1 *Type of the Paper (state-of-the-art review)* 2 Multi-criteria Decision-making Methods for Sustainable 3 Decisionmaking in the Mining Industry (A Comprehen-4 sive Study) 5 Mahdi Pouresmaieli<sup>1</sup>, Mohammad Ataei<sup>2</sup>, Ali Nouri Qarahasanlou<sup>3\*</sup>, and Abbas Barabadi<sup>4</sup> 6 7 1- Ph.D. Student, Faculty of Mining, Petroleum & Geophysics Eng., Shahrood University of Technology, Shahrood, Iran 8 2- Professor, Faculty of Mining, Petroleum & Geophysics Eng., Shahrood University of Technology, Shahrood, Iran, 9 Corresponding author 10 3- Postdoc Student, Department of Engineering and Safety, University of Tromsø, N-9037 Tromsø, Norway, Tromsø, 11 Norway 12 4- Professor, Department of Engineering and Safety, University of Tromsø, N-9037 Tromsø, Norway, Tromsø, Norway 13 1415

Abstract: The mining industry operates in a complex and dynamic environment, and faces many challenges that can nega-16 tively affect sustainable development goals. To avoid these effects, mining needs to adopt strategic decisions. Therefore, it 17 requires effective decision-making processes for resource optimization, operational efficiency, and sustainability. Multicrite-18 ria decision-making methods (MCDMs) have been considered valuable decision-support tools in the mining industry. This 19 article comprehensively examines the MCDM methods and their applications in the mining industry. This article discusses 20 the basic principles and concepts of the MCDM methods including the ability to prioritize and weigh conflicting, multiple 21 criteria, and support decision-makers in evaluating diverse options. According to the results, 1579 MCDM articles in mining 22 have been published from the beginning to April 15, 2023, and a scientometric analysis was done on these articles. In another 23 part of this article, 19 MCDM methods, among the most important MCDM methods in this field, have been examined. The 24 process of doing work in 17 cases of the reviewed methods is presented visually. Overall, this paper is a valuable resource 25 for the researchers, mining industry professionals, policy-makers, and decision-makers that can lead to a deeper under-26 standing of the application of the MCDM methods in mining. By facilitating informed decision-making processes, the 27 MCDM methods can potentially increase operational efficiency, resource optimization, and sustainable development in 28 various mining sectors, ultimately contributing to mining projects' long-term success and sustainability. 29

Keywords: Multicriteria decision-making, Sustainable development, Mining industry; Scientometric analysis, MCDM.

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# 1. Introduction

The mining industry is one of the most important sectors for a nation's economic development and growth [1, 33 2]. The industry is critical in providing essential raw materials and resources for various industries such as 34 construction, manufacturing, and energy. However, the mining industry faces several challenges including en-35 vironmental concerns, resource depletion, social responsibility, and fluctuating commodity prices [3]. 36 All the written challenges can be attributed to one of the sustainable development indicators. In general, sus-37 tainable development is a process during which the people of a country meet their needs and improve their 38 living standards without consuming resources belonging to future generations and wasting future capital to 39

meet immediate needs. Therefore, development is called sustainable when it is not destructive and provides 40 the possibility of preserving resources (including water, soil, air, etc.) for the future [4-6]. At the core of sustain-41 able development lies the fundamental principle of preserving our natural resources, ensuring that future gen-42 erations can meet their needs and thrive to at least the same extent as the present generation. Sustainable de-43 velopment sets its primary objective as fulfilling basic human needs, elevating living standards universally, 44 stewarding and enhancing ecosystems, and forging a path toward a secure and prosperous future. The term 45 "sustainable" paints a vision of a world where the harmonious coexistence of humans and nature persists. This 46 coexistence hinges on considering present needs alongside the rights of future generations, all while safeguard-47 ing the environment from profound and irreversible harm. Sustainable development entails crafting socio-eco-48 nomic solutions that preempt challenges such as unchecked population growth, poverty, resource and environ-49 mental depletion, disruptions to Earth's delicate ecosystems, and the subsequent fallout from environmental 50 degradation. Pursuing economic and social objectives ensures the enduring preservation of resources, safe-51 guarding the environment, and promoting human health and well-being [7, 8]. Consequently, numerous chal-52 lenges encountered in diverse spheres of human life, most notably in industries such as mining, are intimately 53 entwined with the principles and imperatives of sustainable development [5]. 54

To address these challenges, mining companies must simultaneously make complex decisions considering mul-55tiple criteria or attributes. Various decision methods in the mining context involve exploring the approaches56and techniques used to make critical decisions within the mining industry [9].57Various types of decision methods commonly used in mining include:58

- Multi-Criteria Decision Making (MCDM): Consider multiple factors such as environmental, social, and economic aspects to make complex decisions.
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- Cost-Benefit Analysis (CBA): Evaluate the economic feasibility of mining projects by comparing costs and benefits.
- Simulation modeling: Use computer simulations to model mining scenarios and assess outcomes.
- Geostatistics: Incorporate spatial data and statistical techniques to estimate mineral reserves.
- Risk assessment: Analyze the risks associated with mining operations and develop risk mitigation strategies.
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- Machine learning and artificial intelligence: Utilize advanced algorithms to optimize mining processes and predict outcomes.
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The advantages and disadvantages of types of decision methods are shown in Table 1 [10].

	Advantages of types of decision methods		Disadvantage of types of decision methods
*	It improved decision quality and accuracy.	*	Data and information limitations.
*	Enhanced resource allocation and project	*	Complexity and resource-intensive nature.
	planning.	*	Potential for biases in decision-making.
*	Better risk management and reduced	*	Difficulty in quantifying certain factors (e.g.
	uncertainty.		environmental and social impacts).
*	It has increased efficiency and cost-	*	Technological and expertise requirements.
	effectiveness.		
*	Compliance with regulatory and		
	environmental standards.		

### Table 1. Advantages and disadvantages of types of decision methods.

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The decision-making process in the mining industry involves a wide range of variables including geological, 74 technical, economic, social, and environmental factors [11]. The complexity of these variables makes the decision-making process in the mining industry challenging and time-consuming. To simplify the decision-making 76 process, Multicriteria Decision Making (MCDM) and Multi-Attribute Decision Making (MADM) techniques are used [12]. MCDM techniques are used to rank alternatives based on multiple criteria, while MADM techniques 78 are used to choose the best alternative based on a set of attributes. MCDM techniques in the mining industry 79

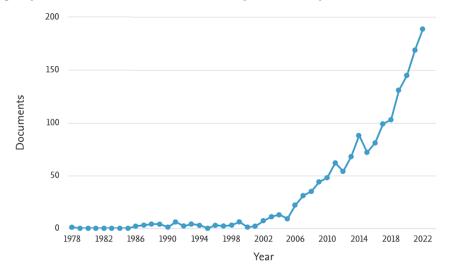
have become increasingly popular in the recent years. These techniques provide a systematic approach to deci-80 sion-making that enables mining companies to make informed decisions based on multiple criteria or attributes 81 [13]. Using MCDM techniques, mining companies can identify the most critical criteria or attributes and assign 82 weights based on their relative importance. This approach enables companies to evaluate and compare various 83 alternatives based on their performance against multiple criteria, leading to a better understanding of each 84 alternative's trade-offs and potential risks. There are various applications of MCDM techniques in the mining 85 industry including selecting the best location for a mine, selecting the optimal extraction method, and deter-86 mining the most cost-effective way to manage waste [14, 15]. The benefits of using MCDM techniques in the 87 mining industry are numerous. These techniques allow mining companies to make more objective, consistent, 88 and transparent decisions. In addition to the benefits mentioned above, using MCDM techniques can contribute 89 to more sustainable and responsible mining practices. Mining companies can use these techniques to evaluate 90 the environmental impact of different mining practices, consider social and economic factors, and identify op-91 portunities to reduce waste and improve resource efficiency [16]. Finally, using MCDM techniques in the min-92 ing industry can improve decision-making processes and contribute to more sustainable and responsible min-93 ing practices. The mining industry faces many challenges, and using these techniques provides a systematic 94 approach to decision-making that enables mining companies to make informed decisions based on multiple 95 criteria or attributes [11, 12]. 96

Decision methods in mining should provide a balanced view of their utility, acknowledging their strengths and 97 weaknesses, while emphasizing the importance of informed decision-making in the mining industry [17, 18]. 98 Thus one of the most important decision types is MCDM. Because of that, in this paper, all articles published 99 in the field of MCDM and mining have been analyzed from the beginning to April 15, 2023, and then the most 100 important MCDM methods were reviewed in summary form. Finally, the discussion and results of this article 101 are presented. 102

### 2. Scientometrics Analysis of MCDM and Mining Articles

Scopus has meticulously compiled a comprehensive database encompassing all articles published at the intersection of Multicriteria Decision-Making (MCDM) and the mining domain. Our analysis reveals that from 1977 to April 15, 2023, 1,579 articles have been published, collectively employing MCDM methodologies within the mining context. Leveraging the Scopus platform with the VOS viewer software, we have successfully extracted valuable insights and data using MCDM techniques in this domain.

The evolving landscape of scholarly publications related to applying Multicriteria Decision-Making (MCDM) 109 methods in mining reveals a noteworthy pattern. Until the year 2019, the utilization of these methods exhibited 110 a relatively stable trajectory, occasionally experiencing fluctuations. However, in the wake of technological advancements and the synergistic integration of hybrid MCDM approaches, a substantial resurgence has occurred 112 since 2019. This resurgence has rekindled significant interest among researchers, marking a distinct and vigorous revival in adopting MCDM methods within the mining domain (Figure 1). 114



**Figure 1.** The trend of published MCDM articles in mining from the beginning to 2022 (time of receiving information: April 15, 2023).

An analysis of the 1,579 extractive articles under scrutiny has unveiled a notable trend in utilizing Multicriteria 118 Decision-Making (MCDM) methods within the mining domain. Specifically, Chinese and Iranian researchers 119 have emerged as active contributors to this field, surpassing their counterparts from other nations' research 120 output. Additionally, the cooperative endeavors between Chinese and Iranian researchers have been more extensive than collaborations involving researchers from different countries, as depicted in Figures 2 and 3.

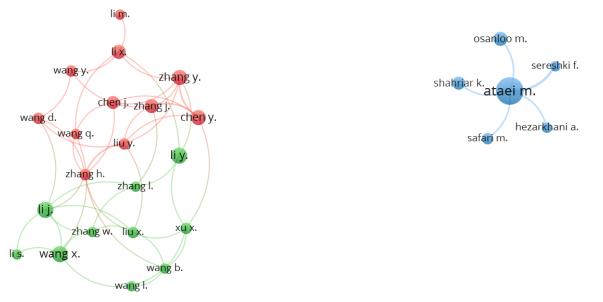
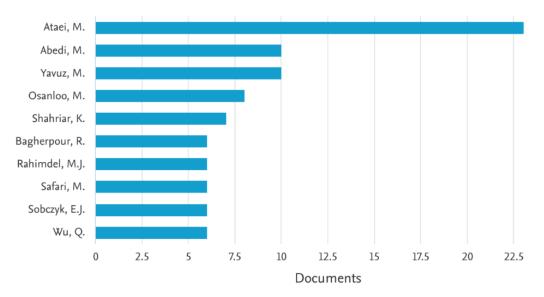


Figure 2. The authors were working on writing a paper on using MCDM. Circle size indicates the number of articles pre-124sented in the mentioned field by the researchers, and the link between the data indicates the frequency of collaboration125between two researchers in writing MCDM articles in mining (limitation of this data: having at least five articles in the126mentioned field and ten references to the articles of these researchers in the field of MCDM in mining).127



**Figure 3.** Researchers with the most published articles in the field of MCDM and mining from the beginning of 2023 (data received April 15, 2023).

Examining the global landscape concerning adopting Multicriteria Decision-Making (MCDM) methods within the mining domain highlights Iran and China as frontrunners in this field, a finding substantiated by Figures 4

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to 5. However, a noteworthy shift has emerged in the recent years. This shift can be attributed to a change in 133 the research focus of scholars in these leading countries. 134

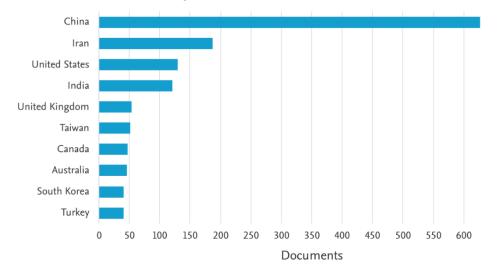


Figure 4. The leading countries in publishing articles in the field of MCDM and mining from the beginning to 2023 (time of 136 receiving information April 15, 2023). 137

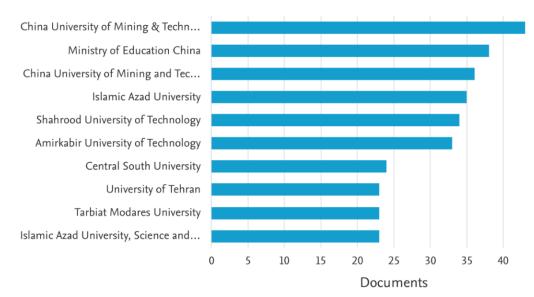


Figure 5. The most active academic institutions in publishing articles in the field of MCDM and mining from the beginning 139 to 2023 (data received April 15, 2023). 140

Figure 6 examines various MCDM techniques extensively applied within the mining domain. A comprehensive 141 data analysis derived from Scopus (as detailed in Table 2) underscores the widespread adoption of these tech-142 niques across various facets of the mining sector. Researchers have employed these methodologies to publish 143 many articles spanning different mining disciplines. 144

Figure 6 visually represents the prevalent keywords employed in these articles, shedding light on the specific 145 terminologies and concepts frequently explored within the context of MCDM in mining research. 146

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		humans	
	india	human	
	sustainability artic	le methodolog	
	mini	ng industry	
	sensitivity analysis	dematel	health risks
artificial intelligence			ssmentafetylen biovendon
decision supp	ort systel <mark>decision theory</mark> ata mining fuzzy se		SSITIENterety
climate change		al industryrisk man	agementsk analysis accidents
chinace change	groundwater minin	gronmental impac	
		chin	
mcdm de	cision making		coal mine
multiple criteria decision mak	cision making analytical hiera	archy process	control of the archy pro- coal mine safety
mining method select			coal
mining method select	raphic information system	р	
ore depo			hy processing coal industry
multicriteria decision-mak		hical systems	
irai	topsis mineral reso		comprehensive evaluation
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ore deponap	oing mine reclamati ral exploration	on entropy	evaluation much
mine			ology
copper		and reclamation	coal mining area
			coar mining area

Figure 6. The important keywords used by researchers in the mining field are related to MCDM.

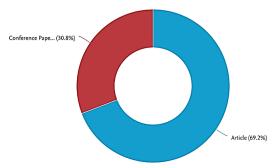
**Table 2.** Number of words used as keywords in articles from the beginning to April 15, 2023 (Limitation: at least 20 repeti-149tions).150

Keyword	Occurrences	Keyword	Occurrences
Multicriteria decision-making	31	Decision-making	163
Coal mine	30	Hierarchical systems	130
Minerals	29	Analytic hierarchy process	118
MCDM	28	Mining	100
Mineral resources	28	Coal mines	90
Planning	28	Analytical hierarchy process	88
Coal deposits	27	AHP	79
Coal industry	27	Coal	72
Open-pit mining	27	Analytic hierarchy process (AHP)	68
Geographic information systems	25	Sustainable development	66
Environmental protection	24	Risk assessment	57
Fuzzy AHP	23	China	44
Mining industry	23	Multicriteria analysis	40
Groundwater	21	Data mining	38
Multicriteria decision-making	21	GIS	37
Economics	20	Coal mining	35
Remote sensing	20	Fuzzy mathematics	35
Sustainability	20	TOPSIS	35
Environmental impact	20	Iran	33

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Figure 7 provides a comprehensive breakdown of the data sources in the context of using MCDM methods in mining. Notably, it reveals that a substantial majority, amounting to 69.2 percent, of the reviewed data is disseminated through articles, while conference papers constitute the remaining 30.8 percent. 154



**Figure 7.** Distribution of the type of documents published in the field of MCDM and mining from the beginning to 2023 (data received April 15, 2023).

To put it briefly, our comprehensive analysis of the intersection of Multicriteria Decision-Making (MCDM)158methods and the mining domain, facilitated by Scopus and the VOS viewer software, has yielded valuable159insights into the evolving research landscape in this field.160

Over the years, we have witnessed a notable trajectory in the publication of MCDM-related articles in mining,161with a stable trend until 2019. Subsequently, a resurgence in interest and research activity has been observed,162driven by technological advancements and the integration of hybrid MCDM methodologies.163

Chinese and Iranian researchers have emerged as prolific contributors, spearheading this domain with remarkable research output and collaboration. However, a noteworthy shift has occurred in recent years, with developing nations such as Saudi Arabia, the UAE, Nigeria, and Nepal actively engaging in MCDM research, further enriching the global research landscape.

We have observed diverse applications of MCDM techniques across various facets of the mining sector, emphasizing their versatility and utility. Additionally, our analysis of keywords employed in research articles has shed light on the prevalent terminologies and concepts central to MCDM in mining research. 169 170

Citation patterns have provided insights into research articles' evolving impact and interconnectivity, while cocitation analysis has illuminated the shared knowledge base and collaborative networks within the field.

Lastly, the distribution of document types has revealed that articles dominate the dissemination of research 173 findings, constituting 69.2 percent of the reviewed data, while conference papers account for the remaining 30.8 174 percent. This comprehensive analysis is a valuable resource for researchers, policy-makers, and industry professionals, offering a deep understanding of the state of MCDM research within the mining domain. It also 176 highlights the dynamic nature of this field, underlining the critical role of collaboration, technological advancements, and emerging research trends in shaping its future trajectory. 178

# 3. MCDM

MCDM is a vital approach in decision analysis to tackle choices involving multiple, often conflicting, criteria. 180 In various real-world scenarios, a single factor cannot adequately capture decisions. Instead, they depend on 181 several dimensions: cost, benefit, risk, time, and sustainability. MCDM provides a methodical framework to 182 handle these complexities, aiding decision-makers in systematically evaluating alternatives and arriving at 183 well-informed choices. By considering a range of criteria and their relative importance, MCDM helps ensure 184 decisions align closely with the objectives and preferences of the decision-makers. 185

According to the analysis of the published articles that used MCDM in mining areas, 19 of the most important techniques used MCDM have been reviewed in this section.

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The AHP is a structured approach to decision-making that facilitates the resolution of complex decisions by 189 breaking them down into more manageable components. This methodology is highly effective because it ena-190 bles decision-makers to consider qualitative and quantitative factors systematically. One of the key strengths of 191 AHP is its ability to incorporate the preferences and viewpoints of multiple stakeholders in the decision-making 192 process. However, it should be noted that the proper implementation of AHP demands considerable effort and 193 expertise, especially in accurately defining the decision problem and constructing precise pairwise comparison 194 matrices [19-22]. The AHP process is shown in Figure 8. 195

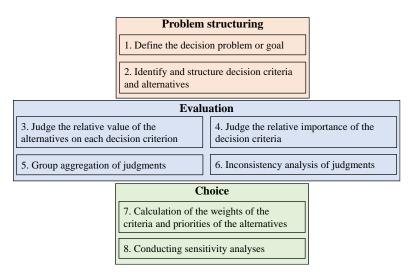


Figure 8. Steps of the AHP method.

### 3.2. Analytic Network Process (ANP)

The ANP is an extension of the AHP that allows decision-makers to model and analyze complex decision prob-199 lems that involve interdependent criteria and alternatives. ANP is particularly useful when the decision prob-200 lem involves feedback loops, interdependence, and mutual influences between criteria and alternatives. It ena-201 bles decision-makers to evaluate the relative importance of criteria and their interactions. This is achieved by 202 representing the decision problem as a network of clusters and elements, with clusters representing criteria and 203 elements representing alternatives [11, 23]. The ANP process is shown in Figure 9. ANP allows decision-makers 204 to model the interactions between criteria and alternatives more sophisticatedly than AHP. However, it can be 205 more complex and time-consuming to implement than AHP, requiring more expertise and data input [13, 16, 206 24].

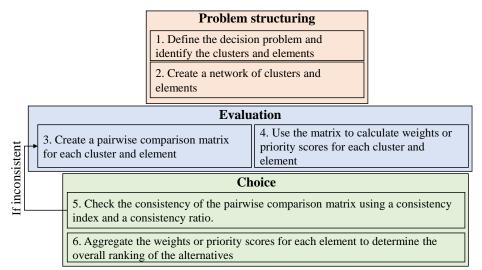


Figure 9. Steps of the ANP method.

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# 3.3. Best Worst Method (BWM)

The BWM is a decision-making technique that helps decision-makers identify the most important and least 212 important criteria or alternatives in a given decision problem. The BWM is a simple and intuitive method for 213 identifying the most important and least important criteria or alternatives in a given decision problem. It allows 214 decision-makers to focus on the most critical factors and to make informed decisions based on their relative 215 importance. However, it does not consider the interactions between criteria or alternatives, which may be im-216 portant in some decision problems [25, 26]. The BWM process is shown in Figure 10 [27, 28]. 217

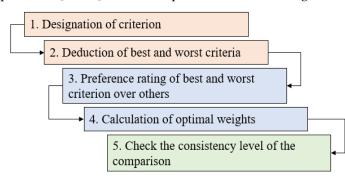


Figure 10. Steps of the BWM method.

# 3.4. Choquet Integral (CI)

The CI is a non-linear aggregation function used in multicriteria decision-making to combine criteria with dif-221 ferent levels of importance or uncertainty. The CI is based on the idea that decision-makers have preferences 222 that are not necessarily additive, meaning that a combination of criteria cannot simply be calculated by adding 223 up the values of each criterion. Instead, the CI considers the interactions between criteria and the degree of 224 importance or uncertainty associated with each criterion [29]. The CI provides a flexible and powerful way to 225 aggregate criteria with different levels of importance or uncertainty. It allows decision-makers to capture the 226 interactions between criteria and make decisions based on their importance. However, it can be computation-227 ally intensive and requires significant data input and expertise to implement properly [30, 31]. 228

- 1. Define the decision problem and identify the criteria that will be used to evaluate the alternatives.
- 2. Specify the weighting function, which assigns a weight to each subset of criteria based on its 231 degree of importance or relevance. This function is represented by a set function, which maps 232 from subsets of criteria to real numbers between 0 and 1. 233
- 3. Calculate the weighted average of the criteria, where the weighting function determines the 234 weights. This involves taking the average value of each subset of criteria, weighted by the cor-235 responding weight. 236
- Aggregate the weighted averages of the criteria using the CI formula. This involves taking a 4. 237 weighted sum of the weighted averages, where the weighting function determines the weights. 238

# 3.5. Compromise Programming (CP)

CP is a multicriteria decision-making technique that involves finding a compromise solution that satisfies mul-240 tiple objectives simultaneously. The CP approach allows decision-makers to identify a solution that balances 241 the trade-offs between multiple objectives or criteria. It considers each objective or criterion's relative im-242 portance and target values and provides a systematic way to evaluate and compare alternatives [32, 33]. How-243 ever, it can be sensitive to the choice of compromise function and requires careful consideration of the objectives 244 and criteria involved [34, 35]. The CP process is shown in Figure 11. 245

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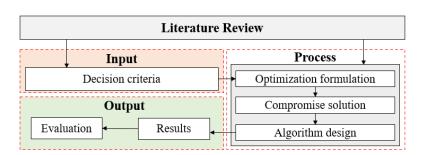


Figure 11. Steps of the CP method.

### 3.6. Data Envelopment Analysis (DEA)

DEA is a non-parametric method used to measure the efficiency of decision-making units (DMUs) in a given 249 system. DEA provides a flexible and powerful way to measure the efficiency of DMUs and to identify best 250 practices and improvement opportunities. It allows decision-makers to evaluate multiple DMUs' performance 251 and compare their efficiency scores relative to each other [36, 37]. However, it can be sensitive to the choice of 252 the DEA model and requires careful consideration of the inputs and outputs involved [38, 39]. The DEA 253 flowchart is shown in Figure 12.

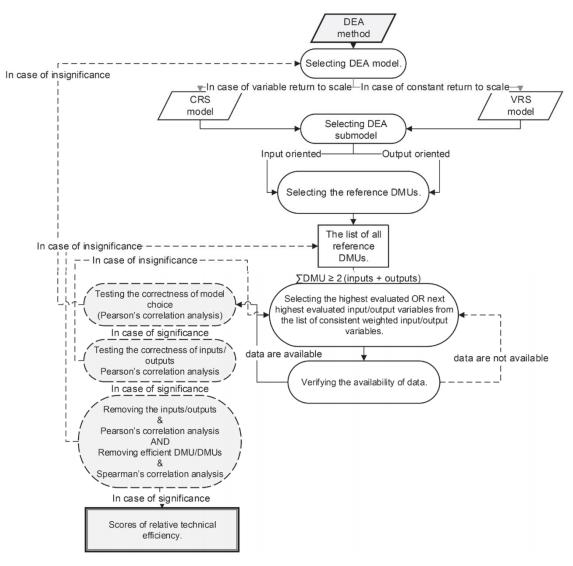


Figure 12. Flowchart of DEA method [40].

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# 3.7. Electre (Elimination and Choice Expressing Reality)

Electra is one of the MCDM methods. It is a widely used method for solving decision problems involving mul-258 tiple conflicting criteria. Electro is a flexible and powerful method that allows decision-makers to consider mul-259 tiple criteria and preferences simultaneously. It provides a systematic and transparent way to evaluate and 260 compare alternatives, considering each criterion's relative importance and performance [41]. However, it can 261 be sensitive to the choice of preference structure and weights, and it requires a significant amount of data input 262 and expertise to implement properly [42, 43]. The Electre flowchart is shown in Figure 13. 263

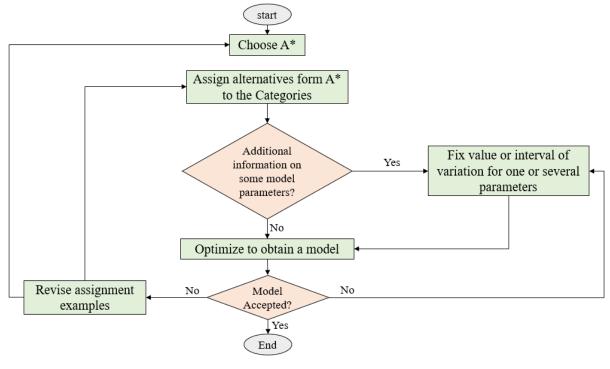
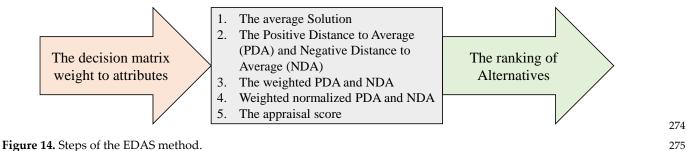


Figure 13. Flowchart of Electre method.

# 3.8. Evaluation based on Distance from Average Solution (EDAS)

EDAS is used in MCDM. It is a variation of the Technique for Order of Preference by Similarity to the Ideal 267 Solution (TOPSIS) method and ranks alternatives based on their performance across multiple criteria. EDAS 268 offers a straightforward and transparent approach by assessing alternatives' proximity to the average solution, 269 representing each criterion's ideal performance. This method enables decision-makers to systematically and 270 objectively consider multiple criteria and their relative importance [44, 45]. However, it is important to note 271 that EDAS assumes equal importance among criteria and considers the average solution as the ideal perfor-272 mance, which may not always align with the decision context [46, 47]. The EDAS process is shown in Figure 14. 273



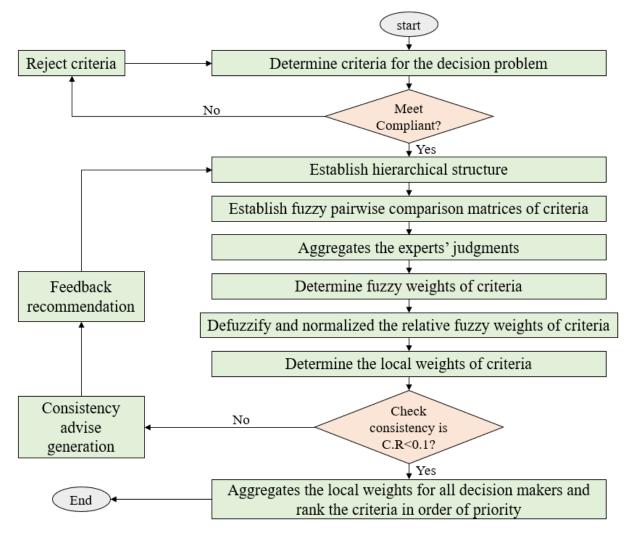
3.9. Fuzzy Analytic Hierarchy Process (FAHP)

The FAHP is an enhanced version of the traditional AHP, extending its capabilities in multicriteria decision-277 making. FAHP effectively incorporates linguistic variables and fuzzy sets to address uncertainty and vagueness 278

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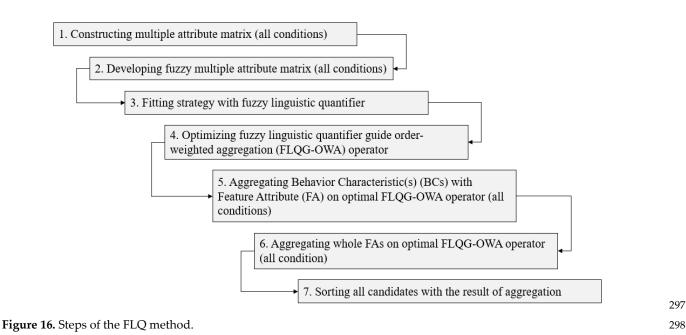
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inherent in decision-making processes. By utilizing linguistic variables and fuzzy sets, decision-makers can handle and represent preferences and performance flexibly and powerfully [48]. FAHP offers a systematic and transparent approach for evaluating and comparing alternatives, considering each criterion's relative importance and performance. It is important to note that FAHP implementation requires substantial data input and expertise, and its effectiveness can be influenced by the choice of preference structure and weights [3, 49]. FAHP flowchart is shown in Figure 15.



# Figure 15. Flowchart of FAHP method 3.10. Fuzzy Linguistic Quantifier (FLQ).

FLQ is a mathematical tool used in fuzzy logic to quantify and measure linguistic terms commonly used to 287 express subjective opinions and perceptions. FLQs are used to translate natural language expressions into quan-288 titative measures that can be processed by computers or used in mathematical models. FLQs use fuzzy sets to 289 represent the degree of membership of a linguistic term in a set, usually expressed using a membership func-290 tion. FLQs can be categorized into different types based on their properties and characteristics, such as absolute, 291 relative, and modifier quantifiers. FLQs are used in various applications, such as decision-making, control sys-292 tems, and information retrieval. They provide a flexible and powerful way to handle linguistic expressions and 293 subjective opinions while allowing mathematical operations and computations [50]. However, using FLQs re-294 quires a significant amount of expertise in fuzzy logic and mathematics, and the choice of FLQs can affect the 295 results and outcomes of the analysis [51, 52]. The FLQ process is shown in Figure 16. 296



# 3.11. Grey Relational Analysis (GRA)

GRA is a method for analyzing the relationship between input and output variables in a system. GRA involves 300 converting numerical data into dimensionless grey numbers, representing the similarity between the input and 301 output variables. Grey numbers consist of a black part and a white part, respectively, representing the variable's 302 ideal and actual values. The closer a grey number's black and white parts are, the higher the similarity between 303 the ideal and actual values. GRA can be used to identify the most influential input variables on the output 304 variable and to evaluate the effectiveness of different scenarios or strategies. It can also be used for optimization 305 and decision-making purposes. One of the advantages of GRA is that it is suitable for analyzing systems with 306 incomplete or limited data [53, 54]. However, GRA has limitations, such as its sensitivity to the selection of 307 reference series and the difficulty in determining the appropriate weighting of input variables. Therefore, it is 308 recommended to use GRA in combination with other methods for a more comprehensive analysis [55, 56]. The 309 GRA flowchart is shown in Figure 17. 310

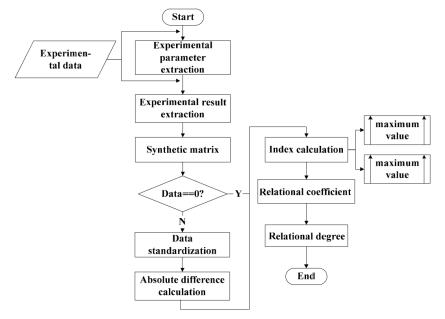
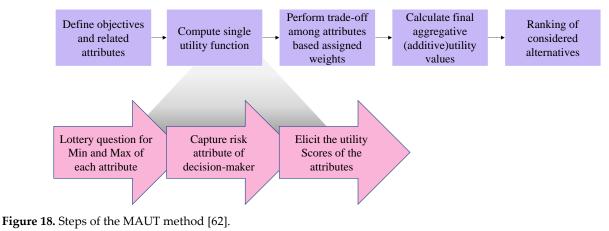


Figure 17. Flowchart of GRA method [57].

# 3.12. Multi-Attribute Utility Theory (MAUT)

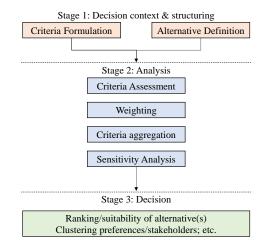
MAUT is a decision-making framework that helps individuals or organizations make complex decisions in-314 volving multiple attributes or criteria. It is a formal method for evaluating and ranking options based on their 315 perceived utility or value, considering the decision-maker's preferences. In MAUT, decision-makers identify 316 and evaluate the attributes or criteria important to them in the decision-making process. These attributes can 317 be qualitative or quantitative and may include cost, risk, quality, and time. Decision-makers establish a value 318 or weight scale for each attribute that reflects their relative importance. MAUT provides a structured and trans-319 parent approach to decision-making, and it can handle a wide range of decision-making problems involving 320 multiple criteria [58, 59]. It allows decision-makers to consider their preferences and priorities explicitly and 321 incorporate objective and subjective information in decision-making [60, 61]. The MAUT process is shown in 322 Figure 18. 323



# 3.13. Multiple Criteria Decision Analysis (MCDA)

MCDA is a family of methods to evaluate and prioritize alternatives based on multiple criteria or objectives. 327 MCDA allows decision-makers to consider multiple criteria and objectives simultaneously and provides a systematic and transparent approach to decision-making. MCDA methods help decision-makers to structure, compare, and evaluate different options and make informed decisions. MCDA methods can be used in various decision-making problems, such as project selection, risk assessment, and environmental impact assessment. Identifying and prioritizing the relevant criteria and assigning accurate weights to each criterion can be challenging [63, 64].

Some MCDA methods can also be computationally complex, especially when dealing with many criteria or alternatives. Finally, MCDA methods rely on the accuracy of the data used in the evaluation, and the results can be sensitive to errors or uncertainties in the data [65-67]. MCDA flowchart is shown in Figure 19. 336



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# 3.14. Preference Ranking Organization Method for Enrichment Evaluations (PROMETHEE)

PROMETHEE is a multicriteria decision-making (MCDM) technique that ranks alternatives based on multiple 340 criteria. As an outranking method, PROMETHEE compares alternatives pairwise to assess their relative perfor-341 mances. In PROMETHEE, preference measures are considered: preference functions and indifference thresh-342 olds. Preference functions quantify the degree of preference between two alternatives, indicating how much 343 one alternative is preferred. On the other hand, indifference thresholds gauge the degree of indifference be-344 tween two alternatives, reflecting situations where the decision-maker perceives them as equally favorable. For 345 each criterion, preference functions and indifference thresholds are defined and can be either linear or nonlin-346 ear. These functions allow for capturing various degrees of preference and indifference based on the decision-347 maker's evaluations [68, 69]. By incorporating preference functions and indifference thresholds, PROMETHEE 348 offers a systematic approach to rank alternatives while considering multiple criteria in decision-making [70, 71]. 349 To rank the alternatives, PROMETHEE calculates the net preference flow for each alternative, which is the dif-350 ference between the positive and negative preference flows. The positive preference flow measures the number 351 of alternatives that are preferred to the given alternative. In contrast, the negative preference flow measures the 352 number of alternatives that are inferior to the given alternative. The net preference flow reflects the degree of 353 preference for an alternative compared to the other alternatives. After calculating the net preference flows, 354 PROMETHEE ranks alternatives based on their values. PROMETHEE can also provide sensitivity analysis to 355 investigate the effects of changes in the criteria weights or parameters on evaluating alternatives [72]. PROME-356 THEE has been widely used in practice for various decision-making problems, such as supplier selection, loca-357 tion analysis, and environmental management. It is a flexible and efficient method for dealing with multiple 358 criteria and can provide valuable insights into complex decision problems [73, 74]. 359

# 3.15. Technique for Order Preference by Similarity to Ideal Solution (TOPSIS)

The TOPSIS is a well-known MCDM technique to evaluate alternatives based on multiple criteria. The main 361 objective of TOPSIS is to identify the alternative closest to the ideal solution and furthest from the negative ideal 362 solution. TOPSIS can handle nonlinear relationships between criteria, enabling a more flexible assessment of 363 alternatives. Additionally, it can incorporate uncertainty by utilizing fuzzy sets, allowing for a more nuanced 364 representation of imprecise or vague information. However, it is important to note that TOPSIS may not always 365 provide an optimal solution due to its methodology. The rankings generated by TOPSIS can be sensitive to the 366 choice of weights assigned to the criteria and the normalization methods employed in the evaluation process 367 [75, 76]. These factors can influence the outcome and should be carefully considered during applying TOPSIS 368 [77-79]. The TOPSIS process is shown in Figure 20. 369

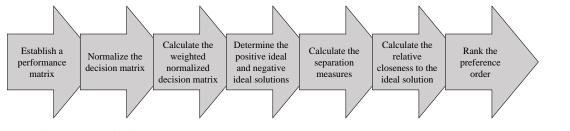


Figure 20. Steps of TOPSIS method.

# 3.16. VIKOR (VlseKriterijumska Optimizacija I Kompromisno Resenje)

VIKOR is a multicriteria decision-making method developed in Yugoslavia in the 1980s. It is designed to provide a compromise solution when conflicting criteria are considered. The VIKOR method differs from other multicriteria decision-making methods in considering the best and worst solutions for each criterion and the compromise solution [80, 81]. This allows for a more balanced assessment of alternatives, especially when conflicting criteria cannot be fully optimized [82-84]. The VIKOR process is shown in Figure 21. 377

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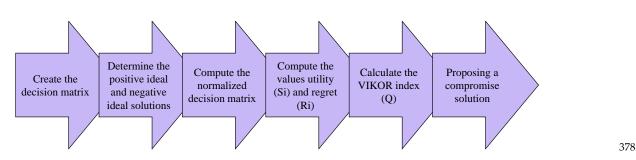


Figure 21. Steps of the VIKOR method.

### 3.17. Multiobjective Optimization by Ratio Analysis (MOORA)

It extends Simple Additive Weighting (SAW) principles and Weighted Product Model (WPM) methods. 381 MOORA ranks alternatives based on their performance across multiple criteria by maximizing benefits and 382 minimizing costs relative to other alternatives. In MOORA, decision-makers can express their preferences and 383 priorities by assigning weights to the decision criteria. This allows for a tailored and customized evaluation of 384 alternatives. MOORA can handle both quantitative and qualitative data, making it suitable for scenarios where 385 criteria have different units or scales of measurement. However, one potential limitation of MOORA is its as-386 sumption of independence between the positive and negative performance ratios of each alternative [85]. This 387 assumption may not always hold in real-world decision-making situations, which should be considered when 388 applying the method [86, 87]. The MOORA process is shown in Figure 22. 389

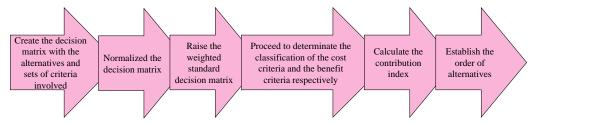
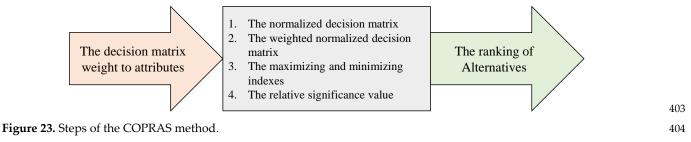


Figure 22. Steps of MOORA method.

### 3.18. Complex Proportional Assessment (COPRAS)

COPRAS is a popular MCDM technique to tackle complex decision-making problems. COPRAS employs ratio-393 based criteria weights and compensatory aggregation to determine the overall performance score of alterna-394 tives. COPRAS is particularly valuable when dealing with decision-making scenarios that involve multiple cri-395 teria and where the criteria weights are not predetermined. It offers a systematic approach to evaluate alterna-396 tives and rank them based on their performance scores. By employing ratio-based criteria weights, COPRAS 397 allows decision-makers to consider the relative importance of each criterion in a flexible manner [88]. The com-398 pensatory aggregation process enables the integration of the various criteria and their weights to obtain an 399 overall performance score for each alternative. COPRAS is an effective method for complex decision-making 400 problems, providing a structured framework to evaluate alternatives and make informed choices based on their 401 performance scores [89, 90]. The COPRAS process is shown in Figure 23. 402



3.19. Decision-making Trial and Evaluation Laboratory (DEMATEL)

DEMATEL is a valuable MCDM method designed to analyze and comprehend the intricate relationships be-406 tween criteria and decision alternatives. DEMATEL operates on the premise that decision problems are inter-407 connected networks of factors and sub-factors. By utilizing DEMATEL, decision-makers can gain insights into 408 the causal relationships among criteria and identify critical factors that significantly influence decision-making. 409 The method is particularly beneficial in navigating complex social, economic, and environmental systems. It 410aids decision-makers in comprehending the interdependencies and interrelationships between various factors, 411 facilitating a more informed decision-making process [91]. DEMATEL has been successfully applied in various 412 domains, including project management, financial management, and environmental management. Its ability to 413 uncover causal relationships and highlight critical factors makes it a valuable tool for tackling complex decision-414 making challenges [92-94]. DEMATEL flowchart is shown in Figure 24. 415

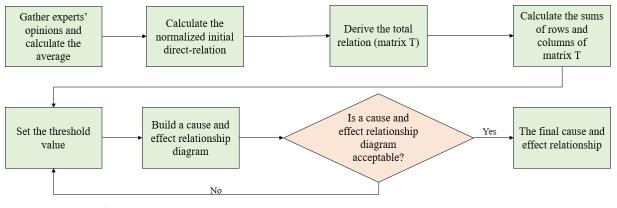


Figure 24. Flowchart of DEMATEL method.

### 4. Discussion

Analyzing and discussing these 19 Multiple Criteria Decision Making (MCDM) methods can provide valuable insights into their applicability, advantages, disadvantages, and recommendations for mining scenarios. Here 420 is a summary of the discussion for each method is shown in Table 3.

Method	Advantage	Disadvantage	Recommenda- tion	Input	Output
АНР	Systematic con- sideration of qualitative and quantitative fac- tors, incorpora- tion of multiple stakeholders' preferences.	Requires effort and expertise in defining the de- cision problem and construct- ing precise pair- wise compari- son matrices.	Suitable for mining deci- sions involving multiple stake- holders and di- verse criteria.	Environmental impact, cost, safety, and geological consid- erations.	Overall ranking or score of al- ternatives based on their weighted priorities.
ANP	Addresses com- plex decision problems with interdependent criteria and al- ternatives.	More complex and time-con- suming than AHP, it requires expertise and data input.	Ideal for mining decisions with interdependen- cies among cri- teria and alter- natives.	Defining clusters of criteria, specifying criteria and sub- criteria, establishing network relations with pairwise com- parisons, and assigning prior- ity weights to assess interde- pendencies and influences comprehensively.	Priority vector for criteria and sub-criteria, reflecting their relative importance and over- all rankings or scores for al- ternatives based on their weighted priorities.
BWM	Simple and intu- itive for identi- fying critical fac- tors.	Doesn't con- sider interac- tions between criteria or alter- natives.	Useful for quickly identify- ing important criteria or alter- natives in straightforward mining deci- sions.	Identifying criteria, specifying alternatives, and conducting pairwise comparisons to de- termine the best and worst el- ements within each criterion facilitate decision-making.	Priority order for alternatives within each criterion, high- lighting the best and worst choices.
CI	Flexibly aggre- gates criteria with different importance lev- els.	Computationally intensive, it re- quires signifi- cant data input and expertise.	Suitable for mining deci- sions involving non-additive preferences and	Defining fuzzy measures to capture interactions between criteria, specifying the fuzzy capacities representing the importance of subsets, and	Aggregated scores for alterna- tives reflect the comprehen- sive consideration of interac- tions and dependencies.

Table 3. Advantages, disadvantages, and recommendation of 19 MCDM methods.

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Method	Advantage	Disadvantage	Recommenda- tion	Input	Output
			Interactions among criteria.	Utilizing these measures to model complex decision con- texts.	
СР	Balances trade- offs between multiple objec- tives.	Sensitive to the choice of com- promise func- tion requires careful consid- eration of objec- tives.	Effective for mining deci- sions with con- flicting objec- tives.	Defining decision criteria, es- tablishing their relative im- portance, and setting accepta- ble compromise levels to find solutions that balance con- flicting objectives within the mining context.	A solution that represents a balanced compromise among conflicting objectives in min- ing engineering, providing a feasible and acceptable out- come based on the specified compromise levels for deci- sion criteria.
DEA	Measures effi- ciency of deci- sion-making units and identi- fies best prac- tices.	Sensitive to the choice of DEA model requires careful consid- eration of inputs and outputs.	Useful for as- sessing effi- ciency in mining operations and benchmarking.	Identifying input and output variables and quantifying their efficiencies to assess and improve the overall perfor- mance of mining operations.	Provides efficiency scores for each mining unit, identifying benchmarks and highlighting areas for improvement in re- source utilization, aiding deci- sion-makers in optimizing performance.
Electre	Addresses mul- tiple conflicting criteria trans- parent evalua- tion.	Sensitive to preference structure and weights, data- intensive.	Suitable for mining deci- sions with con- flicting criteria and the need for transparency.	Defining criteria, assigning weights to criteria, and speci- fying preference thresholds to assess and rank alternatives based on their performance against the established crite- ria.	A ranking of alternatives, em- phasizing those that meet preference thresholds and re- vealing viable choices based on the defined criteria in min- ing engineering.
EDAS	Straightforward and objective approach.	Assumes equal importance among criteria and considers the average so- lution as ideal.	Appropriate for mining deci- sions with equal-weighted criteria and straightforward evaluations.	Defining criteria, specifying weights for criteria, and eval- uating alternatives based on their proximity to the average solution, facilitating decision- making by assessing perfor- mance against established cri- teria.	A ranking of alternatives, highlighting those with closer proximity to the average solu- tion, aiding decision-makers in selecting mining engineer- ing options based on the es- tablished criteria and their performance against the aver- age benchmark.
FAHP	Handles uncer- tainty and vagueness in de- cision-making.	Requires sub- stantial data in- put and exper- tise.	Effective for mining deci- sions in uncer- tain environ- ments or when linguistic varia- bles are in- volved.	Defining criteria, establishing their fuzzy pairwise compari- son matrices, and determin- ing the weights of criteria to assess and prioritize alterna- tives under uncertainty.	Fuzzy priority vector for crite- ria and alternatives, offering a nuanced and flexible decision- making framework in mining engineering by considering uncertainties and preferences in the prioritization process.
FLQ	Translates lin- guistic terms into quantita- tive measures.	Requires exper- tise in fuzzy logic and mathe- matics.	Useful for han- dling subjective opinions and linguistic ex- pressions in mining deci- sions.	Defining linguistic variables, specifying fuzzy membership functions, and establishing fuzzy quantifiers to model im- precise information and en- hance decision-making.	Quantified fuzzy values allow- ing for a more nuanced repre- sentation of imprecise infor- mation in mining engineering decision-making, aiding in capturing and managing un- certainties effectively.
GRA	Measures the relationship be- tween input and output varia- bles.	Sensitive to the selection of ref- erence series, difficulty in de- termining input variable weights.	Useful for as- sessing the in- fluence of input variables on mining out- comes.	Defining evaluation criteria, normalizing data, and estab- lishing reference sequences to assess and rank alternatives based on their relationships.	Grey relational grades, high- lighting the closeness of alter- natives to the reference se- quence, aiding in decision- making in mining engineering by identifying relationships and rankings based on evalu- ated criteria.
MAUT	Evaluate and rank options based on per- ceived utility and consider de- cision-maker's preferences.	Identifying cri- teria and assign- ing weights can be challenging.	Suitable for mining deci- sions involving multiple attrib- utes and subjec- tive preferences.	Defining decision criteria, as- signing weights to criteria, and quantifying the prefer- ences or utility values for al- ternatives, facilitating a sys- tematic evaluation of complex decision scenarios.	A utility score for each alter- native, aiding in the system- atic ranking and selection of mining engineering options based on the assigned weights and preferences for decision criteria, allowing for a com- prehensive evaluation.
MCDA	Provides a sys- tematic and transparent ap- proach to evalu- ate and compare alternatives.	Challenges in prioritizing cri- teria and han- dling data inac- curacies.	Effective for mining deci- sions with mul- tiple criteria and objectives.	Defining decision criteria, specifying their weights, and evaluating alternatives against these criteria to facili- tate a structured decision- making process.	A ranking or scoring of alter- natives, assisting in decision- making within mining engi- neering by considering multi- ple criteria and their weighted importance, result- ing in a more informed and balanced choice.

Method	Advantage	Disadvantage	Recommenda- tion	Input	Output
PROME- THEE	Rank alterna- tives based on pairwise com- parisons con- sider prefer- ences and indif- ference.	Rankings are sensitive to weights and normalization methods.	Suitable for mining deci- sions with well- defined prefer- ences and pair- wise compari- sons.	Defining criteria, assigning preference functions, and comparing alternatives to es- tablish rankings based on their relative performance.	Provides a preference ranking of alternatives, highlighting their suitability based on as- signed preferences and crite- ria, aiding decision-makers in mining engineering to choose optimal solutions.
TOPSIS	Identifies alter- natives closest to the ideal solu- tion and handles nonlinear rela- tionships.	Rankings are sensitive to cri- teria weights and normaliza- tion methods.	Effective for mining deci- sions with non- linear relation- ships and well- defined criteria.	Defining criteria, normalizing data, and calculating the Eu- clidean distances to deter- mine the proximity of alterna- tives to the ideal solution, fa- cilitating a systematic ranking process.	Provides a ranking of alterna- tives based on their closeness to the ideal solution and far- thest from the negative ideal solution, aiding decision-mak- ers in mining engineering to identify the most favorable options.
VIKOR	Provides a com- promise solu- tion for conflict- ing criteria.	Rankings influ- enced by crite- ria weights are not suitable for all scenarios.	Useful for min- ing decisions with conflicting criteria and the need for com- promise.	Defining criteria, assigning weights, and determining preference functions to assess and rank alternatives based on their overall performance, providing a compromise solu- tion.	Provides a compromise rank- ing of alternatives, consider- ing both maximum group util- ity and individual regret, aid- ing in decision-making in min- ing engineering by offering a balanced solution that consid- ers multiple criteria and pref- erences.
MOORA	Customized evaluation with ratio-based cri- teria weights.	Assumes inde- pendence be- tween positive and negative ra- tios.	Suitable for mining deci- sions with flexi- ble criteria weights.	Defining decision criteria, de- termining their importance weights, and comparing alter- natives to establish rankings based on the calculated ratios facilitates a systematic deci- sion-making process.	Provides a ranking of alterna- tives based on the calculated scores, aiding in mining engi- neering decision-making by identifying the most favorable options considering multiple criteria and their assigned weights.
COPARS	Systematic eval- uation with ra- tio-based crite- ria weights.	Assumes inde- pendence be- tween positive and negative ra- tios.	Effective for mining deci- sions requiring a structured evaluation pro- cess.	Defining criteria, specifying preference values, and estab- lishing decision matrix ele- ments to systematically evalu- ate and rank alternatives based on their performance.	A comprehensive ranking of alternatives, considering both positive and negative aspects, aids decision-makers in min- ing engineering by offering a balanced assessment that cap- tures various criteria and preferences.
DEMATEL	Analyzes causal relationships between criteria and identifies critical factors.	It focuses on re- lationships and may not provide a direct ranking of alternatives.	Suitable for mining deci- sions where un- derstanding causal relation- ships is crucial.	Defining criteria, conducting pairwise comparisons to es- tablish the cause-and-effect relationships, and determin- ing the influence strength, fa- cilitating a structured analysis of interdependencies.	A visualized influence net- work and impact scores, aid- ing decision-makers in under- standing and managing the cause-and-effect relationships among criteria in mining engi- neering, enhancing the deci- sion-making process.

Certainly, each of these MCDM methods offers distinct advantages and has its own set of limitations. The spe-425 cific characteristics and demands of the mining decision in question should guide the selection of the most 426 suitable method. It is important to recognize that there is no one-size-fits-all approach, and the choice of method 427 should be tailored to the unique circumstances of each mining scenario. To make the best-informed decision, 428 engaging with domain experts with experience in the mining industry is often beneficial. Their insights can 429 help identify the most relevant criteria and guide the weighting of those criteria, ultimately enhancing the ac-430 curacy of the decision-making process. A hybrid approach that combines multiple MCDM methods may be 431 advantageous in some cases. This allows decision-makers to harness different techniques' strengths while mit-432 igating their weaknesses. Such an approach can lead to more robust and reliable outcomes, particularly in com-433 plex mining decisions. 434

The choice of the most commonly used (standard) method can vary significantly depending on the practices435and preferences of the mining company or organization. It is advisable to consider industry standards, best436practices, and the specific context of the decision to determine which method best aligns best with the organi-437zation's goals and requirements. Ultimately, the goal is to ensure a comprehensive and dependable decision-438making process in the dynamic and multifaceted mining industry.439

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# 5. Conclusions

Multicriteria Decision-Making (MCDM) techniques within the mining industry confer many advantages, un-443 derlining their pivotal role in enhancing decision-making processes. MCDM methodologies offer a systematic 444 framework for evaluating and selecting alternatives founded on multiple criteria, empowering decision-makers 445 to navigate intricate mining scenarios with insight and confidence. Mining engineers benefit significantly from 446 MCDM methods, as they facilitate the simultaneous consideration of various factors spanning economic, envi-447 ronmental, social, and technical dimensions. This holistic approach provides a robust foundation for evaluating 448 mining projects, allowing decision-makers to incorporate diverse stakeholders' perspectives and interests. One 449 of the standout features of MCDM is its capacity to tackle the inherent uncertainty and risks entwined with 450 mining operations. By doing so, MCDM aids in the identification of resilient solutions that exhibit reduced 451 sensitivity to uncertainties, bolstering the decision-making process and its efficacy. Moreover, MCDM methods 452 make substantial contributions to fostering sustainable mining practices. By integrating environmental and so-453 cial criteria into the decision-making framework, mining engineers can meticulously evaluate mining projects' 454 ecological consequences, societal impacts, and long-term sustainability prospects. This holistic perspective al-455 lows MCDM to identify challenges, proffer environmentally and socially harmonious solutions, and safeguard 456 natural resources, biodiversity, and the well-being of local communities. Additionally, the integration of 457 MCDM methods into resource allocation processes stands out as a critical benefit. Mining engineers can effi-458 ciently allocate limited resources by simultaneously considering multiple objectives and constraints, enhancing 459 resource management, cost reduction, and heightened operational efficiency. The synergy between MCDM 460 methods and advanced technologies opens up new horizons for cutting-edge decision-making in mining engi-461 neering. These interdisciplinary approaches facilitate the seamless integration of diverse datasets, fostering 462 more precise, dynamic, and agile decision-making processes. 463

Incorporating MCDM techniques in mining engineering offers a structured and systematic framework for evaluating alternatives, mitigating risks, advancing sustainability objectives, optimizing resource allocation, and harnessing technological advancements. Embracing these methodologies empowers stakeholders within the mining industry to engage in informed decision-making processes that harmonize economic priorities with environmental responsibility and social considerations. This, in turn, lays the foundation for the cultivation of mining practices characterized by enhanced sustainability and heightened social and environmental responsibility.

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