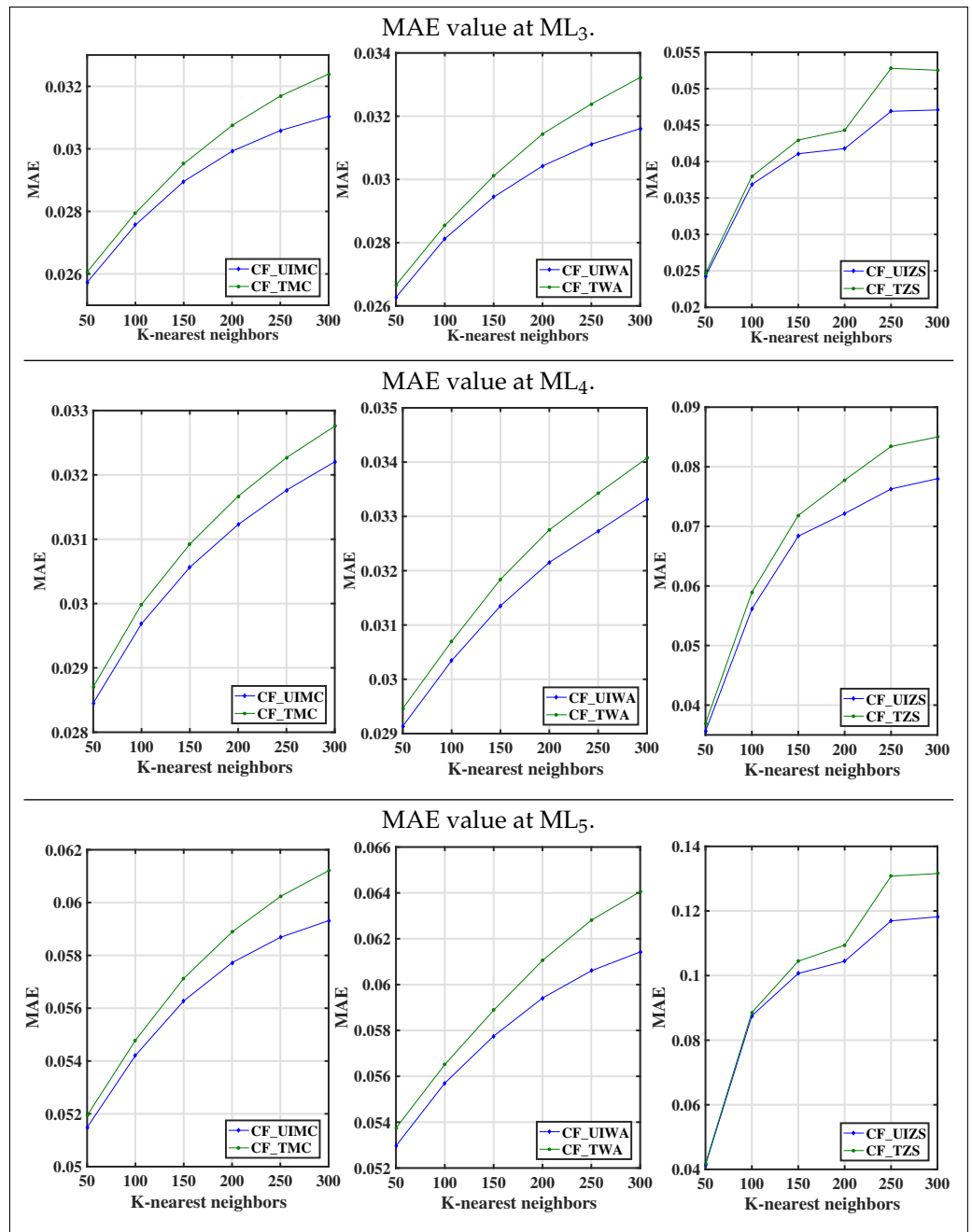


Figure 3. MAE Value at Dataset2.

Figure 4 shows the comparison between traditional CF algorithms and CF algorithms using items' categorical attributes, based on RMSE value at various datasets ML<sub>1</sub> and ML<sub>2</sub>. As shown in the above graph, at all considerable values of k in top-k neighbors, CF algorithms using items' categorical attributes have comparatively low RMSE values than traditional CF algorithms. Therefore, the comparative results of the above graph uphold the fact that CF\_UIMC, CF\_UIWA, and CF\_UIZS are better CF algorithms than CF\_TMC, CF\_TWA, and CF\_TZS respectively.

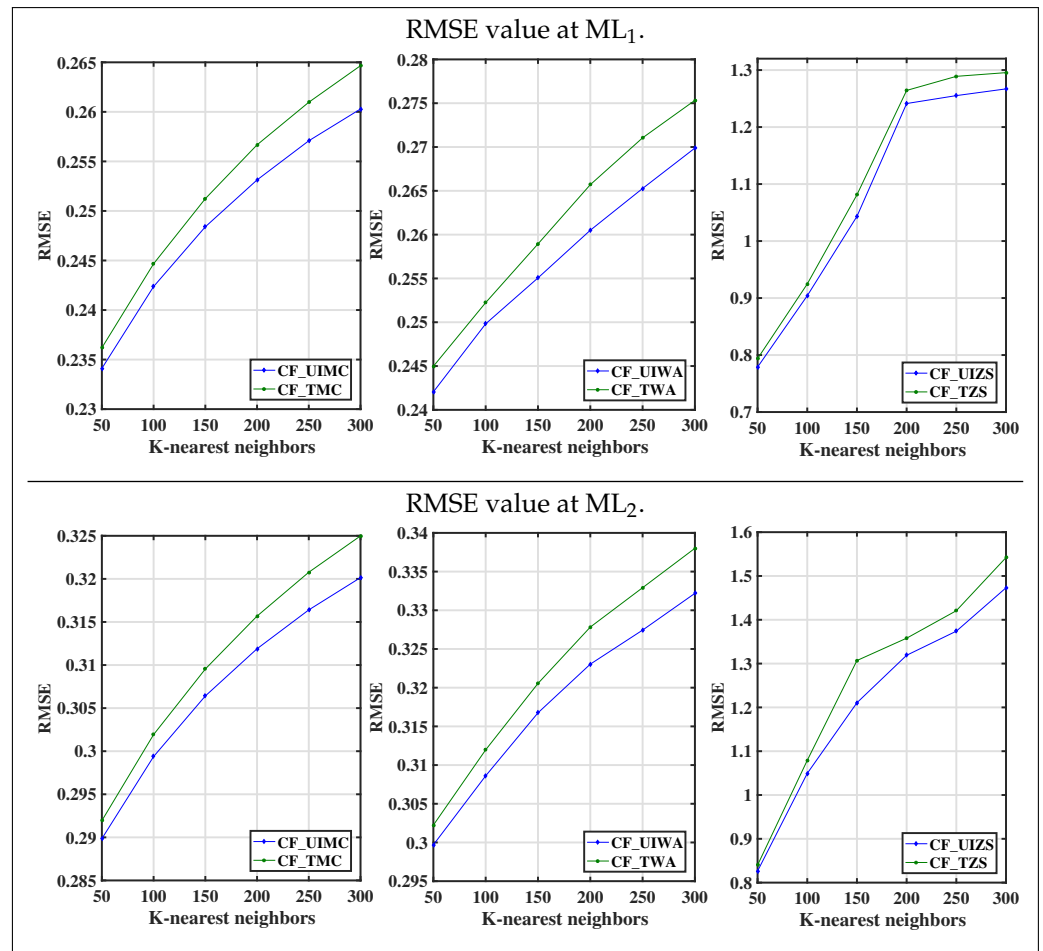


Figure 4. RMSE Value at Dataset1.

The Figure 5 represents the comparison between traditional CF algorithms and CF algorithms using items' categorical attributes, based on RMSE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. The CF algorithms using items' categorical attributes have a minimal prediction error than traditional CF algorithms for all traditional prediction approaches (i.e., MC, WA, and ZS) at all considerable values of k in top-k neighbors. Therefore, on the basis of the accuracy of recommendation, CF\_UIMC, CF\_UIWA, and CF\_UIZS are preferable algorithms to CF\_TMC, CF\_TWA, and CF\_TZS, respectively.

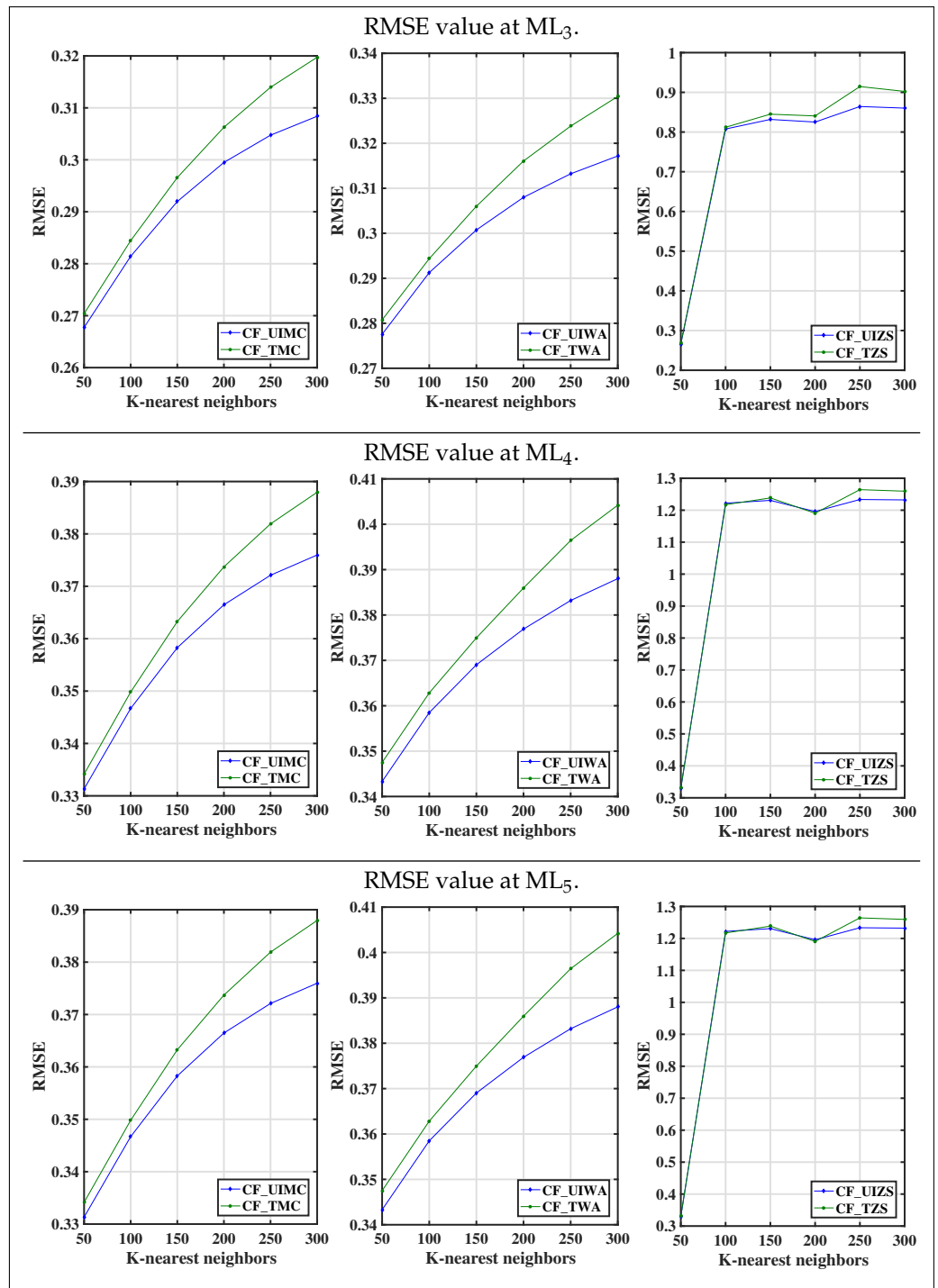
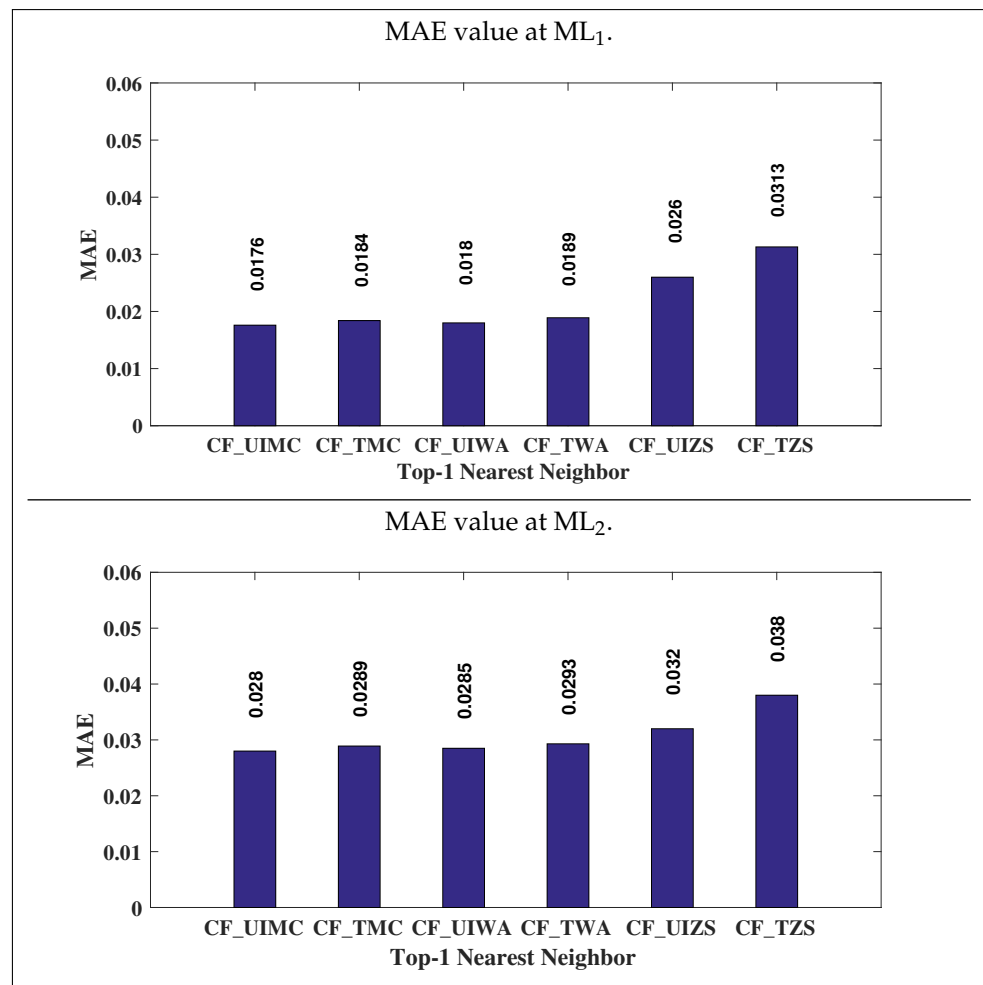


Figure 5. RMSE Value at Dataset2.

#### 4.1.2. When Top-1 Nearest Neighbor Is Used

The Figure 6 shows the comparison between traditional CF algorithms (CF\_TMC, CF\_TWA, and CF\_TZS) and CF algorithms using items' categorical attributes (CF\_UIMC, CF\_UIWA and CF\_UIZS), based on MAE value using Top-1 nearest neighbor at datasets ML<sub>1</sub> and ML<sub>2</sub>. In the graph CF algorithms using items' categorical attributes attain low prediction errors than traditional CF algorithms in all traditional prediction approaches. Therefore, CF\_UIMC, CF\_UIWA, and CF\_UIZS provide more accurate recommendation results than CF\_TMC, CF\_TWA, and CF\_TZS respectively.



**Figure 6.** MAE Value at Dataset1.

The Figure 7 depicts the comparison between traditional CF algorithms and CF algorithms using items' categorical attributes, based on MAE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. We can notice that CF algorithms using items' categorical attributes provide less prediction error in all traditional prediction approaches (i.e., MC, WA, and ZS). Therefore, CF\_UIMC, CF\_UIWA and CF\_UIZS outperform CF\_TMC, CF\_TWA and CF\_TZS respectively.

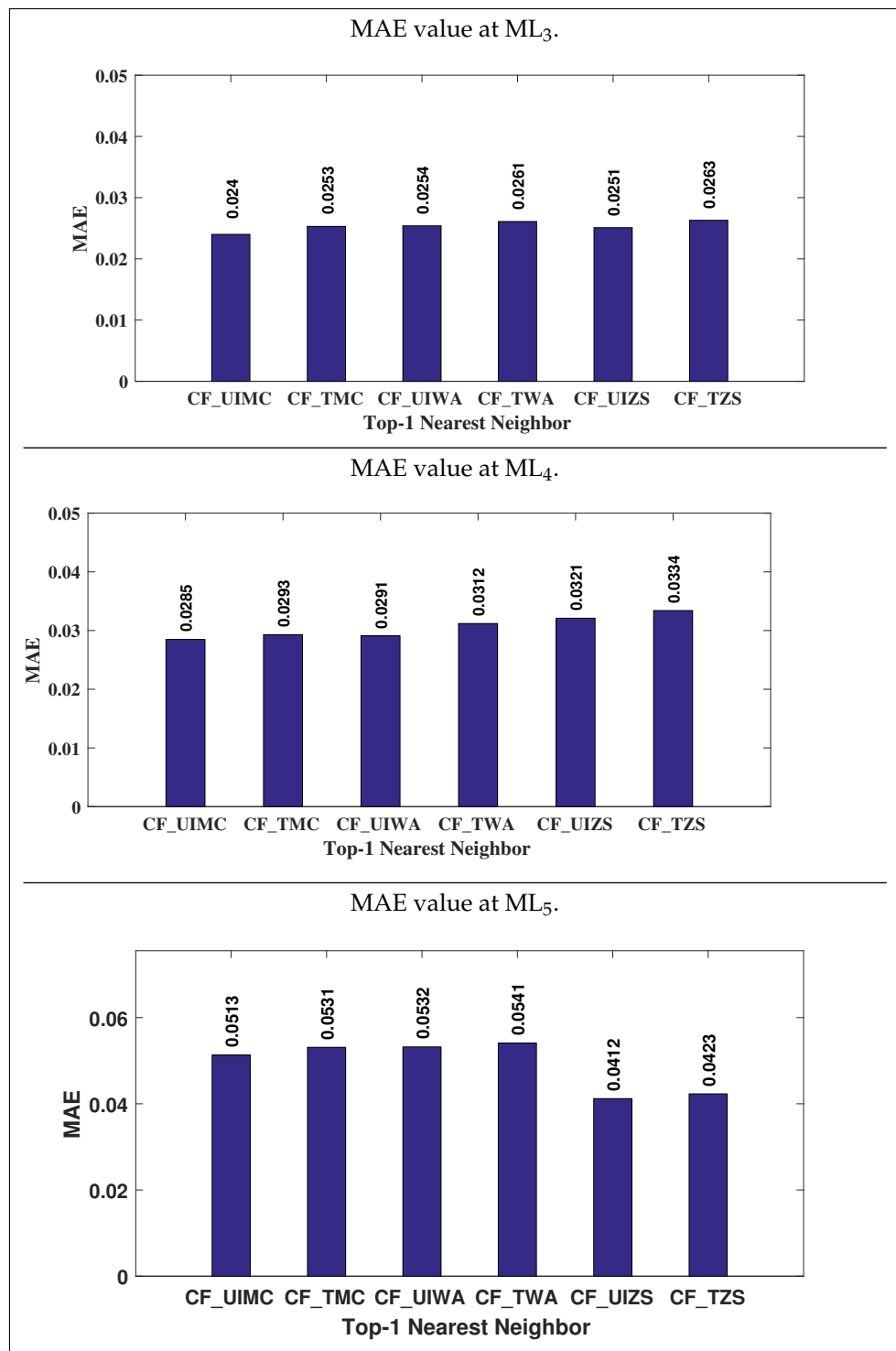


Figure 7. MAE Value at Dataset2.

The Figure 8 shows the comparison between traditional CF algorithms and CF algorithms using items' categorical attributes, based on RMSE value at Top-1 nearest neighbor. As shown in the graph, CF algorithms using items' categorical attributes have comparatively low RMSE values than traditional CF algorithms. Therefore, the comparative results of the above graph uphold the fact that CF\_UIMC, CF\_UIWA, and CF\_UIZS are better CF algorithms than CF\_TMC, CF\_TWA, and CF\_TZS respectively.

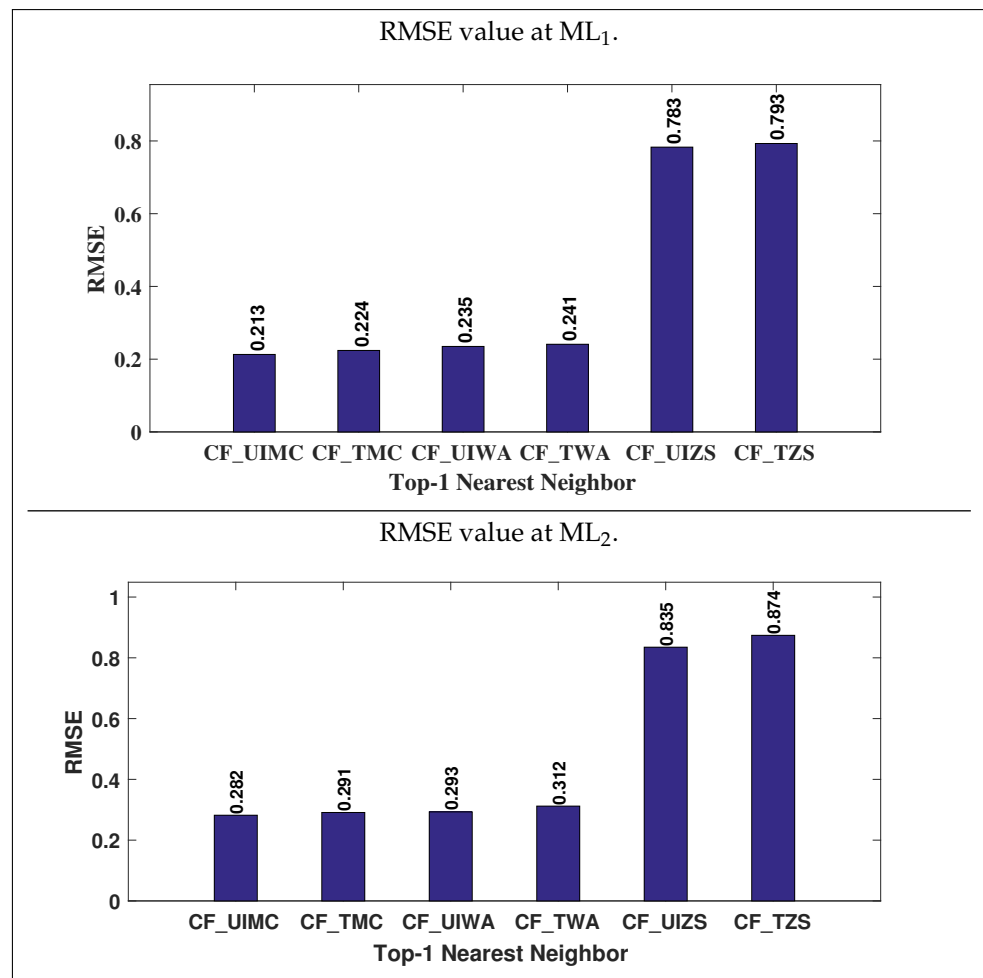


Figure 8. RMSE Value at Dataset1.

The Figure 9 represents the comparison between traditional CF algorithms and CF algorithms using items' categorical attributes, based on RMSE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. The CF algorithms using items' categorical attributes have a minimal prediction error than traditional CF algorithms for all traditional prediction approaches (i.e., MC, WA, and ZS) Therefore, on the basis of RMSE, CF\_UIMC, CF\_UIWA and CF\_UIZS are more preferable algorithms than CF\_TMC, CF\_TWA, and CF\_TZS respectively.



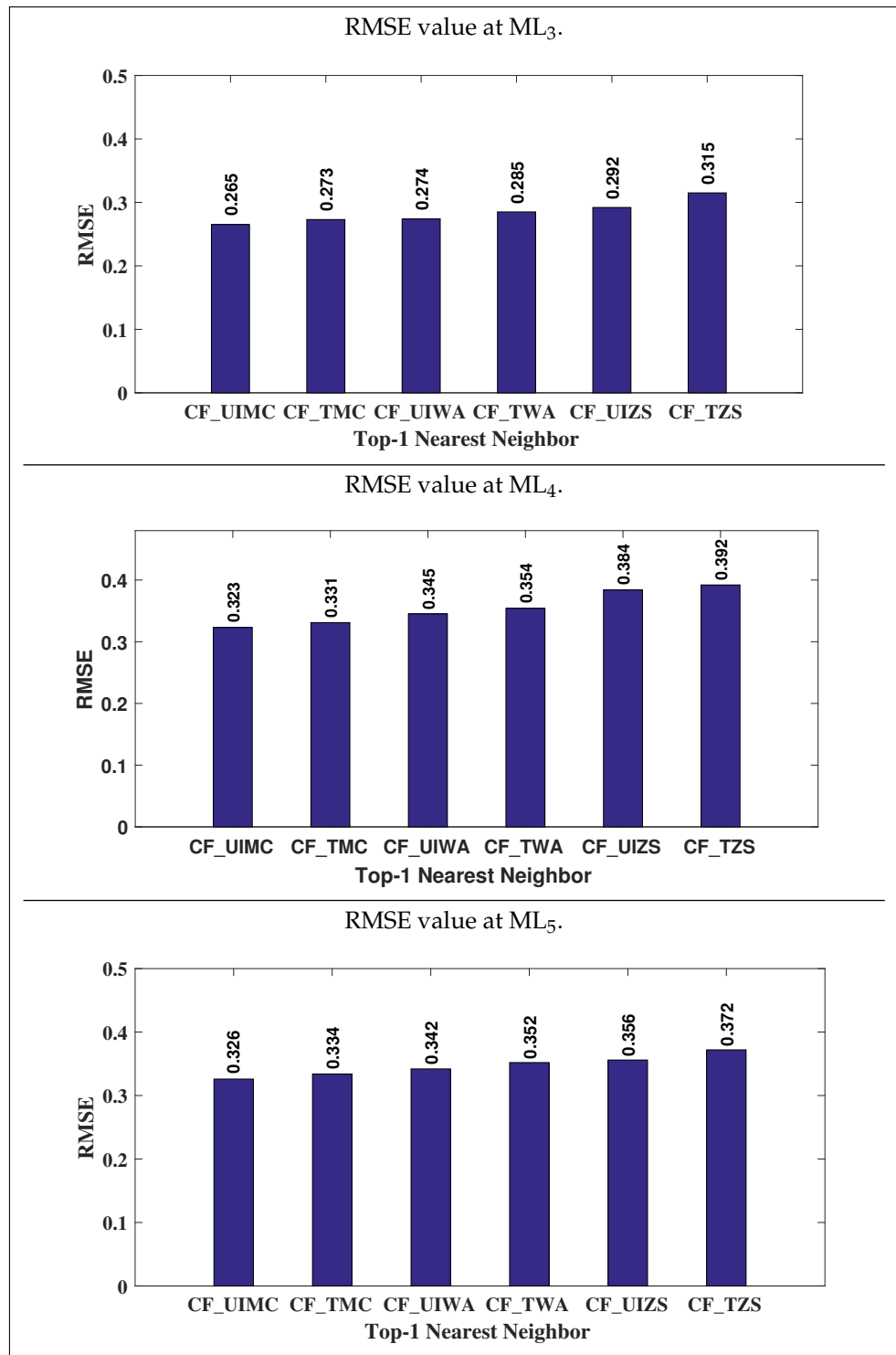


Figure 9. RMSE Value at Dataset2.

From Figure 2–9, we can easily observe that UIBC achieves comparatively lower MAE and RMSE than BC for all k values. Therefore, we can claim that SeM using items’ categorical attributes enhances the recommendation accuracy under all traditional prediction approaches.

#### 4.2. Comparison of the Proposed Recommendation Approach and CF Algorithm Using Items' Categorical Attributes

However, ICA contributes a considerable impact on the accuracy of the prediction of CF. In this section, we explain the importance of 'most' similar neighbor in a prediction approach for the sparse dataset. The following Figures 10–17 represent the comparative results of the proposed recommendation approach and CF using ICA.

##### 4.2.1. When Top-k Nearest Neighbor Is Used

The Figure 10 represents the comparison between the proposed recommendation approach (MSMPPA) and CF algorithms using items' categorical attributes (CF\_UIMC, CF\_UIWA and CF\_UISZ) based on MAE value at various datasets ML<sub>1</sub> and ML<sub>2</sub>. As shown in the above graph, the proposed recommendation approach has a comparatively low MAE value than other CF algorithms. Therefore, the comparative results of the above graph clarify that MSMPPA is better to approach than CF\_UIMC, CF\_UIWA and CF\_UISZ.

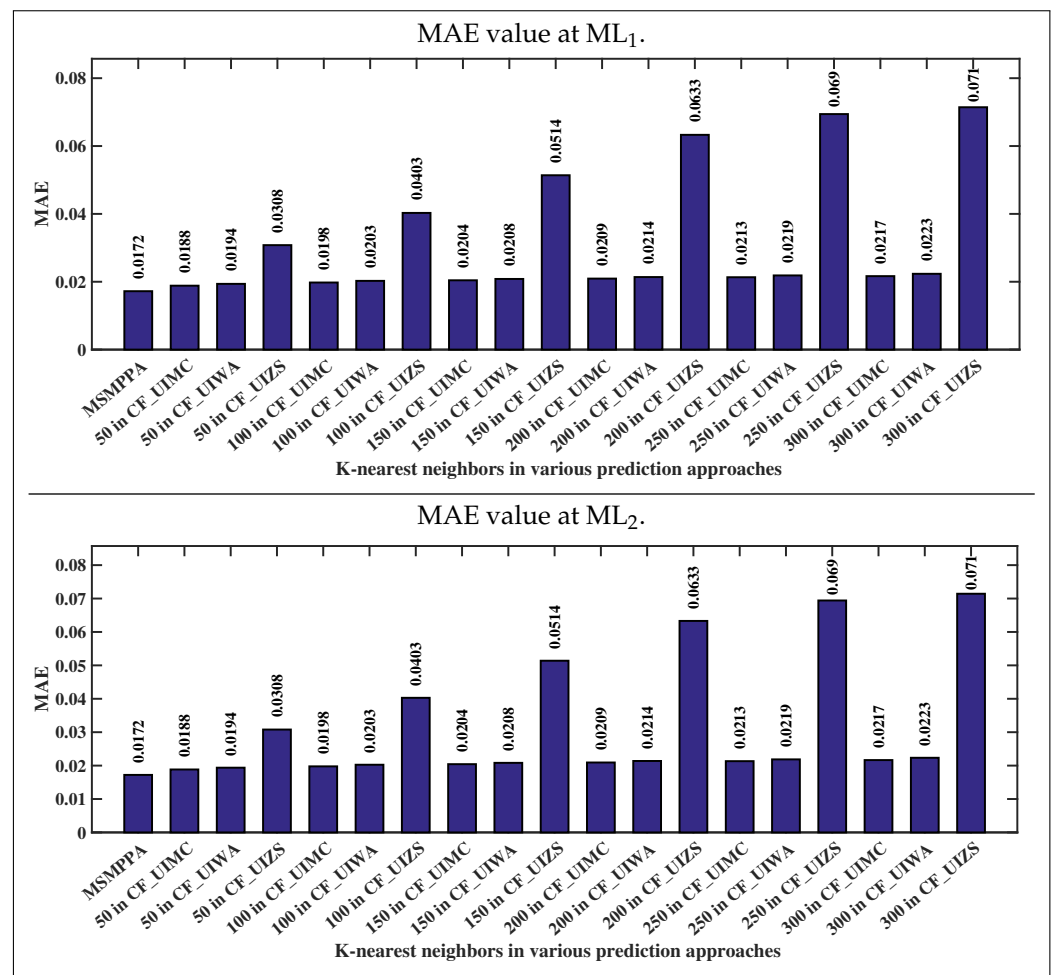


Figure 10. MAE Value at Dataset1.

The Figure 11 depicts the comparison between the proposed recommendation approach and CF algorithms using items' categorical attributes, based on MAE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. The proposed recommendation approach has a comparatively low prediction error than CF algorithms using items' categorical attributes for all traditional prediction approaches (i.e., MC, WA, and ZS). Therefore, on the basis of the accuracy of recommendation, MSMPPA is more preferable approach than CF\_UIMC, CF\_UIWA and CF\_UISZ.

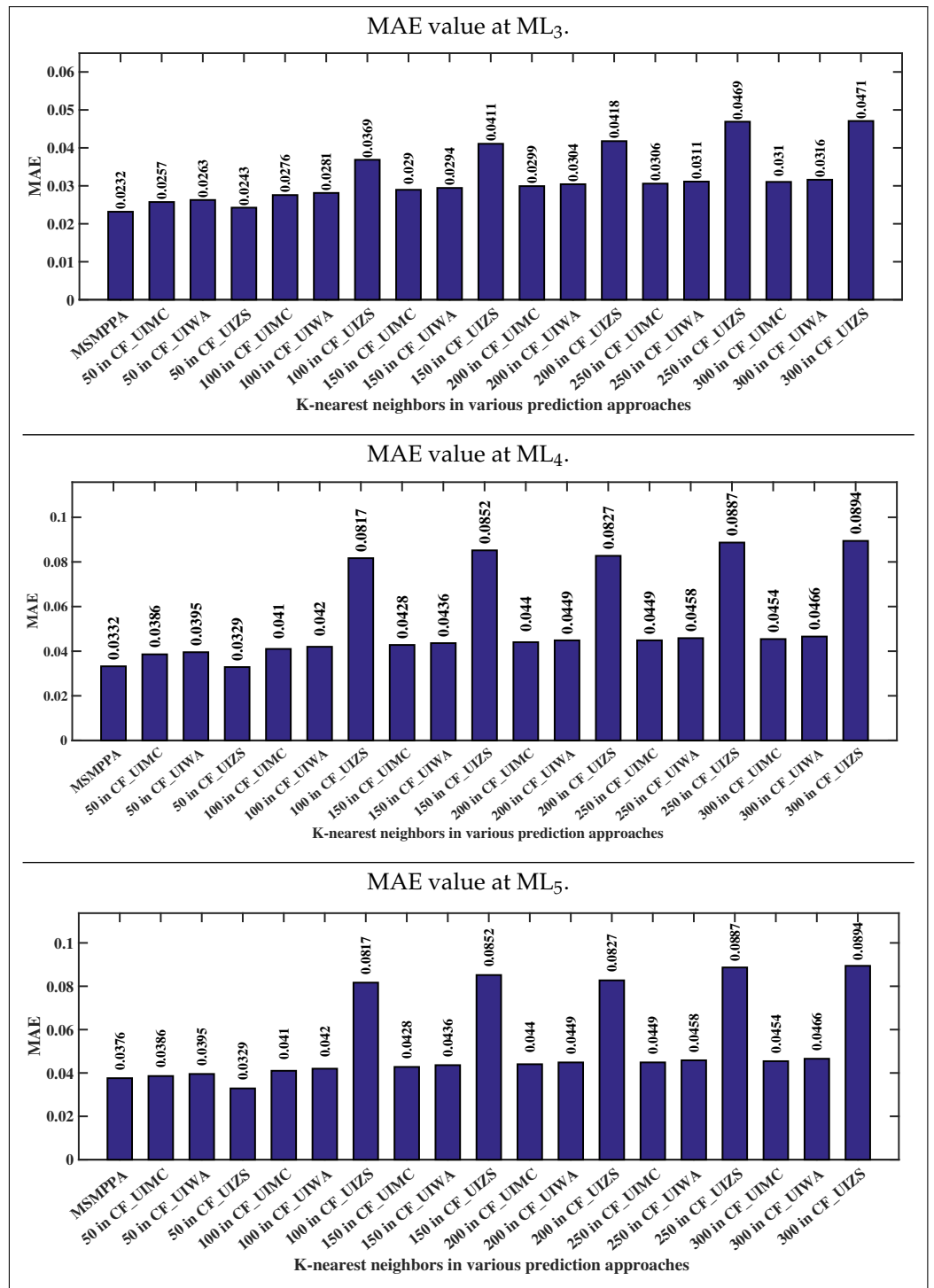


Figure 11. MAE Value at Dataset2.

Figure 12 shows the comparison between the proposed recommendation approach and CF algorithms using items’ categorical attributes based on RMSE value at various datasets ML<sub>1</sub> and ML<sub>2</sub>. In the graph, CF algorithms using items’ categorical attributes attain high prediction errors than the proposed recommendation approach. Therefore, CF\_UIMC, CF\_UIWA, and CF\_UIZS provide less accurate recommendation results than MSMPA.

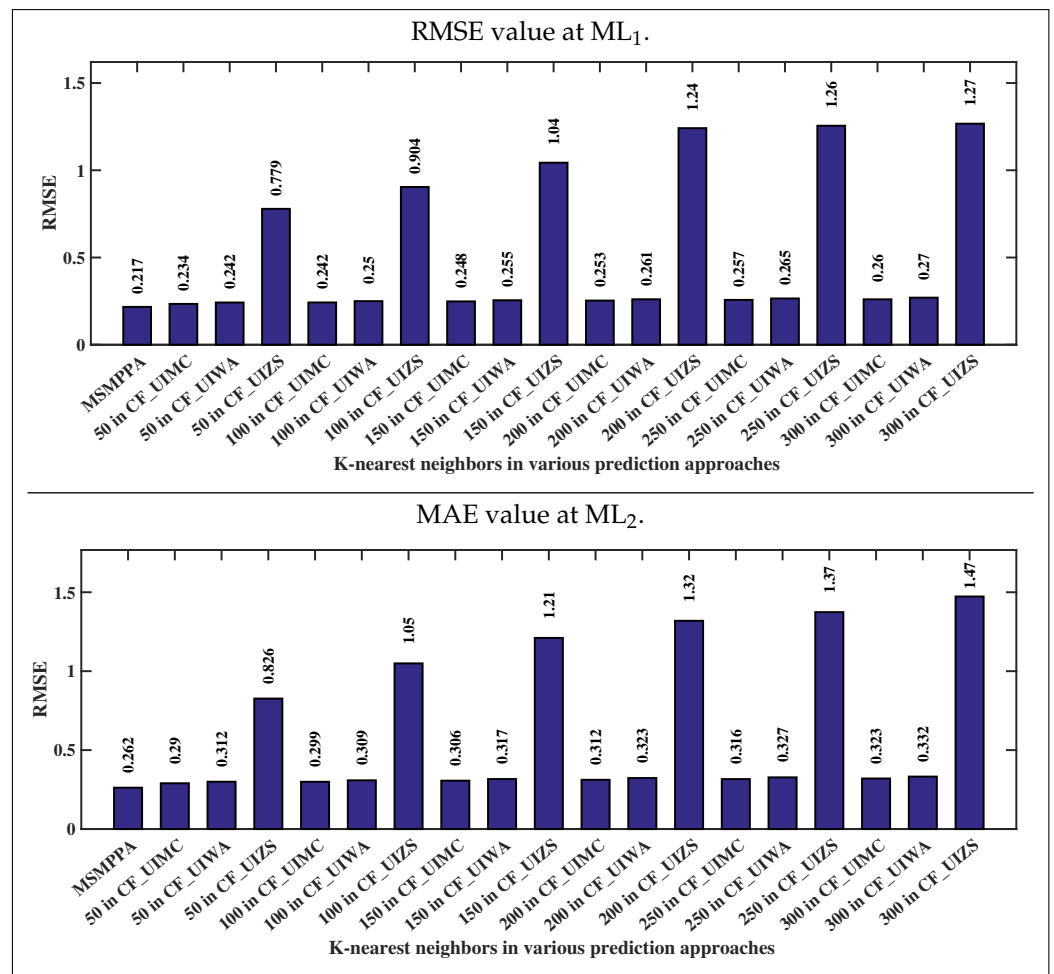


Figure 12. RMSE Value at Dataset1.

The Figure 13 depicts the comparison between the proposed prediction approach and CF algorithms using items' categorical attributes, based on RMSE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. We can notice that the proposed prediction approach provides less prediction error. Therefore, MSMPPA outperforms CF\_UIMC, CF\_UIWA, and CF\_UIZS.

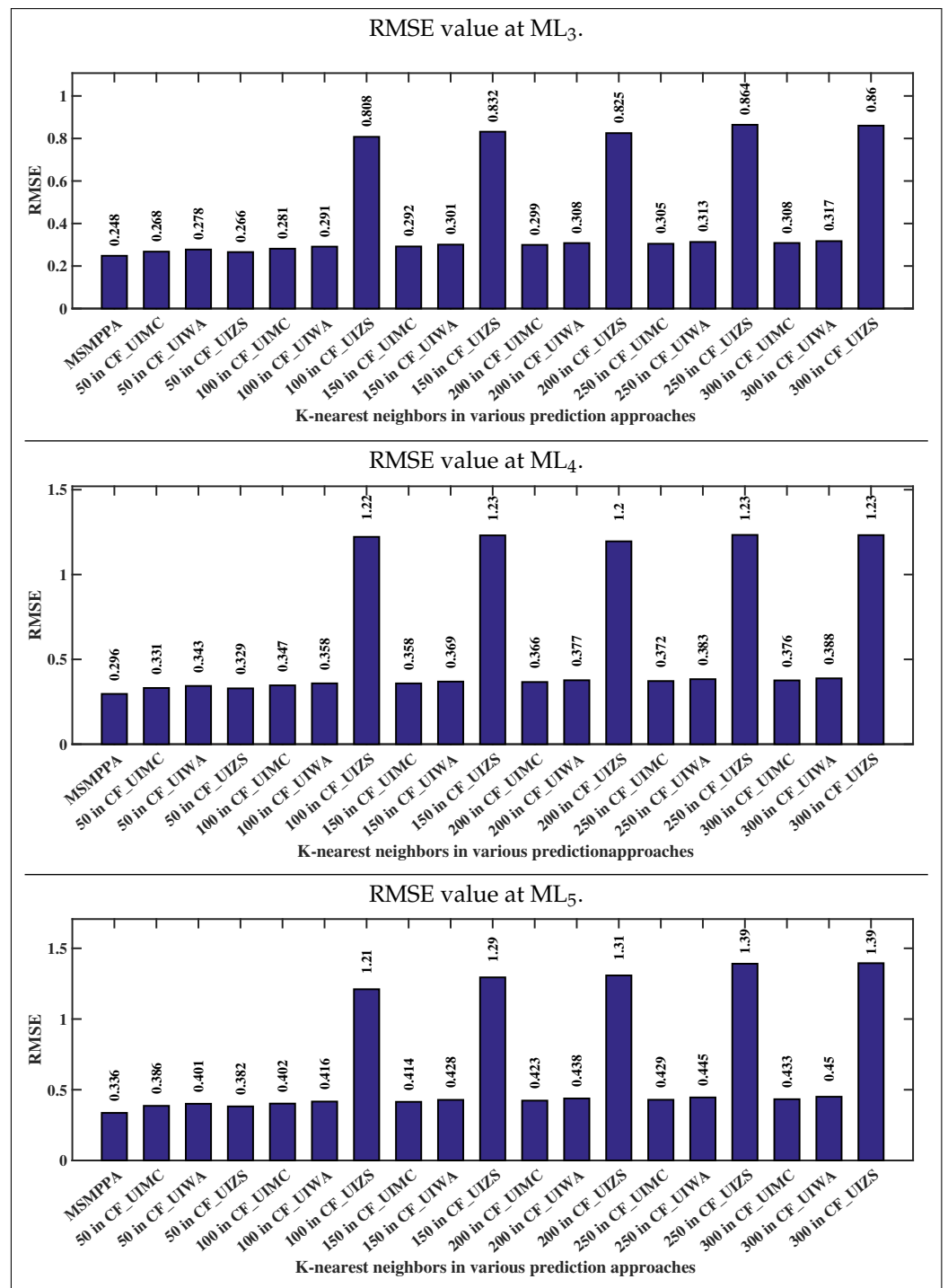


Figure 13. RMSE Value at Dataset2.

4.2.2. When Top-1 Nearest Neighbor Is Used

The Figure 14 represents the comparison between the proposed recommendation approach (MSMPPA) and CF algorithms using items' categorical attributes (CF\_UIMC, CF\_UIWA and CF\_UIZS) based on MAE value at Top-1 nearest neighbor. As shown in the graph, the proposed recommendation approach has a comparatively low MAE value than other CF algorithms. Therefore, the comparative results of the above graph clarify that MSMPPA is better to approach than CF\_UIMC, CF\_UIWA and CF\_UIZS.

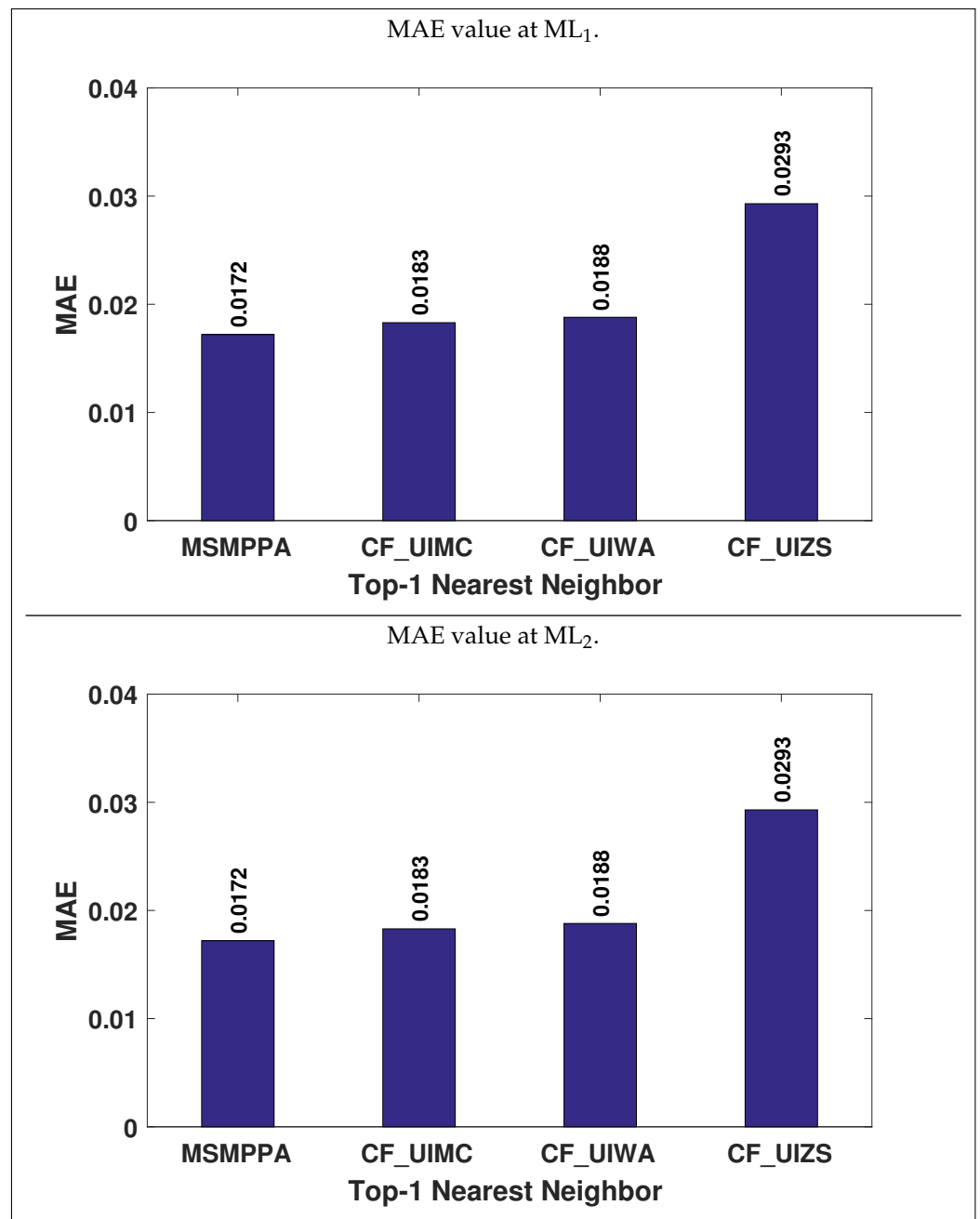


Figure 14. MAE Value at Dataset1.

The Figure 15 shows the comparison between the proposed recommendation approach and CF algorithms using items' categorical attributes, based on MAE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. The proposed recommendation approach has a comparatively low prediction error than CF algorithms using items' categorical attributes for all traditional prediction approaches (i.e., MC, WA, and ZS). Therefore, on the basis of the accuracy of recommendation, MSMPPA is more preferable approach than CF\_UIMC, CF\_UIWA, and CF\_UIZS.

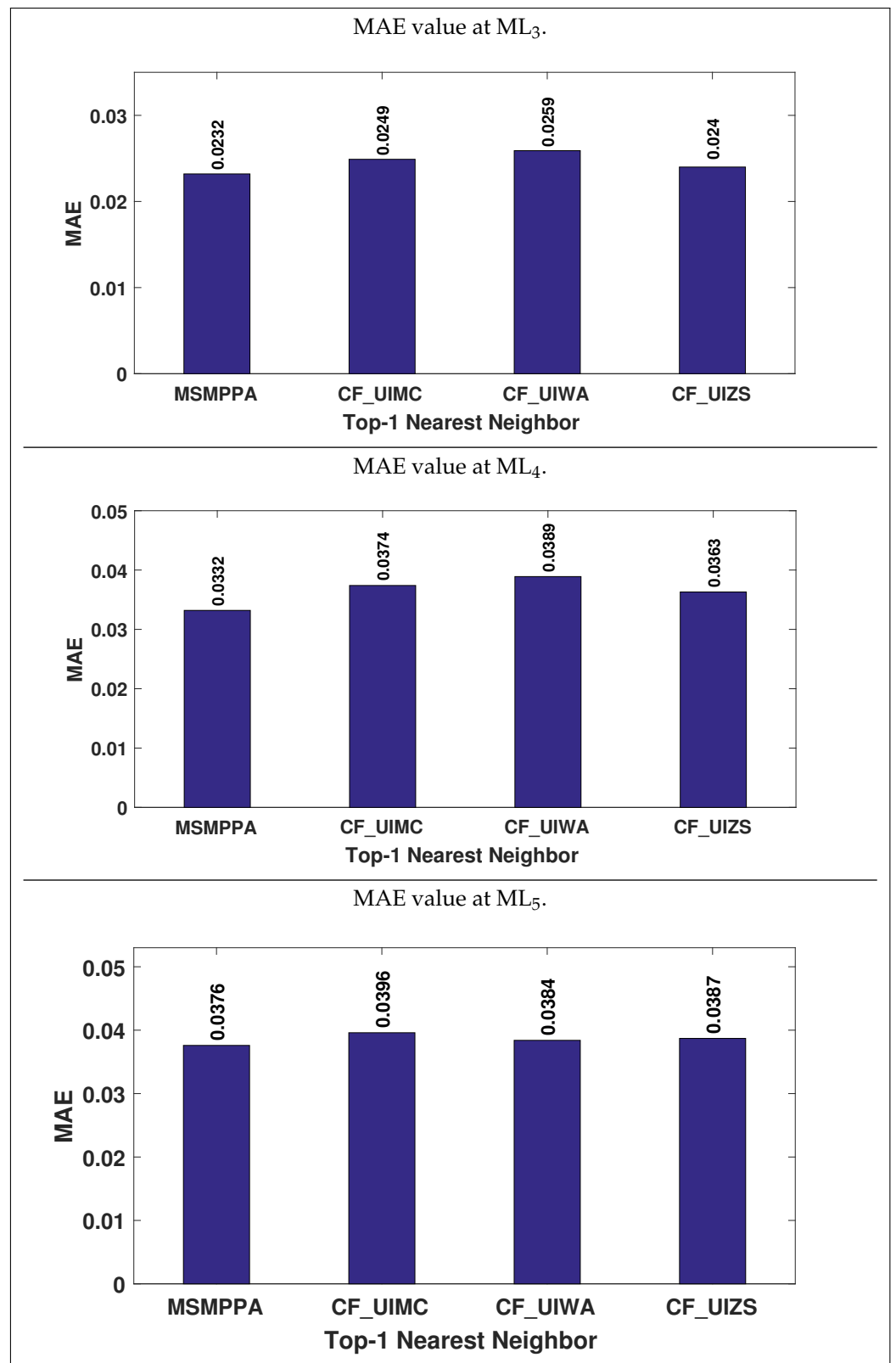


Figure 15. MAE Value at Dataset2.

The Figure 16 shows the comparison between the proposed recommendation approach and CF algorithms using items' categorical attributes, based on RMSE value at various datasets ML<sub>1</sub> and ML<sub>2</sub>. In the graph, CF algorithms using items' categorical attributes attain high prediction errors than the proposed recommendation approach. Therefore,

CF\_UIMC, CF\_UIWA, and CF\_UIZS provide less accurate recommendation results than MSMPPA.

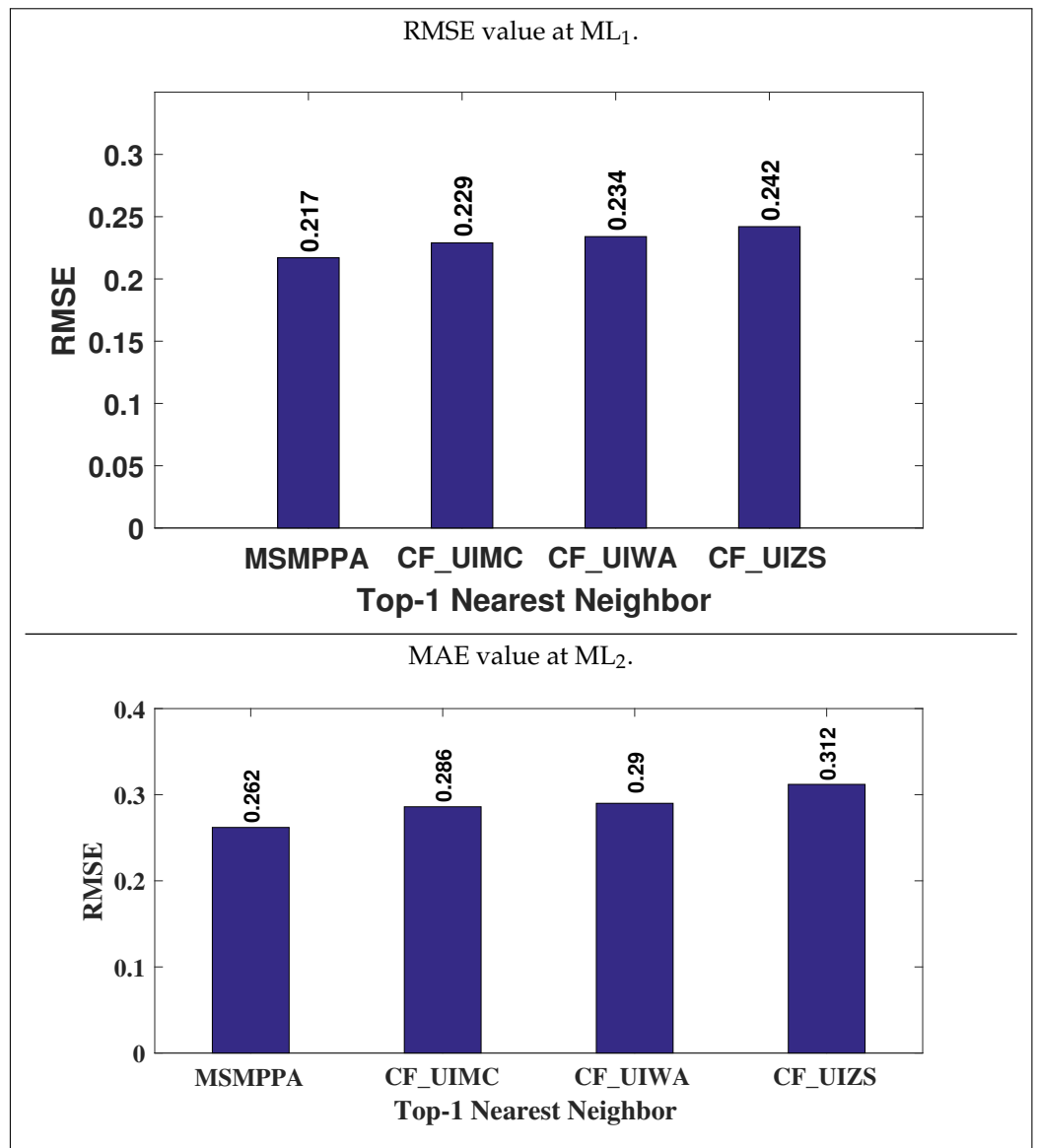


Figure 16. RMSE Value at Dataset1.

The Figure 17 depicts the comparison between the proposed prediction approach and CF algorithms using items' categorical attributes, based on RMSE value at various datasets ML<sub>3</sub>, ML<sub>4</sub> and ML<sub>5</sub>. We can notice that the proposed prediction approach provides less prediction error. Therefore, MSMPPA outperforms CF\_UIMC, CF\_UIWA and CF\_UIZS.



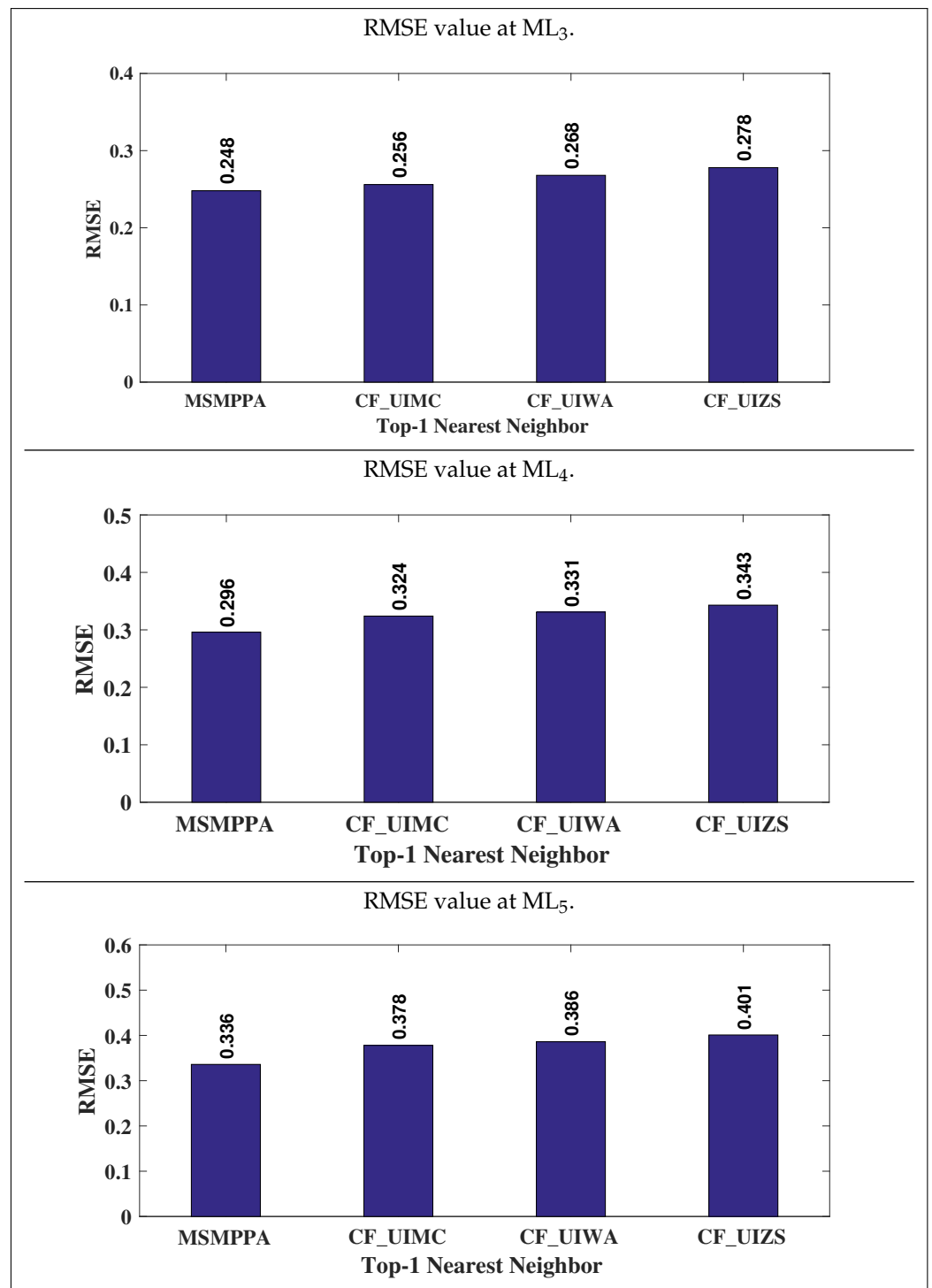


Figure 17. RMSE Value at Dataset2.

From aforementioned Figures 2–17, we can conclude that ICA in SeM and ‘most’ similar neighbor in prediction approach surpass recommendation results from the other state-of-the-art in CF. The justification of the aforementioned statement is clarified by the comparative outcomes of the stated recommendation approach.

## 5. Conclusions

The state-of-the-art modified SeM and traditional prediction approaches of CF cannot lead to decent recommendations to the active user as they cannot compute the similarity value of those two users whose ratings are non-co-rated and disjoint to each other. In this

case, the results of top-n similar neighbors of an active user become unattainable; therefore, the accuracy of CF-based RS considerably drops in a sparse dataset.

This study of the proposed recommendation approach adopts a new attribute in the similarity computation as well as considers only the ‘most’ similar neighbor in a rating prediction. As a result, the algorithm can determine how similar two users are based on their shared interests, but their ratings are non-co-rated and distinct from each other. Finding a ‘most’ similar neighbor is a comparatively easy task than the findings of top-n close neighbors in a sparse situation, Therefore, we mainly think about the most similar neighbor in a rating prediction approach to attain improved recommendation accuracy.

For the justification of the proposed recommendation approach, we conduct a number of comparisons on the collected MovieLens datasets. The comparative analysis is divided into two parts and uses the MAE and RMSE performance indicators. In the first section of the study, it is explained why categorical attributes of items must be taken into account when calculating similarity. As a result, a similarity measure using items’ categorical attributes provides considerably enhanced recommendation outcomes than other traditional CF algorithms. In the second part of the analysis, we justify that a modified prediction approach with the aforementioned similarity measure can also play a major role in enhancing the accuracy of CF. Therefore, all improved CF algorithms we get from the first part of the analysis are compared with the proposed recommendation approach. The comparative results of the above two sections show the effectiveness of the proposed recommendation over other CF algorithms. Furthermore, our study allows us to ensure the future direction of improving the recommendation accuracy of CF by modified similarity measures with an improved prediction approach. In the proposed approach, we have used the balance factor value of “0.5.” The effectiveness of ReS might be enhanced by an optimized algorithm for selecting the value of this balancing factor.

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